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2	Phylogenetic relationship of a fossil macaque (Macaca cf. robusta) from the Korean
3	Peninsula to extant species of macaques based on zygomaxillary morphology
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18 morphometrics

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20 Abstract
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21 Little is known about the biogeographical and evolutionary histories of macaques 22 (Macaca spp.) in East Asia because the phylogenetic positions of fossil species remain unclear. Here we examined the zygomaxillary remains of a fossil macaque (*M.* cf. *robusta*) 23 24 from the Durubong Cave Complex, South Korea, that dates back to the late Middle to Late 25 Pleistocene, to infer its phylogenetic relationship to extant species. We took 195 fixed- and 26 semi-landmarks from the zygomaxillary regions of the fossil specimen and from 147 specimens belonging to 14 extant species. We then conducted a generalized Procrustes 27 28 analysis followed by a multivariate statistical analysis to evaluate the phenetic affinities of 29 the fossil to the extant species and reconstructed the most parsimonious phylogenetic tree using a phylogenetic morphometric approach. We found that the fossil was most similar to 30

31	M. fuscata (Japanese macaque) in the zygomaxillary morphospace although it was at the limit
32	of the range of variation for this species. The second closest in the morphospace was the
33	continental M. mulatta (rhesus macaque). Parsimonious reconstruction confirmed that the
34	fossil was most closely related to <i>M. fuscata</i> , even after controlling for the effects of allometry.
35	These findings suggest that in the late Middle to Late Pleistocene, close relatives of <i>M. fuscata</i>
36	that looked like the extant species were distributed on the Korean Peninsula, where no species
37	of macaques are found today. Thus, some morphological characteristics of M. fuscata may
38	have developed before its ancestor dispersed into the Japanese archipelago.

1. Introduction 39

40 The genus Macaca consists of approximately 20 extant species and occupies a wider range of climates and habitats than any other genus of nonhuman primates (Fleagle, 2013). 41 Consequently, macaques have attracted much attention as an analogy to understand how 42 humans left tropical regions and adapted to various other environments (Rae et al., 2003; 43 Márquez and Laitman, 2008; Hanya et al., 2011; Karen J, 2011; Tsuji et al., 2013; Ito et al., 44 2015). Furthermore, some East Asian species, particularly M. mulatta (rhesus macaque) and 45 *M. fuscata* (Japanese macaque or snow monkey), are often used in biological laboratory 46

47	studies (Sibal and Samson, 2001). To better understand these cold-adapted and well-studied
48	species, we need to understand their biogeographic and evolutionary histories, particularly at
49	the northern limit of their distribution in East Asia.
50	The phylogeny of extant species of macaques has been well studied, with most molecular
51	phylogenetic studies (Tosi et al., 2000; Li et al., 2009; Jiang et al., 2016), except
52	mitochondrial studies (Morales and Melnick, 1998; Liedigk et al., 2014), supporting the
53	classification of Delson (1980). Delson's classification subdivides macaques into four
54	phylogenetic groups: fascicularis, sinica, silenus, and sylvanus groups. A molecular
55	phylogenetic study by Jiang et al. (2016) suggested that the African sylvanus group first
56	diverged ca. 5.5 million years ago (Mya), the silenus group diverged ca. 4.5 Mya, and the
57	fascicularis and sinica groups diverged ca. 3.5 Mya.
58	The most recently diverged groups (i.e., fascicularis and sinica groups) are distributed
59	in East Asia and partly overlap each other (Fooden, 1988, 2006). The fascicularis group
60	consists of four extant species, including M. fuscata and M. mulatta, which are found in
61	temperate regions: M. fuscata is distributed in the Japanese archipelago, which is at the
62	northernmost limit of extant nonhuman primates (Fooden and Aimi, 2005), while M. mulatta
63	is widely distributed from Afghanistan to southern China (Fooden, 2000). The sinica group

64	consists of at least five (Sinha et al., 2005; possibly six or seven; Chakraborty et al., 2007; Li
65	et al., 2015; Fan et al., 2016) extant species, with some of the large-bodied species, such as
66	M. thibetana, M. assamensis, and M. leucogenys, distributed in high-altitude areas in southern
67	China (Fooden, 1982, 1983; Li et al., 2015). Thus, both phylogenetic groups inhabit relatively
68	cold environments in East Asia. However, no species currently inhabit northern China and
69	the Korean Peninsula, isolating <i>M. fuscata</i> from the other continental species.
70	However, some excavations of Pleistocene fossil macaques have been reported from
71	northern China and the Korean Peninsula (Schlosser, 1924; Young, 1934; Zhang et al., 1986;
72	Pan and Jablonski, 1987; Park and Lee, 1998; Takai et al., 2008). The first record of a fossil
73	macaque from East Asia was M. anderssoni, which was described from a nearly complete
74	face excavated from the Early Pleistocene sediment of Mianchi, Henan Province, China
75	(Schlosser, 1924). A decade later, another species, M. robusta, was described from a partial
76	maxilla excavated from the Middle Pleistocene sediment of Choukoutien, Beijing, China
77	(Young, 1934). Since then, nearly all of the fossil specimens that have been discovered in
78	northern China or the Korean Peninsula from the Early to Middle Pleistocene have been
79	referred to as one of these two fossil species. Although M. anderssoni has a larger dental size
80	than M. robusta, it is sometimes considered a junior synonym of the latter (Simons, 1970;

81	Delson, 1980; but see Fooden, 1990). These fossils are believed to fill the biogeographical
82	gap in the current distribution of macaques, which will potentially elucidate their evolutionary
83	histories. However, the phylogenetic relationships of these fossils and extant species remain
84	controversial (Delson, 1977, 1980; Jablonski and Pan, 1988; Fooden, 1990; Pan and
85	Yanzhang, 1995; Park and Lee, 1998; Ito et al., 2014b), particularly with regard to whether
86	they are phylogenetically related to members of the <i>sinica</i> group or the <i>fascicularis</i> group.
87	The fossil records from the Korean Peninsula is key to understanding the evolution of
88	M. fuscata in particular. Some fossil macaques have been reported from the Middle and Late
89	Pleistocene sediments of several localities in the Korean Peninsula (Park and Lee, 1998;
90	Fooden and Aimi, 2005; Lee and Woo, 2005; Lee, 2006; Lee and Takai, 2012; Lee et al.,
91	2013). Most of these fossils are isolated teeth or partial fragments of skeletons, but the fossil
92	specimen excavated by the Chungbuk National University Museum Team from the Durubong
93	(=Turupong) Cave Complex, Cheongju City, Chungbuk Province, South Korea, is a well-
94	preserved zygomaxillary region (Fig. 1). This was identified as M. cf. robusta by Park and
95	Lee (1998), who reported that its morphology was intermediate between <i>M. robusta</i> and <i>M.</i>
96	fuscata but more similar to M. robusta with regard to tooth size. Lee and Takai (2012) stated
97	that the upper molars of the Korean fossils were relatively large, making them comparable

