

1 IPFx: Extended integrated particle filter method for
2 achieving high-performance earthquake early warning
3 system

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Key Points:

- 1) False alarms due to source estimation errors occur in the current earthquake early warning system in Japan.
- 2) This method improves detection sensitivity of the current system and enables faster and more accurate warnings.
- 3) This method offers the potential of expanding the successful Japanese EEW method to global seismic networks.

Declaration of Competing Interests:

The authors acknowledge there are no conflicts of interest recorded.

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4 **Abstract.** An earthquake early warning (EEW) system rapidly analyzes
5 seismic data to report the occurrence of an earthquake before strong shak-
6 ing is felt at a site. In Japan, the integrated particle filter (IPF) method, a
7 new source estimation algorithm, was recently incorporated into the EEW
8 system to improve the source estimation accuracy during active seismicity.
9 The problem of the current IPF method is that it uses the trigger informa-
10 tion computed at each station in a specific format as the input and is there-
11 fore applicable to only limited seismic networks. This study proposes the ex-
12 tended IPF (IPFx) method to deal with continuous waveforms and merge
13 all Japanese real-time seismic networks into a single framework. The new source
14 determination algorithm processes seismic waveforms in two stages. The first
15 stage (single-station processing) extracts trigger and amplitude information
16 from continuous waveforms. The second stage (network processing) accumu-
17 lates information from multiple stations and estimates the location and mag-
18 nitude of ongoing earthquakes based on Bayesian inference. In 10 months of
19 continuous online experiments, the IPFx method showed good performance
20 in detecting earthquakes with maximum seismic intensity ≥ 3 in the Japan
21 Meteorological Agency (JMA) catalog. By merging multiple seismic networks
22 into a single EEW system, the warning time of the current EEW system can
23 be improved further. The IPFx method provides accurate shaking estima-
24 tion even at the beginning of event detection and achieves seismic intensity
25 error < 0.2 5 s after detecting an event. This method correctly avoided two
26 major false alarms on January 5, 2018, and July 30, 2020. The IPFx method
27 offers the potential of expanding the JMA IPF method to global seismic net-
28 works.

Introduction

29 An earthquake early warning (EEW) system rapidly analyzes seismic data to detect
30 the occurrence of an earthquake as soon as possible, before strong shaking is felt at a
31 distant site. In Japan, the Japan Meteorological Agency (JMA) provides the warnings to
32 the public. The JMA uses two different approaches for estimating the seismic intensity:
33 a source-determination approach and a wave propagation approach. The former uses the
34 integrated particle filter (IPF) method [Liu and Yamada, 2014; Tamaribuchi et al., 2014;
35 Wu et al., 2014] along with the conventional not-yet-arrived method Horiuchi et al. [2005]
36 and Hypoon method [Hamada, 1983; Ueno et al., 2002]. The latter uses the propagation
37 of local undamped motion (PLUM) method [Hoshiaba, 2013; Hoshiaba and Aoki, 2015;
38 Kodera et al., 2018]. The IPF and PLUM methods were, respectively, incorporated into
39 the EEW system in December 2016 and March 2018, and as a result, the accuracy of
40 shaking estimation has been improved greatly [Kodera et al., 2020].

41 The IPF method is a novel source determination algorithm that was developed after
42 the 2011 Tohoku earthquake [Liu and Yamada, 2014; Tamaribuchi et al., 2014; Wu et al.,
43 2014]. One of the unique features of the IPF method is its smart phase association process.
44 When a new trigger is received, it is classified into either an existing earthquake or a new
45 earthquake based on the P-wave arrival time and amplitude. The trigger information (P-
46 wave arrival time and waveform amplitude) classified as an ongoing earthquake is used for
47 source estimation. The waveform amplitude constrains the location at the beginning of the
48 rupture since the difference between the P-wave amplitude and noise level is significant.

49 The current IPF method is designed to operate under the JMA seismic observation
50 system. The JMA seismic observation system (including the JMA strong motion stations,
51 DONET, KiK-net, and S-net (Seafloor observation network for earthquakes and tsunamis
52 along the Japan Trench)) will send trigger information when a station detects seismic

53 waves using strong motion seismometers. The IPF method uses trigger information sent
54 by these systems but not Hi-net (High-Sensitivity Seismograph Network), another dense
55 seismic network in Japan, because its sensor type and trigger system are different.

56 This study aims to extend the IPF method to continuous waveforms and merge all
57 Japanese real-time seismic networks into a single framework. Although the IPF method
58 improves the source estimation accuracy, the JMA EEW has sometimes published false
59 alarms. For example, an EEW was issued for an earthquake near Torishima Island in
60 July 2020 with an estimated JMA seismic intensity scale 5 upper (hereafter called simply
61 “seismic intensity”). However, no station recorded a seismic intensity of 1 or larger. This
62 false alarm was caused by an incorrect epicenter location estimate (more than 400 km
63 away) and, in turn, an overestimated magnitude. The IPF method could not provide a
64 reliable estimation owing to an insufficient station density, and other methods mislocated
65 this event. Such false alarms could be avoided by merging all seismic networks and
66 increasing the station density for the IPF method.

Data

67 We used continuous seismic data recorded using Hi-net and S-net from January 1 to
68 October 31, 2020 (see Data and Resources section) for the online experiment. Hi-net has
69 around 800 stations with a 3-component short-period velocity sensor on mainland Japan.
70 S-net has around 150 stations with multiple sensors at the ocean bottom off the Tohoku
71 region. We used the S-net acceleration sensors. No seismic station of these networks is
72 present on Japan’s southern island; therefore, we selected earthquakes occurring north of
73 30°N for the performance evaluation.

74 These real-time data are provided by JDXnet under a seismic data distribution agree-
75 ment [Urabe et al., 2013]. The waveform data are received as 1 s User Datagram Protocol

76 (UDP) packets at the server in our institute, and the data are stored in the shared mem-
77 ory. We use a program in the Win system (a set of programs that is widely used in Japan
78 to process seismic data) [Urabe, 1991] to read the data every second and write to the
79 standard output. Notably, JMA strong motion data are not provided online and were,
80 therefore, not used in our analysis.

81 The public is warned if the maximum estimated JMA seismic intensity is ≥ 5 lower.
82 An EEW forecast is provided to specific users for lower intensities: maximum estimated
83 seismic intensity ≥ 3 or estimated JMA magnitude (hereafter called simply “magnitude”)
84 ≥ 3.5 . The event detection process commences from a single trigger, but at least two
85 stations are required for public warning. In the 10-month test period, 129 earthquakes
86 had maximum seismic intensity ≥ 3 ; these were used for the performance evaluation in
87 this study.

