

Nongeminate Charge Recombination in Organic Photovoltaics

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Charge recombination is a major loss process in state-of-the-art organic photovoltaics (OPVs). Nongeminate recombination (NGR) is typically in competition with collection of photogenerated charge carriers to each electrode not only at the short-circuit but also at the open-circuit conditions, and therefore impacts on both external quantum efficiency (EQE) and fill factor (FF). In most cases, therefore, the optimised active layers are kept as thin as possible (typically ~100 nm) to avoid NGR loss although it is not thick enough to absorb the solar light effectively. As such, a key to efficient EQE and FF is suppressing NGR beyond limitations arising from the Langevin recombination. In addition, NGR is closely related to open-circuit voltage (V_{oc}) as well. In particular, nonradiative NGR is a critical loss process in OPVs. In this review, we aim to summarise our current understanding of underlying photophysics that governs NGR in OPVs. Recent experimental results and proposed mechanisms relevant to NGR are summarised where we highlight the significant importance of morphology and energetics for suppressing NGR. These insights will provide a comprehensive understanding of the recombination and future materials/devices design principles.

Introduction

Organic photovoltaics (OPVs) based on bulk-heterojunction (BHJ) active layers consisting of electron-donating semiconducting polymers and electron-accepting molecules are gaining interest because of their promising advantages of low cost, light weight, and flexibility. Over the past two decades, the power conversion efficiency (PCE) has increased from ~2 to >18%.¹⁻¹³ In order to further improve PCE toward 20%, the external quantum efficiency (EQE) and fill factor (FF) of single-junction OPVs likely need to approach 90% and 0.75, respectively, as shown in Figure 1. Here we assumed the photon energy (voltage) loss defined as $E_g - qV_{oc}$ to be 0.5 eV where E_g , q , and V_{oc} are the bandgap energy of the material, elementary charge, and open-circuit voltage, respectively. Although several OPVs with an FF of >0.75 have already been reported,^{5,14} achieving such a high FF and EQE simultaneously is undoubtedly a challenging issue. To achieve an EQE of 90%, the active layer must absorb more than 90% of incident photons above E_g as the EQE never exceeds the photon absorption efficiency (unless including multi-excitons generation such as singlet fission). Thus, the active layer must be >200 nm in thickness. However, increasing active layer thickness usually degrades device performance especially FF because charge carriers must travel further in the active layer, and hence are more likely to recombine before reaching the electrodes, indicating that there is a trade-off between EQE and FF. Thus, slowing charge recombination while maintaining charge mobility is important to achieve a high FF and EQE simultaneously.

Another challenging issue is to minimise the photon energy (voltage) loss. In Figure 1, the photon energy loss is assumed to be 0.5 eV. However, the photon energy loss has been typically reported to be as large as more than 0.7 eV for most OPVs. A part of this photon energy loss arises during the charge generation process. Because excitons in OPVs split to form charge transfer state (CTS) with the aid of the offset in molecular orbital energy levels as summarised in the next section, this photon energy loss was thought to be

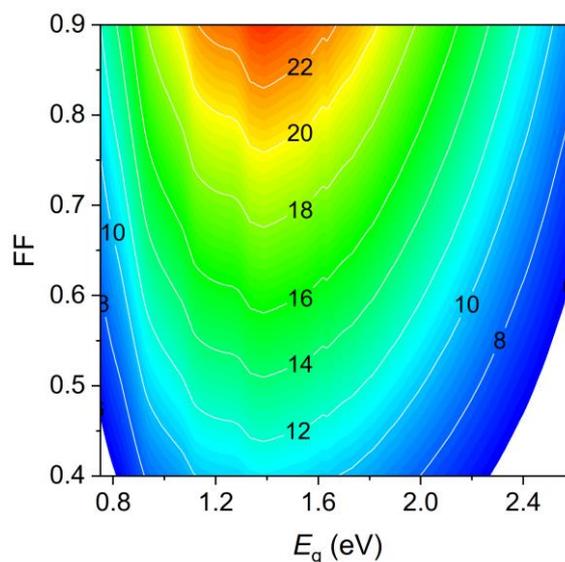


Figure 1. Contour plot showing the calculated PCE against the bandgap energy E_g and FF. The qV_{oc} and EQE were fixed to $E_g - 0.5$ eV and 90%, respectively. The J_{sc} was calculated by integrating a product of solar photon flux under AM1.5G condition and the rectangle EQE spectrum.

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unavoidable.¹⁵ Recent studies, however, have shown that the photon energy loss due to charge generation can be suppressed to less than 0.1 eV, resulting in a voltage loss of less than 0.6 V for state-of-the-art devices.^{16–19} The other source of voltage loss is due to charge recombination and the consequent decrease in quasi-Fermi level splitting. At this moment, there are only limited papers reporting OPVs with a voltage loss of less than 0.5 V.^{20–24} In other words, there is still room for further improvement in V_{oc} as will be discussed later. Therefore, suppressing charge recombination is of ultimate importance to further improve not only FF but also V_{oc} .

Significant progress has been made in the development of new materials as well as our understanding of the basic photophysical phenomena in OPVs.^{25–37} Yet many questions about charge recombination still remain unclear or controversial. Our motivation in this article is to give a brief introduction of underlying photophysics that governs nongeminate recombination (NGR), also referred to as bimolecular recombination. This review consists of three parts. First, we summarise the fundamentals of photophysics and charge recombination in OPVs. Then, we provide a brief explanation for typical experimental techniques to evaluate the recombination dynamics. Finally, we highlight recent researches on

reduced NGR, the origin of slower NGR, and the relevance to photovoltaic parameters.

Fundamental Photophysics in OPVs

Upon photoexcitation, as shown in Figure 2, singlet excitons are promptly generated in either the electron donor or acceptor material in the active layer and then diffuse to a donor/acceptor interface. Because of the short lifetime of singlet excitons, typically less than 1 ns,³⁸ the BHJ concept has been widely applied to OPVs where donor and acceptor materials are finely phase-separated with a domain size of less than 10 nm.^{39,40} As a result of CT at the interface, singlet excitons separate into holes on the donor and electrons on the acceptor. If the hole and electron spatially separate further, they would dissociate into charge carriers free from Coulomb attraction, which can survive on a time scale of ns– μ s. Otherwise, the hole and electron pair is likely to recombine monomolecularly to the ground state (GS). This is called geminate recombination (GR), which is monomolecular recombination of an electron–hole pair generated upon photoexcitation. As reported previously, GR is one of the most detrimental processes in OPVs with modest efficiencies.^{41–43} On the other hand, some high-efficiency OPVs exhibit excellent charge

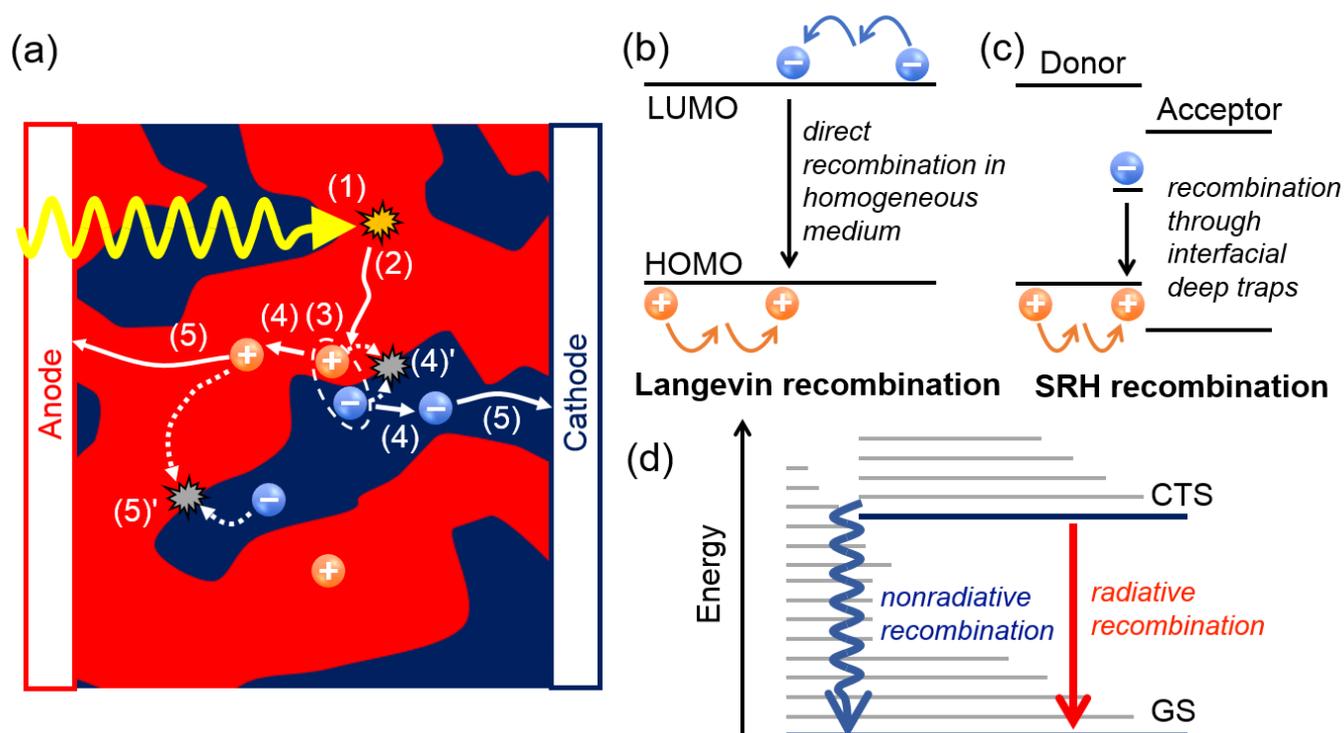


Figure 2. (a) Bulk heterojunction OPVs under light illumination. Light to electricity conversion undergoes taking five fundamental steps: (1) photon absorption to form excitons, (2) exciton diffusion to the interface, (3) charge transfer at the interface, (4) charge dissociation into free carriers, and (5) charge collection to each electrode. (b) Langevin recombination between free charges in homogeneous medium. (c) Shockley–Read–Hall (SRH) recombination between free holes (or electrons) and deeply trapped electrons (or holes). (d) Radiative and nonradiative transitions of charge transfer state (CTS) to the ground state (GS). (4') Geminate recombination (GR) is monomolecular recombination of a bound charge pair at the interface (3) in the panel (a) generated upon photoexcitation. (5') Nongeminate recombination (NGR) is bimolecular recombination of free charge carriers generated after the charge dissociation (4) in the panel (a). Both GR and NGR deactivate to the GS radiatively or nonradiatively as shown in the panel (d).

dissociation efficiency overcoming GR on a sub-ps time scale.⁴⁴⁻⁵¹ This results in extremely high internal quantum efficiencies under short-circuit conditions, approaching unity in some blend systems.⁵²⁻⁵⁴ Although GR is still a key issue in our research field, we would not focus on the competition between charge dissociation and GR in this review. After the dissociation, free charge carriers are then transported in the active layer to each electrode to be collected as photocurrent. As most OPVs comprise nanoscale phase-separated domains, holes and electrons frequently encounter at the interface during charge transport, and then recombine bimolecularly. This is called NGR, which is bimolecular recombination of free charges generated after charge dissociation. Both GR and NGR deactivate to the GS radiatively or nonradiatively. As mentioned above, GR loss is not significant in the state-of-the-art efficient OPVs, NGR should be the dominant loss process.

Fundamentals of NGR

If the oppositely charged carriers are statistically independent of each other, then carrier recombination is a random process and hence depends on charge carrier densities and the relative mobility μ ($\mu = \mu_e + \mu_h$ where μ_e and μ_h are electron and hole mobility, respectively). Thus, the recombination rate R can be described as follows.

$$R = -\frac{dn}{dt} = \gamma(np - n_i p_i) \approx \gamma np \quad (1)$$

Here, n and p represent charge carrier density for electrons and holes, respectively. The prefactor γ is the recombination rate coefficient and is a function of the relative mobility μ . For simplicity, we will disregard intrinsic carrier density n_i and p_i as $n_i p_i \ll np$ under 1 sun condition.

The Coulomb capture radius r_c , at which the Coulomb attraction between opposite charges is equal to the thermal energy from surroundings, is defined as

$$r_c = \frac{q^2}{4\pi\epsilon_0\epsilon_r k_B T} \quad (2)$$

where q , ϵ_0 , ϵ_r , k_B , and T are the elementary charge, permittivity of vacuum, relative permittivity, Boltzmann constant, and absolute temperature, respectively. At room temperature, this value can be as large as >10 nm because of the low dielectric constant of organic semiconductors ($\epsilon_r \approx 3-4$), meaning that charges always feel the Coulomb interaction in the active layer because the domain size of BHJ type OPVs is in the same order as mentioned above. This indicates that charges easily recombine bimolecularly. By considering entropy contribution to the free energy,^{41,42} it would be reduced to a few nm. The entropy effect on NGR will be discussed later.

Reaction Order

The reaction order Δ evaluated by steady-state $J-V$ measurements describes how the recombination rate R scales with the free charge carrier density. In the case of Langevin NGR, the reaction order Δ is 2. On the other hand, in the case of Shockley-Read-Hall (SRH)

recombination,^{55,56} where mobile free charge carriers recombine with opposite charges in deep traps, the reaction order is 1 because the density of deeply trapped charges does not change. In real cases in which both NGR and SRH recombination contribute to charge dynamics, Δ is expected to be in between 1 and 2.

On the other hand, the empirical reaction order δ evaluated by transient measurements described later is often higher than 2. Note that while the Auger recombination is known as a third-order recombination process,⁵⁷ it is not the case for OPVs because it needs a much higher charge density than that under 1 sun illumination. The empirical δ higher than 2 is usually explained by the presence of an energetic disorder leading to a broad electronic density of states.⁵⁸ This leads to a discrepancy between the Δ and δ because the former is based on free charges and the later on all charges in a device including both free and trapped charges. Kirchartz and Nelson have considered the recombination of free charge carriers with trapped charge carriers in an exponential tail state to explain the link between Δ and δ , and also the relation between δ and the diode ideality factor n_{id} as follows.⁵⁹ Since δ is defined as $R \propto n^\delta$,

$$\delta = \frac{d \ln R}{d \ln n} = \frac{dV}{d \ln n} \cdot \frac{d \ln R}{dV} \quad (3)$$

R and n are expressed as

$$R = R_0 \exp\left(\frac{qV}{n_{id} k_B T}\right) \quad (4)$$

$$n = n_0 \exp\left(\frac{qV}{m k_B T}\right) \quad (5)$$

where m is a constant which determines the slope of n against voltage. Then, δ is expressed as

$$\delta = \frac{m}{n_{id}} \quad (6)$$

On the other hand, Δ is defined as $R \propto n_f^\Delta$,

$$\Delta = \frac{d \ln R}{d \ln n_f} = \frac{dV}{d \ln n_f} \cdot \frac{d \ln R}{dV} \quad (7)$$

The density of free electrons n_f (holes p_f) is described as

$$n_f(p_f) \propto \exp\left(\frac{qV}{2k_B T}\right) \quad (8)$$

Thus, Δ is expressed as

$$\Delta = \frac{2}{n_{id}} \quad (9)$$

When we assume recombination between free charges, we obtain $n = n_f$, $p = p_f$, and $m = 2$ by comparing Equation 5 with Equation 8. Thus, R is described as

$$R \propto n_f p_f \propto \exp\left(\frac{qV}{k_B T}\right) \quad (10)$$

We obtain $n_{id} = 1$ by comparing Equation 4 with Equation 10, and then $\delta = \Delta = 2$.

For the recombination between free electrons (holes) and trapped holes (electrons), the density of trapped electrons n_t (holes p_t) is given by $n_t = p_t \propto \exp(qV/2E_{ch})$ assuming the same exponential tail E_{ch} due to an energetic disorder. Thus, R is described as

$$R \propto n_f p_t \propto n_t p_f \propto \exp\left\{\frac{qV}{k_B T} \left(\frac{1}{2} + \frac{k_B T}{2E_{ch}}\right)\right\} \quad (11)$$

Therefore, n_{id} and m are expressed as

$$n_{id} = \left(\frac{1}{2} + \frac{k_B T}{2E_{ch}} \right)^{-1}, \quad (12)$$

$$m = \frac{2E_{ch}}{k_B T}, \quad (13)$$

Finally, δ and Δ are expressed as

$$\delta = \frac{E_{ch}}{k_B T} + 1. \quad (14)$$

$$\Delta = \frac{k_B T}{E_{ch}} + 1. \quad (15)$$

Note that the exponential tail E_{ch} for the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) are not necessarily identical. Equations 14 and 15 mean that δ becomes larger than 2 while Δ becomes less than 2 with increasing E_{ch} over $k_B T$. In summary, δ and Δ would be different for trap-assisted recombination in the presence of an energetic disorder.

