

1 **Title.**

2 **Numerical study on the effect of rotation radius of geotechnical centrifuge on the**  
3 **dynamic behavior of liquefiable sloping ground**

4

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17

18 **ABSTRACT**

19 For a small-size geotechnical centrifuge, it is well known that a uniform gravity field,  
20 which is position-independent, cannot be achieved in a model ground due to finite  
21 lengths of rotating radius: a gravity field in the centrifuge becomes radial. However,  
22 little works have been done related to the radial gravity effect on seismic responses of  
23 the model ground. This paper presents finite element simulation results for dynamic  
24 centrifuge model tests of a liquefiable sloping ground conducted at two centrifuge  
25 facilities having different small-radial arms with the shaking direction being tangential  
26 to the axis, aiming to show the importance of considering the radial gravity effect in  
27 numerical simulation. The simulations are performed in the centrifuge model scale by  
28 using a strain space multiple mechanism model; the radial gravity field is applied to the  
29 model ground at the stage of self-weight analyses before seismic response analyses are  
30 carried out. Comparison of the simulated seismic response with the centrifuge test  
31 results demonstrates that the experimental deformation mode due to lateral spreading  
32 during shaking is simulated with higher accuracy, particularly near the side boundaries,  
33 by considering the small-radius effect (i.e., the radial gravity field instead of the uniform  
34 gravity field) in an appropriate manner.

35

36 *Keywords:* Centrifuge model test, Radial gravity field, Finite element Analysis, Strain  
37 space multiple mechanism model, Lateral spreading

38

39

## 1. Introduction

40

41 The soil liquefaction has been one of the main research areas of the geotechnical  
42 community due to continual observance of the catastrophic failure of structures under  
43 the phenomenon of soil liquefaction during the recent earthquakes. Over the last few  
44 years, the understanding of the soil liquefaction phenomenon has immensely improved  
45 because of centrifuge modeling, where the exact phenomenon can be recreated to get a  
46 better understanding and to assess its possible impact on the soil-structure interactions.  
47 Availability of the case history databases, laboratory soil test data, and centrifuge test  
48 results have motivated researchers for the development of various constitutive models.  
49 During the VELACS (Verification of Liquefaction Analysis by Centrifuge Studies)  
50 project [1], a necessity was felt to validate the centrifuge test results with the various  
51 constitutive models and to study the centrifuge test results among the different facilities.  
52 However, some variations in the centrifuge test results were found among the different  
53 facilities prompting the researchers to study the possible cause of differences among the  
54 centrifuge facilities.

55 After VELACS, another international joint venture called LEAP (Liquefaction  
56 Experiments and Analysis Projects) [2] was proposed. The major objective of LEAP is  
57 to evaluate the capabilities of various numerical codes for the liquefaction phenomenon

58 using Ottawa F-65 sand as the standard sand for this project. On the sideline of LEAP  
59 Project (LEAP GWU-2015), a study was carried out by Tobita et al. [3] to consider the  
60 curving effect of the ground surface in centrifuge modeling. The major goal of this  
61 research was to study the effect of the radial gravity field for a small size centrifuge that  
62 might influence overall results to a large extent.

63 The difference between the uniform gravity field and non-uniform gravity field has  
64 already been studied. The gravity field varies linearly with radius from the center of  
65 rotation,  $r$ , and as a square of the angular velocity,  $\omega$ , of the centrifuge, and is explained  
66 as  $r\omega^2$  [4]. In the radial gravity field, the centrifugal acceleration field that provides the  
67 high  $g$  is radial by definition emanating outward from the center of rotation of the  
68 centrifuge. For both the model and the prototype scale, the total pressure is zero on the  
69 surface but is different below the surface, depending on the depth [5].

70 When geotechnical researchers/engineers try to numerically simulate the experimental  
71 results under seismic loading obtained at a small radius centrifuge, it may be necessary  
72 to pay attention to the non-uniform gravity field. This is because the seismic response is  
73 more or less influenced by the initial stress condition before shaking, which changes  
74 depending on the gravity field. However, the influence has not yet been studied in a  
75 quantitative way, except for the experimental study by Tobita et al. [3], to the best of  
76 authors' knowledge. In particular, no or little consideration has been given to the  
77 influence of a small rotation radius when numerical modelers try to simulate centrifuge

78 experimental results.

79 In this paper, an effort has been made to study the effect of the radial gravity field by  
80 carrying out a numerical study using a strain space multiple mechanism model based on  
81 finite strain theory incorporating a new stress-dilatancy relationship. Initially, the  
82 constitutive model parameters were determined based on the results of cyclic torsional  
83 shear tests followed by the numerical analysis of a liquefiable sloping ground. The radial  
84 gravity field was applied as body forces in both the vertical and horizontal directions to  
85 the model ground at the stage of self-weight analyses before seismic response analyses  
86 were carried out.

87

## 88 **2. Modeling of a non-uniform gravity field**

89

90 The radial gravity field in a large radius and a small radius centrifuge for a planar  
91 surface model is described in Fig. 1. When the arm length of the centrifuge is large (e.g.,  
92 the 9 m radius centrifuge at the University of California, Davis), the variation of the  
93 centrifugal acceleration on the surface would be small and hence could be ignored.  
94 However, this error cannot be ignored for a short radius centrifuge (e.g., the 2.5 m radius  
95 centrifuge at the DPRI, Kyoto University): the planar surface of the model may act like  
96 a curved surface in prototype scale because centrifugal accelerations applied on the  
97 model surface vary depending on the distance between the rotation center and the ground

98 surface.

99 As shown in Fig. 1, for a larger radius centrifuge, the gravity acts in the nearly vertical  
100 direction and does not depend on the depth  $y$ ; this is because  $y$  is negligibly small  
101 compared to the large radius  $r$ , and thus the angle  $\theta$  is close to zero (i.e.,  $r' = r$ ). Hereafter,  
102 this condition is called the “uniform gravity field.” On the other hand, the gravity for a  
103 smaller radius centrifuge acts in the radial direction due to non-zero  $\theta$  values and hence  
104 the gravity field becomes non-uniform. In this case, the gravity force varies depending  
105 on the depth  $y$  as well as its horizontal variation described earlier; this is because a  
106 change in  $y$  cannot be ignored compared to the short radius  $r$ . The initial conditions of  
107 vertical and horizontal stresses in the model ground before shaking may be influenced  
108 by the radial gravity field on a large scale for a small arm centrifuge, which might be  
109 critical while studying the subsequent dynamic problems (e.g., liquefaction-induced  
110 lateral spreading).

111 In this study, three different gravity fields are considered, as shown in Table 1 and Fig.  
112 2. Case 1 is an idealized model corresponding to a centrifuge having an infinite length  
113 of rotational radius; the direction of gravity acceleration is vertical, and the uniform  
114 gravity field can be achieved. However, the gravity field in a short-radius centrifuge  
115 becomes non-uniform due to the influence of radial gravity acceleration (Case 2a); in  
116 addition, the applied gravity in the ground varies depending on the depth as explained  
117 in Fig. 1(b). The third case (i.e., Case 2b) is an imaginary (or unreal) condition: the

118 gravity force in the ground depends on the depth due to a short rotation radius, but the  
119 horizontal component of gravity force is ignored (i.e., the gravity direction is assumed  
120 vertical).

121 In the numerical simulation, the radial gravity body forces are applied both in the  
122 vertical and horizontal directions for all finite elements during a self-weight analysis  
123 prior to shaking events, as described later in subsection 3.3. In this way, the effect of the  
124 radial gravity field is reflected in the initial distribution of vertical stresses, horizontal  
125 stresses, and shear stresses, which are explained later in Figs. 10 through 12 and in Figs.  
126 17 through 19.

127

### 128 **3. Summary of numerical simulation**

129

#### 130 *3.1. Constitutive model*

131 A strain space multiple mechanism model originally proposed by Iai et al. [6] is used  
132 for the numerical simulation of the centrifuge experimental tests conducted by Tobita et  
133 al. [3, 7]. The model has been extended by incorporating a new stress-dilatancy  
134 relationship [8] and implemented in a large deformation analysis program based on the  
135 finite strain theory [9]. The analysis program is called “FLIP TULIP” (Finite Element  
136 Analysis Program of LIquefaction Process/Total and Updated Lagrangian Program of  
137 LIquefaction Process) and is able to consider the geometrical nonlinearity as well as

138 material nonlinearity. The model has been widely used to study the soil-structure  
139 interaction problems, including liquefaction under seismic loading, particularly in Japan.  
140 A detailed description of the constitutive model can be found in [9, 10].

141 The numerical simulation results are compared with the centrifuge test results [3, 7] to  
142 investigate the effect of the radial gravity field on the seismic response of liquefiable  
143 sloping ground in a quantitative way. The centrifuge experiments were conducted at the  
144 Disaster Prevention Research Institute, Kyoto University (KyU) using a beam-type  
145 centrifuge having an effective radius of 2.5 m as a part of LEAP-GWU-2015 project [2]  
146 and at the Center for Geotechnical Modeling at University of California, Davis (UCD)  
147 using a beam type centrifuge having an effective radius of 1 m as a part of LEAP-ASIA-  
148 2019 [7]. Ottawa F-65 sand was used for both the centrifuge tests. The centrifuge test at  
149 KyU was carried out at a relative density of 65%, whereas the centrifuge test at UCD  
150 was carried out at a relative density of 67%. The influence of the radial gravity field on  
151 the soil model response involving a lateral spreading event is studied by carrying out  
152 numerical simulation for the two centrifuge facilities having a different radius of rotation.  
153 As shown in Fig. 3, the ground surface is shaped as a curved for both the centrifuge tests  
154 to consider the effect of the radial gravity field considering the shaking direction in the  
155 plane of spinning of the centrifuge. More detailed information about the centrifuge test  
156 method and the test results can be found in [3, 7].

157



158 *3.2. Determination of model parameters based on Torsional shear test*

159 This subsection explains the selection and determination of constitutive model  
160 parameters. The parameters are divided into three types according to the volumetric  
161 mechanism, shear mechanism, and dilatancy.

162 The model parameters were adjusted based on the results of cyclic torsional shear tests  
163 for Ottawa F65 Sand carried out by Uemura et al. [11]. The cyclic torsional shear tests  
164 were carried out at a relative density of 60% under a confining pressure of 100 kPa. A  
165 detailed description of the determination of model parameters can be found in [12].

166 Tables 2 and 3 represent the model parameters for deformation characteristics and  
167 dilatancy, respectively. One of the model parameters defining deformation mechanism  
168  $r_K$  was slightly varied between KyU element simulations and UCD element simulations.

169 This was done to achieve a closer EPWP dissipation response to the measured centrifuge  
170 response, as shown later in Fig. 15 and Fig. 21. Apart from it, dilatancy parameters  
171 defined in terms of  $r_{\varepsilon_d^c}$ ,  $r_{\varepsilon_d}$  and  $c_1$  were slightly adjusted in order to improve the

172 quality of numerical simulation for the two centrifuge facilities described later in  
173 sections 4 and 5. However, the constitutive model parameters were not changed

174 significantly but with minor changes, as shown in Table 2 and Table 3. Hence, the  
175 numerical simulations for the cyclic torsional shear test is only shown for KyU in Figs.

176 4-6 with the dataset of parameters defined in Tables 2 and 3 for KyU for a cyclic stress  
177 ratio of 0.20, 0.18, and 0.15. As seen from Figs. 4-6, the experimental results are

178 reasonably simulated for all the cyclic stress ratio values. The increase in strain  
179 amplitude, response in terms of stress path, the stress-strain behavior, and the excess  
180 pore water pressure (EPWP) generation are found to be well simulated using the  
181 constitutive model parameters. Fig. 7 shows the computed liquefaction resistance curves  
182 for KyU and UCD with the set of parameters defined in Table 2 and Table 3 for KyU  
183 and UCD, respectively. The slight changes made to the constitutive model parameters  
184 are found to have minimum influence on the liquefaction resistance curves, and the  
185 simulated liquefaction resistance curves for both the facilities for different levels of  
186 strain are in good agreement with the torsional shear test results, suggesting that the  
187 onset of liquefaction is reasonably represented.

188

### 189 *3.3. Analytical conditions*

190 The finite element (FE) analysis was carried out under a two-dimensional plane strain  
191 condition. In the numerical simulation, 4-node quadrilateral elements were used along  
192 with the reduced integration (SRI) technique [13]. The finite element mesh in Fig. 8  
193 consists of 1701 nodes and 3200 elements, including pore water elements. The element  
194 sizes are about one-tenth of the wavelength corresponding to the highest frequency of  
195 interest [14].

196 The degrees of freedom of displacement was fixed at the base in both the lateral and  
197 vertical directions, while only lateral displacements were fixed at the side boundaries.

198 The side and bottom boundaries were set to be impermeable. Pore water pressure  
199 boundary was specified to represent a hydrostatic condition along the curved surface.  
200 The measured acceleration at the bottom of the rigid box in the centrifuge model test  
201 was applied to the base nodes as an input motion (Fig. 9). In both cases, the ramped  
202 sinusoidal waves compose 1Hz and 16 cycles and have a peak ground acceleration of  
203 0.15 g.

204 A self-weight analysis was carried out prior to a dynamic response analysis for  
205 evaluating initial stress distribution in the model, where non-uniform gravity force was  
206 applied in Case 2a and Case 2b in order to consider its influence on the initial vertical,  
207 horizontal and shear stress distribution. In Case 1, the uniform gravity field was applied  
208 in a conventional manner.

209 The numerical time integration for the dynamic response analysis was carried out by  
210 the SSpj method [15]. The standard parameters for the SSpj method, i.e.,  $\theta_1 = 0.6$ ,  
211  $\theta_2 = 0.605$  for the equation of motion and  $\theta_1 = 0.6$  for the mass balance equation of  
212 pore water flow, were used with a time step of 0.0000225 s in model scale. Rayleigh  
213 damping with  $\alpha = 0.0$  and  $\beta = 0.001$  (or stiffness proportional damping in this case) was  
214 used to ensure the stability of the numerical solution process.

215

216 **4. The numerical simulation results for KyU Centrifuge (Radius = 2.5m) –**

217

**LEAP-GWU-2015**

218

219 *4.1. Results of self-weight analysis in Case 1, Case 2a and Case 2b*

220 The computed deformed configuration with lateral stress distribution for all the cases  
221 is shown in Fig. 10. For Case 1 and Case 2b, the lateral stress is found to be uniformly  
222 distributed for a certain depth interval, whereas for Case 2a, the lateral stress is more  
223 radially distributed around the radius and its uniformity for the different depth intervals  
224 is slightly lesser as compared to Case 1 and Case 2b.

