

Degenerate Bernoulli polynomials and poly-Cauchy polynomials

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1 Introduction

Carlitz [6, 7] defined the *degenerate Bernoulli polynomials* $\beta_n(\lambda, x)$ by means of the generating function

$$\left(\frac{t}{(1 + \lambda t)^{1/\lambda} - 1} \right) (1 + \lambda t)^{x/\lambda} = \sum_{n=0}^{\infty} \beta_n(\lambda, x) \frac{t^n}{n!}. \tag{1}$$

When $\lambda \rightarrow 0$ in (1), $B_n(x) = \beta_n(0, x)$ are the ordinary Bernoulli polynomials because

$$\lim_{\lambda \rightarrow 0} \left(\frac{t}{(1 + \lambda t)^{1/\lambda} - 1} \right) (1 + \lambda t)^{x/\lambda} = \frac{te^{xt}}{e^t - 1} = \sum_{n=0}^{\infty} B_n(x) \frac{t^n}{n!}.$$

When $\lambda \rightarrow 0$ and $x = 0$ in (1), $B_n = \beta_n(0, 0)$ are the classical Bernoulli numbers defined by

$$\frac{t}{e^t - 1} = \sum_{n=0}^{\infty} B_n \frac{t^n}{n!}. \tag{2}$$

The degenerate Bernoulli polynomials in λ and x have rational coefficients. When $x = 0$, $\beta_n(\lambda) = \beta(\lambda, 0)$ are called *degenerate Bernoulli numbers*. In [17], explicit formulas for the coefficients of the polynomial $\beta_n(\lambda)$ are found. In [27], a general symmetric identity involving the degenerate Bernoulli polynomials and the sums of generalized falling factorials are proved.

On the other direction, *hypergeometric Bernoulli polynomials* $B_{N,n}(z)$ (see, e.g., [19]) are defined by the generating function

$$\frac{e^{tx}}{{}_1F_1(1; N + 1; t)} = \sum_{n=0}^{\infty} B_{N,n}(x) \frac{t^n}{n!}, \tag{3}$$

where ${}_1F_1(a; b; z)$ is the confluent hypergeometric function defined by

$${}_1F_1(a; b; z) = \sum_{n=0}^{\infty} \frac{(a)^{(n)}}{(b)^{(n)}} \frac{z^n}{n!}$$

with the rising factorial $(x)^{(n)} = x(x + 1) \dots (x + n - 1)$ ($n \geq 1$) and $(x)^{(0)} = 1$. When $x = 0$ in (3), $B_{N,n} = B_{N,n}(0)$ are the hypergeometric Bernoulli numbers ([14, 15, 11, 12, 21]). When $N = 1$ in (3), $B_n(x) = B_{1,n}(x)$ are the ordinary Bernoulli polynomials. When $x = 0$ and $N = 1$ in (3), $B_n = B_{1,n}(0)$ are the classical Bernoulli numbers.

Many kinds of generalizations of the Bernoulli numbers have been considered by many authors. For example, Poly-Bernoulli number, Apostol Bernoulli numbers, various types of q -Bernoulli numbers, Bernoulli Carlitz numbers. One of the advantages of hypergeometric numbers is the natural extension of determinant expressions of the numbers.

The determinant expression of hypergeometric Bernoulli numbers ([2, 20]) are given by

$$B_{N,n} = (-1)^n n! \begin{vmatrix} \frac{N!}{(N+1)!} & 1 & & & \\ \frac{N!}{(N+2)!} & \frac{N!}{(N+1)!} & & & \\ \vdots & \vdots & \ddots & 1 & \\ \frac{N!}{(N+n-1)!} & \frac{N!}{(N+n-2)!} & \cdots & \frac{N!}{(N+1)!} & 1 \\ \frac{N!}{(N+n)!} & \frac{N!}{(N+n-1)!} & \cdots & \frac{N!}{(N+2)!} & \frac{N!}{(N+1)!} \end{vmatrix}. \quad (4)$$

The determinant expression for the classical Bernoulli numbers $B_n = B_{1,n}$ was discovered by Glaisher ([10, p.52]).

