

Non-canonical *Bei* Passives: A Cognitive Linguistic Analysis

Yu Fan
Kyoto University
arther830622@gmail.com

Abstract: The aim of the present study is to examine the function of the new non-canonical *bei-XX* passive construction in Mandarin, which seems not conforming to the general function of passives, “agent-defocusing”. The novel construction *bei-XX* can be categorized into the “being forced” type and the “being (falsely) reported/ said” type based on their constructional meanings, while they share similar syntactic features: taking non-prototypical predicates like intransitives, the demoted agent being unrecoverable, and having a non-Patient subject. In order to clarify the event construal of *bei-XX*, cognitive linguistic conceptions like the action chain model are employed. As a result, two prominent characteristics of this construction can be summarized as follows: (i) the most salient participant, which is realized as subject, lacks some degree of “subjecthood” and therefore needs external energy, while (ii) the source of external energy may be out of the scope of predication. It is pointed out that the difference in constructional meanings of the two types of *bei-XX* resides in whether the event they denote corresponds to reality or not. Lastly, the event construal of the two types of *bei-XX*, as well as the constructional schema for *bei-XX*, is represented efficiently by the action chain model.

Keywords: *bei*; *bei-XX* construction; non-canonical passives; cognitive grammar; action chain model; subjecthood

1. Introduction

The aim of this paper is to describe and analyze the new uses of Mandarin *bei* passives from the perspective of cognitive linguistics. The voice alternation has been studied by functional and cognitive literature, and it has been acknowledged that the general function of passive is “agent defocusing” in a transitive clause (Shibatani 1985). This view is also supported by cognitive grammar which assumes that grammatical relations of a clause correlate with relative salience among the elements of an event described. On the other hand, the function of agent-defocusing does not apply to non-canonical passives such as Japanese indirect passives, which are based on intransitive verbs and often lack agentive participants; they also display a semantic characteristic that the referents of the subjects of the indirect passives are interpreted as negatively affected by the occurrence of event described.

In recent Mandarin, one also finds non-canonical novel usages of *bei* passives involving verbs of

low transitivity, such as intransitive verbs and so on, which can be semantically classified into “being forced” type and “being (falsely) reported/ said” type. This study will motivate the functions of these two types of *bei* passives by clarifying the event construal they denote employing the action chain model.

The paper is organized as follows. Following section 1, section 2 presents significant concepts of *bei* passives in Mandarin, including the properties of its canonical and non-canonical variants, and the passive marker 被 *bei*. Description of the aim of this study, the new *bei-XX* construction, and review regarding its previous studies are presented in section 3. Subsequently, section 4 introduces Cognitive linguistic approaches and gives an analysis of the new *bei-XX* construction. Finally, concluding remarks and suggestions for further studies will be proposed in section 5.

2. Preliminaries: The function of passives and *Bei* Passives in Mandarin

2.1. Voice: active vs. passive

Voice is a grammatical category concerning the correspondences between constituents’ thematic roles with their grammatical functions in a sentence (O’Grady 2001). Typically, when describing an event with a doer (the agent) and a receiver (the patient) of an action, the participant who performs the action is prone to be realized syntactically as subject, and the affected participant as direct object.

(1)	John	killed	Bill.	[active]
	agent		patient	
	SUBJECT		DIRECT OBJECT	

This results in the verbalized sentence in active voice, the unmarked or “basic” voice category of all languages (Givón 1984, O’Grady 2001). However, we can reorganize the mappings of the sentence if we choose to describe the event from a different perspective. For example, in English, if we want to shed light on the affected participant, by downgrading the agent to oblique (prepositional by-phrase, as shown in (2)) and raising the theme to the subject position, we can obtain a corresponding passive sentence. (Of course, an auxiliary and a verb in past participle form are needed for English passive.)

(2)	Bill	was killed	by John.	[passive]
	patient		by agent	
	SUBJECT		OBLIQUE	

Through the rearrangement of grammatical relations, voice provides a strategy for focusing on different participants in an event (Berk 1999).

sentence (Huang & Shi 2016:467). In the literature, the former construction like (4a) is called **long passive**, while the latter construction like (4b) is called **short passive** (Li & Thompson 1981, Huang & Shi 2016).

From the fact that long passive and short passive are basically **interchangeable**, we can say that the agent phrase in Chinese passive is basically optional (Chao 1968, Li & Thompson 1981, Huang & Shi 2016). Similar as English passives, when the agent is clear and recoverable from the previous context, or on the contrary when the identity of agent is totally unknown or redundant, the corresponding nominal phrase can be omitted. (Huang & Shi 2016) The difference is that in Mandarin only the nominal phrase is dropped off, while the whole prepositional by-phrase disappears when ellipsis happens in English passives (Li & Thompson 1981).

As for the predicate in Chinese passives, for most of the time, it is a two-argument transitive verb of activity, accomplishment or achievement, and sometimes a three-argument ditransitive verb (Chao 1968, Li & Thompson 1981, Huang & Shi 2016, Liu etc. 1996). Since passivization is “an operation of ‘downgrading’ the element that would otherwise have been the subject (O’Grady 2001)”, it is more likely to suppose the predicate will be representing a transitive relation involving at least two participants, canonically agent and patient. By “demoting” the doer of the action, the affected participant or other elements can be brought up to the focus of the ongoing discourse. By doing so, the predicate of the passive sentence becomes intransitive; therefore, passivization can also be deemed as a detransitivizing (valency-decreasing) operation.

2.3. Properties of *bei* passive in Mandarin

2.3.1. Adversity

Apart from typical transitive verbs, verbs with lower transitivity can also be predicates of passive in Mandarin. According to Li & Thompson (1981), experiencer verbs (verbs concerning one’s cognition and perception and often requiring an experiencer as subject for their active sentence) can occur in *bei* passive, but the passive sentences usually have to depict an **adversative** outcome.

- (5) 張三 被 人 看見 了。
 zhangsan bei ren kanjian le
 Zhang San BEI people see CRS
 ‘Zhang San was seen by others.’

(Li & Thompson 1981:496)

wo rang ta gei pian le ‘I was deceived by him, I let him deceive me.’ This research focuses on the most used (according to Xiao (2016)) passive marker 被 *bei* only.

As for the semantic and pragmatic characteristics, “misfortune, adversity” seems to be the prototypical constructional meaning for passive in Mandarin (Chao 1968, Li & Thompson 1981). Since Mandarin is a topic-prominent language, when the patient is focused as the topic of the ongoing discourse, it is preferred to raise the patient argument to the topic position while other elements remain unchanged and the verb remains in active voice. Unless the patient is adversely affected, passive construction is rather restricted.

- (6) ??這本 書 已經 被 出版 了。
 zhe-ben shu yijing bei chuban le
 this-CL book already BEI publish ASP/CRS
 ‘This book is already published.’
- (6’) 這本 書 已經 出版 了。
 zhe-ben shu yijing chuban le
 this-CL book already publish ASP/CRS
 ‘This book is already published.’

(Li & Thompson 1981:498)

2.3.2. Disposal

Nonetheless, it is not the case that all verbs representing an unfortunate or an adverse situation can form passive in Mandarin. Compare (7) and (7’) below.

- (7) ?我被 他 氣 了。
 wo bei ta qi le
 I BEI he anger ASP
 ‘Lisi is irritated by him’
- (7’) 我 被 他 氣 得 頭 都 昏 了。
 wo bei ta qi de tou dou hun le
 I BEI 3sg anger CSC head all dizzy CRS
 ‘I was angered by him to such an extent that my head got dizzy.’

(Li & Thompson 1981:502)

While 氣 *qi* ‘to anger, to irritate’ is a transitive verb describing the patient experiences an undesirable situation (‘to be irritated’), (7) is still considered unacceptable owing to its lack of the sense of “**disposal**”. According to Li & Thompson (1981), *bei* passive also bears the constructional meaning of “disposal” similar to 把 *ba* (direct object-introducing preposition) construction, in which the patient is “dealt with,

tackled with” by the agent using some kind of means. In comparison to (7), 氣 *qi* in (7’) is followed by a complex stative construction clause (頭都昏了 *tou dou hun le* ‘(my) head got dizzy’) describing the manner or degree of the resultative action or state caused by the predicate. Since the meaning that the patient is “dealt with” is implied, it legitimates the use of *bei* passive construction in (7’).

2.4. Other variants of *bei* passive

2.4.1. Passives formed by intransitive verb

Huang & Shi (2016:470) mentions a rare case that an intransitive verb become the head of the predicate in *bei* passive. The verb 走 *zou* ‘go, walk’ is an intransitive verb and cannot take a direct object or appear in the *ba* construction. However, since the verb forms a resultative compound to indicate a change of status, like 走尽 *zou jin* ‘walk-exhaust’ in (8), it can appear in a *bei* passive. It is consistent to the constructional meaning of “disposal” mentioned above, since the resultative change of state implies the patient has been dealt with.

- (8) 路 好像 被 人 走 尽 了。
 lu haoxiang bei ren zou jin le
 way seem BEI people go exhaust ASP/CRS
 ‘It seemed that the pathways had all been fully explored by others.’

