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2 **Title**

3 Mechanism of Electrolytic Reduction of SiO<sub>2</sub> at Liquid Zn Cathode in Molten CaCl<sub>2</sub>

4

5 **Authors**

6 Yuanjia Ma<sup>1</sup>, Akifumi Ido<sup>2</sup>, Kouji Yasuda<sup>2,3,\*</sup>, Rika Hagiwara<sup>2,\*</sup>, Takayuki Homma<sup>4,\*</sup>,

7 Toshiyuki Nohira<sup>1,\*<sup>Z</sup></sup>

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9 **Affiliations**

10 <sup>1</sup>Institute of Advanced Energy, Kyoto University, Gokasho, Uji, Kyoto 611-0011, Japan

11 <sup>2</sup>Graduate School of Energy Science, Kyoto University, Yoshida-honmachi, Sakyo-ku,

12 Kyoto 606-8501, Japan

13 <sup>3</sup>Agency for Health, Safety and Environment, Yoshida-honmachi, Sakyo-ku, Kyoto

14 606-8501, Japan

15 <sup>4</sup>Faculty of Science and Engineering, Waseda University, 3-4-1 Okubo, Shinjuku-ku,

16 Tokyo 169-8555, Japan

17 \*Electrochemical Society Active Member.

18 <sup>Z</sup>Corresponding author: nohira.toshiyuki.8r@kyoto-u.ac.jp

19

## 20 **Abstract**

21           The reaction mechanism of electrolytic reduction of SiO<sub>2</sub> at a liquid Zn cathode  
22 in molten CaCl<sub>2</sub> was investigated with the aim of establishing a new production process  
23 of solar-grade Si. Three types of Zn/SiO<sub>2</sub> contacting electrodes were prepared  
24 depending on the objectives. Cyclic voltammetry suggested two reduction mechanisms  
25 of SiO<sub>2</sub> at a Zn electrode. One is a direct electrolytic reduction that proceeds at  
26 potentials more negative than 1.55 V vs. Ca<sup>2+</sup>/Ca. The other is an indirect reduction by  
27 liquid Ca–Zn alloy at potentials more negative than 0.85 V. The both reduction  
28 mechanisms were confirmed to proceed at 0.60 V by electrolysis and immersion  
29 experiments. Impurity analysis by ICP-AES was conducted for the Si prepared by  
30 potentiostatic electrolysis at 0.60 V, and confirmed that the concentrations of the metal  
31 elements and P were lower than the target levels for primary Si before directional  
32 solidification process.

33

## 34 **1. Introduction**

35           Photovoltaic (PV) power generation has attracted attention as a source of green  
36 energy that can substitute the conventional fossil-based energy. The global production of

37 PV cells has experienced a rapid growth in the last decade. Accordingly, their  
38 production volume increased in the 21st century by a factor of approximately 250 i.e.,  
39 from 0.285 GW in 2000 to 75.5 GW in 2016. Among the many types of solar cells,  
40 crystalline Si solar cells accounted for 94.3% of the worldwide production in 2016<sup>1</sup>. The  
41 global production of high-purity crystalline Si also increased to 412,600 tons in 2016  
42 i.e., by a factor of approximately 18 over the level achieved in 2000<sup>2</sup>. Therefore,  
43 crystalline Si solar cells would most likely remain the main product of the PV industry  
44 in the long term.

45         The high-purity Si used in crystalline Si solar cells is known as solar-grade Si  
46 (SOG-Si), and requires a purity of 6–7N. Approximately 90% of SOG-Si is currently  
47 produced by the Siemens process<sup>3–5</sup>. To develop a next-generation production process  
48 for SOG-Si, purification of metallurgical-grade Si<sup>6–11</sup> and metallothermic reduction of  
49 silicon halides by metal reductants<sup>12–15</sup> were investigated. One of the metallothermic  
50 reduction processes proposed is a reduction of SiCl<sub>4</sub> by Zn, and is known as the Du Pont  
51 process, which was the commercial process for high-purity Si production before the  
52 Siemens process was introduced<sup>12</sup>. There are two major advantages of the Du Pont  
53 process. One is no formation of Si–Zn intermetallic compounds due to the low chemical  
54 affinity of Zn with Si<sup>16</sup>. The other is the easy removal of the unreacted Zn and ZnCl<sub>2</sub>

55 generated from the Si product because of their high vapor pressures.

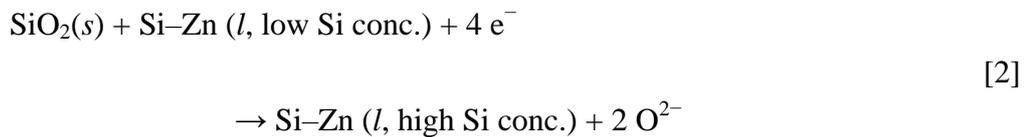
56 For the past two decades, we have been studying the direct electrolytic  
57 reduction of solid SiO<sub>2</sub> to Si in molten CaCl<sub>2</sub> as a new production process of SOG-Si<sup>17-</sup>  
58 <sup>20</sup>. Here, since purification of SiO<sub>2</sub> up to 6-7N is possible at low cost<sup>21,22</sup>, such purified  
59 SiO<sub>2</sub> is assumed to be used as the raw material<sup>22</sup>. In this process, electrochemical  
60 reduction of insulating SiO<sub>2</sub> is realized by using a SiO<sub>2</sub> contacting electrode, which  
61 provides the three-phase zone of conductor/SiO<sub>2</sub>/CaCl<sub>2</sub><sup>17</sup>.



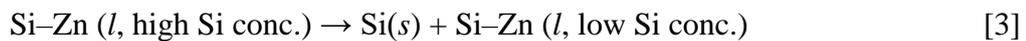
62 Several other research groups have also investigated the direct electrolytic reduction of  
63 SiO<sub>2</sub><sup>23-34</sup>. One of the challenges faced in the industrial application of this process is the  
64 efficient recovery and separation of the powdery Si product from unreacted SiO<sub>2</sub> and  
65 molten CaCl<sub>2</sub><sup>35</sup>.