98	with those of <i>M. anderssoni</i> , while the lower molars were relatively small compared with
99	other fossil and extant macaques. Furthermore, they also stated that most of the Korean fossils
100	retain some accessory cusps (distoconules, interconulus, 6th cusp, and 7th cusp), which are
101	frequently found in the molars of <i>M. fuscata</i> (Lee and Takai, 2012). Fooden and Aimi (2005)
102	demonstrated that measurements of the Korean fossil molars were within the range of
103	variation shown by <i>M. fuscata</i> . Together, these findings imply that the Korean fossils may be
104	related to the ancestors of <i>M. fuscata</i> or the other fossil species, namely <i>M. anderssoni</i> and/or
105	M. robusta; and that more than one lineage may have been distributed in the Korean Peninsula
106	during the Middle to Late Pleistocene. However, most previous studies have based their
107	conclusions on tooth morphology alone, and no phylogenetic assessment of the fossil species
108	in comparison with the various extant species of macaques has been conducted to date.
109	In this study, we compared the morphology of the zygomaxillary region in the Korean
110	fossil specimen that was discovered in the Durubong Cave Complex with that of extant
111	species of macaques. This is the most well-preserved fossil macaque specimen in Korea.
112	Zygomaxillary morphology is likely to be informative for the reconstruction of phylogenetic
113	relationships, because zygomaxillary shape can be partially differentiated among the four
114	phylogenetic groups in macaques (Ito et al., 2014a) and has some phylogenetic signal (Ito et

115 al., 2014a). First, we evaluated the pattern of zygomaxillary variation in extant species and 116 estimated the evolutionary and phylogenetic significance of this variation. We then assigned 117 the fossil to the morphospace of the extant species and performed a cladistic analysis to infer the evolutionary and phylogenetic relationships of this fossil to the extant species. To do this, 118 119 we used semi-landmark-based geometric morphometrics to capture the surface topography 120 of the zygomaxillary region, because anatomically defined landmarks were limited on the 121 fossil. Moreover, we examined the nasal cavity morphology using computed tomography 122 (CT), because it has been reported that this reflects phylogenetic relationships (Ito et al., 123 2014b; Nishimura et al., 2014; Ito and Nishimura, 2016). Based on the findings of these analyses, we discuss the biogeographic and evolutionary histories of East Asian macaques. 124

125 **2. Materials and methods**

126 *2.1. Molecular phylogeny*

127 The phylogeny that was used for the phylogenetic comparative analyses was estimated 128 using 11 mitochondrial and 53 nuclear DNA sequences (Supplementary Online Material 129 [SOM] Table S1). The DNA sequences were obtained from the 10KTrees webserver (Arnold 130 et al., 2010; http://10ktrees.fas.harvard.edu/Primates/) and Perelman et al. (2011). The

131	sequences were aligned using MAFFT version 7 (Katoh and Standley, 2013) and then
132	concatenated using SequenceMatrix software (http://gaurav.github.io/taxondna/). BEAST 2
133	software (Bouckaert et al., 2014) was used for phylogenetic inference using a site model that
134	was estimated by the Bayesian approach with the bModelTest package (Bouckaert, 2015).
135	Markov Chain Monte Carlo simulations were performed for 10,000,000,000 generations with
136	a sampling frequency of 100,000. Five species belonging to the subtribe Papionina
137	(Cercocebus torquatus, Lophocebus aterrimus, Mandrillus sphinx, Papio hamadryas, and
138	Theropithecus gelada) were used as an outgroup. The maximum clade credibility tree was
139	chosen after removing a 10% burn-in using TreeAnnotator version 2.4.7 (SOM Fig. S1).
140	2.2. Cranial sample
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 140 141 142 143 144 145 146 	2.2. Cranial sample For the fossil specimen, we used the zygomaxillary specimen of a fossil macaque stored at the Chungbuk Natural History Museum, Chungbuk, South Korea (2 - □ -12-2169; Fig. 1), which has been partially broken and repaired. The fully erupted third molars and relatively large canines indicate that this individual was an adult male. This specimen originated from the Durubong (=Turupong) Cave Complex, Cheongju City, Chungbuk Province, South Korea. The faunal composition of Durubong Cave Complex (Ursus arctosi, Crocuta ultima,

to the late Middle Pleistocene to the Late Pleistocene period (Park and Lee, 1998), while the
faunal complex together with the results of pollen analyses suggests that this was a warm
(interglacial or interstadial) period (Lee and Woo, 2005).

151 For the extant samples, we used the dry crania with almost-erupted or fully erupted third molars from 147 adult males belonging to 14 extant species (Table 1). These specimens are 152 stored at eight institutes in Japan and USA, which are listed in SOM Table S2. Sulawesi 153 154 macaques were not included in the sample because of their unusual cranial morphology (Albrecht, 1978). The samples consisted of wild (n = 80), captive (n = 35), and unknown-155 origin specimens (n = 32), but any pathological specimens, which showed evidence of severe 156 157 alveolar pyorrhea and/or unusual deflection of muzzles, were not included in the samples. ANOVA showed that the differences in zygomaxillary shape among wild, captive, and 158 159 unknown-origin specimens were significant in some cases, but were much smaller compared 160 with interspecies differences (SOM Table S3).

161 *2.3. CT examinations*

162 CT images were acquired for the fossil specimen and 134 specimens of extant species.
 163 The zygomaxillary fragment of the Korean fossil specimen (2 - □ -12-2169) was scanned

164	using a helical scanner (HiSpeed; GE Medical Systems Inc., Waukesha, WI, USA) at the
165	veterinary medical center of Chungbuk National University, Chungbuk, South Korea, with a
166	pixel size of 0.1 mm and a slice thickness of 1.0 mm. The original volume data were then
167	reconstructed to give a slice thickness of 0.3 mm. CT scans of the extant species were
168	obtained previously (Ito and Nishimura, 2016) and are available at the Digital Morphology
169	Museum, Kyoto University (http://dmm.pri.kyoto-u.ac.jp), with the exception of specimens
170	that were not permitted for release due to copyright restrictions (SOM Table S2), or from
171	MorphoSource (http://www.morphosource.org).
172	The internal structure of the maxilla was observed using Amira 5.5. In particular, we
173	investigated the degree of lateral expansion of the nasal cavity and the maxillary thickness,
174	which are considered to reflect phylogenetic relationships in macaques (Ito et al., 2014b).
175	2.4. Geometric morphometrics
176	Three-dimensional (3D) surface models of the face were obtained from the Smithsonian
177	3D collection of primates (http://humanorigins.si.edu/evidence/3d-collection/primate) or
178	constructed from the serial CT images using Amira 5.5 software (FEI Visualization Sciences
179	Group, Bordeaux, France). Details of the 3D surface modeling were described in a previous

180	paper (Ito and Nishimura, 2016). Small superficial holes, if any, were manually filled using
181	Amira 5.5 or Rapidform XOS3 64. Where the left side of the face was broken, the 3D surface
182	model was horizontally flipped using MeshLab software (http://www.meshlab.net). Because
183	the repair of the fossil specimen was slightly imperfect (i.e., the joins of fragments were not
184	smooth; Fig. 1), we slightly re-repaired the fossil specimen virtually using Amira 5.5 software
185	so that the joins of fragments were smooth and the repaired specimen had a more natural
186	appearance (Fig. 2).
187	In total, 195 landmarks were taken on the 3D surface models (left side of the face) using
188	Stratovan Checkpoint software (Stratovan Corporation, Sacramento, CA, USA; Fig. 3). This
189	included 22 anatomically defined landmarks, 42 semi-landmarks on curves, and 131 semi-
190	landmarks on the surface (SOM Table S4). Missing landmarks (16 landmarks in nine
191	specimens) were estimated by deforming the configurations of the weighted average of the
192	complete dataset for the same species using a thin-plate spline (TPS) interpolation calculated
193	from the available landmarks. The semi-landmarks were slid on curves or surfaces so that the
194	bending energy of TPS deformation was minimal (Gunz et al., 2005). These procedures were
195	performed using the package "Morpho" version 2.5.1 (Schlager, 2017) in R software version
196	3.4.1 (R Core Team, 2017). Following sliding, the semi-landmarks were treated in the same

197 way as the homologous landmarks in subsequent analyses.