225 Computed deformed configuration with vertical effective stress is shown in Fig. 11.  
226 The variation of the vertical stress with the depth intervals for all the three cases seems  
227 to be similar.

228 Computed deformed configuration with shear stress distribution is shown in Fig. 12.  
229 For Case 1 and Case 2b, the concentration zone of shear stresses is found to be present  
230 at the bottom of both sides of the soil model. The shear stress zone was induced by  
231 applying the vertical gravity, which makes the computed shear stress distribution within  
232 the soil model to be completely different from that in Case 2a, where the shear stress is  
233 more uniformly distributed throughout the depth by applying the radial field gravity.

234

235 *4.2. Simulated dynamic response for lateral displacement*

236 Fig. 13(a) represents the simulated lateral displacement response for Case 1. The  
237 lateral displacement at the ground surface is underestimated near the right boundary (at

238  $x = 3B/4$ ), while the experimental result for the left part (at  $x = B/4$ ) seems to be well  
239 simulated, resulting in the same residual lateral displacement towards the end of shaking.  
240 At the center part (i.e.,  $x = B/2$ ), the simulated lateral displacement is found to be less  
241 than the measured response, with less values of maximum and residual lateral  
242 displacement being computed. It is noted that the measured displacement near the right-  
243 side boundary shows a positive directional response, whereas a negative displacement  
244 response is obtained from the numerical simulation showing dissimilarity in the  
245 deformation modes.

246 Fig. 13(b) represents the simulated lateral displacement response for Case 2a. The  
247 simulated lateral displacement responses at the ground surface are similar to the  
248 centrifuge test results at all three locations, with nearly the same residual lateral  
249 displacement observed at the end of shaking. This similarity between the centrifuge and  
250 simulated response is because of the consideration of the radial gravity field in the  
251 numerical model as well as in the centrifuge model.

252 Fig. 13(c) represents the simulated lateral displacement response for Case 2b. The  
253 simulated lateral displacement near the left side boundary (at  $x = B/4$ ) is found to be  
254 similar to the centrifuge result. However, the measured maximum and residual lateral  
255 displacements at the center of the model ( $x = B/2$ ) are underestimated by the simulation.  
256 In addition, the centrifuge shows a positive lateral displacement near the right-side  
257 boundary (at  $x = 3B/4$ ), while a negative lateral displacement response is obtained from

258 the simulation showing a difference in the deformation modes. The lateral displacement  
259 response for this case is much similar to Case 1 in Fig. 13(a) but different from Case 2a  
260 in Fig. 13(b). This means that the influence of depth-dependency in the vertical gravity  
261 force (i.e., the difference in the vertical stress distribution between Cases 1 and Case 2b  
262 in Fig. 2) is trivial, but the difference between the radial and vertical gravity fields has  
263 a great influence on the dynamic response.

264

#### 265 *4.3. Simulated dynamic response for vertical displacement*

266 The vertical displacement responses are shown in Fig. 14, which is found to be nearly  
267 similar between the numerical model and the centrifuge test at all the three locations for  
268 Case 1, Case 2a, and Case 2b. Comparison among Case 1, Case 2a, and Case 2b indicates  
269 that the difference in the applied gravity fields has no significant effect on the vertical  
270 displacement.

271

#### 272 *4.4. Simulated dynamic response for EPWP*

273 Fig. 15(a) represents the simulated EPWP response for Case 1. The simulated EPWPs  
274 in Fig. 15(a) are found to be less than the measured responses throughout the depth at  
275 the center ( $x = B/2$ ) of the model. This underprediction of EPWP throughout the depth  
276 may lead to an insecure or unsafe design of the soil-structure system against soil  
277 liquefaction. However, the maximum EPWP is found to occur at the same duration of

278 loading with nearly similar dissipation responses for the centrifuge and numerical model.

279 Fig. 15(b) shows the simulated EPWP response for Case 2a. Comparison of Fig. 15(b)  
280 with Fig. 15(a) indicates that much better representation of the measured EPWP  
281 response is obtained for Case 2a, with nearly similar EPWP generation and dissipation  
282 responses at P1 and P2. Towards the ground surface, even Case 2a has yet to fully  
283 replicate the experimental results at P3 and P4; however, we tried to do no further  
284 recalibration of the model parameters because our primary purpose is to demonstrate the  
285 influence of radial gravity fields on the simulated seismic response of a liquefiable  
286 sloping ground.

287 Fig. 15(c) shows the simulated EPWP response for Case 2b, having the same radial  
288 distance as that of Case 2a but under the influence of vertical radial gravity field ignoring  
289 the horizontal component of the non-uniform centrifugal acceleration. The peak of  
290 computed EPWP at P1 for this case is less than the measured response. It is also found  
291 to be slightly less than the computed EPWP at P1 for Case 2a shown in Fig. 15(b).  
292 However, the computed EPWP response towards the ground surface (P2, P3, and P4) is  
293 found to be similar to what was obtained in Case 2a when considering the horizontal  
294 component of the radial gravity field in addition to the vertical one (see Fig. 2). Hence,  
295 it can be said that the presence or absence of the horizontal gravity component seems to  
296 have little influence on the EPWP response near the centerline.

297 For comparison of the simulated acceleration responses with the measured ones for

298 Case 1, Case 2a and Case 2b, refer to Fig. A1 in Appendix.

299

#### 300 *4.5. Comparison among simulated response in Case 1, Case 2a and Case 2b*

301 From the comparison among three cases, it can be said that the seismic responses  
302 obtained from Case 2a are found to be in good agreement with the centrifuge test results,  
303 particularly in terms of lateral displacements and EPWP. On the other hand, the  
304 centrifuge test results (particularly in terms of lateral displacement responses of the soil  
305 model) cannot be reasonably simulated in Case 1, which does not consider the radial  
306 gravity field effect, and in Case 2b, which only considers the depth-dependency of  
307 vertical gravity force. Hence, consideration of the effect of the radial gravity field would  
308 be a critical step for the numerical modelers in order to predict the soil response more  
309 accurately.

310

#### 311 *4.6. The deformation with excess pore water pressure ratio*

312 Computed deformed configurations with the distribution of EPWP ratio for Case 1,  
313 Case 2a, and Case 2b are shown in Fig. 16. The snapshots were taken at around 12  
314 seconds when the lateral displacement at the center of the ground surface was computed  
315 to be maximum for all three cases. Although the EPWP ratio response in the center of  
316 the soil model is found to be comparable among the cases, Case 2a predicts the  
317 occurrence of soil liquefaction throughout the depth of soil model near the centerline.



318 The EPWP obtained for Case 2a at P1 near the bottom of the centerline (see Fig. 3) was  
319 found to be larger than that in Case 1 and Case 2b, whose EPWP response was found to  
320 be similar, thus validating the EPWP ratio variation near the centerline towards the  
321 bottom of soil model observed in Fig. 16. The distribution near the two sides of the soil  
322 model in Case 2a also shows higher EPWP values, predicting the occurrence of soil  
323 liquefaction, and differs significantly from the lower EPWP values in Case 1 and Case  
324 2b; this difference is considered to be reflected in the simulated response of lateral  
325 displacements particularly near the right side boundary as described in subsection 4.2.  
326 As well as the similarity in the simulated lateral displacement responses between Case  
327 1 in Fig. 13(a) and Case 2b in Fig. 13(c), similar EPWP distributions (i.e., localized  
328 EPWP increase near the center zone) are obtained for the two cases, as shown in Figs.  
329 16(a) and 16(c).

330 Hence, it can be said that the presence or absence of the horizontal gravity component  
331 arising from the radial gravity field (see Fig. 2) has a significant impact on the  
332 distribution of the EPWP ratio, particularly near the side boundaries. On the other hand,  
333 only taking into account the depth-dependency of vertical gravity force is found not to  
334 greatly affect the EPWP distribution from a comparison between Figs. 16(a) and 16(c).

335

336 **5. The numerical simulation results for UCD Centrifuge (Radius = 1.0m) –**

337

**LEAP-ASIA-2019**

338

339 *5.1. Results of self-weight analysis in Case 1, Case 2a and Case 2b*

340 The computed deformed configuration with lateral stress for all the cases is shown in  
341 Fig. 17. The lateral stress for Case 2a is found to be radially distributed towards the side  
342 boundaries, whereas for Case 1 and Case 2b, the lateral stress is found to be uniformly  
343 distributed for a certain depth interval. This distribution of lateral stress is similar to the  
344 computed deformed configuration for KyU shown in Fig. 10.

345 The distribution of computed vertical effective stress is shown in Fig. 18. The variation  
346 of the vertical stress is observed to be similar for all the cases throughout the entire width  
347 and depth of the soil model.

348 The distribution of computed shear stress is shown in Fig. 19. A similar shear stress  
349 distribution is observed between Case 1 and Case 2b with a concentration zone of shear  
350 stress found to be present near the left side boundary at the bottom of the soil model.  
351 However, the shear stress distribution in Case 2a is significantly different from that in  
352 Case 1 and Case 2b: the presence of the horizontal gravity component (see Fig. 2) leads  
353 to the right and left reversed sign of shear stress near the bottom in Fig. 19(b) opposite  
354 to Figs. 19(a) and 19(c).

355

356 *5.2. Simulated dynamic response for lateral displacement*

357 Fig. 20(a) shows the simulated lateral displacement response for Case 1, which

358 represents the infinite radius condition simulating a large radius centrifuge. The  
359 simulated lateral displacement at the ground surface is found to be similar to the  
360 measured one near the left side boundary ( $x = B/4$ ). However, the simulation slightly  
361 underestimates the measured lateral displacement at the center of the model ( $x = B/2$ ).  
362 Near the right-side boundary ( $x = 3B/4$ ), the measured displacement increases in the  
363 positive direction towards its residual value, while the lateral displacement in the  
364 opposite direction is obtained from the numerical simulation.

365 Fig. 20(b) indicates the simulated lateral displacement response for Case 2a, which  
366 represents the UCD Centrifuge having an effective radius of 1 m considering the  
367 influence of the radial gravity field. As shown in Fig. 20(b), slight differences are  
368 observed near the left side boundary ( $x = B/4$ ) and at the center of soil model ( $x = B/2$ )  
369 as compared to the measured centrifuge response. On the other hand, the simulated  
370 lateral displacement near the right side boundary ( $x = 3B/4$ ) is found to be close to the  
371 centrifuge test with nearly identical residual displacements. Despite the differences at  $x$   
372  $= B/4$ , and  $B/2$ , the overall deformation mode observed in the centrifuge is considered  
373 to be well reproduced in the simulation compared to the simulated results in Case 1 (see  
374 Fig. 20(a)).

375 Fig. 20(c) represents the simulated lateral displacement response for Case 2b, which  
376 has the same radius distance as of Case 2a but under the influence of only the non-  
377 uniform vertical gravity field. The simulated lateral displacement near the left side

378 boundary ( $x = B/4$ ) is found to be in close agreement with the centrifuge result. However,  
379 the simulation slightly underestimates the measured lateral displacement at the center of  
380 the model ( $x = B/2$ ) with disagreement in the residual lateral displacement. Near the  
381 right-side boundary ( $x = 3B/4$ ), the simulated and measured lateral displacements are  
382 observed to have differences in the deformation mode.

383

### 384 *5.3. Simulated dynamic response for EPWP*

385 The simulated EPWP responses are nearly similar to the centrifuge results as shown in  
386 Fig. 21 for Case 1, Case 2a, and Case 2b. However, the dissipation speed of simulated  
387 EPWP is found to be slightly different from the centrifuge test throughout the depth of  
388 soil model for Case 1, whereas it is found to be much closer to the centrifuge test for  
389 Case 2a. For Case 2b, the rate of dissipation of simulated EPWP is found to be slightly  
390 different from the centrifuge test, with much rapid dissipation being observed in the  
391 measured response, particularly at P1 and P2.

392 For the simulated acceleration responses for Case 1, Case 2a and Case 2b, see Fig. A2  
393 in Appendix.

394

### 395 *5.4. Comparison among simulated response in Case 1, Case 2a and Case 2b*

396 From the above three cases for a centrifuge having a much smaller radial arm (UCD,  
397 radius = 1.0 m), it can be said Case 2a response is a much better representation of the

398 centrifuge result as compared to Case 1 and Case 2b. The lateral displacement response  
399 is extremely critical when dealing with the lateral spreading of soil layers during a  
400 seismic event (particularly for soil-structure interactions), and hence it becomes  
401 essential for the numerical modelers to achieve accurate results, which may simulate  
402 centrifuge test response qualitatively. The consideration of the radial gravity field may  
403 be an ideal option for such scenarios, especially when simulating the response of  
404 centrifuge having a small radius. The lateral displacement responses for Case 1, where  
405 the gravity field was assumed uniform, and for Case 2b, where the horizontal component  
406 of the radial gravity field was ignored, are found to be significantly different from the  
407 centrifuge response. The estimated lateral displacement responses for Case 1, Case 2a,  
408 and Case 2b may have been influenced by the distribution of initial shear stress  
409 following the self-weight analysis shown in Fig. 19. For Case 2a, positive shear stress  
410 is induced on the right side of the soil model, while for Case 1 and Case 2b, negative  
411 shear stress is found to occur on the right side of the model and similar changes in  
412 deformation modes are observed for Case 1 and Case 2b, with the occurrence of negative  
413 lateral displacement on the right side of the model opposite to Case 2a and the measured  
414 centrifuge response. The rate of dissipation of EPWP for Case 2a is also found to be  
415 much closer to the centrifuge response as compared to Case 1 and Case 2b.