66 Recently, we proposed an electrolytic reduction process of SiO<sub>2</sub> using a liquid  
67 Zn cathode in molten CaCl<sub>2</sub>. Since the electrolysis product is liquid Si-Zn alloy, its  
68 separation from unreacted SiO<sub>2</sub> and molten salt is expected to be easier than that  
69 entailed in the use of the conventional solid cathode<sup>36, 37</sup>. The choice of Zn as an  
70 alloying element stems from the very factors that render the Du Pont process  
71 advantageous, i.e., the use of Zn ensures the formation of no intermetallic compounds

72 with Si, and facilitates easy removal of both Zn and ZnCl<sub>2</sub>. Here, the most important  
73 point is that the existence of molten salt over liquid Zn effectively suppresses the  
74 evaporation of Zn even at high temperatures such as 1123 K. Figure 1 schematically  
75 illustrates the proposed process<sup>36,37</sup>. The overall process consists of three major steps:  
76 electrolysis, precipitation, and refining. In the electrolysis step, solid SiO<sub>2</sub> is reduced to  
77 form liquid Si–Zn alloy at a liquid Zn cathode.



78 In the precipitation step, solid Si is recovered by lowering the temperature of the liquid  
79 Si–Zn alloy.



80 Since the solubility of Si in liquid Zn is 6 at.% at 1123 K and 1 at.% at 923 K<sup>38</sup>, 5 at.%  
81 of solid Si with reference to Zn is theoretically recovered when the temperature is  
82 lowered from 1123 K to 923 K. After the precipitation step, the Si–Zn alloy with a low  
83 concentration of Si is reused as the cathode in the electrolysis step. The Si recovered is  
84 then subjected to the refining step: that entails vacuum refining to remove residual Zn  
85 and directional solidification to manufacture SOG-Si ingots.

86 In our previous study, the suppression of evaporation of Zn metal by covering it

87 with molten  $\text{CaCl}_2$  was confirmed at 1123 K<sup>36, 37</sup>. Moreover, the alloying rate between  
88 solid Si and liquid Zn was measured, and the formation of liquid Si–Zn alloy at a liquid  
89 Zn cathode was demonstrated<sup>36, 37</sup>. After potentiostatic electrolysis at 0.9 V, Si particles  
90 of sizes in the range 2–30  $\mu\text{m}$  were precipitated in the solidified Zn matrix. The  
91 formation of liquid Ca–Zn alloy (reaction [4]) was also suggested by cyclic  
92 voltammetry.



93 Based on these results, the electrolytic reduction of  $\text{SiO}_2$  at a liquid Zn cathode was  
94 considered to be a mixed mechanism of (A) direct electrolytic reduction of  $\text{SiO}_2$   
95 (reaction [5]), and (B) indirect reduction of  $\text{SiO}_2$  by liquid Ca–Zn alloy (reaction [6]).



96 However, the evidence of (B) i.e., indirect reduction was not seen, and the contributions  
97 of (A) and (B) were not investigated.

98 In the present study, a series of electrolysis and immersion experiments were  
99 conducted to confirm the indirect reduction of  $\text{SiO}_2$ . Based on the results, the mixed  
100 reduction mechanism was discussed in terms of reduction rate. Finally, impurity  
101 analysis was performed for the Si particles produced at the Zn cathode.

102

## 103 **2. Experimental**

104 All experiments were performed in a dry Ar atmosphere at 1123 K. An  $\text{Ag}^+/\text{Ag}$   
105 electrode was used as the reference electrode in the experiments. The experimental  
106 conditions for (a) cyclic voltammetry, (b) electrolytic reduction of  $\text{SiO}_2$  plates, and (c)  
107 electrolytic reduction of  $\text{SiO}_2$  particles are described below.

108

### 109 (a) Cyclic Voltammetry

110 Figure 2 shows a schematic illustration of the electrolysis cell for the  
111 observation of the reduction behavior of  $\text{SiO}_2$  at a liquid Zn cathode. Figure 3(a)  
112 schematically illustrates the structure of the liquid Zn working electrode for cyclic  
113 voltammetry. Approximately 70 g of Zn (Wako Pure Chemical Corp., reagent grade,  
114 granule) was charged into a small  $\text{Al}_2\text{O}_3$  crucible (AS ONE, o.d. 45 mm  $\times$  height 36 mm,  
115  $>99\%$ ). The small  $\text{Al}_2\text{O}_3$  crucible was placed at the bottom of another  $\text{Al}_2\text{O}_3$  crucible  
116 (AS ONE, o.d. 90 mm  $\times$  i.d. 80 mm  $\times$  height 140 mm,  $>99\%$ ), and approximately 500 g  
117 of  $\text{CaCl}_2$  (Kojundo Chemical Laboratory Co., Ltd.,  $>99\%$ ) was charged. A W wire (The  
118 Nilaco Corporation, diameter 2.0 mm, 99.95%) threaded into an  $\text{Al}_2\text{O}_3$  tube (Nikkato  
119 Corp., SSA-S grade, o.d. 6.0 mm  $\times$  i.d. 4.0 mm) or a  $\text{SiO}_2$  tube (Soei Riken Corp., o.d.

120 6.0 mm × i.d. 2.0 mm) was used as the current lead and immersed in the liquid Zn in the  
121 small Al<sub>2</sub>O<sub>3</sub> crucible. The direct electrolytic reduction of SiO<sub>2</sub> occurs at the three-phase  
122 interface of (Al<sub>2</sub>O<sub>3</sub> or SiO<sub>2</sub>)/CaCl<sub>2</sub> (l)/Zn (l), as shown in Fig. 3(a). For comparison, a  
123 square-shaped plate of single-crystal Si (ca. 30 mm × 5 mm × 0.5 mm, p-type, (100),  
124  $1.5\text{--}3.0 \times 10^{-3} \Omega \text{ cm}$  at 298 K) was also used as the working electrode. The counter  
125 electrode was a square graphite bar (Toyo Tanso Co., Ltd., 5 mm × 5 mm × height 50  
126 mm).