88 We used three earthquakes for comparison with the JMA EEW: a successful warning
89 by the JMA on June 25, 2020, off the Ibaraki prefecture; a false alarm on July 30, 2020,
90 near Torishima island; and a false alarm on January 5, 2018, off the Ibaraki prefecture.

91 In this study, we define a false alarm as an EEW that estimates seismic intensity ≥ 5
92 lower although the observed seismic intensity is ≤ 3 . This corresponds to a situation
93 in which public warning is provided but the shaking is relatively minor. An accurate
94 estimate is defined as an EEW for which the difference between the maximum observed
95 and estimated seismic intensity (i.e., seismic intensity error) is ≤ 1 . Further, an inaccurate
96 estimate is defined as an EEW with a seismic intensity error > 1 .

IPFx Method

97 The extended IPF (IPFx) method is a two-step source determination algorithm. First, a
98 single-station processing step is performed, and then, the network processing step from the

99 original IPF method is performed. In addition, the original structure of the IPF algorithm
100 for multievent detection is refined to make it more robust to noise. The single-station
101 processing step focuses on the extraction of station trigger and amplitude information
102 from continuous waveforms that are accumulated into a single data package for source
103 estimation in the network processing step based on Bayesian inference [Wu et al., 2014].

104 One of the major differences between the original IPF and IPFx methods is the single-
105 station processing step. The IPFx method uses continuous waveforms and a centralized
106 process, whereas the original IPF method computes the trigger information at each sta-
107 tion. The advantage of the centralized system is that we can include data from other
108 seismic networks easily and modify the trigger conditions without updating information
109 from each station. Using this advantage, we tuned the trigger threshold. Compared to
110 the current IPF system, the triggering threshold is lowered in the IPFx method to avoid
111 missing the key trigger at the station closest to the earthquake. This may increase the
112 risk of false alarms due to noise if a warning is issued on the basis of a single station.
113 As a tradeoff, multiple triggers (three triggers for mainland stations and two triggers for
114 island stations) or a very large amplitude (acceleration of 100 Gal) are required for source
115 estimation to avoid creating too many EQ processes.

116 Events detected using the IPFx method are categorized as pending earthquakes (EQp),
117 ongoing earthquakes (EQ), or converged earthquakes (EQc). Each category represents a
118 different level of confidence for an event identified by the system. Considering the tradeoff
119 among the source estimation accuracy, computational burden, and potential station noise,
120 predefined station groups are assigned to each category as the source of the most relevant
121 data used for updating estimations of an event. Each component in the IPFx method is
122 described below.

STEP 1: Single-station Processing

123 Our server receives continuous seismic data every second as a 1 s UDP packet, and the
124 data are passed to the single-station processing as a standard input. A picking program
125 processes these continuous data and extracts trigger information (P-wave arrival time and
126 amplitudes) every second. This process is applied to each station separately and does not
127 require communication between stations. Seismic waveforms are processed by first re-
128 moving the DC offset and correcting the instrumental response [Zhu, 2003; Yamada et al.,
129 2014]. Then, this waveform is used for P-wave detection, amplitude computation, and
130 teleseismic filtering. The obtained information is transmitted to the network processing
131 scheme every second as a packet.

132 For P-wave detection, a second-order one-pass band-pass filter with a corner frequency
133 of 5–10 Hz is used to suppress the low-frequency noise after large earthquakes as well as
134 high-frequency environmental noise. Phase arrivals are detected using the T^{pd} method
135 [Hildyard et al., 2008; Hildyard and Rietbrock, 2010]. This method is computation-
136 ally inexpensive and is less affected by noise than the conventional short-term average
137 (STA)/long-term average (LTA) method [Allen, 1978].

138 The waveforms are integrated or differentiated, and a set of the maximum acceleration,
139 velocity, and displacement (vector sum of three components) is computed every second.
140 These amplitudes are used for the magnitude computation depending on the magnitude
141 estimation equation. The JMA magnitude uses velocity and displacement components.
142 The maximum vertical velocity is also computed for source estimation.

143 The teleseismic filter is a linear filter used to discriminate the possible teleseismic events
144 from local earthquakes [Kuyuk et al., 2014; Chung et al., 2019]. The amplitudes of the
145 vertical velocity record bandpass-filtered at 0.375–0.75 Hz and 6–12 Hz are used. The

146 teleseismic flag is on if the amplitude of the 0.375–0.75 Hz component is larger than that
147 of the 6–12 Hz component.

148 In addition to this picking information, each packet contains the station code, station
149 status (alive or dead), packet loss, data recording time (timestamp), and data receiving
150 time at server.

STEP 2: Network Processing

151 Earthquake Detection

152 The picking information is transmitted to the network for processing, and the next
153 process estimates the location and magnitude of the ongoing earthquake. To prevent false
154 alarms owing to noise contamination, multiple triggers in a small area are required to
155 confirm earthquake detection. This station group is called a trigger group. In the proposed
156 system, a new trigger that does not correspond to any existing events is categorized as a
157 pending earthquake (EQp), defined as a potential event that has not yet been confirmed
158 as a real earthquake. If enough triggers are recorded in the corresponding trigger group,
159 an earthquake is considered detected and the source estimation process starts (see Figure
160 1).

161 **Pending Earthquake (EQp):** A new EQp is created with a triggered station that
162 does not belong to any of the existing events recorded in the system (Figure 1 S4-A1). If
163 enough triggers are recorded in the corresponding trigger group (in this case, three triggers
164 for mainland stations and two triggers for island stations) or a very large amplitude is
165 observed (acceleration of 100 Gal), an event is detected and the source estimation process
166 starts (i.e., EQp is changed into EQ, Figure 1 S3-A2). Otherwise, the EQp expires and is
167 deleted after a theoretical time frame denoted by the “virtual P-wave” passing the most
168 distant station in the trigger group (Figure 1 S1-A5).

169 **Trigger Group:** The trigger group of one station includes all stations within 30 km
170 (red triangles in Figure 2(a)) and the stations in the neighboring Voronoi cells within 50
171 km (blue triangles in Figure 2(a)). If the total number of stations is less than five, the next
172 closest stations up to five stations are added to this group (black triangles in Figure 2(a)).
173 The number of trigger groups varies depending on the station density. These distances
174 are adjusted for the Japanese seismic network such that at least five stations are included
175 in a trigger group.