416

417 *5.5. The deformation with excess pore water pressure ratio*

418 The deformed mesh with the variation of EPWP ratio throughout the depth of the soil  
419 model for Case 1, Case 2a, and Case 2b is shown in Fig. 22. The deformed mesh  
420 represents the EPWP ratio at around 12 seconds when the lateral displacement is  
421 computed to be maximum for all the three cases. The deformed mesh is much similar to  
422 the mesh obtained for KyU, as shown in Fig. 16. The EPWP ratio variation is found to  
423 be similar for Case 1 and Case 2b, while a significantly different response is observed  
424 for Case 2a. The soil is found to liquefy near the center zone of the soil model for all the  
425 cases throughout the depth. However, Case 2a predicts the occurrence of soil  
426 liquefaction near the side boundaries as well for a certain depth. The response for Case  
427 1 and Case 2b is in close agreement to the numerically simulated response for the lateral  
428 displacement, as shown in Fig. 20(a) and 20(c), where significantly different values of  
429 lateral displacement are recorded at ( $x = 3B/4$ ) near the ground surface as compared to  
430 Case 2a. The consideration of the radial gravity field may lead to a much safer analysis  
431 taking into account the widespread occurrence of soil liquefaction, which is represented  
432 in Case 2a.

433

434

## 6. Conclusions

435

436 This paper presents the numerical simulation results for dynamic centrifuge tests for a  
437 liquefiable sloping ground carried out at two different centrifuge facilities having a

438 different radial arm of rotation, focusing on the influence of the radial gravity field in a  
439 short-radius centrifuge involving shaking perpendicular to the axis. The simulations  
440 were conducted using a strain space multiple mechanism model based on the finite strain  
441 theory. At a self-weight analysis prior to a seismic response analysis, three different  
442 gravity fields were applied: 1) uniform vertical gravity force, which is position-  
443 independent and corresponds to an infinite length of rotation radius (Case 1), 2) non-  
444 uniform radial gravity force, which varies depending on both horizontal and vertical  
445 positions corresponding to a short rotation radius (Case 2a), 3) non-uniform vertical  
446 gravity force, which only depends on depth even though the length of rotation radius is  
447 the same as Case 2a (Case 2b).

448 Following conclusions are derived from this study:

- 449 • After the self-weight analysis (for both KyU and UCD), the computed lateral stress  
450 in Case 2a was found to be radially distributed towards the side boundaries, whereas  
451 the lateral stress in Case 1 and Case 2b was more uniformly distributed for a certain  
452 depth interval. The vertical stress distribution was almost similar among the three  
453 cases. When it comes to the shear stress distribution, Case 2a was significantly  
454 different from Case 1 and Case 2b; in the latter two cases, the region of shear stress  
455 concentration was observed near the bottom of the ground.
- 456 • The variations observed in shear stress distributions may have led to significantly  
457 different lateral displacement responses (or deformation modes) under seismic

458 loading among the cases: the simulated response in Case 2a was nearly similar to  
459 the centrifuge experimental response (for both KyU and UCD), whereas the results  
460 in Case 1 and Case 2b differed significantly from the experiments particularly near  
461 the side boundaries. Hence, it can be said that Case 2a was able to simulate the  
462 measured lateral displacements and deformation mode with a high degree of  
463 accuracy by considering the radial gravity field effect with the correct distribution  
464 of initial shear stress following the self-weight analysis. It is also interesting to note  
465 the less variations in the shear stress distribution obtained for KyU as compared to  
466 UCD centrifuge. This may possibly be due to the lesser influence of the radial field  
467 gravity for a centrifuge having a larger radius.

468 • The simulated lateral displacements in Case 2b were much similar to those in Case  
469 1 but significantly different from those in Case 2a, particularly near the side  
470 boundaries. This demonstrates that the influence of depth-dependency in the vertical  
471 gravity force (i.e., the difference in the vertical stress distribution between Cases 1  
472 and 2b) is trivial, but the difference between the radial and vertical gravity fields  
473 has a great influence on the dynamic response.

474 • The simulated lateral displacement responses for both the centrifuge facilities were  
475 found to be nearly similar among the cases near the centerline of the soil model.  
476 This indicates that the presence or absence of the horizontal component of radial  
477 gravity field (for Case 2a and Case 2b, respectively) has a little influence on the



478 center region of the soil system, as well as a slight impact of the depth-dependency  
479 in the vertical gravity force (from a comparison between Case 1 and Case 2b).  
480 • The simulated EPWP distribution near the side boundaries in Case 2a was  
481 significantly different from that in Case 1 and Case 2b; Case 2a indicated the  
482 occurrence of liquefaction near the sides, while Case 1 and Case 2b predicted the  
483 soil system response to be safer against soil liquefaction. This difference is thought  
484 to be the cause of the aforementioned difference in lateral displacements near the  
485 side boundaries between Case 2a and the others. Hence, it can be said the radial  
486 gravity effect seems to be predominant near the sides of the soil model.

487

488

### **Acknowledgments**

489 The authors are grateful to all the centrifuge facility groups, who took part in the LEAP  
490 Project and allowed their data to be used for numerical simulation exercise.

491

492

### **Appendix A**

493 The simulated time histories of horizontal accelerations at the centerline of the soil  
494 model are compared with the centrifuge test results in Fig. A1 for KyU and in Fig. A2  
495 for UCD. To summarize the acceleration responses in the figures, no significant effect  
496 of the modeling of the gravity field was observed; the experimental results were well  
497 simulated in all cases.

498

499

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541

## Figure Legends

542

543 Fig. 1 The radial gravity field in a large diameter centrifuge and a small diameter  
544 centrifuge.

545 Fig. 2 The gravity field considered for the different cases: (a) Case 1 (b) Case 2a (c)  
546 Case 2b.

547 Fig. 3 Schematic model for LEAP GWU 2015 and LEAP ASIA 2019 centrifuge tests for  
548 the curved surface (Kutter et al., 2018).

549 Fig. 4 Simulation of cyclic undrained torsional shear tests (confining pressure=100 kPa,  
550 cyclic ratio=0.20): (a) Effective stress path; (b) Stress vs strain; (c) Time history of Shear  
551 strain; (d) Time history of excess pore water pressure ratio.

552 Fig. 5 Simulation of cyclic undrained torsional shear tests (confining pressure=100 kPa,  
553 cyclic ratio=0.18): (a) Effective stress path; (b) Stress vs strain; (c) Time history of  
554 Shear strain; (d) Time history of excess pore water pressure ratio.

555 Fig. 6 Simulation of cyclic undrained torsional shear tests (confining pressure=100 kPa,  
556 cyclic ratio=0.15): (a) Effective stress path; (b) Stress vs strain; (c) Time history of  
557 Shear strain; (d) Time history of excess pore water pressure ratio.

558 Fig. 7 Computed liquefaction resistance curves with measured plots: (a) KyU (b) UCD

559 Fig. 8 Finite element mesh for numerical analysis.

560 Fig. 9 Input motion (a) KyU Centrifuge (LEAP GWU2015), (b) UCD Centrifuge (LEAP  
561 ASIA2019).

562 Fig. 10 Computed deformed configuration with lateral stress at the end of self-weight  
563 analysis: (a) Case 1; (b) Case 2a; (c) Case 2b of KyU (LEAP GWU2015).

564 Fig. 11 Computed deformed configuration with vertical stress at the end of self-weight  
565 analysis: (a) Case 1; (b) Case 2a; (c) Case 2b of KyU (LEAP GWU2015).

566 Fig. 12 Computed deformed configuration with shear stress at the end of self-weight  
567 analysis: (a) Case 1; (b) Case 2a; (c) Case 2b of KyU (LEAP GWU2015).

568 Fig. 13 Computed time history of lateral displacement for KyU Centrifuge (LEAP  
569 GWU-2015): (a) Case 1; (b) Case 2a; (c) Case 2b.

570 Fig. 14 Computed time history of vertical displacement for KyU Centrifuge (LEAP  
571 GWU-2015): (a) Case 1; (b) Case 2a; (c) Case 2b.

572 Fig. 15 Computed time history of excess pore water pressure for KyU Centrifuge (LEAP  
573 GWU-2015): (a) Case 1; (b) Case 2a; (c) Case 2b.

574 Fig. 16 Computed deformed configuration with excess pore water pressure ratio before  
575 the maximum deformation: (a) Case 1; (b) Case 2a; (c) Case 2b for KyU Centrifuge  
576 (LEAP GWU-2015).

577 Fig. 17 Computed deformed configuration with lateral stress at the end of self-weight  
578 analysis: (a) Case 1; (b) Case 2a; (c) Case 2b for UCD Centrifuge (LEAP ASIA-2019).

579 Fig. 18 Computed deformed configuration with vertical stress at the end of self-weight  
580 analysis: (a) Case 1; (b) Case 2a; (c) Case 2b for UCD Centrifuge (LEAP ASIA-2019).

581 Fig. 19 Computed deformed configuration with shear stress at the end of self-weight

582 analysis: (a) Case 1; (b) Case 2a; (c) Case 2b for UCD Centrifuge (LEAP ASIA-2019).

583 Fig. 20 Computed time history of lateral displacement for UCD Centrifuge (LEAP  
584 ASIA-2019): (a) Case 1; (b) Case 2a; (c) Case 2b.

585 Fig. 21 Computed time history of excess pore water pressure for UCD Centrifuge (LEAP  
586 ASIA-2019): (a) Case 1; (b) Case 2a; (c) Case 2b.

587 Fig. 22 Computed deformed configuration with excess pore water pressure ratio before  
588 the maximum deformation: (a) Case 1; (b) Case 2a; (c) Case 2b of UCD (LEAP ASIA-  
589 2019).

590 Fig. A1 Computed time history of horizontal accelerations for KyU Centrifuge (LEAP-  
591 GWU-2015): (a) Case 1; (b) Case 2a; (c) Case 2b

592 Fig. A2 Computed time history of horizontal accelerations for UCD Centrifuge (LEAP  
593 ASIA-2019): (a) Case 1; (b) Case 2a; (c) Case 2b

594

595

### **Table Legends**

596 Table 1 Different cases of numerical analysis considered for KyU (LEAP GWU2015)  
597 and UCD (LEAP ASIA2019).

598 Table 2 Model parameters for deformation characteristics.

599 Table 3 Model parameters for dilatancy.

**Table 1** Different cases of numerical analysis considered for: (a) KyU (LEAP GWU2015), (b) UCD (LEAP ASIA2019).

(a)

Case		Effective radius (m)	Gravity field	Gravity direction	Depth dependency
Kyoto University (Tobita et al., 2018)		2.5	Non-uniform	Radial	Yes
Numerical simulation	Case1	$\infty$	Uniform	Vertical	No
	Case2a	2.5	Non-uniform	Radial	Yes
	Case2b	2.5	Non-uniform	Vertical	Yes

(b)

Case		Effective radius (m)	Gravity field	Gravity direction	Depth dependency
UC Davis (Tobita et al., 2020)		1.0	Non-uniform	Radial	Yes
Numerical simulation	Case1	$\infty$	Uniform	Vertical	No
	Case2a	1.0	Non-uniform	Radial	Yes
	Case2b	1.0	Non-uniform	Vertical	Yes

**Table 2** Model parameters for deformation characteristics.

Symbol	Parameter designation	KyU	UCD
$\rho_t$	Mass density (t/m <sup>3</sup> )	2.092	2.092
$P_a$	Reference confining pressure (kPa)	75.0	75.0
$K_{L/Ua}$	Bulk modulus (kPa)	160837	160837
$r_K$	Reduction factor of bulk modulus for liquefaction analysis	0.65	0.5
$l_K$	Power index of bulk modulus for liquefaction analysis	2.0	2.0
$G_{ma}$	Shear modulus (kPa)	61674	61674
$\phi_f^{PS}$	Internal friction angle for plane strain(° )	36.6	36.6
$h_{max}$	Upper bound for hysteretic damping factor	0.24	0.24



**Table 3** Model parameters for dilatancy.

Symbol	Parameter designation	KyU	UCD
$\phi_p$	Phase transformation angle (° )	28.0	28.0
$\varepsilon_d^{cm}$	Limit of contractive component	0.2	0.2
$r_{\varepsilon_d^c}$	Parameter controlling contractive component	1.0	1.5
$r_{\varepsilon_d}$	Parameter controlling dilative and contractive components	0.8	0.7
$q_1$	Parameter controlling initial phase of contractive component	8.0	8.0
$q_2$	Parameter controlling final phase of contractive component	1.0	1.0
$S_1$	Small positive number to avoid zero confining pressure	0.005	0.005
$c_1$	Parameter controlling elastic rage for contractive component	1.67	1.69
$q_{us}$	Undrained shear strength (for steady state analysis)	-	-

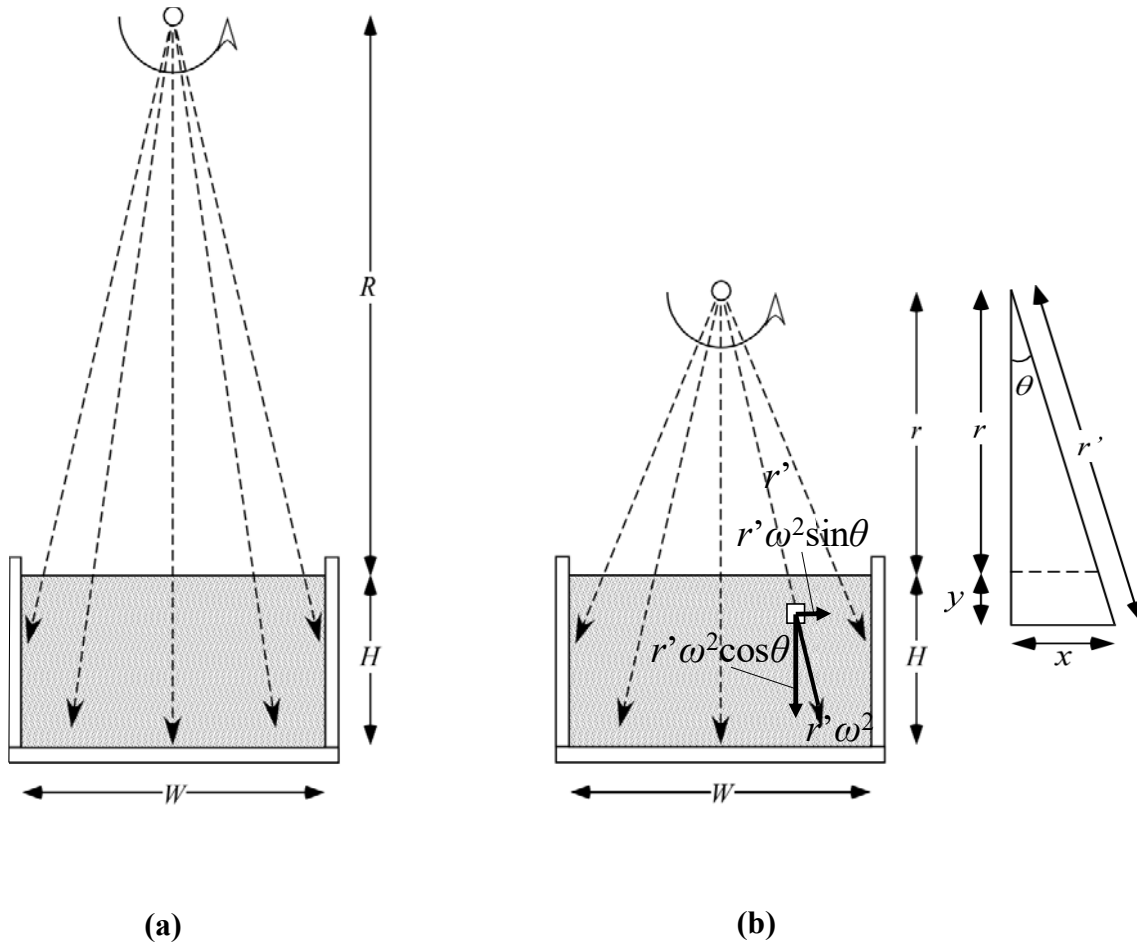
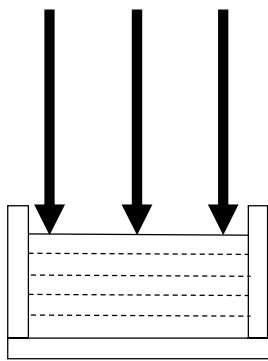


