The personal cost of dementia care in Japan: A comparative analysis of residence types (認知症ケアに関する個人の経済的負担: 日本における居住形態別の比較)



This is the accepted version of the following article: Nakabe T, Sasaki N, Uematsu H, Kunisawa S, Wimo A, Imanaka Y. The personal cost of dementia care in Japan: A comparative analysis of residence types. Int J Geriatr Psychiatry., which has been published in final form at 2018;33:1243–1252. https://doi.org/10.1002/gps.4916. This article may be used for noncommercial purposes in accordance with the Wiley Self-Archiving Policy [https://authorservices.wiley.com/author-resources/Journal-Authors/licensing-openaccess/open-access/self-archiving.html].

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2	types
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21	Key points
22	• The personal cost of dementia care across different residence types was quantified.
23	• Institutionalized patients still received informal care from voluntary caregivers.
24	• Total costs were higher in community-dwelling patients than those in institutions.
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26	the word count of the body text: 3313words
27	

Keywords: Informal care; RUD; Dementia; Cost; Japan

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28 Abstract

Objective: We aimed to quantify the personal economic burden of dementia care in Japan 29according to residence type. 30 Methods: A cross-sectional online survey was conducted on 3841 caregivers of people 31with dementia. An opportunity cost approach was used to calculate informal care costs. 32All costs and the observed/expected (OE) ratio of costs were adjusted using patient sex, 33 age, and care-needs levels; and compared among the residence types. 34Results: The mean daily informal care time was 8.2 hours, and the mean monthly 3536 informal care costs for community-dwelling people with dementia were US\$1559. The OE ratio for informal care costs in community-dwelling patients was higher than in 37institutionalized patients. 3839 **Conclusion:** The inclusion of informal care costs reduced the differences in total personal costs among the residence types. The economic burden of informal care should be 40 considered when quantifying dementia care costs. 4142

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44 Introduction

The increasing global prevalence of dementia has immense social and economic 45consequences ¹. The World Alzheimer Report 2015 estimated that 46.8 million people 46 were living with dementia in 2015, and this number is expected to rise to 131.5 million 47by 2050¹. In Japan, the number of people with dementia has been steadily increasing as 48its population ages at an unprecedented rate. The systemic provision of dementia care is 49 therefore a particularly important health policy issue in Japan. 50Informal care, which is voluntarily provided by a patient's family and friends, 5152constitutes a critical component of dementia care. This type of care can account for the majority of dementia care in many cases, and is usually provided free of charge to the 53patient. Nevertheless, informal care places a heavy economic burden on both the 54caregivers and patients, and many cost-of-illness studies of dementia have incorporated 55informal care cost estimates ²⁻⁴. However, these studies have generally focused on 56countries in North America and Europe, and few analyses including informal care costs 57have been conducted in Asia⁵. In Japan, the annual societal cost of dementia (including 58informal care) in the community setting was estimated to be approximately 140 billion 59yen (US\$14 billion) ^{6,7}. 60

Both healthcare and long-term care (LTC) costs can strain public finances, and it is

62	necessary to estimate their collective impact on society. Under the Japanese insurance
63	system, people pay 10% to 30% (according to income and age) of total healthcare
64	expenses as out-of-pocket payments for services covered by insurance. Raising the out-
65	of-pocket rate may alleviate the burden on public finances, but the personal economic
66	burden of caring for people with dementia must first be quantified to support the
67	development of sustainable dementia care systems. However, the personal cost of
68	dementia care that includes informal care in Japan remains unclear.
69	Under the limited resources and finances for health and long-term care, the Japanese
70	government has established a strong policy to transfer patients from institutionalized to
71	home care settings, and from healthcare to long-term care. The policy also pushes to use
72	more services not covered by the public insurance systems. This would reduce the fiscal
73	burden on the public insurance systems for health and long-term care, but would increase
74	the burden on their families or communities. For people with dementia, various
75	combinations of these care and services are crucial, and residential types (social care
76	types) affect the burden's total volume and the balance between burden on persons and
77	on the insurance systems. Measuring and clarifying the total burden and its components,
78	including informal care for people with dementia in each residential type, will provide
79	necessary information to design a well-balanced and efficient healthcare and LTC system.

