

Lehto–Virtanen-type and big Picard-type theorems for Berkovich analytic spaces

By

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Abstract

In non-archimedean setting, we establish a Lehto–Virtanen-type theorem for a morphism from the punctured Berkovich closed unit disk $\overline{D} \setminus \{0\}$ in the Berkovich affine line to the Berkovich projective line \mathbb{P}^1 having an isolated essential singularity at the origin, and then establish a big Picard-type theorem for such an open subset Ω in the Berkovich projective space \mathbb{P}^N of any dimension N that the family of all morphisms from $\overline{D} \setminus \{0\}$ to Ω is normal in a non-archimedean Montel’s sense. As an application of the latter theorem, we see a big Brody-type hyperbolicity of the Berkovich harmonic Fatou set of an endomorphism of \mathbb{P}^N of degree > 1 .

§ 1. Introduction

Let K be a field of any characteristic that is complete with respect to a *non-archimedean* absolute value $|\cdot|$. Let \overline{D} be the Berkovich closed unit disk in the Berkovich affine line $\mathbb{A}^1 = \mathbb{A}^1(K) = \mathbb{A}^1(K)^{\text{an}}$. We note that

$$\overline{D} \cap K = \mathcal{O}_K = \{z \in K : |z| \leq 1\},$$

and that $\overline{D} = \mathbb{P}^1 \setminus U(\overrightarrow{\mathcal{S}_{\text{can}} \infty})$ in the notation in §2.1. We say a morphism f from $\overline{D} \setminus \{0\}$ to a Berkovich K -analytic space X has an *isolated essential singularity at the origin* if f does not extend to a morphism from \overline{D} to any Berkovich K -analytic space. One of our aims is to see the following non-archimedean analog of Lehto–Virtanen and Lehto [10, 9] (see also [12, 13]).

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Theorem 1 (a Lehto–Virtanen-type theorem). *Let K be a field of any characteristic that is complete with respect to a non-archimedean absolute value $|\cdot|$. Then for every morphism f from $\overline{D} \setminus \{0\}$ to the Berkovich projective line $\mathbb{P}^1 = \mathbb{P}^1(K)$ having an isolated essential singularity at the origin, we have*

$$(1.1) \quad \limsup_{r \searrow 0} \text{diam}_\#(f(\{z \in K : |z| = r\})) = \text{diam}_\#(\mathbb{P}^1).$$

Here, $\text{diam}_\#$ is the chordal diameter function on $(2^{\mathbb{P}^1}) \setminus \{\emptyset\}$ with respect to an equipped chordal metric on $\mathbb{P}^1 = \mathbb{P}^1(K)$.

In the proof of Theorem 1, we will normalize the equipped chordal metric on \mathbb{P}^1 as $\text{diam}_\#(\mathbb{P}^1) = 1$. The proof of Theorem 1 is an improvement of some argument in the proof of Rodríguez Vázquez [14, Proposition 7.17], which was a little Picard-type theorem. An argument similar to that in the proof of Theorem 1 also yields the following big version of [14, Proposition 7.17]. For the definition of the non-archimedean Montel-type normality appearing in Theorem 2, see [14, §1, §7] and [4, Introduction].

Theorem 2 (a big Picard-type theorem). *Let K be a field of any characteristic that is complete with respect to a non-archimedean absolute value, and let Ω be an open subset in the Berkovich projective space $\mathbb{P}^N = \mathbb{P}^N(K)$ of any dimension N such that the family $\text{Mor}(\overline{D} \setminus \{0\}, \Omega)$ of all morphisms from $\overline{D} \setminus \{0\}$ to Ω is normal. Then any morphism from $\overline{D} \setminus \{0\}$ to Ω extends to a morphism $\overline{D} \rightarrow \mathbb{P}^N$.*

By Rodríguez Vázquez [14, Theorem C], an example of such an Ω as in Theorem 2 is a component of the (Berkovich) *harmonic Fatou set* $F_{\text{harm}}(f)$ of an endomorphism f of \mathbb{P}^N of degree > 1 ; see [14, Definition 7.9] for the definition of the (Berkovich) harmonic Fatou set of f . Hence we conclude the following.

