

# Mekler's Construction on $NTP_1$ Theories

JinHoo Ahn  
Yonsei University

## Abstract

For any model  $M$  of  $T$ , one can find a graph model which is bi-interpretable with it. Extending this folklore, Mekler developed a construction method to get a group preserving many model-theoretic properties of the original one, such as stability, which Mekler himself proved. Recently, Chernikov and Hempel found another result for the case of  $NTP_2$  by using array lemmas on mutually indiscernible sequences. Following their results, we will show that Mekler's construction preserves  $NTP_1$  by using tree lemmas on strongly indiscernible trees.

## 1 Introduction

Mekler's construction is the way to construct a pure nil-2 group  $G(C)$  of exponent  $p$  from any given nice graph  $C$ . It was proved that the construction preserves  $\lambda$ -stability for any  $\lambda > \omega$ , that is, for any infinite nice graph  $C$ ,  $\text{Th}(C)$  is  $\lambda$ -stable if and only if  $\text{Th}(G(C))$  is  $\lambda$ -stable [6]. Hence, by the construction, we can find a example of pure group which is stable but not superstable from given nice graph with the same property.

It was later found that more model-theoretic properties are preserved by Mekler's construction; simplicity, NIP, and  $NTP_2$  [1, 3]. Thus we naturally expect that the other generalized stability like  $NTP_1$  could be preserved by the construction, which is our goal in this paper. To prove it, We will follow the argument in [3].

In section 2, we introduce the notions about strong indiscernibility on trees. Then, we find equivalent conditions of  $NTP_1$ . In section 3, we describe Mekler's construction following by [3] and [4]. In section 4, we sketch the proof that the Mekler's construction preserves  $NTP_1$ .

## 2 Tree property of the first kind

Consider a tree  ${}^{<\lambda}\kappa$  of height  $\lambda$  which has  $\kappa$  many branches. We denote  $\langle \rangle$  as an empty string,  $0^\alpha$  as a string of  $\alpha$  many zeros, and  $\alpha$  as a string  $\langle \alpha \rangle$  of length one.

**Definition 2.1.** Let  $\eta, \nu, \xi \in {}^{<\lambda}\kappa$ .

- (1) (Ordering)  $\eta \triangleleft \nu$  if  $\nu \upharpoonright \alpha = \eta$  for some ordinal  $\alpha \in \text{dom}(\nu)$ .
- (2) (Meet)  $\xi = \eta \wedge \nu$  if  $\xi$  is the meet of  $\eta$  and  $\nu$ , i.e.,  $\xi = \eta \upharpoonright \beta$ , when  $\beta = \bigcup \{ \alpha \leq \text{dom}(\eta) \cap \text{dom}(\nu) \mid \eta \upharpoonright \alpha = \nu \upharpoonright \alpha \}$ . For  $\bar{\eta} \in {}^{<\lambda}\kappa$ ,  $\bar{\nu}$  is the meet closure of  $\bar{\eta}$  if  $\bar{\nu} = \{ \eta_1 \wedge \eta_2 \mid \eta_1, \eta_2 \in \bar{\eta} \}$
- (3) (Incomparability)  $\eta \perp \nu$  if they are  $\trianglelefteq$ -incomparable, i.e.,  $\neg(\eta \trianglelefteq \nu)$  and  $\neg(\nu \trianglelefteq \eta)$ .

(4) (Lexicographic order)  $\eta <_{lex} \nu$  if

- (a)  $\eta \triangleleft \nu$ , or
- (b)  $\eta \perp \nu$  and for ordinal  $\alpha = \text{dom}(\eta \wedge \nu)$ ,  $\eta(\alpha) < \nu(\alpha)$ .

Tree language is a collection of symbols in 2.1 or more with appropriate interpretations, which is introduced and studied on [5, 7, 8]. We use one of the tree language called strong language from [8].

**Definition 2.2.** A *strong language*  $L_0$  is defined by the collection  $\{\triangleleft, \wedge, <_{lex}\}$ .

We may view the tree  $<^\lambda \kappa$  as an  $L_0$ -structure.

Fix a complete first order theory  $T$  (with language  $L$ ). Let  $\mathfrak{C} \models T$  be a monster model. From now on, we will work in this  $\mathfrak{C}$ .