127

#### 128 (b) Electrolytic reduction of SiO<sub>2</sub> plates

129 Figure 3(b) shows a schematic illustration of the liquid Zn cathode for the  
130 investigation of the effect of indirect reduction of SiO<sub>2</sub> by liquid Ca–Zn alloy.  
131 Approximately 125 g of Zn was charged into a small ZrO<sub>2</sub> crucible (AS ONE, ZrO<sub>2</sub>  
132 91.5%, Y<sub>2</sub>O<sub>3</sub> 8%, o.d. 60 mm × i.d. 52 mm × height 35 mm). The ZrO<sub>2</sub> crucible was  
133 placed in a graphite crucible (Toyo Tanso Co., Ltd., IG-110 grade, o.d. 100 mm × i.d. 90  
134 mm × height 120 mm) to which a graphite rod (Toyo Tanso Co., Ltd., IG-110 grade,  
135 diameter 9 mm) was fixed using a stainless steel screw. Approximately 500 g of CaCl<sub>2</sub>  
136 was charged into the graphite crucible. A SiO<sub>2</sub> plate (Soei Riken Corp., 25 mm × 10 mm  
137 × thickness 1 mm), fixed to an insulating tube of Al<sub>2</sub>O<sub>3</sub> (Nikkato Corp., SSA-S grade,

138 o.d. 2.5 mm × i.d. 1.5 mm) by winding a Mo wire (The Nilaco Corporation, diameter  
139 0.20 mm, 99.95%), was immersed into the liquid Zn in the small ZrO<sub>2</sub> crucible. The  
140 counter electrode was the graphite crucible, and the graphite rod was used as the current  
141 lead. The test piece prepared by electrolysis or immersion of SiO<sub>2</sub> plate was washed  
142 with distilled water to remove the salt, and then immersed in HCl aq. (3 wt.%, prepared  
143 from Fujifilm Wako Pure Chemical Corporation, reagent grade, 36 wt.%) overnight to  
144 dissolve the Zn metal on the surface of the plate. An optical microscope (Thanko Inc.,  
145 DILITE30) was used for surface observation, and the masses of the SiO<sub>2</sub> plates were  
146 measured before and after the experiment.

147

#### 148 (c) Electrolytic reduction of SiO<sub>2</sub> particles

149 Figure 4 schematically illustrates a cell for the electrolytic reduction of SiO<sub>2</sub>  
150 particles. A total of 335 g of Zn and 349 g of CaCl<sub>2</sub> were charged into an Al<sub>2</sub>O<sub>3</sub> crucible.  
151 After the temperature was raised to 1123 K, a total of 16.2 g of SiO<sub>2</sub> particles (Kojundo  
152 Chemical Laboratory Co., Ltd., 0.25–1.00 mm, 99.995%) was charged into molten salt  
153 uniformly using a quartz funnel. The SiO<sub>2</sub> particles floated on the surface of liquid Zn in  
154 molten CaCl<sub>2</sub> because the densities of liquid CaCl<sub>2</sub>, solid SiO<sub>2</sub>, and liquid Zn are 2.05 g  
155 cm<sup>-3</sup>, 2.2 g cm<sup>-3</sup>, and 5.9 g cm<sup>-3</sup>, respectively, at 1123 K. A W wire (The Nilaco

156 Corporation, diameter 1.0 mm, 99.95%) threaded into an alumina tube was used as the  
157 current lead for liquid Zn. The counter electrode was a graphite rod (Toyo Tanso Co.,  
158 Ltd., IG-110 grade, diameter 20 mm × height 40 mm) fixed to a thinner graphite rod  
159 (Toyo Tanso Co., Ltd., IG-110 grade, diameter 9 mm × height 500 mm). The sample  
160 obtained after electrolysis was cooled from 1123 K to 773 K for 35 h, then maintained  
161 at 773 K for 10 h, and further cooled to 298 K for 5 h. The ingot of Zn metal was  
162 recovered after the removal of CaCl<sub>2</sub> by flowing water. The Zn metal ingot was  
163 dissolved in HCl aq. (20 wt.%). The particles recovered after the dissolution of HCl  
164 were further washed; they were alternately immersed in HCl aq. (10 wt.%) twice and in  
165 HF aq. (5 wt.%, prepared from Tama Chemicals Co., Ltd., AA-100 grade, 38 wt.%)  
166 once overnight. The analysis was conducted using X-ray diffraction (XRD, Rigaku,  
167 Ultima 4, Cu-K $\alpha$ ,  $\lambda = 1.5418 \text{ \AA}$ , 40 kV, 40 mA) and inductively coupled plasma atomic  
168 emission spectroscopy (ICP-AES; AMETEK, Inc., SPECTROBLUE).

169

### 170 **3. Result and Discussion**

#### 171 (a) Cyclic Voltammetry

172 Figure 5 shows the cyclic voltammograms at the liquid Zn (black color) and Si  
173 plate (red color) electrodes. As for the Si electrode, the cathodic and anodic current

174 peaks at around 1.3–1.4 V vs.  $\text{Ca}^{2+}/\text{Ca}$  correspond to the reduction of surface  $\text{SiO}_2$  film  
175 to metallic Si and the oxidation of Si into  $\text{SiO}_2$  layer, respectively<sup>35, 39</sup>. The smaller  
176 anodic current compared with the cathodic current is due to the passivation effect of the  
177 formed  $\text{SiO}_2$  layer. The redox at 0.5 V is attributed to  $\text{CaSi}_2/\text{Si}$ <sup>35, 39</sup>. The solid and  
178 broken black lines show the voltammograms at Zn electrodes using  $\text{Al}_2\text{O}_3$  and  $\text{SiO}_2$   
179 tubes, respectively. A sharp increase in cathodic current at 0.85 V is seen at both the Zn  
180 electrodes. Since the electrochemical reduction of  $\text{Al}_2\text{O}_3$  does not occur in the potential  
181 range measured<sup>40</sup>, the cathodic current is attributed to the formation of liquid Ca–Zn  
182 alloy, which was already confirmed by potentiostatic electrolysis at potentials more  
183 negative than 0.85 V<sup>37</sup>. In the case of the Zn electrode with  $\text{SiO}_2$ , the rest potential is  
184 1.55 V, which is more positive than that of the Si plate electrode (1.47 V), and the  
185 cathodic current is observed from the rest potential in the negative scan even at  
186 potentials more positive than 1.3 V. These results suggest the formation of liquid Si–Zn  
187 alloy with Si activity lower than unity with respect to pure solid Si. The cathodic current  
188 at the Zn electrode with  $\text{SiO}_2$  was 80 mA larger than that with  $\text{Al}_2\text{O}_3$ , which also  
189 suggests electrolytic reduction of  $\text{SiO}_2$  (reaction [5]).