80	Furthermore, people with dementia live both in the community and in specialized
81	institutions. However, few studies have compared the costs of dementia between the
82	community and institutional settings ² . Moreover, to the best of our knowledge, no studies
83	have clarified the differences in the costs of dementia among the types of institution, such
84	as group homes and LTC facilities. In order to design and implement an integrated
85	community care system, it is important to ascertain the relative costs between home care
86	and care provided in various institutional types.
87	The objective of this study was to quantify the personal economic burden of dementia
88	care as informal care costs and out-of-pocket payments according to residence type.
89	
90	2. Methods
91	2.1. Web-based survey for data collection on people with dementia and their caregivers
92	In this cross-sectional study, we conducted a web-based questionnaire survey from
93	March 3 to March 14, 2016 in cooperation with a commercial research company
94	(Automatic Internet Research System, Macromill, Inc., Japan). Potential participants
95	fulfilled the following criteria: (1) aged 30 years or older, (2) non-professional caregiver

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conflicts of interest with advertising or marketing research entities. We excluded 97

of people with dementia, (3) caring for only one person with dementia, and (4) have no

caregivers under 30 years old because they comprise only 2% of all caregivers in Japan⁸, 98 and also it is difficult to consider such young caregivers provide care .A total of 3600 99 participants were recruited from the research company's registrants and divided into 100 different age groups (850 participants each in the 30–39 year, 40–49 year, 50–59 year, 101 and 60–69 year groups; 200 participants in the \geq 70 year group). We set the sample size 102 to equal amounts per age group (except those 70 or over) to avoid a bias only to young 103 104 caregivers. The use of a web-based survey enables rapid large-scale data collection and 105erroneous responses, and is low cost. In consideration of these advantages, we elected to 106 use a web-based survey for this study.

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108 2.2. Questionnaire

The survey was conducted using the Resource Utilization in Dementia (RUD) 3.0 version ⁹ questionnaire that had been revised to accommodate the Japanese healthcare and LTC system. The RUD is currently one of the most useful tools for collecting data on resource utilization in dementia ^{9–11}, and its use allows for international comparisons ². Table 1 shows the components of the revised questionnaire, which included items from the Japanese translation of the RUD that were concerned with informal care duration, caregivers' situation (e.g., employment and cohabitation statuses), and frequency of

utilization of LTC services. Survey items specific to Japan included care-needs levels, 116 residence types, LTC services, and out-of-pocket payments. Discussions were held with 117 the developer of the RUD, and approval for its use was obtained. 118 119 Eligibility for LTC insurance is categorized into seven distinct care-needs levels: support levels 1 to 2 and care-needs levels 1 to 5. Certifications of care-needs levels are 120 121dependent on the clinical diagnosis of dementia and the level of cognitive and functional 122decline. For instance, care-needs level 1 indicates that people need care for instrumental 123activities of daily living (IADL) and some activities of daily living (ADL) functions. 124People with care-needs level 5 cannot live without care, such as bedridden individuals. 125Therefore, these levels were assessed as a proxy for disease severity. 126The following residence types were analyzed: (1) community residence, such as the 127patient's home (including patients who use multi-functional care services in small group homes), (2) elderly housing with care services, (3) fee-based homes for older persons, (4) 128129LTC health facilities, (5) intensive care homes for older persons, (6) group homes for older persons with dementia, and (7) sanatoriums and hospitals ¹². Elderly housing with 130care services introduced in 2011, is run by the private sector, and required to register with 131132the prefecture. Fee-based homes for the elderly also run by the private sector. These are considered housing rather than social welfare facilities for the elderly. There are two 133

contract types for these privately run residences: lease contract or license agreement. LTC health facilities are for those requiring rehabilitation or healthcare with a possible tenancy period from several months to about one year. Intensive Care Home for the elderly is a day-care facility those requiring constant nursing care services due to serious physical or mental disabilities. Group homes for the elderly with dementia are small facilities in which dementia patients (5-9 persons) live together. These three residence types are covered under LTC insurance benefits as institutional services ¹².

141 2.3. Cost estimation

142Total costs were estimated based on four components: out-of-pocket payments 143(copayments) for healthcare services, out-of-pocket payments (copayments) for LTC services covered by insurance, out-of-pocket payments for LTC services not covered by 144145insurance, and informal care costs. Under Japan's universal health system, all residents must be enrolled in healthcare and LTC (≥40 years old) insurance. Depending on age and 146income, enrollees must pay a copayment of 10% to 30% when receiving healthcare and 147LTC services. Out-of-pocket payments for healthcare services and LTC services were 148 determined through a questionnaire covering the various categories. These costs were 149150substituted by a median of each category, and we calculated the weighted average with the following formula: $\frac{\sum_{i=0}^{k} (median \ of \ category_i) * n_i}{\sum_{i=0}^{k} n_i}$ 151