198	All of the final coordinates were subjected to generalized Procrustes analysis (GPA),
199	which registered the landmark configurations across all individuals to produce Procrustes
200	coordinates. The centroid size was simultaneously calculated as the square root of the sum of
201	the square distances of all landmarks from their centroid. To evaluate non-allometric
202	variations, we also produced size-adjusted shape components by calculating the residuals of
203	the multiple regression of Procrustes coordinates on natural-logarithmically transformed
204	centroid size (lnCS) using MorphoJ version 1.06d (Klingenberg, 2011), wherein 16.2% of
205	the shape variance was explained by $\ln CS$ ($p < 0.0001$).
206	We then calculated species mean values. To do this, GPA was conducted for each
207	species, and the mean shapes of the 15 operational taxonomic units (the 14 extant species and
208	the fossil specimen) were then subjected to another GPA. A size-adjusted shape component
209	was then calculated in the same way as mentioned above but on a species mean basis, wherein

- 210 27.2% of the shape variance was explained by $\ln CS$ (p = 0.0012).
- The individual values were used in the phenetic analyses, while the species mean values
 were used in the phylogenetic comparative and cladistic analyses. Each of these analyses was

213 conducted twice using the raw and size-adjusted shape data.

214 *2.5. Phenetic analyses*

215 The phenetic affinity of the fossil specimen was evaluated to assign it to the 216 morphospace of the extant species. To detect shape vectors that exhibited interspecies 217 differences, between-group principal component analyses (bgPCAs) were performed. The bgPCA is the projection of data onto the principal components of the group means 218 219 (Mitteroecker and Bookstein, 2011). In this study, the species means for extant species were 220 included in the calculation of the covariance matrix, following which the individuals of extant 221 species and the fossil specimens were projected onto the bgPCA axes. This step was 222 performed using the "plotTangentSpace" function of the "geomorph" package with some modifications. Shape changes along the bgPC axes were visualized using R, Amira 5.5, and 223 224 Rapidform XOS3 64.

Procrustes distances were calculated from the fossil specimen to all extant individuals
to quantify its phenetic affinity to extant species using the R package "shapes" version 1.2.0
(Dryden, 2016).

14

228 2.6. Phylogenetic comparative analyses

229 Phylogenetic comparative analyses were performed to evaluate the phylogenetic significance and evolutionary polarity of zygomaxillary shape. A phylomorphospace 230 231 (Sidlauskas, 2008) was obtained to reconstruct the evolutionary changes in zygomaxillary shape along the tree using the "plotGMPhyloMorphoSpace" function of the "geomorph" 232 233 package with some modifications. A phylomorphospace is a PCA of the species mean values 234 and the inferred ancestral shapes, with the phylogeny mapped onto the plane of the PCs. This 235 was produced using only the extant species (and the inferred ancestral nodes), following 236 which the fossil specimen was extrapolated into the PC axes. The phylogenetic signal in zygomaxillary shape was evaluated using the "physignal" function of the "geomorph" 237 package. The significance of the phylogenetic signal was then tested using permutation 238 239 procedures with 9,999 iterations.

240 *2.7. Cladistic analyses*

A phylogenetic morphometric approach (Catalano et al., 2010; Goloboff and Catalano, 242 2011) was used to estimate the phylogenetic relationship between the fossil specimen and the 243 extant species examined. This approach parsimoniously detects the ancestral points that

244	minimize the distance between the ancestor and descendant points along the tree and has been
245	shown to have a better performance than other landmark-based cladistic approaches
246	(Catalano and Torres, 2016). We performed the cladistic analyses using the species mean
247	values of the Procrustes coordinates with the Windows GUI version of TNT 1.5 software
248	(Goloboff et al., 2008; Goloboff and Catalano, 2016), setting <i>M. sylvanus</i> as an outgroup and
249	searching for the best tree with and without monophyly constraints. Considering previous
250	molecular phylogenetic studies of Alu elements (Li et al., 2009; Jiang et al., 2016), the
251	constraints were forced as follows: [([arc, ass, thi], [rad, sin]), ([fus, mul, cyc], fas)], (leo, sil,
252	sib, nem); the fossil was allowed to move freely (for abbreviations, see Table 1). Landmark
253	optimization was set as six grid cells and one nesting with iterations. A traditional search was
254	conducted, which consisted of Wagner trees with 10 replications followed by tree bisection
255	reconnection. Resampling was performed to evaluate phylogenetic uncertainty, wherein
256	symmetric resampling with 33% change probability was conducted, and the frequency
257	difference (GC values; Goloboff, 2003) was calculated.

3. Results

259 *3.1. Internal structures*

260	The internal structures of the maxilla in the extant species are shown in Figure 4. The
261	large-bodied species of the sinica group, namely M. arctoides, M. assamensis, and M.
262	thibetana, often exhibited a laterally expanded nasal cavity with thin maxillary walls, which
263	was well represented at the M ¹ level (this corresponds approximately to the level at which the
264	nasal cavity shows a maximum width). By contrast, other species, including members of the
265	fascicularis, silenus, and sylvanus groups, usually had limited lateral expansion of the nasal
266	cavity and a relatively thick maxillary wall.
267	In the fossil specimen, the lateral and upper walls of the nasal cavity were broken (Fig.
268	5). Nevertheless, based on the surrounding structures, it appeared that the nasal cavity was
269	not as greatly expanded laterally as those of the large-bodied species of the <i>sinica</i> group, and
270	the maxillary walls were relatively thick.

- 271 *3.2. Phenetic affinities of zygomaxillary shape*
- The bgPCA for the raw shape data showed allometric and non-allometric variations in the zygomaxillary shape (Fig. 6). bgPC1 and bgPC2 accounted for 47.2% and 18.0% of the

274	total interspecies variance, respectively; bgPC1 was positively correlated with $\ln CS$ ($r = 0.70$,
275	p < 0.001), whereas bgPC2 was not ($r = 0.10$, $p = 0.238$). Individuals with more positive
276	bgPC1 scores (and thus larger lnCS) tended to have muzzles that were longer, superior-
277	inferiorly shorter, and narrower, had a more prominent canine alveolus, and were more
278	ventrally oriented at the anterior portion (Fig. 7). The intercept of this allometric trajectory
279	was significantly different among the species groups (ANCOVA, $p = 0.010$; the sylvanus
280	group was excluded from this analysis due to its small sample size), but their distributions
281	highly overlapped each other. Individuals with more positive bgPC2 scores tended to have
282	less prognathic and higher muzzles, with a wider posterior portion compared with the anterior
283	portion. The fascicularis and sylvanus groups had high bgPC2 scores compared with the other
284	two groups, although their distributions also considerably overlapped.
285	The bgPCA for the size-adjusted shape data exhibited non-allometric variations (Fig. 6),
286	with bgPC1 and bgPC2 accounting for 27.2% and 16.4% of this interspecies variance,
287	respectively. In contrast to the bgPCA for the raw shape data, bgPCA for the size-adjusted
288	shape data did not show a variation in facial elongation (Fig. 7). A more positive bgPC1 score
289	was associated with a more inferior deflection of the anterior portion of the muzzle against
290	the posterior portion. The shape changes, as well as interspecies group differences along the

bgPC2 axis, were almost identical to those shown for bgPC2 of the raw shape data, but in theopposite direction.