190

191 (b) Electrolytic reduction of  $\text{SiO}_2$  plates

192 On the basis of the voltammetry results, potentiostatic electrolysis was first  
193 conducted at 0.60 V and then at 0.90 V. Specifically, the experiments were conducted in  
194 the following order: [A1] electrolysis at 0.90 V for 30 min, [B1] immersion for 30 min,  
195 [A2] electrolysis at 0.60 V for 30 min, and [B2] immersion for 30 min. Here, a new  
196 SiO<sub>2</sub> plate was used in each step. As a result, four samples, A1, B1, A2 and B2, were  
197 prepared. Since electrolysis was not conducted for the samples B1 and B2, the reduction  
198 of SiO<sub>2</sub> could be advanced only due to indirect reduction by liquid Ca–Zn alloy  
199 (reaction [6]). On the other hand, the reduction of the samples A1 and A2 could proceed  
200 by a mixed reduction mechanism comprising direct electrolytic reaction (reaction [5])  
201 and indirect reduction by liquid Ca–Zn alloy.

202 The optical images of the samples A1, B1, A2, and B2 are shown in Figure 6.  
203 For the sample A1, which was electrolyzed at 0.90 V, a change in color from transparent  
204 i.e., no color to dark brown is observed in a portion lower than the Zn/CaCl<sub>2</sub> interface (8  
205 mm from the bottom of the SiO<sub>2</sub> plate). Also, a decrease in plate thickness is clearly  
206 observed. In the case of the sample A2, which was electrolyzed at 0.60 V, the entire area  
207 below the Zn/CaCl<sub>2</sub> interface changed to dark brown in color. In this case, the plate  
208 became thinner, showing that a larger amount of the Si–Zn liquid alloy was produced.  
209 The thickness was more decreased near the three-phase interface of Zn/SiO<sub>2</sub>/CaCl<sub>2</sub> in

210 comparison with the two-phase interface of Zn/SiO<sub>2</sub> in liquid Zn. This is explained by  
211 higher solubility and faster diffusion of O<sup>2-</sup> ions in molten CaCl<sub>2</sub> compared with those  
212 in liquid Zn. As for the immersion sample B1, no dark brown part is observed,  
213 indicating no progress of indirect reduction. Concerning the sample B2, which was  
214 immersed after electrolysis at 0.60 V, the color of the immersed portion changed to dark  
215 brown. This is explained by the indirect reduction. The weight losses of the samples  
216 were 0.03 g (A1), 0.07 g (A2), 0.00 g (B1), and 0.03 g (B2).

217 By comparing the samples A1 and B1, it can be inferred that only the direct  
218 reduction of SiO<sub>2</sub> (reaction [5]) occurs at 0.90 V. On the other hand, the results for  
219 sample A2 and B2 conclude that comparable amount of reduction proceeded in the  
220 indirect reaction, i.e., reduction by liquid Ca–Zn alloy (reaction [6]), in the electrolysis  
221 at 0.60 V to the direct reduction.

222

### 223 (c) Electrolytic reduction of SiO<sub>2</sub> particles

224 To prepare a sample for impurity analysis, potentiostatic electrolysis of SiO<sub>2</sub>  
225 particles was conducted at 0.60 V for 50 h. Figure 7(a) shows a photograph of the  
226 granules recovered after the dissolution of Zn by HCl solution and the treatment of acid  
227 washing. The XRD analysis confirms that the granules are crystalline Si (Figure 7(b)).

228 The current efficiency ( $\eta$ ) is calculated by the following equations:

$$\eta = \frac{W_{\text{act.}}}{W_{\text{theo.}}} \times 100 \quad [7]$$

$$W_{\text{theo.}} = \frac{Q}{4F} \times M_{\text{Si}} \quad [8]$$

229 where  $Q$  is the quantity of electric charge during electrolysis,  $F$  is Faraday's constant  
230 (96485 C mol<sup>-1</sup>),  $M_{\text{Si}}$  is the molar weight of Si (28.1 g mol<sup>-1</sup>),  $W_{\text{act.}}$  is the actual weight  
231 of the Si recovered, and  $W_{\text{theo.}}$  is the theoretical weight of Si by Faraday's law in  
232 reaction [5]. From the values of  $W_{\text{act.}} = 3.02$  g and  $Q = 1.48 \times 10^5$  C,  $\eta$  is calculated to  
233 be 28%. One of the reasons for the low current efficiency is the loss during acid  
234 washing. Another is the formation of liquid Ca–Zn alloy as a side reaction; a part of it  
235 contributes to the indirect reduction of SiO<sub>2</sub> and the other remains as Ca–Zn alloy.

236 Table 1 lists the impurity contents of the Si granules after the treatment of acid  
237 washing. It also lists the acceptable levels for SOG-Si<sup>41</sup>, segregation coefficients<sup>7</sup>, and  
238 target levels for primary Si for directional solidification. For comparison, the impurity  
239 contents of the Si sample obtained by solid electrolytic reduction at 0.60 V for 5 h in  
240 molten CaCl<sub>2</sub> are also shown<sup>42</sup>. The impurity levels of the metallic elements (Al, Ca, Fe,  
241 and Ti) in the Si granules meet the target levels for the primary Si that is to be further  
242 purified by unidirectional solidification according to the process proposed in Figure 1.  
243 The content of P is also lower than the target level for the primary Si, and this is

244 noteworthy because the removal of P from elemental Si is known to be difficult. The  
245 content of B is higher than the target level, and this needs to be improved in the future.  
246 Overall, a comparison between the impurity concentrations in the Si products obtained  
247 by electrolysis at a liquid Zn cathode and at a solid electrode indicates that higher purity  
248 is achieved by the use of the liquid Zn electrode. The main reason for the higher purity  
249 is the solidification refining during the precipitation of Si from the Si–Zn alloy wherein  
250 most impurities remain in the liquid Zn phase. It should be mentioned that the Ca  
251 concentration is lower than the target level for the primary Si in spite of the use of  
252 indirect reduction by liquid Ca–Zn at 0.60 V. Calcium is also expected to be removed to  
253 the Zn phase during precipitation. Although a relatively large amount of Zn (6055  
254 ppmw) remained in the Si granules, this can be easily removed in the gas phase during  
255 the refining process owing to its high vapor pressure.

