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Quantitative measurement of airway dimensions using ultra-high resolution computed tomography

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**Quantitative measurement of airway dimensions using ultra-high resolution
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36

37 **Abstract**

38 **Background**

39 Quantitative measurement of airway dimensions using computed tomography (CT) is
40 performed in relatively larger airways due to the limited resolution of CT scans.
41 Nevertheless, the small airway is an important pathological lesion in lung diseases such
42 as chronic obstructive pulmonary disease (COPD) and asthma. Ultra-high resolution
43 scanning may resolve the smaller airway, but its accuracy and limitations are unclear.

44 **Methods**

45 Phantom tubes were imaged using conventional (512×512) and ultra-high resolution
46 (1024×1024 and 2048×2048) scans. Reconstructions were performed using the forward-
47 projected model-based iterative reconstruction solution (FIRST) algorithm in 512×512
48 and 1024×1024 matrix scans and the adaptive iterative dose reduction 3D (AIDR-3D)
49 algorithm for all scans. In seven subjects with COPD, the airway dimensions were
50 measured using the 1024×1024 and 512×512 matrix scans.

51 **Results**

52 Compared to the conventional 512×512 scan, variations in the CT values for air were
53 increased in the ultra-high resolution scans, except in the 1024×1024 scan reconstructed
54 through FIRST. The measurement error of the lumen area of the tube with 2-mm diameter
55 and 0.5-mm wall thickness (WT) was minimal in the ultra-high resolution scans, but not
56 in the conventional 512×512 scan. In contrast to the conventional scans, the ultra-high
57 resolution scans resolved the phantom tube with ≥ 0.6 -mm WT at an error rate of $< 11\%$.
58 In seven subjects with COPD, the WT showed a lower value with the 1024×1024 scans
59 versus the 512×512 scans.

60 **Conclusions**

61 The ultra-high resolution scan may allow more accurate measurement of the bronchioles
62 with smaller dimensions compared with the conventional scan.

63 (248/250 words)

64

65 **Keywords**

66 Ultra-high resolution computed tomography, Lung, Airway, Chronic obstructive
67 pulmonary disease, Asthma

68

69 **Abbreviations**

70 AIDR-3D: Adaptive iterative dose reduction 3D

71 CT: Computed tomography

72 COPD: Chronic obstructive pulmonary disease

73 FIRST: forward-projected model-based iterative reconstruction solution

74 HU: Hounsfield unit

75 ROI: regions of interest

76 SD: standard deviation

77 WT: wall thickness

78

1. Introduction

Chronic obstructive pulmonary disease (COPD) and asthma are major airway diseases that impose large health problems worldwide [1]. Accurate and sensitive evaluation of the airway structure in relation to its function is important for increasing our understanding of the pathogenetic mechanisms involved and improving management of the disease. Since computed tomography (CT) enables in vivo visualization of the airways less invasively, it is widely used in clinical and research fields [2]. Quantitative structural measurements of the segmental and sub-segmental airways in patients with COPD have allowed determination of the relationships between narrowing of the lumen and impaired airflow limitation [3, 4], as well as between increased wall thickness (WT), respiratory symptoms, and frequent exacerbations [5, 6]. In addition, quantitative CT measurements have shown that increased WT is associated with airflow limitation and responsiveness to inhaled corticosteroid in patients with asthma [7, 8].

In contrast to the large airways, the small airways have been analyzed using histological study, and recently, using microCT [9-12], because the measurement accuracy of the airway dimensions using the conventional CT scan has been validated only in the relatively larger airways [3] with thicker walls [13]. Previous histological studies have shown that the small airways of diameter < 2 mm are the major site of airflow limitation in patients with COPD [14]. Moreover, in patients with asthma, in addition to the large airways, the small airways are affected by inflammation and mucus plugs [9, 15]. Since obtaining samples for histological study and microCT scanning is too invasive to perform in routine clinical practice, investigators have established a registration method using a pair of inspiratory and expiratory CT scans for assessment of functional small airway disease in vivo [16] and have shown that the functional small airway disease precedes the

occurrence of emphysema and predicts the decline in pulmonary function in patients with COPD [16, 17]. This finding combined with CT data indicating that the luminal area of the 6th generation airways correlated better with airflow limitation than that of the 3rd generation airways in patients with COPD [7], have highlighted the need for accurate and direct measurement of the smaller airway in vivo.