293	For the fossil specimen, lnCS and bgPC1 were approximately intermediate among the
294	macaques (Fig. 6). The bgPC2 showed that the fossil specimen had a less prognathic and
295	higher muzzle, and the posterior portion of the muzzle was wider than the anterior portion,
296	making it similar to members of the <i>fascicularis</i> and <i>sylvanus</i> groups. In particular, the fossil
297	specimen was closest to or within the ranges of variation for M. fuscata and M. mulatta, as
298	seen in the scatterplots of lnCS and bgPC scores. Figure 8 shows the Procrustes distances
299	from the fossil specimen to each of the examined individuals of extant species. For both the
300	raw and size-adjusted shape data, the fossil specimen was the most similar to M. fuscata and
301	the least similar to species of the silenus group. The other species were positioned
302	intermediate between these two extremes, but <i>M. thibetana</i> was similar to the fossil when
303	size was adjusted.

3.3. Phylogenetic comparisons

A moderate phylogenetic signal was detected in the raw shape data (K = 0.42, p = 0.0163) but was marginally significant in the size-adjusted shape data (K = 0.36, p = 0.0627).

307	The phylomorphospace demonstrated evolutionary changes in the zygomaxillary shape
308	(Fig. 9). For the raw shape data, PC1 and PC2 accounted for 50.4% and 17.5% of the variance,
309	respectively, and PC1 was positively correlated with lnCS ($r = 0.79$, $p = 0.0009$), whereas
310	PC2 was not ($r = 0.06$, $p = 0.831$). Shape changes along these PC axes showed similar patterns
311	to the bgPCA of the raw shape data (SOM Fig. S2). For the size-adjusted shape data, PC1
312	and PC2 accounted for 27.7% and 26.4% of the variance, respectively. More positive PC1
313	scores were associated with a more prominent canine alveolus and with the anterior portion
314	of the muzzles being deflected more inferiorly against the posterior portion. Shape changes
315	along PC2 were almost identical to those shown in the raw shape data, but in the opposite
316	direction.
317	The fossil specimen was positioned near the branch of <i>M. fuscata</i> for both the raw and
318	size-adjusted shape data (Fig. 9). The clade that included M. fuscata, M. mulatta, and M.
319	cyclopis, as well as M. sylvanus, deviated from the ancestral nodes in phylomorphospace.
320	Although the degree of deviation was limited compared with the two lineages, <i>M. thibetana</i>
321	also approached the derived fields of the phylomorphospace, particularly when size was
322	adjusted. Such deviations indicated that these lineages independently acquired similar derived
323	features (i.e., a less prognathic and higher muzzle, whose posterior portion was narrower than

324 the anterior portion).

325 *3.4. Cladistic analyses*

Cladistic analyses were performed based on the 3D landmark data from the 326 327 zygomaxillary region (Fig. 10). The unconstrained models reconstructed trees whose 328 topologies were quite different from the molecular phylogenetic trees (Li et al., 2009; SOM Fig. S1), indicating that there is some homoplasy in the zygomaxillary morphology. The best 329 330 tree scores, which indicate the sum of the differences between the shapes of the ancestor and 331 descendants for all tree branches (Goloboff and Catalano, 2016), were 3.21664 and 3.41040 for the raw and size-adjusted shape data, respectively. When the analyses were repeated with 332 333 monophyly constraints, which searched for the most parsimonious scenario within the constraints, the best tree scores were 3.70956 and 3.85731 for the raw and size-adjusted shape 334 335 data, respectively. In all four cases, the phylogenetic proximity between the fossil specimen and *M. fuscata* was supported. 336

4. Discussion

4.1. Patterns of zygomaxillary variation in extant species

339 We observed allometric variations in zygomaxillary shape among the extant species

340	examined. As commonly found in papionin crania (Singleton, 2002; Frost et al., 2003; Gilbert
341	and Grine, 2010; Ito et al., 2014a), we confirmed that larger individuals tended to have more
342	elongated and inferiorly deflected muzzles. Such allometric shape components should be
343	interpreted with care in phylogenetic studies because they can obscure phylogenetic signal.
344	For example, although bgPC1 showed that an elongated and inferiorly deflected muzzle is
345	characteristic of members of the <i>silenus</i> group, this feature is actually just a scaled-up version
346	of that found in small-bodied taxa. Similarly, the shape components that were represented by
347	PC1 of the phylomorphospace were also allometric and therefore problematic. Although
348	allometric shape components are potentially phylogenetically informative (Gilbert and Rossie,
349	2007; Gilbert et al., 2009), we were unable to detect clear differences in allometric vectors
350	among the four phylogenetic groups. Furthermore, although size itself may provide some
351	phylogenetic information, it is inappropriate to rely on a single trait (i.e., size) when inferring
352	phylogenetic relationships (see Pearson et al., 2015).
353	Non-allometric shape components were observed in two ways. First, bgPC2 and PC2 of

Non-allometric shape components were observed in two ways. First, bgPC2 and PC2 of phylomorphospace based on the raw shape data were independent of size, which can be interpreted as non-allometric. Second, the size-adjusted shape components, which were the residuals of a multiple regression of raw Procrustes shape components on lnCS (Monteiro, 1999; Drake and Klingenberg, 2008; Klingenberg, 2011), were free from allometric
associations, although they had also partially lost some phylogenetic information in the
process (see Gilbert, 2011). These non-allometric shape components did not include facial
elongation but could be characterized by the degrees of height and prognathism of the muzzle,
as well as some localized shape changes in the zygomaxillary region.

362 The phylogenetic significance and evolutionary polarity of zygomaxillary shape were 363 evaluated. The phylomorphospace suggested that a higher and less prognathic muzzle was a derived condition in macaques as shown in PC2, and this was observed in the clade that 364 365 includes *M. fuscata*, *M. mulatta*, and *M. cyclopis* of the *fascicularis* group, as well as *M.* 366 thibetana and M. sylvanus. These lineages all inhabit relatively cold environments, and therefore this shape change may reflect parallel adaptive evolution to a cold environment, 367 although further testing is required to verify this hypothesis. The degree of change along this 368 PC2 axis, however, varies among lineages; *M. fuscata* is located at the extreme end of this 369 370 axis (and thus has the highest and least prognathic muzzle), followed by *M. sylvanus*, close relatives of *M. fuscata* (*M. mulatta* and *M. cyclopis*), and *M. thibetana*. The difference in the 371 372 degree of the shape change among phylogenetic groups could be explained by phylogenetic constraints, as suggested by the finding that some phylogenetic signal is detected in the 373

zygomaxillary shape, although it is marginally significant for size-adjusted shape data.