Corollary 1 (a big Brody-type hyperbolicity of the harmonic Fatou set). *Let K be a field of any characteristic that is complete with respect to a non-archimedean absolute value, and let f be an endomorphism of $\mathbb{P}^N = \mathbb{P}^N(K)$ of any dimension N of degree > 1 . Then any morphism from $\overline{D} \setminus \{0\}$ to the (Berkovich) harmonic Fatou set $F_{\text{harm}}(f)$ of f extends to a morphism $\overline{D} \rightarrow \mathbb{P}^N$.*

The proof of [14, Proposition 7.17] invoked the non-archimedean *little* Picard theorem, which asserts that *any K -analytic mapping $f : \mathbb{A}^1 \rightarrow \mathbb{P}^1$ satisfying $\#(\mathbb{P}^1 \setminus f(\mathbb{A}^1)) \geq 2$ is constant* (see, e.g., [16, (1.3) Proposition]). Our argument in the proofs of Theorems 1 and 2 instead requires a Riemann-type extension theorem (near an isolated singularity) below, which is almost straightforward from the Laurent expansion of a K -analytic function around an isolated singularity of it and the strong triangle inequality, and which can also be adopted to give a more elementary proof of [14, Proposition 7.17].

Proposition 1.1 (a Riemann-type extension theorem). *Let f be a K -analytic function on $\mathcal{O}_K \setminus \{0\}$. If $|f|$ is bounded near 0, then f extends to a K -analytic function on \mathcal{O}_K . In particular, f extends to a morphism $\overline{D} \rightarrow \mathbb{P}^1$.*

§ 2. Background

Let K be a field of any characteristic that is complete with respect to a *non-archimedean* absolute value $|\cdot|$. Recall that the absolute value $|\cdot|$ is said to be non-archimedean if the *strong* triangle inequality $|z + w| \leq \max\{|z|, |w|\}$ holds for any $z, w \in K$. Let $\pi = \pi_N : K^{N+1} \setminus \{(0, \dots, 0)\} \rightarrow \mathbb{P}^N = \mathbb{P}^N(K)$ be the canonical projection associated to \mathbb{P}^N of any dimension N , and let $\|\cdot\| = \|\cdot\|_\ell$ be the *maximal* norm $\|(z_1, \dots, z_\ell)\| = \max\{|z_1|, \dots, |z_\ell|\}$ on K^ℓ of any dimension ℓ . Noting that $\bigwedge^2 K^{N+1} \cong K^{\binom{N+1}{2}}$ as K -linear spaces (cf. [8, §8.1]), the (normalized) *chordal metric* on \mathbb{P}^N is defined as

$$[z, w]_{\mathbb{P}^N} := \frac{\|Z \wedge W\|_{\binom{N+1}{2}}}{\|Z\|_{N+1} \cdot \|W\|_{N+1}}, \quad z, w \in \mathbb{P}^N,$$

where $Z \in \pi^{-1}(z), W \in \pi^{-1}(w)$ (the notation is adopted from Nevanlinna’s and Tsuji’s books [11, 15]), so that $\text{diam}_\#(\mathbb{P}^N) = 1$. We equip $\mathbb{P}^1 = K \cup \{\infty\}$ with this normalized $[z, w]_{\mathbb{P}^1}$ in this section. The topology of \mathbb{P}^1 coincides with the metric topology of $(\mathbb{P}^1, [z, w]_{\mathbb{P}^1})$.