176 **Source Estimation**

177 The detected earthquake, denoted as an EQ, is analyzed in the source estimation pro-
178 cess. The source parameters of the EQ are continuously updated using real-time data
179 from the seismic network (Figure 1 S1-A1). To reduce the computation time, a limited
180 number of stations, called an estimation group, is used for source estimation.

181 **Ongoing Earthquake (EQ):** The source parameter estimates for the EQ are updated
182 every second until the event meets any condition for cancellation (Figure 1 S1-A2) or
183 becomes a converged earthquake (Figure 1 S1-A3). The source parameters are estimated
184 using the IPF method [Tamaribuchi et al., 2014; Wu et al., 2014]. Particles are distributed
185 in a three-dimensional parameter space (latitude, longitude, and depth). The likelihood of
186 each particle is defined as a function of the P-wave arrival time and amplitude. Here, the
187 maximum vertical velocity up to 5 s after the P-wave onset is used as an amplitude. We
188 expect the effects of the S-wave to be small since we use the vertical component. Particles
189 are resampled if the optimal location estimate is far from the center of the particles. The
190 magnitude is computed from the estimated source locations and the amplitude of the
191 waveforms [Liu and Yamada, 2014; Tamaribuchi et al., 2014; Wu et al., 2014]. An EEW
192 is issued if the estimated seismic intensity of the EQ exceeds the warning threshold. For
193 the earlier intervals with number of triggers less than three, the depth is fixed at 10 km.

194 If there is only one trigger, we fix the location at the first trigger station. This will avoid
195 overestimating the magnitude and providing uncertain alarms over a wide region. If two
196 or three triggers are available, we perform source estimation based on the prior particle
197 distribution (less than 100 km from the first trigger station).

198 **Estimation Group:** An estimation group is a set of stations used for source estimation.
199 It includes the closest 20 stations from the first trigger station (red triangles in Figure
200 2(b)) and another set of the closest 20 stations from the center of the Voronoi cell of the
201 first trigger station (blue triangles in Figure 2(b)). These stations should be less than
202 200 km from the first trigger station. Another 10 stations are selected to improve the
203 azimuthal coverage from the center of the Voronoi cell (black triangles in Figure 2(b)).
204 These numbers are chosen empirically to balance the tradeoff between the P-wave travel
205 time and the azimuthal coverage. The selection of the estimation group will need to be
206 tuned for future application to different networks.

207 **Convergence of Source Estimation**

208 If the estimated source parameters converge, the source estimation process is terminated
209 and the EQ is converted into a converged earthquake (EQc). The EQc phase is designed
210 to reduce the computation burden of the system in handling multiple source estimation
211 processes.

212 **Converged Earthquake (EQc):** An EQc is an event that has a stable source estimate
213 and that may yet cause new station triggers. The EQ is converted into an EQc if the
214 source estimate is stable for 5 s continuously after the maximum theoretical P-wave arrival
215 time for the stations in the estimation group. The minimum convergence time (i.e., the
216 EQ will not converge in at least this period) for EQ is empirically set to 30 s for $M < 5$, 50 s
217 for $5 \leq M < 6$, 70 s for $6 \leq M < 7$, and 100 s otherwise. The convergence time was determined
218 such that all stations in the estimation group recorded maximum amplitudes. The EQc

219 is deleted from the system after a predefined time threshold with no P-wave detection in
220 the entire network, which signifies the end of a seismic sequence. This threshold for EQc
221 is empirically set to 300 s for $M < 3$, 600 s for $3 \leq M < 6$, and 900 s otherwise.

222 **Cancellation of source estimation**

223 Although multiple triggers or a single trigger of large amplitude are required to detect
224 an earthquake, an event may be caused by multiple noises or a very small earthquake
225 that triggers only a few stations. The EQ is removed from the source estimation process
226 when the seismic data is no longer consistent with the source estimates (i.e., more than
227 half the stations in the cancellation group have an error $> 4\sigma$ from the estimated arrival
228 time and the observed seismic intensity is < 5 lower, Figure 1 S1-A2). This cancellation
229 process is added to avoid recording small uncertain earthquakes in the seismic catalog.
230 Although these events are removed from the catalog, an EEW is issued if the estimated
231 seismic intensity exceeds the threshold before removal.

232 **Cancellation Group:** A cancellation group is a subset of the estimation group used
233 for deleting the source estimation process. The closest 20 stations from the first trigger
234 station are used as the cancellation group (red triangles in Figure 2(b)).

235 **Teleseismic earthquakes**

236 Teleseismic earthquakes could trigger inland stations depending on their travel path and
237 the local site conditions. Estimating the location of such earthquakes is difficult because
238 the incident angle of the waveforms is almost vertical and the apparent velocity is large.
239 Although the shaking intensity of teleseismic earthquakes is very small, these earthquakes
240 sometimes record relatively large magnitude owing to their large long-period component.
241 To avoid a false alarm in response to teleseismic earthquakes, we do not issue an EEW if
242 events satisfy the following conditions: the ratio of teleseismic flags in the total triggers
243 in the cancellation group is > 0.9 and the observed seismic intensity is < 0 . The second

244 condition is necessary because some near-field deep earthquakes produce a long-period
245 ground motion that turns the teleseismic flag on.

Results

246 The proposed IPFx method detected 26261 converged earthquakes during the 10-month
247 test period. Its performance was compared with that of the JMA unified earthquake cat-
248 alog (hereafter called “JMA manual catalog”) and JMA EEW for relatively large earth-
249 quakes (with observed seismic intensity ≥ 3).

Comparison with Manual Catalog

250 Figures 3 and 4 show the accuracy of the IPFx catalog for 129 earthquakes with observed
251 seismic intensity ≥ 3 . As a result, 95% of earthquakes had a location error ≤ 10 km,
252 magnitude error ≤ 0.59 , and seismic intensity error ≤ 1 . The location error tends to be
253 larger for offshore earthquakes.

254 We define an accurate estimate as an EEW for which the seismic intensity error is ≤ 1 .
255 Accordingly, the estimates for all 129 earthquakes are accurate. The performance of the
256 shaking estimation for the target earthquakes was found to be reasonably good.