375	In addition, previous studies have indicated that variations in the nasal cavity can be
376	explained by phylogeny but not by allometry (Ito et al., 2014b; Ito and Nishimura, 2016).
377	Here, the most notable characteristics are a laterally expanded nasal cavity and thin lateral
378	maxillary walls at the anterior portion of the muzzle, which are often seen in the three large-
379	bodied species of the sinica group (M. arctoides, M. assamensis, and M. thibetana). These
380	internal features are considered to be derived traits in macaques (Ito et al., 2009; Ito et al.,
381	2014b). Certainly, nasal cavity morphology also varies in relation to ecological adaptation
382	and developmental plasticity. For example, in modern humans, individuals in colder
383	environments tend to have a narrower nasal cavity (Noback et al., 2011), while in Japanese
384	macaques, individuals in colder environments tend to have a larger cross-sectional area of the
385	cavity (Rae et al., 2003); such clinal variations are generally considered to be a consequence
386	of adaptation to climate. Additionally, cold environment-reared rats have smaller nasal
387	cavities than a corresponding control group, which reflects developmental plasticity (Rae et
388	al., 2006). Nevertheless, it is difficult to explain, solely by such environmental factors, why
389	an expanded nasal cavity is often found in the three-large-bodied species of the sinica group
390	but is barely observed in other cold environment-inhabiting species such as M. sylvanus and

M. fuscata. Therefore, the derived traits of internal structures that are found in members of
the *sinica* group as well as those of zygomaxillary shape that are typically found in members
of the *fascicularis* and *sylvanus* groups are considered to be informative for estimating the
phylogenetic relationships of a fossil specimen.

395 *4.2. Characteristics of the Korean fossil specimen and their phylogenetic significance*

396 The Korean fossil specimen was most similar to *M. fuscata* in several characteristics. 397 However, it must be remembered that a phenetic affinity does not necessarily reflect 398 phylogenetic relatedness. The nasal cavity of the Korean fossil specimen exhibited moderate 399 lateral expansion, making it similar to members of the *fascicularis* group and some of the 400 other groups. However, this is not considered to be a derived character (Ito et al., 2014b) and so cannot be used to imply their phylogenetic relatedness. The Korean fossil specimen also 401 402 had a somewhat similar level of facial elongation to some other species, such as *M. fuscata*. 403 However, since this feature is largely associated with size, its reliability for phylogenetic 404 interpretation is also questionable. The long-standing controversies around the phylogenetic relationships of fossil macaques (Delson, 1977, 1980; Jablonski and Pan, 1988; Fooden, 405 1990; Pan and Yanzhang, 1995; Park and Lee, 1998; Ito et al., 2014b) could be partly ascribed 406 to the use of such confusing characteristics. 407

408	The Korean fossil specimen has a vertically high and less prognathic muzzle, which is
409	the same as the clade that includes <i>M. mulatta</i> , <i>M. cyclopis</i> , and <i>M. fuscata</i> , as well as <i>M</i> .
410	sylvanus. This shape component is independent of allometry and is probably a derived
411	condition in macaques. It is not likely that the Korean fossil is closely related to the African
412	species <i>M. sylvanus</i> . Among the three species of the clade, the Korean fossil was most similar
413	to <i>M. fuscata</i> , followed by <i>M. mulatta</i> , with features that lay within the range of intraspecific
414	variation for each. Furthermore, cladistic analyses also confirmed phylogenetic relatedness
415	between the Korean fossil specimen and M. fuscata. Although M. thibetana is similar to the
416	Korean fossil, particularly for the size-adjusted shape data, the degree of similarity is limited
417	compared with M. fuscata. Therefore, it is reasonable to consider that the Korean fossil
418	specimen is phylogenetically related to the clade that includes M. mulatta, M. cyclopis, and
419	M. fuscata, particularly to M. fuscata.
420	It should be noted here that this finding does not necessarily suggest a phylogenetic
421	closeness between members of the <i>fascicularis</i> group and the Chinese fossil species, namely
422	M. robusta and M. anderssoni. Although the fossil macaques discovered from the Korean
423	Peninsula are sometimes referred to as <i>M. robusta</i> (Park and Lee, 1998; Lee and Woo, 2005),
424	some or all of them may be actually unrelated to this fossil species. Further studies are

425 expected to elucidate the phylogenetic relationships among the fossil specimens in East Asia.

426 *4.3. Biogeographical and evolutionary implications*

427	The present study suggests that the Korean fossil specimen is phylogenetically related
428	to the <i>fascicularis</i> , rather than the <i>sinica</i> , group of macaques. Delson (1980) and Ito et al.
429	(2014b) suggested that the proto-members of the <i>sinica</i> group, for example, <i>M. anderssoni</i> ,
430	were largely distributed in northern China in the Early Pleistocene and that members of this
431	group may have retreated southward and been replaced by latecomers, that is, members of
432	the fascicularis group, likely by the Middle Pleistocene (Delson, 1980; Ito et al., 2014b). This
433	scenario is congruent with the present inference that the macaques living on the Korean
434	Peninsula in the late Middle to Late Pleistocene were related to the <i>fascicularis</i> group.

The late Middle to Late Pleistocene period is likely later than the divergence time between *M. fuscata* and *M. mulatta*, which is considered to be ca. 0.4–1.4 Mya based on molecular studies and fossil evidence from Japan (Fooden and Aimi, 2005; Fooden, 2006; Chu et al., 2007; Jiang et al., 2016). Therefore, the Korean macaque is not the direct ancestor of *M. fuscata* but rather belongs to a collateral line that diverged from the main line of *M. fuscata*, *M. mulatta*, or the common ancestral lineage of the two species. They are probably 441 remnants that had been retained in the Korean Peninsula. Alternatively, the Korean macaque 442 may be a subpopulation of Japanese macaques that had returned from the Japanese 443 archipelago. In light of the parsimony principle, the former scenario is more probable. In either case, the Korean lineage could have become extinct due to an inability to escape the 444 445 Korean Peninsula during the Late Pleistocene glacial period. 446 The zygomaxillary shape of the Korean fossil specimen is intermediate between M. 447 fuscata and M. mulatta but more similar to the former. It has previously been suggested that the distinct morphological characteristics of *M. fuscata* developed after its dispersal into the 448 449 Japanese archipelago (Fooden, 2006). However, assuming that the Korean macaque is not the 450 lineage that has returned from the Japanese archipelago, it follows that the morphological evolution of these features started before this dispersal. These findings fill in some of the 451 biogeographical and evolutionary gaps between *M. fuscata* and continental lineages, 452 453 potentially shedding light on cold adaptation in primates.

- 454 *4.4. Limitations of this study*
- 455 This study has several important limitations, particularly related to sample composition. 456 First, the sample size is small for both extant (n = 2-53/species) and fossil species (n = 1).

457	This limitation risks an underestimation of intraspecific variation and reduces the reliability
458	of species mean value estimation (Cardini and Elton, 2007; Schillaci and Schillaci, 2009).
459	Second, the sample includes not only wild-caught specimens but also captive and unknown-
460	origin specimens. We demonstrated that the morphological differences among specimen
461	sources were small compared with interspecies differences, but were significant in some cases
462	Therefore, our interpretation of the results, which mainly suggested a phylogenetic closeness
463	between the Korean lineage and <i>M. fuscata</i> , is not necessarily conclusive. In particular, <i>M</i> .
464	thibetana should remain a candidate for being the sister taxon of the Korean lineage, because
465	M. thibetana is somewhat similar to the Korean fossil in terms of zygomaxillary shape,
466	particularly when size is adjusted. Further studies with a larger sample size, which ideally
467	include only wild-caught specimens, will clarify our findings.