256

#### 257 **4. Conclusion**

258 The reaction mechanism of electrolytic reduction of SiO<sub>2</sub> at a liquid Zn cathode  
259 was investigated in molten CaCl<sub>2</sub> at 1123 K. Cyclic voltammetry suggested that  
260 electrolytic reduction of SiO<sub>2</sub> started from the rest potential (1.55 V), and liquid Ca–Zn  
261 alloy was formed at potentials more negative than 0.85 V. The progress of the indirect

262 reduction of SiO<sub>2</sub> by liquid Ca–Zn alloy was confirmed by electrolysis and immersion  
263 experiments. The reduction at 0.60 V proceeded by a mixed reduction mechanism of  
264 direct electrolytic reaction and indirect reduction by Ca–Zn alloy. Impurity analysis  
265 confirmed that the concentrations of the metal elements and P were lower than the target  
266 levels for primary Si. The indirect reduction of SiO<sub>2</sub> by liquid Ca–Zn does not increase  
267 the Ca content of the Si product because it is removed to the Zn phase during  
268 precipitation.

269

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277

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343 **Table and Figure captions**

344 Table 1 Impurity contents of Si granules obtained after acid leaching, and target levels  
345 for primary Si of SOG-Si. The electrolytic reduction of SiO<sub>2</sub> particles was  
346 conducted at 0.6 V for 50 h at a liquid Zn cathode in molten CaCl<sub>2</sub> at 1123 K.

347 Figure 1 Schematic drawing of SOG-Si production process using electrochemical  
348 reduction of SiO<sub>2</sub> powder at a liquid Si–Zn alloy cathode in molten CaCl<sub>2</sub>.<sup>36,37</sup>

349 Figure 2 Schematic illustration of the electrolysis cell for observation of SiO<sub>2</sub>  
350 reduction behavior at liquid Zn cathode. (a) Ag<sup>+</sup>/Ag reference electrode, (b)  
351 Ca<sup>2+</sup>/Ca dynamic reference electrode on a Mo wire, (c) liquid Zn electrode  
352 with Al<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> tube, (d) graphite counter electrode, (e) Al<sub>2</sub>O<sub>3</sub> crucible, (f)  
353 molten CaCl<sub>2</sub>, (g) small Al<sub>2</sub>O<sub>3</sub> crucible, and (h) liquid Zn.

354 Figure 3 Schematic illustrations of the liquid Zn electrode for (a) cyclic voltammetry  
355 and (b) electrolytic reduction of SiO<sub>2</sub> plate.

356 Figure 4 Schematic illustration of the electrolysis cell for the electrolytic reduction of  
357 SiO<sub>2</sub> particles. (a) Ag<sup>+</sup>/Ag reference electrode, (b) Ca<sup>2+</sup>/Ca dynamic reference  
358 electrode on a Mo wire, (c) W lead wire, (d) graphite counter electrode, (e)  
359 Al<sub>2</sub>O<sub>3</sub> crucible, (f) molten CaCl<sub>2</sub>, (g) SiO<sub>2</sub> particle, and (h) liquid Zn.

360 Figure 5 Cyclic voltammograms for liquid Zn electrode with an Al<sub>2</sub>O<sub>3</sub> tube or a SiO<sub>2</sub>

361 tube (left axis) and for Si plate electrode (right axis) in molten  $\text{CaCl}_2$  at 1123

362 K. Scan rate:  $100 \text{ mV s}^{-1}$ .

363 Figure 6 Optical images of the  $\text{SiO}_2$  plates after electrolysis at liquid Zn electrode or

364 immersion into liquid Zn for 30 min in molten  $\text{CaCl}_2$  at 1123 K. (a)

365 potentiostatic electrolysis at 0.9 V, (b) immersion after electrolysis (a), (c)

366 potentiostatic electrolysis at 0.6 V, and (d) immersion after electrolysis (c).

367 Figure 7 (a) An optical image and (b) XRD pattern of the Si granules obtained after

368 acid leaching of Zn ingots. The electrolytic reduction of  $\text{SiO}_2$  particles was

369 conducted at 0.6 V for 50 h at a liquid Zn cathode in molten  $\text{CaCl}_2$  at 1123 K.

370

371

372 **Table 1.** Impurity contents of Si granules obtained after acid leaching, and target levels  
 373 for primary Si of SOG-Si. The electrolytic reduction of SiO<sub>2</sub> particles was conducted at  
 374 0.6 V for 50 h at a liquid Zn cathode in molten CaCl<sub>2</sub> at 1123 K.

Impurity element, A	Acceptable level for SOG-Si <sup>41</sup> , $x_{A(\text{SOG-Si})} / \text{ppmw}$	Segregation coefficient <sup>7</sup> , $k_A^\circ$	Target level for primary Si <sup>a</sup> , $x_{A(\text{primary})} / \text{ppmw}$	Impurity content of Si granules by electrochemical reduction at liquid Zn cathode, $x_A / \text{ppmw}$ <sup>b</sup>	Impurity content of Si by direct electrochemical reduction <sup>42</sup> , $x_A / \text{ppmw}$ <sup>c</sup>
B	0.1–0.3	0.8	0.13–0.38	1.5	2.6
P	0.03–0.04	0.35	0.086–0.4	<0.2	6.4
Al	<0.1	$2 \times 10^{-3}$	<50	8	600
Ca	<0.2	$1.6 \times 10^{-3}$	<125	85	5800
Fe	<0.1	$8 \times 10^{-6}$	<12500	110	30
Ti	< $10^{-3}$	$9 \times 10^{-6}$	<100	25	19
Zn	— <sup>d</sup>	— <sup>d</sup>	— <sup>d</sup>	6055	2.1