The recent introduction of ultra-high resolution CT has enabled clear visualization of the nodules, airways, vessels, emphysema, and honeycombs in the lungs by increasing spatial resolution up to 0.14 mm/pixel by using 1024×1024 and 2048×2048 matrices without corresponding increase in the radiation dose [18, 19]. To test the hypothesis that ultra-high resolution CT scan allows more accurate quantification of the smaller airways than that using conventional CT scan, the present study quantified and compared the dimensions of airway phantom tubes using 1024×1024 and 2048×2048 images obtained using an ultra-high resolution scanner as well as those obtained using conventional 512×512 imaging. Moreover, ultra-high resolution scans were acquired in patients with COPD to test feasibility of the technique under in vivo condition.

2. Patients and methods

2.1 Ethics Statement

The Ethics Committee of Kyoto University approved the study (approval No. R0311-2, approval date January 14, 2016), and written informed consent was obtained from all participants.

2.2 Phantom

The study used two airway phantoms (Kyoto Kagaku Co., Ltd., Kyoto, Japan) including tubes that were made of acrylic resin and surrounded by air. In the first phantom, a tube

of 2-mm diameter with 0.5-mm WT was analyzed. In the second phantom, six tubes of 3-mm diameter with different WT (0.5, 0.6, 0.7, 0.8, 0.9, and 1.0 mm) were analyzed as reported previously [13]. In addition, variations in the CT values of phantom CT images were estimated by calculating the standard deviation (SD) of CT values in 6×6 -cm square regions of interest (ROI) that contained air in the second phantom. Measurement accuracy of the size of the tubes was validated by using digital calipers.

2.3 CT Acquisition

CT scans of the phantom were obtained using ultra-high resolution (Aquilion Precision, Cannon Medical, Tokyo, Japan) and conventional scanners (Aquilion One, Cannon Medical, Tokyo, Japan). The phantom was placed perpendicular to the CT slices. In addition to acquisition of conventional images of 512×512 matrix with 0.5-mm slice thickness, the Aquilion Precision scanner allowed acquisition of images of 1024×1024 and 2048×2048 matrices with 0.25-mm slice thickness through using 0.25×0.25 -mm detector elements in the super high-resolution (SHR) mode [18], whereas the Aquilion One scanner provided images of 512×512 matrix with 0.5-mm slice thickness alone by means of 0.5×0.5 -mm detector elements. In both scanners, CT scanning was conducted using the following conditions: 120 kVp, 240 mA, 0.5-sec exposure time, and 350-mm FOV. For images with matrix sizes of 1024×1024 and 2048×2048 in the SHR mode, FOV of 350 mm allowed 0.34 and 0.17 mm per pixel resolution in plane. Reconstruction was performed using the enhanced MILD (eMILD), enhanced STANDARD (eSTD), enhanced STRONG (eSTR), and WEAK adaptive iterative dose reduction 3D (AIDR-3D), as well as the forward-projected model-based iterative reconstruction solution (FIRST) algorithm [20]. In the process of AIDR-3D, filtered back projection (FBP) data was reconstructed with a high spatial frequency algorithm (FC51) and then mixed with

the iterative reconstruction process to reduce image noise. The extent of the iterative reconstruction mixture was ranked in increasing order from WEAK, MILD, STD, and STR. Because large mixture in the iterative reconstruction process might diminish the original image texture, the scanners' function to enhance contrast in the images reconstructed by MILD, STD, and STR AIDR-3D, i.e., eMILD, eSTD, and eSTR, respectively, was used. FIRST reconstruction was available for the 512×512 image obtained using both scanners, and the 1024×1024 image obtained using the Aquilion Precision scanner. Patients with COPD were scanned using the Aquilion Precision scanner under the same scanning parameters, but auto-exposure control was used to reduce the radiation dose at predetermined level of image noise with standard deviation (SD) of 20 Hounsfield units (HU). Volume CT dose index ($CTDI_{vol}$) was used to estimate the radiation exposure for each scan.