468 5. Conclusion

469 This study investigated the zygomaxillary morphology of the fossil macaque from the 470 Middle to Late Pleistocene of the Korean Peninsula compared with living macaque species. 471 We found that the Korean fossil is the most similar in zygomaxillary morphology to M. *fuscata*, followed by *M. mulatta*, and is phylogenetically related to the clade that includes *M*. 472

mulatta, *M. cyclopis*, and *M. fuscata* (particularly to *M. fuscata*). This finding, unlike
traditional scenarios, suggests that the morphological characteristics of *M. fuscata* could have
been formed before this group dispersed to the Japanese archipelago, potentially shedding
light on how primates adapted to a cold environment.

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711	
712	Figure legends
713	Figure 1. The zygomaxillary specimen $(2 - 12 - 2169)$ from the Durubong Cave Complex
714	(Site 2), South Korea. (a) Left lateral view, (b) right lateral view, (c) occlusal view, and
715	(d) dorsal view. The scale is in centimeters.
716	Figure 2. (a) Original surface model and (b) corrected surface model of the Durubong specimen.
717	The arrows denote fixing of the orientation of fragments (green and blue regions) and
718	filling of gaps in the main body (red region).
719	Figure 3. Landmarks used in this study. (a) Frontal view, (b) dorsal view, (c) left lateral view,
720	and (d) occlusal view. Anatomically defined landmarks: red; curve semi-landmarks:
721	blue; and surface semi-landmarks: green (see also SOM Table S4).
722	Figure 4. Coronal computed tomography (CT) images at the level of M ¹ in extant macaques.
723	(a-d) Species of the sinica group. Arrows denote the degree of lateral

Beijing, pp. 35–66 (in Chinese).

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expansion/constriction of the nasal cavity and the thickness of the lateral bony wall of the maxilla. The scale is in centimeters. An asterisk denotes the maxillary sinus.

726	Figure 5. Coronal computed tomography (CT) image (bottom left) and corresponding line-
727	drawn diagram at the level of M^1 (bottom right) in the Durubong fossil macaque
728	specimen ($2 - 12-2169$). Upper image illustrates where the cross-section is located.
729	The areas filled in gray and the dots on the line diagram indicate the bony structure and
730	plaster, respectively. Arrows denote the limited expansion of the nasal cavity and the
731	thick lateral bony wall of the maxilla. The scale is in centimeters.
732	Figure 6. Relationships between bgPC scores and the lnCS of the zygomaxillary region in the
733	fossil macaque specimen and extant macaque species. (a) bgPC1 based on the raw shape

data vs. lnCS, (b) bgPC2 based on the raw shape data vs. lnCS, (c) bgPC2 vs. bgPC1

- based on the raw shape data, and (d) bgPC2 vs. bgPC1 based on the size-adjusted shape
- data. Red (dashed-dotted lines), *sinica* group; green (dashed lines), *fascicularis* group;
- 737 blue (dotted lines), *silenus* group; yellow (solid lines), *sylvanus* group; black, the fossil
- 738 specimen (2 12 2169).



740	showing the locations of the polygons illustrated in this figure. (b) bgPC1 and (c) bgPC2
741	based on the raw shape data. (d) bgPC1 and (e) bgPC2 based on the size-adjusted shape
742	data. The upper row represents the lateral views of the zygomaxillary region, while the
743	lower column represents the dorsal views. The negative (left, blue) and positive (right,
744	red) extremes, and their superimposition (middle) are shown.
745	Figure 8. Procrustes distances from the fossil macaque specimen (Macaca cf. robusta) to all
746	examined individuals of extant species of macaques based on (a) the raw shape data and
747	(b) the size-adjusted shape data. Color indicates the species group (see the legend of
748	Fig. 6).
749	Figure 9. Phylomorphospace of (a) the raw shape data and (b) the size-adjusted shape data.
750	Color indicates the species group (see the legend of Fig. 6). Gray-filled circles indicate
751	the most recent common ancestor of all macaques.
752	Figure 10. Parsimonious phylogenetic trees inferred from the three-dimensional landmark data
753	from the zygomaxillary region of the fossil macaque specimen $(2 - 12 - 12 - 2169)$ and
754	extant species of macaques based on (a) the raw shape data with a monophyly constraint,
755	(b) the size-adjusted shape data with a monophyly constraint, (c) the raw shape data

without a monophyly constraint, and (d) the size-adjusted shape data without a
monophyly constraint. Numbers near the nodes indicate GC support value when the
landmarks were resampled. Asterisk indicates the fossil specimen.

































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sylvanus gr.

silenus gr.

fascicularis gr.

sinica gr.















Species group	Species	Abbreviation	n
sinica group	M. arctoides	arc	9
	M. assamensis	ass	10
	M. thibetana	thi	5
	M. radiata	rad	8
	M. sinica	sin	2
fascicularis group	M. fuscata	fus	53
	M. mulatta	mul	11
	M. cyclopis	сус	10
	M. fascicularis	fas	11
silenus group	M. leonina	leo	2
	M. silenus	sil	4
	M. siberu	sib	9
	M. nemestrina	nem	8
sylvanus group	M. sylvanus	syl	5

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Species	Institute ^a	Catalog number	ID for analysis	Data source ^b	Doi	Origin
M. arctoides	DKY	1473	DKY_1473	PRI CT		unknown
	JMC	3111	JMC_3111	PRI CT		captive
	NMNH	256825	NMNH_256825	Smithsonian 3D collection		wild
	PRI	1871	PRI_1871	KAS CT		captive
	PRI	2793	PRI_2793	PRI CT		captive
	PRI	291	PRI_291	PRI CT		captive
	PRI	4227	PRI_4227	KAS CT		unknown
	PRI	5846	PRI_5846	PRI CT		captive
	PRI	6434	PRI_6434	PRI CT		unknown
M. assamensis	PRI	1893	PRI_1893	PRI CT		wild
	PRI	2178	PRI_2178	KAS CT		unknown
	PRI	2208	PRI_2208	PRI CT		unknown
	PRI	2302	PRI_2302	PRI CT		unknown
	PRI	2303	PRI_2303	PRI CT		unknown
	PRI	3057	PRI_3057	PRI CT		captive
	PRI	4498	PRI_4498	PRI CT		unknown
	PRI	5015	PRI_5015	PRI CT		unknown
	PRI	5230	PRI_5230	KAS CT		unknown
	PRI	5342	PRI_5342	PRI CT		unknown

Table S2 Specimens used in this study.