§ 2.1. Berkovich projective line as a tree

For the details on \mathbb{P}^1 , see [1, 5]. For simplicity, we also assume that K is algebraically closed and $|\cdot|$ is non-trivial. As a set, the Berkovich affine line $\mathbb{A}^1 = \mathbb{A}^1(K)$ is the set of all multiplicative seminorms on $K[z]$ extending $|\cdot|$. An element of \mathbb{A}^1 is denoted by \mathcal{S} , and also by $[\cdot]_{\mathcal{S}}$ as a multiplicative seminorm on $K[z]$. The topology of \mathbb{A}^1 is the weakest topology such that for any $\phi \in K[z]$, the function $\mathbb{A}^1 \ni \mathcal{S} \mapsto [\phi]_{\mathcal{S}} \in \mathbb{R}_{\geq 0}$ is continuous, and then \mathbb{A}^1 is a locally compact, uniquely arcwise connected, Hausdorff topological space. A subset B in K is called a *K -closed disk* if

$$B = B(a, r) := \{z \in K : |z - a| \leq r\}$$

for some $a \in K$ and some $r \geq 0$. For any K -closed disks B, B' , if $B \cap B' \neq \emptyset$, then either $B \subset B'$ or $B' \subset B$ (by the strong triangle inequality). The Berkovich representation [3] asserts that any element $\mathcal{S} \in \mathbb{A}^1$ is induced by a non-increasing and nesting sequence (B_n) of K -closed disks in that $[\phi]_{\mathcal{S}} = \inf_{n \in \mathbb{N}} \sup_{z \in B_n} |\phi(z)|$ for any $\phi \in K[z]$; a point $\mathcal{S} \in \mathbb{A}^1$ is said to be of *type I, II, III, and IV* if \mathcal{S} can be induced by a (constant sequence of a singleton $B(a, 0) = \{a\}$ consisting of a unique) point $a \in \mathbb{A}^1$, a (constant sequence

of a) K -closed disk $B(a, r)$ satisfying $r \in |K^*|$, a (constant sequence of a) K -closed disk $B(a, r)$ satisfying $r \in \mathbb{R}_{>0} \setminus |K^*|$, and any other case holds, respectively. We identify, as a set, K with the set of all type I points in \mathbb{P}^1 .

Any $[\cdot]_{\mathcal{S}} \in \mathbb{A}^1$ extends to the function $K(z) \rightarrow \mathbb{R}_{\geq 0} \cup \{+\infty\}$ such that, for any $\phi = \phi_1/\phi_2 \in K(z)$ where $\phi_1, \phi_2 \in K[z]$ are coprime, $[\phi]_{\mathcal{S}} = [\phi_1]_{\mathcal{S}}/[\phi_2]_{\mathcal{S}} \in \mathbb{R}_{\geq 0} \cup \{+\infty\}$, and we also regard $\infty \in \mathbb{P}^1$ as the function $[\cdot]_{\infty} : K(z) \rightarrow \mathbb{R}_{\geq 0} \cup \{+\infty\}$ such that for every $\phi \in K(z)$, $[\phi]_{\infty} = |\phi(\infty)| \in \mathbb{R}_{\geq 0} \cup \{+\infty\}$. As a set, the Berkovich projective line $\mathbb{P}^1 = \mathbb{P}^1(K)$ is nothing but $\mathbb{A}^1 \cup \{\infty\}$. The point ∞ is also said to be of type I.

Set $\mathbb{H}^1 := \mathbb{P}^1 \setminus \mathbb{P}^1$, and let \mathbb{H}_{II}^1 (resp. $\mathbb{H}_{\text{III}}^1$) be the set of all type II (resp. type III) points in \mathbb{P}^1 . The *Gauss (or canonical) point*

$$\mathcal{S}_{\text{can}} \in \mathbb{H}_{\text{II}}^1$$

is induced by the (constant sequence of the) K -closed disk $\mathcal{O}_K = B(0, 1)$, that is, the ring of K -integers. Let \mathcal{M}_K be the unique maximal ideal of \mathcal{O}_K and k be the residue field $\mathcal{O}_K/\mathcal{M}_K$ of K .

