

## SOME DEGENERATE UNIPOTENT BLOCKS

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## 1. PRELIMINARY

**Definition 1.** Let  $G$  be a finite group of Lie type. Let  $A$  be a unipotent block ideal of  $G$  with  $\Phi_e$ -defect torus  $T$  and canonical character  $\lambda$  in  $M = Z_G(T)$ . Let  $W(M, \lambda)$  be the inertial group of  $A$ . We say that  $A$  is a Rouquier block if there exists a Levi subgroup  $L$  of  $G$  such that

- (i) there exists parabolic subgroup  $P$  of  $G$  with Levi decomposition  $P = LUP$ ,
- (ii)  $L$  contains  $M$ ,
- (iii)  $H = L \cdot W(M, \lambda)$  is a proper subgroup of  $G$ ,
- (iv) There exists a block  $B$  of  $H$  with canonical character  $\lambda$  such that  $A$  is Morita equivalent to  $B$ .

**Remark 2.** By L. Puig [Pui90], if  $\ell$  does not divide the order of Weyl group  $W$  of  $G$  and does  $q - 1$ , then the principal block of  $G$  is Morita (Puig) equivalent to the principal block of  $T.W$ . In the case of type  $A$ , there is a generalization of this theorem which was conjectured by R. Rouquier [Rou98] in the context of symmetric groups. This generalization is intensively studied by J. Chuang, R. Kessar, K. Tan, W. Turner, A. Hida and the author [CK02], [CT02a], [Tur02], [HM00], [Miy01]. In this case there is also an interesting interpretation on these Rouquier blocks in terms of Fock space over quantum affine algebra of type  $A$  [CT02b], [LM02].

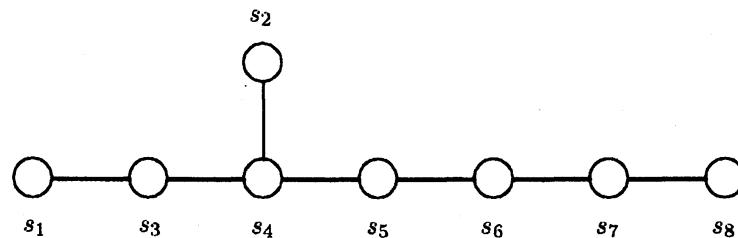
One aim of this note is to report that there are Rouquier blocks in type  $E_6$  and  $E_8$  (see Theorem 4 and Remark 5 below) which are not included in [Pui90].

In through this note, we assume that a prime number  $\ell$  and a prime power  $q$  satisfy the following condition:

- (1) (i)  $\ell \neq 2, 3$ , (ii)  $\ell$  divides  $q^2 + 1$ , and (iii)  $q$  is odd.

**Remark 3.** (i) and (ii) are essential in this note. (iii) is needed to use Kawanaka's generalized Gelfand-Graev character [GP92]. So, this might be removed once one gets the lower unitriangularity of decomposition matrix.

The main strategy (which I learned from T. Okuyama) is analogous to that in [KM00]. Namely, we construct a stable equivalence between two blocks by Broué's theorem [Bro92, 6.3. Theorem] and checking the assumption, and then we chase the images of simple modules. The most powerful tool in this approach is Linckelmann's theorem [Lin96]. In this note we choose a numbering of simple roots of type  $E_8$  as follows:

TABLE  $E_8$

## 2. THE STATEMENT OF MAIN THEOREM

Let  $\mathbf{G}$  be the Chevalley group of type  $E_6$  with its defining field  $\overline{\mathbb{F}_q}$ . Let  $F$  be its standard Frobenius map. Let  $\mathbf{L}$  be the Levi subgroup corresponding to  $\{s_2, s_3, s_4, s_5\}$ . It is of type  $D_4$ .

