

# The Fourier coefficients of the McKay-Thompson series and the traces of CM values, an announcement

By

TOSHIKI MATSUSAKA\*

## Abstract

This is an announcement of our results [8] on the arithmetic formulas for the Fourier coefficients of the McKay-Thompson series of square-free level  $N$ .

The elliptic modular function  $j(\tau)$  enjoys many beautiful properties. Its Fourier coefficients are related to the Monster group, and its CM values generate abelian extensions over imaginary quadratic fields. Kaneko established a relation between the Fourier coefficients and CM values. In this article, we are concerned with analogues of Kaneko's result for the McKay-Thompson series.

## § 1. Introduction

The elliptic modular function  $j(\tau)$  plays important roles in many different fields. In particular, the Fourier coefficients of  $j(\tau)$  have a mysterious connection with the degrees of irreducible representations of the Monster group  $\mathbb{M}$ . This is known as the monstrous moonshine formulated by Conway and Norton [3], and proved by Borcherds [1]. This connection says that the Fourier coefficients of  $j(\tau)$  can be expressed as simple linear combinations of the degrees of irreducible representations of the Monster group. On the other hand, Kaneko [5] found the arithmetic formulas for the Fourier coefficients of  $j(\tau)$ , that is, the coefficients could also be expressed as a finite sum of CM values of  $j(\tau)$ .

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\*Graduate School of Mathematics, Kyushu University, Motooka 744, Nishi-ku Fukuoka 819-0395, Japan.

e-mail: toshikimatsusaka@gmail.com

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Here the CM value is a special value of  $j(\tau)$  at an imaginary quadratic point, which is algebraic and generates a certain abelian extension over the imaginary quadratic field.

More generally, Borcherds showed that there exists a hauptmodul  $T_g(\tau)$  on a certain genus 0 subgroup  $\Gamma_g \subset \mathrm{SL}_2(\mathbb{R})$  for each element  $g \in \mathbb{M}$ , and these hauptmoduln are constructed by the monster module. We call these hauptmoduln  $T_g(\tau)$  the McKay-Thompson series. In the particular case of the identity element  $e \in \mathbb{M}$ , the corresponding hauptmodul  $T_e(\tau)$  is equal to  $j(\tau) - 744$ . Then for some McKay-Thompson series and sporadic simple groups, a similar connection is observed. (See [4, Section 7.3: More Monstrous Moonshine]).

**Observation 1.1.** The Fourier coefficients of some McKay-Thompson series are close to the degrees of irreducible representations of some sporadic simple groups as follows.

- $T_{1A}(\tau) = j(\tau) - 744 = q^{-1} + 196884q + 21493760q^2 + 864299970q^3 + \dots$ ,  
Degrees of irreducible representations (DIR) of the Monster group:  
 $\{1, 196883, 21296876, 842609326, \dots\}$ .
- $T_{2A}(\tau) = j_2^*(\tau) = q^{-1} + 4372q + 96256q^2 + 1240002q^3 + \dots$ ,  
DIR of the Baby Monster group:  $\{1, 4371, 96255, 1139374, \dots\}$ .
- $T_{3A}(\tau) = j_3^*(\tau) = q^{-1} + 783q + 8672q^2 + 65367q^3 + \dots$ ,  
DIR of the Fischer group  $Fi_{23}$ :  $\{1, 782, 3588, 5083, 25806, 30888, \dots\}$ .
- $T_{5A}(\tau) = j_5^*(\tau) = q^{-1} + 134q + 760q^2 + 3345q^3 + \dots$ ,  
DIR of the Harada-Norton group:  $\{1, 133, 133, 760, 3344, \dots\}$ .
- $T_{6A}(\tau) = j_6^*(\tau) = q^{-1} + 79q + 352q^2 + 1431q^3 + \dots$ ,  
DIR of the Fischer group  $Fi_{22}$ :  $\{1, 78, 429, 1001, \dots\}$ .
- $T_{7A}(\tau) = j_7^*(\tau) = q^{-1} + 51q + 204q^2 + 681q^3 + \dots$ ,  
DIR of the Held group:  $\{1, 51, 51, 153, 153, 680, \dots\}$ .
- $T_{10A}(\tau) = j_{10}^*(\tau) = q^{-1} + 22q + 56q^2 + 177q^3 + \dots$ ,  
DIR of the Higman-Sims group:  $\{1, 22, 77, 154, \dots\}$ .