375 a:  $x_{A(\text{primary})} = x_{A(\text{SOG-Si})} / k_A^\circ$

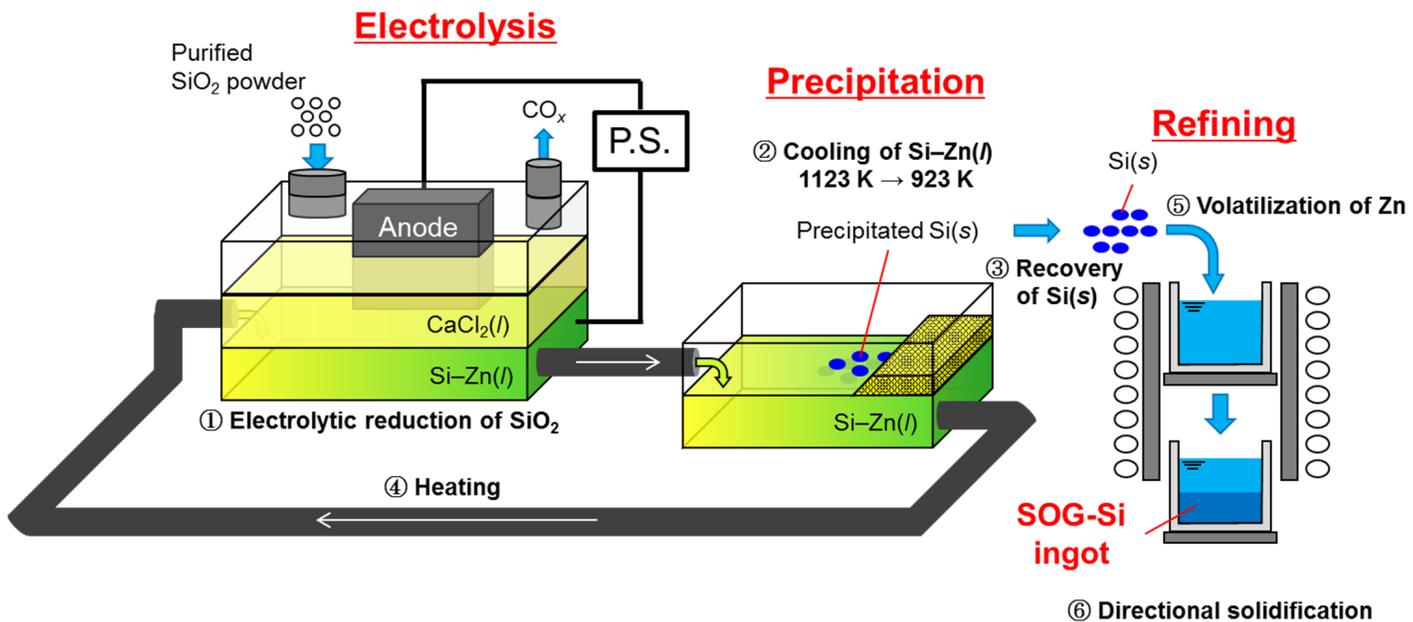
376 b: Analyzed by ICP-AES

377 c: Analyzed by glow discharge-mass spectrometry (GD-MS)

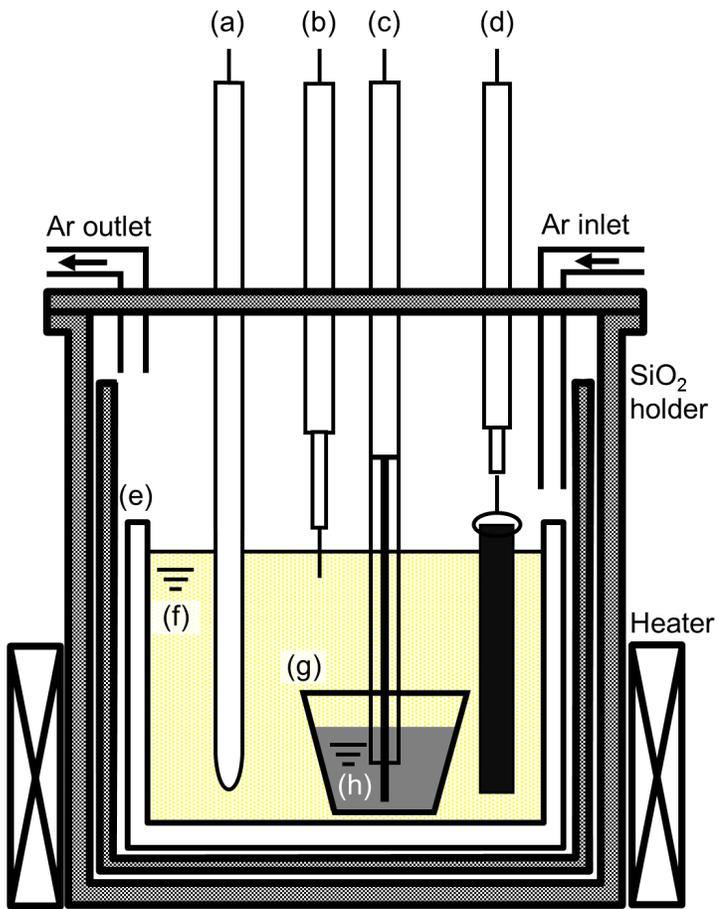
378 d: No data

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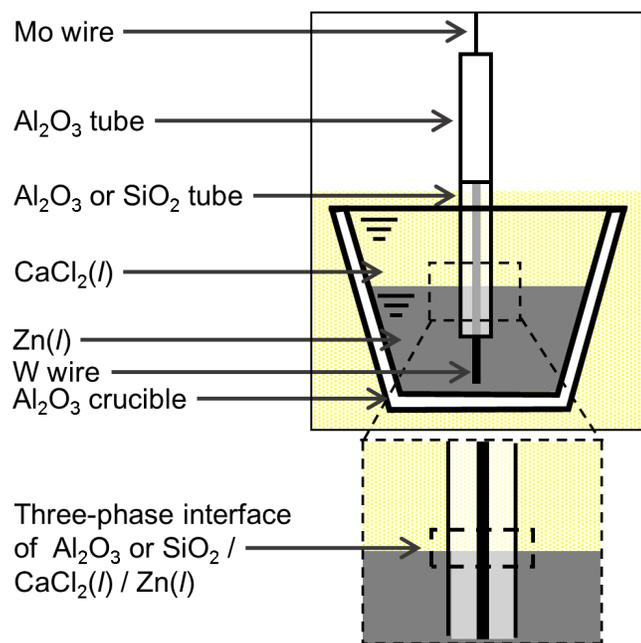