Langevin Recombination

Recombination of free charge carriers in noncrystalline semiconductors is often described by the Langevin theory.⁶⁰ When the mobility of localised charge carriers is relatively low, the mean free path of the carriers is less than the radius of capture of one carrier by the other.⁶¹ In this case, the recombination rate is proportional to the probability of opposite charges finding each other. This is the case for polymer semiconductors. As polymer semiconductors are disordered materials, the recombination rate is limited by localised hopping transport with a low mobility as opposed to crystalline semiconductors with a high mobility where delocalised charge transport is dominant.⁶¹

In the Langevin framework, the Langevin recombination rate coefficient γ_L can be derived by solving the Debye–Smoluchowski equation with an assumption of the validity of the Einstein relationship $D = \mu k_B T / q$, where D is the relative diffusion coefficient ($D = D_n + D_p$).^{62,63}

$$\gamma_L = 4\pi D r_c = \frac{q\mu}{\epsilon_0 \epsilon_r} \quad (16)$$

Equation 16 tells us that the Langevin recombination rate is proportional to the mobility. This means that if you obtain a higher mobility polymer material to shorten the charge collection time, you would also experience a faster NGR, resulting in a negligible or often a negative impact on charge collection efficiency. In other words, a high FF could not be obtained with a thick active layer if the recombination is governed by the Langevin framework.

Reduced NGR

In order to obtain a long charge carrier lifetime and a high FF with a thick active layer beyond the limitation of the Langevin theory, “reduced Langevin” description is of particular importance. In this context, the recombination rate is “reduced” as

$$\gamma = \zeta \gamma_L \quad (17)$$

where ζ is often called the Langevin reduction factor. Indeed, some blend systems have shown reduced NGR rates, which are 2–4 orders of magnitude lower than the Langevin recombination rate, i.e. ζ is on the order of 10^{-2} – 10^{-4} , as will be summarised in Table 1. In such cases, high FFs can be obtained even with thicker active layers. It is, therefore, important to understand what is the origin of the reduced Langevin recombination and what controls the reduction factor. Proposed theories for the reduced NGR will be described later.

NGR Measurement Techniques

We now turn to recent experimental findings regarding NGR. Dynamics of NGR has been measured by various techniques. Here, we briefly summarise some major techniques before moving to detailed discussion.

Light Intensity Dependence of J_{sc}

A classical method to discuss NGR is light intensity dependent J – V measurements where J_{sc} is plotted against light intensity I .^{64–68} Then the J_{sc} is fitted by a power-law function $J_{sc} \propto I^\alpha$ (Figure 3). Note that this α is different from that in Equations 22–26, and 67. If the NGR loss can be disregarded under short-circuit condition, α would be close to unity. On the other hand, α would be close to 1/2 when the NGR loss is significant. The fraction of charge carriers that recombined bimolecularly is given as $\alpha^{-1}-1$. This method provides useful information with a simple measurement setup, however, charge dynamics in the active layer remains unclear. Note that this method can predict the loss fraction of charge carriers correctly when NGR is the major intensity dependent loss mechanism and space-charge effects are not operative.

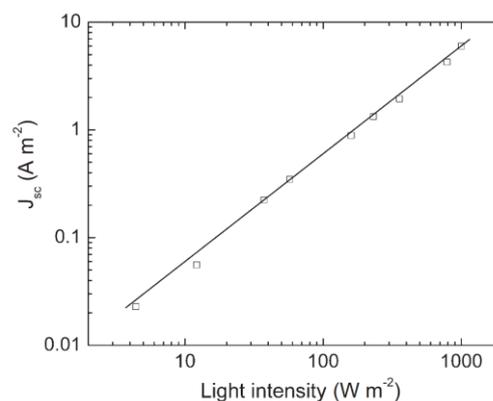


Figure 3. Log-log plots of short-circuit current density (J_{sc}) against light intensity of an MDMO-PPV/PCNEPV solar cell. The solid line represents a linear relation with a slope of unity. Reprinted with permission from ref. 64. Copyright 2007 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.

Transient Photovoltage/Photocurrent (TPV/TPC) Measurement

Transient photovoltage/photocurrent (TPV/TPC) measurements (Figure 4a, b) are optoelectronic techniques with which we evaluate

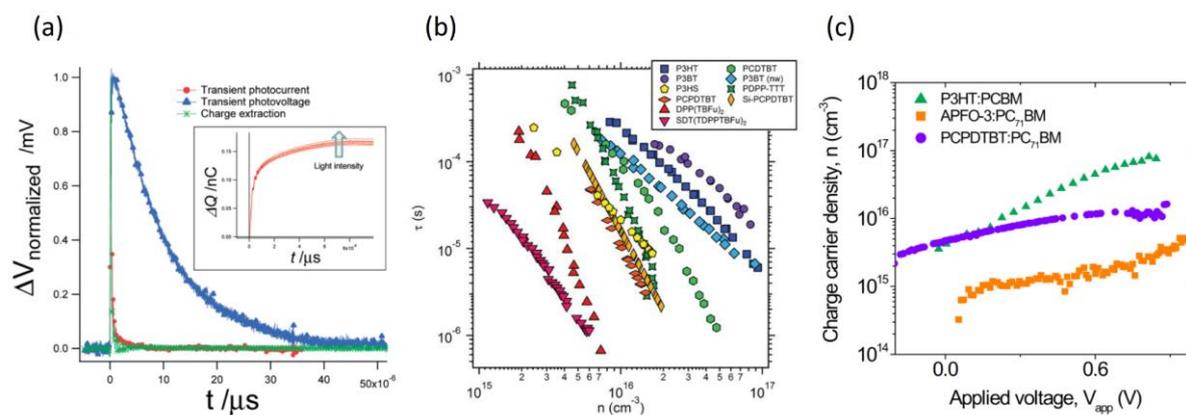


Figure 4. (a) Normalised TPV, TPC, and CE transients acquired for a P3HS/PC₆₁BM solar cell under ~ 1 sun steady-state background illumination condition. The inset shows the charging transients obtained by integration of the TPC transients under various background light intensities from dark to 1 sun, indicating the amount of additional charges Δq is independent of the background light intensity. Reprinted with permission from ref. 74. Copyright 2011 American Chemical Society. (b) Log-log plots of charge carrier lifetime against charge carrier density for solar cells based on various blend systems. Reprinted with permission from ref. 77. Copyright 2012 American Chemical Society. (c) Semilogarithmic plots of the steady-state charge carrier densities against applied bias. Reprinted with permission from ref. 81. Copyright 2013 American Chemical Society.

charge carrier lifetime τ_n as a function of charge carrier density n .^{69–79} Full details of these techniques are described in ref. 74. The advantage of these techniques is that we can obtain these recombination parameters under steady-state device operating condition. In the TPV measurements, a solar cell is held at open circuit with ~ 1 M Ω input impedance. The background white light is illuminated under various intensities to produce free charge carriers in the active layer. Under open-circuit condition, charges cannot leave the device instead they accumulate and provide a voltage V_{oc} . Then, a weak pulse laser is provided to give a small amount of extra charges, resulting in a small perturbation for the V_{oc} . Additional charges recombine with a time constant of $\tau_{\Delta n}$, where pseudo first-order reaction is assumed, and finally the voltage decays back to V_{oc} is evaluated. As described in ref. 74, the time constant $\tau_{\Delta n}$ is related to charge carrier lifetime τ_n as $\tau_n = \delta \tau_{\Delta n}$. On the other hand, in TPC measurements, the device is held at a quasi short circuit with a small (typically $\sim 50 \Omega$) resistor inserted in parallel to the device. The voltage response of the device after the pump laser under various background illumination is also measured in the TPC measurements. The TPC transients are converted into current transients based on the Ohm's law and integrated over the time to estimate the amount of additional charges Δq . Then, the differential capacitance dC is calculated as $dC(V_{\text{oc}}) = \Delta q / \Delta V_0(V_{\text{oc}})$ where $\Delta V_0(V_{\text{oc}})$ is the amplitude of the TPV transients under the same background illumination. The total carrier density at open circuit $n(V_{\text{oc}})$ is evaluated by integrating dC from 0 V to V_{oc} .⁷⁴ As shown in Equations 18 and 19, $\tau_{\Delta n}$ and $n(V_{\text{oc}})$ exponentially decrease and increase with increasing V_{oc} , respectively. The exponent λ in Equation 20 is equal to m/v .

$$\tau_{\Delta n} = \tau_{\Delta n_0} \exp\left(-\frac{qV_{\text{oc}}}{vk_{\text{B}}T}\right) \quad (18)$$

$$n(V_{\text{oc}}) = n_0 \exp\left(\frac{qV_{\text{oc}}}{mk_{\text{B}}T}\right) \quad (19)$$

$$\tau_n = \tau_0 n^{-\lambda} = \delta \tau_{\Delta n} = (1 + \lambda) \tau_{\Delta n} \quad (20)$$

$$\lambda = \frac{m}{v} \quad (21)$$

Note that the effect of current leakage due to small shunt resistance should be considered especially for measurement region of low light intensity.⁷⁴ To eliminate the effect, you should choose devices with enough shunt resistance and restrict the measurement within light intensity region where the linear relationship between the logarithm of light intensity and open-circuit voltage.

Charge Extraction (CE) Measurement

Charge extraction (CE) measurements (Figure 4a,c) are also optoelectronic techniques with which we can evaluate n under various applied voltages.^{71,72,74,76,80–82} In the CE measurements, a solar cell is illuminated with white light under various intensities and held at a certain voltage V_{app} . Once the device reaches a steady-state, the white light is turned off and simultaneously the device is shorted using a small ($\sim 50 \Omega$) resistor. The device is discharged and produces a voltage signal that is measured across the small resistor. By converting the voltage decay to current decay based on the Ohm's law and integrating the current over time, n is evaluated directly. The assumption in these measurements is that all charges in the device are extracted under short-circuit condition without any loss such as NGR. However, this is not the case in some blend systems. To overcome this problem, bias-assisted charge extraction (BACE) measurements are employed. In these measurements, a reverse bias is applied to the device instead of under short circuit to enhance charge extraction.

Time-resolved charge extraction (TRCE) measurements also revealed an extraction transient, but it tracks the time evolution of charge density.^{83–85} A solar cell is illuminated with a laser pulse instead of white light, under open-circuit condition. By short-circuiting the device after a certain time delay, charge carrier density at each delay

time is obtained. From the gradient of the charge carrier decay, the NGR rate constant is evaluated.

Transient Absorption Spectroscopy (TAS)

Transient absorption spectroscopy (TAS) (Figure 5) is one of the most powerful techniques for observing transient species such as charge carriers generated after photoexcitation.^{47,86-93} In the TA measurement, the probe light intensity transmitted through a sample is detected with and without the photoexcitation (I and I_0 , respectively). From the intensity ratio, the absorbance change is given by $\Delta OD = \log(I_0/I)$. Note that, in many cases, TA measurements are also presented as the fractional differential transmission $\Delta T/T$. For fractional changes on the order of a few percent or smaller, it can be shown that $\Delta T/T \approx -(\ln 10) \Delta OD$. Consequently, photoproducts provide positive signals in the ΔOD but emission or ground-state bleaching results in negative signals in the ΔOD . According to the Beer–Lambert law, the ΔOD is proportional to the density of transient species $\Delta OD = n\sigma l$ where n , σ , and l are the density of transients, absorption cross-section, and optical pass length, respectively. The NGR rate constant is evaluated from the time evolution of charge carrier density $n(t)$. The $n(t)$ for NGR can be fitted with an empirical power-law equation.

$$n(t) = \frac{n(0)}{(1 + at)^\alpha} \quad (22)$$

where $n(0)$ is the initial charge density and a and α are kinetic parameters. Note that this α is different from the previous one and that in Equation 67. On the basis of Equation 1, time-dependent NGR rate constant $\gamma(t)$ is given by

$$\gamma(t) = -\frac{1}{n^2(t)} \frac{dn(t)}{dt} = \frac{a\alpha}{n(0)} (1 + at)^{\alpha-1} \quad (23)$$

On the other hand, charge density-dependent NGR rate constant $\gamma(n)$ is given by

$$\gamma(n) = \frac{a\alpha}{n} \left(\frac{n}{n(0)} \right)^{\frac{1}{\alpha}} \quad (24)$$

Thus, τ_n , which is defined as reciprocal of first-order rate constant, is given by⁷⁴

$$\tau_n = \frac{1}{\gamma(n)n} = \frac{n(0)^{\frac{1}{\alpha}}}{a\alpha} n^{-\frac{1}{\alpha}} \quad (25)$$

Comparing Equations 18–21 from TPV/TPC with Equation 25 from TAS, the following relations are obtained.

$$\alpha = \frac{1}{\lambda} \quad (26)$$

$$a = \frac{\lambda n(0)^\lambda}{(\lambda + 1)\tau_{\Delta n_0} n_0^\lambda} \quad (27)$$

Therefore, the empirical equation can be expressed with the kinetic parameters obtained from TPV/TPC measurements as follows:

$$n(t) = n(0) \left[1 + \frac{\lambda n(0)^\lambda}{(\lambda + 1)\tau_{\Delta n_0} n_0^\lambda} t \right]^{-\frac{1}{\lambda}} \quad (28)$$

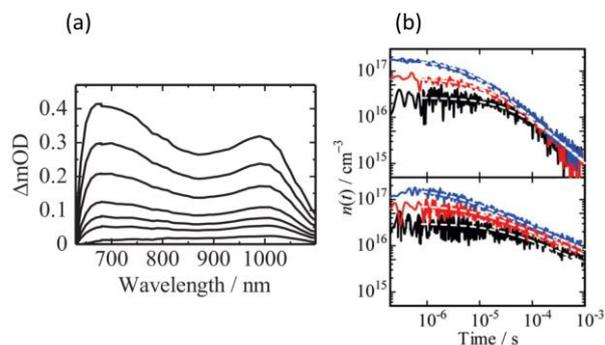


Figure 5. (a) TA spectra of RR-P3HT/PC₆₁BM blend films measured at 0.5, 1, 2, 5, and 10 μ s from top to bottom excited at 400 nm. Reprinted with permission from ref. 93. Copyright 2016 IEEE. (b) Charge carrier density decays of RR-P3HT:PC₆₁BM blend films measured at 700 nm (upper panel) and 1000 nm (lower panel) excited at 0.8, 1.8, and 4.7 μ J cm⁻² from bottom to top in each panel. The broken lines represent fitting curves with Equation 22. Reprinted with permission from ref. 87. Copyright 2010 American Chemical Society.

Note that TAS is a transient measurement where charge carriers are not always under steady-state condition as is the case in operating devices. This is also the case with CELIV and TDCF as will be described later.

Photoinduced Charge Carrier Extraction by Linearly Increasing Voltage (Photo-CELIV) Technique

Photoinduced charge carrier extraction by linearly increasing voltage (photo-CELIV) technique (Figure 6) is used to simultaneously evaluate the charge carrier mobility and NGR rate in a solar cell.⁹⁴⁻¹⁰⁵ First, a laser pulse is applied to a solar cell while applying a constant voltage to minimise charge extraction. After a certain delay time t_d , the remaining charges are extracted by a linearly increasing voltage. The resulting transient current exhibits an initial step j_0 arising from

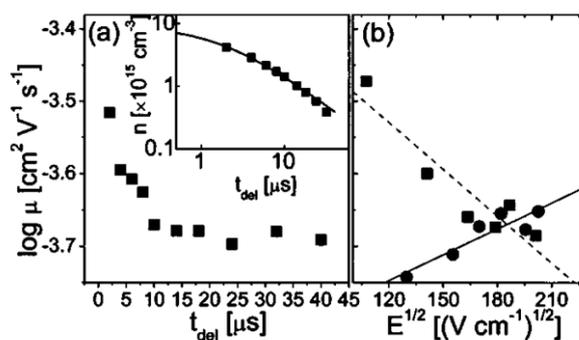


Figure 6. The logarithm of charge carrier mobility plotted against the delay time (a) and the square root of electric field (b) at (■) 5 μ s or (●) 15 μ s after the light pulse. Inset: Log-log plots of charge carrier density against delay time. The solid line represents a fitting curve. Reprinted with permission from ref. 97. Copyright 2005 American Institute of Physics.

geometrical capacitance of the device and reaches the maximum current $j_0 + \Delta j$ at a certain time t_{\max} . Using j_0 , Δj , and t_{\max} , the charge carrier mobility is calculated as

$$\mu = \frac{2d^2}{3At_{\max}^2 \left(1 + 0.36 \frac{\Delta j}{j_0}\right)} \quad (29)$$

where A is the voltage rise speed. Charge carrier density is also evaluated from the difference between collected charges with and without a laser pulse. The time evolution of charge carrier density is obtained by varying t_d , from which the NGR rate constant is evaluated.

Time-Delayed Collection Field Measurement

Time-delayed collection field (TDCF) (Figure 7) is a technique that can be used to evaluate charge recombination loss including field-dependent GR and NGR.¹⁰⁶⁻¹⁰⁹ A laser pulse is applied to a solar cell under a pre-bias of V_{pre} . After a certain delay time t_d , a voltage for charge collection V_{col} is applied to the device to extract all the remaining charges in the active layer. The voltage signal is recorded through a small resistor and transferred to the current. By integrating the current, the amount of extracted charges by V_{pre} (Q_{pre}) and that by V_{col} (Q_{col}) are estimated. Total extracted charges Q_{total} ($= Q_{\text{pre}} + Q_{\text{col}}$) as a function of V_{pre} enables us to evaluate field-dependence of GR losses. On the other hand, Q_{col} as a function of t_d under a condition of constant V_{pre} provides the time dependence of collected charges. The NGR rate constant is calculated by analysing the charge decay.