**Definition 2.3.** Let  $L_0$ -structure  $<^\lambda \kappa$  be an index structure. For a tree  $(b_\eta | \eta \in <^\lambda \kappa)$  in  $\mathfrak{C}$ , we say it is *strongly indiscernible* if for any finite tuple  $\bar{\eta}$  and  $\bar{\nu}$  in  $<^\lambda \kappa$ ,

$$\text{qftp}_{L_0}(\bar{\eta}) = \text{qftp}_{L_0}(\bar{\nu}) \Rightarrow (b_\eta)_{\eta \in \bar{\eta}} \equiv_{\mathfrak{C}} (b_\nu)_{\nu \in \bar{\nu}}.$$

The strong indiscernibility is a generalized form of indiscernibility. It satisfies many properties proved on indiscernibility. For instance, we can produce a strongly indiscernible tree based on any given tree. This is so called the modeling property [5, 8].

**Definition 2.4.** Let  $\phi(x, y)$  be a formula in  $T$ . Fix an integer  $k > 1$ . We say  $\phi(x, y)$  has *the tree property of the first kind* ( $TP_1$ ) if there is a tree  $(a_\eta)_{\eta \in <^\omega \omega}$  such that

- (1) For all  $\eta \in \omega^\omega$ ,  $\{\phi(x, a_{\eta \upharpoonright \alpha}) \mid \alpha < \omega\}$  is consistent, and
- (2) For all  $\eta \perp \nu \in \omega^{<\omega}$ ,  $\{\phi(x, a_\eta), \phi(x, a_\nu)\}$  is inconsistent.

We say  $T$  has  $TP_1$  if it has a  $TP_1$  formula. We say  $T$  is  $NTP_1$  if it does not have  $TP_1$ .

**Example 2.5.**  $\text{Th}((\mathbb{Q}, <))$  has  $TP_1$ . Choose  $\phi(x, y_1 y_2) := y_1 < x < y_2$ . Since it is a theory of dense linear ordering,  $\phi$  has  $TP_1$ .

We apply the notion of strong indiscernibility to the  $NTP_1$  theories.

**Proposition 2.6.** Let  $\kappa$  be a sufficiently large regular cardinal. For a given complete theory  $T$ , TFAE.

- (1)  $T$  is  $NTP_1$
- (2) For any strongly indiscernible tree  $(a_\eta \mid \eta \in <^\kappa \kappa)$  and for any finite tuple  $b$ , there is some  $b'$  and a sequence  $(a'_i \mid i < \omega)$  such that
  - (a) There is  $\beta$ ,  $0 < \beta < \kappa$ , such that  $a'_i = a_{0^\beta \frown i}$  for each  $i < \omega$ ,
  - (b)  $\text{tp}(b/a'_0) = \text{tp}(b'/a'_0)$ ,
  - (c)  $(a'_i \mid i < \omega)$  is indiscernible over  $b'$ .

We can prove 2.6 following the same argument in [2], substituting the role of mutually indiscernible sequences to strongly indiscernible trees.

### 3 Mekler's construction

We follow the definitions and facts from [3] and [4].

For a graph  $A$  and its vertices  $a$  and  $b$ ,  $R(a, b)$  means that  $a$  and  $b$  are connected by a single edge in  $A$ .

**Definition 3.1.** A graph  $A$  which has at least two vertices is called *nice* if

- (a) For any two distinct vertices  $a$  and  $b$ , there is some vertex  $c$  different from  $a$  and  $b$  such that  $R(a, c) \wedge \neg R(b, c)$
- (b) There are no triangles nor squares.

Note that for any structure, there is a nice graph which is bi-interpretable with it.

**Definition 3.2.** Fix an odd prime  $p$ . For a nice graph  $A$ , let  $F(A)$  be the free nilpotent group of class 2 and exponent  $p$  that is generated freely by the vertices of  $A$ . Then the *Mekler group* of  $A$ , denoted by  $G(A)$ , is defined as follows;

$$G(A) = F(A) / \langle \{[a, b] \mid a, b \in A, A \models R(a, b)\} \rangle$$

**Fact 3.3.** Let  $A$  be a nice graph. Then there is an interpretation  $\Gamma$  such that for any model  $G$  of  $\text{Th}(G(A))$ ,  $\Gamma(G) \models \text{Th}(A)$

**Fact 3.4.** Let  $C$  be an infinite nice graph and  $G$  be a model of  $\text{Th}(G(C))$ . Then there exists some subsets of  $G$ , say transversals  $X^\nu, X^p, X^\iota, X = X^\nu \cup X^p \cup X^\iota$  such that  $G$  is isomorphic to  $\langle X \rangle \times H$  for some  $H \subseteq Z(G)$ .

