\mathcal{R} -solver and periodic solutions of the Navier-Stokes equations

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1 Introduction

Since Kato-Fujita theory, the Navier-Stokes equations have been stuided by a lot of mathematicians based on analytic semigroup properties of Stokes equations. Since the Navier-Stokes equations are a system of semi-linear parabolic equations, and so the analytic semigroup approach has yielded many fruitful results. On the other hand, in many flow problems, for example a falling drop problem, ocean problem, nuclear power, energy conversion technique, envilonment issues, bood flows..., we meet free boundary problem for the Navier-Stokes equations, which is formulated in an unknown time dependent domain. Under suitable transformation from a time dependent unknown domain with free boundary to a known domain with fixed boundary, the equations become a system of quasilinear parabolic equations with non-homogeneous boundary conditions. The basical tool of proving the local in time existence theorem for such problems is the maximal regularity for the Stokes equations with non-homogeneous boundary or transmission conditions. There are a lot of works have been done by Solonnikov and his colleagues since the early of 1980 in the Hölder spaces, $C^{2+\alpha,1+\alpha/2}$ ($\alpha > 0$), and Sobolev-Slobodetskii spaces $W_2^{2+\ell,1+\ell/2}$ $(1/2 < \ell < 1)$, by Jan Pruess and his colleagues in the anisotropic $W_p^{2,1}$ space since the early of 2000, and by Shibata and his colleagues in the anisotropic $W_{q,p}^{2,1}$ space also since the early of 2000. From technical point of view, their approaches are different, and in this note I would like to explain an approach based on \mathcal{R} -solver of the generlized resolvent equations for the Stokes operator with non-homogeneous free boundary conditions, which gives a systematic study of a system of quasilinear parabolic equations with non-homogeneous boundary conditions.

2 Framework based on \mathcal{R} -solvers

I would like to formulate \mathcal{R} -solvers for free boundary problem without surface tension in mind. Let me consider an initial-boundary value problem formulated as follows:

$$\dot{u} - Au = f, \quad Bu = g \quad \text{for } t > 0, \quad u|_{t=0} = u_0,$$
(2.1)

where t is the time variable, \dot{u} denotes the time derivative of u, and Bu = f denotes a boundary condition.

• Let X and Y be two UMB Banach spaces and $Y \subset X$.

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- Let $Z = (X, Y)_{[1/2]}$ be a complex interpolation space of order 1/2.
- Let $A \in \mathcal{L}(Y, X)$, $\mathcal{L}(Y, X)$ denoting the set of all bounded linear operator from Y into X.
- Let $B \in \mathcal{L}(Y, Z) \cap \mathcal{L}(Z, X)$.

Example 1. The following two sets of equations are typical example in the setting of this note:

$$\dot{v} - \Delta v = f$$
 in $\Omega \times (0, \infty)$, $\frac{\partial v}{\partial \nu} = g$ on $\partial \Omega \times (0, \infty)$, $v|_{t=0} = v_{0,t}$

where Ω is a domain, $\partial\Omega$ is its boundary, Δ denotes the Laplace operator and ν denotes the unit outer normal to $\partial\Omega$ (Neumann operator);

$$\begin{split} \dot{\mathbf{v}} - \Delta \mathbf{v} + \nabla \mathbf{\mathfrak{p}} &= \mathbf{f}, \quad \text{div} \, \mathbf{v} = 0 \quad \text{in } \Omega \times (0, \infty), \\ (\mathbf{D}(\mathbf{v}) - \mathbf{\mathfrak{pI}})\nu &= \mathbf{g} \quad \text{on } \partial\Omega \times (0, \infty), \quad \mathbf{v}|_{t=0} = \mathbf{v}_0 \end{split}$$

In these cases, for $1 , I set <math>X = L_p$, $Y = H_p^2$, $Z = H_p^1$.

In what follows, for $\epsilon \in (0, \pi/2)$ and $\lambda_0 > 0$ $\Sigma_{\epsilon, \lambda_0}$ denotes a subset of \mathbb{C} defined by setting

$$\Sigma_{\epsilon,\lambda_0} = \{\lambda \in \mathbb{C} \setminus \{0\} \mid |\arg \lambda| \le \pi - \epsilon, \quad |\lambda| \ge \lambda_0\}.$$

And, let me consider a generalized resolvent problem corresponding to (2.1) as follows:

$$\lambda u - Au = f, \quad Bu = g \tag{2.2}$$

for $\lambda \in \Sigma_{\epsilon,\lambda_0}$, where "generalized" means the non-homogeneous boundary condition.

A main tool in my approach is Weis's operator valued Fourier multiplier theorem [7], and so I introduce the notion of \mathcal{R} boundedness of operator families.

Definition 2. Let $\mathcal{L}(X, Y)$ denote the set of all bounded linear operators from X into Y. A family of operators, $\mathcal{T} \subset \mathcal{L}(X, Y)$, is called \mathcal{R} -bounded if there exists a constant C and an exponent $p \in [1, \infty)$ such that for all $m \in \mathbb{N}$, $\{T_k\}_{k=1}^m \subset \mathcal{T}$, and $\{x_k\}_{k=1}^m \subset X$, there hold the inequalities:

$$\left\|\sum_{k=1}^{m} r_k T_k x_k\right\|_{L_p((0,1),Y)} \le C \left\|\sum_{k=1}^{m} r_k x_k\right\|_{L_p((0,1),X)}$$

Here, the Rademacher function r_k , $k \in \mathbb{N}$, are given by r_k : $[0,1] \to \{-1,1\}$, $t \mapsto \text{sign}(\text{sin}(2^k \pi t))$. The smallest such C is called the \mathcal{R} -bound of \mathcal{T} on $\mathcal{L}(X,Y)$ which is written by $\mathcal{R}_{\mathcal{L}(X,Y)}(\mathcal{T})$ in what follows.

In the following, I consider the situation that for any $\lambda \in \Sigma_{\epsilon,\lambda_0}$, $f \in X$ and $g \in X \cap Z$, problem (1) admits a unique solution $u \in Y$ possessing the estimate:

$$\|u\|_{Y} + \|\lambda u\|_{X} \le C(\|f\|_{X} + \|g\|_{Z} + \|\lambda^{1/2}g\|_{X}),$$
(2.3)

which has been studied since 1950's as parameter elliptic problems. My concern is to prove the generalized resolvent estimate in terms of \mathcal{R} -norms instead of standard norms, $\|\cdot\|_X$, $\|\cdot\|_Y$, and $\|\cdot\|_Z$.

For any Banach space U, let $\operatorname{Hol}(\Sigma_{\epsilon,\lambda_0}, U)$ denote the set of all U valued holomorphic functions defined on $\Sigma_{\epsilon,\lambda_0}$. Below, I assume the existence of an operator family

$$\mathcal{M}(\lambda): X \times X \times Z \to Y; \quad X \times X \times Z \ni (F_1, F_2, F_3) \mapsto \mathcal{M}(\lambda)(F_1, F_2, F_3) \in Y$$

for every $\lambda = \gamma + i\tau \in \Sigma_{\epsilon,\lambda_0}$ with

 $\mathcal{M}(\lambda) \in \mathrm{Hol}\left(\Sigma_{\epsilon,\lambda_0}, \mathcal{L}(X \times X \times Z, Y)\right), \quad \lambda \mathcal{M}(\lambda) \in \mathrm{Hol}\left(\Sigma_{\epsilon,\lambda_0}, \mathcal{L}(X \times X \times Z, X)\right)$

such that

- (i) for every $\lambda \in \Sigma_{\epsilon,\lambda_0}$, $f \in X$ and $g \in Z$, $u = \mathcal{M}(\lambda)(f, \lambda^{1/2}g, g)$ is a solution of problem (2.2);
- (ii) $\mathcal{M}(\lambda)$ satisfies

$$\mathcal{R}_{\mathcal{L}(X \times X \times Z, X)}(\{(\tau \partial_{\tau})^{\ell}(\lambda \mathcal{M}(\lambda)) \mid \lambda \in \Sigma_{\epsilon, \lambda_0}\} \le r_b, \\ \mathcal{R}_{\mathcal{L}(X \times X \times Z, Y)}(\{(\tau \partial_{\tau})^{\ell} \mathcal{M}(\lambda) \mid \lambda \in \Sigma_{\epsilon, \lambda_0}\} \le r_b,$$

$$(2.4)$$

for $\ell = 0, 1$ with some constant r_b .

Remark 3. Such an operator family $\mathcal{M}(\lambda)$ is called an \mathcal{R} -solver for equations (2.3). Since \mathcal{R} boundedness implies the standard boundedness (in the m = 1 case in Definition 2), the estimate (2.3) is derived automatically from (2.4).

I now consider the following time dependent problem:

$$\dot{u} - Au = f, \quad Bu = g \quad (t > 0) \quad u|_{t=0} = u_0.$$
 (2.5)

The compatibility condition is:

$$g|_{t=0} = Bu_0 \tag{2.6}$$

which is obtained from the boundary condition Bu = g at t = 0. Set u = v + w, where v and w are solutions of the following equations:

$$\dot{v} - Av = f, \quad Bv = g \quad \text{for } t \in \mathbb{R},$$

$$(2.7)$$

$$\dot{w} - Aw = 0, \quad Bw = 0 \quad \text{for } t \in (0, \infty), \quad w|_{t=0} = u_0 - v|_{t=0}.$$
 (2.8)

I first consider equations (2.7). First of all, let f and g be extended to t < 0. Since f is not required to be differentiable in time, and so f is extended by 0, that is $f_0 = f$ for t > 0 and $f_0 = 0$ for t < 0. On the other hand, g is usually required to be differentiablity at least of some fractional order on t and so here it is assumed that g is defined for t > 0, and then $g_0(t) = g(t)$ and $g_0(t) = \varphi(t)g(-t)$, where $\varphi(t) \in C^{\infty}(\mathbb{R})$ which equals one for t > -1 and vanishes for t < -2. Instead of (2.7), I consider the following equations:

$$\dot{v} - Av = f_0, \quad Bv = g_0 \quad \text{for } t \in \mathbb{R}.$$
 (2.9)

Applying the Laplace transform to equations (2.9) yields that

$$\lambda \hat{v} - A \hat{v} = \hat{f}_0, \quad B \hat{v} = \hat{g}_0. \tag{2.10}$$

Here, the Laplace transform \hat{v} is defined by setting

$$\hat{v}(\lambda) = \int_{-\infty}^{\infty} e^{-\lambda t} v(t) \, dt = \int_{-\infty}^{\infty} e^{-i\tau t} e^{-\gamma t} v(t) \, dt = \mathcal{F}[e^{-\gamma t}v](\tau)$$

with $\lambda = \gamma + i\tau \in \mathbb{C}$, where \mathcal{F} denotes the Fourier transform. Using the \mathcal{R} -solver $\mathcal{M}(\lambda)$, \hat{v} is represented by $\hat{v} = \mathcal{M}(\lambda)(\hat{f}, \lambda^{1/2}\hat{g}, \hat{g})$. By the Laplace inverse transform,

$$\begin{aligned} v(t) &= \mathcal{L}^{-1}[\mathcal{M}(\lambda)(\hat{f}, \lambda^{1/2}\hat{g}, \hat{g})](t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{(\gamma + i\tau)t} \mathcal{M}(\lambda) \mathcal{F}[e^{-\gamma t}(f_0, \Lambda_{\gamma}^{1/2}g, g)](\tau) \, d\tau \\ &= e^{\gamma t} \mathcal{F}^{-1}[\mathcal{M}(\lambda) \mathcal{F}[e^{-\gamma t}(f_0, \Lambda_{\gamma}^{1/2}g, g)](\tau)](t), \end{aligned}$$

where $\Lambda_{\gamma}^{1/2}g$ is defined by setting

$$\Lambda_{\gamma}^{1/2}g = \mathcal{L}^{-1}[\lambda^{1/2}\mathcal{L}[g](\lambda)](t).$$

I now quote the Weis operator valued Fourier multiplier theorem [7].