Steady-State J - V Measurement

Wetzelaer *et al.* proposed a way for evaluating ζ by steady-state J - V measurements (Figure 8).¹¹⁰ In this method, three types of devices

are fabricated: hole-only devices, electron-only devices, and double-carrier devices. The former two single-carrier devices are used for space-charge limited current (SCLC) measurements. The current follows the Mott–Gurney law:

$$J_{\text{h(e)}} = \frac{9}{8} \epsilon_0 \epsilon_r \mu_{\text{h(e)}} \frac{V^2}{d^3} \quad (30)$$

where $J_{\text{h(e)}}$ represents the current in hole (or electron)-only devices. For double carrier devices, the active layer is sandwiched by an electron-injecting electrode such as LiF/Al and a hole-injecting electrode such as Au/PEDOT:PSS. They assumed the current in double-carrier devices J_D as

$$J_D = \sqrt{J_{\text{BB}}^2 + J_{\text{IP}}^2} \quad (31)$$

where J_{BB} and J_{IP} are the current under two extreme conditions called back to back and injected plasma, respectively.¹¹¹

$$J_{\text{BB}} = \frac{9}{8} \epsilon_0 \epsilon_r (\mu_h + \mu_e) \frac{V^2}{d^3} \quad (32)$$

$$J_{\text{IP}} = \left(\frac{9}{8}\pi\right)^{\frac{1}{2}} \epsilon_0 \epsilon_r \left(\frac{2\mu_h\mu_e}{\zeta}\right)^{\frac{1}{2}} \frac{V^2}{d^3} \quad (33)$$

Using the above equations, ζ is expressed as

$$\zeta = \frac{16\pi}{9} \frac{\mu_h\mu_e}{\mu_{\text{eff}}^2 - (\mu_h + \mu_e)^2} \quad (34)$$

where μ_{eff} is the effective mobility in double-carrier devices.

$$J_D = \frac{9}{8} \epsilon_0 \epsilon_r \mu_{\text{eff}} \frac{V^2}{d^3} \quad (35)$$

This is a brief technique because you do not need any measurement setups other than conventional J - V measurement equipment. Note

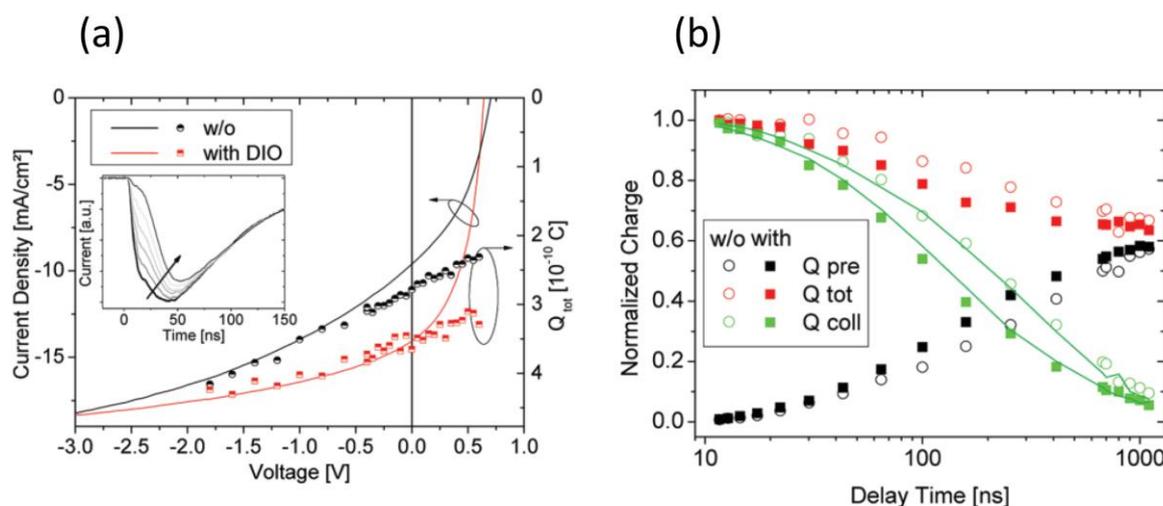


Figure 7. (a) Left scale: photocurrent density for blends with (red) and without (black) processing additive diiodooctane (DIO). Right scale: total extracted charges for blends with (red) and without (black) DIO plotted against prebias voltage during excitation. The inset shows the corresponding TDCF transients for blends with DIO and V_{pre} ranging from -0.4 to 0.6 V. (b) $Q_{\text{pre}}(t_d)$, $Q_{\text{coll}}(t_d)$, and $Q_{\text{tot}}(t_d)$ normalised to the initially generated charge under a prebias voltage of 0.3 V plotted against delay time for blends with (filled symbols) and without (open symbols) DIO. The solid lines represent NGR fitting curves. Reprinted with permission from ref. 107. Copyright 2012 American Chemical Society.

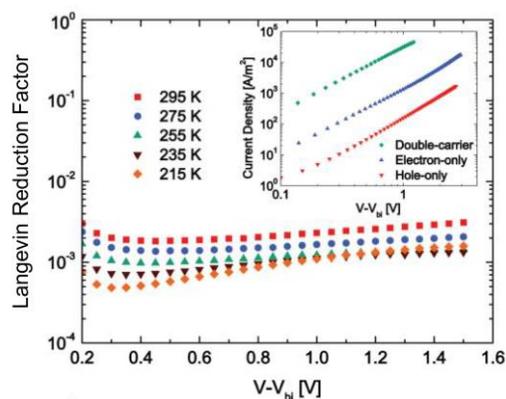


Figure 8. Semilogarithmic plots of temperature-dependent Langevin reduction factor calculated by Equation 34 for an RR-P3HT/PC₆₁BM solar cell. The inset shows the J - V curves of the three devices measured at room temperature. Reprinted with permission from ref. 110. Copyright 2013 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.

that the measurement does not track actual recombination dynamics like in other transient measurements.

Consistency among Different Techniques

The question is whether there is a consistency among different techniques, and whether we should select an appropriate measurement technique depending on the situation. A major difference among the techniques is whether the measured sample is a solar cell device or a film on substrate without electrodes. It seems appropriate to use a device structure to simulate the operating condition whereas electrodes may induce undesirable effects of shunts or surface recombination which prevents direct observation of bulk NGR loss.

Shuttle *et al.* employed reflection-mode TAS on a blend film with and without electrodes and TPV/TPC measurement on a solar cell to compare these results.⁶⁹ An excellent agreement was obtained among the time evolutions of charge carrier density, indicating that TAS and TPV/TPC methods provide the same information about NGR loss. Clarke *et al.* conducted five different measurements for the same blend system.¹¹² The measurements are divided into three categories: optical (TAS), optoelectronic (TPV, photo-CELIV, and TRCE), and electrical (electrochemical impedance spectroscopy: EIS). Figure 9 shows the τ_n plotted against n measured by five techniques. The dashed lines show that at a high charge density, the power law fits the equation $\tau_n = \tau_0 n^{-\lambda}$. The τ_n evaluated at high charge carrier densities ($> 3 \times 10^{16} \text{ cm}^{-3}$) showed similar values in all methods, except for EIS. They attributed the deviation from other techniques observed in EIS to the problem of unsophisticated analysis method. The exponent λ changed with the techniques. Photo-CELIV exhibited the smallest λ of 1.4, which was likely due to charge leakage or a short integration time. On the other hand, λ of 2.1–2.6 was obtained for TPV, TRCE, and TAS. In addition, higher λ at a low charge density was observed for TPV and TRCE. They mentioned the possible mechanism of surface recombination or inhomogeneous charge

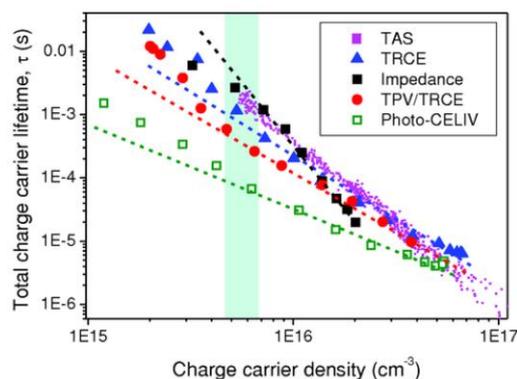


Figure 9. Log-log plots of charge carrier lifetime against charge carrier density for the five techniques. The dashed lines represent fitting lines at high charge density with a power-law equation $\tau = \tau_0 n^{-\lambda}$. The shaded region represents the range where each of the techniques deviates from this equation (except TAS). Reprinted with permission from ref. 112. Copyright 2015 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.

distribution. They proposed that a combination of TAS and TRCE is appropriate for investigation of the NGR dynamics over a wide charge density range.

All these studies suggest that there is a consistency among different techniques when we evaluate the NGR rate under 1 sun illumination condition. However, when we evaluate the NGR rate over a wide charge density range or determine the NGR reaction order, it would be necessary to carefully consider the effects of current leakage or surface recombination, especially for techniques employing a device structure.

Reduced NGR

The reduction factors ζ reported for various blends are summarised in Table 1. Some trends can be seen in the data depending on the type of donor or acceptor material, crystallinity of polymers, or device fabrication condition. In polymer/fullerene systems, blends employing highly crystalline polymers such as regioregular (RR)-P3HT, DT-PDPP2T-TT, and PNTz4T exhibit a small reduction factor of 0.01 or less (the chemical structure of materials are shown in the Appendix). Blends employing polymers with low crystallinity such as PCPDTBT and PTB7 exhibit moderate reduction factor of about 0.1. Amorphous polymers such as MDMO-PPV and regiorandom (RRa)-P3HT exhibit a reduction factor of 1, indicating Langevin-type diffusion-limited recombination. Note that morphological change by introducing additives can decrease the reduction factor in some blends. On the other hand, polymer/nonfullerene acceptor (NFA) systems, a wide range of values are reported. For polymer/small molecule NFA (SMNFA) systems, reduction factors between 0.01–1 are reported. Recent studies have shown relatively small reduction factors less than 0.05 for blend films employing more crystalline polymers such as PTB7-Th and PffBT4T-2OD. Blends employing fluorinated SMNFA such as ITIC-2F and IT-4F exhibit reduction factors

Table 1. Experimental reduction factors in each blend system.

Group	Donor	Acceptor	Remark	Reduction factor	Measurement	Ref.	
polymer/fullerene	RR-P3HT	PC ₆₁ BM	pristine	0.1-1	CELIV, TPV	113,114	
			annealed	0.0001–0.01	TAS, CELIV, TPV	86,113-115	
	RR-P3HT	PC ₇₁ BM		0.006	TDCF	106	
	DT-PDPP2T-TT	PC ₇₁ BM		0.0042	TPV	115	
	PSBTBT	PC ₇₁ BM		0.04	TPV	116	
	PNTz4T	PC ₇₁ BM		0.01	TPV	117	
	PNTz4TF2	PC ₇₁ BM		0.03	TPV	117	
	PNTz4TF4	PC ₇₁ BM		0.1	TPV	117	
	PBTTT	PC ₆₁ BM	1:1	~0.3	CELIV	118	
			1:4	0.03	CELIV	118	
	NT812	PC ₇₁ BM		0.00125	$J-V^a$	119	
	PPDT2FBT	PC ₇₁ BM		0.01	IPDA ^b	120	
	PIPCP	PC ₆₁ BM		0.2	IPDA	120	
	PDPP-TNT	PC ₇₁ BM		1	CELIV	121	
	PffBT4T-2OD	PC ₇₁ BM		0.1	TPV	122	
	PCPDTBT	PC ₇₁ BM	w/o	0.2	TDCF	123	
			DIO 3%	0.07	TDCF	123	
	F-PCPDTBT	PC ₇₁ BM	w/o	0.14	TDCF	123	
			DIO 1%	0.04	TDCF	123	
			DIO 3%	0.03	TDCF	123	
	PTB7	PC ₇₁ BM	w/o	0.1	IPDA	120	
			DIO 3%	0.03	IPDA	120	
	PTB7-Th	PC ₇₁ BM	w/o	0.1	IPDA	120	
			DPE 3%	0.03	IPDA	120	
	PCDTBT	PC ₆₁ BM		0.3–1	TOF ^c	124	
	RRa-P3HT	PC ₆₁ BM		1	CELIV	125	
	MDMO-PPV	PC ₆₁ BM		1	CELIV	98	
	PTQ1	PC ₇₁ BM		0.05	CELIV, TRMC ^d	32	
polymer/SMNFA	PBDB-T	ITIC		0.5	$J-V$	126	
				0.283	$J-V$	127	
				0.0371	$J-V$	127	
		NT812	ITIC		0.2	BACE	128
		PTB7-Th	ITIC-2F		0.013	$J-V$	129
		PMOT40	IDIC		0.001–0.01	TPV	130
		PBDB-T	IDTIC		1.22	CELIV	131
			IDTTIC		0.16	CELIV	131
		PBDB-T	ITCC		0.68	$J-V$	132
		PTB7-Th	IEICO-4F		0.027	$J-V$	132
		PffBT4T-2OD	FBR		0.05	TPV	122
		PTB7-Th	bay-di-PDI		0.1	TAS	51
polymer/polymer	RR-P3HT	N2200	200 °C dried	0.002	TDCF	133	
			RT dried	0.015	TDCF	133	
	PBDB-T	N2200		0.43	$J-V$	134	
	PTzBI	N2200		0.28	$J-V$	134	
	PTQ1	N2200		0.1 ^e	TAS	135	

^a Steady-state $J-V$ measurements described in the main text. ^b Impedance-photocurrent device analysis (IPDA) demonstrated by Heiber *et al.* Note that reduction factors are evaluated at maximum power point. ^c Time-of-flight. ^d Time-resolved microwave conductance. ^e Reduction factor when using mobilities determined from SCLC measurement. Details are given in ref. 135

less than 0.04. For polymer/polymer systems, although it is difficult to find trends owing to the lack of experimental reports, relatively small reduction factors were reported for blend films employing RR-P3HT. In this section, we will discuss suggestive results among these systems.

Crystalline Polymer-Based Solar Cells

Many researchers have pointed out the importance of crystallinity for suppressing NGR. Hamilton *et al.* studied the annealing effects on NGR in OPVs based on RR-P3HT/PC₆₁BM blends.¹¹⁴ It is well known that RR-P3HT/PC₆₁BM devices exhibit relatively high FF (>0.65) even with a thick (>200 nm) active layer.³ RR-P3HT, a well-known benchmark polymer used for OPVs, forms a π -stacking crystalline structure in thin films, in which the crystallinity of RR-P3HT increases after thermal annealing. They performed TPV/TPC measurements for pristine and annealed RR-P3HT/PC₆₁BM devices, and found that the charge carrier lifetime τ_n of the annealed device is one order of magnitude longer than that of the pristine device. As shown in Figure 10, the reduction factor for the annealed device ζ was significantly reduced to 10^{-3} – 10^{-2} as compared to 10^{-1} for the pristine device. Such significant suppression of NGR was also observed in OPVs consisting of crystalline low-bandgap polymers. Fukuhara *et al.* have studied the NGR dynamics in OPVs based on blends of DT-PDPP2T-TT/PC₇₁BM.¹¹⁵ DT-PDPP2T-TT is also a crystalline polymer and shows a considerably high J_{sc} of 20.07 mA cm⁻² and a high FF of 0.70 with an optimum thickness of 340 nm when blended with PC₇₁BM.¹³⁶ The reduction factor was estimated through TPV/TPC measurements to be on the order of 10^{-3} , indicating that NGR is substantially suppressed in these systems. These results shed light on the importance of crystallinity for suppressing NGR and boosting FF. Increasing crystallinity is, of course, not a sufficient condition to achieve a high FF with thick active layers. Fukuhara *et al.* also investigated the NGR dynamics in PSBTBT/PC₇₁BM devices.¹¹⁶ PSBTBT is also a crystalline polymer although the crystalline size is

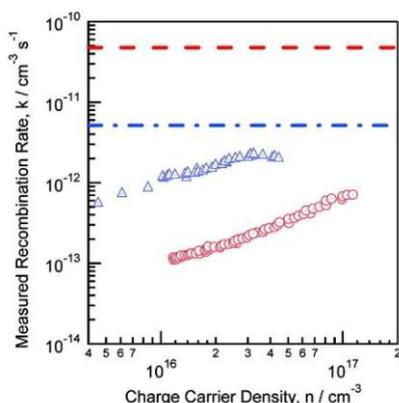


Figure 10. Log-log plots of recombination rate constant against charge carrier density for annealed (red circles) and pristine (blue triangles) solar cells in comparison with the Langevin recombination rate constant calculated using hole mobility of annealed (red dashed line) and pristine (blue dash-dot line) blend films. Reprinted with permission from ref. 114. Copyright 2010 American Chemical Society.

smaller than that of annealed RR-P3HT.⁴⁸ However, the optimum thickness is as thin as ~ 100 nm, and otherwise FF is degraded with the increase in thickness. They found by TPV/TPC measurements that ζ is on the order of 10^{-2} , indicating that NGR is sufficiently suppressed in this system. Nonetheless, FF of this device was as low as 0.46 with an active layer thickness of 180 nm. Using recombination parameters obtained by TPV/TPC measurements, they tried to reproduce the observed J - V curve. However, the estimated J - V curve largely overestimated the photocurrent over a whole voltage range from short circuit to open circuit. The deviation from the measured data became larger with increasing applied bias. This behaviour might be a sign of electric field dependent charge generation. However, TA measurements performed under various applied voltages showed that the charge generation yield hardly depends on the applied voltage from 0 to 1 V. Rather, they speculated that the charge density at short circuit would be much higher than they assumed. The hole mobility of PSBTBT is as low as a quarter of the electron mobility of PC₇₁BM. Such an imbalanced mobility would cause charge accumulation in the active layer, which screens the built-in electric field. They concluded that the low FF for PSBTBT/PC₇₁BM devices originates from the NGR under a short-circuit condition caused by charge accumulation. This suggests that not only a reduced Langevin context but also efficient charge extraction is necessary for achieving a high FF with a thick active layer.