257 The source estimation accuracy during the initial period with limited triggers plays an
258 important role in the EEW. Figure 5 shows a time history of the errors of source param-
259 eters for 129 earthquakes with a maximum observed seismic intensity ≥ 3 . The location
260 error tends to be large right after the detection of an earthquake, and it decreases as a
261 function of time. The average location error of inland earthquakes is initially ~ 6 km, and
262 it converges to 2 km 10 s after event detection. The location error for offshore earthquakes
263 is around three times larger than that for inland earthquakes, and the convergence time
264 is longer (~ 20 s). By contrast, the convergence time of the seismic intensity is similar for
265 both offshore and inland earthquakes. The seismic intensity is initially underestimated

266 by 1.0–1.5, and the error is less than 0.2 at 5 s after event detection. Because an EEW
267 is issued based on the seismic intensity, a smaller initial error is very advantageous from
268 the viewpoint of how rapidly the warning is conveyed. The difference in seismic intensity
269 errors between offshore and inland earthquakes is very small despite the larger location
270 error of offshore earthquakes.

271 Figure 6 shows a histogram of the magnitude of the JMA manual catalog and IPFx
272 automatic catalog for all 26261 events. The IPFx method detected most earthquakes
273 with a magnitude >3 . The IPFx catalog uses the velocity magnitude (M_v) for small
274 earthquakes and the displacement magnitude (M_d) for $M_v >4$. Therefore, a discontinuity
275 occurs at $M = 4$ owing to the difference in the definition between M_v and M_d .

Comparison with JMA EEW

276 We compared the performance of the IPFx method with that of the JMA EEW method.
277 Figure 7 shows the time of the first P-wave detection and the first EEW report (event
278 detection) for both methods. The time of the first P-wave detection depends on the
279 network density. Because the IPFx method uses multiple networks, P-wave detection is
280 much faster than in the JMA EEW method, except for several earthquakes that occurred
281 on islands farther from the Japanese mainland where only JMA strong motion stations
282 were present. The time of the first EEW report was significantly improved because the
283 IPFx method reports the first result if three stations are triggered, whereas the JMA
284 EEW method waits until at least 3 s after the first P-wave detection. This figure shows
285 the possibility of faster EEW with the IPFx method.

286 Figure 3 shows the accuracy of the JMA EEW final reports for earthquakes with ob-
287 served seismic intensity ≥ 3 . Notably, the JMA EEW method detected 111 earthquakes;
288 18 earthquakes were missed. Because the JMA EEW announces earthquake locations

289 with only one decimal place, the location error does not have a good resolution. The
290 IPFx method achieves similar source estimation accuracy to the JMA EEW method.

291 The IPFx and JMA EEW methods were compared in detail for three significant earth-
292 quakes: the June 25, 2020, earthquake off Ibaraki prefecture (M6.1) with a successful
293 warning by the JMA and two false alarms on July 30, 2020, and January 5, 2018.

294 Figure 8 shows the result of the earthquake that occurred at 4:47:44 on June 25, 2020,
295 off Ibaraki prefecture. The observed seismic intensity was 5 lower, and the JMA provided
296 an appropriate warning. The earthquake occurred in the middle of the S-net; therefore,
297 the azimuthal coverage was very good. The IPFx and JMA EEW methods both provided
298 sufficiently good performance, with a location error less than 15 km. The magnitude
299 growth of the IPFx method is slightly faster than that of the JMA EEW method, and
300 therefore, the warning threshold is exceeded earlier. Assuming no data transmission
301 latency, the IPFx method can issue a public warning 5.7 s faster than the current EEW
302 system.

303 Figure 9 shows the result of the earthquake that occurred at 9:35:54 on July 30, 2020.
304 A false alarm was issued owing to the estimation of seismic intensity 5 upper even though
305 no station recorded a seismic intensity of 1 or larger. This earthquake occurred near the
306 Torishima island, and the station distribution was one-sided. The location error of the
307 JMA EEW system was more than 400 km, and the magnitude was overestimated owing
308 to the incorrect epicenter. Although the IPFx method did not use the island stations
309 included in the JMA strong motion network, it could reduce the location error to only
310 31 km. Further, the estimated intensity error did not exceed the threshold for issuing a
311 public warning.

312 Figure 10 shows the result of the earthquake that occurred at 11:02:26 on January 5,
313 2018, off Ibaraki prefecture. The JMA EEW system issued a false alarm because two

314 earthquakes occurred within a few seconds of each other. Although the system detected
315 two earthquakes, the magnitude was estimated from the amplitude caused by another
316 earthquake. Therefore, the magnitude was overestimated and an inappropriate warning
317 was issued. An offline simulation performed using the Hi-net data (because S-net was not
318 available at the time) provided accurate estimates of the location and magnitude, and
319 from the result, no public warnings would have been issued by the IPFx algorithm.

Discussion

Accuracy and Speed of the Source Estimation

320 The IPFx method detected all 129 earthquakes with observed seismic intensity ≥ 3 .
321 However, the July 5 event (M4.8) in the Northern Gifu prefecture had a large origin time
322 error of 18 s. An active swarm related to volcanic activity occurred from April to July of
323 2020 at the boundary between the Nagano and the Gifu prefectures. Owing to this active
324 seismicity, two M3 earthquakes occurred within 20 s before this event (see Figure 11). The
325 first earthquake was detected by the IPFx method; however, the P-waves of the second
326 and third earthquakes were not triggered owing to the coda waves of the first earthquake.
327 Despite the large origin time error, the magnitude was appropriately estimated as 4.8,
328 and the estimated seismic intensity was overestimated by just one unit.

329 The source estimation accuracy strongly depends on the station density and azimuthal
330 coverage of the epicenter. The detection speed will improve if multiple networks are pro-
331 cessed using a single method. The average P-wave detection and event detection speeds
332 (excluding earthquakes on islands, where no seismic station is available for the IPFx
333 method) became 1.9 s and 6.1 s faster than those of the JMA EEW method, respectively.
334 Although the IPFx method needs more triggers than does the JMA EEW method, it
335 offers improved event detection speed (Figure 7). The JMA EEW method uses an am-

336 plitude threshold to start the event detection process to avoid a noise trigger and wait
337 for amplitude growth. Exceeding this threshold takes a long time — the order of tens of
338 seconds when the magnitude is not very large. By contrast, the event detection criterion
339 in the IPFx method, namely, three triggers in a trigger group of the mainland stations,
340 helped speed-up the event detection and ensure accuracy.

341 The IPFx method is more sensitive than the JMA EEW method in that it detected
342 all 129 earthquakes with seismic intensity ≥ 3 , whereas the JMA EEW method missed 18
343 earthquakes. Although the sensitivity to small earthquakes may not be important from
344 the viewpoint of issuing public warnings, it is essential for preventing false alarms. In this
345 regard, one of the causes of the July 30 false alarm was the lack of enough triggers to
346 locate the moderate earthquake precisely.