Figure 1 The radial gravity field: (a) in a large-diameter centrifuge (e.g. The 9 m radius centrifuge of the University of California, Davis), (b) in a small-diameter centrifuge (e.g. The 2.5 m radius centrifuge of the DPRI, Kyoto University)

Uniform gravity field

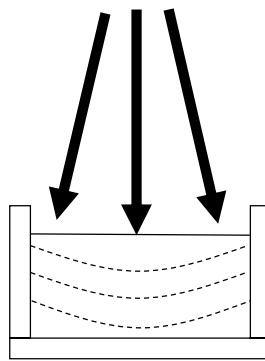
Non-uniform gravity field

Vertical gravity



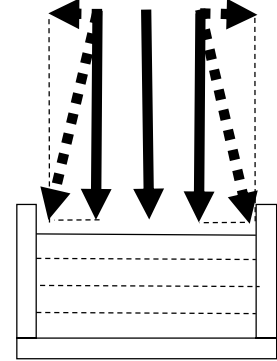
(a) Case 1

Radial gravity



(b) Case 2a

Vertical gravity



(c) Case 2b

Figure 2 The gravity field considered for the different cases: (a) Case 1, (b) Case 2a, (c) Case 2b

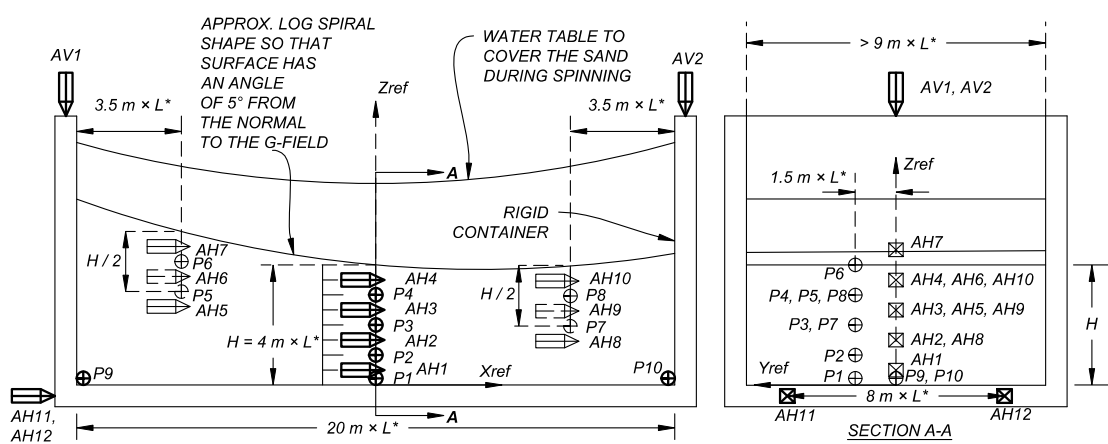


Figure 3 Schematic model for LEAP GWU 2015 and LEAP ASIA 2019 centrifuge tests for the curved surface (Kutter et al., 2018)

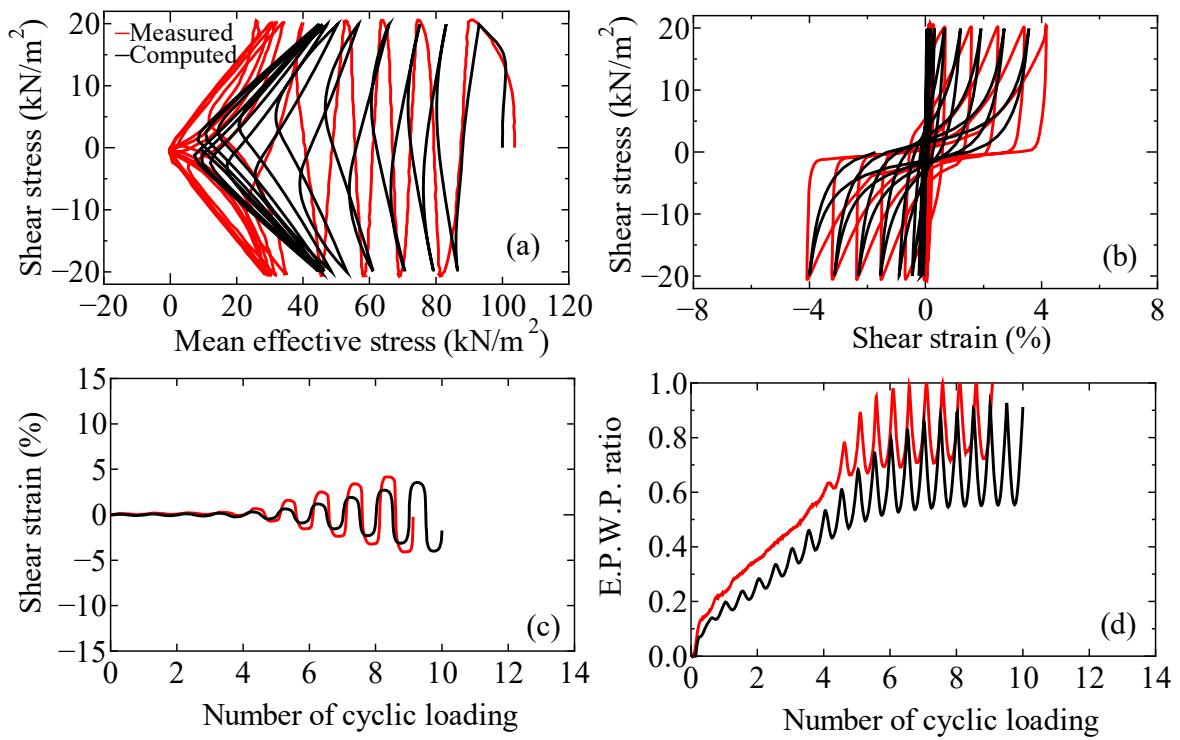


Figure 4 Simulation of cyclic undrained torsional shear tests (confining pressure=100 kPa, cyclic ratio=0.20): (a) Effective stress path; (b) Stress vs strain; (c) Time history of Shear strain; (d) Time history of excess pore water pressure ratio.

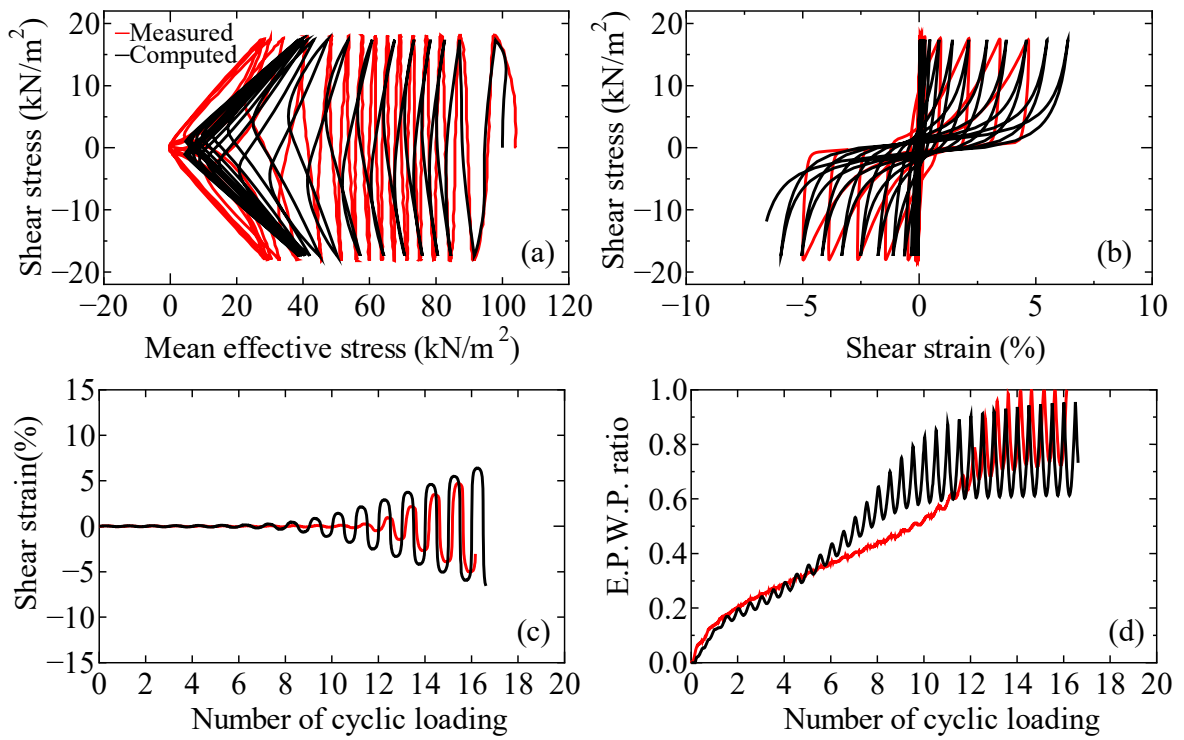


Figure 5 Simulation of cyclic undrained torsional shear tests (confining pressure=100 kPa, cyclic ratio=0.18): (a) Effective stress path; (b) Stress vs strain; (c) Time history of Shear strain; (d) Time history of excess pore water pressure ratio.

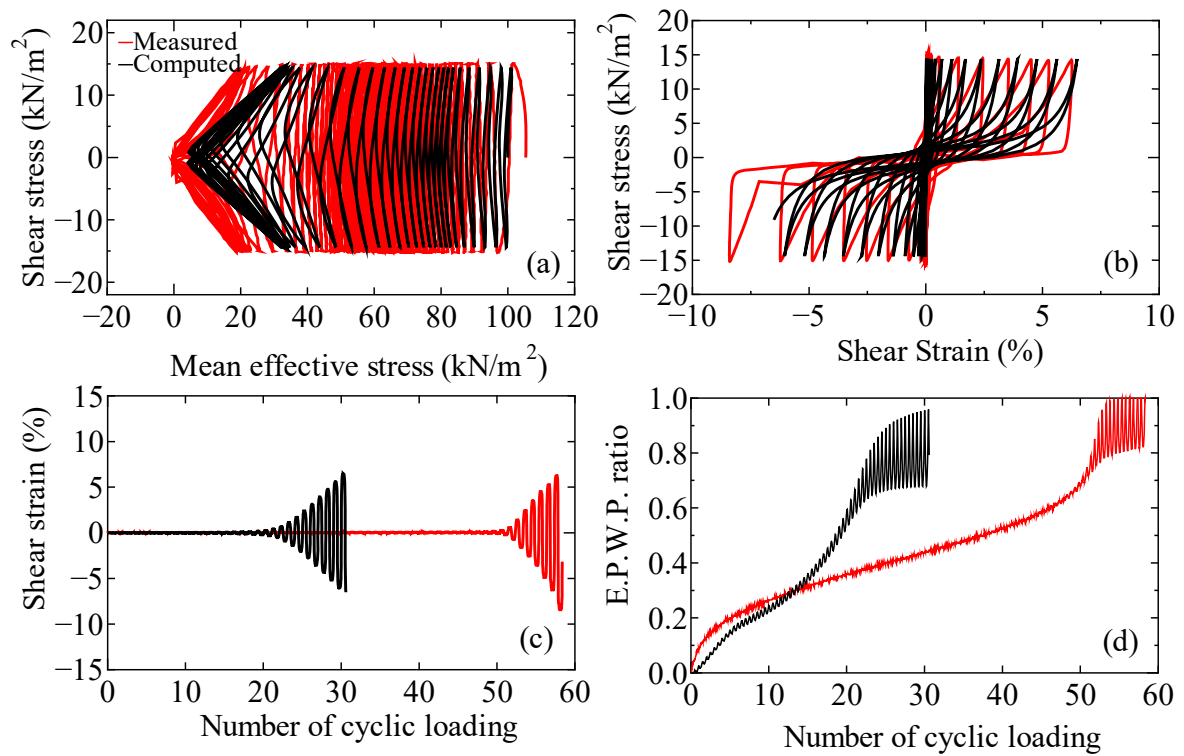


Figure 6 Simulation of cyclic undrained torsional shear tests (confining pressure=100 kPa, cyclic ratio=0.15): (a) Effective stress path; (b) Stress vs strain; (c) Time history of Shear strain; (d) Time history of excess pore water pressure ratio.