Blends employing crystalline donor polymer exhibits relatively small reduction factor for both fullerene and NFA-based solar cells. Although it has been still under debate about the mechanism of the reduction of NGR caused by polymer crystallinity, several possible mechanisms have been proposed as will be described in detail in the part 'Origin of Slower NGR'.

Nonfullerene Acceptor (NFA)-Based Solar Cells

Nonfullerene acceptors (NFAs) have attracted considerable attention as an alternative to fullerenes by providing stronger optical absorption and allowing further optimisation of the molecular orbital energy landscape. The PCEs for NFA-based OPVs have been improved significantly up to >18%. However, the optimised active layers for NFA-based cells have been typically kept as thin as possible (~ 100 nm) to retain a high FF. Only limited cells have shown better performance even with a thick active layer. For example, Zheng *et al.* reported highly efficient NFA-based OPVs where they modified the hole-transporting layer to improve the FF.¹³⁷ By mixing WO_x nanoparticles with PEDOT:PSS emulsion to obtain better interfacial properties, they achieved a boosted performance with an FF of 0.8079 and a PCE of 14.57% with a 110-nm thick active layer, which is one of the highest records for single-junction OPVs. The FF still remains over 0.7 with a 290-nm thick active layer. They conducted contact angle measurement and found that the surface free energy increased with the introduction of WO_x, improving active layer morphology on the hole transporting layer. A more balanced mobility and longer charge carrier lifetime were observed and considered to be the cause of higher FF. Therefore, morphology control is effective for reduced NGR and high FF in NFA-based OPVs. On the other hand, recent experimental results show that NGR is suppressed in blends employing fluorinated molecules. Zhang *et al.* found that PBDB-T

2F/IT-4F solar cells exhibit a reduction factor no more than one-seventh of that in PBDB-T-2F/ITIC solar cells.¹²⁷ Fluorination of molecules is reported to increase domain purity by enhancing inter/intramolecular interaction and to decrease Coulombic interaction of CTS by larger dielectric constant.^{138,139} Though the mechanism of reduced NGR is still unclear, fluorination can be one of strategies to suppress NGR loss.

NFAs based on n-type conjugated polymers such as F8BT are interesting candidates as an alternative to fullerenes as well.^{140,141} All-polymer blend solar cells routinely lagged in the PCE behind their fullerene-based analogues. This is mainly due to poor J_{sc} and FF for all-polymer cells compared with the fullerene-based analogues. As mentioned above, free charge generation in all-polymer OPVs have been limited by severe GR loss.¹⁴²⁻¹⁴⁹ For example, Westenhoff *et al.* analysed the charge dynamics in a blend of PFB and F8BT by TAS.¹⁴³ They found that ~75% of transient signals decayed but their polarization anisotropy remained high. They therefore attributed this decay fraction to GR of CTSs to lower-lying triplet states of F8BT. Charge dissociation efficiency and the PCE for all-polymer cells have been improved gradually owing to development of better acceptor polymers such as P(NDI2OD-T2).¹⁵⁰ A PCE of >11% was reported in 2019.¹⁵¹ On the other hand, most all-polymer OPVs still have a serious problem with FF, which is typically <0.6. For example, Hwang *et al.* reported PBDTTT-CT/30PDI blend and PTB7-Th/PNDIS-HD blend solar cells, both of which exhibit a PCE of >6% but an FF of ~0.5.^{152,153} To date, very limited all-polymer OPVs exhibit an FF of >0.7 with a thick active layer. Schubert *et al.* reported an example where an FF of 0.7 was obtained with a 410-nm thick active layer based on a blend of RR-P3HT as a donor and P(NDI2OD-T2) as an acceptor, while the PCE of this device was unfortunately poor (~1%).¹⁵⁴ They found by TDCF measurements that NGR is three orders of magnitude lower than the Langevin recombination rate.¹³³ They also suggested a strong correlation between domain purity and recombination kinetics where the strongly suppressed recombination rate can be obtained with highly pure domains.

The above discussion indicates that the common factor in blends where reduced NGR is observed is that the domain purity of donor and acceptor material is high for some reason. High crystallinity of polymer induces high domain purity, and fluorination of SMNFA enhances aggregation and then purity. Therefore, increasing domain purity by material design or fabrication condition would be effective for reduced NGR. However, we still need further studies to gain in-depth understanding of the reduced NGR.

Origin of Slower NGR

In some OPVs, NGR is reduced by 2–4 orders of magnitude compared to Langevin theory, but there is no unified understanding of the phenomenon. Here, we will summarise recent researches about the origin of slower NGR. We also propose that an entropy effect, which decreases Coulomb attraction energy, would reduce NGR in crystalline or aggregated OPVs.

“Effective mobility” Limited Recombination

In the Langevin framework, spatially and energetically homogeneous charge transport is assumed. However, it would be not the case in BHJ OPVs. Koster *et al.* have proposed a simple recombination model based on the minimum mobility.¹⁵⁵

$$\gamma_{\min} = \frac{q \min(\mu_e, \mu_h)}{\epsilon_0 \epsilon_r} \quad (36)$$

In this model, the total time for both electrons and holes to reach the interface is the limiting process of recombination. They confirmed the validity of this model by drift-diffusion simulation incorporating the model to reproduce J - V characteristics of solar cells based on RR-P3HT/PC₆₁BM blend films annealed at different temperatures.

On the other hand, Groves *et al.* have studied the accuracy of this model by implementing kinetic Monte Carlo simulations.¹⁵⁶ They examined the dependence of imbalanced mobility and found that while the recombination rate is well described by the Koster model when mobility is balanced, the recombination rate constant slightly depends upon the smallest mobility as shown in Figure 11. They proposed that the deviation from the Koster model arises from the fact that charge carriers are spatially distributed with different distances from the interface.

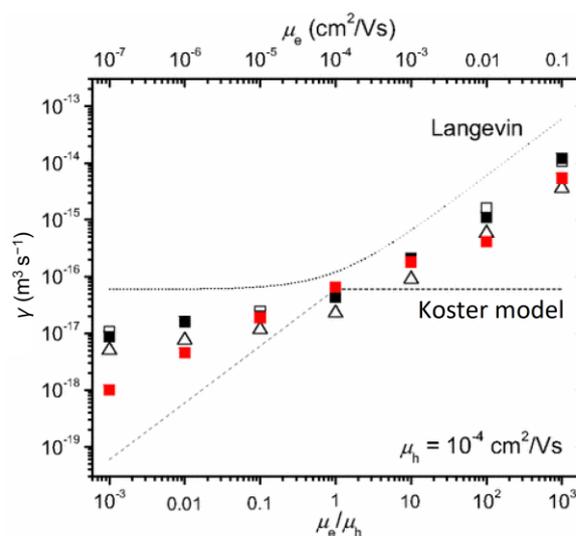


Figure 11. Log-log plots of recombination rate constant measured for a 1:1 blend of electron donor and acceptor polymers against the ratio of electron mobility to hole mobility (lower axis) and electron mobility (upper axis) with an average morphology feature domain size of $d = 4$ (black) and 35 nm (red). The squares and triangles represent $\sigma = 0$ and 75 meV, respectively, where σ is standard deviation of a Gaussian distributed energetic disorder. The open and closed symbols represent $E = 0$ and 10^7 V m⁻¹. In all cases $\mu_h = 10^{-4}$ cm² V⁻¹ s⁻¹. The dotted and dashed lines represent the prediction by the Langevin equation and the Koster model, respectively. Adapted with permission from ref. 156. Copyright 2008 American Physical Society.

Heiber *et al.* have studied the effect of domain size d in detail by kinetic Monte Carlo simulation and hence proposed a more general equation to explain their simulation results as follows

$$\gamma = \frac{q}{\varepsilon_0 \varepsilon_r} f(d) 2 \left(\frac{\mu_e^g + \mu_h^g}{2} \right)^{1/g} \quad (37)$$

where $f(d)$ and g are domain-size dependent prefactor and exponent, respectively.¹⁵⁷ The result of the simulation is shown in Figure 12. For small domains with $d < 10$ nm, $f(d)$ and g are close to unity and hence Equation 37 corresponds to the Langevin model. For large domains with $d > 35$ nm, on the other hand, g continues to decrease and hence the minimum mobility effect emerges. For intermediate domains with d of 10–35 nm, g approaches zero and the recombination is dependent on the geometric mean of mobility. These studies suggest that the actual recombination rate is somewhere in between the Langevin model and the Koster model, depending on the domain size. Coropceanu *et al.* also employed kinetic Monte Carlo simulation to study the impact of morphology and the lifetime of CTS on NGR dynamics.¹⁵⁸ They considered four different morphologies including bilayers with flat and rough interfaces and BHJs with large (25 nm) and small (5 nm) average domain sizes (Figure 13a). As shown in Figure 13b, the NGR rate constant varied significantly depending on morphologies: the slower NGR rate was obtained with decreasing interfacial area-to-volume ratio. They also considered the impact of lifetime of CTS by modifying attempt frequency of recombination of CTS. The result suggests that long-lived CTS could reduce NGR.

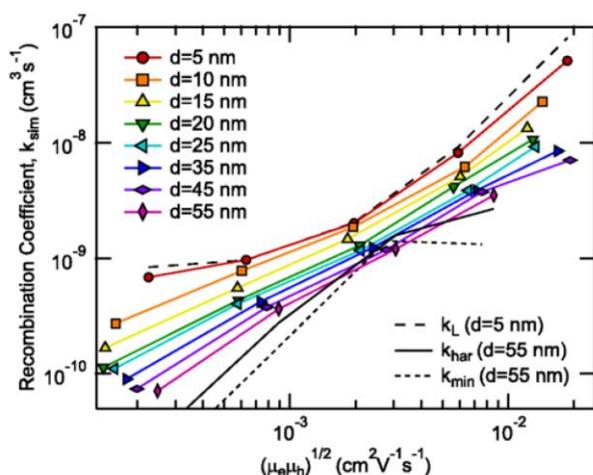


Figure 12. The result of kinetic Monte Carlo simulation of mobility dependence of NGR coefficient (k_{sim}) in terms of the effect of the domain size compared to the Langevin (k_L), harmonic mean (k_{har}), and the Koster (k_{min}) models. Reprinted with permission from ref. 157. Copyright 2015 American Physical Society.

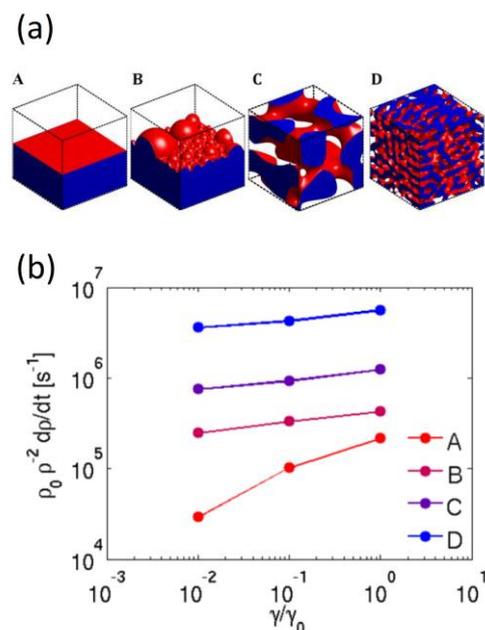


Figure 13. (a) Supposed morphologies of bilayers with flat (A) and rough (B) interfaces and BHJs with large (25 nm, C) and small (5 nm, D) average domain sizes. (b) NGR rate constant plotted against the normalized attempt frequency. Reprinted with permission from ref. 158. Copyright 2017 American Chemical

Concentration Gradients of Charges

Deibel *et al.*, explained the origin of reduced NGR with their own model considering concentration gradients of charge carriers.²⁸ Since OPV is an ambipolar device with asymmetric contacts, there is concentration gradients of charge carriers in the device. As shown in Figure 14a, electron concentration would be large near the cathode, and the hole concentration would be large near the anode. Then, the local recombination rate is determined by the product of local concentration of electrons ($n(x)$) and that of holes ($p(x)$) where x represents the distance from anode to cathode. In most measurements, on the other hand, spatially averaged concentration of charges ($\bar{n}(x)$ or $\bar{p}(x)$) are evaluated, leading to an overestimation of the recombination rate. Therefore, they described the Langevin reduction factor as

$$\zeta = \frac{\frac{1}{L} \int_0^L n(x)p(x) dx}{\bar{n}(x) \cdot \bar{p}(x)} \quad (38)$$

They conducted drift-diffusion simulation and calculated local concentrations of electrons and holes to determine the reduction factor on the basis of Equation 38. Figure 14b shows the simulated reduction factor and experimental values reported by Deibel *et al.*¹¹³ and Juška *et al.*¹²⁵ The temperature dependence of the experimental values are well reproduced with their model whereas other models cannot explain such temperature dependence. However, there is still a gap between the absolute magnitudes of simulated and experimental values by a factor of 1/200 for the data of Juška and by a factor of 1/20 for the data of Deibel. They considered the difference of the values as a constant component induced by other factors. Therefore, the actual value of the reduction factor may be

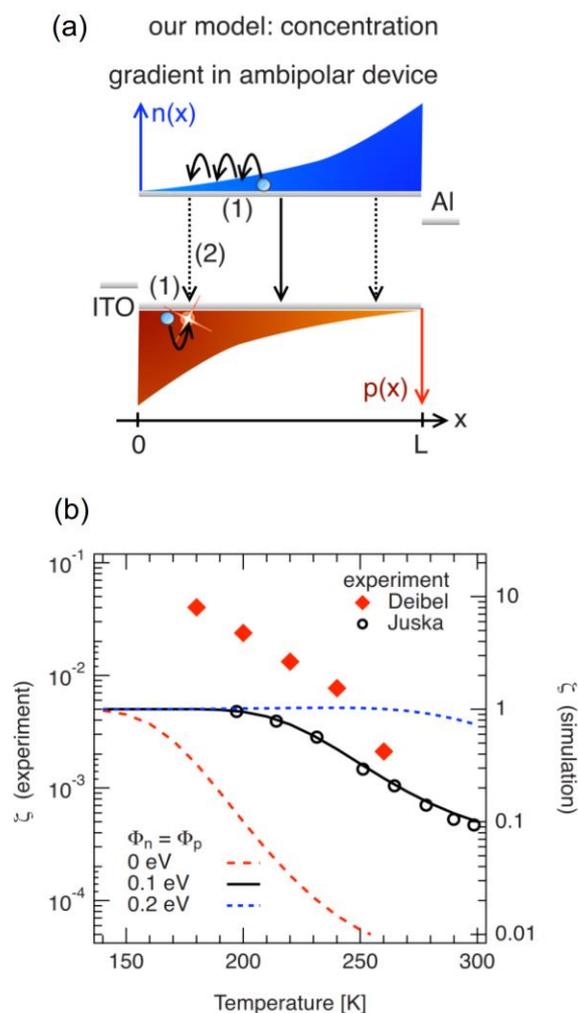


Figure 14. (a) Schematic representation of the model. L is the thickness of a device and $n(x)$ and $p(x)$ are the position-dependent electron and hole density where x is the distance from anode to cathode. (b) Simulated temperature dependence of reduction factor ζ with experimental values by photo-CELIV. Φ_p and Φ_n represent injection barriers at the anode and at the cathode, respectively. Reprinted with permission from ref. 28. Copyright 2009 American Physical Society.

determined by a combination of this model and other factors mentioned in this section.

2D Langevin Recombination

Juška *et al.* attributed the origin of reduced NGR to anisotropic transport of charges in crystalline polymers.^{30, 159} The time needed for charges to meet under influence of Coulomb interaction is expressed as

$$t_m = \int_0^{r_m} \frac{dr}{(\mu_e + \mu_h)E(r)} \quad (39)$$

where r_m is an interaction radius and $E(r)$ represents Coulomb electric field.

$$E(r) = \frac{e}{4\pi\epsilon_0 r^2} \quad (40)$$

In the classic Langevin model, charge recombination take place in 3D isotropic space, and the r_m is determined by $4\pi r_m^3/3 = 1/n$. Therefore, 3D Langevin recombination probability for holes is given by

$$f_{3D} = \frac{1}{t_m} = \frac{e(\mu_e + \mu_h)n}{\epsilon\epsilon_0} \quad (41)$$

On the other hand, the situation is quite different in blend films employing crystalline polymers. Crystalline polymers form lamellar structures, and charges are mainly transported along the 2D lamellar structure. It is reported that the mobility across and along the lamellar structure differs more than 100 times. Then, charge recombination dynamics is also limited by the 2D structure. When lamellar spacing $l \ll r_m$, r_m is determined by $\pi r_m^2 l = 1/n$. Thus, 2D Langevin recombination probability is given by

$$f_{2D} = \frac{3\sqrt{\pi} e(\mu_e + \mu_h)}{4 \epsilon\epsilon_0} (\ln n)^{3/2} \quad (42)$$

Using Equations 41 and 42, the Langevin reduction factor is expressed as

$$\zeta = \frac{3\sqrt{\pi}}{4} l^{3/2} n^{1/2} \quad (43)$$

This indicates that the reduction factor is dependent on the lamellar spacing and charge density. The lamellar spacing of RR-P3HT is reported to be 1.6 nm. Assuming $n = 10^{16} \text{ cm}^{-3}$, ζ of 6×10^{-3} is obtained, and the value is close to experimental values for RR-P3HT/PC₆₁BM solar cells.