2.4 Measurements of the dimension of phantom tubes and the airways

The dimensions of tubes and the airways were measured using custom-made software as previously reported [13, 21, 22]. Briefly, the center line of the lumen was determined 3-dimensionally, and slice images perpendicular to the center line were reconstructed using trilinear interpolation. On each of the new cross-sectional images from the middle two-thirds section of phantom tube and the airways, 128 rays were placed from the center of lumen outward, and the CT values along each ray were calculated. Subsequently, the edges of the airway wall were automatically determined based on the full-width at half-maximum principle, in which the border between the inside and outside wall was defined as the half difference between the maximum CT value in the wall and the minimum CT value in the lumen. After identification of the airway wall, the luminal area and the WT were calculated and averaged. The measurement error (%) was calculated using the

following formula: $100 \times (\text{CT measurement} - \text{actual value}) / \text{actual value}$.

2.5 Pulmonary function test

In the patients with COPD, spirometry was performed after inhalation of a bronchodilator through Chestac-65V (Chest MI Corp., Tokyo, Japan).

2.6 Statistics

The data were expressed as the mean $\pm$ SD. Statistical analysis was performed using R software [23]. A p-value less than 0.05 was considered to be statistically significant.

3. Results

3.1 Phantom study

Figure 1A shows a phantom image that included ROI for air. The mean CT values for the ROI of each scan were within -1000 ± 1 HU, without significant differences of that among scans acquired under different imaging conditions. In contrast, the SD of CT values for air (Figure 1B) was increased with increased matrix size of the image from 512×512 to 2048×2048 , except for the FIRST reconstruction. Among the ultra-high resolution images (1024×1024 and 2048×2048), the noise was lowest in the FIRST reconstruction followed by that in the eSTR and WEAK AIDR-3D.

Figure 2A shows that compared with the conventional scanner (512×512 matrix, 0.5-mm slice thickness, WEAK AIDR-3D), the phantom tube (diameter, 2 mm; WT, 0.5 mm) was imaged more clearly by using the ultra-high resolution scanner (matrix, 2048×2048 ; slice thickness, 0.25 mm; reconstruction, eMILD or WEAK AIDR-3D; and matrix, 1024×1024 ; slice thickness, 0.25 mm; reconstruction, FIRST). The CTDI_{vol} in the phantom scans using the conventional and ultra-high resolution scanners were 13.7 and 10.4 mGy, respectively. Table 1 shows the measurement errors of the lumen area and WT

of the phantom tube. The measurement error of the lumen area and WT were smaller with the ultra-high resolution scans versus the conventional 512×512 scans.

In addition, Figure 2 shows differences in the CT value curve along an outward ray from the luminal center through the wall between images of matrix size, 512×512 and 1024×1024 (2B) and that of reconstructions, eMILD and FIRST (2C). Figure 2B shows that a larger region was identified as the wall in the image with 512×512 matrix than that in the image with 1024×1024 matrix. Figure 2C shows that the CT value in the parenchyma adjacent to the wall was lower, and the maximum CT value in the wall was higher in scans reconstructed with eMILD AIDR-3 than those with FIRST; whereas, a smaller region was identified as the wall in scans reconstructed through eMILD than that in those through FIRST.

Figure 3 shows that the measurement errors of WT were increased with decrease in the thickness of the tube walls from 1.0 to 0.5 mm. The measurement errors of WT in the 1024×1024 FIRST-reconstructed, 1024×1024 WEAK-reconstructed, and the 2048×2048 WEAK-reconstructed images showed lower proportion (< 11%) of tubes with 0.6 to 1.0-mm WT. In the 1024×1024 and 2048×2048 images reconstructed through eMILD, eSTD, and eSTR AIDR-3D, the measurement error of WT was small in the tube with 0.5-mm WT, and had negative value in the tubes with 0.8, 0.7, and 0.6-mm WT.

3.2 Measurements of dimensions of the airway in humans

Figure 4A shows an example of 1024×1024 CT scan obtained using the ultra-high resolution scanner (slice thickness, 0.25 mm; reconstruction, FIRST) in a subject with COPD. The white square indicates the 5th generation of the right apical bronchus (B¹) in the 1024×1024 image, which was compared with the lower-resolution image of 512×512 matrix, 0.5-mm slice thickness, and FIRST reconstruction shown in Figure 4B.