2 Hypergeometric degenerate Bernoulli numbers

Denote the generalized falling factorial by

$$(x|\alpha)_n = x(x - \alpha)(x - 2\alpha) \cdots (x - (n - 1)\alpha) \quad (n \geq 1)$$

with $(x|\alpha)_0 = 1$. When $\alpha = 1$, $(x)_n = (x|1)_n$ is the original falling factorial. Define *hypergeometric degenerate Bernoulli polynomials* $\beta_{N,n}(\lambda, x)$ by

$$\left({}_2F_1 \left(1, N - \frac{1}{\lambda}; N + 1; -\lambda t \right) \right)^{-1} (1 + \lambda t)^{x/\lambda} = \sum_{n=0}^{\infty} \beta_{N,n}(\lambda, x) \frac{t^n}{n!}, \quad (5)$$

where ${}_2F_1(a, b; c; z)$ is the Gauss hypergeometric function defined by

$${}_2F_1(a; b; z) = \sum_{n=0}^{\infty} \frac{(a)^{(n)}(b)^{(n)}}{(c)^{(n)}} \frac{z^n}{n!}.$$

When $x = 0$ in (5), $\beta_{N,n}(\lambda) = \beta_{N,n}(\lambda, 0)$ are the *hypergeometric degenerate Bernoulli numbers*. Since

$$\frac{t}{(1 + \lambda t)^{1/\lambda} - 1} = t \left(\sum_{n=1}^{\infty} \frac{(1 - \lambda|\lambda)_{n-1}}{n!} t^n \right)^{-1}$$

in (1), we can write

$$\begin{aligned} & {}_2F_1 \left(1, N - \frac{1}{\lambda}; N + 1; -\lambda t \right) \\ &= \left(\sum_{n=1}^{\infty} \frac{(1 - \lambda|\lambda)_{N-1}}{N!} t^N \right) \left(\sum_{n=N}^{\infty} \frac{(1 - \lambda|\lambda)_{n-1}}{n!} t^n \right)^{-1} \\ &= 1 + \sum_{n=1}^{\infty} \frac{(1 - \lambda|\lambda)_{N+n-1} N!}{(1 - \lambda|\lambda)_{N-1} (N+n)!} t^n \\ &= 1 + \sum_{n=1}^{\infty} \frac{(1 - N\lambda|\lambda)_n}{(N+n)_n} t^n. \end{aligned} \tag{6}$$

When $N = 1$, the definition (5) with (6) is reduced to that of degenerate Bernoulli polynomials by

$$\left(1 + \sum_{n=1}^{\infty} \frac{(1 - \lambda|\lambda)_n}{(n+1)!} t^n \right)^{-1} (1 + \lambda t)^{x/\lambda} = \sum_{n=0}^{\infty} \beta_n(\lambda, x) \frac{t^n}{n!}.$$

When $N = 1$ and $\lambda \rightarrow 0$, the definition (5) with (6) is reduced to that of the classical Bernoulli polynomials by

$$\left(1 + \sum_{n=1}^{\infty} \frac{t^n}{(n+1)!} \right)^{-1} e^{xt} = \sum_{n=0}^{\infty} B_n(x) \frac{t^n}{n!}.$$

We have the following recurrence relation of hypergeometric degenerate Bernoulli numbers $\beta_{N,n}(\lambda)$.

Proposition 1. For $N \geq 0$, we have

$$\beta_{N,n}(\lambda) = - \sum_{k=0}^{n-1} \frac{n!(1 - N\lambda|\lambda)_{n-k}N!}{(N + n - k)!k!} \beta_{N,k}(\lambda) \quad (n \geq 1)$$

with $\beta_{N,0}(\lambda) = 1$.

We have an explicit expression of $\beta_{N,n}(\lambda)$.