152	We also assessed the informal care times for ADL, IADL, and supervision as
153	previously described ^{5,13–16} . Caregivers were asked how many hours they provide care
154	each for ADL, IADL and supervision in one day. They were also asked how many days
155	they provide care for ADL, IADL and supervision in one month. Those questions were
156	based on the previous four weeks. We assessed the daily informal care time by summing
157	the care time for both ADL and IADL. Supervision time might be included
158	simultaneously other care time for ADL or IADL functions. Caregivers may also
159	supervise people with dementia while doing other activities, such as cooking for their
160	children. Sometimes, total informal care time, including supervision time, could extend
161	to 24 hours ¹⁷ . For these reasons, we assessed supervision time separately from informal
162	care time for ADL and IADL.
163	Caregivers were asked to state their contribution to the total informal care received by
164	the patient as one of the following five options: 1-20%, 21-40%, 41-60%, 61-80%, or

165 81-100%. Per RUD instructions, we adjusted the informal care times by these

166 contribution levels to treat all caregivers as primary caregivers and estimate the costs

- 167 associated with all informal care provided to a patient. Total informal care time was
- 168 adjusted by dividing by the median of each contribution rate category.
- 169 The cost of informal care can be estimated using the "opportunity cost" or "replacement

cost" approaches ^{2–4}. The opportunity cost approach estimates the costs due to loss of 170 productivity, whereas the replacement cost approach assumes the informal care services 171can be similarly valued as home care services provided by professional caregivers. 172Similar to previous studies that used the RUD ^{1,5,18}, we selected the opportunity cost 173approach in order to assess informal care time as forgone wages for caregivers. Costs 174were valued by the caregivers' monthly mean wage stratified by sex and age¹⁹. We 175176assessed informal care costs for caregivers who were not working or were over 65 years of age at 30% of the mean wage of employed caregivers $^{20-23}$. Time spent on supervision 177was assumed to be zero cost for the same reasons why we assess it separately ^{5,17,18,24}. A 178179maximum daily informal care time of 16 hours was assumed in order to allow for other activities and sleeping time 25-27. 180

181 2.4 Inclusion and exclusion criteria

We included all caregivers and people with dementia who responded to the web-based survey. However, we excluded the following respondents; (1) caregivers over 100 years old or with dementia from a decline of cognitive function, (2) those who provided contradictory information regarding the caregiver's relationship with people with dementia, and, (3) those who reported an informal care time for ADL or IADL that exceeded 24 hours per day. For instance, contradictory relationships between caregivers and people with dementia included age differences of less than 15 years even thoughpeople with dementia were parents (not in-laws).

190 2.5. Statistical analysis

Only descriptive statistics were used to quantify the economic burden of dementia care
in Japan. We stratified the data by residence type; informal care time was stratified by
care-needs level, caregiver's employment status, and caregiver's cohabitation status.

194 We compared informal care times between this study and previous studies focusing on

195 people with dementia in a community setting to validate our measurement of informal

196 care time. We selected previous studies from both Japan and other countries. For non-

197 Japanese studies, we only selected single-country studies that used the RUD. Due to the

198 lack of Japanese studies that used the RUD, we selected studies that reported the 199 caregivers' economic burden and informal care times. We showed 95% confidence

200 intervals for each study by calculating from their mean and standard deviations.

To compare dementia care costs among residence types, we need to standardize the dataset by adjusting for the characteristics of people with dementia. We calculated the ratio between the observed and expected values (OE ratio) for the costs of dementia care for each residence type. The mean costs per care-needs level, sex, and age category (Q[i]) and the number of people with dementia (N[i]) were calculated and multiplied to produce the expected value as standardized value. The expected total costs for each residence typewas calculated using the following formula:

208 the expected total costs =
$$\sum_{i=1}^{n} \{Q(i) \times N(i)\}$$

The observed values were divided by the expected value to produce the OE ratio. An OE ratio that was greater than one indicated that the observed value exceeded the expected value even after adjusting for patient sex, age and care-needs levels.

212 Comparison of mean total out-of-pocket payments and total costs including informal 213 care costs among residence types was done using the analysis of variance (ANOVA) with

the post-hoc Games Howell test. A p-value of <0.05 was considered statistically

significant.

In all analyses, we excluded missing values in out-of-pocket payments for healthcare and LTC services if the respondents answered "unknown" for these items. All costs were converted from Japanese yen to US dollars using the purchasing power parity rate in 2016 (\$102 = \$1) provided by the Organization for Economic Cooperation and Development. All data were analyzed using IBM SPSS Statistics 23.0 for Windows (SPSS Japan Inc.,

Tokyo, Japan).