Moreover,

- (i) the elements of  $X^\nu$  corresponds to vertices of  $\Gamma(G)$  and the commutativity between two elements in  $X^\nu$  corresponds to the existence of edge relation between two vertices in  $\Gamma(G)$
- (ii)  $H$  is an elementary abelian  $p$ -group, which is a vector space.

From the fact, we may say  $G$  is of the form  $\langle X \rangle \times \langle H \rangle$ .

### 4 The main result

It is known that Mekler's construction preserves many model-theoretic properties.

**Fact 4.1.** [1, 3, 6] Let  $C$  be an infinite nice graph.

- (1) (Mekler, 1981)  $\text{Th}(C)$  is  $\lambda$ -stable if and only if  $\text{Th}(G(C))$  is  $\lambda$ -stable.
- (2) (Baudisch, 2002)  $\text{Th}(C)$  is simple if and only if  $\text{Th}(G(C))$  is simple.
- (3) (Chernikov, Hempel, 2017)  $\text{Th}(C)$  is NIP if and only if  $\text{Th}(G(C))$  is NIP.
- (4) (-)  $\text{Th}(C)$  is  $\text{NTP}_2$  if and only if  $\text{Th}(G(C))$  is  $\text{NTP}_2$ .

We extend these results to  $\text{NTP}_1$ .

**Theorem 4.2.**  $\text{Th}(C)$  is  $\text{NTP}_1$  if and only if  $\text{Th}(G(C))$  is  $\text{NTP}_1$ .

*Proof.* (Sketch)

⇐. Use the interpretability.

⇒. Suppose  $\text{Th}(C)$  is  $\text{NTP}_1$  but  $\text{Th}(G(C))$  is  $\text{TP}_1$ . Let  $G = \langle X \rangle \times \langle H \rangle$  be a monster model of  $\text{Th}(G(C))$ . WMA there is a formula  $\phi(x, y)$  and a strongly indiscernible tree  $(c_\eta)$  in  $G$  witnessing  $\text{TP}_1$  where  $c_\eta$  is of the form  $x_\eta^\nu x_\eta^p x_\eta^t h_\eta$  in  $X$  and  $H$ .

Let  $b$  be a realization of  $\bigwedge \phi(x, c_{0^i})$ .  $b$  is  $t(x^\nu, x^p, x^t, h)$  for some term  $t$  and tuples  $x^\nu, x^p, x^t$  in  $X$  and  $h$  in  $H$ . Putting the term  $t$  inside the  $\phi$ , we may assume  $b$  is the tuple  $x^\nu x^p x^t h$ .

Note that the tree  $(x_\eta^\nu)$  and  $x^\nu$  lie inside  $X^\nu$ , which can be considered as a graph of  $\text{Th}(C)$ . Apply the Proposition to  $(x_\eta^\nu)$  and  $x^\nu$ , and then extend the result to  $(x_\eta^\nu x_\eta^p x_\eta^t h_\eta)$  and  $x^\nu x^p x^t h$ . This will make a contradiction that  $\phi(x, c_{\eta_1}) \wedge \phi(x, c_{\eta_2})$  is inconsistent for any incomparable  $\eta_1$  and  $\eta_2$ .  $\square$

## References

- [1] Andreas Baudisch, *Mekler's construction preserves CM-triviality*, Annals of Pure and Applied Logic **155** (2002), no. 1-3, 115-173.
- [2] Artem Chenikov, *Theories without the tree property of the second kind*, Annals of Pure and Applied Logic **165** (2014), no. 2, 695-723.
- [3] Artem Chenikov, Nadja Hempel, *Mekler's construction and generalized stability*, Israel Journal of Mathematics, accepted.
- [4] Wilfrid Hodges, *Model theory*, Vol. 42, Cambridge University Press, 1993.
- [5] Byunghan Kim, Hyeung-Joon Kim, Lynn Scow, *Tree indiscernibilities, revisited*, Archive for Math. Logic, **53** (2014), 211-232
- [6] Alan H Mekler, *Stability of nilpotent groups of class 2 and prime exponent*, Journal of Symbolic Logic (1981), 781-788.
- [7] Saharon Shelah, *Classification theory: and the number of non-isomorphic models*, Vol. 92, Elsevier, 1990.
- [8] Kota Takeuchi, Akito Tsuboi, *On the Existence of Indiscernible Trees*, Annals of Pure and Applied Logic **163** (12), 1891-1902, (2012).