(Huang & Shi 2016:470)

2.4.2. Indirect passive (Adversative passive)

There is another possibility that a *bei* passive can take an intransitive verb. See the example below:

- (9) 被 他 這麼 一 坐, 我 什麼 都 看不見 了。
 bei ta zheme yi zuo, wo shenme duo kan-bu-jien le
 BEI he this one sit, I what all cannot-see ASP
 ‘With he sitting like that, I cannot see anything.’

(Yang 1989:333)

(9) is an example similar to the indirect passive (or adversative passive) in Japanese³. Indirect passive in Japanese is a well-known passive construction in which an experiencer becomes the subject, while there is no corresponding active sentence with the experiencer being realized as the direct object. (O’Grady

³ However, indirect passive is seemingly more restricted in Mandarin than in Japanese. (Yang 1989:332)

2001:122)

What indirect passive depicts is that when an event happens, a participant outside of the event indirectly receives negative influence and ends up in an adverse circumstance. For example, owing to the intransitive event 他這麼一坐 *ta zheme yi zuo* ‘he sit like that’, the subject of (9), 我 *wo* ‘I’, is negatively affected: being blocked and unable to see anything well. From the fact that the affected participant is outside of the intransitive event and is added up to form an indirect passive, there will be no corresponding active sentence for (9) with the exact same number of arguments. Takami (1995) mentions that indirect passives can only find a corresponding active sentence without the subject of the indirect passive sentence, as shown in (10) and (10’).

(10) 僕は こどもに 泣かれた。
 boku-wa kodomo-ni nak-are-ta
 I-TOP kid-DAT cry-PASS-PAST
 ‘I was adversely affected by the kid’s crying.’

(10’) こどもが 泣いた。
 kodomo-ga nai-ta
 kid-NOM cry-PAST
 ‘The kid cried.’

2.5. The passive marker 被 *bei*

Before we move on to the new usage of *bei* passives in Mandarin, the quality and the role of 被 *bei* will be briefly summarized in this section. According to Wang (1980), in Ancient Mandarin Chinese, 被 *bei* is functioned as a verb with the meaning of “to cover, to receive, to suffer”, and is often used in adversative situation. But in modern Mandarin Chinese, views on the grammatical category of 被 *bei* in canonical passives are divided by scholars. Some insist that 被 *bei* remain as a verb as in Ancient Mandarin (Hong 1956, Hashimoto 1987), while most of the researchers seem to deem it as a preposition (Chao 1968, Li & Thompson 1981, Zhu 1982), or a preposition in long passive (for it is taking the agent NP) and a particle which functions as a passive marker in short passive (Lü 1980, Shi 1997, Huang & Shi 2016). There are also some positions regarding 被 *bei* as fully grammatical marker without inherent meaning (McEnery et al. 2006, Li 2007). However in this study, we will not go deeply into the discussion of the grammatical category of 被 *bei* in canonical passives.

3. The new “*bei-XX*” construction

In recent years, a new usage of *bei* passive seems to allow predicates with low transitivity including one-

argument intransitive verbs, adjectival verbs (adjectives), and even nouns, and it will be referred as *bei*-XX construction hereafter. See some of the examples below:

- (11) 李明哲 3月19日 進入 中國 後 「被失蹤」⁴。
 Limingzhe sanyue-shijiuri jinru zhongguo ho bei-shizong
 Li Mingzhe March-19th enter China after BEI-disappear

‘After Li Mingzhe entered China on March 19th, he was “*bei*-disappear”.’

(extracted from <https://newtalk.tw/news/view/2017-03-24/83438>, last access on 2019/12/25)

- (12) 城市 评选, 你 “被幸福” 了 吗?
 chengshi pingxuan ni bei-xingfu le ma
 city evaluate you BEI-well-being ASP Q

‘(Regarding) the evaluation and selection of (your) living city, are you “*bei*-well-being”?’

(extracted from <http://news.cctv.com/special/meir/mulu/1228/index.shtml>, last access on 2019/12/26)

- (13) 男子 “被精神病” 诉讼 十年 终 胜诉
 nanzi bei-jingshenbing susong shinien zhong shengsu
 Man BEI-mental disorder lawsuit ten year finally win the court case

‘The man was “*bei*-mental disorder”. After ten years of lawsuits, (he) finally won the court case.’

(extracted from http://www.xinhuanet.com/2018-11/09/c_1123686231.htm, last access on 2019/12/28)

失蹤 *shizong* ‘to be missing, to disappear’ in (11) is an intransitive verb with only one argument (the actor), 幸福 *xingfu* ‘well-being’ in (12) is an adjective or an adjectival verb, and 精神病 *jingshenbing* ‘mental disorder’ in (13) is a noun. All of these are usually not expected to be the predicate of a canonical *bei* passive sentence. This kind of novel construction which allows atypical predicates appears and spreads like wildfire on the Internet in the recent ten years, and its usage can even be seen on news and other media ((11), (12), (13) are all extracted from online news).

As previous literature reports (Li & Li 2015, Xiao 2016), this usage was originally triggered by the death of an inmate named Li Guofu in China, 2008. Without any possible motivation to commit suicide, Li is found dead in the prison and announced as “committed suicide” by the administration. Seeing the official have no intention to disclose the actual cause to the death and therefore feeling upset, Chinese Internet users coin the expression 被自杀 *bei-zisha* ‘*bei*-suicide, to be (falsely) said to commit suicide’

⁴ The quotation marks 「」 or “” are not added by the author but used in the original text. It shows that the language user recognizes that it is a non-canonical expression, and it is intended to specify its markedness. English translations of (11) to (22) are made by the author and are not in the original text, since Lu (2013) provides only Japanese translation for the Chinese sentences.

to express their unbelief and dissatisfaction. After its first appearance, 被自杀 *bei-zisha* is analogized and the *bei-XX* (XX can be filled with any atypical predicates for *bei* passive mentioned above) form is copied and proliferated, which makes 被 *bei* become a buzzword in the recent decade (Li & Li 2015:235, Xiao 2016:80).

In this section, literature concerning this newly emerged and apparently non-canonical construction will be briefly summarized. The semantic/ functional characteristics and syntactic/ formal features of *bei-XX* construction will be demonstrated in order to show its differences compared to canonical *bei* passive. Remaining problems will also be pointed out and discussed.

3.1. Semantic/ Functional features

Lu (2013) collects instances of *bei-XX* construction from online news and websites in China, and differentiates two categories based on their distinctive meanings: “being forced” type (“被強迫”類) and “being (falsely) reported/said” type (“被說成”類).

3.1.1. “Being forced” type

First, let us look at the “being forced” type. As its name indicates, the “being forced” type characterizes with the mere participant, which is located in the subject position, being forced or coerced to do the action the predicate refers or to achieve the resultive state derived from other’s involvement. (14)-(17) belong to this category.

(14) 钢铁大亨发妻 “被离婚”。

‘The wife of the steel entrepreneur is “*bei-divorce*” (‘forced to get divorced’).’

(Lu 2013:24)

(15) 我在不知情的状态下，就莫名其妙地 “被捐款”。

‘Not knowing the whole situation, I was mysteriously “*bei-donate*” (‘forced to donate’).’

(Lu 2013:24)

(16) 近日某网站做起了 “被全勤” 现象调查，数据显示，超过三分之一的网友没有享受过带薪年假，这种逼你拿全勤奖的公司不在少数。

‘Lately, some website made an investigation on the “*bei-full-time-attendance*” (‘being forced to be full-time attendant at work’) phenomenon. The data shows that over one-third of people have not enjoyed paid annual leave before. This kind of companies which force you to get the full-time attendance prize are quite a lot.’

(Lu 2013:25)

(17) 两个月募捐 38 亿 神木县 “被慈善”。

‘3.8 billion donated in two months, Shenmu county was “*bei-charity*” (‘forced to do charity’).’

(Lu 2013:25)

Take (14) for example, by using the expression “被离婚” *bei-lihun* ‘*bei-divorce*’, it means that not knowing the whole situation and without her agreement, the wife of the steel entrepreneur (钢铁大亨发妻) is forced to end her marriage to her husband unwillingly.

Lu (2013) seems to regard this usage as interchangeable with passivized causative sentences, assuming that 被 *bei-XX* is alternative of 被迫 *bei po XX* ‘be forced to *XX*’ without verbalizing 迫 *po* ‘to force’, so that “被离婚” *bei-lihun* ‘*bei-divorce*’ can be deemed equivalent to 被迫离婚 *bei po lihun* ‘be forced to divorce’. Yet comparing (14) to (18) and (18’), *bei-XX* and passivized causatives (被迫 *bei po XX*) should be considered different constructions owing to their subtle semantic dissimilarity:

(18) ?得知丈夫外遇後，鋼鐵大亨髮妻 “被離婚”。

‘After knowing her husband’s affairs, the wife of the steel entrepreneur is “*bei-divorce*”.’

(18’) 得知丈夫外遇後，鋼鐵大亨髮妻被迫離婚。

‘After knowing her husband’s affairs, the wife of the steel entrepreneur is forced to divorce.’

If it is under the circumstance that the wife of the steel entrepreneur is aware of any knowledge on the inside that leads to their divorce, it is seemingly more difficult to use the *bei-XX* construction. Therefore, while the nuance of “not knowing the whole situation” is not necessary for passivized causatives, it seems to be a prominent semantic feature for *bei-XX*.