223 **3. Results**

224 3.1. Characteristics of people with dementia and their caregivers

A total of 3916 caregivers answered the questionnaire, but the following were excluded from the analysis: caregivers aged over 100 years (n=7), caregivers with dementia (n=2), caregivers with contradictory information regarding their relationship with people with dementia (n=24), and caregivers who reported an informal care time for ADL or IADL that exceeded 24 hours per day (n=42). After these exclusions, the final sample comprised 3841 respondents.

231Table 2 shows the characteristics of people with dementia and their caregivers. More 232than half of people with dementia were female (68.7%), and the mean age was 82.5 years. The distribution of care-needs levels was similar across the residence types, but the mean 233234ADL and IADL scores were lower in institutionalized people with dementia. In contrast, more than half of the caregivers were male (57.8%), and the mean age was 51.9 years. 235Almost 80% of the caregivers were providing care to their parents or parents-in-law. 236Approximately half of the caregivers were employed, and their contribution level was 237therefore low. Figure 1 shows the result of the comparison of the mean informal care 238239times between the present study and previous studies with 95% confidence intervals.

3.2. Informal care time

Table 3 summarizes the mean daily informal care times according to ADL and IADL 242scores. The results indicated that informal care was provided in all institutions. Even after 243244adjusting informal care time by the caregivers' contribution levels, there were no major changes in the patterns of informal care time across the residence types. Figure 1 shows 245246the result of comparison of the mean daily informal care time between previous studies 247and the present study. The mean informal care time for people with dementia in a community setting became 248249longer as their care-needs levels increased (Care-Needs Level 1 through 5: 7.7, 9.7, 10.1, 25010.4, 10.8 hours respectively). In contrast, institutionalized people with dementia required less informal care time even when their care-needs levels were high. Furthermore, 251252caregivers who had taken nursing care leave from work provided more informal care time (11.9 hours) than those who were employed (7.6 hours). Caregivers who did not cohabit 253with people with dementia provided almost the same amount of informal care time (9.1 254hours) as those who cohabited with people with dementia (9.3 hours) in a community 255setting. We also assessed supervision time, showing about 2.6 hours per day. 256257

259 *3.3. Cost estimation*

Figure 2 presents the mean monthly dementia care costs stratified by residence type. 260Out-of-pocket payments were lower in community-dwelling people with dementia 261262(US\$619) than in institutionalized patients (US\$1449). In particular, the out-of-pocket payments were higher in LTC facilities and intensive care homes for LTC services not 263covered by insurance. However, when including informal care costs, the total costs were 264265higher in community-dwelling people with dementia (US\$2309) than in institutionalized patients (US\$2102). This is because informal care costs were 2.5 to 5 times higher in 266267community-dwelling people with dementia (US\$1559) than in those residing in the 268various institutions. The results of the internal group comparison among residence types 269for total out-of-pocket payments and total costs including informal care costs, are shown 270in Supplementary Table 1.

271 *3.4. OE ratios*

Table 4 shows the OE ratios for dementia care costs stratified by residence type. The results showed that the out-of-pocket payments for LTC services covered by insurance tended to be higher for people in LTC facilities and intensive care homes. When considering the costs excluding informal care, caregiving for community-dwelling people

with dementia had the lowest OE ratio; however, this residence type was associated witha higher OE ratio after the inclusion of informal care.

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4. Discussion
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In this study, we comparatively examined the personal cost of dementia care as 280informal care costs and out-of-pocket payments among different residence types in Japan. 281282The mean informal care time per day was 9.2 hours for community-dwelling people with 283dementia. The results also indicated that institutionalized people with dementia still 284received informal care from voluntary caregivers. The out-of-pocket payments for LTC services were higher than for healthcare costs among the different residence types except 285for patients in sanatoriums and hospitals. With the inclusion of informal care costs, the 286287total costs were higher in community-dwelling people with dementia than those living in institutions. 288

We compared the mean informal care times among the present study and previous studies that focused on primary caregivers in a community setting ^{5,7,13,14,26,28–40}, and the mean informal care time in a community setting in this study was generally higher than that of previous studies. However, even after adjusting informal care time by the caregivers' contribution levels, the results of informal care times in this study were within