**Figure 1.** Schematic drawing of SOG-Si production process using electrochemical reduction of  $\text{SiO}_2$  powder at a liquid Si-Zn alloy cathode in molten  $\text{CaCl}_2$ .<sup>38</sup>

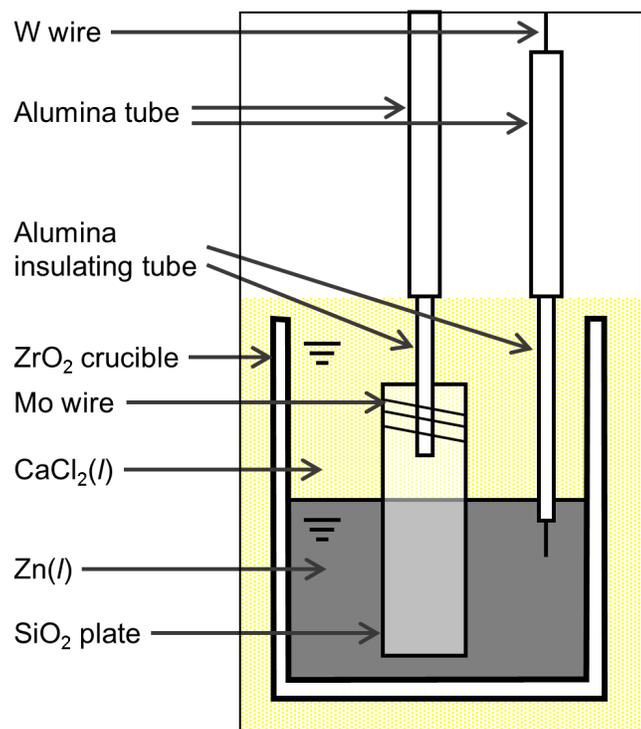


**Figure 2.** Schematic illustration of the electrolysis cell for observation of SiO<sub>2</sub> reduction behavior at liquid Zn cathode. (a) Ag<sup>+</sup>/Ag reference electrode, (b) Ca<sup>2+</sup>/Ca dynamic reference electrode on a Mo wire, (c) liquid Zn electrode with Al<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> tube, (d) graphite counter electrode, (e) Al<sub>2</sub>O<sub>3</sub> crucible, (f) molten CaCl<sub>2</sub>, (g) small Al<sub>2</sub>O<sub>3</sub> crucible, and (h) liquid Zn.

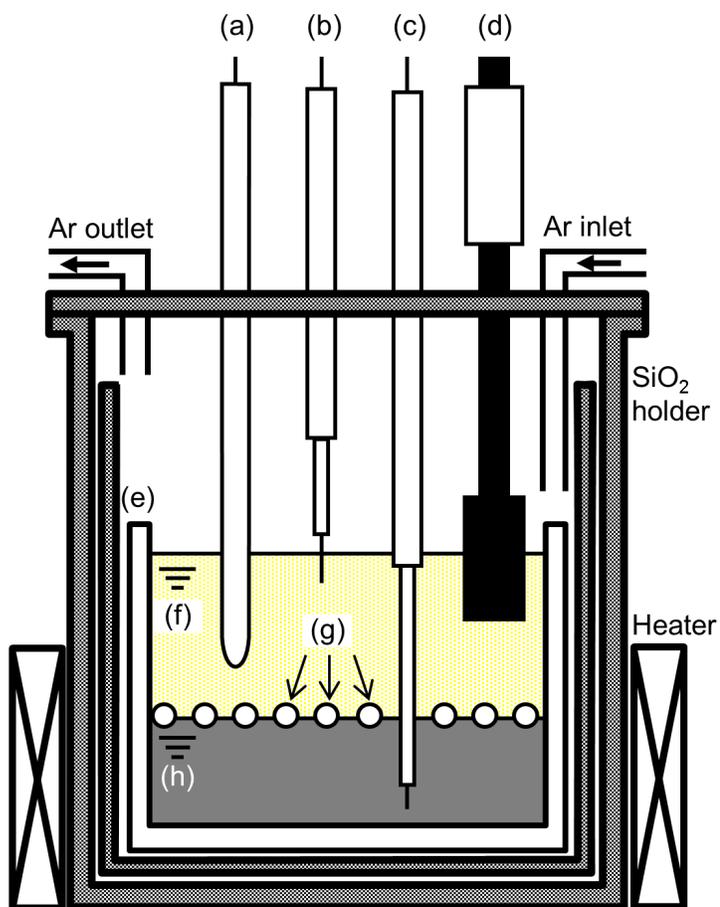
(a)



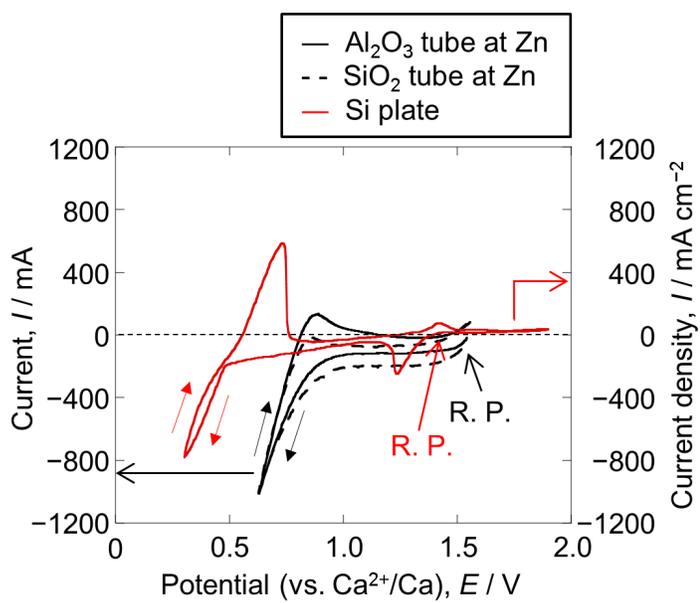
(b)