An ordering \leq_{∞} on \mathbb{P}^1 is defined so that for any $\mathcal{S}, \mathcal{S}' \in \mathbb{P}^1$, $\mathcal{S} \leq_{\infty} \mathcal{S}'$ if and only if $[\cdot]_{\mathcal{S}} \leq_{\infty} [\cdot]_{\mathcal{S}'}$ on $K[z]$. For any $\mathcal{S}, \mathcal{S}' \in \mathbb{P}^1$, if $\mathcal{S} \leq_{\infty} \mathcal{S}'$, then set $[\mathcal{S}, \mathcal{S}'] = [\mathcal{S}', \mathcal{S}] := \{\mathcal{S}'' \in \mathbb{P}^1 : \mathcal{S} \leq_{\infty} \mathcal{S}'' \leq_{\infty} \mathcal{S}'\}$, and in general, there is the unique point, say, $\mathcal{S} \wedge_{\infty} \mathcal{S}' \in \mathbb{P}^1$ such that $[\mathcal{S}, \infty] \cap [\mathcal{S}', \infty] = [\mathcal{S} \wedge_{\infty} \mathcal{S}', \infty]$, and set

$$[\mathcal{S}, \mathcal{S}'] := [\mathcal{S}, \mathcal{S} \wedge_{\infty} \mathcal{S}'] \cup [\mathcal{S} \wedge_{\infty} \mathcal{S}', \mathcal{S}'].$$

These *closed intervals* $[\mathcal{S}, \mathcal{S}'] \subset \mathbb{P}^1$ make \mathbb{P}^1 an “ \mathbb{R} -”tree in the sense of Jonsson [7, Definition 2.2]. For any $\mathcal{S} \in \mathbb{P}^1$, the equivalence class $T_{\mathcal{S}}\mathbb{P}^1 := (\mathbb{P}^1 \setminus \{\mathcal{S}\})/\sim$ is defined so that for any $\mathcal{S}', \mathcal{S}'' \in \mathbb{P}^1 \setminus \{\mathcal{S}\}$, $\mathcal{S}' \sim \mathcal{S}''$ if $[\mathcal{S}, \mathcal{S}'] \cap [\mathcal{S}, \mathcal{S}''] = [\mathcal{S}, \mathcal{S}' \wedge_{\mathcal{S}} \mathcal{S}'']$ for some point say $\mathcal{S}' \wedge_{\mathcal{S}} \mathcal{S}'' \in \mathbb{P}^1 \setminus \{\mathcal{S}\}$. An element of $T_{\mathcal{S}}\mathbb{P}^1$ is called a *direction* of \mathbb{P}^1 at \mathcal{S} and denoted by \mathbf{v} , and also by $U(\mathbf{v}) = U_{\mathcal{S}}(\mathbf{v})$ as a subset in $\mathbb{P}^1 \setminus \{\mathcal{S}\}$. If $\mathbf{v} \in T_{\mathcal{S}}\mathbb{P}^1$ is represented by an element $\mathcal{S}' \in \mathbb{P}^1 \setminus \{\mathcal{S}\}$, then we also write \mathbf{v} as $\overline{\mathcal{S}\mathcal{S}'}$. A point $\mathcal{S} \in \mathbb{P}^1$ is of type either I or IV if and only if $\#T_{\mathcal{S}}\mathbb{P}^1 = 1$, that is, \mathcal{S} is an end point of \mathbb{P}^1 as a tree. On the other hand, a point $\mathcal{S} \in \mathbb{P}^1$ is of type II (resp. type III) if and only if $\#T_{\mathcal{S}}\mathbb{P}^1 > 2$ (resp. = 2). A (Berkovich) *strict connected open affinoid* in \mathbb{P}^1 is a non-empty subset in \mathbb{P}^1 which is the intersection of some finitely many elements of $\{U(\mathbf{v}) : \mathcal{S} \in \mathbb{H}_{\text{II}}^1, \mathbf{v} \in T_{\mathcal{S}}\mathbb{P}^1\}$. The topology of \mathbb{P}^1 has the quasi-open basis $\{U(\mathbf{v}) : \mathcal{S} \in \mathbb{P}^1, \mathbf{v} \in T_{\mathcal{S}}\mathbb{P}^1\}$, so has the open basis consisting of all Berkovich strict connected open affinoids in \mathbb{P}^1 . Both \mathbb{P}^1 and \mathbb{H}_{II}^1 are dense in \mathbb{P}^1 , the set $U(\mathbf{v})$ is a component of $\mathbb{P}^1 \setminus \{\mathcal{S}\}$ for each $\mathcal{S} \in \mathbb{P}^1$ and each $\mathbf{v} \in T_{\mathcal{S}}\mathbb{P}^1$, and for any $\mathcal{S}, \mathcal{S}' \in \mathbb{P}^1$, the interval $[\mathcal{S}, \mathcal{S}']$ is the unique arc in \mathbb{P}^1 between \mathcal{S} and \mathcal{S}' .