Here  $\tau \in \mathfrak{H}$  (the upper half-plane) and  $q = e^{2\pi i\tau}$ .

In this article, we express these Fourier coefficients in terms of the traces of CM values of the McKay-Thompson series as analogues of Kaneko's arithmetic formulas.

§ 2. Main theorem

Let  $N$  be a positive square-free integer such that the genus of the congruence subgroup  $\Gamma_0(N)$  is 0, that is,  $N = 1, 2, 3, 5, 6, 7, 10,$  and  $13$ . For these  $N$ , we write  $j_N(\tau)$  and  $j_N^*(\tau)$  for the hauptmodul (McKay-Thompson series) on  $\Gamma_0(N)$  and the Fricke group  $\Gamma_0^*(N)$ , respectively. Here  $\Gamma_0^*(N)$  is generated by  $\Gamma_0(N)$  and all Atkin-Lehner involutions  $W_e$  for  $e$  with  $e|N$  and  $(e, N/e) = 1$ , where  $W_e$  is a matrix of the form  $\frac{1}{\sqrt{e}} \begin{bmatrix} xe & y \\ zN & we \end{bmatrix}$  with  $\det W_e = 1$  and  $x, y, z, w \in \mathbb{Z}$ . In the case of  $N = 1$ , we put  $j_1^*(\tau) := j(\tau) - 744$  and  $\Gamma_0^*(1) = \text{SL}_2(\mathbb{Z})$ . For a positive integer  $d$  such that  $-d$  is congruent to a square modulo  $4N$ ,  $\mathcal{Q}_{d,N}$  denotes the set of positive definite binary quadratic forms  $Q(X, Y) = [a, b, c] := aX^2 + bXY + cY^2$  ( $a, b, c \in \mathbb{Z}$ ,  $a \equiv 0 \pmod{N}$ ) of discriminant  $-d$  on which  $\Gamma_0^*(N)$  acts. For each  $Q \in \mathcal{Q}_{d,N}$ , we define the corresponding CM point  $\alpha_Q$  by the unique root in  $\mathfrak{H}$  of  $Q(X, 1) = 0$ . For a positive integer  $m$  and the hauptmodul  $j_N^*(\tau)$ , let  $\varphi_m(j_N^*)$  be the unique polynomial in  $j_N^*$  satisfying  $\varphi_m(j_N^*(\tau)) = q^{-m} + O(q)$ . We define the modular trace by

$$\mathbf{t}_m^{(N^*)}(d) := \sum_{Q \in \mathcal{Q}_{d,N}/\Gamma_0^*(N)} \frac{1}{|\overline{\Gamma_0^*(N)}_Q|} \varphi_m(j_N^*(\alpha_Q)),$$

where  $|\overline{\Gamma_0^*(N)}_Q|$  is the order of the stabilizer of  $Q$  in  $\overline{\Gamma_0^*(N)} := \Gamma_0^*(N)/\{\pm I\}$ . Then, we can express the Fourier coefficients of  $j_N(\tau)$  and  $j_N^*(\tau)$  in terms of the modular traces.

**Theorem 2.1.** For  $N \in \{1, 2, 3, 5, 6, 7, 10, 13\}$ , let  $c_n^{(N)}$  and  $c_n^{(N^*)}$  be the  $n$ th Fourier coefficients of  $j_N(\tau)$  and  $j_N^*(\tau)$ , respectively. For any  $n \geq -1$ , we have