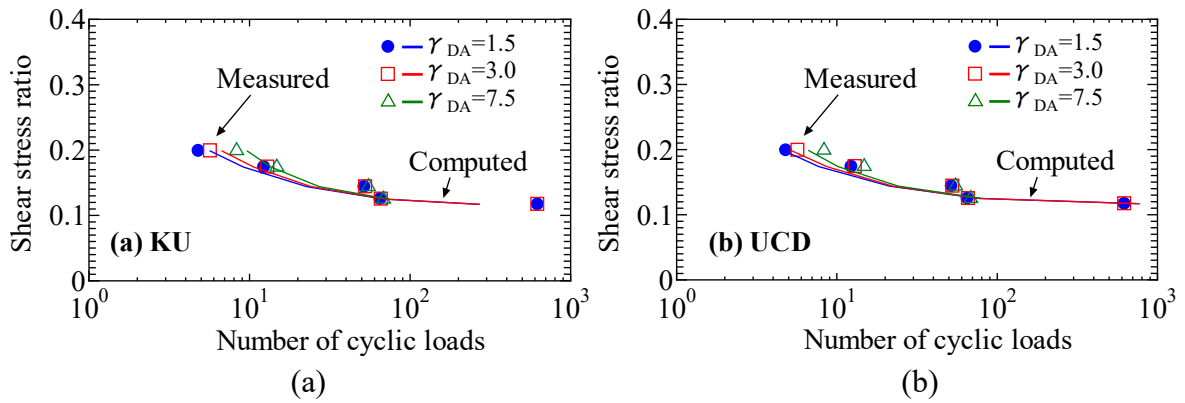


Figure 7 Computed liquefaction resistance curves with measured plots: (a) KyU, (b) UCD

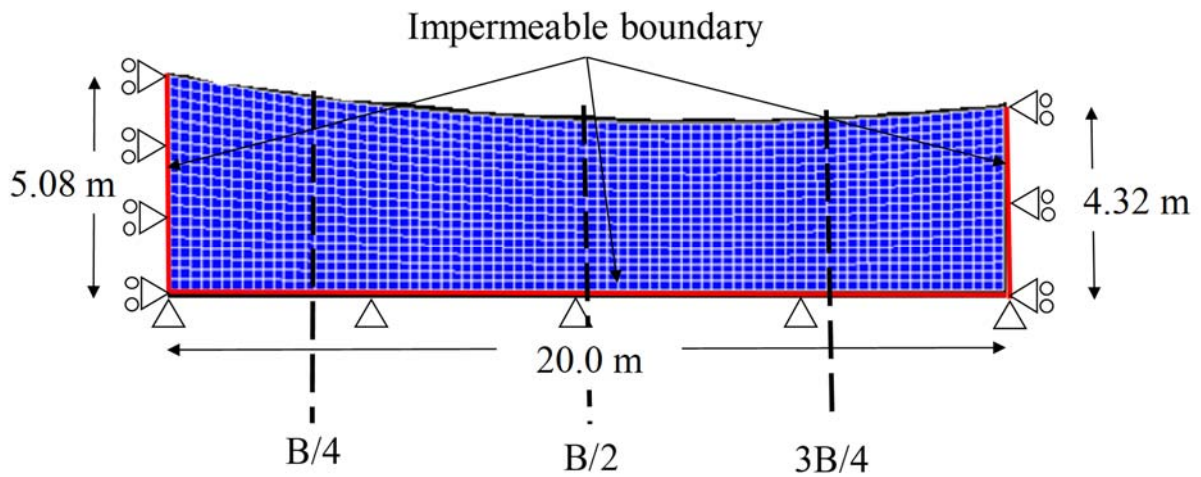


Figure 8 Finite element mesh for numerical analysis

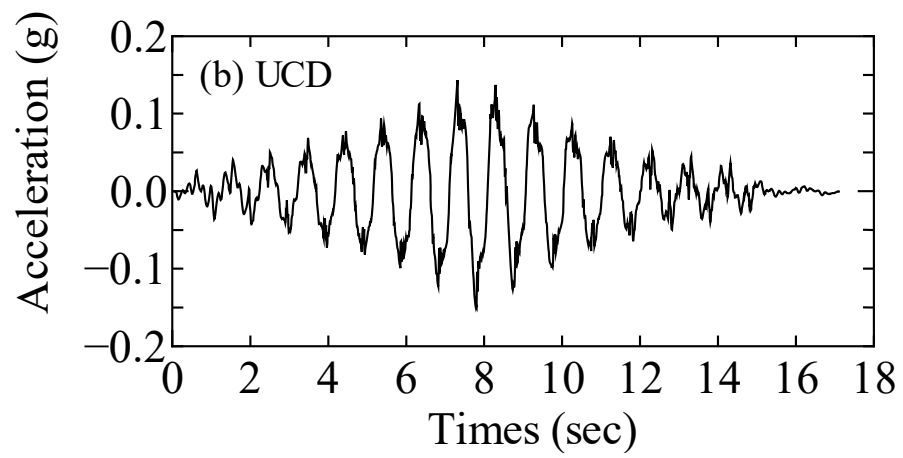
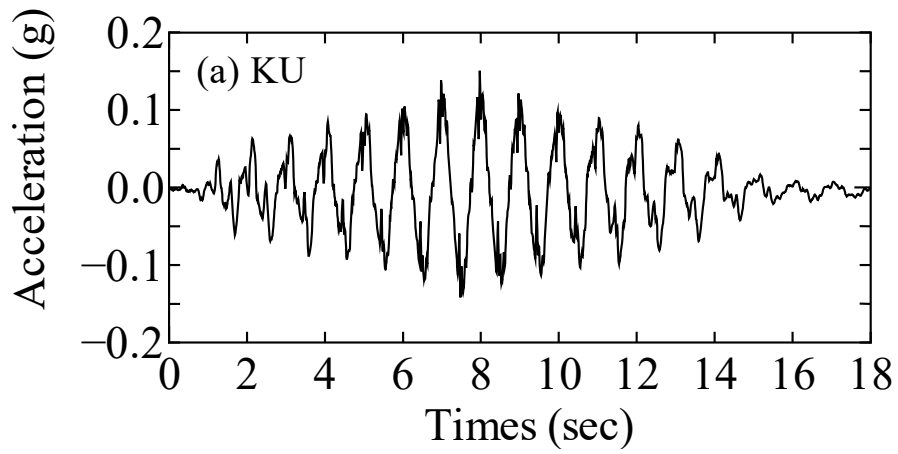


Figure 9 Input motion (a) KyU Centrifuge (LEAP GWU2015), (b) UCD Centrifuge (LEAP ASIA2019)



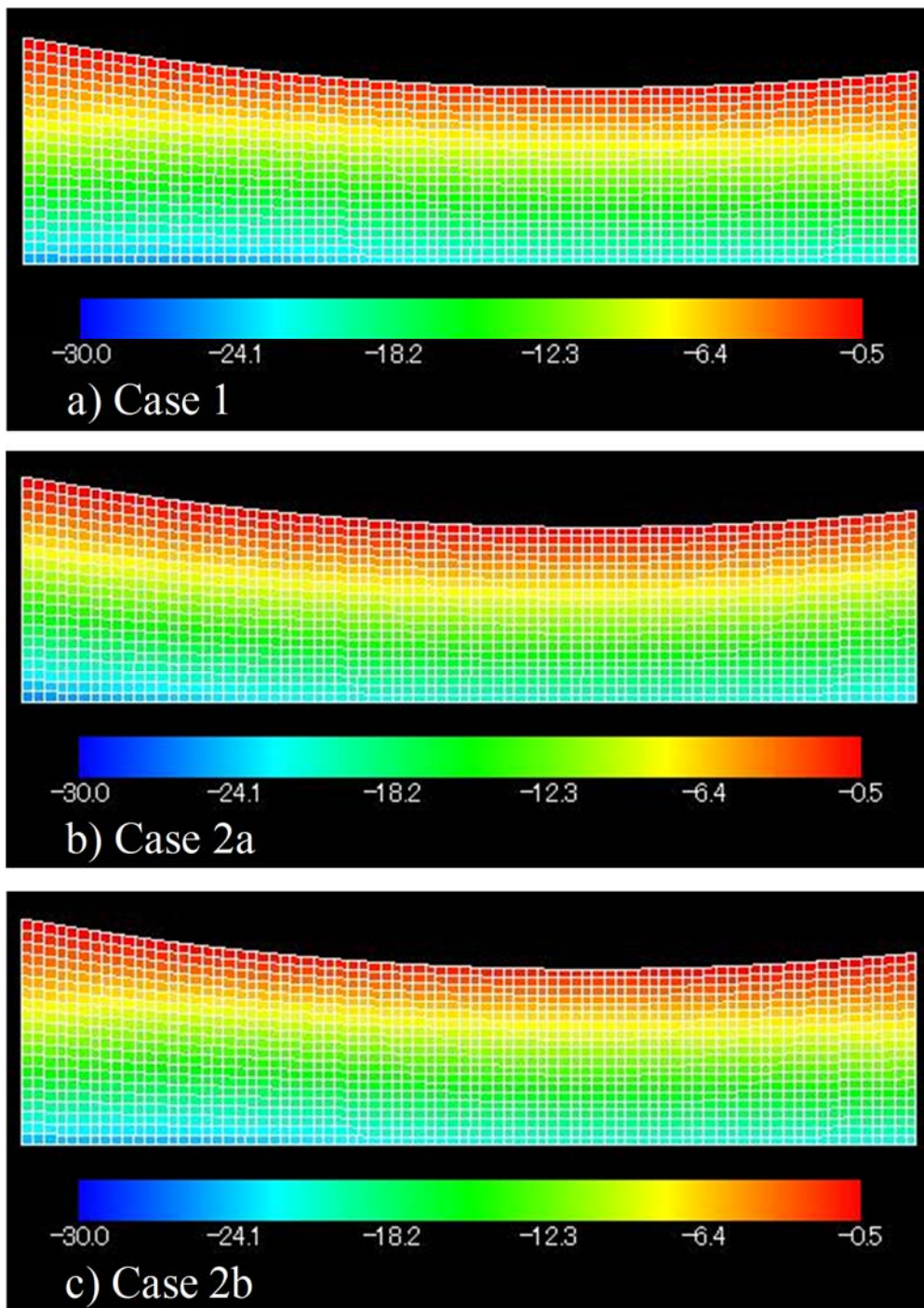


Figure 10 Computed deformed configuration with lateral stress at the end of self-weight analysis: (a) Case 1; (b) Case 2a; (c) Case 2b of KyU Centrifuge (LEAP-GWU-2015)

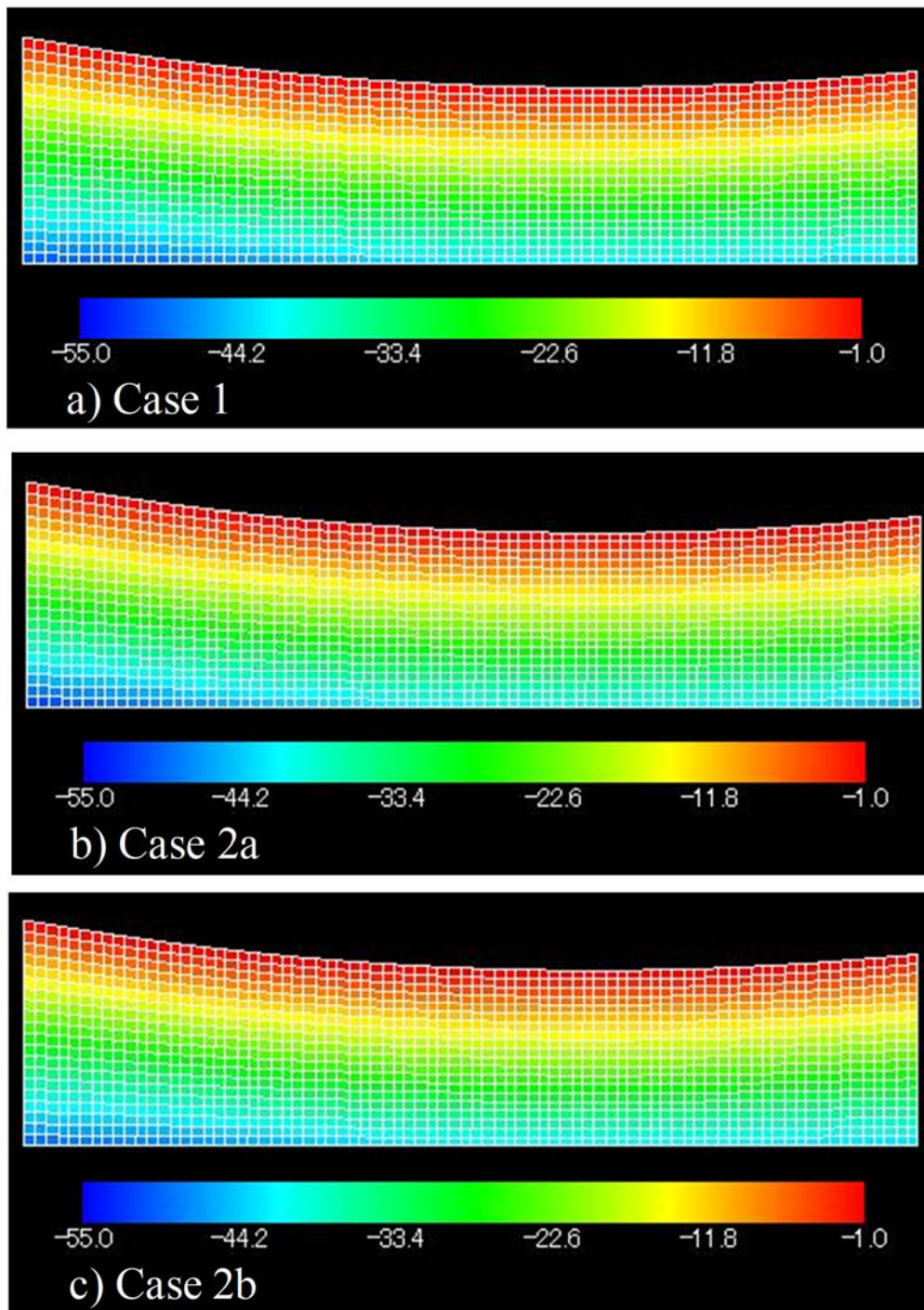


Figure 11 Computed deformed configuration with vertical stress at the end of self-weight analysis: (a) Case 1; (b) Case 2a; (c) Case 2b of KyU Centrifuge (LEAP-GWU-2015).