3.1.2. “Being (falsely) reported/said” type

The other type of the *bei-XX* construction is named “被說成”類 (Lu 2013:25), the “being (falsely) reported/ said” type. This type of *bei-XXs* share a distinctive meaning that someone is reported or said that he/she has done an action or been in a certain state no matter if it really happened or not, and usually what happens in reality is the opposite.

(19) 职工平均工资每统计一次，我的工资就 “被增长” 一次。

‘Every time when the average payment of employees is calculated, my pay is “*bei-rise*” (‘said to be raised’).’

(Lu 2013:25)

(20) 神奇的就业率让学校为我代签就业协议书, 不明真相中我“被就业”。

‘Mysterious employment rate made the school sign the employment agreement for me. While not knowing the truth, I was “*bei*-get a job” (‘said to have got a job’).’

(Lu 2013:25)

(21) 在有关部门的统一部署下, 当地民众一夜之间“被小康”。

‘Under the deployment of related departments, local people were “*bei*-comparatively-good” (‘announced as comparatively good’) in one night.’

(Lu 2013:26)

(22) 笔者想知道的是, 这其中有多少是“被网瘾”的?

‘The writer wants to know that, (among those teenagers) how many of them were “*bei*-Internet-addict” (‘considered to be suffering Internet addiction’).’

(Lu 2013:26)

Although the regular pay of the employee in (19) is not raised, for some reasons (to meet the standard of welfare regulations for example) the statistics are manipulated and the average payment appears to be raised. The graduate in (20) gets a job without knowing it himself and finds out that his school signs the employment agreement for him. Local people in (21) are reported as comparative wealthy while some of them are in fact in poverty; ordinary teenagers are said to be Internet addicted but they are actually not in (22). From the above instances, users of the *bei*-XX construction intentionally choose the predicate that does not reflect reality, in order to indirectly express that the mere participant of the event receives negative influence (damage and harm) when the wrong information is being reported and spread. (11)-(13) above also belong to this category.

Reporting an untrue situation of someone and making him stand as a victim in an adversative situation as the constructional meaning, the second usage of 被 *bei*-XX is claimed to be interchangeable to 被說成 XX *bei shuo cheng* XX ‘to be said XX’ by Lu (2013), and XX is what is being falsely rumored about. Therefore, 被精神病 *bei-jingshenbing* ‘*bei*-mental-disorder’ in (13) for instance is 被說成精神病 *bei shuo cheng jingshenbing* ‘said to become having mental disorder’ without realizing 說成 *shuo cheng* ‘said to be/ become’. Yet it is not explained why 說成 *shuo cheng* is truncated, or why we can recover 說成 *shuo cheng* instead of other predicates. Even if the expression can be interpreted as “said to be XX”, there can be seen trivial differences in meaning when the same construction is placed in different sentences.⁵

⁵ Lu (2013) admits that there are expressions that cannot be clearly categorized in the two types of meaning. For instance, 被自杀 *bei-zisha* ‘to be “suicided”’, which is categorized as the “being (falsely)

3.1.3. Relationship of “being forced” and “being (falsely) reported/ said” types

Furthermore, note that the same *bei-XX* may be ambiguous between the first and the second type proposed by Lu (2013) and can only be distinguished by context. For example, while “被离婚” *bei-lihun* ‘*bei-divorce*’ in (11) is categorized of the “being forced” type, “被离婚” in (23) is better interpreted as of the “being (falsely) reported/ said” type (since Beckham does not get divorced in reality):

(23) 贝克汉姆「被离婚」英媒体见怪不怪将消息屏蔽。

‘Beckham is “*bei-divorce*”. British media block the (fake) news without shock or surprise.’

(Chen 2017:65)

3.1.4. Implicit causer

In addition to the differentiation of the “being forced” type and the “being (falsely) reported/ said” type proposed by Lu (2013), a prominent property of the *bei-XX* construction is the existence of an implicit causer. Huang & Shi (2016:482) concludes that the overall meaning of this novel construction is “the reporting of false information about the subject NP, including the padding out of official figures and other types of cover-ups, such as the cause of unexplained deaths and disappearances”. The source of the untrue rumor is usually official, the media or other authorities, and therefore the cause of the adversative situation is intentionally wiped out in the expression and cannot be recovered. (Syntactic features of *bei-XX* will be further discussed in 3.2.) In fact, Xiao (2016:90) points out that *bei-XX* as an innovative is originally to “avoid notice by online censors” in China, since *bei-XX* “conveys a subversive message that reveals social absurdity and speaks for the disadvantaged and deprived.”

3.1.5. Expressive function

Last but not the least, Chen (2017) mentions that *bei-XX* also demonstrates extension in function compared

reported/ said” type, invites different interpretations in the following two sentences:

(i) 白岩松 “被自杀”多次。

‘Bai Yensong is “suicided” several times.’

(ii) ...李国福在监狱医院 “被自杀”。

‘Li Guofu was “suicided” in the prison hospital.’

(Lu 2013:27)

白岩松 Bai Yensong in (i) is someone who does not even die but just becomes the victim of an untrue rumor that he has killed himself, which is similar to (23). While 李国福 Li Guofu in (ii) did die in the prison hospital, the reason of his death is unclear yet it was announced as suicide, which is not believed by the user of the expression.

to canonical passive. While the meaning of canonical passive is “someone or a thing is caused to experience some state of affairs” no matter if it is positive, neutral or negative, the extended *bei*-XX construction is restricted to negative situations and adds the nuances that someone is rumored on news or is forced without his/her willingness. In other words, comparing to prototypical passives which are representational in function, *bei*-XX is more of expressive function, to express feelings like anxiety, sarcasm, mockery, uncertainty, or unbelief.

3.2. Syntactic/ Formal features

The syntactic structure of the new *bei*-XX construction can be formalized as follows:

$$(24) \text{ New } \textit{bei}\text{-XX}: \text{NP} \quad \text{被 } \textit{bei} \quad \emptyset \quad \text{XX}(\text{Vi, VN, AP, NP})$$

$$\text{Short passive: NP}_{\text{Patient}} \quad \text{被 } \textit{bei} \quad (\text{NP}_{\text{Agent}}) \quad \text{Vt}$$

(Lu 2013, Xiao 2016)

Although the structure of *bei*-XX construction looks similar to prototypical short passive, three syntactic features differ the new *bei*-XX from canonical passives. First, no NP can be recovered between the passive marker 被 *bei* and the following predicate XX in this new construction, and there is also no corresponding active sentence for *bei*-XX⁶, as illustrated in (25) and (25’). In contrast, as for short passive, an agent NP can still be added back to make a long passive, and corresponding active sentence can also be obtained by the rearrangement of the grammatical relations of the arguments, as shown in (3) and (4). Indirect passive discussed in 2.4.2 also does not have a corresponding active sentence, yet an NP is realized after 被 *bei* in

⁶ Since the cause of the subject NP’s adversative situation is intentionally wiped out in *bei*-XX, it is not expected to be realized in the sentence as mentioned in 3.1.4. However, there are a few instances that a causer NP seems to appear after the passive marker 被 *bei*.

(iii) 宫鲁鸣又一次被媒体“解职”了。

‘Gong Luming is “dismissed” by the media again.’

(Chen 2017:64)

However, the sentence above is not the target *bei*-XX in this study, since it has the syntactic structure of a long passive. Not only can its corresponding active sentence (媒体又一次“解职”了宫鲁鸣) be made (comparing to (25’)), the subject 宫鲁鸣 Gong Luming bears the thematic role of patient related to the predicate 解职 ‘dismiss’, which is a syntactic feature of canonical passive. What is confusing is the sentence above shares a similar meaning with the new *bei*-XX: being falsely reported by the media. Chen (2017) explains that it is achieved through pragmatic implication: the media is not the boss of Gong Luming and cannot disemploy him, so based on the optimal relevance, the media is just spreading rumors. The usage of 解职 ‘dismiss’ is also accompanied by quotation marks to specify it is a marked expression.

indirect passive.

(25) ...没有自杀动机的李国福在监狱医院“被自杀”。

‘Li Guofu, who has no motivation to commit suicide, was “*bei*-suicide” in the prison hospital.’

(Lu 2013:22)

(25’)

passive sentence:

*没有自杀动机的李国福在监狱医院“被{书记张治安/警察}自杀”。

‘Li Guofu, who has no motivation to commit suicide, was “*bei*-suicide” {by secretary Chang Zhian/ the police} in the prison hospital.’

(Lu 2013:24)

active sentence:

?{书记张治安/警察}在监狱医院“自杀”了没有自杀动机的李国福。

‘{Secretary Chang Zhian/ the police} “suicided” Li Guofu, who has no motivation to commit suicide, in the prison hospital.’