Theorem 1. For $n \geq 1$,

$$\beta_{N,n}(\lambda) = n! \sum_{k=1}^n (-N!)^k \sum_{\substack{i_1 + \dots + i_k = n \\ i_1, \dots, i_k \geq 1}} \frac{(1 - N\lambda|\lambda)_{i_1}}{(N + i_1)!} \dots \frac{(1 - N\lambda|\lambda)_{i_k}}{(N + i_k)!}.$$

There is an alternative form of $\beta_{N,n}(\lambda)$ by using binomial coefficients. The proof is similar to that of Theorem 1 and is omitted.

Theorem 2. For $n \geq 1$,

$$\beta_{N,n}(\lambda) = n! \sum_{k=1}^n (-N!)^k \binom{n+1}{k+1} \sum_{\substack{i_1 + \dots + i_k = n \\ i_1, \dots, i_k \geq 0}} \frac{(1 - N\lambda|\lambda)_{i_1}}{(N + i_1)!} \dots \frac{(1 - N\lambda|\lambda)_{i_k}}{(N + i_k)!}.$$

3 A determinant expression of hypergeometric degenerated Bernoulli numbers

Theorem 3. For $n \geq 1$, we have

$$\beta_{N,n}(\lambda) = (-1)^n n! \begin{vmatrix} \frac{(1-N\lambda)N!}{(N+1)!} & 1 & & & \\ \frac{(1-N\lambda|\lambda)_2 N!}{(N+2)!} & \frac{(1-N\lambda)N!}{(N+1)!} & & & \\ \vdots & \vdots & \ddots & & \\ \frac{(1-N\lambda|\lambda)_{n-1} N!}{(N+n-1)!} & \frac{(1-N\lambda|\lambda)_{n-2} N!}{(N+n-2)!} & \dots & 1 & \\ \frac{(1-N\lambda|\lambda)_n N!}{(N+n)!} & \frac{(1-N\lambda|\lambda)_{n-1} N!}{(N+n-1)!} & \dots & \frac{(1-N\lambda)N!}{(N+1)!} & 1 \end{vmatrix}.$$

Remark. When $\lambda \rightarrow 0$ in Theorem 3, we have a determinant expression of hypergeometric Bernoulli numbers $B_{N,n}$ in (4). If $\lambda \rightarrow 0$ and $N = 1$ in Theorem 3, we recover the classical determinant expression of the Bernoulli numbers B_n ([10, p.52]).

4 Applications by the Trudi’s formula

We shall use the Trudi’s formula to obtain different explicit expressions and inversion relations for the numbers $\beta_{N,n}(\lambda)$.

Lemma 1. *For a positive integer n , we have*

$$\begin{vmatrix} a_1 & a_0 & 0 & \cdots & \\ a_2 & a_1 & \ddots & & \vdots \\ \vdots & \vdots & \ddots & \ddots & 0 \\ a_{n-1} & & \cdots & a_1 & a_0 \\ a_n & a_{n-1} & \cdots & a_2 & a_1 \end{vmatrix} = \sum_{t_1+2t_2+\cdots+nt_n=n} \binom{t_1+\cdots+t_n}{t_1, \dots, t_n} (-a_0)^{n-t_1-\cdots-t_n} a_1^{t_1} a_2^{t_2} \cdots a_n^{t_n},$$

where $\binom{t_1+\cdots+t_n}{t_1, \dots, t_n} = \frac{(t_1+\cdots+t_n)!}{t_1! \cdots t_n!}$ are the multinomial coefficients.

This relation is known as Trudi’s formula [25, Vol.3, p.214],[26] and the case $a_0 = 1$ of this formula is known as Brioschi’s formula [4],[25, Vol.3, pp.208–209].

In addition, there exists the following inversion formula (see, e.g. [23]), which is based upon the relation

$$\sum_{k=0}^n (-1)^{n-k} \alpha_k D(n-k) = 0 \quad (n \geq 1)$$

or Cameron’s operator in ([5]).