Theorem 4. Let X and Y be two UMD Banach spaces and let $1 . Let m be a function in <math>C^1(\mathbb{R} \setminus \{0\}, \mathcal{L}(X, Y))$ such that the following conditions are satisfied:

$$\mathcal{R}_{\mathcal{L}(X,Y)}(\{m(\tau), \tau \in \mathbb{R} \setminus \{0\}\}) = \kappa_0 < \infty,$$

$$\mathcal{R}_{\mathcal{L}(X,Y)}(\{m(\tau), \tau \in \mathbb{R} \setminus \{0\}\}) = \kappa_1 < \infty.$$

Let an operator T_m acting on elements of $\mathcal{F}^{-1}[\mathcal{D}(\mathbb{R},X)]$ be defined by setting

$$[T_m f](t) = \mathcal{F}^{-1}[m(\tau)\mathcal{F}[f](\tau)](t) \quad \text{for } f \text{ with } \mathcal{F}[f] \in \mathcal{D}(\mathbb{R}, X),$$

where $\mathcal{D}(\mathbb{R}, X)$ denotes the set of all X-valued $C_0^{\infty}(\mathbb{R})$ functions. Then, the operator T_m is extended to a bounded linear operator from $L_p(\mathbb{R}, X)$ into $L_p(\mathbb{R}, Y)$ with norm

$$||T_m||_{\mathcal{L}(L_p(\mathbb{R},X),L_p(\mathbb{R},Y))} \le C(\kappa_0 + \kappa_1)$$

with some constant depending only on p, X, and Y.

Applying Theorem 4 to the formulas:

$$\begin{split} e^{-\gamma t} \dot{v} &= \mathcal{F}^{-1}[\lambda M(\lambda) \mathcal{F}[e^{-\gamma t}(f_0, \Lambda_{\gamma}^{1/2}g, g)]](t), \\ e^{-\gamma t} v &= \mathcal{F}^{-1}[M(\lambda) \mathcal{F}[e^{-\gamma t}(f_0, \Lambda_{\gamma}^{1/2}g, g)]](t) \end{split}$$

yields that

$$\begin{aligned} &\|e^{-\gamma t}\partial_{t}v\|_{L_{p}(\mathbb{R},X)}+\|e^{-\gamma t}v\|_{L_{p}(\mathbb{R},Y)}\\ &\leq C(\|e^{-\gamma t}f\|_{L_{p}(\mathbb{R},X)}+\|e^{-\gamma t}\Lambda_{\gamma}^{1/2}g\|_{L_{p}(\mathbb{R},X)}+\|e^{-\gamma t}g\|_{L_{p}(\mathbb{R},Z)}), \end{aligned}$$

which is the maximal L_p regularity theorem for problem (2.7).

Problem (2.8) is solved by C^0 analytic semigroup T(t), whose generation is obtained with the help of the \mathcal{R} -solver. In fact, the underlysing space \mathcal{H} , the operator \mathcal{A} and its domain $\mathcal{D}(\mathcal{A})$ are defined as follows:

$$\mathcal{H} = X_0, \quad \mathcal{D}(\mathcal{A}) = \{ x \in Y \mid Bx = 0 \}, \quad \mathcal{A}x = Ax \text{ for } x \in \mathcal{D}(\mathcal{A}).$$

Problem (2.8) is formulated by

$$\dot{w} - \mathcal{A}w = 0$$
 $(t > 0), \quad w|_{t=0} = u_0 - v|_{t=0}.$ (2.11)

The corresponding resolvent problem to (2.11) is

$$\lambda \hat{w} - A\hat{w} = f, \quad B\hat{w} = 0 \quad (t > 0).$$
 (2.12)

with $f = u_0 - v|_{t=0}$. Since the \mathcal{R} boundedness implies the boundedness, by the first estimate in (2.4) implies that for any $\lambda \in \Sigma_{\epsilon,\lambda_0}$ problem (2.12) admits a unique solution $\hat{w} \in Y$ possessing the estimate:

$$\|\lambda\| \|\hat{w}\|_X + \|\hat{w}\|_Y \le 2r_b \|f\|_X,$$

Thus, there exists a C^0 analytic semigroup $\{T(t)\}_{t\geq 0}$ such that for any $f \in X$, w = T(t)f gives a unique solution of problem (2.12). Moreover, if $f \in (X, \mathcal{D}(\mathcal{A}))_{1-1/p,p}$ which is an real interpolation space between X and $\mathcal{D}(\mathcal{A})$, then w satisfies the estimate:

$$\|e^{-\gamma t}\dot{w}\|_{L_p((0,\infty),X)} + \|e^{-\gamma t}w\|_{L_p((0,\infty),Y)} \le C\|f\|_{(X,Y)_{1-1/p,p}}$$

$$\sup_{t\in[0,\infty)} \|v(t)\|_{(X,\mathcal{D}(\mathcal{A}))_{1-1/p,p}} \le C(\|e^{-\gamma t}\dot{v}\|_{L_p((0,\infty),X)} + \|e^{-\gamma t}v\|_{L_p((0,\infty),Y)}).$$

Thus, we have

$$\begin{aligned} \|e^{-\gamma t}\dot{w}\|_{L_p((0,\infty),X)} + \|e^{-\gamma t}w\|_{L_p((0,\infty),Y)} \\ &\leq C(\|u_0\|_{(X,Y)_{1-1/p,p}} + \|e^{-\gamma t}\dot{v}\|_{L_p((0,\infty),X)} + \|e^{-\gamma t}v\|_{L_p((0,\infty),Y)} \}. \end{aligned}$$

Then, u = v + w is a required solution to problem (2.5).

Summing up, I have proved the following theorem.

Theorem 5. Let $1 and X, Y and Z be three UMD Banach spaces. If <math>\mathcal{R}$ -solver $\mathcal{M}(\lambda)$ exists for $\lambda \in \Sigma_{\epsilon,\lambda_0}$, then problem (2.5) admits a solution u with

$$e^{-\gamma t}u \in L_p((0,\infty),Y) \cap H^1_p((0,\infty),X)$$

for any $\gamma > \lambda_0$ possessing the estimate:

$$\begin{aligned} \|e^{-\gamma t} \dot{u}\|_{L_p((0,\infty),X)} + \|e^{-\gamma t} u\|_{L_p((0,\infty),Y)} &\leq C(\|u_0\|_{(X,Y)_{1-1/p,p}} \\ &+ \|e^{-\gamma t} f\|_{L_p((0,\infty),X)} + \|e^{-\gamma t} \Lambda_{\gamma}^{1/2}(\varphi g_0)\|_{L_p(\mathbb{R},X)} + \|e^{-\gamma t} \varphi g_0\|_{L_p(\mathbb{R},Z)} \end{aligned}$$

3 Framework for periodic solutions with the help of \mathcal{R} -solver and transference theorem

Now, let me consider periodic solutions of equations:

$$\dot{v} - Av = f, \quad Bv = g \quad \text{for } t \in (0, 2\pi) = \mathbb{T},$$

$$(3.1)$$

where it is assumed that $f(t+2\pi) = f(t)$ and $g(t+2\pi) = g(t)$ for $t \in \mathbb{R}$. Let

$$\hat{f}(k) := \mathcal{F}_{\mathbb{T}}[f](k) = \frac{1}{2\pi} \int_{0}^{2\pi} e^{-ikt} f(t) \, dt, \quad \mathcal{F}_{\mathbb{T}}^{-1}[(a_k)_{k \in \mathbb{Z}}](t) := \sum_{k \in \mathbb{Z}} e^{ikt} a_k$$

$$L_{p,\text{per}}((0,2\pi), X) = \{ f(t) \in L_{p,\text{loc}}(\mathbb{R}, X) \mid f(t+2\pi) = f(t) \quad (t \in \mathbb{R}) \}.$$

Applying Fourier transform gives that

$$ik\hat{v} - A\hat{v} = \hat{f}(k), \quad B\hat{v} = \hat{g}(k). \tag{3.2}$$

Applying \mathcal{R} -solver $\mathcal{M}(\lambda)$ gives that

$$\hat{v}(k) = \mathcal{M}(ik)(\hat{f}(k), (ik)^{1/2}\hat{g}(k), \hat{g}(k))$$

for $|k| \ge \lambda_0$, because $ik \in \Sigma_{\epsilon,\lambda_0}$ for $|k| \ge \lambda_0$. The following theorem was obtained in [2].

$$T_m[f] = \mathcal{F}^{-1}[m(\xi)\mathcal{F}[f](\xi)] = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\xi t} m(\xi)\mathcal{F}[f](\xi) \, d\xi \quad \text{for } f \text{ with } \mathcal{F}[f] \in \mathcal{D}(\mathbb{R}, X)$$

is a bounded linear operator from $L_p(\mathbb{R}, X)$ into $L_p(\mathbb{R}, Y)$, that is

$$||T_m[f]||_{L_p(\mathbb{R},Y)} \le M_p ||f||_{L_p(\mathbb{R},X)},$$

then

$$T_{m,\mathbb{T}}[g] := \mathcal{F}_{\mathbb{T}}^{-1}[(m|_{\mathbb{Z}}(ik)\mathcal{F}_{\mathbb{T}}[g](ik))_{k\in\mathbb{Z}}] = \sum_{k\in\mathbb{Z}} e^{ikt}m(k)\mathcal{F}_{\mathbb{T}}[g](k) \quad for \ g \in L_{p,\text{per}}((0,2\pi),X)$$

is also a bounded linear operator from $L_{p,per}((0, 2\pi), X)$ into $L_{p,per}((0, 2\pi), Y)$ with essentially the same bound. Namely, we have

$$||T_{m,\mathbb{T}}[g]||_{L_p((0,2\pi),Y)} \le C_p M_p ||g||_{L_p((0,2\pi),X)}$$

for some constant C_p depending solely p.

Let $\varphi(\tau) \in C^{\infty}(\mathbb{R})$ which equals 1 for $|\tau| \geq \lambda_0 + 1/2$ and 0 for $|\tau| \leq \lambda_0 + 1/4$. Set

$$v_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\mathcal{M}(ik)(\hat{f}(ik),(ik)^{1/2}\hat{g}(k),\hat{g}(k)))_{k\in\mathbb{Z}}]$$

where $\hat{h}(ik) = \mathcal{F}_{\mathbb{T}}[h](k)$. And then, v_{φ} satisfies the equations:

$$\partial_t v_{\varphi} - A v_{\varphi} = \mathcal{F}^{-1}[(\varphi(k)\hat{f}(k))_{k\in\mathbb{Z}}], \quad B v_{\varphi} = \mathcal{F}^{-1}[(\varphi(k)\hat{g}(k))_{k\in\mathbb{Z}}].$$

By the transference theorem, Theorem 6,

$$\|\partial_t v_{\varphi}\|_{L_p((0,2\pi),X)} + \|v_{\varphi}\|_{L_p((0,2\pi),Y)} \le C(\|f\|_{L_p((0,2\pi),X)} + \|g\|_{H_p^{1/2}((0,2\pi),X)} + \|g\|_{L_p((0,2\pi),Z)}).$$
(3.3)

A solution v of equations (4.29) is given by

$$v = \sum_{|k| \le \lambda_0 + 1/2} e^{ikt} v_k + v_\varphi \tag{3.4}$$

where v_k are solutions of the equations:

$$ikv_k - Av_k = \hat{f}(k), \quad Bv_k = \hat{g}(k). \tag{3.5}$$

The part, v_{φ} , of v in (3.4) is called the high frequency part, and the estimate (3.3) is the maximal L_p regularity of the high frequency part.