CTS as Intermediates for NGR

In the Langevin framework, in which electron–hole recombination occurs when the separation distance between them reaches zero, intermediate states that may be formed when electron and hole come close are not taken into account. However, this would not be the case for OPVs. An encounter of electron and hole leads to the formation of a CTS as evidenced by the observation of electroluminescence (EL) from the CTS. Figure 15a shows the EL spectra of an MDMO-PPV/PC₆₁BM blend solar cell.¹⁶⁰ A clear luminescence observed in the near-IR region is attributed to emission from the CTS generated via charge recombination. The fact that the CTS acts as an intermediate for NGR indicates that re-dissociation of these CTS allows for additional opportunities for charges to be collected before charges completely deactivate to the GS. This situation is schematically depicted in Figure 15b. In active layers, electrons and holes encounter with a rate constant of γ_L , forming a CTS. Once the CTS is formed, they will decay or dissociate with rate constants of k_{rec} and k_{dis} , respectively. The rate equations can be written as

$$\frac{dn}{dt} = -\gamma_L np + k_{dis} n_{CT} \quad (44)$$

$$\frac{dn_{CT}}{dt} = \gamma_L np - (k_{dis} + k_{rec}) n_{CT} \quad (45)$$

where n_{CT} is the density of CTS.⁶¹ Under steady-state approximation, we can assume $dn_{CT}/dt = 0$. Then n_{CT} is expressed as

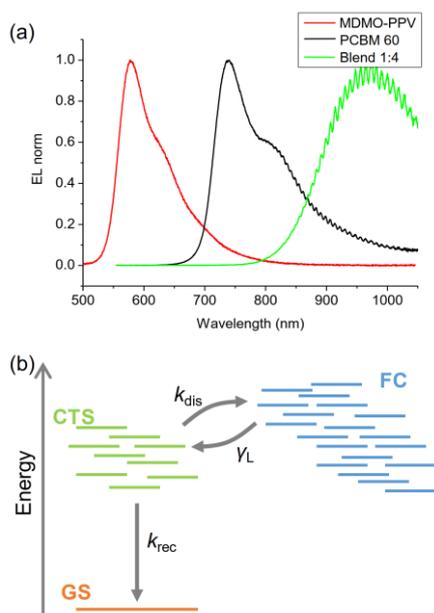


Figure 15. (a) Normalised electroluminescence spectra of pristine MDMO-PPV, PC₆₁BM, and the blend. Adapted with permission from ref. 160. Copyright 2009 American Chemical Society. (b) Kinetic scheme showing reduced Langevin recombination in OPVs. FC, CTS, and GS represent free charge carrier, charge transfer state, and ground state, respectively. Electrons and holes encounter with a rate constant of γ_L , forming a CTS. The CTS then recombine or re-dissociate with rate constants of k_{rec} or k_{dis} ,

$$n_{CT} = \frac{\gamma_L}{k_{dis} + k_{rec}} np \quad (46)$$

Using this relation, Equation 44 turns into

$$\frac{dn}{dt} = -\frac{k_{rec}}{k_{dis} + k_{rec}} \gamma_L np \quad (47)$$

This means that the reduction factor is given by

$$\zeta = \frac{k_{rec}}{k_{rec} + k_{dis}}. \quad (48)$$

The dissociation efficiency from CTS is kinetically given by $\eta_{CTD} = k_{dis}/(k_{rec} + k_{dis})$. Thus, the reduction factor is given by $1 - \eta_{CTD}$.^{93, 161} This clearly tells us that the more the CTS can re-dissociate to free charges the smaller the reduction factor ζ will be. Note that the overall charge dissociation efficiency η_{CD} might be different from η_{CTD} if free charge carriers are generated not only from a relaxed CTS but also from a hot CTS. As will be discussed later, re-dissociation of the CTS significantly depends on various factors such as crystallinity, domain purity, and electron spin.

Equilibrium between CTS and FC

Burke *et al.* proposed that if the NGR is reduced, there should be a thermal equilibrium between a population of CTS and FC.¹⁶² They showed that the density of CTS at the open-circuit voltage is given by

$$N_{CT} = f N_0 \exp\left(\frac{\sigma_{CT}^2}{2(k_B T)^2}\right) \exp\left(\frac{qV_{oc} - E_{CT}}{k_B T}\right). \quad (49)$$

where f is the volume fraction related to the mixing ratio, N_0 is the effective density of states, σ_{CT} is the standard deviation of the CTS energetic distribution, and E_{CT} is the energy of CTS. Inspired by the fact that the CTS is in equilibrium with free carriers, Liu *et al.* directly correlated the reduction factor with the energetic and dynamic behaviour of the CTS.¹⁶³ As a result, the reduction factor is given by

$$\zeta = \frac{f \exp\left(\frac{\sigma_{CT}^2}{2(k_B T)^2}\right)}{\gamma_L N_0 \tau_{CT}} \exp\left(\frac{E_b}{k_B T}\right). \quad (50)$$

where E_b is the binding energy of the CTS and τ_{CT} is the characteristic time with which the CTS decays to the GS. Note that τ_{CT} is not the overall CT lifetime but is given by $1/k_{rec}$. This equation shows that longer τ_{CT} would give a smaller reduction factor because the other parameters are independent on τ_{CT} . In most cases, τ_{CT} is mainly dependent on nonradiative recombination rate rather than radiative recombination rate because of low quantum yield of CT emission. Thus, suppressing nonradiative recombination would result in smaller reduction factors. They also showed that the temperature dependence of the reduction factor derived from their CT model is consistent with that derived by the analytical continuum approach of Hilczer and Tachiya.²⁹ Note that the equation can explain such qualitative trends, but cannot give quantitative values with reasonable energetic and kinetic parameters.

Role of Electron Spin for NGR

Electron spin plays an important role in organic light-emitting diodes (OLEDs). As the spins of injected charges are completely uncorrelated, recombination of electrons and holes gives singlet and triplet excitons with a ratio of 1:3 according to simple spin statistics. On the other hand, the importance of spin was not experimentally demonstrated in the OPV community until Rao *et al.* reported.^{164, 165} They demonstrated that NGR leads to the formation of both singlet and triplet CTS (¹CTS and ³CTS) as schematically shown in Figure 16.

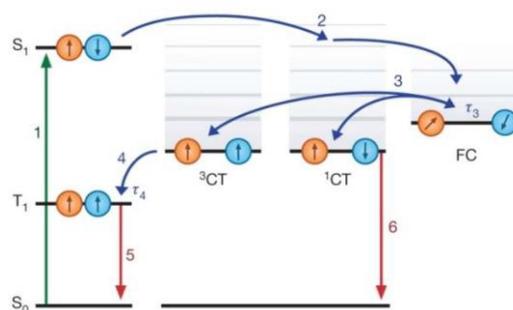


Figure 16. Kinetic scheme showing spin dependent charge recombination. Encounter of electrons and holes lead ¹CTS and ³CTS with a ratio of 1:3. ¹CTS then recombine to the GS or re-dissociate to free carriers. ³CTS then recombine to triplet exciton states or re-dissociate to free carriers. The rate constants could be dependent on spin of CTS. Reprinted with permission from ref. 164. Copyright 2013 Springer Nature.

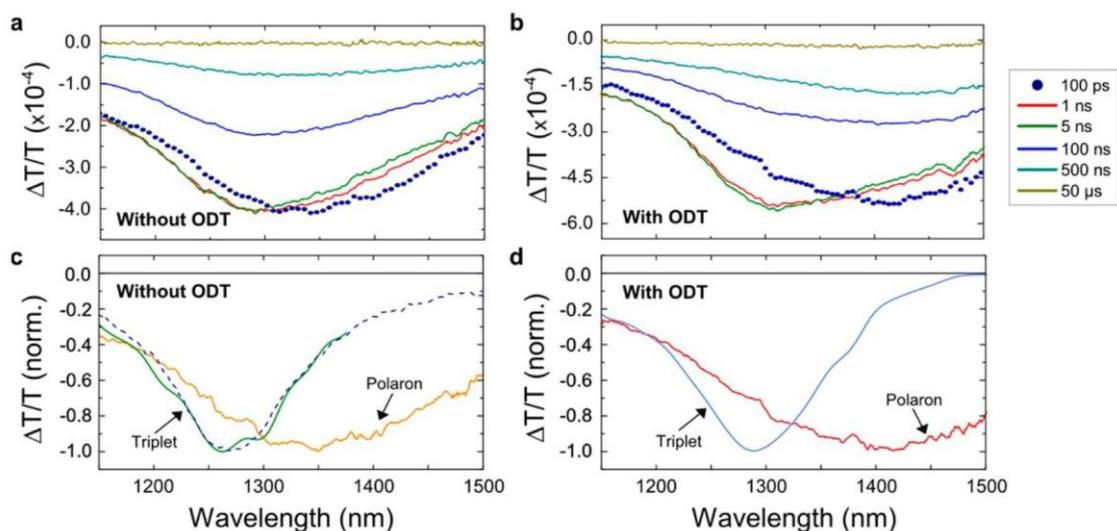


Figure 17. TA spectra in the near IR region of PCPDTBT/PC₇₁BM (1:2) blend films processed without (a) and with (b) a solvent additive of 1,8-octanedithiol (ODT), excited at 532 nm with a fluence of 2.4 $\mu\text{J cm}^{-2}$. The solid lines represent the spectral evolution from 1 ns, where the samples were excited by electrically delayed pulses with a pulse width of 1 ns. The filled circles represent the spectra measured at 100 ps (using optically delayed pulses with a pulse width of 200 fs). (c,d) Normalised polaron and triplet absorption spectra in the respective blend films, which were deconvoluted from the spectra shown in (a) and (b). The blue dashed line in (c) represents the triplet absorption spectra directly measured in a pristine PCPDTBT thin film (at a delay time of 50 ns). The overall blue shift observed in both blends was due to the emergence of triplet absorption on a nanosecond time scale. Reprinted with permission from ref. 165. Copyright 2014 American Chemical Society.

The ³CTS, then, recombines to polymer triplet excitons while ¹CTS recombines to the GS. The back charge transfer from polymer triplet excitons to the ³CTS is usually energetically unfavourable. Rather, triplet excitons quickly annihilate with charges, indicating that recombination to triplet excitons is a terminal loss process in OPVs. They also found that polymer triplet excitons were clearly observed only in blends with disordered PC₇₁BM or other noncrystalline fullerene derivatives such as ICMA and ICBA (Figure 17). In a blend with ordered PC₇₁BM aggregates, triplets were only observed at a low temperature. These findings suggest that a thermally activated process competes with the formation of triplets from the ³CTS, which is most probably due to re-dissociation of the ³CTS to free carriers. Menke *et al.* took the idea one step further.¹⁸ They expected that once the ¹CTS and ³CTS are formed, they will have individual decay and re-dissociation rates. From TAS measurements, they successfully estimated decay probability of ¹CTS and ³CTS in a PIPCP/PC₆₁BM blend. For the PIPCP/PC₆₁BM blend, the ³CTS is three times more likely to decay than the ¹CTS, suggesting that it is important to reduce the rate of energy transfer to triplet state for further improvement of this system. Armin *et al.* demonstrated the importance of ³CTS for reduced NGR.¹⁶⁶ They solved kinetic rate equations for a model considering the role of ³CTS and calculated reduction factor. The result suggested that very small reduction factor is achieved only in the presence of both slow energy transfer from ³CTS to triplet state and fast dissociation of CTS.

Energy Cascade near the Interface

The cascade energy landscape at the donor/acceptor interface is thought to play a crucial role in charge carrier dynamics. In BHJ blend

films of a crystalline polymer and small molecule acceptor such as PCBM, there would be three phases, pure polymer crystalline phase, pure acceptor aggregated phase, and mixed amorphous phase. Then, holes and electrons are energetically more stable in each pure phase of donor and acceptor, respectively. Thus, these three phases form cascaded energy structure.^{167,168}

Such a spatial separation of carriers could suppress NGR and hence improve charge collection efficiency. Burke *et al.* calculated the charge carrier lifetime in the three-phase BHJ with and without cascade energy landscape by assuming the thermal equilibrium between CTS and FC as mentioned above.¹⁶² They reached the conclusion that, with the aid of an energetic cascade, the charge carrier lifetime becomes longer than that without an energetic cascade, indicating reduced NGR. On the other hand, Bartelt *et al.* employed a 1D drift-diffusion device simulation and calculated the charge carrier mobility required to achieve an FF of 0.8 in a 300-nm thick solar cells.¹⁶⁹ The results showed that the required mobility is directly proportional to the NGR rate constant. Therefore, a spatial separation of charges via cascade energy structure would suppress NGR and promote charge collection, leading to a high FF even with a thick active layer.

The energy shift between the phases was experimentally evaluated by Sweetnam *et al.* who combined cyclic voltammetry (CV) and ultraviolet photoelectron spectroscopy (UPS).¹⁶⁸ The shifts of valence bands of polymer were found to be induced by two factors including bandgap widening associated with the changes in a polymer conjugation length and intermolecular interactions between polymer and fullerene. The total energy shift was in the order of 300 meV. They stated that a large cascade should push charges away

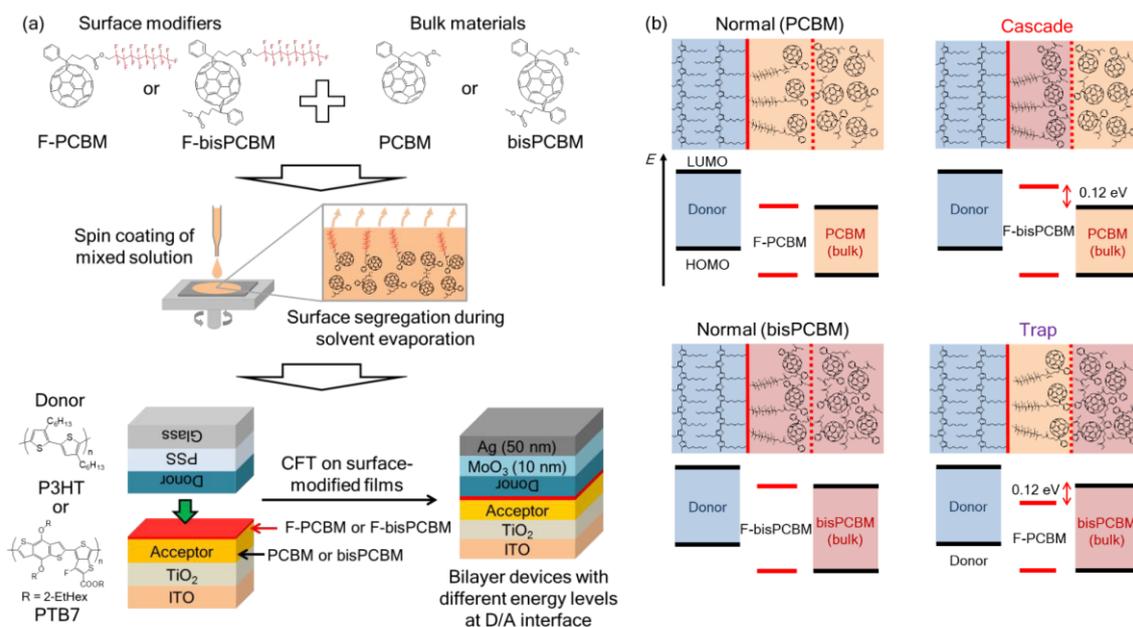


Figure 18. (a) Chemical structures of the surface modifiers and the bulk materials. The bilayer devices were fabricated by CFT method after the surface modification by SSM. (b) Four different energy level alignments at the D/A interface in the bilayer OPVs. Reprinted with permission from ref. 194. Copyright 2015 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.

from interface and reduce recombination loss. Such a hole transfer due to the energy cascade have been directly observed by spectroscopic techniques. Guo *et al.* studied exciton and charge dynamics in RR-P3HT/PC₆₁BM blend films by TAS and showed that polymer polarons migrate from amorphous to crystalline domain with a time constant of several hundreds of picoseconds.⁴⁴ Gallaher *et al.* also found hole transfer from intermixed to pure polymer region by TAS for blends based on kinds of polymers with different curvatures of backbone.¹⁷⁰ They suggested that good miscibility with PC₆₁BM due to high curvature leads to the formation of the three-phase structure and hole migration.

The relation between the magnitude of energy shift and charge dynamics was studied by Groves.¹⁷¹ He conducted kinetic Monte Carlo simulation to investigate the effect of cascade energy structure on charge separation efficiency η_{GS} . Assuming that the thickness of the cascade layer was 1 nm, when the energy shift of donor HOMO $\Delta E_{HOMO,D}$ were changed from 0 to 300 meV, significant improvement of η_{GS} and its field dependence were observed. While η_{GS} was heavily field dependent without energy cascade, η_{GS} was larger than ~ 0.9 under wide range of operating field condition with $\Delta E_{DH} = 300$ meV. This suggests that the experimentally observed energy shift on the order of 300 meV would be sufficient to have notable influence on the charge separation efficiency η_{GS} and hence the reduction factor ζ as mentioned before. Indeed, charge carriers with longer lifetimes have been reported for ternary polymer solar cells with cascade energy structure as will be described below.