M. cyclopis	DKY	1688	DKY_1688	PRI CT	unknown
	NMNH	296795	NMNH_296795	Smithsonian 3D collection	wild
	PRI	1358	PRI_1358	PRI CT	unknown
	PRI	4201	PRI_4201	PRI CT	captive
	PRI	4233	PRI_4233	PRI CT	unknown
	PRI	4486	PRI_4486	PRI CT	wild
	PRI	528	PRI_528	PRI CT	captive
	PRI	5847	PRI_5847	PRI CT	unknown
	PRI	6431	PRI_6431	PRI CT	captive
	PRI	9520	PRI_9520	PRI CT	unknown
M. fascicularis	DKY	995	DKY_995	PRI CT	unknown
	NMNH	121511	NMNH_121511	Smithsonian 3D collection	wild
	NMNH	317191	NMNH_317191	Smithsonian 3D collection	wild
	NMNH	573504	NMNH_573504	Smithsonian 3D collection	wild
	PRI	3046	PRI_3046	PRI CT	unknown
	PRI	4434	PRI_4434	KAS CT	unknown
	PRI	4477	PRI_4477	PRI CT	unknown
	PRI	4478	PRI_4478	PRI CT	unknown
	PRI	4703	PRI_4703	PRI CT	unknown
	PRI	5225	PRI_5225	PRI CT	captive
	PRI	6680	PRI_6680	PRI CT	captive

M. fuscata	HMCZ	37709	HMCZ_37709	MorphoSource	doi:10.17602/M2/M3043	wild
	HNCC	03-10	HNCC_03-10	PRI CT		wild
	HNCC	04-14	HNCC_04-14	PRI CT		wild
	HNCC	04-60	HNCC_04-60	PRI CT		wild
	HNCC	05-16	HNCC_05-16	PRI CT		wild
	HNCC	05-17	HNCC_05-17	PRI CT		wild
	HNCC	05-43	HNCC_05-43	PRI CT		wild
	HNCC	06-23	HNCC_06-23	PRI CT		wild
	HNCC	06-40	HNCC_06-40	PRI CT		wild
	HNCC	06-59	HNCC_06-59	PRI CT		wild
	HNCC	06-60	HNCC_06-60	PRI CT		wild
	PRI	1571	PRI_1571	PRI CT		wild
	PRI	2168	PRI_2168	PRI CT		wild
	PRI	2586	PRI_2586	PRI CT		wild
	PRI	3452	PRI_3452	PRI CT		wild
	PRI	4076	PRI_4076	PRI CT		wild
	PRI	4113	PRI_4113	PRI CT		wild
	PRI	4335	PRI_4335	PRI CT		wild
	PRI	4338	PRI_4338	PRI CT		wild
	PRI	4341	PRI_4341	PRI CT		wild
	PRI	5868	PRI_5868	PRI CT		wild

wild	I CT	PRI_6162	6162	PRI
wild	I CT	PRI_6470	6470	PRI
wild	I CT	PRI_6474	6474	PRI
wild	I CT	PRI_6498	6498	PRI
wild	I CT	PRI_6504	6504	PRI
wild	I CT	PRI_6833	6833	PRI
wild	I CT	PRI_7317	7317	PRI
wild	I CT	PRI_7381	7381	PRI
wild	I CT	PRI_7382	7382	PRI
wild	I CT	PRI_7385	7385	PRI
wild	I CT	PRI_8644	8644	PRI
wild	I CT	PRI_8658	8658	PRI
wild	I CT	PRI_8873	8873	PRI
wild	I CT	PRI_8885	8885	PRI
wild	I CT	PRI_8886	8886	PRI
wild	I CT	PRI_8889	8889	PRI
wild	I CT	PRI_8892	8892	PRI
wild	I CT	PRI_8893	8893	PRI
wild	I CT	PRI_8914	8914	PRI
wild	I CT	PRI_8974	8974	PRI
wild	I CT	PRI_9328	9328	PRI

	PRI	9332	PRI_9332	PRI CT		wild
	PRI	9340	PRI_9340	PRI CT		wild
	PRI	9361	PRI_9361	PRI CT		wild
	SNC	H22-170	SNC_H22-170	PRI CT		wild
	SNC	H23-077	SNC_H23-077	PRI CT		wild
	SNC	H23-285	SNC_H23-285	PRI CT		wild
	TPM	M1656	TPM_M1656	PRI CT		wild
	TPM	M1889	TPM_M1889	PRI CT		wild
	TPM	M3958	TPM_M3958	PRI CT		wild
	TPM	M529	TPM_M529	PRI CT		wild
	TPM	M659	TPM_M659	PRI CT		wild
M. leonina	AMNH	MO-11090	AMNH_MO-11090	MorphoSource		wild
	NMNH	241022	NMNH_241022	Smithsonian 3D collection		wild
M. mulatta	DKY	1682	DKY_1682	PRI CT		unknown
	HMCZ	26475	HMCZ_26475	MorphoSource	doi:10.17602/M2/M3052	wild
	KAS	41	KAS_0041	KAS CT		captive
	PRI	218	PRI_218	PRI CT		captive
	PRI	2200	PRI_2200	PRI CT		unknown
	PRI	223	PRI_223	PRI CT		captive
	PRI	224	PRI_224	PRI CT		captive
	PRI	242	PRI_242	PRI CT		captive

	PRI	3523	PRI_3523	PRI CT	unknown
	PRI	4408	PRI_4408	PRI CT	captive
	PRI	580	PRI_580	PRI CT	captive
M. nemestrina	DKY	2110	DKY_2110	PRI CT	unknown
	NMNH	123144	NMNH_123144	Smithsonian 3D collection	wild
	NMNH	154367	NMNH_154367	Smithsonian 3D collection	wild
	PRI	1849	PRI_1849	PRI CT	unknown
	PRI	2299	PRI_2299	PRI CT	captive
	PRI	2454	PRI_2454	KAS CT	unknown
	PRI	3055	PRI_3055	KAS CT	unknown
	PRI	4225	PRI_4225	PRI CT	captive
M. radiata	JMC	1483	JMC_1483	KAS CT	captive
	PRI	3052	PRI_3052	PRI CT	unknown
	PRI	6079	PRI_6079	PRI CT	captive
	PRI	6684	PRI_6684	PRI CT	captive
	PRI	6685	PRI_6685	PRI CT	captive
	PRI	6686	PRI_6686	PRI CT	captive
	PRI	7140	PRI_7140	PRI CT	captive
	PRI	9532	PRI_9532	PRI CT	captive
M. siberu	NMNH	546835	NMNH_546835	Smithsonian 3D collection	wild
	PRI	1324	PRI_1324	PRI CT	wild

	PRI	1338	PRI_1338	PRI CT	wild
	PRI	1344	PRI_1344	PRI CT	wild
	PRI	1347	PRI_1347	PRI CT	wild
	PRI	5072	PRI_5072	PRI CT	wild
	PRI	5079	PRI_5079	PRI CT	wild
	PRI	5080	PRI_5080	PRI CT	wild
	PRI	5095	PRI_5095	PRI CT	wild
M. silenus	JMC	2488	JMC_2488	PRI CT	captive
	JMC	4864	JMC_4864	PRI CT	captive
	JMC	5740	JMC_5740	PRI CT	captive
	NMNH	574135	NMNH_574135	Smithsonian 3D collection	wild
M. sinica	NMNH	15259	NMNH_15259	Smithsonian 3D collection	wild
	PRI	1886	PRI_1886	PRI CT	wild
M. sylvanus	JMC	1392	JMC_1392	PRI CT	captive
	JMC	4798	JMC_4798	KAS CT	captive
	JMC	5644	JMC_5644	KAS CT	captive
	JMC	6330	JMC_6330	PRI CT	captive
	NMNH	255979	NMNH_255979	Smithsonian 3D collection	wild
M. thibetana	AMNH	M-84472	AMNH_M-84472	MorphoSource	wild
	JMC	5722	JMC_5722	PRI CT	captive
	KPM	NF1001819	KPM_NF1001819	PRI CT	unknown