Demographic characteristics of the seven subjects with COPD who underwent evaluation of the airway dimension are described in Table 2. The $CTDI_{vol}$ measured at the scans was 11.4 ± 1.7 mGy. The luminal area and WT of the 3rd, 4th, and 5th generations of the right B1 airways were quantified using the 1024×1024 FIRST-reconstructed CT images in all seven subjects with COPD. In contrast, in the 512×512 FIRST-reconstructed images from two of the seven subjects, the wall of the 5th-generation airways could not be segmented automatically. Among the airways quantified using both the 1024×1024 and 512×512 matrix images, the WT was substantially lower in the 1024×1024 images than that in the 512×512 images (by generation: 3rd, 1.29 vs. 1.53 mm; 4th, 0.86 vs. 1.23 mm; and 5th, 0.76 vs. 1.06 mm, respectively). Bland-Altman plot (Figure 4C) and scatter plot (Figure 4D) showed overestimation of the WT in the 512×512 scans. Correlations between the WT and pulmonary function for the 3rd, 4th, and 5th generation airways are shown in the Supplementary Figure.

4. Discussion

The present study showed that the ultra-high resolution scanner enables more accurate measurements of the lumen area and WT of the phantom tubes and human airways compared with the conventional scanner. The new imaging technique showed potential as a tool for quantitative analyses of the smaller airways than those currently analyzable, with acceptable error rate.

The need for accurate measurement of the small airways in vivo is increasing, as studies using histology and microCT have identified the small airways as the main pathological lesion in patients with COPD and asthma [9, 11, 14, 15, 24]. In addition, previous quantitative measurement of the dimensions of the airway with the

conventional 512×512 CT scans showed that the luminal narrowing at the 6th generation of the airways correlated with airflow limitation in patients with COPD better than the narrowing at the 3rd generation airways [3]. However, which generation of the airways has the largest impact on correlation between the lumen area and the pulmonary function remains unclear. The present finding indicating accurate measurement of the lumen area of phantom tube of 2-mm diameter and 0.5-mm WT using the ultra-high resolution versus conventional scan will facilitate development of suitable analytical tool to clarify the issue. This technique enables determination of structure-function relationship in airway disease, locations for site-specific bronchodilators, patients' response to inhaler therapy, and prognosis in management of patients with COPD and asthma.

The finding of greater measurement error mainly in low WT among measured dimensions of phantom tube confirms the previous finding by Oguma et al [13]. In addition, in ultra-high resolution images, least measurement error in WT was observed in the images with WEAK AIDR-3D and FIRST reconstruction from 1.0 to 0.6 mm of the actual WT, in order; in contrast, the trend of measurement errors in the eMILD, eSTD, and eSTR-reconstructed images was not consistent, with value of almost 0% in the images of phantom tube with 1.0 and 0.5-mm WT, whereas, negative values in the images of tube with 0.8, 0.7, and 0.6-mm WT, indicating that the eMILD, eSTD, and eSTR AIDR-3D reconstructions led to underestimated value of the WT.

FIRST is one of the full-iterative model reconstruction methods that has been recently proposed for use to improve the signal-to-noise ratio, especially in low-dose CT scans [20]. Currently, although FIRST reconstruction cannot be applied to reconstruct 2048×2048 matrix images due to the large burden of computation resource, the

1024×1024 images reconstructed through FIRST showed potential for use in quantitative measurements of the dimensions of the airway for the following reasons. First, the image noise was smaller than that with the other reconstruction algorithms (Figure 1). Second, in the phantom study, the measurement error of the lumen area and WT was substantially improved in the 1024×1024 matrix image compared to those of the conventional 512×512 matrix images; however, the improvement in measurement error in increasing order of the images of 1024×1024 to 2048×2048 matrix, was very small, possibly because both the 1024×1024 and 2048×2048 scans were based on the same 0.25×0.25-mm size of detector elements, while the conventional 512×512 scans were based on the larger 0.5×0.5-mm size of detector elements. Third, because the dimension of the airway was evaluated 3-dimensionally and the slice thickness of both the 1024×1024 and 2048×2048 scans was 0.25 mm, it was difficult to substantially improve the measurement error by changing the in-plane resolution from 0.34 mm/pixel to 0.17 mm/pixel alone.