4 One phase problem for the Navier-Stokes equations

The material here is taken from my joint paper [1] with Thomas Eiter and Mads Kyed. Free boundary problem for the Navier-Stokes equations is formulated as follows: Let Ω_t be a time dependent domain in the *N*-dimensional Euclidean space \mathbb{R}^N ($N \ge 2$), which is unknown. Let Γ_t be the boundary of Ω_t and \mathbf{n}_t the unit outer normal to Γ_t . It is assumed that Ω_t is occupied by some incompressible viscous fluid of unit mass density whose viscosity coefficient is a positive constant μ . Let $\mathbf{u} = {}^{\top}(u_1(x,t),\ldots,u_N(x,t))$ be

$$\begin{cases} \partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} - \operatorname{Div} \left(\mu \mathbf{D}(\mathbf{u}) - \mathfrak{p} \mathbf{I} \right) = \mathbf{f} & \text{in } \Omega_t, \\ \operatorname{div} \mathbf{u} = 0 & \operatorname{in } \Omega_t, \\ (\mu \mathbf{D}(\mathbf{u}) - \mathfrak{p} \mathbf{I}) \mathbf{n}_t = \sigma H(\Gamma_t) \mathbf{n}_t & \text{on } \Gamma_t, \\ V_{\Gamma_t} = \mathbf{u} \cdot \mathbf{n}_t & \text{on } \Gamma_t \end{cases}$$
(4.1)

for $t \in (0, 2\pi)$. Here, $\mathbf{f} = \mathbf{f}(x, t)$ is a prescribed 2π time periodic external force; $H(\Gamma_t)$ denotes the N-1 fold mean curvature of Γ_t which is given by $H(\Gamma_t)\mathbf{n}_t = \Delta_{\Gamma_t} x$ for $x \in \Gamma_t$, where Δ_{Γ_t} is the Laplace-Beltrami operator on Γ_t ; V_{Γ_t} the evolution speed of Γ_t along \mathbf{n}_t ; σ a positive constant representing the surface tension coefficient; $\mathbf{D}(\mathbf{u})$ the doubled deformation tensor given by $\mathbf{D}(\mathbf{u}) = \nabla \mathbf{u} + {}^{\top}\nabla \mathbf{u}$; and \mathbf{I} the $N \times N$ identity matrix. For any $N \times N$ matrix of functions \mathbf{K} whose $(i, j)^{\text{th}}$ component is K_{ij} , Div K denotes an N-vector whose i^{th} component is $\sum_{j=1}^{n} \partial_j K_{ij}$ and for any N-vector of functions $\mathbf{v} = {}^{\top}(v_1, \ldots, v_N)$, $\mathbf{v} \cdot \nabla \mathbf{v}$ denotes an N vector of functions whose i^{th} component is $\sum_{j=1}^{n} v_j \partial_j v_i$, where $\partial_j = \partial/\partial x_j$.

Our problem is to find Ω_t , Γ_t , **u** and **p** satisfying the periodic condition:

$$\Omega_t = \Omega_{t+2\pi}, \quad \Gamma_t = \Gamma_{t+2\pi}, \quad \mathbf{u}(x,t) = \mathbf{u}(x,t+2\pi), \quad \mathfrak{p}(x,t) = \mathfrak{p}(x,t+2\pi)$$
(4.2)

for any $t \in \mathbb{R}$.

4.1 Assumptions

Let $\mathbf{p}_i = \mathbf{e}_i = {}^{\top}(0, \ldots, 0, \stackrel{\text{i-th}}{1}, 0, \ldots, 0)$ for $i = 1, \ldots, N$ and \mathbf{p}_{ℓ} ($\ell = N + 1, \ldots, M$) be one of $x_i \mathbf{e}_j - x_j \mathbf{e}_i$ ($1 \leq i, j \leq N$). It is known that an N-vector of functions, \mathbf{d} , satisfies $\mathbf{D}(\mathbf{d}) = 0$ if and only if \mathbf{d} is represented as a linear combination of \mathbf{p}_i ($i = 1, \ldots, M$). The unknown domain Ω_t will be constructed such that the following three conditions are satisfied:

$$\det\left(\int_{0}^{2\pi} (\mathbf{p}_{\ell}, \mathbf{p}_{m})_{\Omega_{t}} dt\right)_{\ell, m=1, \dots, M} \neq 0,$$

$$(4.3)$$

$$\int_{0}^{2\pi} \left(\frac{1}{|\Omega_t|} \int_{\Omega_t} x \, dx\right) dt = 0, \tag{4.4}$$

$$|\Omega_t| = |B_R| \quad \text{for any } t \in (0, 2\pi).$$

$$(4.5)$$

In what follows, the following symbols will be used:

$$\begin{split} H^{1}_{p,\text{per}}((0,2\pi),X) &= \{f(\cdot,t) \in L_{p,\text{loc}}(\mathbb{R},X) \mid f \in L_{p,\text{per}}\left((0,2\pi),X\right)\};\\ H^{1/2}_{p,\text{per}}((0,2\pi),X) &= \{f(\cdot,t) \in L_{p,\text{loc}}(\mathbb{R},X) \mid \mathcal{F}_{\mathbb{T}}^{-1}[((1+k^{2})^{1/4}\hat{f}(k))_{k\in\mathbb{Z}}] \in L_{p,\text{per}}\left((0,2\pi),X\right)\};\\ \|\|f\|_{L_{p}((0,2\pi),X)} &:= \left(\int_{0}^{2\pi} \|f(t)\|_{X}^{p} dt\right)^{1/p} < \infty;\\ \|f\|_{H_{p}^{1/2}((0,2\pi),X)} &:= \|\mathcal{F}_{\mathbb{T}}^{-1}[((1+k^{2})^{1/4}\hat{f}(k))_{k\in\mathbb{Z}}]\|_{L_{p}((0,2\pi),X)};\\ (f,g)_{G} &= \int_{G} f(x) \cdot \overline{g(x)} \, dx, \quad (f,g)_{\partial G} = \int_{\partial G} f(x) \overline{g(x)} \, d\sigma. \end{split}$$

Let Ω_t , **u** and **p** satisfy equations (4.1) and periodic condition (4.2), and then the divergence theorem of Gauss implies that

$$((\mu \mathbf{D}(\mathbf{u}) - \mathfrak{p}\mathbf{I})\mathbf{n}_{t}, \mathbf{e}_{i})_{\Gamma_{t}} = \sigma(\Delta_{\Gamma_{t}}x, \mathbf{e}_{i})_{\Gamma_{t}} = -\sigma(\nabla_{\Gamma_{t}}x, \nabla_{\Gamma_{t}}\mathbf{e}_{i})_{\Gamma_{t}} = 0;$$

$$((\mu \mathbf{D}(\mathbf{u}) - \mathfrak{p}\mathbf{I})\mathbf{n}_{t}, x_{i}\mathbf{e}_{j} - x_{j}\mathbf{e}_{i})_{\Gamma_{t}} = \sigma(\Delta_{\Gamma_{t}}x, x_{i}\mathbf{e}_{j} - x_{j}\mathbf{e}_{i})_{\Gamma_{t}}$$

$$= -\sigma(\nabla_{\Gamma_{t}}x_{j}, \nabla_{\Gamma_{t}}x_{i})_{\Gamma_{t}} + \sigma(\nabla_{\Gamma_{t}}x_{i}, \nabla_{\Gamma_{t}}x_{j})_{\Gamma_{t}} = 0.$$
(4.6)

Since

$$\frac{d}{dt}(\mathbf{u},\mathbf{p}_{\ell})_{\Omega_{t}} = (\partial_{t}\mathbf{u} + (\mathbf{u}\cdot\nabla)\mathbf{u},\mathbf{p}_{\ell})_{\Omega_{t}} = (\mathrm{Div}\,(\mu\mathbf{D}(\mathbf{u}) - \mathfrak{p}\mathbf{I}),\mathbf{p}_{\ell})_{\Omega_{t}} + (\mathbf{f},\mathbf{p}_{\ell})_{\Omega_{t}}$$

as follows from the first equation in (4.1) and div $\mathbf{u} = 0$, it follows from (4.6) that

$$\frac{d}{dt}(\mathbf{u}, \mathbf{p}_{\ell})_{\Omega_{t}} = (\mathbf{f}, \mathbf{p}_{\ell})_{\Omega_{t}}.$$
(4.7)

Assumption on f. There exists a domain $D \subset \Omega_t$ such that supp $\mathbf{f}(x,t) \subset D$ for any $t \in \mathbb{R}$. \Box

Thus, the periodic condition (4.2) together with (4.7) yields that

$$\int_{0}^{2\pi} \left(\int_{D} \mathbf{f}(x, \cdot) \cdot \mathbf{p}_{\ell}(x) \, dx \right) dt = 0 \quad \text{for } \ell = 1, \dots, M.$$
(4.8)

Instead of problem (4.2), we consider the following equations:

$$\begin{cases} \partial_{t} \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} - \operatorname{Div} \left(\mu \mathbf{D}(\mathbf{u}) - \mathfrak{p} \mathbf{I} \right) + \sum_{k=1}^{M} \int_{0}^{2\pi} (\mathbf{u}(\cdot, t), \mathbf{p}_{k})_{\Omega_{t}} dt \, \mathbf{p}_{k} = \mathbf{f} & \text{in } \Omega_{t}, \\ \operatorname{div} \mathbf{u} = 0 & \text{in } \Omega_{t}, \\ (\mu \mathbf{D}(\mathbf{u}) - \mathfrak{p} \mathbf{I}) \mathbf{n}_{t} = \sigma H(\Gamma_{t}) \mathbf{n}_{t} & \operatorname{on } \Gamma_{t}, \\ V_{\Gamma_{t}} = \mathbf{u} \cdot \mathbf{n}_{t} & \operatorname{on } \Gamma_{t} \end{cases}$$

$$(4.9)$$

for $t \in (0, 2\pi)$. In fact, if Ω_t , **u** and **p** satisfy equations (4.9), the assumption (4.3), and the periodic condition (4.2), then by (4.8) we have

$$(\mathbf{f}, \mathbf{p}_{\ell})_{\Omega_{t}} = \frac{d}{dt} (\mathbf{u}, t), \mathbf{p}_{\ell})_{\Omega_{t}} + \sum_{k=1}^{M} \int_{0}^{2\pi} (\mathbf{u}(\cdot, t), \mathbf{p}_{k})_{\Omega_{t}} dt (\mathbf{p}_{k}, \mathbf{p}_{\ell})_{\Omega_{t}}$$

Integrating this formula on $(0, 2\pi)$ and using the periodicity and the assumption on **f**, (4.8), gives

$$\sum_{k=1}^{M} \int_{0}^{2\pi} (\mathbf{u}(\cdot,t),\mathbf{p}_k)_{\Omega_t} dt \int_{0}^{2\pi} (\mathbf{p}_k,\mathbf{p}_\ell)_{\Omega_t} dt = 0,$$

which, combined with (4.3), yields that

$$\int_0^{2\pi} (\mathbf{u}(\cdot, t), \mathbf{p}_k)_{\Omega_t} dt = 0.$$
(4.10)

Thus, Ω_t , **u** and **p** satisfy the first equation in (4.1).

4.2 Hanzawa transform

Since Ω_t is unknown, the problem should be formulated in a fixed domain. For this purpose, the Hanzawa transform is used. Let $\xi(t)$ be the barycenter point of Ω_t defined by setting

$$\xi(t) = \frac{1}{|B_R|} \int_{\Omega_t} x \, dx, \tag{4.11}$$

where the fact that $|\Omega_t| = |B_R|$ has been used, which follows from the assumption (4.5). From the Reynolds transport theorem and div $\mathbf{u} = 0$ it follows that

$$\frac{d}{dt}\xi(t) = \frac{1}{|B_R|} \int_{\Omega_t} (\partial_t x + \mathbf{u} \cdot \nabla x) \, dx = \frac{1}{|B_R|} \int_{\Omega_t} \mathbf{u}(x,t) \, dx, \quad \xi''(t) = \frac{1}{|B_R|} \int_{\Omega_t} \mathbf{f}(x,t) \, dx. \tag{4.12}$$

Let $\rho(y,t)$ be an unknown periodic function with period 2π such that

$$\Gamma_t = \{ x = y + R^{-1} \rho(y, t) y + \xi(t) \mid y \in S_R \},\$$

where $S_R = \{x \in \mathbb{R}^N \mid |x| = R\}$ and $R^{-1}y$ is the unit outer normal to S_R for $y \in S_R$. Let H_ρ be a suitable extension of ρ to \mathbb{R}^N such that

$$\begin{split} \|H_{\rho}\|_{H^{k}_{q}(B_{R})} &\leq C \|\rho\|_{W^{k-1/q}_{q}(S_{R})} \quad \text{for } k = 1, 2, 3, \\ \|\partial_{t}H_{\rho}\|_{H^{k}_{q}(B_{R})} &\leq C \|\partial_{t}\rho\|_{W^{k-1/q}_{q}(S_{R})} \quad \text{for } k = 1, 2. \end{split}$$

Let

$$\Omega_t = \{ x = y + R^{-1} H_\rho(y, t) y + \xi(t) \mid y \in B_R \},$$

$$\Gamma_t = \{ x = y + R^{-1} \rho(y, t) y + \xi(t) \mid y \in S_R \}.$$
(4.13)

Let J(t) be the Jacobian of the transformation: $x = \Phi(y,t) = y + R^{-1}H_{\rho}(y,t)y + \xi(t)$. Assume that

$$\sup_{t \in (0,2\pi)} \|H_{\rho}(\cdot,t)\|_{H^{1}_{\infty}(B_{R})} \le \delta$$
(4.14)

with some small constant $\delta > 0$, which is chosen so small that the map $x = \Phi(y, t)$ is injective for any $t \in (0, 2\pi)$, and so the inverse map $y = \Phi^{-1}(x, t)$ exists and has the same regularity property as that Φ has.