Second, possible predicates for the new *bei*-XX construction include intransitive verbs (Vi), VN construction (which structurally cannot take a direct object), adjectival verb (adjective) phrases (AP), and noun phrases (NP), which are mostly those do not appear in canonical passives because of their low transitivity. They are mostly “actions taken by people on their own initiative or at their own will [...] or situations arrived at spontaneously” (Xiao 2016:90), and have only one argument. In comparison, predicates of canonical passives are often of higher transitivity, presupposing they have at least two arguments (agent and patient). Since transitivity is manifested when a patient receives force or influence from the agent and experiences changes, and passivization aims at demoting the agent in order to switch to other participants’ (patient for most of the time) perspective as mentioned in 2.1, so it is plausible that passivization for predicate with only one argument in nature will be rare and non-canonical. Compared to indirect passives (2.4.) which add an additional affected participant, the *bei*-XX implies the existence of a causer (however implicit since it cannot be recovered) leading to an adversative situation. Therefore, Xiao (2016:90) concludes that “with *bei* preceding them, the strings become ungrammatical syntactically and absurd semantically,” as discussed in 3.1.4.

Last but the most distinctive syntactic feature of *bei*-XX is that the subject of *bei*-XX construction is an affected participant of the adversative situation but not the patient of the predicate XX. On the contrary, the subject can be the doer of the action which the predicate XX referred to. Take 被捐款 *bei*-juankuan ‘*bei*-donate’ in (15) for example, Lu (2013) categorizes it as the “being forced” type, which is

interchangeable to passive of causative construction 被迫捐款 *bei po juankuan* ‘be forced to donate’. The subject 我 *wo* ‘I’ is the doer of the action 捐款 *juankuan* ‘to donate’, and the patient of the unverbilized co-verb 迫 *po* ‘to force’ at the same time. Therefore, 我 *wo* ‘I’ in (15) is the one who “donates” money, even though the “donation” is done without the acknowledgement and against the willingness of the subject NP. Though it is questionable whether the *bei-XX* construction and passive of causative construction can be regarded as equivalent (as discussed in 3.1.1), it is clear that subject in canonical passives is definitely not the doer of the action but the receiver, while it is the participant who performs the action that becomes the subject of *bei-XX* construction.⁷

3.3. 被 *bei* in the new “*bei-XX*” construction

Before we turn to the next section for analysis of *bei-XX* in the thesis, Xiao (2016)’s analysis on the grammatical category of the passive marker 被 *bei* will be briefly discussed. Xiao (2016)’s approach is based on the theory of grammaticalization proposed by Hopper & Traugott (2003). Grammaticalization is “the process whereby lexical items and constructions come in certain linguistic contexts to serve grammatical functions, and once grammaticalized, continue to develop new grammatical functions.” (Hopper & Traugott 2003) A unidirectionality can be seen in grammaticalization, from a content item, to a grammatical word, clitic, and further inflectional affix. The result of Xiao (2016)’s analysis follows this unidirectionality: It is claimed that 被 *bei* has become a pseudo-/ semi-/ quasi- prefix, which has fixed position but no longer carry NP (a feature of a preposition), experiences semantic bleaching (it lost the meaning of “passive experience caused by a concrete and recoverable source”) yet not completely loses the original semantic meanings (representing an adverse situation), and even gains some new meanings (“being compelled, forced, faked, coerced by unknown, uncontrollable force” and a feeling of being helpless or grievously wronged by an unknown, unfathomable source). 被 *bei* acts like prefixes which make intransitive verbs, yet is only to polysyllable words.

4. Theoretical framework of Cognitive Linguistics and analysis

This section presents a cognitive linguistic analysis of the new *bei-XX* passives described in the previous section. The action chain model will be employed to represent the event construal denoted by certain grammatical constructions. After showing how transitives, intransitives, causatives and passives can be

⁷ As for the “being (falsely) reported/ said” type, Lu (2013) claims that the subject NP is not the doer of the action referred by the predicate XX since the described event does not happen in reality. For instance, 李国福 Li Guofu in (25) is not the doer of the action 自杀 *zisha* ‘to commit suicide’ but merely the affected participant of “rumor, say or report.” However, it can also be analyzed as it is a rumor or false information about Li Guofu that he commits suicide, and Li Guofu is the doer in the rumored proposition.

captured by this model, this chapter proposes the event schemas for the two types of *bei-XX* passives, i.e., “being forced” type and “being (falsely) reported/ said” type, and characterizes these novel usages of Mandarin passives in terms of the function of passives.

4.1. The “billiard-ball model”, action chain, and prototypical transitive relation

A central claim of cognitive linguistics is that all grammatical constructs in linguistic expressions are symbolic units with a phonological pole and a semantic pole. Consequently, even elements like inflectional morphemes which was considered with mere grammatical functions, or rather abstract units such as grammatical constructions, have some sort of conceptual input. (Langacker 1991, 2008) Before we discuss how cognitive linguistic approaches deal with linguistic phenomenon regarding transitivity and voice, a conceptual model which plays as the basis of semantic characterization for grammatical constructs in linguistic coding will be first mentioned: the “billiard-ball model” and action chain.

According to Langacker (1991), we conceive of the world as being composed of numerous “discrete objects”. With energy supplied from the inside or received from the outside, these objects move from the location they exist, and transmit energy by physical contact to another object, and perform interaction with each other. The interactions are defined as several events, and the involving objects are considered participants. This idealized force-driven model is called the “**billiard-ball model**” and is claimed substantial to our thought processes, which makes it one of the conceptual archetypes within cognitive linguistic framework.

However, as we cannot pay attention to all the interacting objects at the same time by the limit of perception, linguistic coding for a situation is also selective to certain objects in focus. From the network of interacting objects as schematized as (a) in Figure 1, an asymmetric energy-transferring relation is especially of our concern: one participant has physical contact and transfers its energy (illustrated by double arrow in Figure 1) to another participant, and then continuously to the third until the transmission stops. The unidirectional energy-transmission is called “**action chain**” and can be sketched as (b). Yet a finite sentence cannot cover all parts of the action chain. Therefore, only the participants in the scope of predication specified by the finite sentence are selected (as shown in (c)), and further participants will be profiled according to their cognitive prominence (as shown in (d)). This is the conceptual structure invoked by a finite clause.

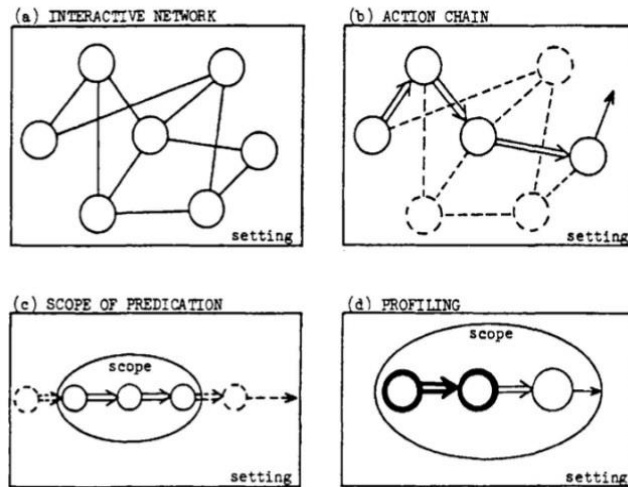


Figure 1. The “billiard-ball model” and action chain (Langacker 1991:215)

As for the participants, there are two main archetypes when constructing an event: an agent and a patient, which are consistent to the previous studies concerning thematic roles. A prototypical agent is the source of energy and is the participant volitionally carrying out action, resulting in having physical contact with the next participant, and transmitting the energy. On the contrary, an archetypal patient is the opposite participant receiving the energy and experiencing changes. As a result, a prototypical transitive event can be defined as the profiled action chain starting from a prototypical agent transferring energy to a prototypical patient which eventually have a spatial change or change of state. Based on the above ideas, Taniguchi (2005:34) generalizes the **prototypical transitive relation (P-transitive relation)** as below:

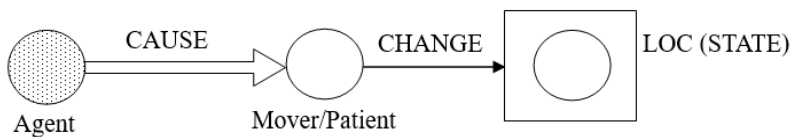


Figure 2. P-transitive relation (Taniguchi 2005:34)⁸

⁸ Taniguchi (2005) combines Croft’s causative construction into Langacker’s action chain to make a distinction for segments on a profiled action chain representing the P-transitive relation. The transmission of energy from the agent to the patient (illustrated as a double arrow) corresponds to a CAUSE segment, while the locational or stative change which the patient experiences corresponds to the CHANGE segment, illustrated by a single arrow. The last circle in a square on the end of the action chain is schematized for the end location/ state of the patient object. The agent on the head of the action chain is shaded to distinguish itself from other participants.

But how could the grammatical relations in a finite clause, that is, the choice of subject, (direct) object and oblique be explained by using action chain? Since not always is the agent realized as subject, it is said that it is difficult to solely rely on thematic roles to account for the grammatical structure of a sentence. Langacker (1991) claims that in fact as long as the scope of predication and the profiled portions of the action chain are decided, the grammatical relations of a finite clause are predictable. Consider an event that Floyd use the hammer to break the glass on the window. There are three prototypical thematic roles in this event: an agent (Floyd), an instrument (the hammer) and a patient (the glass). Energy is transmitted from the agent, through the instrument, and affecting the patient, as Figure 3(a) illustrates. When the scope of predication is determined, different parts of the action chain can be profiled, and possible linguistic codings are instantiated in (26) and (27).