the ranges reported by previous studies. The results for informal care time in this study 294are consistent with those reported in previous studies conducted in Japan (3.4-9.5 hours) 295^{7,28,30–32,36}. Furthermore, these results generally supported our expectations that people 296297 with high care-needs levels require longer informal care times in a community setting, and that caregivers provide longer informal care times when they live together with the 298299person with dementia or take a leave of absence from work in order to provide nursing 300 care. Although the RUD questionnaire has predominantly been used in an interview setting ¹⁰, these results support the utility of our self-administered questionnaire; however, 301 302further analysis is still needed to examine its validity in this application. 303 The importance of this study lies in the use of the RUD questionnaire, which enables international comparisons. However, different informal care times can cause variations in 304 cost estimates ⁴¹. The mean informal care time per day in our sample was longer than 305 those reported in the majority of previous studies (1.45–9.50 hours) ^{5,7,14,26,28–37,39,40}. This 306 307 may be influenced by the emphasis on family care in the Confucian values prevalent in East Asian countries ⁵, which is corroborated by the similarly longer informal care times 308

- 309 reported in other Japanese studies $^{28,31-33,36}$.
- 310 Many previous studies that analyzed dementia care costs have omitted informal care in 311 institutionalized people with dementia as it was assumed that only professional care was

provided at the institutions ^{2,3}. However, this study shows that informal care is still 312provided to institutionalized people with dementia in Japan. The systematic review by 313 Schaller et al. reported that few studies have considered the provision of informal care at 314institutions ^{2,42–44}. Other studies have reported that family caregivers provide informal 315care (such as eating and toileting assistance) when they visit institutionalized people with 316 dementia [2, 38-41]. Some caregivers visit every day and stay for as long as 16 hours [40]. 317 It may therefore be advantageous for future studies to conduct more specific surveys 318 focused on informal care provided in institutions. 319

320 This study also showed that informal care accounts for more than half of the dementia care costs for community-dwelling people with dementia, which is consistent with the 321findings of previous studies ^{2–4}. Increasing the number of community-dwelling people 322323 with dementia may reduce the costs of formal care by transferring the burden onto caregivers. If adopting a societal or insurance payer's perspective, these results may 324support the decrease in institutionalization in order to reduce government spending². 325However, the informal caregivers are unlikely to be able to provide adequate care if 326 formal care was substantially reduced. Our results indicate that there is a need for an 327 328 integrated care system that incorporates and supports community-based care in addition to formal care. 329

330	OE ratios were used as an indicator of costs adjusted by patient age, sex, and care-
331	needs levels in each of the residence types. Out-of-pocket-payments for LTC services that
332	are not covered by insurance were found to be particularly high in fee-based homes for
333	older persons or group homes. Several free-form comments from the survey noted that
334	the entrance fees and living expenses are particularly high in these institutions. On the
335	other hand, the caregivers for community-dwelling people with dementia paid substantial
336	amounts for housekeepers and consumables (such as diapers) as out-of-pocket payments
337	not covered by insurance. People with dementia who are institutionalized in LTC health
338	facilities and intensive care homes for older persons generally pay much more for LTC
339	services covered by insurance. This is because these individuals tend to have higher care-
340	needs levels and consume a larger quantity of these services. Cost estimates that only
341	consider payments covered by insurance would underestimate the personal economic
342	burden of patients and caregivers. In this study, we were able to quantify the actual
343	personal economic burden for dementia care that included informal care costs and out-of-
344	pocket payments not covered by insurance. These results support considering the balance
345	between the government's fiscal burden and caregivers' economic burdens to construct a
346	sustainable dementia care system.

347 There are several limitations to this study. First, we conducted a web-based

questionnaire survey to caregivers of people with dementia. The respondents tended to be 348 male and relatively young, which reflects the general characteristics of web-based 349research ^{45,46}. The sample may therefore not be representative of all caregivers, and 350351sampling errors may arise because the sample is limited to individuals who can access the Internet and are registered with an Internet research company. Second, our dataset did not 352include clinical severity data measured by the Mini Mental State Examination or 353Neuropsychiatric Inventory questionnaire. However, care-needs levels are determined 354using an evidence-based computer algorithm and an expert panel to indicate the amount 355356of care required by each person while taking into consideration their symptoms and functional capability. Clinical severity may not be indicative of the burden of care, and 357the use of care-needs levels therefore allows greater accuracy in determining individual 358359requirements for care.

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361 Conclusion

This study revealed the costs of dementia care in different residence types in Japan. The inclusion of informal care costs reduced the overall cost differences among the residence types. In a community setting, informal care costs were much higher than in institutions, and the total costs that included these informal care costs were also higher

366	despite the lower out-of-pocket payments. These findings may contribute to the
367	development of dementia care systems in Japan that consider both personal and societal
368	economic burdens.