347 The possibility of false alarms with the IPFx method was evaluated. Eight earthquakes
348 had maximum estimated seismic intensities ≥ 5 lower, and all were observed with seis-
349 mic intensity ≥ 4 . Therefore, all public warnings would have been accurate, whereas the
350 JMA EEW method had one false alarm. Further, the IPFx method estimated 295 earth-
351 quakes with seismic intensities ≥ 3 , but only 82 instances out of these did not exhibit
352 seismic intensities ≥ 2 (71 for the JMA EEW method with the same criterion). Although
353 these earthquakes are categorized as inaccurate estimates, the estimated location was very
354 accurate, with an error ≤ 13 km for 95% of the earthquakes.

355 The IPFx method overestimated the seismic intensity for three reasons. First, the
356 estimated magnitude of the earthquakes was larger than the observation. Specifically,
357 because the magnitude equation used was tuned for relatively large earthquakes ($M \geq 5$),
358 the estimated magnitude tends to be overestimated relative to the catalog magnitude.
359 This effect is especially significant for S-net, whose noise level is larger than that of inland
360 stations. Second, the magnitude may be overwritten by a subsequent larger earthquake.

361 During active seismicity, multiple earthquakes occurred within a few tens of seconds. If
362 a small earthquake precedes a large one, the IPFx method detects and locates the small
363 one; however, its magnitude is overwritten by that of the large one because the process
364 is active for at least 30 s (see Figure 11). Third, the observed intensities are recorded at
365 seismic stations; however, the estimated intensity is computed at every site with a small
366 grid spacing of 1 km. Therefore, the source-station distance tends to be short for the
367 estimated seismic intensity, and it overestimates the observed intensity.

Real-time Testing Environment

368 The IPFx program was tested for 10 months continuously in a real-time environment.
369 The waveform data are received as 1 s UDP packets at our server, and they are passed
370 to the single-station processing as a standard input. The program is designed to handle
371 packet loss (which initializes the recursive filter); however, disordered packets may cause
372 problems in filtering. Future studies should aim to sort the sequence of the data before
373 IPFx processing.

374 The station groups for the network processing (trigger group, estimation group, and
375 cancellation group) are predefined based on the station configuration. The station groups
376 are updated if the data are disconnected for a certain duration (in this study, 15 s) when
377 no earthquake occurs. The disconnected station is removed and new station groups are
378 computed. This process is repeated when the data are recovered.

379 To stabilize and speed-up the computation, the IPFx method was developed using the
380 C++ language. A precomputed traveltime table was used to reduce the computation cost
381 of the nonlinear process to obtain the waveform traveltime. The program can handle a
382 dataset with around 1000 stations \times 3 channels within the actual time. The single-station
383 processing and network processing run in parallel, and the former usually takes more time.

384 To measure the computation time, the program was run in an offline environment. The
385 computation time required for reading 1 h data from the hard disk and applying the IPFx
386 method was 36 min (operation environment: CPU, Xeon 3.46 GHz; OS, Linux CentOS
387 6; memory, 48 GB; compiler, gcc ver.4.4.7). In other words, the average processing time
388 for a 1 s packet is 0.6 s. Dividing the single-station processing across multiple cores can
389 further reduce the processing time required in real applications.

390 The advantage of using continuous waveforms as input data is that the method can
391 be directly applied to other seismic networks. Earthquake-prone countries have shown
392 interest in the EEW system to mitigate seismic damage. Our proposed IPFx method has
393 the potential to expand the JMA IPF method to global seismic networks.

Conclusion

394 This study developed the IPFx method by extending the IPF method used in the JMA
395 EEW system. The proposed method uses continuous waveform data as an input instead of
396 trigger information. In a 10-month continuous online test, this method performed better
397 than the JMA EEW method in detecting earthquakes with a maximum seismic intensity
398 ≥ 3 as per the JMA manual catalog. By merging multiple networks into a single method,
399 both the P-wave detection and the event detection speeds were significantly improved
400 compared to those of the current JMA EEW method. The two major false alarms on
401 January 5, 2018, and July 30, 2020, were properly avoided by the IPFx method. The
402 IPFx method provides an accurate shaking estimation even at the beginning of event
403 detection, and it shows a seismic intensity error < 0.2 at 5 s after event detection. The
404 advantage of using continuous waveforms as input data is that this method can be applied
405 to other seismic networks directly. Specifically, the IPFx method offers the potential of
406 expanding the JMA IPF method to global seismic networks.

Data and Resources

407 We used the seismic waveform data in Hi-net (<https://doi.org/10.17598/NIED.0003>)
408 and S-net (<https://doi.org/10.17598/NIED.0007>) (last accessed in November 2020), pro-
409 vided by National Research Institute for Earth Science and Disaster Resilience (NIED).
410 There are one supplemental text explaining the detailed algorithm of network process-
411 ing, one supplemental figure showing how the trigger information is processed to estimate
412 source parameters in the network processing, and one supplemental table of the earth-
413 quake catalogs used in this study.

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415 Research Institute, Kyoto University for support the publication fee.

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Figure 9. Result of earthquake on July 30, 2020. The format is the same as in Figure 8.

Figure 10. Result of earthquake on January 5, 2018. The format is the same as in Figure 8.

The results of two different earthquakes are shown in (a).

Figure 11. Acceleration waveforms in vertical component as a function of epicenter distance for July 5 event (M4.8). The vertical line indicates the P-wave trigger. The gray lines indicate the P-wave onset for earthquakes with magnitude >3 . The horizontal axis shows the time after 15:09:00.