- (26) a. Floyd broke the glass (with the hammer).
 b. The hammer (easily) broke the glass.
 c. The glass (easily) broke.
- (27) a. Floyd hit the glass (with the hammer).
 b. The hammer (easily) hit the glass.
 c. Floyd hit the hammer against the glass.

(Langacker 1991:216)

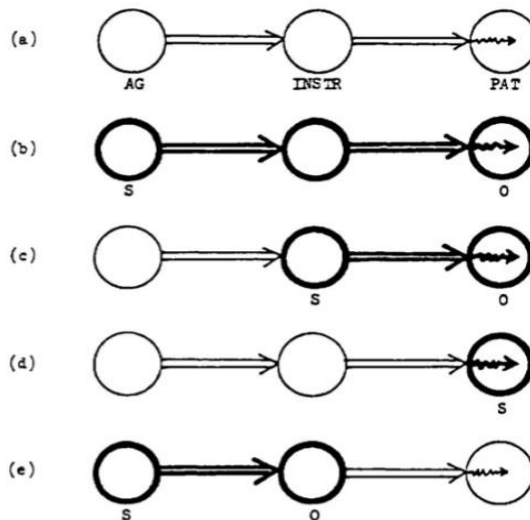


Figure 3. Action chains corresponding to (26) and (27) (Langacker 1991:217)⁹

⁹ The squiggly arrows in the rightmost participant of the action chain in Figure 3 indicate changes which the patient experiences after receiving the transferred energy.

Action chain (b) in Figure 3 corresponds to (26a) and (27a), where the agent is realized as the subject and the patient as direct object. Action chain (c) corresponds to (26b) and (27b), where the instrument is realized as the subject. Action chain (d) can be represented by (26c), in which the patient is the only participant being profiled and therefore becomes the subject. On the contrary, in action chain (e) only the patient is not profiled, and the corresponding (27c) has an agent-subject and an instrument-object.

It seems random that any participant is possible to be the subject, yet the selection of subject and direct object is actually determined according to the profiled portion of the action chain. The first participant of the profiled portion, that is, “the head” of the action chain is realized as the subject of the clause, while the last participant, “the tail” of the action chain as the direct object. This tendency results from the accommodation (or harmonization) of unidirectionality of the “natural path” in an action chain. (Langacker 1999: 363, Taniguchi 2005:37-38) From the perspective of energy transmission, the agent is often the starting point and the initial constituent of the action chain and deliver energy to its downstream participants. From the perspective of cognitive prominence, the agent is the trajector which the speaker will first access to. As a result, the agent often appears in the initial position of the finite clause, which makes it the subject. As for the patient, it is the tail located in the downstream of the action chain, which makes it the second salient participant following the agent. Therefore, the patient is often realized as the direct object. If an agent and a patient are profiled in the action chain for an unmarked prototypical transitive event, although other participant like instrument is also in the downstream of the action chain after agent, it cannot be realized as direct object but oblique since its cognitive prominence is relatively lower to the patient. “With the hammer” in (26a) is such a case. Nonetheless, if the patient is not profiled in the action chain ((e) in Figure 3), the instrument as the tail is also likely to be the direct object, as shown in (27c).

In conclusion, the schema of the subject can be characterized the most salient object located in the head of the natural path, while the schema of the direct object is the secondarily salient object and is located in the downstream of the natural path following the agent.

4.2. Cognitive approaches to voice

4.2.1. Direct passive¹⁰ and indirect passive

However, passives seem to violate the schema summarized above. Compare the active and passive sentence in (28) and their different corresponding action chains in Figure 4.

¹⁰ In comparison to the indirect passive mentioned in 2.4.2, direct passive refers to passives having a corresponding active sentence with the same number of arguments, especially to the canonical passive in which the subject is the patient and is realized as the direct object in its corresponding active sentence, as shown in 2.1.

(28) a. John broke the glass.

b. The glass was broken (by John).

(Taniguchi 2005:39)

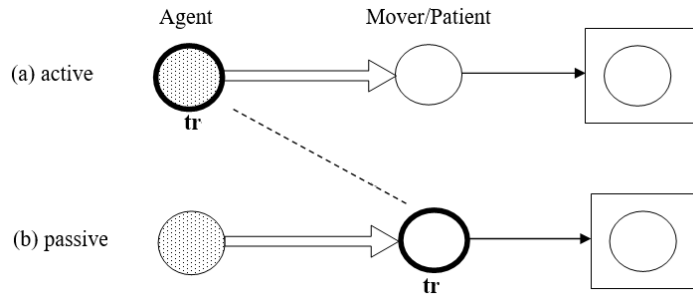


Figure 4. Action chain of active and corresponding passive (Taniguchi 2005:40)¹¹

While (28a) and (28b) seem to depict the objectively same event, in cognitive linguistic framework they show the difference in choosing which the most prominent participant (that is, the trajector) of the event is. (Taniguchi 2005:40) As we can see in Figure 4(b), the participant which is chosen to be the trajector is not the head of the action chain (the agent) but the tail (the patient). The apparent violation of “natural path” makes passive a marked expression, and it must be motivated by some kinds of functions. As mentioned in 2.1, passivization does raise the direct object to the subject position in the corresponding passive sentence, yet according to Ramchand (2013), the most important function of passivization is “the demotion of the rather winning argument”. Shibatani (1985) also characterizes passivization as “agent defocusing”, which the most salient agent is defocused and other participants (often the patient) are therefore being focused instead.

It is worth mentioning that passivized sentences are intransitive since there is no other participant following the patient in the downstream of the action chain. The agent will not be profiled as landmark and become the direct object in the passive sentence, for the direction of the energy transmission cannot be reversed and the agent is still in the upstream even if it is not profiled. Thus, as a marked grammatical construction, passives still support the “natural path” in the action chain as we discussed above.

But how about **indirect passive**? Can passive construction like indirect passive which has no

¹¹ The diagrammatic representation in Taniguchi (2005) differs from those in Langacker (1991) in that only the most salient participant, that is, the trajector is represented in bold lines. Langacker tends to use bold lines for all the profiled portions within the scope of predication, which can be seen in Figure 3. The dotted line connecting the trajectors stands for correspondence.

corresponding active sentence be explained by using active chain? As mentioned in 2.4.2, indirect passive can only find corresponding active sentence by removing the subject, the adversely affected participant (the experiencer).

- (29) 僕は こどもに 泣かれた。
 boku-wa kodomo-ni nak-are-ta
 I-TOP kid-DAT cry-PASS-PAST
 ‘I was adversely affected by the kid’s crying.’

(= (10))

- (29’) こどもが 泣いた。
 kodomo-ga nai-ta
 kid-NOM cry-PAST
 ‘The kid cried.’

(= (10’))

The active sentence without the experiencer can be conceived as an autonomous unitary event alone like (29’) (minimal autonomous event conception will be discussed in 4.2.2). Taniguchi (2005:307) concludes that the autonomous single event becomes the cause of the mental change (being adversely affected) of the subject of the indirect passive sentence. She proposes the “**extensive transitive relation (E-transitive relation)**” as the conceptual basis for indirect passive in Japanese. What differs E-transitive with P-transitive is that while the CAUSE segment in P-transitive is composed of an agent, the CAUSE segment in E-transitive includes an event. To put it in another way, an event plays the role of what an agent does in P-transitive, to cause other participant’s changes. Since this event, the CAUSE-event, is causing other participant’s changes, it can be construed holistically and is required to be an autonomous dynamic event. See how E-transitive is used to explain the event which an indirect passive depicts in Figure 5.

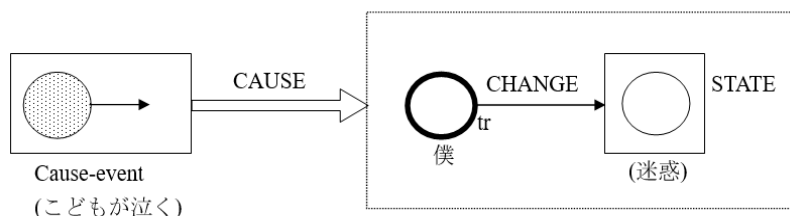


Figure 5. Illustration of indirect Passive in Japanese (29) using E-transitive (Taniguchi 2005:308)

If we take (29) for example, the autonomous CAUSE-event *こどもが泣く* *kodomo ga naku* ‘The kid cries’ is the cause to the change of mental state (to feel adversely affected) of the subject 僕 *boku* ‘I’. Though indirect passive is based on E-transitive relation, it also profiles the tail (the experiencer) of the action chain, which is an inherited feature from the canonical direct passive. Taniguchi (2005:308) suggests that since E-transitive relation is extended from P-transitive relation, indirect passive can be deemed as extensive construction of direct passive while the former is based on E-transitive relation and the latter is based on P-transitive relation.