4.3 Kinematic equations

Let $\mathbf{u}(x,t)$ and $\mathfrak{p}(x,t)$ satisfy equations (4.1), and let $\mathbf{v}(y,t) = \mathbf{u}(\Phi(y,t),t)$ and $\mathfrak{q}(y,t) = \mathfrak{p}(\Phi(y,t),t)$. An equation for \mathbf{v} and ρ is derived from the kinematic condition : $V_{\Gamma_t} = \mathbf{u} \cdot \mathbf{n}_t$ on Γ_t . From the fact that Γ_t is represented by $x = y + R^{-1}\rho(y,t)y + \xi(t)$ it follows that

$$V_{\Gamma_t} = \frac{\partial x}{\partial t} \cdot \mathbf{n}_t = \left(\frac{\partial \rho}{\partial t}\mathbf{n} + \xi'(t)\right) \cdot \mathbf{n}_t,\tag{4.15}$$

where $\mathbf{n} = R^{-1}y$. To represent $\xi'(t)$, let me represent the Jacobian, J(t), of the map $x = \Phi(y, t)$ as $J(t) = 1 + J_0(t)$ with

$$J_0(t) = \det\left(\delta_{ij} + R^{-1} \frac{\partial}{\partial y_i} (H_\rho(y, t)y_j)\right)_{i,j=1,\dots,N} - 1.$$

Thus,

$$\xi'(t) = \frac{1}{|B_R|} \int_{\Omega_t} \mathbf{u} \, dx = \frac{1}{|B_R|} \int_{B_R} \mathbf{v}(y,t) \, dy + \frac{1}{|B_R|} \int_{B_R} \mathbf{v}(y,t) J_0(t) \, dy,$$

which, combined with (4.16) and the kinematic condition: $V_{\Gamma_t} = \mathbf{v} \cdot \mathbf{n}_t$, yields that

$$\partial_t \rho - \left(\mathbf{v} - \frac{1}{|B_R|} \int_{B_R} \mathbf{v}(y, t) \, dy\right) = d(\mathbf{v}, \rho) \tag{4.16}$$

with

$$d(\mathbf{v},\rho) = \frac{1}{|B_R|} \int_{B_R} \mathbf{v}(y,t) J_0(t) \, dy + \frac{\partial \rho}{\partial t} \mathbf{n} \cdot (\mathbf{n} - \mathbf{n}_t) + \mathbf{v} \cdot (\mathbf{n}_t - \mathbf{n}).$$
(4.17)

4.4 Mass conservation and Barycenter

The the case where Ω_t is close to B_R is considered below, and so Δ_{Γ_t} is a small perturbation from Δ_{S_R} , where Δ_{S_R} is the Laplace-Beltrami operator on S_R . Thus,

$$\langle H(\Gamma_t)\mathbf{n}_t, \mathbf{n}_t \rangle = (\Delta_{S_R} + (N-1)/R^2)\rho - (N-1)/R + \text{ nonlinear terms.}$$

Here, $-(N-1)/R^2$ is the first eigen-value of the Laplace-Beltrami operator Δ_{S_R} on S_R with eigen-functions y_j/R for $y = (y_1, \ldots, y_N) \in S_R$. To avoid the zero and first eigen-values of Δ_{S_R} in this linear analysis, the following observation is useful: Since $\Gamma_t = \partial \Omega_t = \{x = y + R^{-1}\rho(y,t)y + \xi(t) \mid y \in S_R\}$,

$$|B_R| = |\Omega_t| = \int_{S_R} \left(\int_0^{R+\rho(\omega,t)} r^{N-1} dr \right) d\omega = \frac{1}{N} \int_{S_R} (R+\rho(\omega))^N d\omega$$
$$= |B_R| + \int_{S_R} \rho \, d\omega + \sum_{k=2}^N \frac{NC_k}{N} \int_{S_R} \rho^k \, d\omega = 0,$$

and so

$$\int_{S_R} \rho \, d\omega + \sum_{k=2}^N \frac{{}_N C_k}{N} \int_{S_R} \rho^k \, d\omega = 0, \tag{4.18}$$

where $d\omega$ denotes the surface element of S_R . The relations $\Gamma_t = \partial \Omega_t = \{x = y + R^{-1}\rho(y,t)y + \xi(t) \mid y \in S_R\}$ and $\xi(t) = \frac{1}{|B_R|} \int_{\Omega_t} x \, dx$ gives that

$$\begin{split} 0 &= \frac{1}{|B_R|} \int_{\Omega_t} (x - \xi(t)) \, dx = \frac{1}{|B_R|} \int_{S_R} \left(\int_0^{R+\rho} r^N \omega \, dr \right) d\omega \\ &= \frac{1}{|B_R|} \frac{1}{N+1} \int_{S_R} (R+\rho)^{N+1} \omega \, d\omega \\ &= \frac{1}{|B_R|} \Big(\int_{S_R} \rho \omega \, d\omega + \sum_{k=2}^{N+1} \frac{N+1C_k}{N+1} \int_{S_R} \rho^k \omega \, d\omega \Big), \end{split}$$

from which it follows that

$$\int_{S_R} \rho \omega_j \, d\omega + \sum_{k=2}^{N+1} \sum_{k=2}^{N+1} \frac{N+1C_k}{N+1} \int_{S_R} \rho^k \omega_j \, d\omega = 0 \tag{4.19}$$

for j = 1, ..., N.

4.5 New kinematic equation

Using these two formulas (4.18) and (4.19), one can see that the kinematic equation is equivalent to equation: N

$$\partial_t \rho + \int_{S_R} \rho \, d\omega + \sum_{k=1}^N \left(\int_{S_R} \rho \omega_k \, d\omega \right) y_k - \left(\mathbf{v} - \frac{1}{|B_R|} \int_{B_R} \mathbf{v} \, dy \right) \cdot \mathbf{n} = \tilde{d}(\mathbf{v}, \rho) \tag{4.20}$$

on $S_R \times (0, 2\pi)$ with

$$\tilde{d}(\mathbf{v},\rho) = d(\mathbf{v},\rho) - \sum_{k=2}^{N} \frac{NC_k}{N} \int_{S_R} \rho^k \, d\omega - \sum_{k=2}^{N+1} \frac{N+1C_k}{N+1} \Big(\int_{S_R} \rho^k \omega \, d\omega \Big) y_k. \tag{4.21}$$

4.6 Linearization Principle

To prove the existence of $(\Omega_t, \mathbf{u}, \mathbf{p})$ satisfying (4.1), it is enough to prove the existence of periodic solutions to the following equations:

$$\begin{cases} \partial_{t} \mathbf{v} + \mathcal{L} \mathbf{v}_{S} - \operatorname{Div}\left(\mu(\mathbf{D}(\mathbf{v}) - \mathbf{q}\mathbf{I}) = \mathbf{G} + \mathbf{F}(\mathbf{v}, \rho) & \text{in } B_{R} \times (0, 2\pi), \\ \operatorname{div} \mathbf{v} = g(\mathbf{v}, \rho) = \operatorname{div} \mathbf{g}(\mathbf{v}, \rho) & \text{in } B_{R} \times (0, 2\pi), \\ \partial_{t} \rho + \mathcal{M} \rho - \mathcal{A} \mathbf{v} \cdot \mathbf{n} = \tilde{d}(\mathbf{v}, \rho) & \text{on } S_{R} \times (0, 2\pi), \\ (\mu \mathbf{D}(\mathbf{v}) - \mathbf{q}) \mathbf{n} - (\mathcal{B}_{R} \rho) \mathbf{n} = \mathbf{h}(\mathbf{v}, \rho) & \text{on } S_{R} \times (0, 2\pi), \end{cases}$$
(4.22)

where $\mathbf{G}(y,t) = \nabla \Phi(y,t) \mathbf{f}(\Phi(y,t),t)$, $\mathbf{F}(\mathbf{v},\rho)$, $g(\mathbf{v},\rho)$, $\mathbf{g}(\mathbf{v},\rho)$, and $\mathbf{h}(\mathbf{v},\rho)$ are nonlinear terms, and we have set

$$\mathcal{L}\mathbf{v}_{S} = 2\pi \sum_{k=1}^{M} (\mathbf{v}_{S}, \mathbf{p}_{k})_{\mathbb{T}} \mathbf{p}_{k}, \quad \mathbf{v}_{S} = \frac{1}{2\pi} \int_{0}^{2\pi} \mathbf{v}(\cdot, s) \, ds,$$
$$\mathcal{A}\mathbf{v} = \mathbf{v} - \frac{1}{|B_{R}|} \int_{B_{R}} \mathbf{v} \, dy; \quad \mathcal{M}\rho = \int_{S_{R}} \rho \, d\omega + \sum_{k=1}^{N} \left(\int_{S_{R}} \rho \omega_{k} \, d\omega \right) y_{k};$$
$$\mathcal{B}_{R}\rho = (\Delta_{S_{R}} + \frac{N-1}{R^{2}})\rho = R^{-2} (\Delta_{S_{1}} + (N-1))\rho,$$
(4.23)

where Δ_{S_1} is the Laplace-Beltrami operator on the unit sphere S_1 .

4.7 Main Result

Theorem 7. Let $1 < p, q < \infty$ and 2/p + N/q < 1. Let D be a domain $\subset B_R = \{x \in \mathbb{R}^N \mid |x| < R\}$. Assume that

- $\mathbf{f} \in L_{p,\text{per}}((0,2\pi), L_q(D)^N)$ and $\operatorname{supp} \mathbf{f}(\cdot, t) \subset D$ for any $t \in (0,2\pi)$,
- •

$$\int_{0}^{2\pi} (\mathbf{f}(\cdot, t), \mathbf{p}_{\ell})_D dt = 0 \quad for \ \ell = 1, \dots, M,$$

$$(4.24)$$

• there exists a small $\epsilon > 0$ such that $\|\mathbf{f}\|_{L_p((0,2\pi),L_q(D)^N)} \leq \epsilon$.

Then, there exist $\mathbf{v}(y,t)$, $\mathfrak{q}(y,t)$, and $\rho(y,t)$ with

$$\mathbf{v} \in L_{p,\text{per}}((0,2\pi), H_q^2(B_R)^N) \cap H_{p,\text{per}}^1((0,2\pi), L_q(B_R)^N),
\mathbf{q} \in L_{p,\text{per}}((0,2\pi), H_q^1(B_R)),
\rho \in L_{p,\text{per}}((0,2\pi), W_q^{3-1/q}(B_R)^N) \cap H_{p,\text{per}}^1((0,2\pi), W_q^{2-1/q}(S_R)),$$
(4.25)

such that the correspondence: $x = \Phi(y,t) := y + R^{-1}H_{\rho}(y,t)y + \xi(t)$ is an injective map defined on B_R and

$$\begin{split} \Omega_t &= \{ x = \Phi(y,t) \mid y \in B_R \}, \quad \Gamma_t = \{ x = y + R^{-1} \rho(y,t) y + \xi(t) \mid y \in S_R \}, \\ \mathbf{u}(x,t) &= \mathbf{v}(\Phi^{-1}(x,t),t), \quad \mathfrak{p}(x,t) = \mathfrak{q}(\Phi^{-1}(x,t),t), \end{split}$$

where $\Phi^{-1}(x,t)$ is the inverse map of the correspondence: $x = \Phi(y,t)$ for any $t \in (0,2\pi)$, are unique solutions of equations (4.1) satisfying the periodic condition (4.2), where $\xi(t)$ is a 2π periodic function which satisfies the equation:

$$\xi(t) = \frac{1}{|\Omega_t|} \int_{\Omega_t} x \, dx.$$

Moreover, \mathbf{v} and ρ satisfy the estimate:

$$\|\mathbf{v}\|_{L_{p}((0,2\pi),H_{q}^{2}(B_{R}))} + \|\partial_{t}\mathbf{v}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))} + \|\rho\|_{L_{p}((0,2\pi),W_{q}^{3-1/q}(S_{R}))} + \|\partial_{t}\rho\|_{L_{p}((0,2\pi),W_{q}^{3-1/q}(S_{R}))} + \|\partial_{t}\rho\|_{L_{\infty}((0,2\pi),W_{q}^{1-1/q}(S_{R}))} \leq C\epsilon$$

$$(4.26)$$

for some constant C independent of ϵ .