We also denote the *left-half open interval* $[\mathcal{S}, \mathcal{S}') \setminus \{\mathcal{S}\} \subset \mathbb{P}^1$ by $(\mathcal{S}, \mathcal{S}']$. For every $0 < r \leq 1$, letting $\mathcal{S}(r) \in (0, \mathcal{S}_{\text{can}}]$ be the point induced by the (constant sequence of

the) K -closed disk $B(0, r)$, we have

$$(2.1) \quad \{z \in K : |z| = r\} = \bigcup_{\mathbf{v} \in T_{\mathcal{S}(r)}\mathbb{P}^1 \setminus \{\overrightarrow{\mathcal{S}(r)0}, \overrightarrow{\mathcal{S}(r)\infty}\}} (U(\mathbf{v}) \cap \mathbb{P}^1).$$

The normalized chordal metric $[z, w]_{\mathbb{P}^1}$ on \mathbb{P}^1 extends to an upper semicontinuous and separately continuous function $(\mathcal{S}, \mathcal{S}') \mapsto [\mathcal{S}, \mathcal{S}']_{\text{can}}$ on $\mathbb{P}^1 \times \mathbb{P}^1$, which is called the *generalized Hsia kernel* function on \mathbb{P}^1 with respect to \mathcal{S}_{can} ([1, §4.4]); the function $\mathcal{S} \mapsto [\mathcal{S}, \mathcal{S}]_{\text{can}}$ is *continuous on any interval* in \mathbb{P}^1 , and for every $\mathcal{S} \in \mathbb{P}^1$ and every $\mathbf{v} \in T_{\mathcal{S}}\mathbb{P}^1$, we have

$$(2.2) \quad \text{diam}_{\#}(U(\mathbf{v}) \cap \mathbb{P}^1) = \begin{cases} [\mathcal{S}_{\text{can}}, \mathcal{S}_{\text{can}}]_{\text{can}} = 1 & \text{if } \mathcal{S} = \mathcal{S}_{\text{can}}, \\ [\mathcal{S}_{\text{can}}, \mathcal{S}_{\text{can}}]_{\text{can}} = 1 & \text{if } \mathcal{S} \neq \mathcal{S}_{\text{can}} \text{ and } \mathbf{v} = \overrightarrow{\mathcal{S}\mathcal{S}_{\text{can}}}, \\ [\mathcal{S}, \mathcal{S}]_{\text{can}} & \text{if } \mathcal{S} \in (\mathbb{H}_{\text{II}}^1 \setminus \{\mathcal{S}_{\text{can}}\}) \cup \mathbb{H}_{\text{III}}^1 \text{ and } \mathbf{v} \neq \overrightarrow{\mathcal{S}\mathcal{S}_{\text{can}}}. \end{cases}$$

§ 2.2. Mapping properties of morphisms

Any non-constant morphism f from an open neighborhood of a point $\mathcal{S} \in \mathbb{P}^1$ to \mathbb{P}^1 is finite to one *near* \mathcal{S} and induces a *surjection* $f_* = (f_*)_{\mathcal{S}} : T_{\mathcal{S}}\mathbb{P}^1 \rightarrow T_{f(\mathcal{S})}\mathbb{P}^1$, which is called the *tangent map* of f at \mathcal{S} ; when $f(\mathcal{S}_{\text{can}}) = \mathcal{S}_{\text{can}}$, $(f_*)_{\mathcal{S}_{\text{can}}}$ is regarded as the action on $\mathbb{P}^1(k)$ of the reduction $\tilde{f} \in k(z)$ of f (see, e.g., [7, §2.6, §4.5] for the details).