In patients with COPD, the results revealed that the measured value of WT in the 3rd, 4th, and 5th generation airways using 512×512 scans (by generation: 3rd, 1.53 mm; 4th, 1.23 mm; 5th, 1.06 mm) were 18, 43, and 40% higher than those in the 1024×1024 scans (by generation: 3rd, 1.29 mm; 4th, 0.86 mm; 5th: 0.76 mm). This result is consistent with those in the phantom study, showing a 15 to 40% measurement error of WT in tubes with 1.0 to 0.7-mm WT using 512×512 FIRST-reconstructed images (Figure 3). Collectively, these findings and the observed difference in the curve of the CT values in the 512×512 and 1024×1024 matrix images (Figure 2B) support previous reports indicating overestimation of the WT with underestimation of the lumen area in quantitative CT measurements of the smaller bronchioles relative to the resolution [2, 25]. Studies investigating more accurate measurement of the dimensions of the airway

using ultra-high resolution imaging are needed to confirm the relationship between the airways' morphometry, function, and clinical parameters.

In the present study, the CTDIvol in the ultra-high resolution scan of phantom tube was not higher than that in the conventional scan. Moreover, the CTDIvol in the ultra-high resolution scans of human subjects was 11.4 ± 1.7 mGy, which is equivalent to the reported CTDIvol (12 mGy) in conventional chest CT scans in a large study population [26]. These findings suggested that the radiation exposure in patients undergoing the ultra-high resolution chest scanning is acceptable in the research and clinical setting.

This study has some limitations. First, the lumen size could be accurately measured in the present phantom at the smallest tube diameter of 2 mm and least WT of 0.5 mm, and the limitation for measurable lumen size could not be determined. Second, the phantom is artificial and does not always reflect the airway in vivo. Ideally, the airway measurements obtained using CT should be validated based on results of histological study. Third, the present study analyzed the airways in patients with COPD, but not in patients with asthma or healthy controls. We could not compare the dimensions of the airway between the COPD, asthma, and healthy control groups. Previous studies using conventional 512×512 scans showed that remodeling of the airway wall was generally greater in patients with asthma than that in patients with COPD [27]; hence, future study comparing the airway structure between patients with COPD, combined asthma and COPD, and asthma, using ultra-high resolution scanning is required. Finally, in this study, the FIRST reconstruction required longer time (27 min) to generate 1024×1024 images of the entire lung than the AIDR-3D reconstruction. However, because the new technology targets patients with COPD and asthma with

stable condition, the image reconstruction time of approximately 30 min can be considered as acceptable for routine clinical practice.

5. Conclusion

The ultra-high resolution scanner showed superior performance in terms of improving the measurement accuracy of the dimension of phantom tubes. Quantitative analysis of the dimensions of the airway using the new scanner can increase our understanding of the structure-function relationship in patients with lung diseases such as asthma and COPD.

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Conflict of interest

The authors have no conflicts of interest.

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Figure captions

Figure 1. Standard deviation of computed tomography values on phantom images.

(A) Standard deviation (SD) of CT values were measured in regions of interest (yellow square, 6×6 cm square) that contained air. (B) The SD values were compared between images acquired through the conventional scanner (Aquilion One, 512×512 matrix [AO512]) and the ultra-high resolution scanner (Aquilion Precision, 512×512, 1024×1024, and 2048×2048 matrices [AP512, AP1024, AP2048]) with five reconstruction algorithms (eMILD AIDR-3D, eSTD AIDR-3D, eSTR AIDR-3D, WEAK AIDR-3D, and FIRST).

Figure 2. Influence of different imaging conditions on the measurement of the dimensions of phantom tubes.

(A) Comparison of images of phantom tube of 2-mm diameter and 0.5-mm wall thickness between the conventional scanner (Aquilion One, 512×512 matrix, 0.5-mm slice thickness, WEAK AIDR-3D reconstruction) and the ultra-high resolution scanner (Aquilion Precision, 2048×2048 matrix, 0.25-mm slice thickness, eMILD and WEAK AIDR-3D reconstructions; 1024×1024 matrix, 0.25-mm slice thickness, and FIRST reconstruction). (B) Comparison of measurement of the wall thickness (WT) between images with 512×512 and 1024×1024 matrix size. The actual size of phantom tube includes diameter of 3 mm and wall thickness of 0.7 mm. CT values along an outward ray from the lumen center through the wall (yellow line) were plotted for each of the 512×512 (red) and 1024×1024 scans reconstructed with FIRST. (C) Comparison of CT value curve along the outward ray (yellow line) between the eMild, AIDR-3D (green), and FIRST (blue) reconstruction methods. The size of both images is 1024×1024. The

inner and outer boundaries of the wall were determined based on the full-width at half-maximum method.