4.8 Linearized equations

The linearized equations for problem (4.22) are the set of the following equations:

$$\begin{cases} \partial_{t} \mathbf{v} + \mathcal{L} \mathbf{v}_{S} - \operatorname{Div} \left(\mu(\mathbf{D}(\mathbf{v}) - \mathbf{q}\mathbf{I}) = \mathbf{f} & \text{in } B_{R} \times (0, 2\pi), \\ \operatorname{div} \mathbf{v} = g = \operatorname{div} \mathbf{g} & \text{in } B_{R} \times (0, 2\pi), \\ \partial_{t} \rho + \mathcal{M} \rho - \mathcal{A} \mathbf{v} \cdot \mathbf{n} = d & \text{on } S_{R} \times (0, 2\pi), \\ (\mu \mathbf{D}(\mathbf{v}) - \mathbf{q}) \mathbf{n} - (\mathcal{B}_{R} \rho) \mathbf{n} = \mathbf{h} & \text{on } S_{R} \times (0, 2\pi). \end{cases}$$
(4.27)

The following theorem is the unique existence of periodic solutions of problem (4.27).

Theorem 8. Let $1 < p, q < \infty$. Then, for any

$$\begin{split} \mathbf{f} &\in L_{p,\text{per}}\left((0,2\pi), L_q(B_R)^N\right), \\ g &\in L_{p,\text{per}}\left((0,2\pi), H_q^1(B_R)\right) \cap H_{p,\text{per}}^{1/2}\left((0,2\pi), L_q(B_R)\right), \\ \mathbf{g} &\in H_{p,\text{per}}^1\left((0,2\pi), L_q(B_R)^N\right), \quad d \in L_{p,\text{per}}\left((0,2\pi), W_q^{2-1/q}(S_R)\right) \\ \mathbf{h} &\in L_{p,\text{per}}\left((0,2\pi), H_q^1(B_R)^N\right) \cap H_{p,\text{per}}^{1/2}\left((0,2\pi), L_q(B_R)^N\right), \end{split}$$

problem (4.27) admits unique solutions $\mathbf{v},\,\mathfrak{q},$ and ρ with

$$\mathbf{v} \in L_{p,\text{per}}((0,2\pi), H^2_q(B_R)^N) \cap H^1_{p,\text{per}}((0,2\pi), L_q(B_R)^N),$$

$$\nabla \mathfrak{q} \in L_{p,\text{per}}((0,2\pi), L_q(B_R)^N),$$

$$\rho \in L_{p,\text{per}}((0,2\pi), W^{3-1/q}_q(S_R)) \cap H^1_{p,\text{per}}((0,2\pi), W^{2-1/q}_q(S_R))$$

possessing the estimate:

$$\begin{aligned} \|\mathbf{v}\|_{L_{p}((0,2\pi),H_{q}^{2}(B_{R}))} + \|\partial_{t}\mathbf{v}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))} + \|\nabla \mathbf{q}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))} \\ + \|\rho\|_{L_{p}((0,2\pi),W_{q}^{3-1/q}(S_{R}))} + \|\partial_{t}\rho\|_{L_{p}((0,2\pi),W_{q}^{2-1/q}(S_{R}))} \\ &\leq C\{\|\mathbf{f}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))} + \|d\|_{L_{p}((0,2\pi),W_{q}^{2-1/q}(S_{R}))} \\ &+ \|\mathbf{g}\|_{H_{p}^{1}((0,2\pi),L_{q}(B_{R}))} + \|(g,\mathbf{h})\|_{H_{p}^{1/2}((0,2\pi),L_{q}(B_{R}))} + \|(g,\mathbf{h})\|_{L_{p}((0,2\pi),H_{q}^{1}(B_{R}))}\} \end{aligned}$$

In what follows, I will give an idea how to prove Theorem 8.

4.9 \mathcal{R} -solver and High frequency part

For any periodic function, f, the stationary part f_S and oscillatory part f_{per} are defined by setting

$$f_S = \frac{1}{2\pi} \int_0^{2\pi} f(\cdot, s) \, ds, \quad f_{\text{per}}(\cdot, t) = f(\cdot, t) - f_S(\cdot).$$

And then, problem (4.27) is divided as follows:

$$\begin{cases} \mathcal{L}\mathbf{v}_{S} - \operatorname{Div}\left(\mu(\mathbf{D}(\mathbf{v}_{S}) - \mathbf{q}_{S}\mathbf{I}) = \mathbf{f}_{S} & \text{in } B_{R}, \\ \operatorname{div}\mathbf{v}_{S} = g_{S} = \operatorname{div}\mathbf{g}_{S} & \text{in } B_{R}, \\ \mathcal{M}\rho_{S} - \mathcal{A}\mathbf{v}_{S} \cdot \mathbf{n} = d_{S} & \text{on } S_{R} \times (0, 2\pi), \\ (\mu\mathbf{D}(\mathbf{v}_{S}) - \mathbf{q}_{S})\mathbf{n} - (\mathcal{B}_{R}\rho_{S})\mathbf{n} = \mathbf{h}(\mathbf{v}, \rho)_{S} & \text{on } S_{R} \times (0, 2\pi), \end{cases}$$

$$(4.28)$$

and

$$\begin{cases} \partial_{t} \mathbf{v}_{per} - \operatorname{Div}\left(\mu(\mathbf{D}(\mathbf{v}_{per}) - \mathbf{q}_{per} \mathbf{I}) = \mathbf{f}_{per} & \text{in } B_{R} \times (0, 2\pi), \\ \operatorname{div} \mathbf{v}_{per} = g_{per} = \operatorname{div} \mathbf{g}_{per} & \text{in } B_{R} \times (0, 2\pi), \\ \partial_{t} \rho_{per} + \mathcal{M} \rho_{per} - \mathcal{A} \mathbf{v}_{per} \cdot \mathbf{n} = d_{per} & \text{on } S_{R} \times (0, 2\pi), \\ (\mu \mathbf{D}(\mathbf{v}_{per}) - \mathbf{q}_{per}) \mathbf{n} - (\mathcal{B}_{R} \rho_{per}) \mathbf{n} = \mathbf{h}_{per} & \text{on } S_{R} \times (0, 2\pi), \end{cases}$$
(4.29)

In this subsection, I consider problem (4.29) for the high frequency part.

According to Sect. 3, I consider the generalized resolvent problem:

$$\lambda \mathbf{u} - \operatorname{Div} \left(\mu \mathbf{D}(\mathbf{u}) - \mathbf{p} \mathbf{I} \right) = \hat{\mathbf{f}} \quad \text{in } B_R,$$

$$\operatorname{div} \mathbf{u} = \hat{g} = \operatorname{div} \hat{\mathbf{g}} \quad \text{in } B_R,$$

$$\lambda \eta + \mathcal{M} \eta - (\mathcal{A} \mathbf{u}) \cdot \mathbf{n} = \hat{d} \quad \text{on } S_R,$$

$$\left(\mu \mathbf{D}(\mathbf{u}) - \mathbf{p} \mathbf{I} \right) \mathbf{n} - (\mathcal{B}_R \eta) \mathbf{n} = \hat{\mathbf{h}} \quad \text{on } S_R$$

$$(4.30)$$

for any $\lambda \in \Sigma_{\epsilon,\lambda_0}$ with any $\epsilon \in (0, \pi/2)$ and some large positive number λ_0 depending on ϵ . And then, from the result due to Shibata [4, 5], the following theorem follows.

Theorem 9. Let $1 < q < \infty$ and $0 < \epsilon < \pi/2$. Let

$$\begin{aligned} X_q(B_R) &= \{ (\hat{\mathbf{f}}, \hat{d}, \hat{\mathbf{h}}, \hat{g}, \hat{\mathbf{g}}) \mid \hat{\mathbf{f}} \in L_q(B_R)^N, \ \hat{d} \in W_q^{2-1/q}, \ \hat{\mathbf{h}} \in H_q^1(B_R)^N, \\ \hat{g} \in H_q^1(B_R), \ \hat{\mathbf{g}} \in L_q(\mathbb{R}^N)^N \}, \\ \mathcal{X}_q(B_R) &= \{ F = (F_1, F_2, \dots, F_7) \mid F_1, F_3, F_7 \in L_q(B_R)^N, \ F_2 \in W_q^{2-1/q}(S_R), \\ F_4 \in H_q^1(B_R)^N, \ F_5 \in L_q(B_R), \ F_6 \in H_q^1(\Omega) \}. \end{aligned}$$

Here, F_1 , F_2 , F_3 , F_4 , F_5 , F_6 , and F_7 are corresponding variables to $\hat{\mathbf{f}}$, \hat{d} , $\lambda^{1/2}\hat{\mathbf{h}}$, $\hat{\mathbf{h}}$, $\lambda^{1/2}\hat{g}$, \hat{g} , and $\lambda \hat{\mathbf{g}}$, respectively.

Then, there exist a constant $\lambda_0 > 0$ and operator families $\mathcal{A}(\lambda)$, $\mathcal{P}(\lambda)$, and $\mathcal{H}(\lambda)$ with

$$\begin{aligned} & \mathcal{2}\mathcal{A}(\lambda) \in \operatorname{Hol}\left(\Sigma_{\epsilon,\lambda_0}, \mathcal{L}(\mathcal{X}_q(B_R), H_q^2(B_R)^N)\right), \\ & \mathcal{P}(\lambda) \in \operatorname{Hol}\left(\Sigma_{\epsilon,\lambda_0}, \mathcal{L}(\mathcal{X}_q(B_R), H_q^1(B_R))\right), \\ & \mathcal{H}(\lambda) \in \operatorname{Hol}\left(\Sigma_{\epsilon,\lambda_0}, \mathcal{L}(\mathcal{X}_q(B_R), W_q^{3-1/q}(S_R))\right), \end{aligned}$$

where $\operatorname{Hol}(\Sigma_{\epsilon,\lambda_0}, X)$ denotes the set of all X-valued holomorphic functions defined on $\Sigma_{\epsilon,\lambda_0}$, such that for any $(\hat{\mathbf{f}}, \hat{d}, \hat{\mathbf{h}}, \hat{g}, \hat{\mathbf{g}}) \in X_q(B_R)$ and $\lambda \in \Sigma_{\epsilon,\lambda_0}$, $\mathbf{v} = \mathcal{A}(\lambda)\mathcal{F}_{\lambda}$, $\mathfrak{q} = \mathcal{P}(\lambda)\mathcal{F}_{\lambda}$ and $\eta = \mathcal{H}(\lambda)\mathcal{F}_{\lambda}$, where

$$\mathcal{F}_{\lambda} = (\hat{\mathbf{f}}, \hat{d}, \lambda^{1/2} \hat{\mathbf{h}}, \hat{\mathbf{h}}, \lambda^{1/2} \hat{g}, \hat{g}, \lambda \hat{\mathbf{g}}),$$

are unique solutions of equations (4.30), and

$$\mathcal{R}_{\mathcal{L}(\mathcal{X}_{q}(B_{R}),H_{q}^{2-m}(B_{R})^{N})}(\{(\tau\partial_{\tau})^{\ell}(\lambda^{m/2}\mathcal{A}(\lambda)) \mid \lambda \in \Sigma_{\epsilon,\lambda_{0}}\}) \leq r_{b}, \\
\mathcal{R}_{\mathcal{L}(\mathcal{X}_{q}(B_{R}),L_{q}(B_{R})^{N})}(\{(\tau\partial_{\tau})^{\ell}\nabla\mathcal{P}(\lambda) \mid \lambda \in \Sigma_{\epsilon,\lambda_{0}}\}) \leq r_{b}, \\
\mathcal{R}_{\mathcal{L}(\mathcal{X}_{q}(B_{R}),W_{q}^{3-n-1/q}(S_{R}))}(\{(\tau\partial_{\tau})^{\ell}(\lambda^{n}\mathcal{H}(\lambda)) \mid \lambda \in \Sigma_{\epsilon,\lambda_{0}}\}) \leq r_{b}$$
(4.31)

for $\ell = 0, 1, m = 0, 1, 2$ and n = 0, 1 with some constant r_b , where $\lambda = \gamma + i\tau \in \Sigma_{\epsilon,\lambda_0} \subset \mathbb{C}$.