Let $f : \overline{D} \setminus \{0\} \rightarrow \mathbb{P}^1$ be a non-constant morphism. Then from a general mapping property of a non-constant K -analytic mapping from a disk in \mathbb{A}^1 to \mathbb{P}^1 (see, e.g., [2, §3]), for every $\mathcal{S} \in (0, \mathcal{S}_{\text{can}}]$ and every $\mathbf{v} \in T_{\mathcal{S}}\mathbb{P}^1 \setminus \{\overrightarrow{\mathcal{S}0}, \overrightarrow{\mathcal{S}\infty}\}$,

$$(2.3) \quad \text{if } f(U_{\mathcal{S}}(\mathbf{v})) \neq \mathbb{P}^1, \text{ then } f(U_{\mathcal{S}}(\mathbf{v})) = U_{f(\mathcal{S})}(f_*\mathbf{v}).$$

§ 3. Proofs of Theorems 1 and 2

With no loss of generality, we also assume that K is algebraically closed and $|\cdot|$ is non-trivial. We equip \mathbb{P}^1 with the normalized chordal metric $[z, w]_{\mathbb{P}^1}$ defined in Section 2, so $\text{diam}_{\#}(\mathbb{P}^1) = 1$.

Proof of Theorem 1. Let $f : \overline{D} \setminus \{0\} \rightarrow \mathbb{P}^1$ be a morphism, and suppose that f does not satisfy (1.1). Then by (2.1), for every $\mathcal{S} \in (0, \mathcal{S}_{\text{can}}]$ close enough to 0 and every $\mathbf{v} \in T_{\mathcal{S}}\mathbb{P}^1 \setminus \{\overrightarrow{\mathcal{S}0}, \overrightarrow{\mathcal{S}\infty}\}$, we have $f(U(\mathbf{v})) \neq \mathbb{P}^1$, and in turn by (2.2), (2.3), and the continuity of f , there is $\mathbf{u}_0 \in T_{\mathcal{S}_{\text{can}}}\mathbb{P}^1$ such that $f(\mathcal{S}) \subset U(\mathbf{u}_0)$ for every $\mathcal{S} \in (0, \mathcal{S}_{\text{can}}]$ close enough to 0. Then, under the assumption that f does not satisfy (1.1), by (2.2) and (2.3), for any $\mathcal{S} \in (0, \mathcal{S}_{\text{can}}]$ close enough to 0, we even have

$$f(\mathbb{P}^1 \setminus (U(\overrightarrow{\mathcal{S}0}) \cup U(\overrightarrow{\mathcal{S}\infty}))) \subset U(\mathbf{u}_0),$$

and then by (2.1) and a Riemann-type extension theorem (Proposition 1.1), f extends to a morphism from \mathbb{D} to a K -analytic space. \square

Proof of Theorem 2. We can assume $N = 1$ by an argument similar to that in the final paragraph in [14, Proof of Proposition 7.17] involving not only the existence of a nice lifting of $f|(\mathcal{O}_K \setminus \{0\})$ to a morphism $\mathcal{O}_K \setminus \{0\} \rightarrow \mathbb{A}^{N+1}$ through the canonical projection $\pi : \mathbb{A}^{N+1} \setminus \{(0, \dots, 0)\} \rightarrow \mathbb{P}^N$ (using [6, Theorem 2.7.6]) but also the projection $\mathbb{A}^{N+1} \setminus \{z_0 = 0\} \mapsto [z_0 : z_1] \in \mathbb{P}^1$.

Let Ω be an open subset in \mathbb{P}^1 . Suppose that $\text{Mor}(\overline{\mathbb{D}} \setminus \{0\}, \Omega)$ is normal and, to the contrary, that there is a morphism $f : \overline{\mathbb{D}} \setminus \{0\} \rightarrow \Omega$ having an isolated essential singularity at the origin.