370 Abbreviations

- 371 ADL: Activities of Daily Life
- 372 IADL: Instrumental Activities of Daily Life
- 373 LTC: Long-Term Care
- OE ratio: the ratio between the observed and expected values
- 375 RUD: Resource Utilization in Dementia
- 376 SV: Supervision
- 377
- 378 **Declarations**
- 379 Acknowledgements
- 380 None.

381 Conflicts of interests

382 The authors declare that they have no competing interests.

383 Ethics statement

384 This study was approved by the Ethics Committee of Kyoto University Graduate School

of Medicine (R0487). Finishing to answer the questionnaire implied consent.

386 Funding sources

- 387 This study was supported financially by a Health Sciences Research Grant from the
- 388 Ministry of Health, Labour and Welfare of Japan [H27-ninntishou-ippan-001], the Japan
- Foundation for Aging and Health [H27], and a Grant-in-Aid for Scientific Research from
- the Japan Society for the Promotion of Science [[A]16H02634]. The funders had no role
- in study design, data collection and analysis, decision to publish, or preparation of the
- 392 manuscript.

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Table 1. Components of the revised Resource Utilization in Dementia (RUD) questionnaire used for the web-based survey

Caregiver	Person with Dementia
(1) Caregiver's characteristics	(2) PwD's characteristics
Age, sex, marital status, number of children, household income, personal income,	Age, sex, relationship with the caregiver, and number of people living with the
and number/relationship of people living with the caregiver	PwD
	[Additional Questions]
	ADL and IADL function, copayment rate for healthcare services, care-needs level,
	type of dementia, causes of care needs, and residence type
(3) Caregiver's working status and informal care	(4) Healthcare and LTC services for dementia care
Contribution level, cohabiting with PwD, informal care time (ADL, IADL, and	Utilization of LTC services and healthcare services
SV), employment status, paid working hours, reason for unemployment, and	
working hours	[Additional Questions]
	Out-of-pocket payments for healthcare services, LTC services covered by
[Additional Questions]	insurance, and LTC services not covered by insurance
Visiting duration and type of transportation taken to visit the PwD	

Abbreviations: ADL, Activities of Daily Living; IADL, Instrumental Activities of Daily Living; LTC, long-term care; PwD, person with dementia; SV, Supervision

Table 2. Characteristics of people with dementia and their caregivers

	Total	Community	Elderly housing with	Fee-based homes for	Group homes	Long- term care	Intensive care homes for	Sanatoriums/
	(n=3841)	residence	care services	older persons	(n=177)	facilities	older persons	Hospitals
		(n=2290)	(n=81)	(n=413)		(n=183)	(n=396)	(n=301)
People with Dementia								
Age, mean±SD, y	82.5±10.77	81.5±10.5	80.1±13.3	84.4±11.1	85.9±8.13	82.4±12.4	85.5 ± 10.8	83.1±10.32
Sex, n (%)								
Female	2640 (68.7)	1514 (66.1)	46 (56.8)	292 (70.7)	144 (81.4)	137 (74.9)	308 (77.8)	199 (66.1)
Male	1201 (31.3)	776 (33.9)	35 (43.2)	121 (29.3)	33 (18.6)	46 (25.1)	88 (22.2)	102 (33.9)
Care-needs level, n (%)								
Support-Needs Level 1-2	422 (11.0)	333 (14.5)	11 (13.6)	44 (10.7)	8 (4.5)	7 (3.8)	5 (1.3)	14 (4.7)
Care-Needs Level 1	551 (14.3)	409 (17.9)	16 (19.8)	47 (11.4)	26 (14.7)	22 (12.0)	14 (3.5)	17 (5.6)
Care-Needs Level 2	685 (17.8)	466 (20.3)	18 (22.2)	83 (20.1)	41 (23.2)	24 (13.1)	30 (7.6)	23 (7.6)
Care-Needs Level 3	695 (18.1)	375 (16.4)	16 (19.8)	75 (18.2)	38 (21.5)	37 (20.2)	103 (26.0)	51 (16.9)
Care-Needs Level 4	494 (12.9)	184 (8.0)	12 (14.8)	54 (13.1)	37 (20.9)	41 (22.4)	112 (28.3)	54 (17.9)
Care-Needs Level 5	501 (13.0)	160 (7.0)	3 (3.7)	59 (14.3)	21 (11.9)	47 (25.7)	111 (28.0)	100 (33.2)
Non-approved/Unknown	493 (12.8)	363 (15.9)	5 (6.2)	51 (12.3)	6 (3.4)	5 (2.7)	21 (5.3)	42 (14.0)
ADL/IADL functional capabilities								
ADL score (0-6), mean	2.7	3.3	3.2	2.2	2.5	1.7	1.2	1
IADL score (0-7), mean	1.0	1.4	1.3	0.8	0.4	0.4	0.3	0.3
Caregivers								
Age, mean±SD, y	51.9±13.20	50.9±13.1	$52.4{\pm}14.0$	51.0±14.1	55.1±12.08	54.7±12.7	54.7±12.9	53.4±12.9
Sex, n (%)								
Female	1622 (42.2)	989 (43.2)	30 (37.0)	157 (38.0)	80 (45.2)	74 (40.4)	155 (39.1)	137 (45.5)
Male	2219 (57.8)	1301 (56.8)	51 (63.0)	256 (62.0)	97 (54.8)	109 (59.6)	241 (60.9)	164 (54.5)
Relationship, n (%)								