Lemma 2. *If $\{\alpha_n\}_{n \geq 0}$ is a sequence defined by $\alpha_0 = 1$ and*

$$\alpha_n = \begin{vmatrix} D(1) & 1 & & & \\ D(2) & \ddots & \ddots & & \\ \vdots & \ddots & \ddots & \ddots & \\ D(n) & \cdots & D(2) & D(1) & \end{vmatrix}, \text{ then } D(n) = \begin{vmatrix} \alpha_1 & 1 & & & \\ \alpha_2 & \ddots & \ddots & & \\ \vdots & \ddots & \ddots & \ddots & 1 \\ \alpha_n & \cdots & \alpha_2 & \alpha_1 & \end{vmatrix}.$$

5 Generalized Stirling numbers

Hsu and Shiue [18] defined generalized Stirling number pairs by the generating function

$$k! \sum_{n=k}^{\infty} S(n, k; \alpha, \beta, r) \frac{t^n}{n!} = (1 + \alpha t)^{r/\alpha} \left(\frac{(1 + \alpha t)^{\beta/\alpha} - 1}{\beta} \right)^k$$

where $(\alpha, \beta) \neq (0, 0)$. The usual Stirling numbers of the first and second kinds $s(n, k)$ and $S(n, k)$ are given by the parameters $(\alpha, \beta, r) = (1, 0, 0)$ and $(\alpha, \beta, r) = (0, 1, 0)$, respectively. (When $\alpha = 0$ or $\beta = 0$ the equation is understood to mean the limit as $\alpha \rightarrow 0$ or $\beta \rightarrow 0$.) The parameters $(1, 0, -x)$ and $(0, 1, x)$ give Carlitz' weighted Stirling numbers of the first and second kinds, and the parameters $(1, \lambda, 0)$ give the degenerate Stirling numbers of Carlitz. Hsu and Shiue demonstrated that there is in general a duality between the generalized Stirling numbers with parameters (α, β, r) and $(\beta, \alpha, -r)$.

Carlitz [7] also defined the *degenerate Bernoulli polynomials of higher order* $\beta_n^{(w)}(\lambda, x)$ for $\lambda \neq 0$ by means of the generating function

$$\left(\frac{t}{(1 + \lambda t)^\mu - 1} \right)^w (1 + \lambda t)^{\mu x} = \sum_{n=0}^{\infty} \beta_n^{(w)}(\lambda, x) \frac{t^n}{n!},$$

where $\lambda\mu = 1$.

6 Convolution identities

Theorem 7. *If $k \geq w$ we have*

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n - j, k; \alpha, \beta, r) \beta_j^{(w)}(\lambda, x) \beta^j = \frac{\binom{n}{w}}{\binom{k}{w}} S(n - w, k - w; \alpha, \beta, r + \beta x)$$

where $\lambda\beta = \alpha$; and for $k \leq w$ we have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n - j, k; \alpha, \beta, r) \beta_j^{(w)}(\lambda, x) \beta^j = \binom{n}{k} \beta_{n-k}^{(w-k)}(\lambda, x + \frac{r}{\beta}) \beta^{n-k}.$$

6.1 Limiting cases

When $\lambda = 0$ our convolution involves the order w Bernoulli polynomials and weighted Stirling numbers of the second kind, and the result is either a weighted Stirling number of the second kind or a Bernoulli polynomial, depending on whether $k \geq w$.

Corollary 1. ($\lambda = 0$ case) *If $k \geq w$ we have*

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; 0, 1, r) B_j^{(w)}(x) = \frac{\binom{n}{w}}{\binom{k}{w}} S(n-w, k-w; 0, 1, r+x)$$

and for $k \leq w$ we have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; 0, 1, r) B_j^{(w)}(x) = \binom{n}{k} B_{n-k}^{(w-k)}(x+r).$$

When $\mu = 0$ our convolution involves the order w Bernoulli polynomials of the second kind and weighted Stirling numbers of the first kind, and the result is either a weighted Stirling number of the first kind or a Bernoulli polynomial of the second kind, depending on whether $k \geq w$.