Figures

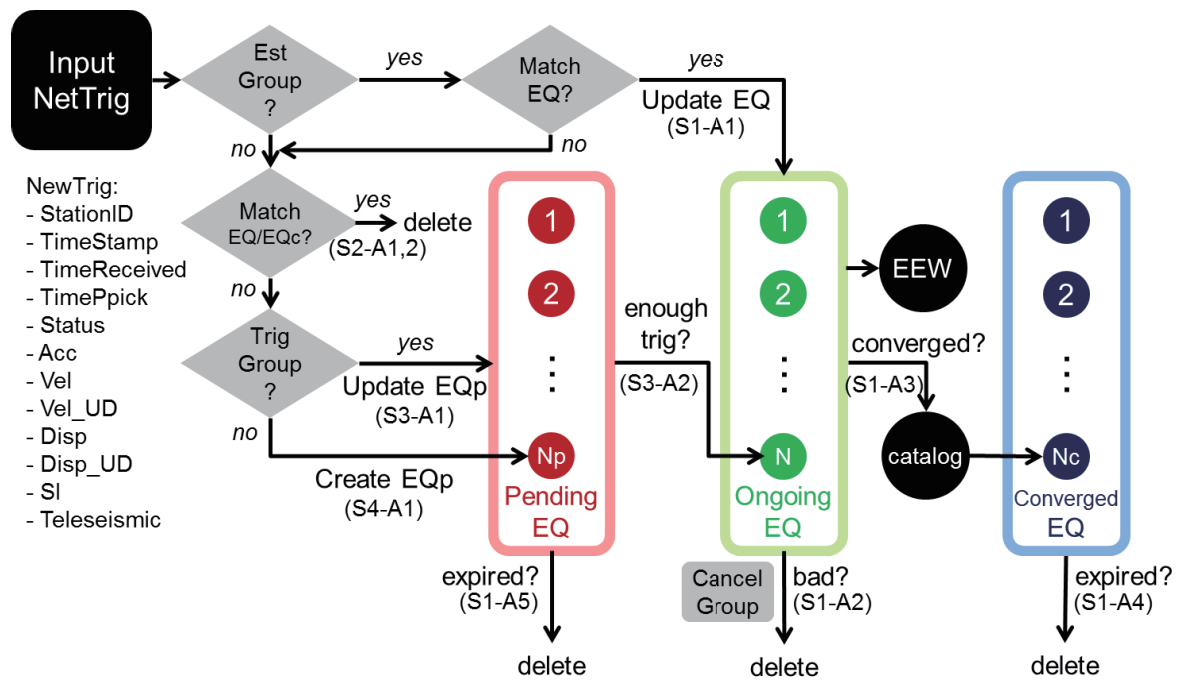


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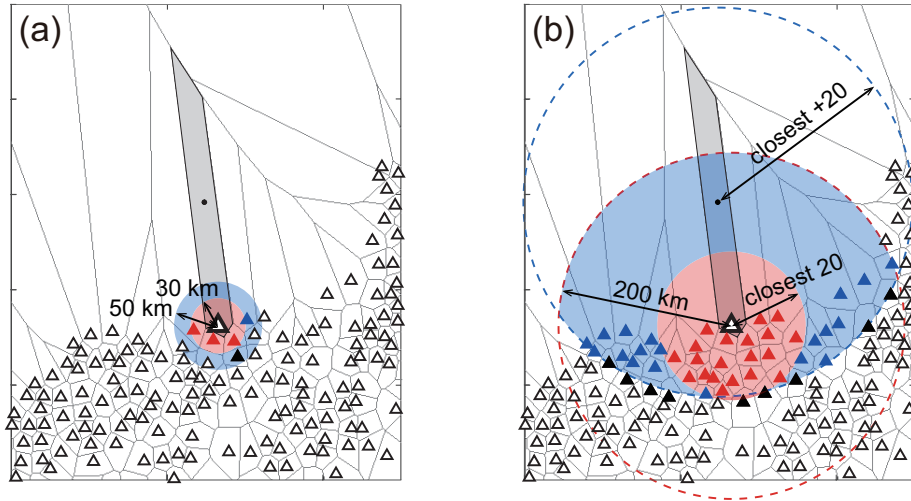


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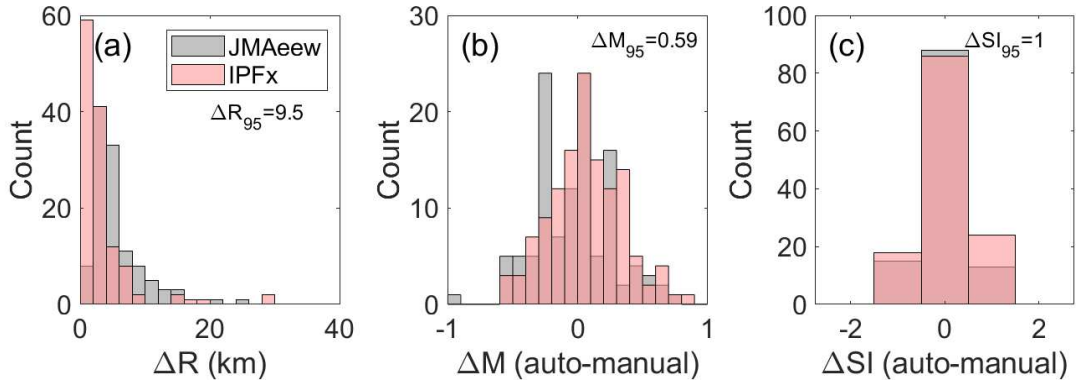


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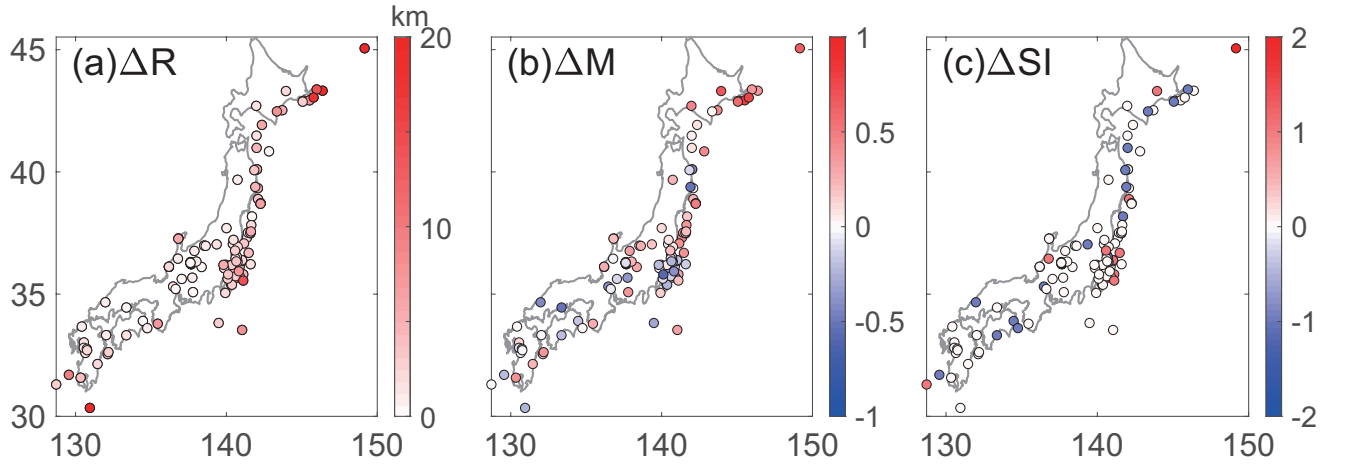


Figure 4. Spatial distribution of (a) location, (b) magnitude, and (c) seismic intensity errors for earthquakes with seismic intensity ≥ 3 .