Let  $A$  (resp.  $B$ ) be the principal block ideal of  $\mathbf{k}\mathbf{G}^F$  (resp.  $\mathbf{k}\mathbf{L}^F \cdot \mathbf{S}_3$ ). Moreover,  $\mathbf{L}^F \cdot \mathbf{S}_3$  contains  $\mathcal{N}_{\mathbf{G}}^F(D)$ . Hence,

$$(2) \quad \begin{matrix} & f \\ \text{there is a Green correspondence } & \mathbf{G}^F \rightleftharpoons \mathbf{L}^F \cdot \mathbf{S}_3 \\ & g \end{matrix}$$

(see [Alp86].) Let  $\Delta(D)$  be  $\{(x, x) \mid x \in D\}$ . Let  $\mathbf{X}$  be the Green correspondent of an indecomposable  $(A, A)$ -bimodule  $A$  in  $G \times H$  with vertex  $\Delta(D)$ . (In other words,  $\mathbf{X}$  is the Scott  $(A, B)$ -bimodule  $\mathbf{S}_{\mathbf{G}^F \times (\mathbf{L}^F \cdot \mathbf{S}_3)}(\Delta(D))$  with vertex  $\Delta(D)$ .)

Now, we can state the main result of this note as follows:

**Theorem 4.** *The functor  $\mathbf{X} \otimes_B -$  induces a Morita equivalence between  $A$  and  $B$ .*

**Remark 5.** *By [Miy03] we know that the principal block  $B_0(\mathbf{k}\mathbf{G}^F)$  is Morita equivalent to the unipotent block ideal of  $E_8(q)$  with canonical character  $\phi_{23,01}$  in the notation [BMM93]. Moreover, thanks to Broué's abelian defect conjecture and our main chart [BMM93], our main result is expected to be useful to settle Broué's abelian defect conjecture for the following unipotent  $\Phi_4$ -blocks:*

- (i) *Group  $E_7(q)$ : canonical characters  $\phi_2^3, \phi_{11}^3$ ,*
- (ii) *Group  $E_8(q)$ : canonical characters  $\phi_{3,1}, \phi_{123,013}, \phi_{12,03}$ .*

These will be discussed in elsewhere.

## 3. THE DECOMPOSITION MATRIX

Now, we recall what is known for the decomposition matrix of  $A$  without Theorem 4. Using [Gec93], [GH97], [GP92], etc, we can approximate the decomposition matrix as follows:

**Lemma 6.** *The decomposition matrix of the unipotent characters lying in the principal block of  $\mathbf{G}^F$  has the following shape:*

	$a$	$\text{name}$	$ps$	$ps$	$ps$	$ps$	$D_4$	$ps$	$ps$	$D_4$	$ps$	$A_3$	$D_4$	$D_4$	$D_4$
$E_6$	0	$\phi_{1,0}$	1	.	.	.	.	.	.	.	.	.	.	.	.
$E_6(a_1)$	1	$\phi_{6,1}$	.	1	.	.	.	.	.	.	.	.	.	.	.
$E_6(a_3)$	3	$\phi_{15,5}$	.	.	1	.	.	.	.	.	.	.	.	.	.
$A_5$	3	$\phi_{15,4}$	1	1	.	1	.	.	.	.	.	.	.	.	.
$A_5$	3	$D_{4,1}$	.	.	.	.	1	.	.	.	.	.	.	.	.
$A_4$	6	$\phi_{81,6}$	.	1	1	.	*	1	.	.	.	.	.	.	.
$D_4(a_1)$	7	$\phi_{80,7}$	.	1	.	1	*	1	1	.	.	.	.	.	.
$D_4(a_1)$	7	$\phi_{90,8}$	.	.	1	.	*	1	.	1	.	.	.	.	.
$D_4(a_1)$	7	$D_{4,r}$	.	.	.	*	.	.	.	1	.	.	.	.	.
$2A_2 + A_1$	7	$\phi_{10,9}$	1	.	.	1	*	.	.	*	1	.	.	.	.
$A_3$	10	$\phi_{81,10}$	.	.	.	*	1	1	1	*	.	1	.	.	.
$A_2$	15	$\phi_{15,17}$	.	.	.	*	.	.	1	*	.	*	1	.	.
$3A_1$	15	$\phi_{15,16}$	.	.	.	1	*	.	1	.	*	1	*	*	.
$3A_1$	15	$D_{4,\epsilon}$	.	.	.	*	.	.	*	.	*	*	*	1	.
$A_1$	25	$\phi_{6,25}$	.	.	.	*	.	1	.	*	.	*	*	*	1
1	36	$\phi_{1,36}$	.	.	.	*	.	.	*	1	*	*	*	*	1

Here, \* means an unknown non-negative integer.