(i). If there is a point $\mathcal{S}_0 \in \mathbb{H}_{\text{II}}^1$ such that $\#(f^{-1}(\mathcal{S}_0) \cap (0, \mathcal{S}_{\text{can}}]) = \infty$, then we can conclude a contradiction by an argument similar to that in the former half of [14, Proof of Theorem 7.17]. For completeness, we include the argument; by the surjectivity of $f_* : T_{\mathcal{S}}\mathbb{P}^1 \rightarrow T_{\mathcal{S}_0}\mathbb{P}^1$ for every $\mathcal{S} \in f^{-1}(\mathcal{S}_0) \cap (0, \mathcal{S}_{\text{can}}]$ (and by $\#T_{\mathcal{S}_0}\mathbb{P}^1 = \infty > 2$), there are a sequence (\mathcal{S}_n) in $f^{-1}(\mathcal{S}_0) \cap (0, \mathcal{S}_{\text{can}}]$ tending to 0 as $n \rightarrow \infty$ and a direction $\mathbf{u}_0 \in T_{\mathcal{S}_0}\mathbb{P}^1$ such that for every $n \in \mathbb{N}$, $\mathbf{u}_0 \in f_*(T_{\mathcal{S}_n}\mathbb{P}^1 \setminus \{\overrightarrow{\mathcal{S}_n 0}, \overrightarrow{\mathcal{S}_n \infty}\})$. Then fixing a point $a_0 \in U(\mathbf{u}_0) \cap \mathbb{P}^1$, for every $n \in \mathbb{N}$, there is a point $b_n \in \mathbb{P}^1 \cap f^{-1}(a_0)$ such that $\overrightarrow{\mathcal{S}_n b_n} \in T_{\mathcal{S}_n}\mathbb{P}^1 \setminus \{\overrightarrow{\mathcal{S}_n 0}, \overrightarrow{\mathcal{S}_n \infty}\}$. For every $n \in \mathbb{N}$, by (2.1), \mathcal{S}_n is induced by the (constant sequence of the) K -closed disk $B(0, |b_n|)$.

Now setting

$$g_n(z) := f(b_n! \cdot z^{n!}) \in \text{Mor}(\overline{\mathbb{D}} \setminus \{0\}, \Omega)$$

for each $n \in \mathbb{N}$, under the assumption that $\text{Mor}(\overline{\mathbb{D}} \setminus \{0\}, \Omega)$ is *normal* in the sense of [4, Introduction], taking a subsequence of (g_n) if necessary, the (*pointwise*) limit $g := \lim_{n \rightarrow \infty} g_n$ on $\overline{\mathbb{D}} \setminus \{0\}$ exists and is a *continuous* mapping $\overline{\mathbb{D}} \setminus \{0\} \rightarrow \mathbb{P}^1$. Then

$$g(\mathcal{S}_{\text{can}}) = \lim_{n \rightarrow \infty} g_n(\mathcal{S}_{\text{can}}) = \lim_{n \rightarrow \infty} f(\mathcal{S}_n!) = \mathcal{S}_0.$$

On the other hand, we can fix a sequence (ζ_m) in K such that $(\zeta_m)^m = 1$ (so $\zeta_m \in \overline{\mathbb{D}} \setminus \{0\}$) for every $m \in \mathbb{N}$ and that $\lim_{m \rightarrow \infty} \zeta_m = \mathcal{S}_{\text{can}}$. Then for any $m \in \mathbb{N}$,

$$g(\zeta_m) = \lim_{n \rightarrow \infty} g_n(\zeta_m) = \lim_{n \rightarrow \infty} f(b_n! \cdot 1^{n!/m}) = \lim_{n \rightarrow \infty} f(b_n!) = a_0, \quad \text{and in turn}$$

$$g(\mathcal{S}_{\text{can}}) = \lim_{m \rightarrow \infty} g(\zeta_m) = \lim_{m \rightarrow \infty} a_0 = a_0.$$

Hence we must have $\mathbb{P}^1 \ni a_0 = \mathcal{S}_0 \in \mathbb{H}_{\text{II}}^1$, which is a contradiction.