Mother	1513 (39.4)	885 (38.6)	24 (29.6)	137 (33.2)	92 (52.0)	85 (46.4)	184 (35.2)	106 (19.9)
Mother-in-law	511 (13.3)	273 (11.9)	15 (18.5)	70 (16.9)	22 (12.4)	27 (14.8)	57 (14.4)	47 (15.6)
Father	678 (17.7)	463 (20.2)	14 (17.3)	50 (12.1)	17 (9.6)	27 (14.8)	51 (12.9)	56 (18.6)
Father-in-law	244 (6.4)	153 (6.7)	10 (12.3)	32 (7.7)	6 (3.4)	8 (4.4)	17 (4.3)	18 (6.0)
Spouse	197 (5.1)	148 (6.5)	7 (8.6)	7 (1.7)	6 (3.4)	7 (3.8)	7 (1.8)	15 (5.0)
Sibling	58 (1.5)	23 (1.0)	2 (2.5)	12 (2.9)	2 (1.1)	7 (3.8)	7 (1.8)	5 (1.7)
Child	28 (0.7)	14 (0.6)	0 (0.0)	4 (1.0)	1 (0.6)	4 (2.2)	1 (0.3)	4 (1.3)
Friend	28 (0.7)	15 (0.7)	0 (0.0)	5 (1.2)	2 (1.1)	0 (0.0)	3 (0.8)	3 (1.0)
Other (including grandparents)	584 (15.2)	316 (13.8)	9 (11.1)	96 (23.2)	29 (16.4)	18 (9.8)	69 (17.4)	47 (15.6)
Contribution level for caregiving, n (%)								
1-20%	1939 (50.5)	848 (37.0)	36 (44.4)	283 (68.5)	140 (79.1)	127 (69.4)	308 (77.8)	197 (65.4)
21-40%	787 (20.5)	544 (23.8)	25 (30.9)	87 (21.1)	18 (10.2)	25 (13.7)	46 (11.6)	42 (14.0)
41-60%	434 (11.3)	344 (15.0)	13 (16.0)	26 (6.3)	3 (1.7)	10 (5.5)	15 (3.8)	23 (7.6)
61-80%	261 (6.8)	218 (9.5)	5 (6.2)	7 (1.7)	4 (2.3)	9 (4.9)	4 (1.0)	14 (4.7)
81-100%	420 (10.9)	336 (14.7)	2 (2.5)	10 (2.4)	12 (6.8)	12 (6.6)	23 (5.8)	25 (8.3)
Currently employed, n (%)	2083 (54.2)	1284 (56.1)	41 (50.6)	206 (50.0)	95 (53.7)	87 (47.5)	218 (55.1)	152 (50.5)

ADL: Activities of Daily Living, IADL: Instrumental Activities of Daily Living, SD: Standard Deviation

Table 3. Mean informal care time per day according to residence type

				Elderly housing			Intensive			
	Mean±SD	Total	Community	with care services	Fee-based homes for older persons (n=413)	Group homes (n=177)	Long-term care	care homes	Sanatoriums/	
			residence (n=2290)				facilities	for older	Hospitals	
							(n=183)	persons	(n=301)	
				(11=81)				(n=396)		
A 1° / 10	ADL	4.5±3.9	4.9±3.6	4.2±3.4	4.4±4.3	3.6±4.8	3.4±4.3	3.6±4.4	3.3±4.0	
Adjusted	IADL	3.7±3.4	4.3±3.2	3.9±3.6	3.2±3.4	2.2±3.5	2.4±3.4	2.5±3.3	2.9±3.7	
	ADL+IADL	8.1±6.0	9.2±5.5	8.0±6.0	7.6±6.4	5.7±6.4	5.8±6.2	6.1±6.4	6.2±6.2	
	ADL	2.4±3.0	2.9±3.2	2.2±3.1	1.8 ± 2.5	1.4 ± 2.8	1.5±2.6	1.6±2.8	1.5±2.6	
Non-Adjusted	IADL	2.0±2.8	2.6±3.0	2.0 ± 2.8	1.5±2.3	$1.0{\pm}2.0$	1.3 ± 2.9	1.1±2.1	1.4 ± 2.3	
	ADL+IADL	4.4±5.3	5.4±5.6	4.2±5.2	3.3±4.2	2.4±4.0	2.8 ± 4.8	2.6±4.4	2.9 ± 4.4	