4.2.2. Complex event and causatives

In the 4.2.1 we have discussed the autonomous event in E-transitive relation. So in cognitive linguistic framework what is the minimal, autonomous event conception? In a profiled process, an entity might “either moves, has a mental experience, or undergoes internal change of state” (Langacker 1991:244), which makes it either a mover, an experiencer or a patient. Langacker generalizes the above three possibilities and uses a schematic conception, a **theme**, to conclude them. A thematic participant therefore can be characterized as experienced some kind of change, no matter if it is internal or external. With the minimum of conceptual content (and a single participant, the theme), the minimal, irreducible unit in a profiled process is a **thematic relation**. A thematic relation can be further construed in different ways with respect to the input of energy: in an absolute thematic relation, the input of energy is abstracted away; in a **self-induced thematic relation (S-thematic relation)**, energy is from the internal source of the mere participant; while in an **externally-driven thematic relation (E-thematic relation)**, the energy is supplied from the outside. Schematized action chain for thematic relation in general and both S-thematic relation and E-thematic relation is illustrated below.

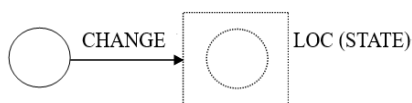


Figure 6. Thematic relation in Taniguchi (2005:120)'s framework¹²

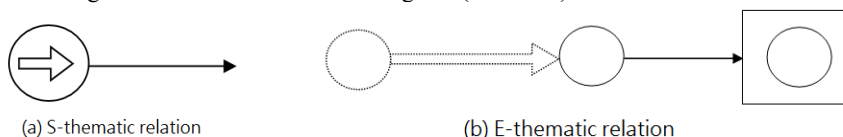


Figure 7. S-thematic relation and E-thematic relation (Taniguchi 2005:123)¹³

¹² The dotted lines in Figure 6 represent that there are events in which the goal of movement will not be clear if it is not specified by a prepositional phrase (an oblique). For example, *John walked (to the station)*. (Taniguchi 2005:121)

¹³ The double arrow in Figure 7(a) indicates that the energy source of S-thematic relation is within the

A thematic relation is defined as the processual event which constitutes a single participant's change of location or state. In Taniguchi (2005)'s framework, a thematic relation (Figure 6) corresponds to the CHANGE-LOC(STATE) segment compared to a P-transitive relation (CAUSE-CHANGE-LOC(STATE)) in Figure 2, which shows that a thematic relation is included in a P-transitive relation, or we can say P-transitive relation is a thematic relation adding the source of energy which drives the change, the CAUSE segment. In fact, the CAUSE segment relies on a thematic relation, while a thematic relation is conceptually autonomous, which it does not rely on the CAUSE segment. (Langacker 1991:245)

As the nucleus of an event conception, a thematic relation can be construed absolutely without the input of energy, or it can specify the source of energy and elaborate the event, making a complex event. By adding additional layers of energy input (the CAUSE segment), the action chain can be expanded in any desired length, as shown in Figure 8 below. The whole action chain can not only be viewed holistically as a unitary complex event, but also be chunked into a series of several events.

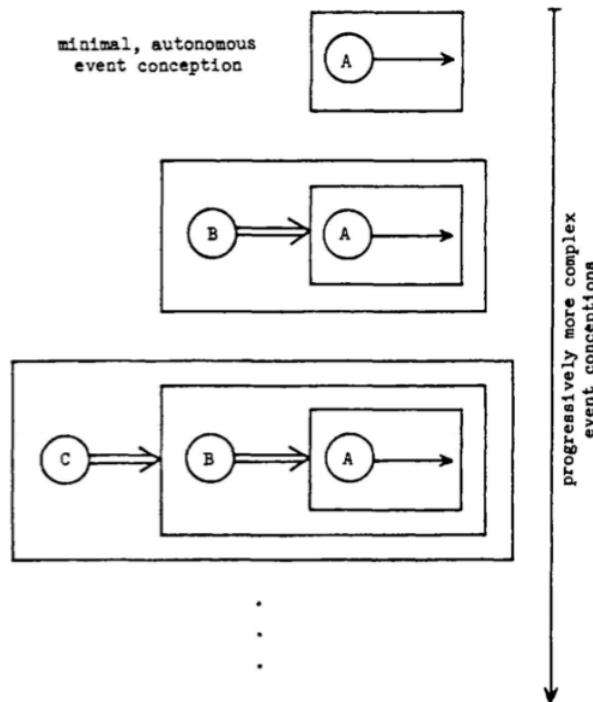


Figure 8. Complexation of the event (Langacker 1991:255)

mere participant and not supplied from the outside. The dotted lines in Figure 7(b) represent the portions of the action chain which are within the scope of predication yet is not profiled.

The successive incrementation of energy input can be utilized in the conception of **causativization**. Let us first discuss causativization related to intransitive verbs. Intransitive verbs are monovalent, which means they only have one participant to be their argument. In cognitive linguistic framework, the event they represent are of thematic relations. Therefore, the causativization of a thematic relation can be deemed as adding an additional CAUSE segment (an additional layer of energy input) to a thematic relation. (Taniguchi 2005:270)

There will be two ways to code the causative event. One is called lexical causatives. After the addition of a CAUSE segment to a thematic relation (CHANGE-LOC(STATE)), it will make the extended action chain have similar conceptual structure of a P-transitive relation (CAUSE-CHANGE-LOC(STATE)), as shown in Figure 2). Therefore, the whole action chain can be holistically construed as a P-transitive relation, and its linguistic coding can be represented by a finite clause with a transitive verb. For instance, regarding the event of Bill died, if we specify that the energy input leading to the participant's (Bill) change of state (to become dead) is from another participant John, then we can also construe the whole event as John killed Bill. Taniguchi (2005:270) mentions that lexical causative is reasonable for the causativization of E-thematic relation since in nature E-thematic relation implies supplication of energy from the outside, and it can also be justified from the fact that unaccusative intransitive verbs, which represents a E-thematic relation¹⁴, often have a pairing transitive verb.

While the extended action chain in which a CAUSE segment is added onto a thematic relation can be construed as a unitary event, it can also be chunked into several events to form a complex event. In comparison to E-thematic relation, a S-thematic relation does not require external energy and hence is conceptually independent. As a consequence, even adding a CAUSE segment onto a S-thematic relation, the CHANGE-LOC(STATE) segment is chunked as an autonomous core sub-event in the whole extended action chain, which makes the whole event a complex one. The complex event can be realized in a way of using causative verbs (make, let, have, etc.) to form causative construction in English, which is called periphrastic causatives. (Taniguchi 2005:270) For instance, an event demonstrating a S-thematic relation John sang can be causativized as She made John sing.

Note that not only causative of S-thematic relation can be coded in the periphrastic causative fashion, causative of E-thematic relation can also be coded with causative verbs in English, such as John caused Bill to die. According to Kemmer and Verhagen (1994), periphrastic causatives are used in English when the causation involves "some perceived mediacy". On the contrary, "indirectness" seems to be not what motivates periphrastic causatives in Japanese, as reported by Taniguchi (2005:272). Taniguchi (2005:274)

¹⁴ According to Taniguchi (2005:123), the differentiation of S-thematic relation and E-thematic relation corresponds to the difference of unergative verbs and unaccusative verbs.

proposes that as long as the thematic relation which the intransitive verb denotes can be construed as autonomous, periphrastic causatives can be applied. And the key to the autonomy of the thematic relation is the “**subjecthood**” of the subject of the intransitive verb. If the mere participant of the thematic relation demonstrates “volition” to achieve the change by itself without external causer, or has “controlability” over the change (being able to control the change of state), as the autonomous reading is possible, even unaccusative verbs which denote E-thematic relation may have acceptable periphrastic causatives.

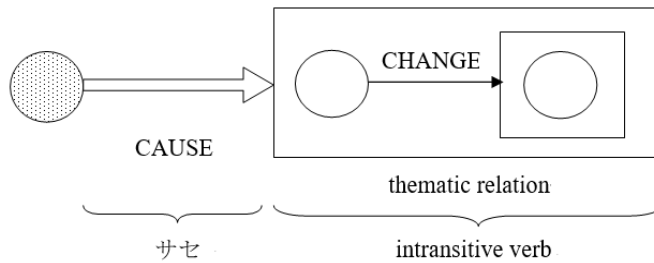


Figure 9. The Schema of Periphrastic Causatives in Japanese (Taniguchi 2005:274)

The “subjecthood” of the head of the original action chain can also be seen (or it is more apparent) in causatives derived from transitive verbs. While the event represented by a transitive verb is already a P-transitive, we can still add another participant to specify the source of energy. The new participant, the primary agent or we can call it the causer, is added in the upstream of the original profiled action chain and therefore is realized as the new subject. While the original agent becomes “the secondary agent”, and is often marked by dative or prepositional phrase. Langacker (1991:256) calls the head of the original action chain the “**causative pivot**”, of which energy is given from the primary agent in the upstream, yet it is not completely thematic. The causative pivot still has the “**responsibility**” to certain degree of initiating and providing energy to drive the thematic relation in the downstream, which is the characteristics of “subjecthood”.

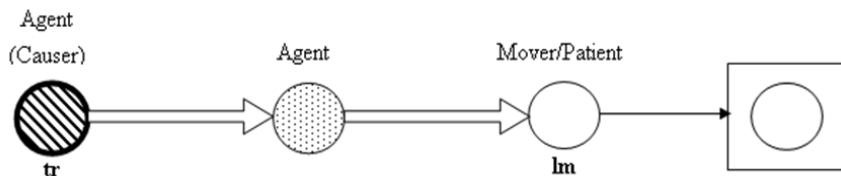


Figure 10. Action chain of causatives derived from transitive verbs

Yet no matter how a causative is coded linguistically, they still follow the “natural path” mentioned in 4.1: The participant located in the head of the profiled action chain will be verbalized as subject, while the tail participant will be verbalized as the direct object.