Let k_0 be a natural number such that $\lambda_0 < k_0$ and $\varphi \in C^{\infty}(\mathbb{R})$ function which equals one for $|k| \ge k_0+1$ and zero for $|k| \le k_0 + 1/2$. Let

$$\mathbf{F}_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\hat{\mathbf{f}}_{\text{per}}(ik))_{k\in\mathbb{Z}}], \quad G_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\hat{g}_{\text{per}}(ik))_{k\in\mathbb{Z}}], \quad \mathbf{G}_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\hat{\mathbf{g}}_{\text{per}}(ik))_{k\in\mathbb{Z}}], \\ D_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\hat{d}_{\text{per}}(ik))_{k\in\mathbb{Z}}], \quad \mathbf{H}_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\hat{\mathbf{h}}_{\text{per}}(ik))_{k\in\mathbb{Z}}].$$

Let

$$\mathbf{v}_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\mathcal{A}(ik)\mathbf{F}_k)_{k\in\mathbb{Z}}], \quad \mathbf{q}_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\mathcal{P}(ik)\mathbf{F}_k)_{k\in\mathbb{Z}}], \quad \rho_{\varphi} = \mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\mathcal{H}(ik)\mathbf{F}_k)_{k\in\mathbb{Z}}]$$

where $\mathbf{F}_{k} = (\hat{\mathbf{f}}_{\text{per}}(ik), \hat{d}_{\text{per}}(ik), (ik)^{1/2} \hat{\mathbf{h}}_{\text{per}}(ik), \hat{\mathbf{h}}_{\text{per}}(ik), (ik)^{1/2} \hat{g}_{\text{per}}(ik), \hat{g}_{\text{per}}(ik), ik \hat{\mathbf{g}}_{\text{per}}(ik))$. Then, \mathbf{v}_{φ} , \mathbf{q}_{φ} and ρ_{φ} are unique solutions of equations:

$$\partial_{t} \mathbf{v}_{\varphi} - \operatorname{Div} \left(\mu \mathbf{D}(\mathbf{v}_{\varphi}) - \mathbf{q}_{\varphi} \mathbf{I} \right) = \mathbf{F}_{\varphi} \quad \text{in } B_{R} \times (0, 2\pi),$$

$$\operatorname{div} \mathbf{v}_{\varphi} = G_{\varphi} = \operatorname{div} \mathbf{G}_{\varphi} \quad \text{in } B_{R} \times (0, 2\pi),$$

$$\partial_{t} \rho_{\varphi} + \mathcal{M} \rho_{\varphi} - (\mathcal{A} \mathbf{v}_{\varphi}) \cdot \mathbf{n} = D_{\varphi} \quad \text{on } S_{R} \times (0, 2\pi),$$

$$(\mu \mathbf{D}(\mathbf{v}_{\varphi}) - \mathbf{q}_{\varphi} \mathbf{I}) \mathbf{n} - (\mathcal{B}_{R} \rho_{\varphi}) \mathbf{n} = \mathbf{H}_{\varphi} \quad \text{on } S_{R} \times (0, 2\pi),$$

(4.32)

with

$$\begin{aligned} \mathbf{v}_{\varphi} &\in L_{p, \text{per}}\left((0, 2\pi), H_{q}^{2}(B_{R})^{N}\right) \cap H_{p, \text{per}}^{1}\left((0, 2\pi), L_{q}(\mathbb{R}^{N})^{N}\right), \quad \nabla \mathfrak{q}_{\varphi} \in L_{p, \text{per}}\left((0, 2\pi), L_{q}(B_{R})^{N}\right), \\ \rho_{\varphi} &\in L_{p, \text{per}}\left((0, 2\pi), W_{q}^{3-1/q}(S_{R})\right) \cap H_{p, \text{per}}^{1}\left((0, 2\pi), W_{q}^{2-1/q}(S_{R})\right). \end{aligned}$$

Moreover, the following estimate holds:

$$\begin{aligned} \|\mathbf{v}_{\varphi}\|_{L_{p}((0,2\pi),H_{q}^{2}(B_{R}))} + \|\partial_{t}\mathbf{v}_{\varphi}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))} + \|\nabla \mathbf{q}_{\varphi}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))} \\ &+ \|\rho_{\varphi}\|_{L_{p}((0,2\pi),W_{q}^{3-1/q}(S_{R}))} + \|\partial_{t}\rho_{\varphi}\|_{H_{p}^{1}((0,2\pi),W_{q}^{2-1/q}(S_{R}))} \\ &\leq C\{\|\mathbf{F}_{\varphi}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))} + \|D_{\varphi}\|_{L_{p}((0,2\pi),W_{q}^{2-1/q}(S_{R}))} + \|(G_{\varphi},\mathbf{H}_{\varphi})\|_{H_{p}^{1/2}((0,2\pi),L_{q}(B_{R}))} \\ &+ \|(G_{\varphi},\mathbf{H}_{\varphi})\|_{L_{p}((0,2\pi),H_{q}^{1}(B_{R}))} + \|\partial_{t}\mathbf{G}_{\varphi}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))}\} \end{aligned}$$

$$(4.33)$$

for some constant C > 0. To estimate the right side of (4.33), we use the inequality:

$$\|\mathcal{F}_{\mathbb{T}}^{-1}[(\varphi(k)\hat{f}(ik))_{k\in\mathbb{Z}}]\|_{L_{p}((0,2\pi),X)} \le C_{p}\|f\|_{L_{p}((0,2\pi),X)}$$

where X is a UMD Banach space, which follows from Weis' operator valued Fourier multiplier theorem, Theorem 4.

4.10 Low frequency part

I now consider the generalized resolvent problem corresponding to (4.29) for $k \in [-k_0, k_0]$. Namely, I consider the following equations:

$$ik\mathbf{v}_{k} - \operatorname{Div}\left(\mu\mathbf{D}(\mathbf{v}_{k}) - \mathbf{p}_{k}\mathbf{I}\right) = \mathbf{f}_{\operatorname{per}}\left(ik\right) \quad \text{in } B_{R},$$

$$\operatorname{div}\mathbf{v}_{k} = \hat{g}(ik) = \operatorname{div}\hat{\mathbf{g}}_{\operatorname{per}}\left(ik\right) \quad \text{in } B_{R},$$

$$ik\rho_{k} + \mathcal{M}\rho_{k} - (\mathcal{A}\mathbf{v}_{k}) \cdot \mathbf{n} = \hat{d}_{\operatorname{per}}\left(ik\right) \quad \text{on } S_{R},$$

$$\left(\mu\mathbf{D}(\mathbf{v}_{k}) - \mathbf{p}_{k}\mathbf{I}\mathbf{N} - (\mathcal{B}_{R}\rho_{k})\mathbf{n} = \hat{\mathbf{h}}_{\operatorname{per}}\left(ik\right) \quad \text{on } S_{R}$$

$$(4.34)$$

for $k \in [-k_0, k_0] \setminus \{0\}$. Then, the following theorem holds.

Theorem 10. Let $1 < q < \infty$ and $k \in \mathbb{Z}$ with $1 \leq |k| \leq k_0$. Then, for any $\hat{\mathbf{f}}_{per}(ik) \in L_q(B_R)^N$, $\hat{g}_{per}(ik) \in H^1_q(B_R)$, $\hat{d}_{per}(ik) \in W^{2-1/q}_q(S_R)$, $\hat{\mathbf{h}}_{per}(ik) \in H^1_q(B_R)^N$, and $\hat{\mathbf{g}}_{per}(ik) \in L_q(B_R)^N$, problem (4.38) admits unique solutions $\mathbf{v}_k \in H^2_q(B_R)^N$, $\mathfrak{q}_k \in H^1_q(B_R)$, and $\eta_k \in W^{3-1/q}_q(S_R)$ possessing the estimate:

$$\begin{aligned} \|\mathbf{v}_{k}\|_{H^{2}_{q}(B_{R})} + \|\nabla \mathfrak{q}_{k}\|_{L_{q}(B_{R})} + \|\eta_{k}\|_{W^{3-1/q}_{q}(S_{R})} \\ &\leq C(\|\hat{\mathbf{f}}_{\text{per}}(ik)\|_{L_{q}(B_{R})} + \|\hat{d}_{\text{per}}(ik)\|_{W^{2-1/q}_{q}(S_{R})} + \|(\hat{g}_{\text{per}}(ik),\hat{\mathbf{h}}(ik))\|_{H^{1}_{q}(B_{R})} + \|\hat{\mathbf{g}}_{\text{per}}(ik)\|_{L_{q}(B_{R})}) \end{aligned}$$

$$(4.35)$$

for some constant C > 0 independent of k with $|k| \leq k_0$.

Remark 11. To estimate the right side of (4.39), we use the inequality:

$$\|\hat{f}(ik)\|_X \le (2\pi)^{-1} \int_0^{2\pi} \|f(s)\|_X \, ds \le (2\pi)^{-1/p'} \|f\|_{L_p((0,2\pi),X)}$$

for any $f \in L_p((0, 2\pi), X)$, where X is a Banach space and $\|\cdot\|_X$ is its norm.

To prove Theorem 10, in view of the Riesz-Schauder theorem, Fredholm alternative principle, it is sufficient to prove the uniqueness in the L_2 framework. Let $\mathbf{w} \in H_2^2(B_R)^N$, $\mathbf{q} \in H_2^1(B_R)$ and $\zeta \in W_2^{3-1/2}(S_R)$ satisfy the homogeneous equations:

$$ik\mathbf{w} - \operatorname{Div}\left(\mu\mathbf{D}(\mathbf{w}) - \mathbf{qI}\right) = 0, \quad \operatorname{div}\mathbf{w} = 0 \qquad \text{in } B_R,$$

$$ik\zeta + \mathcal{M}\zeta - (\mathcal{A}\mathbf{w}) \cdot \mathbf{n} = 0 \qquad \text{on } S_R,$$

$$(\mu\mathbf{D}(\mathbf{w}) - \mathbf{qI})\mathbf{n} - \sigma(\mathcal{B}_R\zeta)\mathbf{n} = 0 \qquad \text{on } S_R.$$
(4.36)

Recall: $\mathcal{M}\zeta = \int_{S_R} \zeta \, d\omega + \sum_{k=1}^N \left(\int_{S_R} \zeta \omega_k \, d\omega \right) y_k$ and $\mathcal{A}\mathbf{v} = \mathbf{v} - \frac{1}{|B_R|} \int_{B_R} \mathbf{v} \, dy$. We first prove that $(\zeta, 1)_{S_R} = 0, \quad (\zeta, x_j)_{S_R} = 0 \quad \text{for } j = 1, \dots, N.$ (4.37)

Integrating the second equation of equations (4.40) and applying the divergence theorem of Gauss gives that

$$0 = ik(\zeta, 1)_{S_R} + (\zeta, 1)_{S_R} |S_R| - \int_{B_R} \operatorname{div} \mathcal{A} \mathbf{w} \, dx = (ik + |S_R|)(\zeta, 1)_{S_R}$$

where we have set $|S_R| = \int_{S_R} d\omega$ and we have used the fact that div $\mathbf{w} = 0$ in B_R . Thus, we have $(\zeta, 1)_{S_R} = 0$.

Multiplying the second equation of equations (4.40) with x_j , integrating the resultant formla over S_R and using the divergence theorem of Gauss gives that

$$0 = ik(\zeta, x_k)_{S_R} + (\zeta, x_k)_{S_R}(x_k, x_k)_{S_R} - \int_{B_R} \operatorname{div}\left(x_k \mathcal{A} \mathbf{w}\right) dx,$$

because $(x_j, x_k)_{S_R} = 0$ for $j \neq k$. Since

$$\int_{B_R} \operatorname{div} \left(x_k \mathcal{A} \mathbf{w} \right) dx = \int_{B_R} \left(\mathbf{w}_k - \frac{1}{|B_R|} \int_{B_R} \mathbf{w}_k \, dx \right) dx = 0$$

we have $(\zeta, x_k)_{S_R} = 0$, because $(x_k, x_k)_{S_R} = (R^2/N)|S_R| > 0$. Thus, we have proved (4.37). In particular, $\mathcal{M}\zeta = 0$ in (4.40).