Abbreviations: ADL, Activities of Daily Living; IADL, Instrumental Activities of Daily Living; SD, Standard Deviation.

^a Adjusted using the caregivers' contribution levels.

Table 4. OE ratio for dementia care costs according to residence type

1

	Community residence (n=2290)	Elderly housing with care services (n=81)	Fee-based homes for older persons (n=413)	Group homes (n=177)	Long-term care facilities (n=183)	Intensive care homes for older persons (n=396)	Sanatoriums /Hospitals (n=301)
A: Informal care costs	1.31	0.53	0.59	0.32	0.43	0.42	0.65
B: OPP for LTC services not covered by insurance	0.58	1.64	2.11	2.09	1.06	1.01	1.46
C: OPP for LTC services covered by insurance	0.84	1.11	1.30	1.40	1.28	1.28	0.93
D: OPP for healthcare services	0.68	1.19	1.45	1.02	1.02	0.88	2.45
OPP for LTC services (B+C)	0.72	1.46	1.76	1.81	1.13	1.14	1.19
Total LTC costs (A+B+C)	1.10	0.87	1.02	0.88	0.71	0.7	0.86
Total OPP (B+C+D)	0.73	1.42	1.70	1.58	1.12	1.08	1.59
Total healthcare and LTC costs (A+B+C+D)	1.06	0.93	1.11	0.87	0.77	0.72	1.10

2 Abbreviations: LTC, Long-term care; OE, observed/expected; OPP, out-of-pocket payments.

3 The OE ratios were calculated for each residence type after adjusting for sex, age, and care-needs levels of people with dementia. Missing values were excluded from analysis.

- 4 Figure 1. Comparison of mean informal care times among the present study and previous studies ^{5,7,13,14,26,28-40}
- $\mathbf{5}$
- 6 The lined bars indicate non-Japanese studies, the grey bars indicate Japanese studies, and the black bars indicate the present study.
- 7 Three types of results from the present study are provided: (1) Adjusted costs using the caregivers' contribution levels, (2) non-adjusted costs, and (3) costs for
- 8 primary caregivers (contribution level >60%) in a community setting. Also, we showed the 95% confidence interval of the mean value excluding some previous
- 9 studies that did not include the standard deviation.
- 10 ^a Including supervision
- ^b Weekly informal care time converted into daily informal care time (7 days/week)
- ^c Monthly informal care time converted into daily informal care time (30 days/week)
- 13



- 14 Figure 2. Mean monthly costs of dementia care according to residence type
- 15
- 16 Abbreviations: LTC, Long-term care; OPP, out-of-pocket payments.
- 17 All costs are expressed as US dollars using the purchasing power parity rate in 2016 (¥102=\$1). Missing values were excluded from analysis.



1 Supplementary Table 1. Total out-of-pocket payments and total costs comparing among residence types

Mean±SD	A: Community residence (n=1558)	B: Elderly housing with care services (n=59)	C: Fee-based homes for older persons (n=238)	D: Group homes (n=100)	E: Long-term care facilities (n=109)	F: Intensive care homes for older persons (n=248)	G: Sanatoriums/ Hospitals (n=170)
Total out-of-pocket payments [†]	619±976	1324±1493	1620±1550	1505±831	1252±955	1182±1207	1734±1641
Total healthcare and LTC costs [‡] (including informal care costs)	2309±2314	2089±1879	2453±2095	1861±1132	1785±1366	1657±1739	2610±2387

2 Abbreviation: SD, Standard Deviation

- 3 Multiple comparison among residence types used analysis of variance (ANOVA) with Post-Hoc Games Howell test (p<0.05)
- ⁴ [†] Singificant difference between following pairs: A vs. B, C, D, E, F, G; C vs. F; E vs. G; F vs. G
- 5 ‡ Singificant difference between following pairs: A vs. D, E, F; C vs. D, E, F; D vs. G; E vs. G; F vs. G
- 6 All costs are expressed as US dollars using the purchasing power parity rate in 2016 (¥102=\$1).

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