(ii). If there is a sequence (\mathcal{S}_n) in $(0, \mathcal{S}_{\text{can}}]$ tending to 0 as $n \rightarrow \infty$ such that for every $n \in \mathbb{N}$, there is a direction $\mathbf{v}_n \in T_{\mathcal{S}_n}\mathbb{P}^1 \setminus \{\overrightarrow{\mathcal{S}_n 0}, \overrightarrow{\mathcal{S}_n \infty}\}$ satisfying $f(U(\mathbf{v}_n)) = \mathbb{P}^1$, then taking a subsequence of (\mathcal{S}_n) if necessary, there is a direction $\mathbf{u}_0 \in T_{\mathcal{S}_{\text{can}}}\mathbb{P}^1$ such

that $f(\mathcal{S}_n) \in \mathbb{P}^1 \setminus U(\mathbf{u}_0)$ for any $n \in \mathbb{N}$. Fixing a point $a_0 \in \mathbb{P}^1 \cap U(\mathbf{u}_0)$, there is a point $b_n \in \mathbb{P}^1 \cap U(\mathbf{v}_n) \cap f^{-1}(a_0)$ for each $n \in \mathbb{N}$.

Now by a (branched) rescaling argument similar to that in the case (i), we must have $U(\mathbf{u}_0) \ni a_0 \in \overline{\{f(\mathcal{S}_n) : n \in \mathbb{N}\}} \subset \mathbb{P}^1 \setminus U(\mathbf{u}_0)$. This is a contradiction.

(iii). Suppose finally that for every $\mathcal{S} \in H_{II}^1$, $\#(f^{-1}(\mathcal{S}) \cap (0, \mathcal{S}_{can}]) < \infty$ and that for every $\mathcal{S} \in (0, \mathcal{S}_{can}]$ close enough to 0 and every $\mathbf{v} \in T_{\mathcal{S}}\mathbb{P}^1 \setminus \{\overrightarrow{\mathcal{S}0}, \overrightarrow{\mathcal{S}\infty}\}$, $f(U(\mathbf{v})) \neq \mathbb{P}^1$.

Then under the former assumption (for $\mathcal{S} = \mathcal{S}_{can}$), by the continuity of f , there is $\mathbf{u}_0 \in T_{\mathcal{S}_{can}}\mathbb{P}^1$ such that $f(\mathcal{S}) \subset U(\mathbf{u}_0)$ for every $\mathcal{S} \in (0, \mathcal{S}_{can}]$ close enough to 0. Then under the latter assumption, by (2.1), (2.3), and a Riemann-type extension theorem (Proposition 1.1), there must exist a sequence (\mathcal{S}_n) in $(0, \mathcal{S}_{can}]$ tending to 0 as $n \rightarrow \infty$ such that for every $n \in \mathbb{N}$, $f(\mathcal{S}_n) \in U(\mathbf{u}_0)$ and there is a direction $\mathbf{v}_n \in T_{\mathcal{S}_n}\mathbb{P}^1 \setminus \{\overrightarrow{\mathcal{S}_n0}, \overrightarrow{\mathcal{S}_n\infty}\}$ satisfying

$$f_*(\mathbf{v}_n) = \overrightarrow{f(\mathcal{S}_n)\mathcal{S}_{can}},$$

and then $f(U(\mathbf{v}_n)) \supset \mathbb{P}^1 \setminus U(\mathbf{u}_0)$. Fixing a point $a_0 \in \mathbb{P}^1 \setminus U(\mathbf{u}_0) = \mathbb{P}^1 \setminus \overline{U(\mathbf{u}_0)}$, there is a point $b_n \in \mathbb{P}^1 \cap U(\mathbf{v}_n) \cap f^{-1}(a_0)$ for each $n \in \mathbb{N}$.

Now by a (branched) rescaling argument similar to that in the case (i), we have $\mathbb{P}^1 \setminus \overline{U(\mathbf{u}_0)} \ni a_0 \in \overline{\{f(\mathcal{S}_n) : n \in \mathbb{N}\}} \subset \overline{U(\mathbf{u}_0)}$. This is a contradiction. \square

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