I would like to remove these unknown parameters \*'s in Lemma 6 as possible. Using Theorem 4, Lemma 6 and the precise correspondence on the simple modules over  $A$  and  $B$ , we get the following new result:

**Theorem 7.** The decomposition matrix of the unipotent characters lying in the principal block of  $\mathbf{G}^F$  is given as follows:

	$a$	$\text{name}$	$ps$	$ps$	$ps$	$ps$	$D_4$	$ps$	$ps$	$ps$	$D_4$	$ps$	$A_3$	$D_4$	$A_3$	$D_4$	$D_4$	$D_4$
$E_6$	0	$\phi_{1,0}$	1	.	.	.	.	.	.	.	.	.	.	.	.	.	.	.
$E_6(a_1)$	1	$\phi_{6,1}$	.	1	.	.	.	.	.	.	.	.	.	.	.	.	.	.
$E_6(a_3)$	3	$\phi_{15,5}$	.	.	1	.	.	.	.	.	.	.	.	.	.	.	.	.
$A_5$	3	$\phi_{15,4}$	1	1	.	1	.	.	.	.	.	.	.	.	.	.	.	.
$A_5$	3	$D_4, 1$	.	.	.	.	1	.	.	.	.	.	.	.	.	.	.	.
$A_4$	6	$\phi_{81,6}$	.	1	1	.	.	1	.	.	.	.	.	.	.	.	.	.
$D_4(a_1)$	7	$\phi_{80,7}$	.	1	.	1	.	1	1	.	.	.	.	.	.	.	.	.
$D_4(a_1)$	7	$\phi_{90,8}$	.	.	1	.	.	1	.	1	.	.	.	.	.	.	.	.
$D_4(a_1)$	7	$D_4, r$	.	.	.	.	.	.	.	1	.	.	.	.	.	.	.	.
$2A_2 + A_1$	7	$\phi_{10,9}$	1	.	.	1	.	.	.	.	1	.	.	.	.	.	.	.
$A_3$	10	$\phi_{81,10}$	.	.	.	.	1	1	1	.	.	1	.	.	.	.	.	.
$A_2$	15	$\phi_{15,17}$	.	.	.	*	.	.	1	.	.	1	1	.	.	.	.	.
$3A_1$	15	$\phi_{15,16}$	.	.	.	1	.	.	1	.	.	1	.	.	1	.	.	.
$3A_1$	15	$D_4, \epsilon$	.	.	.	.	.	.	.	.	.	.	.	.	1	.	.	.
$A_1$	25	$\phi_{6,25}$	.	.	.	.	.	1	.	*	.	1	.	1	.	1	.	.
1	36	$\phi_{1,36}$	.	.	.	.	.	.	.	.	1	.	1	*	.	1	.	.

Here, \* is equal to the decomposition number  $d_{(1111),\varphi}$  in type  $D_4$ .

#### 4. REMARKS ON HECKE ALGEBRAS

By Theorem 4, we can know that

**Theorem 8.** Let  $F$  be a field with an invertible element  $q$ . We assume that

- (i) The characteristic of  $F$  is not 2, 3.
- (ii)  $q^4 = 1, q^2 \neq 1$ .
- (iii)  $F$  contains  $q^{\frac{1}{2}}$ .
- (iv) If the characteristic of  $F$  is positive, then,  $q$  lie in the prime field of  $F$ .

Then,  $B_0(\mathcal{H}_{F,q}(E_6))$  and  $B_0(\mathcal{H}_{F,q}(D_4))\mathfrak{S}_3$  are Morita equivalent.

We can construct  $B_0(\mathcal{H}_{F,q}(D_4))\mathfrak{S}_3$  as a block ideal of a well-known Iwahori-Hecke algebra in the following way.  $\mathcal{H}_{F,q}(D_4)$  is a  $q$ -deformation of the group algebra of Weyl group  $W(D_4)$  of type  $D_4$ . And, in our situation,  $W(D_4)\mathfrak{S}_3$  is nothing but the Weyl group  $W(F_4)$  of type  $F_4$ . Moreover,  $W(D_4)$  is realized as a reflection subgroup of  $W(F_4)$  generated by all the reflections of  $W(F_4)$  whose roots are long. So, let us recall the definition of Iwahori-Hecke algebra of type  $F_4$ .