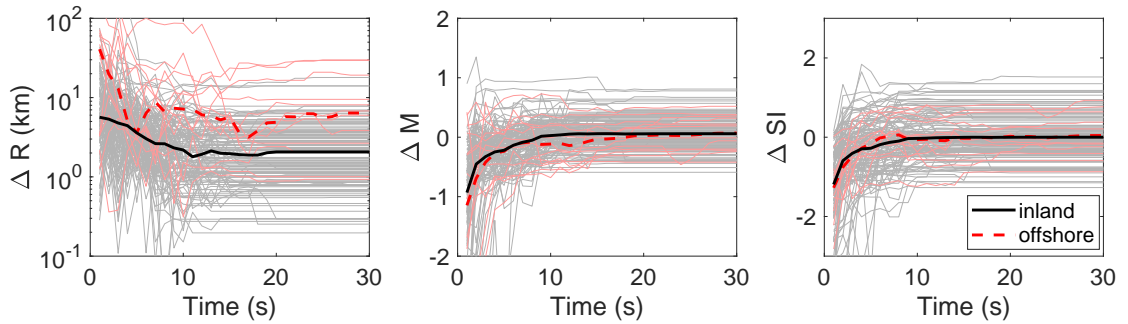


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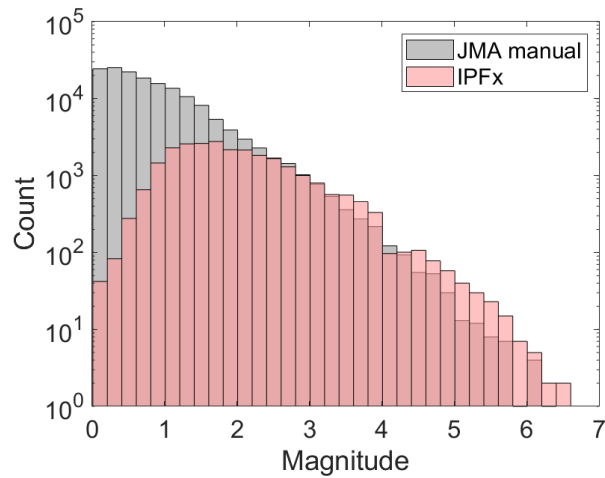


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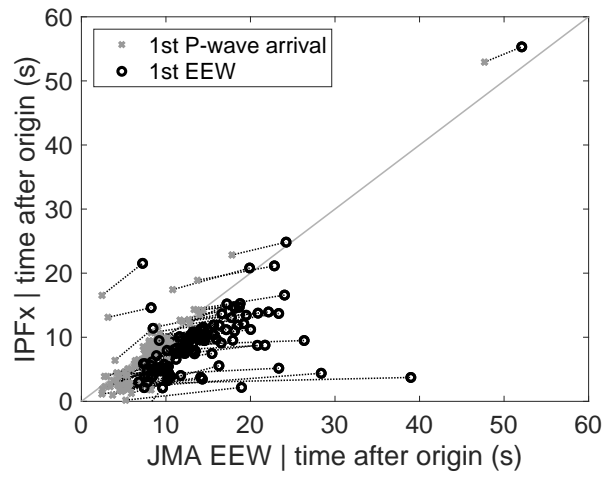


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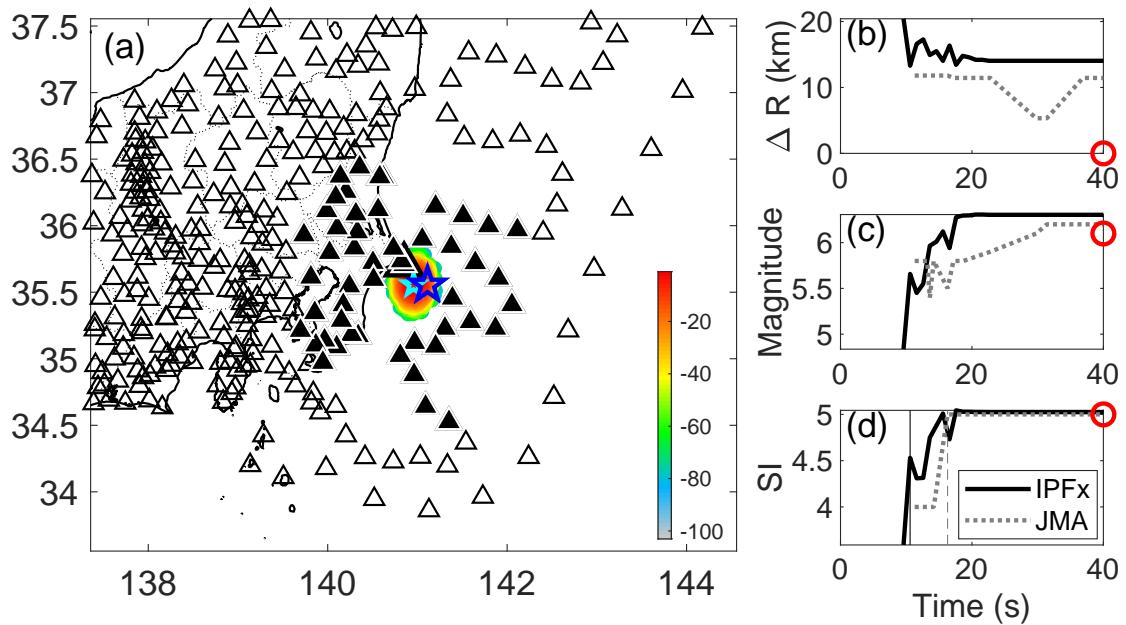