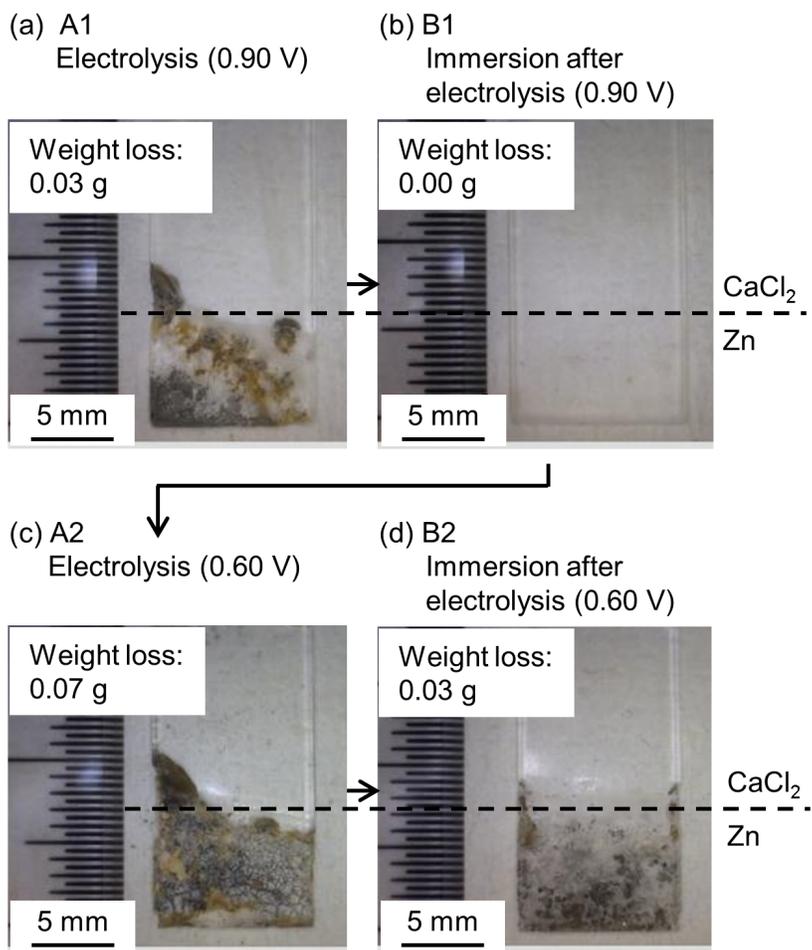
**Figure 3.** Schematic illustrations of the liquid Zn electrode for (a) cyclic voltammetry and (b) electrolytic reduction of  $\text{SiO}_2$  plate



**Figure 4.** Schematic illustration of the electrolysis cell for the electrolytic reduction of SiO<sub>2</sub> particles. (a) Ag<sup>+</sup>/Ag reference electrode, (b) Ca<sup>2+</sup>/Ca dynamic reference electrode on a Mo wire, (c) W lead wire, (d) graphite counter electrode, (e) Al<sub>2</sub>O<sub>3</sub> crucible, (f) molten CaCl<sub>2</sub>, (g) SiO<sub>2</sub> particle, and (h) liquid Zn.

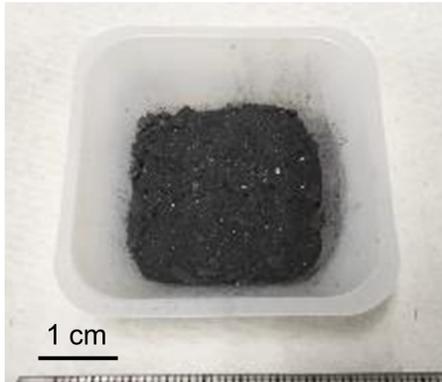


**Figure 5.** Cyclic voltammograms for liquid Zn electrode with an Al<sub>2</sub>O<sub>3</sub> tube or a SiO<sub>2</sub> tube (left axis) and for Si plate electrode (right axis) in molten CaCl<sub>2</sub> at 1123 K. Scan rate: 100 mV s<sup>-1</sup>.

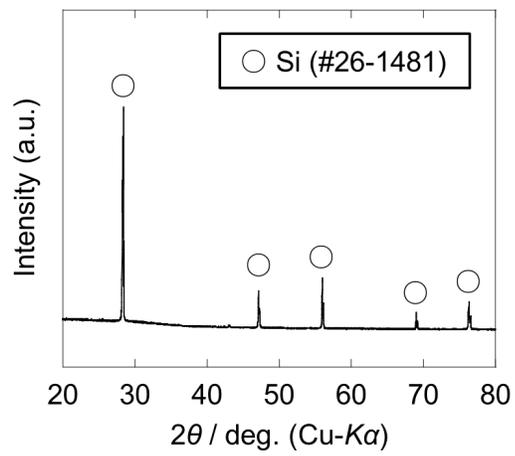


**Figure 6.** Optical images of the SiO<sub>2</sub> plates after electrolysis at liquid Zn electrode or immersion into liquid Zn for 30 min in molten CaCl<sub>2</sub> at 1123 K. (a) potentiostatic electrolysis at 0.9 V, (b) immersion after electrolysis (a), (c) potentiostatic electrolysis at 0.6 V, and (d) immersion after electrolysis (c).

(a)



(b)



**Figure 7.** (a) An optical image and (b) XRD pattern of the Si granules obtained after acid leaching of Zn ingots. The electrolytic reduction of  $\text{SiO}_2$  particles was conducted at 0.6 V for 50 h at a liquid Zn cathode in molten  $\text{CaCl}_2$  at 1123 K.