Figure 3. Measurement errors of the wall thickness in phantom tubes of various sizes.

Comparison of the measurement errors of the wall thickness in six phantom tubes between images acquired using the conventional scanner (Aquilion One, 512×512 matrix [AO512]) and the ultra-high resolution scanner (Aquilion Precision, 512×512, 1024×1024, and 2048×2048 matrices [AP512, AP1024, and AP2048]) with five reconstruction algorithms (eMILD, eSTD, eSTR, WEAK AIDR-3D, and FIRST). In all phantom tubes, the internal diameter is 3 mm, and individual wall thickness is 1.0, 0.9, 0.8, 0.7, 0.6, and 0.5 mm.

Figure 4. Measurement of the dimensions of the airway using ultra-high resolution CT in subjects with COPD.

(A) An example of a chest CT scan obtained using the ultra-high resolution scanner (Aquilion Precision, 1024×1024, 0.25-mm slice thickness, and FIRST reconstruction) in a subject with COPD. The white square indicates the 5th generation of the right B1 airway. (B) An example of the 512×512 matrix scan of the same airway as shown in (A) reconstructed with FIRST. The wall in (B) could not be segmented at the full-width half-maximum principle method. (C) Bland-Altman plots of the measured luminal area (A_i) and wall thickness (WT) in the 512×512 and 1024×1024 matrix scans (A_{i512} , WT_{512} , A_{i1024} , and WT_{1024} , respectively). (D) Scatter plot showing the relationship between WT_{512} and WT_{1024} ($\rho = 0.86$ and $p < 0.0001$ by Spearman correlation test). Red, blue, and green colors indicate the 3rd, 4th, and 5th generations of the right B1 airways.

Tables

Table 1. Measurement errors of the lumen area and wall thickness in phantom tube of 2-mm diameter and 0.5-mm wall thickness.

	AO512	AP512	AP1024	AP2048
Lumen area				
eMILD	-45	-8	8.5	12
eSTD	-46	-8	9.8	12
eSTR	-34	-21	1	1
WEAK	-47	-27	-1	2
FIRST	-33	-19	0	NA
Wall thickness				
eMILD	105	57	11	-1
eSTD	118	55	9	-4
eSTR	¶	53	5	-5
WEAK	111	64	28	15
FIRST	¶	62	27	NA

Measurement error is calculated as $100 \times (\text{measured value} - \text{actual value}) / \text{actual value}$.

The unit for all values is %. ¶ The calculated value of the wall thickness is unavailable in

the 512×512 scans reconstructed with FIRST because the actual wall thickness is close to

the pixel dimension. NA indicates that the FIRST reconstruction method is unavailable.

AO512 indicates images of 512×512 matrix obtained with the Aquilion One scanner.

AP512, AP1024, and AP2048 indicate 512×512, 1024×1024, and 2048×2048 matrix

images obtained with the Aquilion Precision scanner.

Table 2. Demographic characteristics of seven subjects with chronic obstructive pulmonary disease.

Age	76 ± 6
Sex ratio M/F	7/0
Height (cm)	166 ± 4
Weight (kg)	63 ± 8
Smoking history (Former /Current)	7/0
Pack Years	55 ± 26
FEV ₁ (L)	1.76 ± 0.41
FEV (%pred)	66 ± 14
FVC (L)	3.46 ± 0.53
FEV ₁ /FVC (%)	51 ± 7
LAMA use (%)	57%
LABA use	57%
ICS use	57%

Data are expressed as the mean ± SD. FEV₁, Forced expiratory volume in 1 sec,

FVC, Forced vital capacity; LAMA, Long-acting muscarinic antagonist; LABA, Long-acting beta agonist; ICS, Inhaled corticosteroid.

Fig. 1

Fig. 2

Fig. 3

Fig. 4