We now prove that $\mathbf{w} = 0$. Multiplying the first equation of (4.40) with \mathbf{w} and integrating the resultant formula over B_R and using the divergence theorem of Gauss gives that

$$0 = ik \|\mathbf{w}\|_{L_2(B_R)}^2 - \sigma(\mathcal{B}_R\zeta, \mathbf{n} \cdot \mathbf{w})_{S_R} + \frac{\mu}{2} \|\mathbf{D}(\mathbf{w})\|_{L_2(B_R)}^2$$

because div $\mathbf{w} = 0$ in B_R . By the second equation of (4.40) with $\mathcal{M}\zeta = 0$, we have

$$\sigma(\mathcal{B}_R\zeta, \mathbf{n} \cdot \mathbf{w})_{S_R} = \sigma(\mathcal{B}_R\zeta, ik\zeta)_{S_R} + \sum_{j=1}^N \frac{1}{|B_R|} \int_{B_R} w_j \, dt (\mathcal{B}_R\zeta, R^{-1}x_j)_{S_R},$$

where we have used $\mathbf{n} = R^{-1}x = R^{-1}(x_1, \ldots, x_N)$ for $x \in S_R$. Thus,

$$(\mathcal{B}_R\zeta, x_j)_{S_R} = (\zeta, (\Delta_{S_R} + \frac{N-1}{R^2})x_j)_{S_R} = 0.$$

Moreover, since ζ satisfies (4.37), we know that $-(\mathcal{B}_R\zeta,\zeta)_{S_R} \geq c \|\zeta\|_{L_2(S_R)}^2$ for some positive constant c, and therefore we have $\mathbf{w} = 0$. And then, $\nabla \mathfrak{q} = 0$, which yields that \mathfrak{q} is a constant. Since $\mathcal{B}_R\zeta - \mathfrak{q} = 0$ on S_R , integrating this formula on S_R , we have $\mathfrak{q}|S_R| = 0$, because $(\mathcal{B}_R\zeta, 1)_{S_R} = (N-1)R^{-2}(\zeta, 1)_{S_R} = 0$, and so $\mathfrak{q} = 0$.

Finally, combining $\mathcal{B}_R \zeta = 0$ on S_R and $(\zeta, 1)_{S_R} = (\zeta, x_j)_{S_R} = 0$ gives that $\zeta = 0$. This completes the proof of the uniqueness.

4.11 Stationary solution

Let me consider the following stationary problem:

$$\mathcal{L}\mathbf{v}_{S} - \operatorname{Div}\left(\mu\mathbf{D}(\mathbf{v}_{S}) - \mathbf{q}_{k}\mathbf{I}\right) = \mathbf{f}_{S} \quad \text{in } B_{R},$$

$$\operatorname{div}\mathbf{v}_{S} = g_{S} = \operatorname{div}\mathbf{g}_{S} \quad \text{in } B_{R},$$

$$\mathcal{M}\eta_{S} - (\mathcal{A}\mathbf{v}_{S}) \cdot \mathbf{n} = d_{S} \quad \text{on } S_{R},$$

$$(\mu\mathbf{D}(\mathbf{v}_{S}) - \mathbf{q}_{S}\mathbf{I})\mathbf{n} - (\mathcal{B}_{R}\eta_{S})\mathbf{n} = \mathbf{h}_{S} \quad \text{on } S_{R}.$$

$$(4.38)$$

The following theorem holds.

Theorem 12. Let $1 < q < \infty$. Then, for any $\mathbf{f}_S \in L_q(B_R)^N$, $g_S \in H_q^1(B_R)$, $d_S \in W_q^{2-1/q}(S_R)$, $\mathbf{h}_S \in H_q^1(B_R)^N$, and $\mathbf{g}_S \in L_q(B_R)^N$, problem (4.38) admits unique solutions $\mathbf{v}_S \in H_q^2(B_R)^N$, $\mathfrak{q}_S \in H_q^1(B_R)$, and $\rho_S \in W_q^{3-1/q}(S_R)$ possessing the estimate:

$$\begin{aligned} \|\mathbf{v}_{S}\|_{H^{2}_{q}(B_{R})} + \|\nabla \mathfrak{q}_{S}\|_{L_{q}(B_{R})} + \|\rho_{S}\|_{W^{3-1/q}_{q}(S_{R})} \\ &\leq C(\|\mathbf{f}_{S}\|_{L_{q}(B_{R})} + \|d_{S}\|_{W^{2-1/q}_{q}(S_{R})} + \|(g_{S}, \mathbf{h}_{S})\|_{H^{1}_{q}(B_{R})} + \|\mathbf{g}_{S}\|_{L_{q}(B_{R})}) \end{aligned}$$

$$(4.39)$$

for some constant C > 0.

To prove Theorem 12, in view of the Riesz-Schauder theorem, Fredholm alternative principle, it is sufficient to prove the uniqueness in the L_2 framework. Let $\mathbf{w} \in H_2^2(B_R)^N$, $\mathbf{q} \in H_2^1(B_R)$ and $\zeta \in W_2^{3-1/2}(S_R)$ satisfy the homogeneous equations:

$$\mathcal{L}\mathbf{w} - \operatorname{Div}\left(\mu\mathbf{D}(\mathbf{w}) - \mathbf{q}\mathbf{I}\right) = 0, \quad \operatorname{div}\mathbf{w} = 0 \qquad \text{in } B_R,$$

$$\mathcal{M}\zeta - (\mathcal{A}\mathbf{w}) \cdot \mathbf{n} = 0 \qquad \text{on } S_R,$$

$$(\mu\mathbf{D}(\mathbf{w}) - \mathbf{q}\mathbf{I})\mathbf{n} - \sigma(\mathcal{B}_R\zeta)\mathbf{n} = 0 \qquad \text{on } S_R.$$
(4.40)

Employing the same argument as in Subsec.4.10, we have

$$(\zeta, 1)_{S_R} = 0, \quad (\zeta, x_j)_{S_R} = 0 \quad \text{for } j = 1, \dots, N.$$
 (4.41)

We now prove that $\mathbf{w} = 0$. Multiplying the first equation of (4.40) with \mathbf{w} and integrating the resultant formula over B_R and using the divergence theorem of Gauss gives that

$$0 = (\mathcal{L}\mathbf{w}, \mathbf{w})_{B_R} - \sigma(\mathcal{B}_R\zeta, \mathbf{n} \cdot \mathbf{w})_{S_R} + \frac{\mu}{2} \|\mathbf{D}(\mathbf{w})\|_{L_2(B_R)}^2$$

because div $\mathbf{w} = 0$ in B_R . Recalling that $\mathcal{L}\mathbf{v}_S = 2\pi \sum_{k=1}^M (\mathbf{v}_S, \mathbf{p}_k)_{\mathbb{T}} \mathbf{p}_k$, we have

$$(\mathcal{L}\mathbf{w},\mathbf{w})_{B_R} = \sum_{k=1}^M |(\mathbf{w},\mathbf{p}_k)_{B_R}|^2.$$

Employing the same argument as in Subsec.4.10, we have

$$\sigma(\mathcal{B}_R\zeta, \mathbf{n} \cdot \mathbf{w})_{S_R} = \sum_{k=1}^N \frac{1}{|B_R|} \int_{B_R} w_j \, dt (\mathcal{B}_R\zeta, R^{-1}x_j)_{S_R} = 0.$$

Thus,

$$0 = \sum_{k=1}^{M} |(\mathbf{w}, \mathbf{p}_k)_{B_R}|^2 + \frac{\mu}{2} ||\mathbf{D}(\mathbf{w})||_{L_2(B_R)}^2,$$

which yields that $\mathbf{w} = 0$. And then, $\nabla \mathbf{q} = 0$, which shows that \mathbf{q} is a constant. Thus, $\mathcal{B}_R \zeta - \mathbf{q} = 0$ on S_R . Integrating this formula on S_R , we have $\mathbf{q}|S_R| = 0$, and so $\mathbf{q} = 0$.

Finally, combining $\mathcal{B}_R \zeta = 0$ on S_R and $(\zeta, 1)_{S_R} = (\zeta, x_j)_{S_R} = 0$ gives that $\zeta = 0$. This completes the proof of the uniqueness.

Proof of Theorem 8. Since solutions \mathbf{v} , \mathbf{q} and ρ of equations (4.27) are represented as

$$(\mathbf{v}, \mathbf{q},
ho) = (\mathbf{v}_{arphi}, \mathbf{q}_{arphi},
ho_{arphi}) + \sum_{1 \leq |k| \leq k_0} (\mathbf{v}_k, \mathbf{q}_k,
ho_k) + (\mathbf{v}_S, \mathbf{q}_S,
ho_S),$$

applying estimate (4.33), Theorem 10, and Theorem 12 yields Theorem 8. \Box

5 Proof of Theorem 7

Theorem 7 is proved by the standard Banch fixed point theorem. Let $\epsilon > 0$ be a small number determined later and let \mathcal{I}_{ϵ} be an underlying space defined by setting

$$\mathcal{I}_{\epsilon} = \{ (\mathbf{v}, \rho) \mid \mathbf{v} \in L_{p, \text{per}}((0, 2\pi), H_q^2(B_R)^N) \cap H_{p, \text{per}}^1((0, 2\pi), L_q(B_R)^N), \\ \rho \in L_{p, \text{per}}((0, 2\pi), W_q^{3-1/q}(S_R)) \cap H_{p, \text{per}}^1((0, 2\pi), W_q^{2-1/q}(S_R)) \cap H_{\infty, \text{per}}^1((0, 2\pi), W_q^{1-1/q}(S_R)), \\ \sup_{t \in (0, 2\pi)} \|H_\rho\|_{H_{\infty}^1(B_R)} \le \delta, \quad E(\mathbf{v}, \rho) \le \epsilon \},$$

$$(5.1)$$

where we have set

 $E(\mathbf{v},\rho) = \|\mathbf{v}\|_{L_p((0,2\pi),H^2_q(B_R)^N)} + \|\mathbf{v}\|_{H^1_p((0,2\pi),L_q(B_R)^N)}$

$$+ \left\|\rho\right\|_{L_p((0,2\pi),W_q^{3-1/q}(S_R))} + \left\|\rho\right\|_{H_p^1((0,2\pi),W_q^{2-1/q}(S_R))} + \left\|\partial_t\rho\right\|_{L_\infty((0,2\pi),W_q^{1-1/q}(S_R))}$$

Let $(\mathbf{v}, \rho) \in \mathcal{I}_{\epsilon}$ and let \mathbf{u}, \mathbf{q} and η be solutions of linear equations:

$$\begin{cases} \partial_{t} \mathbf{u} + \mathcal{L} \mathbf{u}_{S} - \operatorname{Div}\left(\mu(\mathbf{D}(\mathbf{u}) - \mathbf{q}\mathbf{I}) = \mathbf{G} + \mathbf{F}(\mathbf{v}, \rho) & \text{in } B_{R} \times (0, 2\pi), \\ \operatorname{div} \mathbf{u} = g(\mathbf{u}, \rho) = \operatorname{div} \mathbf{g}(\mathbf{v}, \rho) & \text{in } B_{R} \times (0, 2\pi), \\ \partial_{t} \eta + \mathcal{M} \eta - \mathcal{A} \mathbf{u} \cdot \mathbf{n} = \tilde{d}(\mathbf{v}, \rho) & \text{on } S_{R} \times (0, 2\pi), \\ (\mu \mathbf{D}(\mathbf{u}) - \mathbf{q}) \mathbf{n} - (\mathcal{B}_{R} \eta) \mathbf{n} = \mathbf{h}(\mathbf{v}, \rho) & \text{on } S_{R} \times (0, 2\pi), \end{cases}$$
(5.2)