**Definition 9.** Let  $R$  be an integral domain with invertible elements  $u^{\frac{1}{2}}, v^{\frac{1}{2}}$ . The Iwahori-Hecke algebra  $\mathcal{H}_{R,u,v}(F_4)$  over  $R$  with parameter  $u, v$  is an associative algebra with generators  $T_1, T_2, T_3, T_4$  and relations

$$\begin{aligned} (T_i - u)(T_i + 1) &= 0 \text{ for } i = 1, 2, (T_j - v)(T_j + 1) = 0 \text{ for } j = 3, 4, \\ T_i T_i T_i &= T_{i+1} T_i T_{i+1} \text{ for } i = 1, 3, \\ T_2 T_3 T_2 T_3 &= T_3 T_2 T_3 T_2, \\ T_i T_j &= T_j T_i \text{ for } 1 \leq i < j - 1 \leq 3. \end{aligned}$$

From now on, we consider the Iwahori-Hecke algebra  $\mathcal{H}_{k,q,1}(F_4)$  of type  $F_4$  with parameter  $q$  and 1. Put  $a_1, a_2, a_3, a_4$  respectively to be

$$a_1 = T_2, a_2 = T_1, a_3 = T_3 T_2 T_3, a_4 = T_4 T_3 T_2 T_3 T_4.$$

$\mathcal{H}_{k,q}(D_4)$  is isomorphic to the subalgebra  $\mathcal{H}'$  of  $\mathcal{H}_{k,q,1}(F_4)$  generated by  $a_1, a_2, a_3, a_4$ . One can easily check that  $a_i$ 's satisfy the quadratic relations and braid relations. Moreover, clearly,  $\mathbb{k}\langle T_3, T_4 \rangle$  is isomorphic to the group algebra  $\mathbb{k}\mathfrak{S}_3$  since  $T_3^2 = 1 = T_4^2$ . By definition, the action of  $T_3$  and  $T_4$  on  $\mathcal{H}'$  is also clear. Since the principal block idempotent of  $\mathcal{H}_{k,q}(D_4)$  is normalized by  $\mathbb{k}\langle T_3, T_4 \rangle$ , it is lifted to the idempotent

of the whole algebra  $\mathcal{H}_{k,q,1}(F_4)$ . The decomposition matrix of  $\mathcal{H}_{k,q,1}(F_4)$  is first calculated by Bremke [Bre94, p.342]. So, it is worth saying the correspondences among characters, simple modules, PIM's, etc over  $B_0(\mathcal{H}_{k,q}(E_6))$  and  $B_0(\mathcal{H}_{k,q,1}(F_4))$ . The correspondence is given as follows:

$E_6$					$F_4$			
$\phi_{1,0}$	1	.	.	.	.	.	.	.
$\phi_{6,1}$	.	1	.	.	.	.	.	.
$\phi_{15,5}$	.	.	1	.	.	.	.	.
$\phi_{15,4}$	1	1	.	1	.	.	.	.
$\phi_{81,6}$	.	1	1	.	1	.	.	.
$\phi_{90,8}$	.	.	1	.	1	1	.	.
$\phi_{80,7}$	.	1	.	1	1	.	1	.
$\phi_{10,9}$	1	.	.	1	.	.	.	1
$\phi_{81,10}$	.	.	.	1	1	1	.	.
$\phi_{15,16}$	.	.	.	1	.	1	1	.
$\phi_{15,17}$	.	.	.	.	.	1	.	.
$\phi_{6,25}$	.	.	.	.	.	1	.	.
$\phi_{1,36}$	.	.	.	.	.	.	.	1

$E_6$					$F_4$			
$\phi_{1,0}$	1	.	.	.	.	.	.	.
$\phi''_{2,4}$	.	1	.	.	.	.	.	.
$\phi''_{1,12}$	.	.	1	.	.	.	.	.
$\phi_{9,2}$	1	1	.	1	.	.	.	.
$\phi''_{9,6}$	.	1	1	.	1	.	.	.
$\phi''_{8,9}$	.	.	1	.	1	1	.	.
$\phi_{16,5}$	.	1	.	1	1	.	1	.
$\phi'_{8,3}$	1	.	.	1	.	.	.	1
$\phi_{9,10}$	.	.	.	.	1	1	1	.
$\phi'_{9,6}$	.	.	.	1	.	.	1	1
$\phi_{1,24}$	.	.	.	.	.	1	.	.
$\phi'_{2,16}$	.	.	.	.	.	.	1	.
$\phi_{1,12}$	.	.	.	.	.	.	.	1

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