Ternary Polymer Solar Cells

The cascade energy structures can be more easily designed in ternary blend solar cells, in which three different materials are composed, by

using appropriated materials combinations. More importantly, ternary blend solar cells can boost the light-harvesting efficiency because of complementary absorption bands. As such, they have been one of the hottest topics in this research field¹⁷²⁻¹⁷⁵ since Ohkita and his co-workers have successfully demonstrated improvements of the PCE in ternary blend devices.^{46,176-191} Ternary blend solar cells can be categorised into two types. One type relies on two parallel diodes where two donors (or two acceptors) work with a common acceptor (donor) independently. Another type has a similar fashion with dye-sensitized solar cells. In the sensitized OPVs, the third component should be located at an interface between donor and acceptor materials, where the cascade HOMO and LUMO energy landscape boosts photovoltaic conversion. Thus, it is important to control the location of the third component in blend films.¹⁹² They reported sensitized OPVs where adding only a few wt% of phthalocyanine (SiPc) or naphthalocyanine (SiNc) dyes to RR-P3HT/PC₆₁BM blends leads to enhancement of the PCE up to 4.8%.^{46,176,177,184} This enhancement is due mainly to expansion of the absorption window by adding near-IR-absorbing dyes and more efficient exciton collection to the interface through Förster energy transfer from RR-P3HT to near-IR dyes. They have also shown that ternary blend solar cells have potential to suppress NGR.¹⁸⁴ More specifically, the lifetime of hole polarons in the RR-P3HT/SiPcBz6/PC₆₁BM ternary blends became three times longer than in RR-P3HT/PC₆₁BM binary blends by adding 15 wt% SiPcBz6 dye. This is because of the artificial cascade energy landscape constructed by the three components. Indeed, such artificial energy cascades do affect charge lifetime as Tan *et al.* demonstrated.¹⁹³ They prepared a bilayer device based on RR-P3HT and P(NDI2OD-T2) and various trilayer devices where PDCBT acts as an interlayer between RR-P3HT and P(NDI2OD-T2). As HOMO and LUMO levels of PDCBT are in between that of RR-P3HT

and P(NDI2OD-T2), those polymers form cascade energy landscape at the interface. They found that charge lifetime increased with the presence of thin ($\sim 3\text{--}4$ nm) PDCBT interlayer because it prevents charges from getting close by the same analogy as that mentioned above.

Izawa *et al.* have designed artificial interface cascade energy structures¹⁹⁴ combining their contact film transfer (CFT) method¹⁹⁵ and self-organised surface-segregated monolayer (SSM).¹⁹⁶ Using these methods, they constructed well-organised model planar heterojunctions as shown in Figure 18a. They found that the cascade device shows higher V_{OC} and FF while lower J_{SC} , V_{OC} , and FF in the trap device compared to the normal device. Temperature dependence of J_{SC} suggests that the activation energy of charge generation is negligible in the cascade device while the activation energy in the trap device is larger than the normal device. On the other hand, as shown in Figure 19, charge carrier lifetime of the cascade device evaluated from TPV/TPC measurements was longer than that of the normal device whereas that of the trap device exhibited no change. They concluded that the cascade energy landscape lowers the Coulomb binding energy at the interface and reduce both GR and NGR loss, and that controlling interface structure is essential for high-performance OPVs.

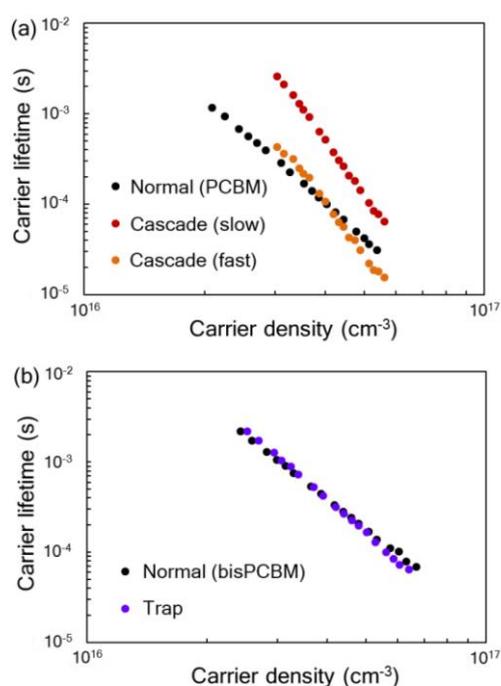


Figure 19. Log-log plots of charge carrier lifetime of the four bilayer OPV devices against charge carrier density. Reprinted with permission from ref. 194. Copyright 2015 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim.

Gasparini *et al.* have reported one of the highest FF of 0.77 for a ternary blend of PTB7/PSBTBT/PC₇₁BM with a blend ratio of 0.85:0.15:1.5.¹⁹⁷ Interestingly, PSBTBT contributes to efficient hole transport counterintuitive to the above sensitisation concept where holes shift from sensitiser to host donor. Rather, this blend might be a parallel-type ternary system. As shown in Figure 20, their photo-

CELIV measurements showed that adding a small amount (6%) of PSBTBT improves charge mobility up to 28%. At the same time, charge carrier lifetimes evaluated by TPV and CE measurements were enhanced up to 32% in the presence of PSBTBT. These enhancements result in efficient hole transport and a record FF of 0.77.

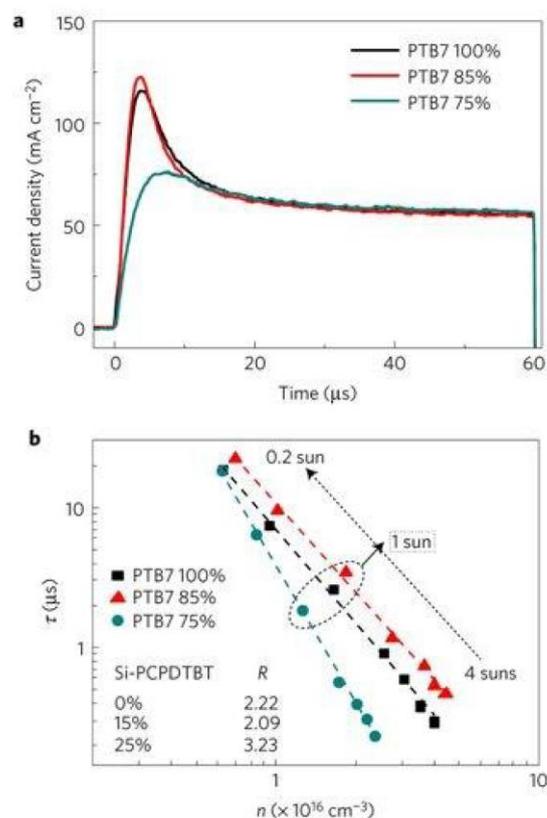


Figure 20. (a) Photo-CELIV transients of (black) PTB7/PC₇₁BM, (red) PTB7/PSBTBT/PC₇₁BM (0.85:0.15:1.5), and (green) PTB7/PSBTBT/PC₇₁BM (0.75:0.25:1.5) blend films excited at 780 nm. All the transient measurements were recorded applying linearly increasing reverse bias pulse from 0 to 2 V for 60 s at a delay time t_d of 1 μs . (b) Log-log plots of charge carrier lifetime against charge carrier density measured by TPV/CE measurements. The inset table shows the NGR reaction order in these blend films. The dashed lines represent fitting lines. Reprinted with permission from ref. 197. Copyright 2016 Springer Nature.

Baran *et al.* have reported an interesting NFA-based ternary solar cell consisting of RR-P3HT as a donor and IDTBR and IDFBF as acceptors.¹⁹⁸ The best performance was achieved with a blend ratio of 1:0.7:0.3 (RR-P3HT:IDTBR:IDFBF), giving a J_{SC} of 14.4 mA cm^{-2} , an FF of 0.64, and a V_{OC} of 0.82 V. Importantly, this blend can retain relatively high FF values at an active layer thickness of ~ 200 nm. They performed optoelectronic measurements to investigate the effect of IDFBF addition on charge recombination. As shown in Figure 21, the carrier lifetime of the ternary blend was estimated to be 17 μs , which is two to three times longer than that for the binary analogues. Although the electron transport is slightly degraded by the addition

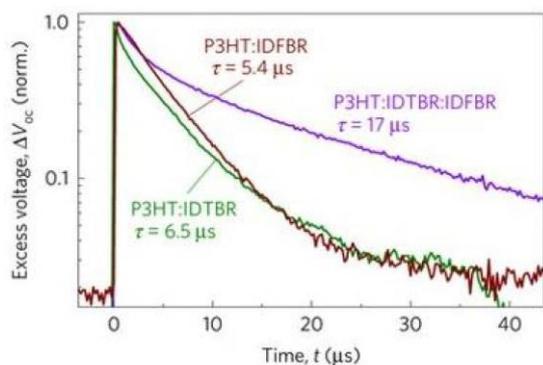


Figure 21. TPV transients for RR-P3HT/IDFBR/IDTBR ternary solar cells and their binary analogues. Reprinted with permission from ref. 198. Copyright 2016 Springer Nature.

of IDFBR because of increased electron trap density, the large enhancement in carrier lifetime cannot be rationalised by the small change in the electron mobility. Rather, it can be rationalised as a result of the cascade energy landscape at the interface. Because IDFBR exhibits a smaller electron affinity than IDTBR, and is more miscible with RR-P3HT, IDFBR is likely to locate at the interface between RR-P3HT and IDTBR, forming an energy cascade. The RR-P3HT/IDFBR interface acts as an energetic barrier for electrons in the IDTBR domains to recombine with RR-P3HT holes. This increased lifetime is likely to contribute to a further 30–40 mV increase in V_{oc} of the ternary device.

Entropy Effect

Reduced NGR has been observed for OPVs where one of photovoltaic materials at least is crystalline or aggregated ones as summarised in Table 1. In such crystalline or aggregated blends, there would be pure donor, pure acceptor, and donor/acceptor mixed phases. As a result, a cascaded energy structure also is likely to be formed, which would be beneficial for reduced NGR as described before. On the other hand, such pure domains provide the greater number of charge states, which would play a key role in the entropy effect mentioned in the section of Fundamentals of NGR. This entropy effect has been discussed for efficient charge dissociation. Durrant *et al.* suggested that the entropy term would be comparable to the Coulomb interaction energy in ref. 41. In their review,⁴² they clearly demonstrated how the Coulomb attraction energy is reduced by considering the entropy contributions to the overall interfacial energetics. Figure 22 shows the overall free energy including entropy contribution as a function of separation distance of electron–hole pairs. Such a reduced Coulomb attraction energy would be beneficial for efficient charge dissociation. Later, Gregg has discussed this entropy effect on charge separation in terms of the dimensionality of organic photovoltaic materials.¹⁹⁹ He suggested that higher-dimensional materials lead to larger entropy effects and hence have inherent advantages for charge separation. He also pointed out that the entropy effects are highest in equilibrium systems but decrease and become time-dependent in illuminated OPVs. We therefore think that this would be more effective for NGR in thermal equilibrium. For the NGR, the Coulombic attractive potential would

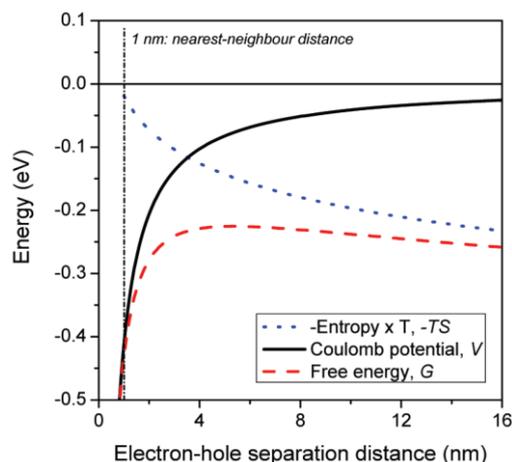


Figure 22. Calculated Coulomb potential energy, the entropy contribution to the free energy ($-TS = -k_B T \ln W$), where W is the electronic degeneracy, and the free energy ($G = H - TS$) of electron–hole pairs at a typical donor/acceptor interface as a function of electron–hole separation distance. For simplicity the authors assume the hole to be localized at the interface and only take the electron movement into consideration. The calculations were conducted with $\epsilon_r = 3.5$ and $T = 298$ K. They assumed W as the number of C_{60} species in the volume of a hemisphere extending from the interface and that each C_{60} molecule occupies 1 nm^3 . Reprinted with permission from ref. 42. Copyright 2010 American Chemical Society.

change to dissociative one by considering the entropy effect as shown in Figure 22. We therefore propose that the entropy effect would effectively reduce NGR in crystalline or aggregated OPVs.

Relevance to Device Parameters

NGR Controls J – V Characteristics

As schematically shown in Figure 23a, the observed current density J , as a function of applied voltage V , is a superposition of the generation current density $J_{\text{gen}}(V)$ and the loss current density $J_{\text{loss}}(V)$.^{74,76}

$$J(V) = J_{\text{gen}}(V) + J_{\text{loss}}(V) \quad (51)$$

Previous studies have shown that charge generation in highly efficient OPVs depends little on the external electric field, meaning that J_{gen} can be assumed to be independent of V . On the other hand, J_{loss} potentially comprises both GR and NGR, however, as mentioned above, GR loss is not significant in highly efficient OPVs. This means that the J – V characteristics, or namely FFs, of OPVs are controlled by NGR as follows

$$J(V) = J_{\text{gen}} - qdR = J_{\text{gen}} - qd \frac{n(V)}{\tau_n} \quad (52)$$

where d , τ_n and $n(V)$ are the active layer thickness, experimentally determined charge carrier lifetime defined as $dn/dt = -n/\tau_n$, and voltage-dependent charge carrier density, respectively. Based on this idea, Maurano *et al.* successfully reproduced the J – V curves of OPVs.⁷⁴ Figure 23b shows the experimental (lines) and calculated

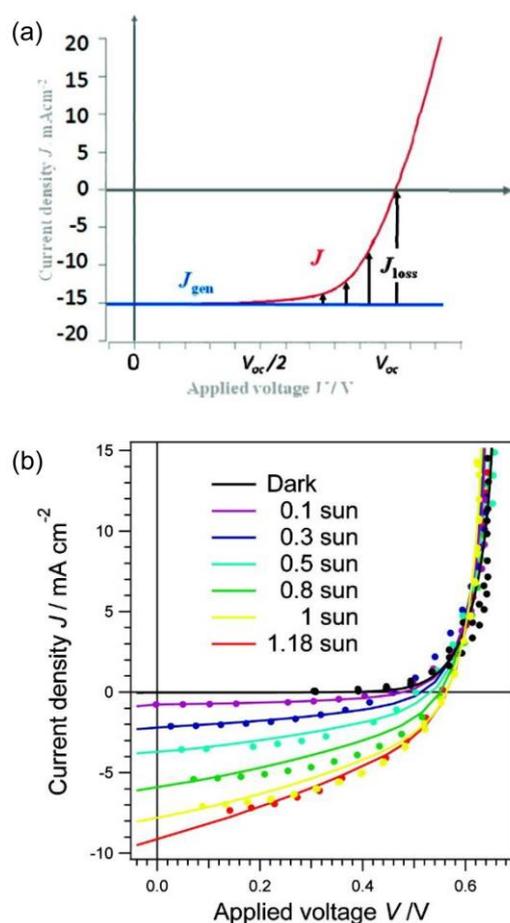


Figure 23. (a) The net current flow under illumination J can be decomposed into a current due to the charge generation J_{gen} and loss current due to the recombination J_{loss} . (b) Experimental J - V curves (lines) as a function of light intensity between dark and 1.2 sun for a P3HS/PC₆₁BM solar cell and calculated current density (circles) with J_{loss} being determined by the NGR current. The current density was calculated considering external series resistance losses by $V_{\text{cell}} = V_{\text{app}} - IR_s$. Reprinted with permission from ref. 74. Copyright 2011 American Chemical Society.

(circles) J - V curves. The calculated data showed reasonably good agreement with the measured J - V curves. This agreement provides undoubtable evidence that NGR is a dominant loss process that controls the J - V characteristics.

Credgington and Durrant have successfully predicted V_{OC} of various blends from the NGR dynamics.⁷⁷ At open circuit, the net current flow is zero, therefore, Equation 51 becomes

$$J_{\text{gen}} = -J_{\text{loss}} \quad (53)$$

In the range for which the above discussion is valid, J_{loss} can be expressed as

$$J_{\text{loss}} = -\frac{qdn}{\tau_0 n^{-\lambda}} = -qd \frac{n_0^{1+\lambda}}{\tau_0} \exp\left(\frac{q(1+\lambda)V_{\text{OC}}}{mk_B T}\right) \quad (54)$$

Assuming J_{gen} is equal to J_{SC} , and introducing a new variable J_0 , V_{OC} is expressed as an analogue to the Shockley equation for a p-n junction as follows.

$$V_{\text{OC}} = \frac{n_{\text{id}} k_B T}{q} \ln\left(\frac{J_{\text{SC}}}{J_0}\right) \quad (55)$$

$$J_0 = qd \frac{n_0^{1+\lambda}}{\tau_0} \quad (56)$$

$$n_{\text{id}} = \frac{m}{1+\lambda} \quad (57)$$

Note that Equation 57 leads to Equation 6 because $1 + \lambda$ is equal to δ . Figure 24 shows the calculated V_{OC} of various blends based on their TPV/TPC measurements plotted against experimental values. Except for some small molecule systems for which the assumption of constant J_{gen} is invalid, V_{OC} s are well reproduced with an average deviation of less than 5 mV without any free parameters. A voltage loss attributed to charge recombination differed by up to 200 mV between the systems, confirming that NGR has a significant impact on V_{OC} .