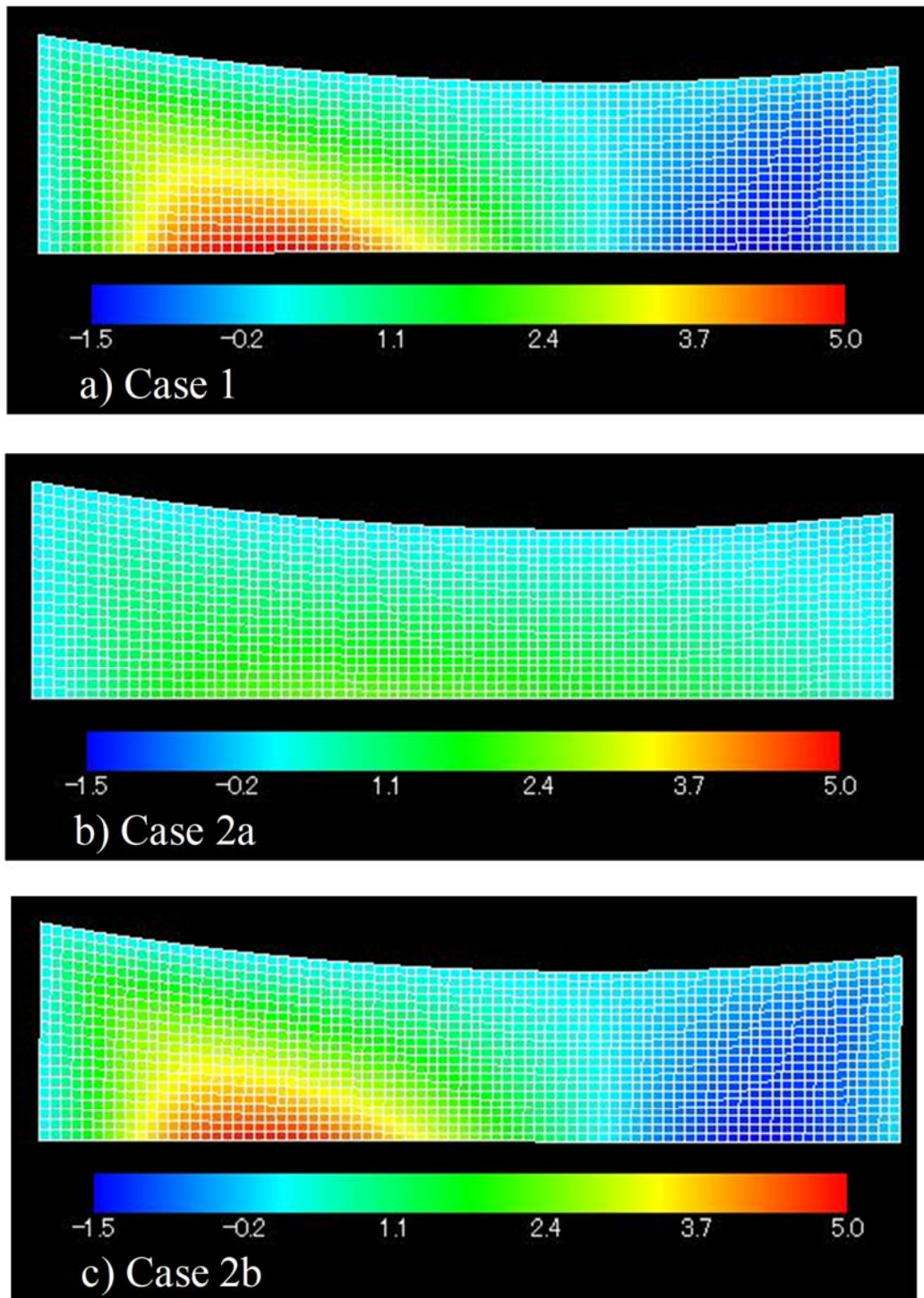


Figure 12 Computed deformed configuration with shear stress at the end of self-weight analysis: (a) Case 1; (b) Case 2a; (c) Case 2b of KyU Centrifuge (LEAP-GWU-2015).

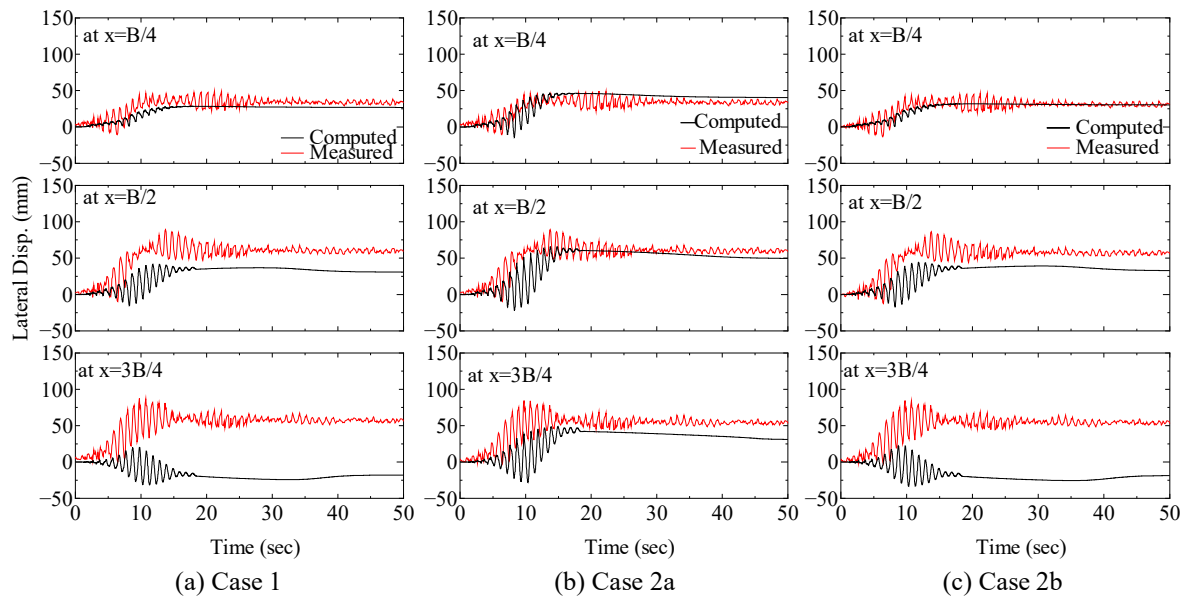


Figure 13 Computed time history of lateral displacement for KyU Centrifuge (LEAP-GWU-2015): (a) Case 1; (b) Case 2a; (c) Case 2b

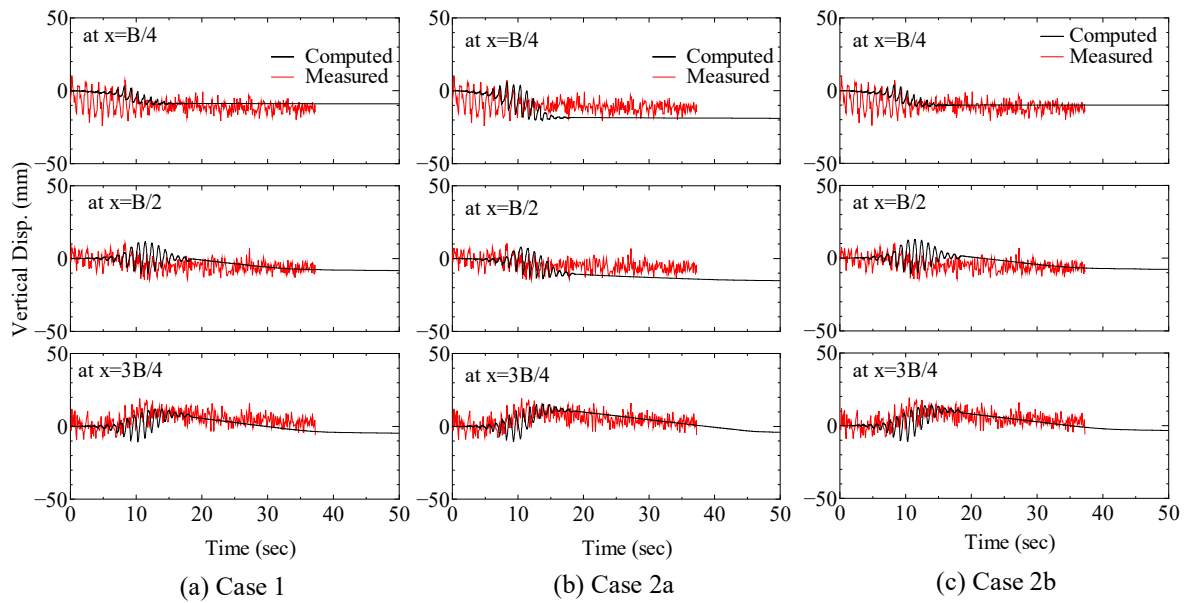


Figure 14 Computed time history of vertical displacement for KyU Centrifuge (LEAP-GWU-2015): (a) Case 1; (b) Case 2a; (c) Case 2b

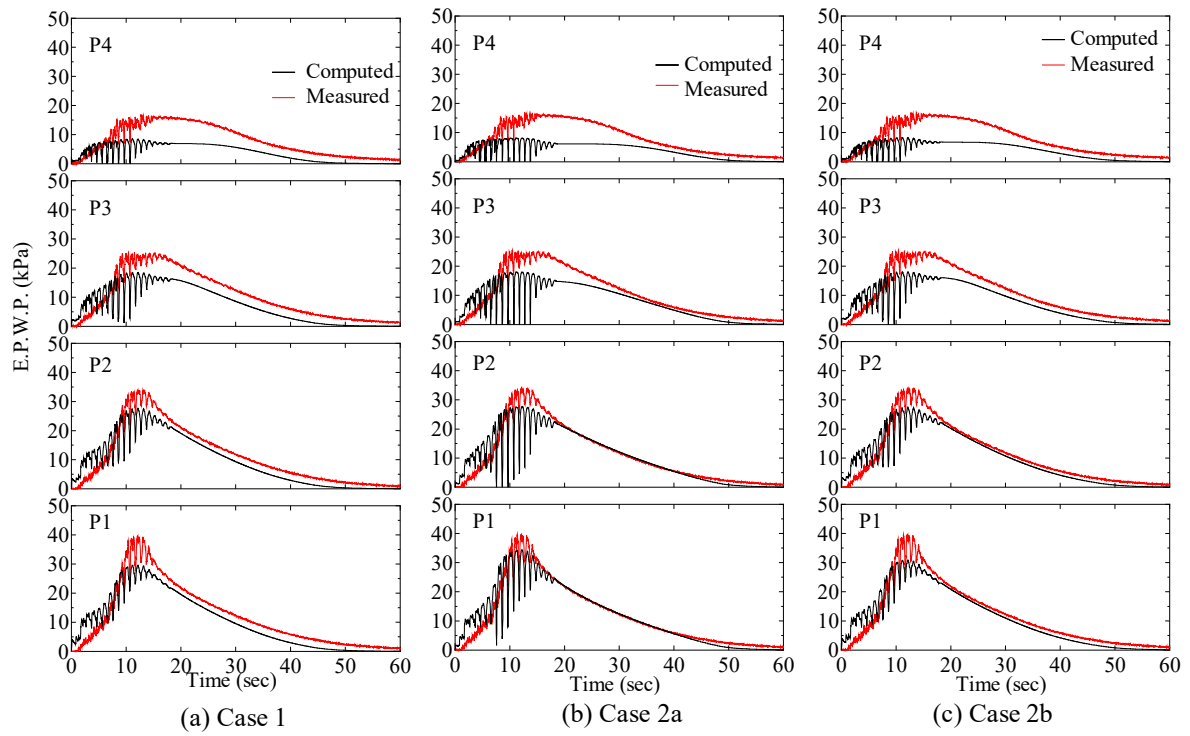


Figure 15 Computed time history of excess pore water pressure for KyU Centrifuge (LEAP-GWU-2015): (a) Case 1; (b) Case 2a; (c) Case 2b

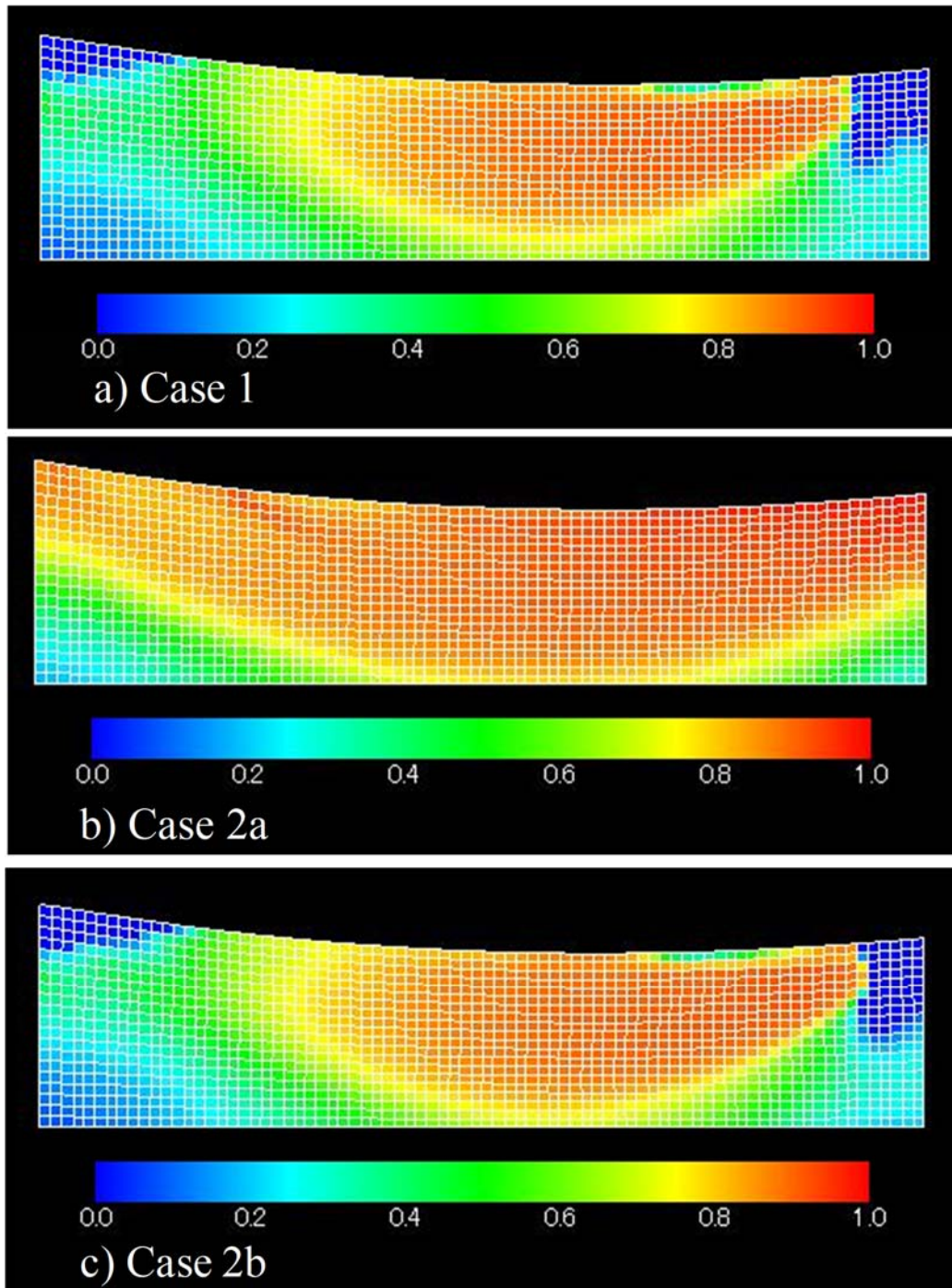


Figure 16 Computed deformed configuration with excess pore water pressure ratio before the maximum deformation: (a) Case 1; (b) Case 2a; (c) Case 2b for KyU Centrifuge (LEAP-GWU-2015)