Applying Theorem 8 to equations (5.2) yields that $\|\mathbf{v}\|_{L_{\alpha}((0,2\pi),H^{2}(B_{D})^{N})} + \|\mathbf{v}\|_{L_{\alpha}((0,2\pi),H^{2}(B_{D})^{N})} \leq \|\mathbf{v}\|_{L_{\alpha}((0,2\pi),H^{2}(B_{D})^{N})}$

$$\begin{aligned} \mathbf{v} \|_{L_{p}((0,2\pi),H_{q}^{2}(B_{R})^{N})} + \|\mathbf{v}\|_{H_{p}^{1}((0,2\pi),L_{q}(B_{R})^{N})} \\ + \|\rho\|_{L_{p}((0,2\pi),W_{q}^{3-1/q}(S_{R}))} + \|\rho\|_{H_{p}^{1}((0,2\pi),W_{q}^{2-1/q}(S_{R}))} \\ &\leq C(\|\mathbf{G}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))} + \mathcal{E}(\mathbf{v},\rho) \} \end{aligned}$$

$$(5.3)$$

with

$$\begin{aligned} \mathcal{E}(\mathbf{v},\rho) &= \|\mathbf{F}(\mathbf{v},\rho)\|_{L_p((0,2\pi),L_q(B_R))} + \|\tilde{d}(\mathbf{v},\rho)\|_{L_p((0,2\pi),W_q^{2-1/q}(S_R))} + \|\mathbf{g}(\mathbf{v},\rho)\|_{H_p^1((0,2\pi),L_q(B_R))} \\ &+ \|(g(\mathbf{v},\rho),\mathbf{h}(\mathbf{v},\rho))\|_{H_p^{1/2}((0,2\pi),L_q(B_R))} + \|(g(\mathbf{v},\rho),\mathbf{h}(\mathbf{v},\rho))\|_{L_p((0,2\pi),H_q^1(B_R))}. \end{aligned}$$

To estimate $\|\partial_t \eta\|_{L_{\infty}((0,2\pi),W_q^{1-1/q}(S_R))}$, the following estimate is used:

$$\|\partial_t \eta\|_{L_{\infty}((0,2\pi),W_q^{1-1/q}(S_R))} \le C(\|\mathcal{M}\rho\|_{L_{\infty},W_q^{1-1/q}(S_R))} + \|\mathbf{v}\|_{L_{\infty}((0,2\pi),H_q^1(B_R))} + \|\tilde{d}\|_{L_{\infty}((0,2\pi),W_q^{1-1/q}(S_R))}),$$

which follows from the third equation of equations (5.2). The main task is to prove that

$$\mathcal{E}(\mathbf{v},\rho) + \|d\|_{L_{\infty}((0,2\pi),W_q^{1-1/q}(S_R))} \le C\epsilon^2$$
(5.4)

with some constant C > 0 independent of ϵ . In the proof, it is assumed that $N < q < \infty$, 2and <math>2/p + N/q <. In particular, the first assumption is to use Sobolev's immbedding theorem. In fact, the following inequalities are used:

$$\begin{split} \|f\|_{L_{\infty}(B_{R})} &\leq C \|f\|_{H^{1}_{q}(B_{R})}, \\ \|fg\|_{H^{1}_{q}(B_{R})} &\leq C \|f\|_{H^{1}_{q}(B_{R})} \|g\|_{H^{1}_{q}(B_{R})}, \\ \|fg\|_{H^{2}_{q}(B_{R})} &\leq C (\|f\|_{H^{2}_{q}(B_{R})} \|g\|_{H^{1}_{q}(B_{R})} + \|f\|_{H^{1}_{q}(B_{R})} \|g\|_{H^{2}_{q}(B_{R})}) \\ \|fg\|_{W^{1-1/q}_{q}(S_{R})} &\leq C \|f\|_{W^{1-1/q}_{q}(S_{R})} \|g\|_{W^{1-1/q}_{q}(S_{R})}, \\ \|fg\|_{W^{2-1/q}_{q}(S_{R})} &\leq C (\|f\|_{W^{2-1/q}_{q}(S_{R})} \|g\|_{W^{1-1/q}_{q}(S_{R})} + \|f\|_{W^{1-1/q}_{q}(S_{R})} \|g\|_{W^{2-1/q}_{q}(S_{R})}), \end{split}$$

which follows from the Sobolev inequality and the fact that $||u|_{S_R}||_{W_q^{1-1/q}(S_R)} \leq C||u||_{H_q^1(B_R)}$ for $u \in H_q^1(B_R)$. To estimate the lower order derivatives of **v** and ρ , the following inequalities are used:

$$\begin{aligned} \|\mathbf{v}\|_{L_{\infty}((0,2\pi),B_{q,p}^{2(1-1/p)}(B_{R}))} &\leq C(\|\mathbf{v}\|_{L_{p}((0,2\pi),H_{q}^{2}(B_{R}))} + \|\partial_{t}\mathbf{v}\|_{L_{p}((0,2\pi),L_{q}(B_{R}))}), \\ \|\rho\|_{L_{\infty}((0,2\pi),W_{q,p}^{3-1/p-1/q}(B_{R}))} &\leq C(\|\rho\|_{L_{p}((0,2\pi),W_{q}^{3-1/q}(B_{R}))} + \|\partial_{t}\rho\|_{L_{p}((0,2\pi),W_{q}^{2-1/q}(B_{R}))}). \end{aligned}$$

which follows from real interpolation theorem. In particular, to obtain $\nabla \mathbf{v} \in L_{\infty}$, it is used the assumption: 2/p + N/q < 1.

To estimate $\|(g(\mathbf{v}, \rho), \mathbf{h}(\mathbf{v}, \rho))\|_{H^{1/2}_{p}((0, 2\pi), L_q(B_R))} + \|(g(\mathbf{v}, \rho), \mathbf{h}(\mathbf{v}, \rho))\|_{L_p((0, 2\pi), H^1_q(B_R))}$, the following two lemmas are used:

$$a \in H^{1}_{\infty, \text{per}}((0, 2\pi), L_{q}(B_{R})) \cap L_{\infty, \text{per}}((0, 2\pi), H^{1}_{q}(B_{R})),$$

$$b \in H^{1/2}_{p, \text{per}}((0, 2\pi), L_{q}(B_{R})) \cap L_{p, \text{per}}((0, 2\pi), H^{1}_{q}(B_{R})).$$

Then,

$$\begin{split} \|ab\|_{H_p^{1/2}((0,2\pi),L_q(B_R))} + \|ab\|_{L_p((0,2\pi),H_q^1(B_R))} \\ &\leq C(\|a\|_{H_{\infty}^1((0,2\pi),L_q(B_R))} + \|a\|_{L_{\infty}((0,2\pi),H_q^1(B_R))})^{1/2} \|a\|_{L_{\infty}^1((0,2\pi),H_q^1(B_R))}^{1/2} \\ &\times (\|b\|_{H_p^{1/2}((0,2\pi),L_q(B_R))} + \|b\|_{L_p((0,2\pi),H_q^1(B_R))}). \end{split}$$

Remark 14. This lemma holds for more general domains.

Proof. The lemma follows from the following complex interpolation relation of order 1/2:

$$H_{p,\text{per}}^{1/2}((0,2\pi), L_q(B_R)) \cap L_{p,\text{per}}((0,2\pi), H_q^{1/2}(B_R)) = (L_{p,\text{per}}((0,2\pi), L_q(B_R)), H_{p,\text{per}}^1((0,2\pi), L_q(B_R)) \cap L_{p,\text{per}}((0,2\pi), H_q^1(B_R)))_{1/2}.$$

Lemma 15. Let $1 < p, q < \infty$. Then, there exists a constant C such that for any u with

 $u \in H^1_{p, \text{per}}((0, 2\pi), L_q(B_R)) \cap L_{p, \text{per}}((0, 2\pi), H^2_q(B_R)),$

we have

$$\|u\|_{H_p^{1/2}((0,2\pi),H_q^1(B_R))} \le C(\|u\|_{H_p^1((0,2\pi),L_q(B_R))} + \|u\|_{L_p((0,2\pi),H_q^2(B_R))})$$

for some constant C > 0.

Remark 16. This lemma holds for more general domains.

Proof. For a proof, refer to [6].

Proof of Theorem 7. Combining (5.3) and (5.4) yields that

$$E(\mathbf{u},\eta) \le C \|\mathbf{G}\|_{L_p((0,\infty),L_q(B_R))} + C\epsilon^2$$

for some constant C > 0 independent of ϵ . Thus, choosing $\epsilon > 0$ so small that $C\epsilon < 1/2$ yields that

$$E(\mathbf{u},\eta) \le C \|\mathbf{G}\|_{L_p((0,\infty),L_q(B_R))} + \epsilon/2.$$

Choosing **f** so small that $C \|\mathbf{G}\|_{L_p((0,2\pi),L_q(B_R))} \leq \epsilon/2$ yields that $E(\mathbf{u},\eta) \leq \epsilon$, and so $(\mathbf{u},\eta) \in \mathcal{I}_{\epsilon}$. Let Ψ be a map acting on $(\mathbf{u},\rho) \in \mathcal{I}_{\epsilon}$ defined by $\Psi(\mathbf{u},\rho) = (\mathbf{v},\eta)$, and then Ψ is a map from \mathcal{I}_{ϵ} into itself. It also can be proved that

$$E(\Psi(\mathbf{v}_1,\rho_1) - \Psi(\mathbf{v}_2,\rho_2)) \le C\epsilon E((\mathbf{v}_1,\rho_1) - (\mathbf{v}_2,\rho_2))$$

for any $(\mathbf{v}_i, \rho_i) \in \mathcal{I}_{\epsilon}$ (i = 1, 2). Choosing $\epsilon > 0$ smaller if necessary, we may assume that $C\epsilon < 1$, and so Ψ is a contraction map from \mathcal{I}_{ϵ} into itself. Thus, there exists a unique fixed point $(\mathbf{v}, \rho) \in \mathcal{I}_{\epsilon}$, which is a required unique solution of equations (4.22).

Finally, we define $\xi(t)$ by setting

$$\xi(t) = \int_0^t \xi'(s) \, ds + c = \frac{1}{|B_R|} \int_0^t \int_{B_R} \mathbf{v}(x,s) (1 + J_0(x,s)) \, dx \, ds + c$$

where c is a constant for which

$$\int_0^{2\pi} \xi(s) \, ds = 0, \text{ that is, } c = -\frac{1}{2\pi |B_R|} \int_0^{2\pi} \left(\int_0^t \int_{B_R} (\mathbf{v}(x,s)(1+J_0(x,s)) \, dx ds) \, dt \right) dt.$$

We define Ω_t and Γ_t by the formulas in (4.13). And then, setting $\mathbf{u}(x,t) = \mathbf{v}(\Phi^{-1}(x,t),t)$ and $\mathfrak{p}(x,t) = \mathfrak{q}(\Phi^{-1}(x,t),t)$, we see that Ω_t , Γ_t , $\mathbf{u}(x,t)$ and $\mathfrak{q}(x,t)$ satisfy the equations:

$$\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} - \operatorname{Div}\left(\mu \mathbf{D}(\mathbf{u}) - \mathfrak{p}\mathbf{I}\right) + \sum_{k=1}^M \int_0^{2\pi} (\mathbf{u}(\cdot, t), \mathbf{p}_k)_{\Omega_t} dt \, \mathbf{p}_k = \mathbf{f}, \quad \operatorname{div} \mathbf{u} = 0 \qquad \text{in } \Omega_t,$$
$$(\mu(\mathbf{D}(\mathbf{u}) - \mathfrak{p}\mathbf{I})\mathbf{n}_t = \sigma H(\Gamma_t)\mathbf{n}_t \qquad \text{on } \Gamma_t,$$

In particular, div $\mathbf{u} = 0$ implies that $|\Omega_t|$ is a constant, and so we set $|\Omega| = |B_R|$. And also, we see that

$$\xi(t) = \int_{\Omega_t} x \, dx,$$

and so by (4.18), (4.20) and (4.21),

$$\partial_t \rho - \mathcal{A} \mathbf{v} \cdot \mathbf{n} = d(\mathbf{v}, \rho).$$

Thus, the kinematic condition: $V_{\Gamma_t} = \mathbf{u} \cdot \mathbf{n}_t$ holds on Γ_t . Finally, the assumption on **f** implies (4.10), and therefore, **u** and **p** satisfy equations (4.1). This completes the proof of Theorem 7. For the detailed proof, see Eiter, Kyed and Shibata [1].

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