Corollary 2. ($\mu = 0$ case) *If $k \geq w$ we have*

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; 1, 0, r) j! b_j^{(w)}(x) = \frac{\binom{n}{w}}{\binom{k}{w}} S(n-w, k-w; 1, 0, r+x)$$

and for $k \leq w$ we have

$$\sum_{j=0}^{n-k} \frac{S(n-j, k; 1, 0, r)}{(n-j)!} b_j^{(w)}(x) = \frac{b_{n-k}^{(w-k)}(x+r)}{k!}.$$

6.2 Zero-order cases

In this section we consider the specializations of the main result when either $k = 0$, $w = 0$, or $k - w = 0$. When $k = w$ the sum reduces to a single falling factorial or power; this occurs because $S(n, 0; \alpha, \beta, r) = (r|\alpha)_n$, where

$$(r|\alpha)_n = r(r-\alpha) \cdots (r-(n-1)\alpha)$$

denotes the generalized falling factorial with increment α , with convention $(r|\alpha)_0 = 1$ ([18, eq.(8)]).

Corollary 3. (*k = w case*) We have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; \alpha, \beta, r) \beta_j^{(k)}(\lambda, x) \beta^j = \binom{n}{k} (r + \beta x | \alpha)_{n-k}$$

where $\lambda\beta = \alpha$; in particular for $\lambda = 0$ we have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; 0, 1, r) B_j^{(k)}(x) = \binom{n}{k} (r + x)^{n-k}$$

and for $\mu = 0$ we have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; 1, 0, r) j! b_j^{(k)}(x) = \binom{n}{k} (r + x | 1)_{n-k}.$$

When $r = x = 0$ in the above corollary we obtain the orthogonality relations

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; \alpha, \beta, 0) \beta_j^{(k)}(\lambda) \beta^j = \delta_{n,k}$$

where $\delta_{n,k}$ is the Kronecker delta; in particular we have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k) B_j^{(k)} = \delta_{n,k}$$

and

$$\sum_{j=0}^{n-k} \binom{n}{j} s(n-j, k) j! b_j^{(k)} = \delta_{n,k}$$

in terms of the usual Stirling numbers $s(n, k)$ and $S(n, k)$ of the first and second kinds.

In the case $k = 0$ the generalized Stirling number disappears from the convolution and we obtain a recurrence involving Bernoulli polynomials only.

Corollary 4. (*k = 0 case*) We have

$$\sum_{j=0}^n \binom{n}{j} (r | \alpha)_{n-j} \beta_j^{(w)}(\lambda, x) \beta^j = \beta_n^{(w)}(\lambda, x + (r/\beta)) \beta^n$$

where $\lambda\beta = \alpha$; in particular for $\lambda = 0$ we have

$$\sum_{j=0}^n \binom{n}{j} r^{n-j} B_j^{(w)}(x) = B_n^{(w)}(x+r)$$

and for $\mu = 0$ we have

$$\sum_{j=0}^n \binom{r}{n-j} b_j^{(w)}(x) = b_n^{(w)}(x+r).$$

Note that the second equation ($\lambda = 0$) of this corollary is a well-known recurrence for Bernoulli polynomials, particularly in the case $x = 0$. The third equation ($\mu = 0$) does not appear to be so well known.

In the case $w = 0$ the Bernoulli polynomial disappears from the convolution and we obtain a recurrence involving Stirling numbers only.

Corollary 5. (*w = 0 case*) We have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; \alpha, \beta, r) (x|\lambda)_j \beta^j = S(n, k; \alpha, \beta, r + \beta x)$$

where $\lambda\beta = \alpha$; in particular for $\lambda = 0$ we have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; 0, 1, r) x^j = S(n, k; 0, 1, r+x)$$

and for $\mu = 0$ we have

$$\sum_{j=0}^{n-k} \binom{n}{j} S(n-j, k; 1, 0, r) j! \binom{x}{j} = S(n, k; 1, 0, r+x)$$

These two special cases ($\lambda = 0$ and $\mu = 0$) are well-known recurrences for weighted Stirling numbers, particularly in the case $r = 0$.