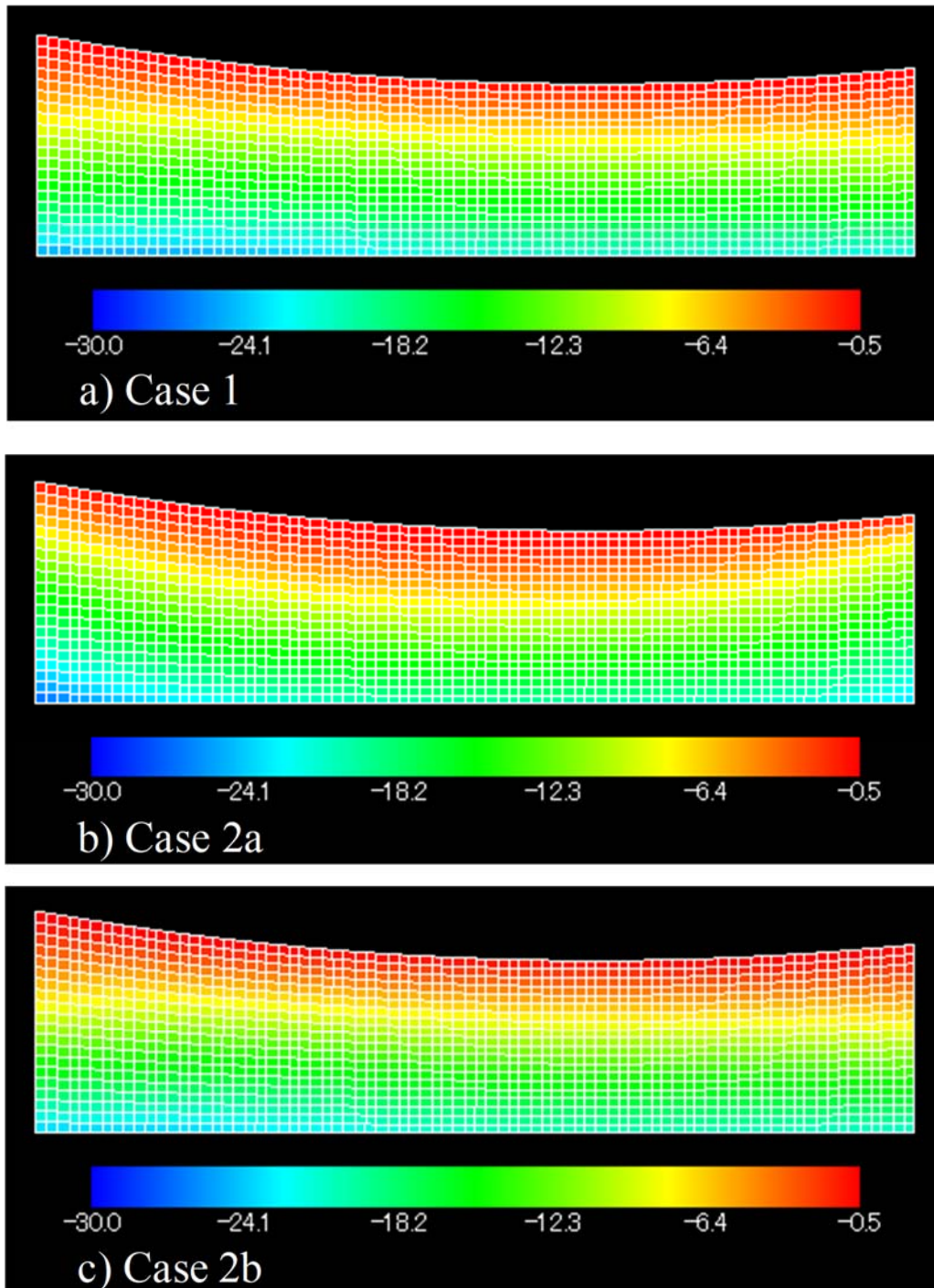


Figure 17 Computed deformed configuration with lateral stress at the end of self-weight analysis: (a) Case1; (b) Case2a; (c) Case2b for UCD Centrifuge (LEAP ASIA2019).



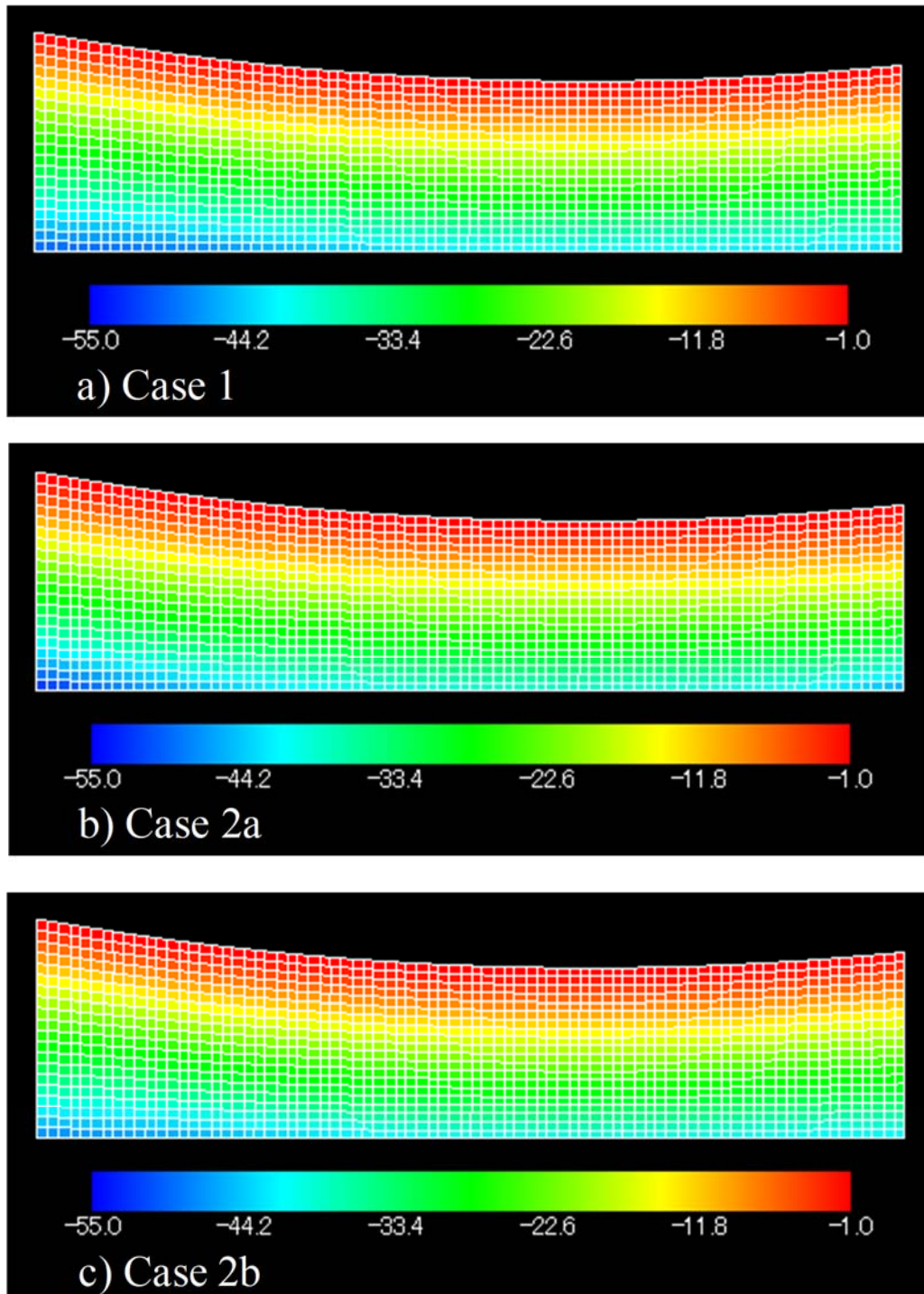


Figure 18 Computed deformed configuration with vertical stress at the end of self-weight analysis: (a) Case 1; (b) Case 2a; (c) Case 2b for UCD Centrifuge (LEAP-ASIA-2019)

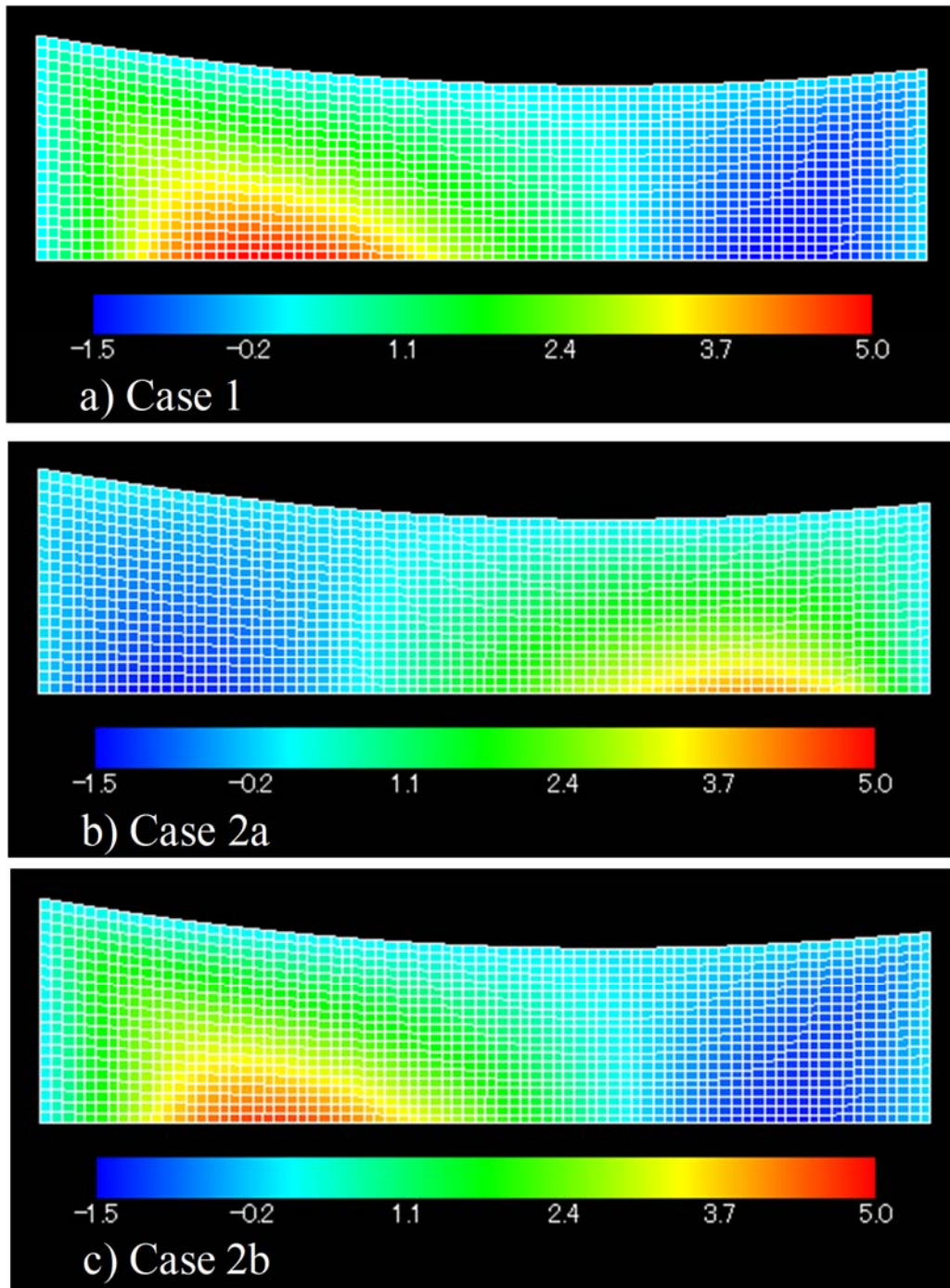


Figure 19 Computed deformed configuration with shear stress at the end of self-weight analysis: (a) Case 1; (b) Case 2a; (c) Case 2b for UCD Centrifuge (LEAP-ASIA-2019)