$$\begin{aligned} 2nc_n^{(p)} &= \sum_{r \in \mathbb{Z}} \mathbf{t}_2^{(p^*)}(4n - r^2) + \frac{24(3 - p\sigma_1(2/p))}{p - 1} \sigma_1^{(p)}(n) & (p = 2, 3, 5, 7, 13), \\ 2nc_n^{(6)} &= \sum_{r \in \mathbb{Z}} \mathbf{t}_2^{(6^*)}(4n - r^2) + 7\sigma_1^{(6)}(n) + 26\sigma_1^{(3)}(n/2) - 3\sigma_1^{(2)}(n/3), \\ 2nc_n^{(10)} &= \sum_{r \in \mathbb{Z}} \mathbf{t}_2^{(10^*)}(4n - r^2) + 4\sigma_1^{(10)}(n) + 12\sigma_1^{(5)}(n/2), \\ 2nc_n^{(1^*)} &= \sum_{r \in \mathbb{Z}} \mathbf{t}_2^{(1^*)}(4n - r^2) \quad \dots \text{Kaneko's formula}, \\ 2nc_n^{(p^*)} &= \sum_{r \in \mathbb{Z}} \left\{ \mathbf{t}_2^{(p^*)}(4n - r^2) - \mathbf{t}_2^{(p^*)}(4pn - r^2) \right\} & (p = 2, 3, 5, 7, 13), \\ 2nc_n^{(p_1 p_2^*)} &= \sum_{r \in \mathbb{Z}} \left\{ \mathbf{t}_2^{(p_1 p_2^*)}(4n - r^2) - \mathbf{t}_2^{(p_1 p_2^*)}(4p_1 n - r^2) \right. \\ &\quad \left. - \mathbf{t}_2^{(p_1 p_2^*)}(4p_2 n - r^2) + \mathbf{t}_2^{(p_1 p_2^*)}(4p_1 p_2 n - r^2) \right\} & (p_1 p_2 = 6, 10), \end{aligned}$$

where  $\sigma_1(n) := \sum_{d|n} d$  and  $\sigma_1^{(N)}(n) := \sum_{\substack{d|n \\ d \neq 0(N)}} d$ . If  $x \notin \mathbb{Z}_{\geq 0}$ , the value of  $\sigma_1^{(N)}(x)$  is 0, and we put  $\sigma_1^{(N)}(0) := (N - 1)/24$ . In addition, we define some additional values as follows.

$$t_2^{(N^*)}(0) := \begin{cases} 6 & N = 1, \\ 5 & N = 2, \\ 3 & N = 3, 5, 7, 13, \\ 5/2 & N = 6, 10, \end{cases} \quad t_2^{(N^*)}(-1) := -1, \quad t_2^{(N^*)}(-4) := -2.$$

*Remark.* (1) Each sum in this theorem is finite.  
 (2) The cases of  $N = 2, 3$ , and 5 were established by Ohta [9] and the author and Osanai [7] by using the Riemann-Roch theorem.

Zagier [10] showed the modularity of the generating function of the modular traces  $t_m^{(1^*)}(d)$ , and Bruinier and Funke [2] generalized Zagier’s result by using the Kudla-Millson theta lift. By virtue of their works, C. H. Kim [6] and the author [8] obtained weakly holomorphic Jacobi forms.

**Theorem 2.2.** ( $N = 1, 2, 3, 5, 7, 13$ : Kim [6],  $N = 6, 10$ : M. [8]) For  $N \in \{1, 2, 3, 5, 6, 7, 10, 13\}$ , we put  $t_2^{(N)}(d) := 2^{\mu_N(d)} t_2^{(N^*)}(d)$ , where  $\mu_N(d)$  is the number of prime factors of  $(N, d)$ . If  $(N, d) = 1$ , we put  $\mu_N(d) := 0$ . Then the generating function

$$g_2^{(N)}(\tau, z) := \sum_{\substack{n \gg -\infty \\ r \in \mathbb{Z}}} t_2^{(N)}(4Nn - r^2) q^n \zeta^r$$

is a weakly holomorphic Jacobi form of weight 2 and index  $N$ . In the cases of  $N = 2, 3, 5, 6, 7, 10$ , and 13, this function becomes a weak Jacobi form, that is, the condition  $n \gg -\infty$  is replaced with  $n \geq 0$ .

Then the function

$$\tilde{g}_2^{(N)}(\tau) := \frac{1}{\tau^2} \sum_{\ell=0}^{N-1} g_2^{(N)}\left(-\frac{1}{N\tau}, \frac{\ell}{N}\right) = N^2 2^{\mu_N(N)} \sum_{n=-1}^{\infty} \left( \sum_{r \in \mathbb{Z}} t_2^{(N^*)}(4n - r^2) \right) q^n$$

is a weakly holomorphic modular form of weight 2 on  $\Gamma_0(N)$  with a pole only at  $\tau = i\infty$ . Comparing with  $j'_N(\tau)$  and  $j_N^{*\prime}(\tau)$ , we can prove Theorem 2.1.

*Remark.* The results of Bruinier and Funke works for an arbitrary modular function of general square-free level  $N$ . Hereby we can construct weakly holomorphic Jacobi forms of weight 2 and index  $N$ .

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