	NMNH	241163	NMNH_241163	Smithsonian 3D collection	wild
	PRI	4230	PRI_4230	KAS CT	unknown
Unknown (M.	CNUM	2 12 - 216	CNUM_2-12-2169	CNU CT	wild
cf. robusta)					

^a CNUM, the Chungbuk National University Museum, Chungcheongbuk-do, Korea; PRI, the Primate Research Institute, Kyoto University, Inuyama, Japan; JMC, the Japan Monkey Centre, Inuyama, Japan; KPM, the Kanagawa Prefectural Museum of Natural History, Odawara, Japan; DKY, the Department of Anatomy (Macro), Dokkyo Medical University, Mibu, Japan; KAS, the Laboratory of Physical Anthropology, Graduate School of Science, Kyoto University, Kyoto, Japan; AMNH, the American Museum of Natural History, New York, USA; NMNH, the National Museum of Natural History, Washington DC, USA; HMCZ, the Harvard Museum of Comparative Zoology, Cambridge, USA.

b PRI CT, the data scanned at the Primate Research Institute, Kyoto University, Inuyama, Japan; KAS CT, the data scanned at the the Laboratory of Physical Anthropology, Graduate School of Science, Kyoto University, Kyoto, Japan; CNU CT, the data scanned at the Chungbuk National University, Chungcheongbuk-do, Korea; the data downloaded from Smithsonian 3D collection (http://humanorigins.si.edu/evidence/3d-collection/primate); the data downloaded from MorphoSource (http://morphosource.org).

Response variable	Explanatory variable	R ²	SS	Df	F	Р
All (wild, captive, and unknown-origin specimens)						
bgPC1 of raw shape data	Origin	0.009	0.001	2	0.59	0.556
	Species	0.488	0.138	14	8.92	< 0.001
	Residuals		0.145	131		
bgPC2 of raw shape data	Origin	0.007	0.001	2	0.47	0.628
	Species	0.626	0.136	14	15.69	< 0.001
	Residuals		0.081	131		
bgPC1 of size-adjusted shape data	Origin	0.044	0.005	2	3.02	0.052
	Species	0.303	0.045	14	4.07	< 0.001
	Residuals		0.103	131		
bgPC2 of size-adjusted shape data	Origin	0.039	0.002	2	2.63	0.076
	Species	0.670	0.124	14	18.96	< 0.001
	Residuals		0.061	131		
Procrustes coordinates of raw shape data	Origin	0.015	0.019	2	1.95	< 0.001
	Species	0.335	0.422	14	6.13	< 0.001
	Residuals		0.644	131		
Procrustes coordinates of size-adjusted shape data	Origin	0.021	0.021	2	2.44	< 0.001
	Species	0.304	0.314	14	5.15	< 0.001
	Residuals		0.571	131		

Table S3 Test for the differences in zygomaxillary shape among the different origins of specimens.

Subset (wild and captive specimens)

bgPC1 of raw shape data	Origin	0.001	0.000	1	0.11	0.739
	Species	0.579	0.125	14	9.81	< 0.001
	Residuals		0.091	100		
bgPC2 of raw shape data	Origin	0.001	0.000	1	0.08	0.778
	Species	0.712	0.125	14	17.68	< 0.001
	Residuals		0.051	100		
bgPC1 of size-adjusted shape data	Origin	0.046	0.003	1	4.83	0.030
	Species	0.377	0.038	14	4.31	< 0.001
	Residuals		0.063	100		
bgPC2 of size-adjusted shape data	Origin	0.025	0.001	1	2.59	0.111
	Species	0.732	0.116	14	19.49	< 0.001
	Residuals		0.043	100		
Procrustes coordinates of raw shape data	Origin	0.013	0.012	1	2.763	< 0.001
	Species	0.398	0.382	14	6.184	< 0.001
	Residuals		0.441	100		
Procrustes coordinates of size-adjusted shape data	Origin	0.017	0.013	1	3.344	< 0.001
	Species	0.360	0.280	14	5.037	< 0.001
	Residuals		0.397	100		

ANOVA (Type II) was performed for the bgPC scores and Procrustes coordinates, wherein origin of specimen (captive, wild, and unknown) and species were used as explanatory variables. This was done for all sample and for the subset of sample (wild and captive). For these analyses, "procD.lm" function of "geomorph" package and "etasq" function of "heplots" package in R were used.

Table S4 Landmarks used in this study.

Abbreviation	Definition			
Anatomically-defined landmarks				
wpa	Point corresponding to largest width of piriform aperture.			
ns	Nasospinale.			
aif	Antero-sperior point of incisive foramen.			
pif	Posterior-most point of incisive foramen.			
lif	Lateral-most point of incisive foramen.			
pr	Prosthion.			
bia	Postero-labial point of lateral incisor alveolus.			
lia	Postero-lingual point of lateral incisor alveolus.			
bca	Antero-labial point of canine alveolus.			
lca	Antero-lingual point of canine alveolus.			
bp3	Labial P ³ : most mesial point on P ³ alveolus, projected labially onto alveolar margin.			
lp3	Lingual P ³ : most mesial point on P ³ alveolus, projected lingually onto alveolar margin.			
bp4	Labial P ⁴ : most mesial point on P ⁴ alveolus, projected labially onto alveolar margin.			
lp4	Lingual P ⁴ : most mesial point on P ⁴ alveolus, projected lingually onto alveolar margin.			
bm1	Labial M ¹ : contact point between P ⁴ and M ¹ , projected labially onto alveolar margin.			
lm1	Lingual M ¹ : contact point between P ⁴ and M ¹ , projected lingually onto alveolar margin.			
bm2	Labial M ² : contact point between M ¹ and M ² , projected labially onto alveolar margin.			
lm2	Lingual M ² : contact point between M ¹ and M ² , projected lingually onto alveolar margin.			

bm3	Labial M ³ : contact point between M ² and M ³ , projected labially onto alveolar margin.
lm3	Lingual M^3 : contact point between M^2 and M^3 , projected lingually onto alveolar margin.
dm3	Distal M ³ : most posterior point on M ³ alveolus, projected labially onto alveolar margin.
gpf	Most posterior point on the margin of greater palatine foramen.

Semi-landmarks on curves

Eight points on the superior midline of nasal bone.

Six points on the superior midline of premaxilla, which is between ns and pr.

Seven points on the inferior midline of maxilla, which is from pif to approximately the meeting point of maxilla and palatine.

Five points on the lateral margin of nasal aperture, which is between wpa and ns.

Ten points on the inferior margin of orbital opening.

Seven points on the inferior margin of zygomatic process.

Semi-landmarks on surface

One-hundred and thirty-two points on the lateral side of zygomaxilla.

Some of these definitions are in accordance with Cardini et al. (2007) and Ito and Nishimura (2016).


taxa using mtDNA and nuclear sequences. Blue horizontal bars represent the posterior 95% CI for the node ages.





7 **Figure S2.** Shape changes along PC axes in the phylomorphospace. (a) PC1 and (b) PC2 based on

8 the raw shape data. (c) PC1 and (d) PC2 based on the size-adjusted shape data. For more details, see the

9 legend of Figure 6 in the main text.