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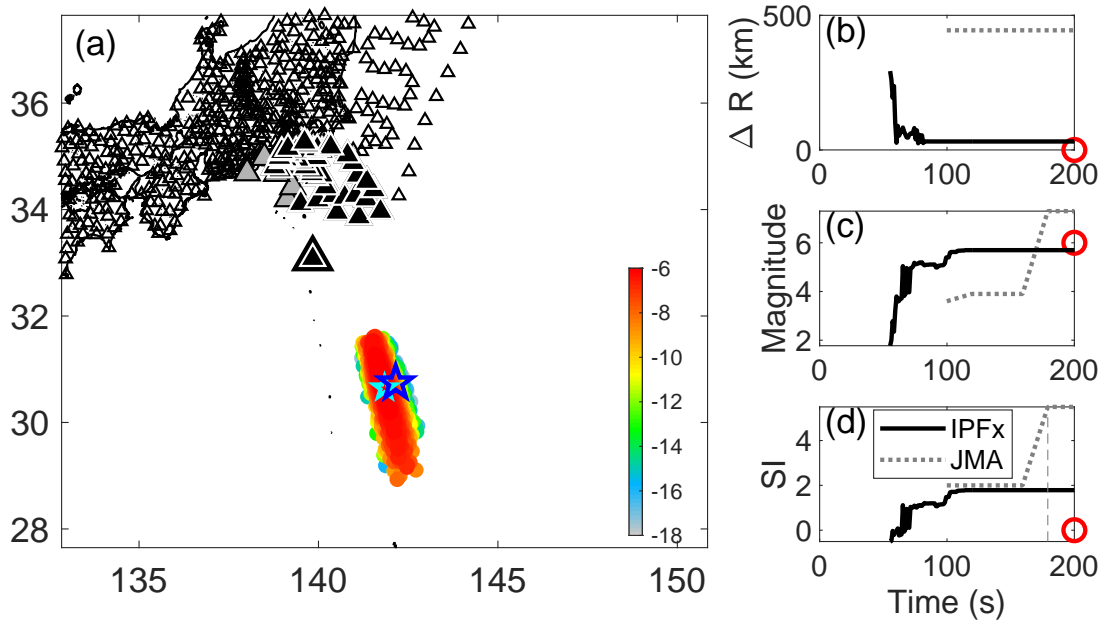


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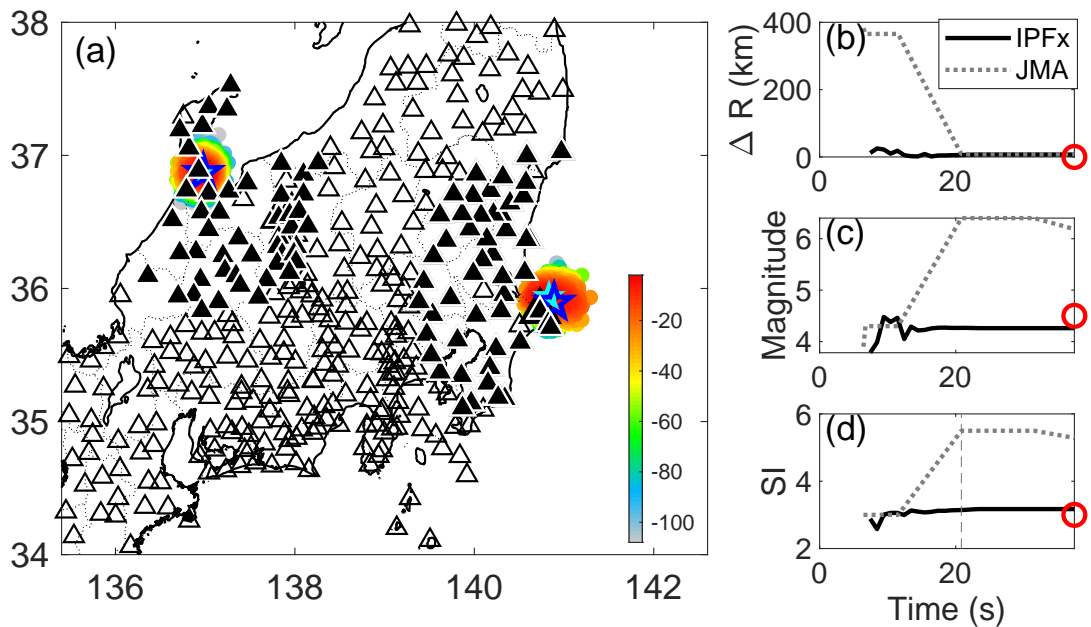


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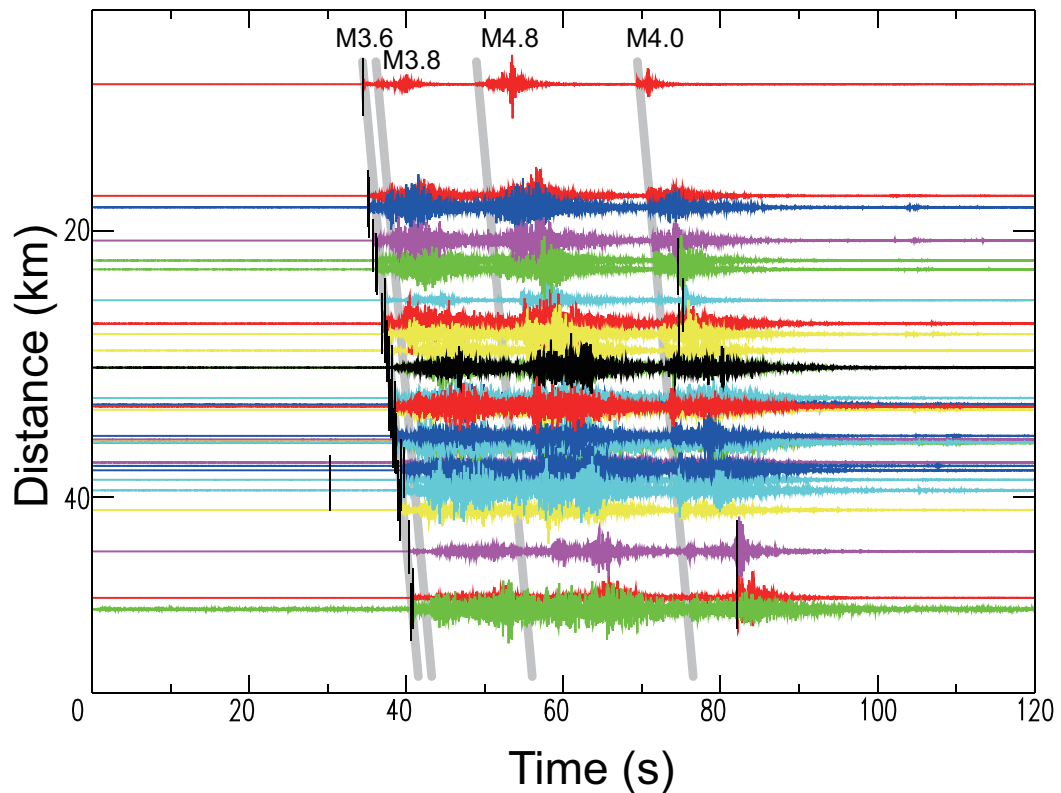


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