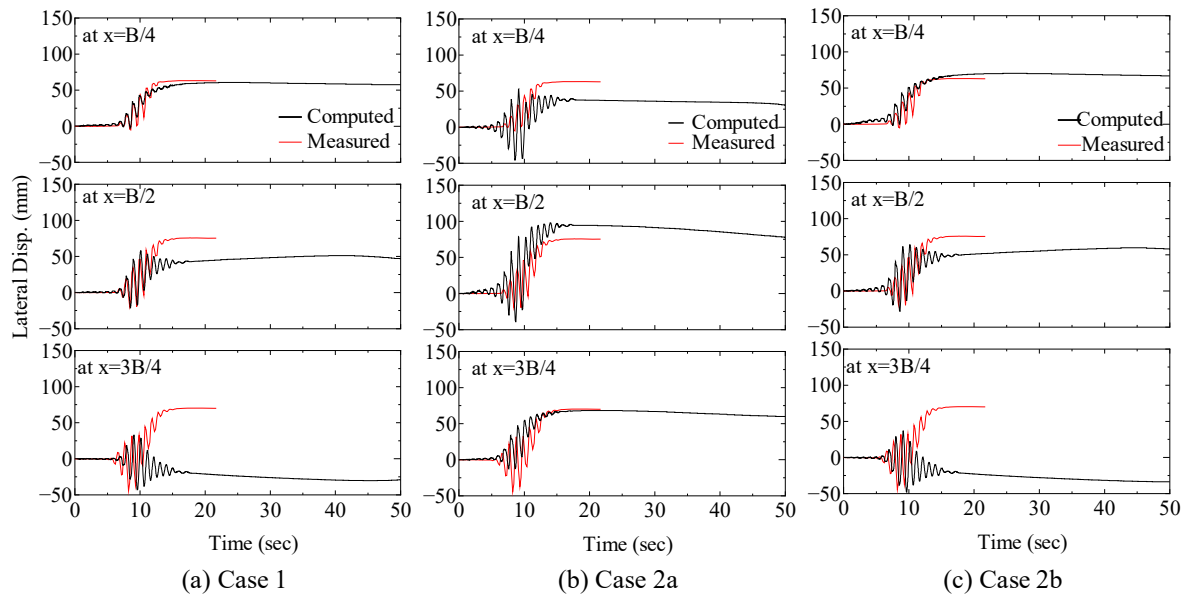


Figure 20 Computed time history of lateral displacement for UCD Centrifuge (LEAP-ASIA-2019): (a) Case 1; (b) Case 2a; (c) Case 2b

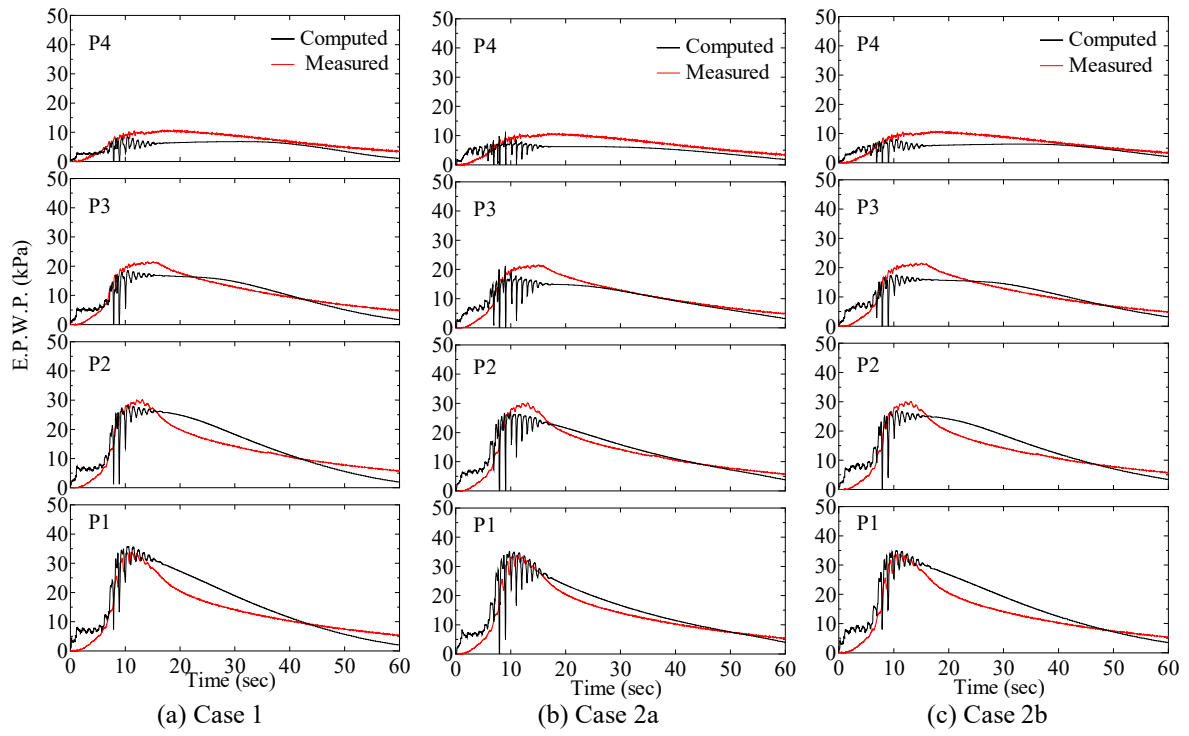


Figure 21 Computed time history of excess pore water pressure for UCD Centrifuge (LEAP-ASIA-2019): (a) Case 1; (b) Case 2a; (c) Case 2b

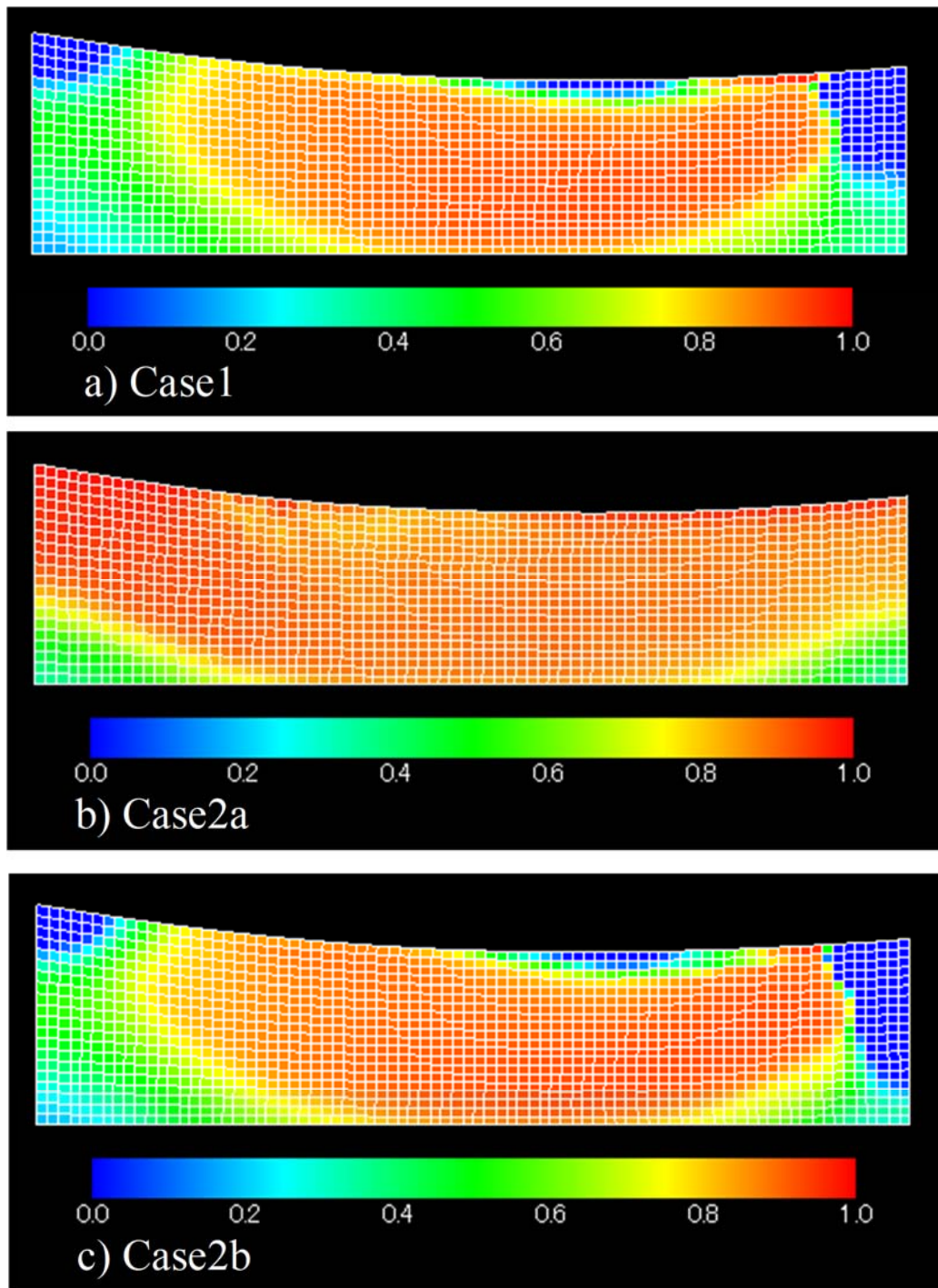


Figure 22 Computed deformed configuration with excess pore water pressure ratio before the maximum deformation: (a) Case 1; (b) Case 2a; (c) Case 2b for UCD Centrifuge (LEAP-ASIA-2019)

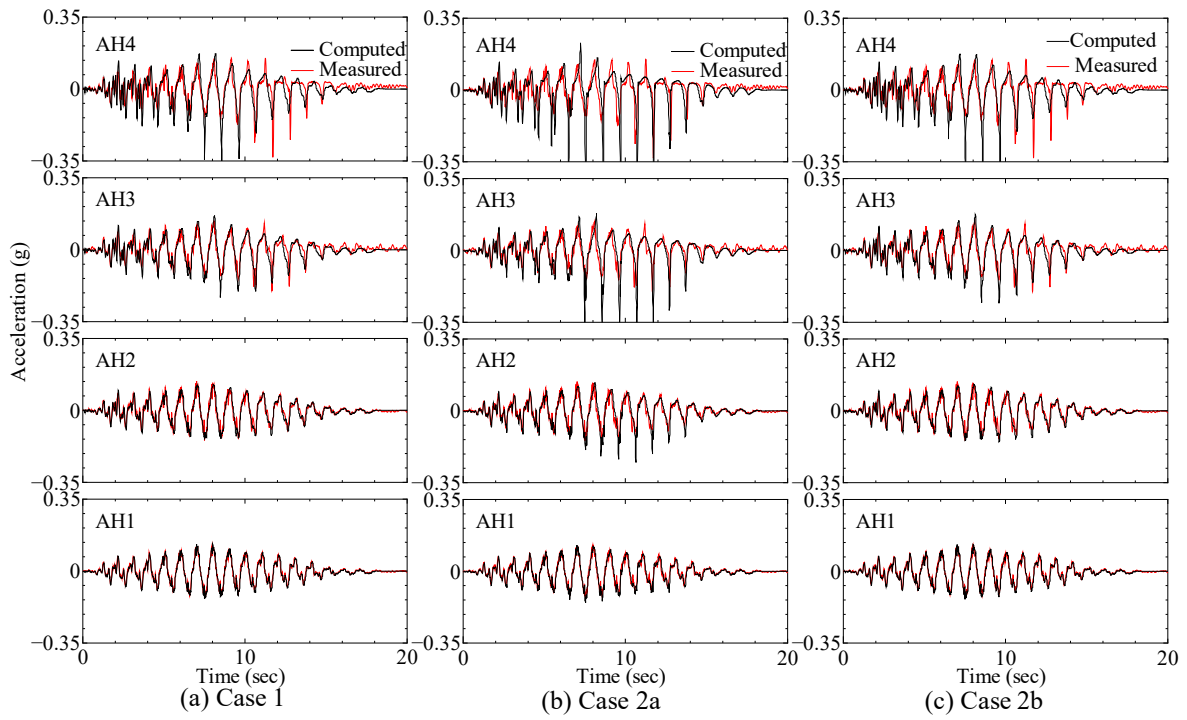


Figure A1 Computed time history of horizontal accelerations for KyU Centrifuge (LEAP-GWU-2015): (a) Case 1; (b) Case 2a; (c) Case 2b

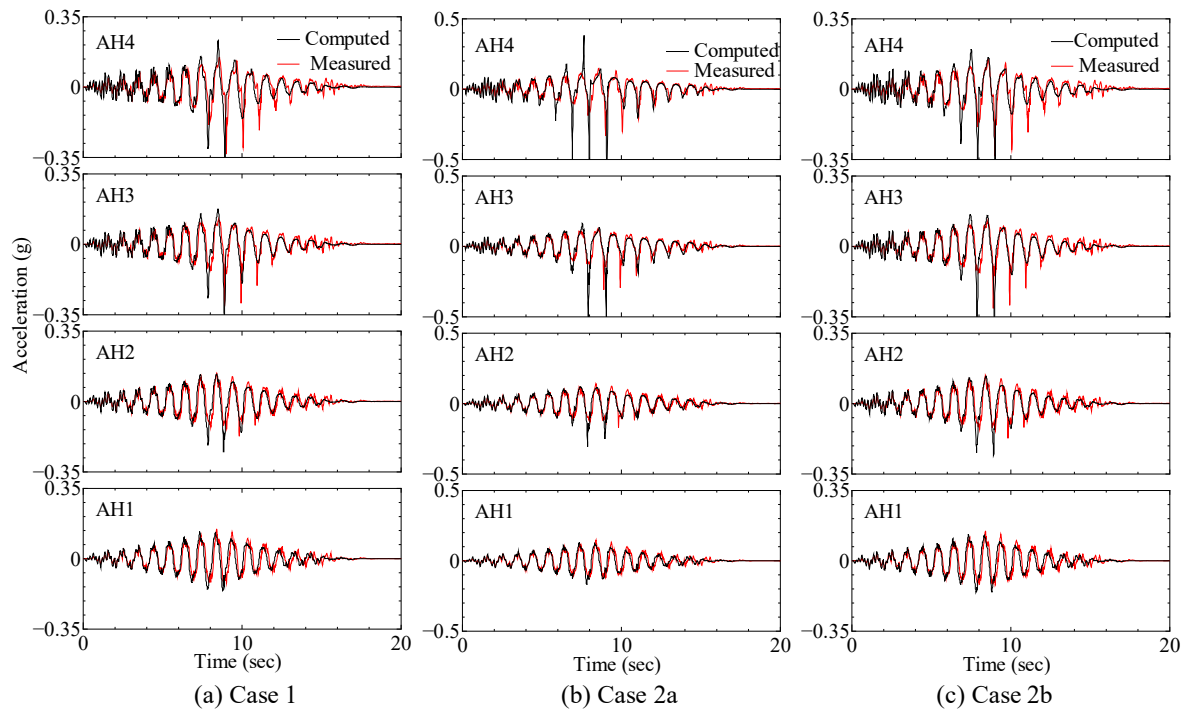


Figure A2 Computed time history of horizontal accelerations for UCD Centrifuge (LEAP ASIA-2019): (a) Case 1; (b) Case 2a; (c) Case 2b