4.3. The new *bei-XX* construction

After reviewing the representative studies regarding voice in cognitive linguistic framework, the target, the new *bei-XX* construction and related constructions will be discussed in this section. By inspecting the two types of constructional meanings of *bei-XX* mentioned in Chapter 3 and applying the cognitive linguistic conceptions in this chapter, a coherent explanation for *bei-XX*'s conceptual structure is expected to be provided. The illustrations in this section will be following the framework and conventions of Taniguchi (2005).

4.3.1. “Being forced” type

According to Lu (2013), the semantic feature of “being forced” type is characterized by the subject (the mere participant) being forced or coerced to do or become *XX*, and the *bei-XX* construction of “being forced” type can be deemed equivalent to passivized causatives 被迫 *XX bei po XX* ‘be forced to *XX*’. For example, “被离婚” *bei-lihun* ‘*bei-divorce*’ in (14) is deemed as alternative of 被迫离婚 *bei po lihun* ‘be forced to divorce’ by Lu (2013).

Let us examine the two seemingly interchangeable expressions by applying the conception of action chain. The processual predication 离婚 *lihun* ‘to get divorced’ in (30a) invokes a thematic relation that the mere participant experiences a change of his/her marriage state. (強)迫 ... 离婚 (*qiang*)*po* ... *lihun* ‘to force ... to divorce’ in (30b) is the causativized construction of 离婚 *lihun* with the co-verb (強)迫 (*qiang*)*po* ‘to force’. While the causer, the external source of energy, is realized as subject in the sentence-initial position before (強)迫 (*qiang*)*po*, the causative pivot 发妻 *faqi* ‘wife’ is located between co-verb (強)迫 (*qiang*)*po* and the intransitive predicate 离婚 *lihun*. 发妻 *faqi* plays exactly the role of what a pivot does as mentioned in 4.2.2 since she receives energy from the causer and initiates the thematic relation (离婚 *lihun*). 被迫离婚 *bei po lihun* ‘be forced to divorce’ in (30c) is the passive of the causative construction (強)迫 ... 离婚 (*qiang*)*po* ... *lihun*, in which the causer, head of the profiled action chain, is defocused and the pivot is selected as the trajector.

- (30) a. 钢铁大亨发妻离婚了。 [intransitive]
 ‘The wife of the steel entrepreneur is divorced.’
 b. 钢铁大亨强迫发妻离婚。 [causative]
 ‘The steel entrepreneur forced his wife to get divorced.’

- c. 钢铁大亨发妻被迫离婚。 [passivized causative]
 ‘The wife of the steel entrepreneur is forced to get divorced.’
- d. 钢铁大亨发妻 “被离婚”。 (Lu 2013:24) [bei-XX (“being forced” type)]
 ‘The wife of the steel entrepreneur is “bei-divorce” (‘forced to get divorced’).

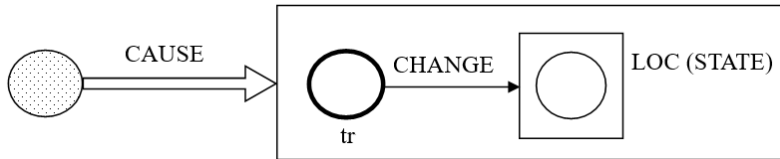


Figure 11. Schema of Passivized Causative 被迫 ... *bei po* ... ‘be forced to ...’¹⁵

Note that the causer is still within the scope of predication but is just defocused to be less cognitively prominent. As a result, the causer can still be realized as oblique¹⁶. In addition, as mentioned in 4.2.2, the pivot in the passivized causative (发妻 *faqī* ‘wife’ in (30c)) demonstrates some level of “subjecthood”: although she is being forced, the wife of the steel entrepreneur still initiates the thematic event 离婚 *lihūn* ‘to get divorced’ based on her own volition (since there are situations like 虽然被迫离婚, 但她拒绝了 ‘Though being forced to get divorced, she refused.’). The block surrounding the CHANGE-LOC(STATE) segment represents that it is depicting an autonomous event, for the whole event is realized by periphrastic causative using co-verb 迫 *pò* ‘to force’ instead of lexical causative.

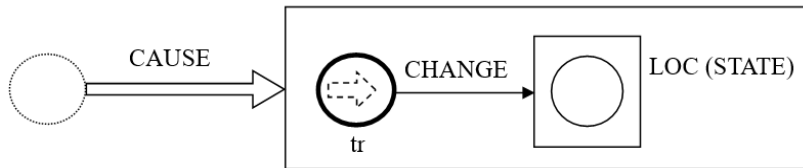


Figure 12. Schema of “being forced” type of *bei-XX*

Now let us think about the “being forced” type of the new *bei-XX* construction. The event depicted

¹⁵ It seems that the passivized causative construction 被迫 *XX bei pò XX* ‘be forced to *XX*’ requires a S-thematic relation as the core event, for an E-thematic relation seems difficult to become the predicate *XX*, e.g. *她被迫死了 *tā bei pò sǐ le* ‘She is forced to die’. Further study is needed for the core event of a complex event like passivized causatives in Mandarin.

¹⁶ For example, 发妻被钢铁大亨强迫离婚 ‘The wife of the steel entrepreneur is forced to get divorced by him.’

in (30d) is portraying that the thematic event 离婚 *lihun* ‘to get divorced’ happens without the subject’s willingness and acknowledgement (See the comparison of (18) and (18’)). Furthermore, the cause of the event is unclear: it is not sure who is the causer of the thematic event. Based on the semantic and syntactic features described in Chapter 3, the schematization of “being forced” type of *bei-XX* is proposed as in Figure 12.

Two differences can be observed compared to passivized causatives (Figure 11). First, the block surrounding the CHANGE-LOC(STATE) segment remains in *bei-XX*, since a thematic event which is conceptually autonomous is still invoked. However, the pivot seems to lose some of its “subjecthood”, as it cannot control over the thematic event and the change of state is not based on its own volition, which is illustrated as the double arrow in dashed line. Second, the causer is not profiled in Figure 11 because it is defocused due to passivization, yet the causer is not even included within the scope of predication of the new *bei-XX*, which is diagrammed as the dotted circle in Figure 12. From the fact that the causer cannot be recovered and remains implicit, the causer is thought to be out of the scope of predication of *bei-XX*.

4.3.2. “Being (falsely) reported/ said” type

As for the “being (falsely) reported/ said” type, let us compare (30d) and (31).

(31) 贝克汉姆「被离婚」英媒体见怪不怪将消息屏蔽。

‘Beckham is “*bei-divorce*”. British media block the (fake) news without shock or surprise.’

(= (23))

The difference between (31) and (30d) is whether the thematic event, 离婚 *lihun* ‘to get divorced’ in this case, does happen in reality or not. In reality, Beckham is not divorced but is rumored to be divorced, and by being falsely rumored Beckham receives adverse influence from it. It can be said that the reading of “being falsely reported/ said” of *bei-XX* establishes in the context that what is described does not correspond to reality. If Beckham were divorced in reality, then the interpretation of (31) would be of “being forced” type.

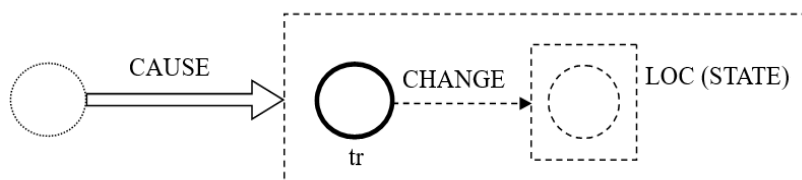


Figure 13. Schema of “being (falsely) reported/ said” type of *bei-XX*

The schema of “being (falsely) reported/ said” type is proposed as diagrammed in Figure 13, which differs from Figure 12 with regard to the occurrence of change and its resultative state being illustrated with dashed lines. The block representing the autonomy of the thematic event is also illustrated in dashed lines. In reality, a change of the profiled participant might have happened, yet is not portrayed as XX denotes. For instance, in the case of 李国福 “被自杀” ‘Li Guofu is “*bei*-suicide” (falsely said to commit suicide)’ in (25), Li Guofu did die in the prison hospital, but the user of expression “被自杀” believes he did not die of suicide but of other causes. So the profiled participant does experience some change owing to external energy source, yet it is not what is described by the predicate XX.

4.3.3. Schematization of the new *bei*-XX construction

Concluding what has been discussed in 4.3.1 and 4.3.2, the schematization of the new *bei*-XX construction is diagrammed as Figure 14 below.