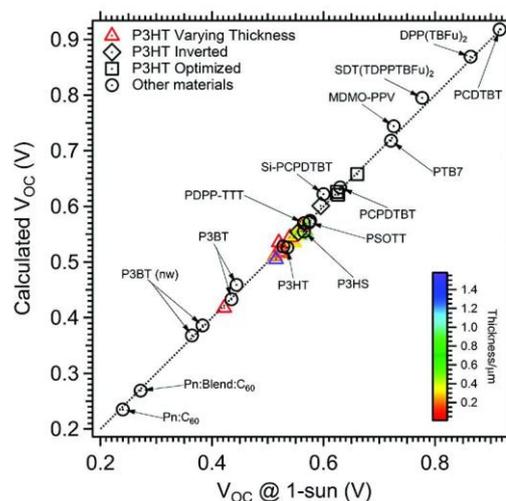


Figure 24. Predictions of 1 sun V_{OC} plotted against measured V_{OC} under 100 mW cm⁻² simulated AM1.5 illumination. The dashed line represents an exact 1:1 correlation, with average deviations from this line of approximately ± 5 mV. Reprinted with permission from ref. 77. Copyright 2012 American Chemical Society.

Relevance to Fill Factor

Vohra *et al.* have reported an efficient solar cell based on PNTz4T and PC₇₁BM which exhibits a high FF of 0.73 and a PCE of 10.1% with 290 nm-thick active layer.⁶ From grazing-incidence wide-angle X-ray diffraction (GIWAXD) measurements, they revealed that PNTz4T forms a highly crystalline structure with face-on orientation, which is desirable for vertical charge transport in OPVs. Interestingly, PNTz4T is more likely to form face-on structures in an inverted device structure with ZnO as a bottom electrode than in a conventional device structure with PEDOT:PSS, which they attribute to the

difference of wettability of bottom electrodes. They also found that the face-on to edge-on ratio increased gradually with increasing active layer thickness, suggesting that edge-on crystallites are abundant at the film/substrate interface and that face-on crystallites are abundant in the bulk and the film/air interface. These unique characteristics for NTz-based polymer PNTz4T in the backbone orientation would facilitate the charge transport and improve FF. Kawashima *et al.* further modified NTz-based polymers through fluorine atom substitution.¹¹⁷ They synthesized PNTz4TF2 and PNTz4TF4 by introducing two and four fluorine atoms on the bithiophene moiety of PNTz4T, respectively. When blended with PC₇₁BM, PNTz4TF4-based devices exhibited a degraded PCE of ~6.5% whereas PNTz4TF2-based devices exhibited as high as 10.5% PCE, which is slightly but statistically meaningfully higher than that of PNTz4T. A main contribution to the superior PCE for the PNTz4TF2-based device is due to deeper HOMO energy of PNTz4TF2 owing to the fluorine substitution, resulting in a higher V_{oc} of 0.82 V compared to 0.71 V for PNTz4T-based devices. It is noteworthy that PNTz4TF4-based devices exhibit the highest V_{oc} of 0.93 V. However, because of poor charge generation yield, the PCE of the device was degraded compared to PNTz4T-based device. In contrast, as shown in Figure 25a, FF of the fluorinated devices was degraded to 0.67 for PNTz4TF2

and 0.66 for PNTz4TF4. To explore the origin of these FFs, they conducted $J-V$ analysis using Equation 52. Here, τ_n was evaluated by TPV/TPC and $\eta(V)$ was assumed to increase exponentially with an applied voltage. Based on these analyses, experimental $J-V$ curves were well reproduced, suggesting that NGR is a limiting factor of the FFs. As shown in Figure 25b, the reduction factor draws near unity, i.e. the Langevin limit, with increasing fluorine atoms. This is probably due to undesirable polymer crystallinity and backbone orientation. This study shows the importance, and at the same time difficulty, of precise molecular design to accomplish a high V_{oc} and high FF simultaneously.

Neher *et al.* recently proposed a parameter ϑ , which strongly correlates with FF, by quantifying the competition between charge extraction and recombination.^{200,201}

$$\theta = \frac{\gamma G d^4}{\mu_e \mu_h V_{int}^2} \propto \frac{J_{rec}}{J_{extr}} \quad (58)$$

where V_{int} is an internal voltage and J_{rec} and J_{extr} are recombination and extraction current density, respectively. They confirmed that ϑ is a good measure of FF based on experimental results of solar cells of 15 different blend systems and 1D drift-diffusion simulation with parameters entering ϑ being varied over a wide range. They also proposed that the classical Shockley equation, which assumes high electrical conductivity, cannot be applied to OPVs, and obtained a new analytical expression for $J-V$ curves.²⁰² The model is based on the fact that the low mobility of organic materials cause charge accumulation in the active layer leading to significant difference between the separation of the quasi Fermi levels (internal voltage, V_{int}) and the external voltage (V_{ext}).

$$J = J_0 \left\{ \exp\left(\frac{qV_{int}}{k_B T}\right) - 1 \right\} - J_{gen} \quad (59)$$

$$J_0 = q d \gamma n_i^2 \quad (60)$$

$$V_{ext} = V_{int} + \frac{d}{\sigma} J \quad (61)$$

where n_i is the intrinsic charge density and σ is the electrical conductivity given by

$$\sigma = 2q\sqrt{\mu_e \mu_h} n_i \exp\left(\frac{qV_{int}}{2k_B T}\right) \quad (62)$$

Note that they assumed that the gradient of the quasi Fermi levels of electrons and holes are identical and constant throughout the active layer. The analytical equations were well consistent with the simulated $J-V$ curves. On the basis of these considerations, they obtained a new empirical equation of FF.²⁰¹ A commonly used equation of FF is

$$FF = \frac{v_{oc} - \ln(1 + v_{oc})}{v_{oc} + 1} \quad (63)$$

where v_{oc} is the normalised open-circuit voltage defined as

$$v_{oc} = \frac{qV_{oc}}{n_{id} k_B T} \quad (64)$$

On the other hand, they proposed a new equation

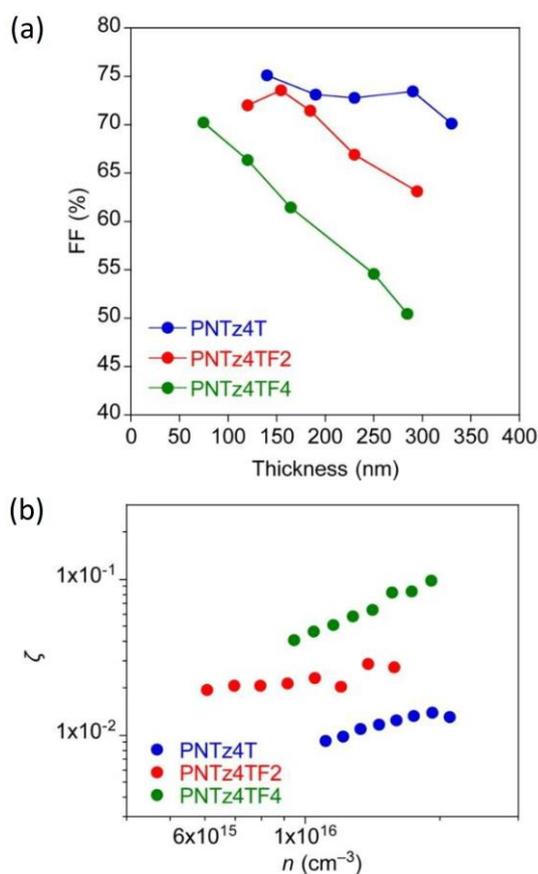


Figure 25. (a) Thickness dependence of FF of the solar cells based on PNTz4T, PNTz4TF2, and PNTz4TF4 blended with PC₇₁BM. (b) Log-log plots of Langevin reduction factor against charge carrier density. Reproduced with permission from ref. 117. Copyright 2016 American Chemical Society.

$$FF = \frac{v_{oc} - \ln(0.79 + 0.66v_{oc}^{1.2})}{v_{oc} + 1} \quad (65)$$

where v_{oc} is expressed as

$$v_{oc} = \frac{qV_{oc}}{(1 + \alpha)k_B T} \quad (66)$$

Here, α is a figure of merit of charge collection efficiency, which is related to ϑ as follows:

$$\alpha^2 = \frac{q^2 \gamma G d^4}{4\mu_e \mu_h (k_B T)^2} = \left(\frac{qV_{int}}{2k_B T}\right)^2 \theta = \left(\frac{V_{int}}{2V_t}\right)^2 \theta \quad (67)$$

where V_t is the thermal voltage defined as $k_B T/q$. Note that this α is different from the previous ones. Calculated FFs by Equations 63 and 65 are shown as broken and solid lines, respectively, in Figure 26. It shows that the new empirical equation coincides the simulated FF over a wider range of α compared to the conventional equation. The dependence of FF on α suggests that reducing NGR rate constant while realizing high electron and hole mobility directly leads to smaller α and therefore higher FF.

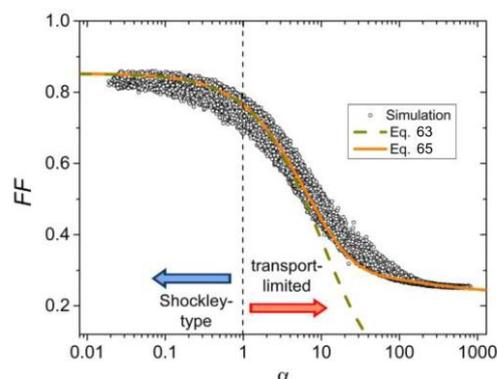


Figure 26. FF as a function of the figure of merit α . Open circles represent FF of simulated J - V curves with balanced mobilities and V_{oc} between 0.7 and 0.9 V (see ref. 200 for the simulation parameters). The broken and solid lines represent analytical dependence of FF on α according to Equations 63 and 65, respectively, with the normalised open-circuit voltage v_{oc} expressed as Equation 66 ($T = 300$ K, $V_{oc} = 0.8$ V). Photocurrents will become strongly transport-limited for $\alpha > 1$, resulting in a progressive decrease in FF when $\alpha > 1$. Reprinted with permission from ref. 201. Copyright 2016 Springer Nature.

Relevance to Voltage Loss

Since the quasi-Fermi levels for electrons and holes are flat under an open-circuit condition, charge densities can be described as follows.

$$n = N_0 \exp\left(\frac{E_{fn} - E_{LUMO,A}}{k_B T}\right) \quad (68)$$

$$p = N_0 \exp\left(\frac{E_{HOMO,D} - E_{fp}}{k_B T}\right) \quad (69)$$

Here, N_0 is the effective density of states, typically $\sim 10^{21}$ cm $^{-3}$ for organic semiconductors, E_{fn} and E_{fp} are the quasi-Fermi levels for electrons and holes, respectively, and $E_{LUMO,A}$ and $E_{HOMO,D}$ are the LUMO energy for an acceptor and the HOMO energy for a donor, respectively. Note that we assumed the same effective density of states for donor and acceptor for simplicity because it is not essential here. Under the open-circuit condition, the recombination rate R expressed by Equation 1 equals to the generation rate G . The V_{oc} is equal to the quasi-Fermi level splitting between electrons and holes as

$$qV_{oc} = E_{fn} - E_{fp} = E_0 - k_B T \ln\left(\frac{\gamma N_0^2}{G}\right) \quad (70)$$

where E_0 represents the difference between $E_{LUMO,A}$ and $E_{HOMO,D}$. NGR via trap states originating from energetic disorders causes additional voltage loss. Considering the exponential distribution of tail states and recombination between trapped and free charges, Equation 70 turns into

$$qV_{oc} = E_0 - n_{id} k_B T \ln\left(\frac{\gamma N_t N}{G}\right) \quad (71)$$

where N_t and N are the total density of states of trapped and free charges, respectively.²⁰³ Since the ideality factor n_{id} is related to the slope of the tail states as Equation 71, a larger degree of disorder leads to larger n_{id} and therefore causes voltage loss.

To be more precise, E_0 should be replaced by the CTS energy E_{CT} to take into account Coulomb binding energy at the interface as below.

$$qV_{oc} = E_{CT} - k_B T \ln\left(\frac{q\mu\zeta N_0^2}{\epsilon_0 \epsilon_r G}\right) \quad (72)$$

Equation 72 clearly indicates a strong correlation between V_{oc} and the reduction of NGR. The more the recombination is suppressed, the smaller the logarithmic term becomes, and the smaller the voltage loss will be.

Taking into account the equilibrium between CTS and FC as mentioned earlier, Burke *et al.*, proposed the expression for V_{oc} using parameters related to CTS

$$qV_{oc} = E_{CT} - \frac{\sigma_{CT}^2}{2k_B T} - k_B T \ln\left(\frac{qfN_0L}{\tau_{CT}/\tau_{sc}}\right) \quad (73)$$

where f , L , and τ_{CT} are the volume fraction of the solar cell, active layer thickness, and lifetime of CTS, respectively.¹⁶² The CTS lifetime is typically in between 0.1 ns and 1 ns, and rarely longer than 10 ns. This is the reason why the difference between E_{CT} and qV_{oc} is almost always 0.5–0.7 eV in most OPVs. They estimated that we would have an opportunity to obtain 120 mV improvement in V_{oc} if we could increase CTS lifetime from 0.1 to 10 ns.

The third term in Equation 73 includes radiative and nonradiative recombination losses because k_{rec} is given by $1/\tau_{CT} = k_r + k_{nr}$ where k_r and k_{nr} are radiative and nonradiative transition rate constant, respectively. In other words, the smaller k_{rec} will give the smaller radiative and nonradiative recombination losses. Detailed balance analysis tells us that any type of solar cells must have unavoidable, intrinsic voltage loss relative to radiative recombination even though there are no disorders or trap sites. In the Shockley–Queisser (SQ) framework, the radiative recombination loss puts the upper limit of

maximum achievable qV_{oc} to be ~ 0.3 eV lower than the E_{CT} . However, it is well known that the voltage loss in OPVs is much larger than the SQ limit. The difference between E_{CT} and qV_{oc} is typically 0.5–0.7 eV as mentioned above²¹. This implies that there is another loss channel due to nonradiative recombination. The amount of voltage loss due to nonradiative recombination is quantified as

$$\Delta V_{nr} = -\frac{k_B T}{q} \ln(\text{EQE}_{EL}) \sim -\frac{k_B T}{q} \ln\left(\frac{p_e k_r}{p_e k_r + k_{nr}}\right) \quad (74)$$

where EQE_{EL} represents external quantum efficiency of EL from the solar cell device, p_e is an emission probability, and k_r (k_{nr}) is (non-)radiative transition rate constant.²⁰⁴ Because the transition dipole moment of CTS is usually quite small due to poor spatial overlap between the donor HOMO and acceptor LUMO, k_{nr} is much larger than k_r and hence EQE_{EL} is reported typically in the order of 10^{-6} – 10^{-7} , resulting in additional 350–400 mV voltage loss.

On the other hand, Benduhn *et al.* have found an inverse relationship between the E_{CT} and ΔV_{nr} for a wide variety of fullerene-based solar cells as shown in Figure 27, which can be attributed to the energy gap law, or the transition rate in the Marcus inverted regime, for nonradiative transition of the CTS.²⁰ In this regime, the nonradiative transition rate from the CTS with the lowest vibrational energy to an iso-energetic high vibrational state in the GS is proportional to the vibrational wave function overlap between those states, which decreases with increasing difference in vibrational quantum number. They tried to suppress nonradiative transition by replacing hydrogen in the C-H bonds with deuterium. The results were, however, unpleasant for them. Deuteration had negligible impact on the photovoltaic performance and the EL spectra. They suggested that the large nonradiative recombination loss is unavoidable as it is intrinsic to the C-C bond vibration.

On the other hand, one possibility for reducing nonradiative loss would be utilizing NFAs. Some recent studies have shown much higher EQE_{EL} .^{17,205–210} If we could obtain NFA-based devices with EQE_{EL} two orders of magnitude larger ($\text{EQE}_{EL} \sim 10^{-4}$) than conventional fullerene-based devices, the nonradiative loss would be < 250 mV. Such a high EQE_{EL} has already been achieved for NFA-based OPVs where nonradiative loss was suppressed to only ~ 200 meV.^{208,210} Recent studies suggest that radiative recombination rate for CTS in NFA-based OPVs could be enhanced because of intensity borrowing from a highly emissive local excitation (LE) state of NFA.²¹¹ Such a hybridisation between LE and CT states have been already proposed for fullerene-based OPVs with a small energy difference between LE and CT states.^{212,213} Indeed, PNOz4T/PC₇₁BM polymer solar cells have been reported to exhibit a high EQE_{EL} ($\sim 10^{-4}$) and hence a small nonradiative loss (0.24 V).²¹⁴ Thus, it would be a good strategy for reducing nonradiative losses to decrease the energy difference between LE and CT states. In particular, this effect would be larger in NFA-based OPVs than in fullerene-based OPVs because of much higher PL quantum efficiencies. As a result, nonradiative recombination loss would be relatively reduced. Nonetheless, this issue is still not fully understood. Thus, further studies should be needed.