6.3 First-order cases

When either $k = 1$ or $w = 1$ the generalized Stirling number may be simplified to

$$S(n, 1; \alpha, \beta, r) = \beta^{-1} ((r + \beta|\alpha)_n - (r|\alpha)_n)$$

in terms of generalized falling factorials. This may be proven by induction from the recurrence

$$S(n+1, k; \alpha, \beta, r) = S(n, k-1; \alpha, \beta, r) + (k\beta - n\alpha + r)S(n, k; \alpha, \beta, r)$$

[18, eq. (7)]. Taking the limit as $\beta \rightarrow 0$ yields

$$S(n, 1; 1, 0, r) = (r|1)_n \left[\frac{1}{r} + \frac{1}{r-1} + \cdots + \frac{1}{r-n+1} \right].$$

Corollary 6. ($k = w = 1$ case) *We have*

$$\sum_{j=0}^{n-1} \binom{n}{j} ((r + \beta|\alpha)_{n-j} - (r|\alpha)_{n-j}) \beta_j(\lambda, x) \beta^{j-1} = n(r + \beta x|\alpha)_{n-1}$$

where $\lambda\beta = \alpha$; in particular for $\lambda = 0$ we have

$$\sum_{j=0}^{n-1} \binom{n}{j} ((r+1)^{n-j} - r^{n-j}) B_j(x) = n(r+x)^{n-1}$$

and for $\mu = 0$ we have

$$\sum_{j=0}^{n-1} \binom{r}{n-j} \left[\frac{1}{r} + \frac{1}{r-1} + \cdots + \frac{1}{r-(n-j-1)} \right] b_j(x) = \binom{r+x}{n-1}.$$

In the case $r = 0$, the $\lambda = 0$ case of the above corollary reflects the usual recurrence and difference equation for the Bernoulli polynomials. In the $\mu = 0$ case the weighted Stirling numbers of the first kind reduce to generalized harmonic numbers; in particular taking $r = n$ we obtain

$$\sum_{j=0}^n \binom{n}{j} (H_n - H_j) b_j(x) = \binom{n+x}{n-1}$$

where $H_n = 1 + \frac{1}{2} + \cdots + \frac{1}{n}$ denotes the n th harmonic number, and more specifically for $x = 0$ we get

$$\sum_{j=0}^n \binom{n}{j} (H_n - H_j) b_j = n.$$

Taking $x = -1$ and using the identity $B_n^{(n)} = n!b_n(-1)$ ([16, eq. (2.10)]) yields the identity

$$\sum_{j=0}^n \binom{n}{j} (H_n - H_j) \frac{B_j^{(j)}}{j!} = 1$$

for the Nörlund numbers $B_n^{(n)}$.

Corollary 7. ($k = 1$ case) *We have*

$$\sum_{j=0}^{n-1} \binom{n}{j} ((r + \beta|\alpha)_{n-j} - (r|\alpha)_{n-j}) \beta_j^{(w)}(\lambda, x) \beta^{j-1} = n\beta_{n-1}^{(w-1)}(x + (r/\beta))\beta^{n-1}$$

where $\lambda\beta = \alpha$; in particular for $\lambda = 0$ we have

$$\sum_{j=0}^{n-1} \binom{n}{j} ((r+1)^{n-j} - r^{n-j}) B_j^{(w)}(x) = nB_{n-1}^{(w-1)}(x+r)$$

and for $\mu = 0$ we have

$$\sum_{j=0}^{n-1} \binom{r}{n-j} \left[\frac{1}{r} + \frac{1}{r-1} + \cdots + \frac{1}{r-(n-j-1)} \right] b_j^{(w)}(x) = b_{n-1}^{(w-1)}(x+r).$$

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