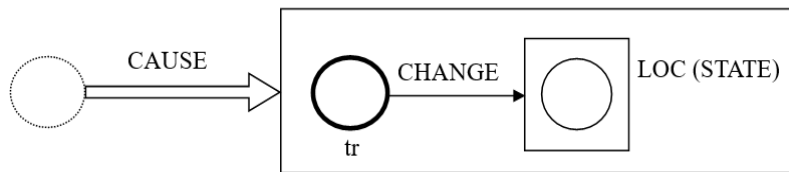


Figure 14. Schema of *bei*-XX

Figure 14 abstracts the similarity of Figure 12 and Figure 13 in: (i) the thematic relation (CHANGE-LOC(STATE) segment) being conceptually **autonomous**, (ii) the external source of energy being not selected in the scope of predication, and (iii) the participant which experiences change being profiled as the trajector. Each of them corresponds to the syntactic features of *bei*-XX summarized in 3.3.

It can be observed that the predicate XX may be an intransitive verb, VN construction, adjectival verbs or even a nominal phrase, and what they have in common is that they all require only one argument, as a thematic relation only include one participant. The event XX mostly represents volitional action and spontaneous situations as mentioned in 3.2, which corresponds to the thematic event being autonomous in Figure 14. Yet the mere participant which initiates the change lacks a certain degree of “subjecthood”, for it cannot control the change and has no volition and willingness to initiate it. In fact, it is presumed to have an energy source outside of the thematic relation that initiates the change. The source of energy, or the causer of the event, however, is not included in the scope of predication, and therefore the causer remains implicit and cannot be recovered. The external energy source must be strong enough to initiate the thematic relation with it head lack of “subjecthood”, so the implicit causer is often authority, officials or social media. Last but not the least, the participant who experiences change is selected to be the trajector since it

is the mere participant within the profile action chain. It is realized as subject of the *bei-XX* construction.

What distinguishes “being forced” type and “being (falsely) reported/ said” type is whether the event represented by the thematic relation is consistent with what really happens or not. “Being (falsely) reported/ said” type depicts an event which does not happen or happens in a different manner.

5. Conclusion

So far this paper has considered a novel usage in Mandarin passive, *bei-XX* construction. To define *bei-XX* and to distinguish it from other uses, functions of passives and properties of *bei* passives have first been examined. To perform the function of demoting the agent, canonical *bei* passives have the structure with a patient as subject, followed by passive marker 被 *bei*, the optional agent and the transitive predicate. Two qualities of *bei* passives can be observed: Adversity and disposal of the patient function as necessary constructional meaning. While transitive verbs are expected to be the predicate of *bei* passives, the new *bei-XX* construction seems to allow intransitives and others of atypical grammatical categories to be the predicates. Based on previous studies, this thesis has categorized *bei-XXs* into two types according to their constructional meaning. “Being forced” type has the meaning of being forced to do an action or reach a certain state against their willingness, while “being (falsely) reported/ said” type depicts a situation which is incompatible with the reality. The two types share three syntactic features distinct from canonical passives: (i) No NP can be recovered following *bei* as the demoted agent. (ii) *bei-XX* requires predicates other than transitives. (iii) The subject of *bei-XX* does not play the role of receiving action but acts like the doer of an action.

Even though Xiao (2016) may come to a conclusion that the passive marker 被 *bei* in *bei-XX* has grammaticalized to a pseudo-prefix and makes the whole construction of intransitive, it has yet to clarify how we construe the event represented by *bei-XX*, and how it is reflected on *bei-XX*'s structural features. Hence, conceptions in cognitive linguistic framework are introduced in this study. By employing the action chain model, it has been found that the event construal of the two types share similarities in that: (i) the most salient participant (which is realized as subject) loses some “subjecthood” like lack of volition and controlability over the thematic relation the predicate denotes, and at the same time receiving external energy to drive the whole event, (ii) the source of external energy may be out of the scope of predication for it cannot be recovered in the corresponding *bei-XX* construction. The difference of “being forced” type and “being (falsely) reported/ said” type resides in whether the event they denote corresponds to reality or not.

Although it is presumed that the thematic relation XX denotes in *bei-XX* is conceptually autonomous and therefore it is expected that XX will be predicates denoting S-thematic relations, only a small number of predicates have been examined in this study. Corpora-driven approaches are expected in the future

research, to see if the distribution of the predicates justifies this study. Furthermore, the distinction of “being forced” type and “being (falsely) reported/ said” type is attributed to a pragmatic factor: reference to the real world or to a certain context. Therefore, the interpretation of the two types of interpretation can also be examined under frameworks concerning context.

References

- Berk, L. M. 1999. *English Syntax: From Word to Discourse*. Oxford: Oxford University Press.
- Chao, Y. R. 1968. *A Grammar of Spoken Chinese*. Berkeley and Los Angeles: University of California Press.
- Chen, Xinren. 2017. Extensions of the Chinese Passive Construction: A Memetic Account. *East Asian Pragmatics* 2.1: 59-74.
- Givón, T. 1984. *Syntax: A Functional-Typological Introduction*, Vol. I. Amsterdam/Philadelphia: John Benjamins.
- Hashimoto, Mantaro (桥本万太郎). 1987. 「汉语被动式的历史・区域发展」. 『中国语文』 第1期: 36-49.
- Hong, Shin-heng (洪心衡). 1956. 『汉语语法问题研究』. 新知识出版社.
- Hopper, P. J., & Traugott, E. C. 2003. *Grammaticalization* (2nd ed.). Cambridge, MA: Cambridge University Press.
- Huang, Chu-ren, and Shi Dingxu (ed.). 2016. *A Reference Grammar of Chinese*. Cambridge: Cambridge University Press.
- Langacker, Ronald W. 1991. *Concept, Image, and Symbol: The Cognitive Basis of Grammar*. Berlin and New York: Mouton de Gruyter.
- Langacker, Ronald W. 2008. *Cognitive Grammar: A Basic Introduction*. Oxford University Press.
- Li, Chao. 2007. Evolution of the *bei* constructions in Chinese. *Journal of Chinese Linguistics* 35(1): 98-127
- Li, Charles N., and Sandra A. Thompson. 1981. *Mandarin Chinese: A Functional Reference Grammar*. Berkeley: University of California Press.
- Li, Yuming, and Li, Wei. 2015. *The Language Situation in China*. Walter de Gruyter.
- Liu, Y. H., Pan, W. Y., & Gu, W. (劉月華, 潘文娛, 故韡). 1996. 『實用現代漢語語法』. 師大書苑.
- Lu, Hao-yu (路浩宇). 2013. 「中国語の自動詞述語受身表現について：インターネットで用いられる“被就业”のタイプを例として」名古屋大学教養教育院紀要論文.
- Lü, Shu-xiang (呂叔湘) (主編). 1980. 『現代汉语八百詞』. 商务印书馆.
- McEnery, T., Xiao, R., & Qian, Y. 2006. Passive constructions in English and Chinese: A corpus-based contrastive study. *Language in Contrast* 6(1): 109-149
- O'Grady, W. 2001. *The Syntax Files*. Honolulu: University of Hawaii at Manoa.
- Ramchand, Gillian. 2013. Argument structure and argument structure alternation. In Marcel den Dikken (ed.), *The Cambridge Handbook of Generative Syntax*, 265-321. Cambridge University Press.
- Shi, Dingxu. 1997. Issues on Chinese passive. *Journal of Chinese Linguistics* 25(1): 41-70.
- Shibatani, Masayoshi. 1985. Passives and Related Constructions: A Prototype Analysis. *Language* 61: 821-

848.

- Takami, Ken-ichi (高見健一). 1995. 『機能的構文論による日英語比較—受身文・後置文の分析』東京: くろしお出版.
- Taniguchi, Kazumi (谷口一美). 2005. 『事態概念の記号化に関する認知言語学的研究』ひつじ書房.
- Wang, Li (王力). 1980. 『汉语史稿』(中). 中华书局.
- Xiao, Yun. 2016. The Rise of Catchword “被 *bei*-XX”: Grammaticalization and reanalysis. *Chinese as a Second Language* 51(1): 79-97.
- Yang, Kai-rong (楊凱榮). 1989. 「文法の対照研究—中国語と日本語—」, 山口佳紀(編)『講座日本語と日本語教育』第5巻: 日本語の文法・文体(下). 明治書院.
- Zhu, De-xi (朱德熙). 1982. 『语法讲义』. 商务印书馆.

「被」を用いた非典型的受動構文－認知言語学による分析－

樊 毓 (ハンイク)

本研究は中国語の新規の非典型的受動構文、「被 *bei*-XX 構文」の機能の分析を目的とする。当該構文は「動作主の脱焦点化 (“agent-defocusing”）」という言語一般的な機能を持つ受動文から逸脱しているように見える。新規の被 *bei*-XX 構文には、構文的意味によって「強いられる」タイプ (“being forced” type) と「言われる」タイプ (“being (falsely) reported/ said” type) に分けられるが、「非典型的述語」、「降格された動作主が復元不可能」、「主語が被動作主でない」という統語的特徴が共通して見られる。本研究では、被 *bei*-XX 構文によって表される事態の捉え方を解明するために、行為連鎖モデルなどの認知言語学的概念を用い、(i) 被 *bei*-XX 構文が表す事態の中で、最も際立つ参加者の「主語性 (“subjecthood”）」の欠如、(ii) 述定スコープの中のエネルギー源の不在、を当該構文の特徴としてまとめる。また、「強いられる」タイプと「言われる」タイプ両タイプの構文的意味の違いが現実世界への参照に関わることも指摘する。最後に、被 *bei*-XX 構文のスキーマを提案する。