To obtain highly emissive NFA materials, we need molecular design rules to suppress nonradiative transition rate. Recently, Sato *et al.* have theoretically calculated the nonradiative transition rate of

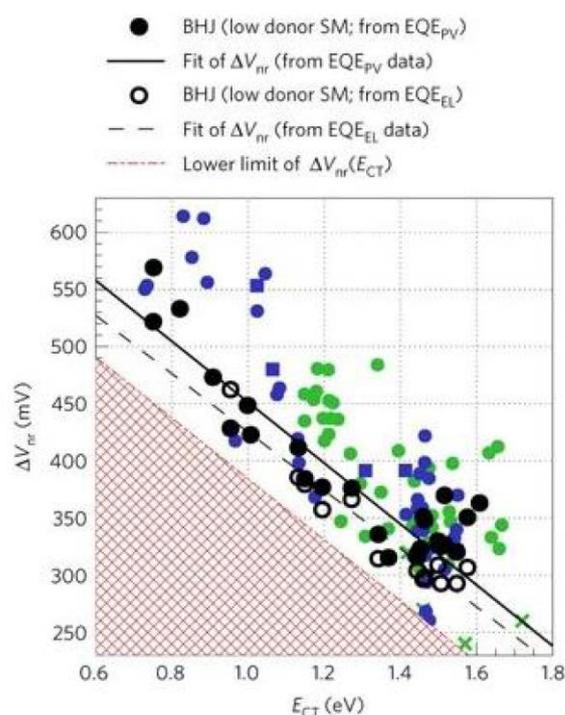


Figure 27. Nonradiative voltage loss ΔV_{nr} plotted against the E_{CT} . The filled symbols represent data calculated from EQE_{PV} and the open symbols correspond to data measured by EQE_{EL} . The red dashed line shows an empirical lower limit of ΔV_{nr} as a function of the E_{CT} . The circles represent BHJ OPVs whereas the squares represent PHJ devices. The black symbols represent a specific series of devices for which small molecule donors are diluted at 6 mol% in C_{60} molecules, the blue symbols indicate small molecule donors at a usual donor/acceptor blend ratio, and the green symbols represent devices comprising polymer donors mixed with fullerenes for which the sensitively measured EQE_{PV} spectra were reanalysed for this plot. The crosses represent recently published OPVs for which data were available. Reprinted with permission from ref. 20. Copyright 2017 Springer Nature.

organic materials on the basis of vibronic coupling and related concepts.²¹⁵ They successfully explained the difference in fluorescence properties of OLED materials and proposed a design principle for fluorescent molecules. Such theoretical molecular design guidelines would also be useful for developments of OPV materials with reduced nonradiative recombination.

Concluding Remarks and Perspective to Future Developments

As summarised in this review, suppression of the NGR is undoubtedly the top priority for further improving the device performance of OPVs. Reduced NGR compared with diffusion-limited Langevin theory by 2–4 orders of magnitude was reported for some blend systems. Recent experimental results show that blends employing highly crystalline polymers or fluorinated SMNFAs, which have strong inter/intramolecular interaction, exhibit relatively small reduction

factors. This suggests that domain purity due to crystallization or aggregation is related to NGR reduction in blend films. Several mechanisms have proposed for the reduced NGR. Among them, many researches have shed light on the importance of crystallinity/morphology near the interface for suppression of the NGR.

The cascade energy landscape near the interface can enhance charge dissociation and hence suppress NGR effectively. However, it is yet difficult to accurately evaluate the local structure of the cascade and the energy difference between pure and mixed phase in nanoscale BHJ blend films. The influence of such cascade characteristics on NGR rate and solar cell performance should be studied. In order to improve PCE further, we need material/device design strategy to optimise local morphology. One possibility for further optimisation of blend morphology would be utilising ternary blend system, where the cascade energy landscape is artificially constructed. On the other hand, pure domains provide the greater number of charge states and therefore enhance entropy effect in the free energy. Entropy effect decreases Coulomb attraction energy and would be effective for not only efficient charge generation but also reduced NGR.

Recent improvements in the PCE of OPVs mainly rely on developments of new NFA materials. Of particular importance is that NFA-based OPVs can suppress voltage losses. One possible explanation is that hybridisation between LE and CT states could enhance radiative recombination rate of CTS and hence reduce nonradiative recombination loss relatively. Although this is true for both fullerene-based and NFA-based OPVs, this strategy would be more effective for NFA-based OPVs because of their higher PL quantum efficiencies especially. Thus, it would be of importance to develop highly emissive NFA materials in order to reduce nonradiative recombination losses.

Appendix

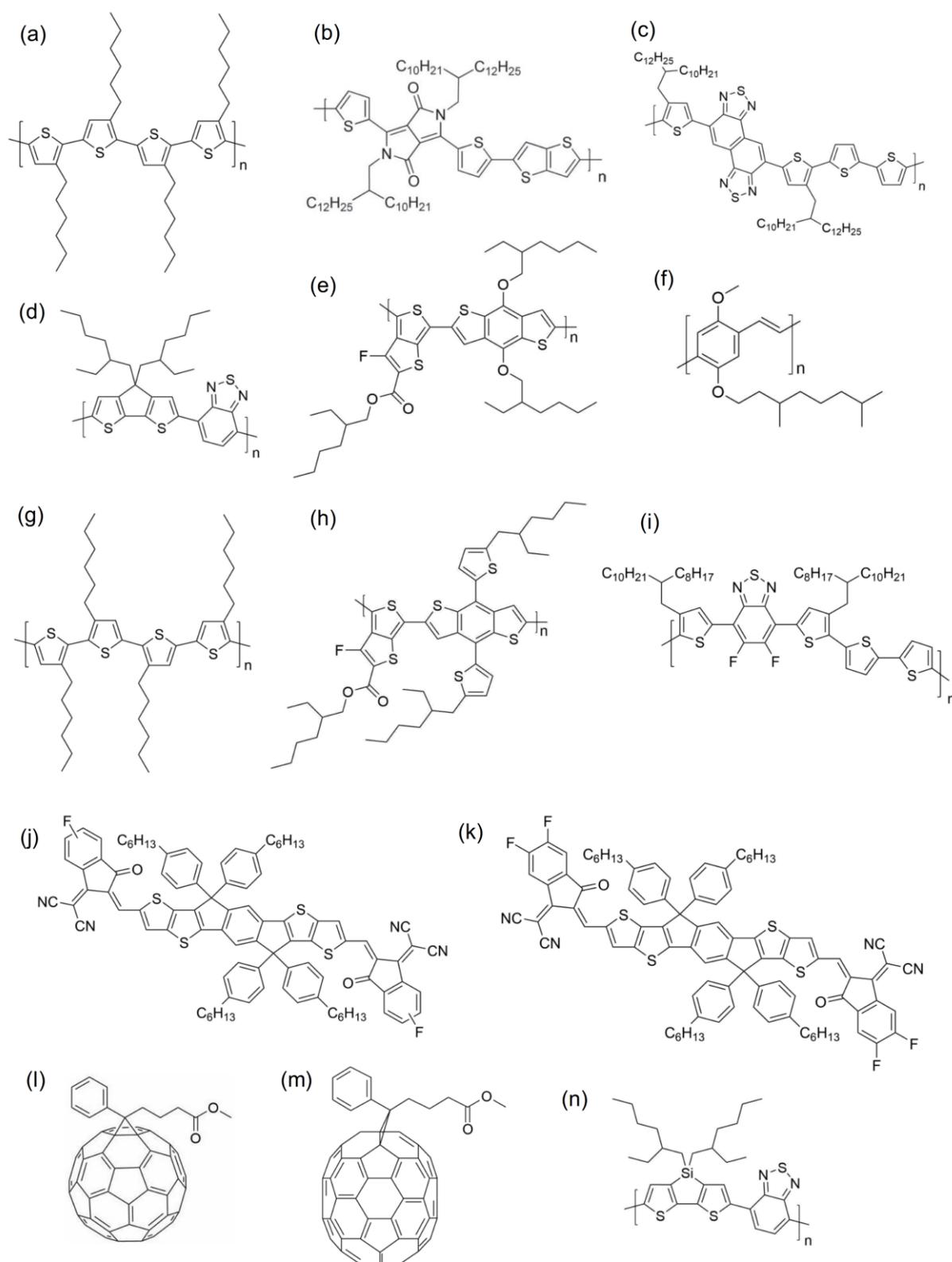


Figure A1. Chemical structures of (a) RR-P3HT, (b) DT-PDPP2T-TT, (c) PNTz4T, (d) PCPDTBT, (e) PTB7, (f) MDMO-PPV, (g) RRa-P3HT, (h) PTB7-th, (i) PffBT4T-2OD, (j) ITIC-2F, (k) IT-4F, (l) PC₆₁BM, (m) PC₇₁BM, and (n) PSBTBT.

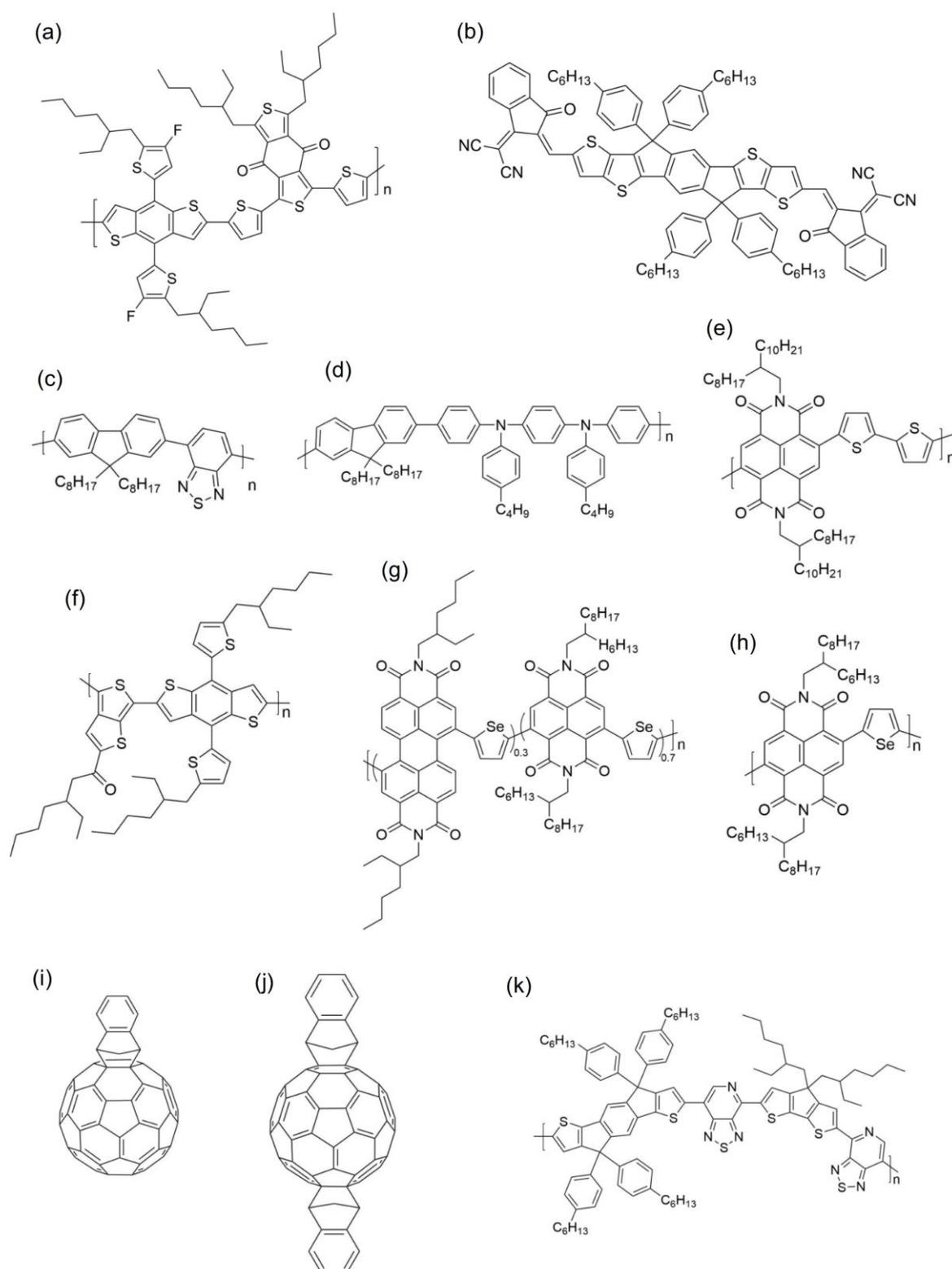


Figure A2. Chemical structures of (a) PDBBT-2F, (b) ITIC, (c) F8BT, (d) PFB, (e) N2200, (f) PBDTTT-CT, (g) 30PDI, (h) PNDIS-HD, (i) ICMA, (j) ICBA, and (k) PIPCP.

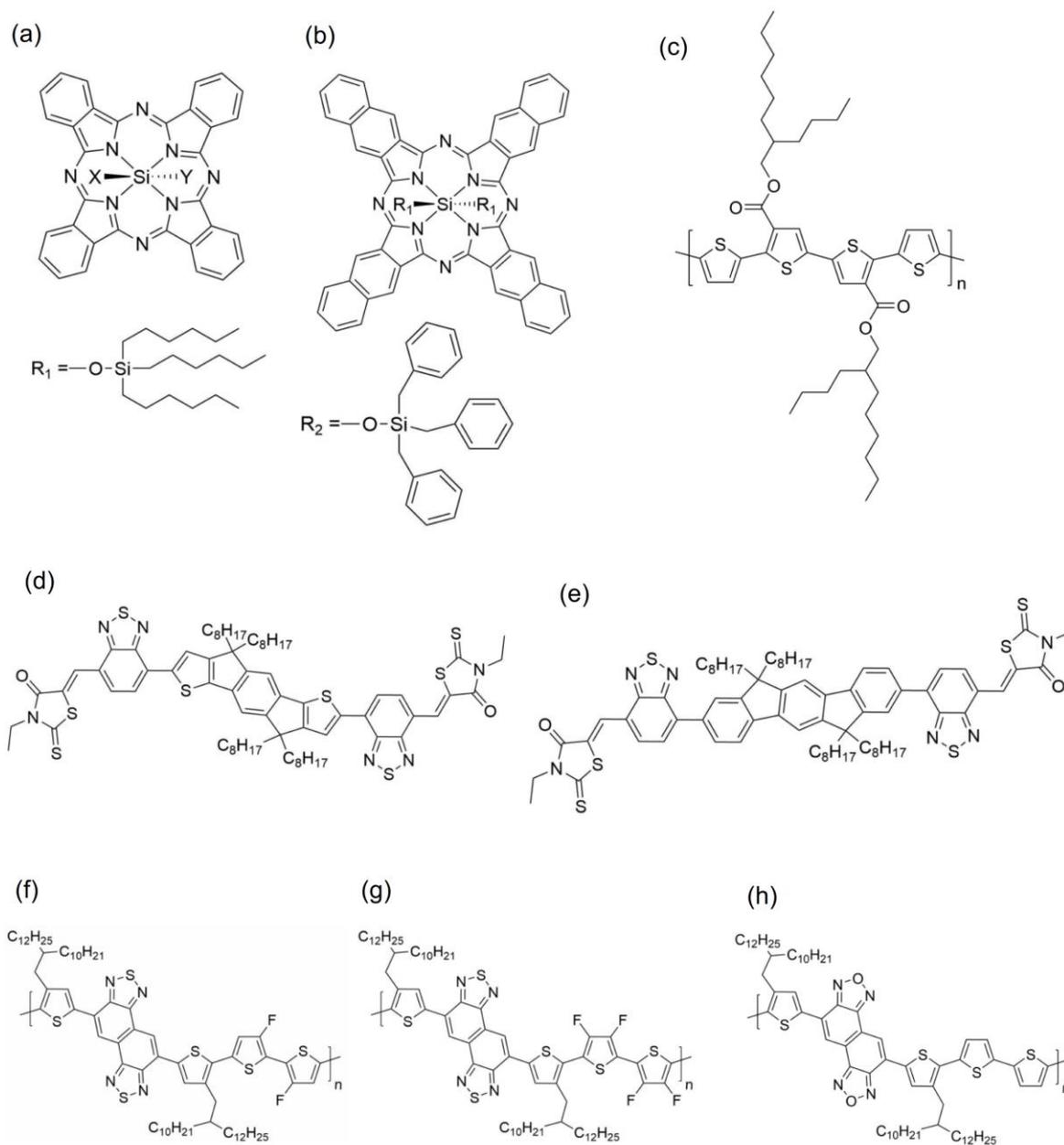


Figure A3. Chemical structures of (a) SiPc ($X = Y = R_1$) or SiPcBz6 ($X = R_1, Y = R_2$), (b) SiPc, (c) PDCBT, (d) IDTBR, (e) IDFBR, (f) PNTz4TF2, (g) PNTz4TF4, and (h) PNOz4T.

Conflicts of interest

There are no conflicts to declare.

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