

1 Evolution of plastic deformation behavior upon strain-path changes in an A6022-T4 Al
2 alloy sheet

3
4
5 Takayuki HAMA*1

6 Shogo YAGI*1

7 Koji TATSUKAWA*1

8 Yasuhiro MAEDA*2

9 Yasushi MAEDA*2

10 Hirohiko TAKUDA*1

11
12 *1 Graduate School of Energy Science, Kyoto University, Yoshida-honmachi, Sakyo-ku, Kyoto 606-
13 8501, Japan

14 *2 Materials Research Laboratory, Technical Development Group, KOBE STEEL, LTD.
15 1-5-5 Takatsukadai, Nishi-ku, Kobe, HYOGO 651-2271 JAPAN

16
17 Corresponding author: Takayuki HAMA (hama@energy.kyoto-u.ac.jp)

18
19 Keywords: Al alloy sheet; crystal plasticity finite-element method; biaxial tension; strain-path change;
20 plastic flow; strain rate sensitivity

21
22
23 **Highlights**

24 * The evolution of plastic flow after strain-path changes is measured experimentally under various
25 strain paths in an A6022-T4 Al alloy sheet.

26 * After abrupt change, the plastic work increment of $4.0 \text{ MJ} \cdot \text{m}^{-3}$ is necessary before the plastic flow
27 can be represented by the associated flow rule again.

28 * The temporal deviation from the associated flow rule after abrupt change occurs because the stress
29 ratio cannot follow the change of the direction of plastic strain rate.

30 * Eventual plastic flow is the same regardless of path change if the final strain paths are identical.
31

32

33 **Abstract**

34 The plastic deformation behavior under various strain-path changes in an A6022-T4 Al alloy sheet was
35 studied, focusing on the evolution of the direction of the plastic strain rate θ and the stress ratio φ
36 after abrupt strain-path changes. A cruciform specimen was used to measure the evolution of the plastic
37 deformation behavior after abrupt strain-path change experimentally. Before the strain-path change,
38 the deformation was represented well by the associated flow rule with the Yld2000-2d yield function
39 and isotropic hardening assumption. After the abrupt path change, the deformation temporarily
40 deviated from the associated flow rule, and it could be represented again by the associated flow rule
41 after the plastic work increased roughly $4.0 \text{ MJ} \cdot \text{m}^{-3}$, which corresponded to the strain increment of
42 approximately 0.024 under uniaxial tension in the rolling direction. The transitions of θ and φ as a
43 function of plastic work showed that both θ and φ tend to converge to certain values regardless of
44 the strain path if the final strain-path angles are identical. It was also found that the relationships
45 between φ and θ temporarily deviate from the associated flow rule immediately after the abrupt
46 path changes because φ cannot follow the rapid change of θ . Crystal plasticity finite-element
47 simulations reproduced the qualitative tendencies observed in the experiments, but the deviations from
48 the associated flow rule were much more pronounced. Parametric studies showed that φ tends to
49 converge to different values depending on the strain rate sensitivity, whereas θ tends to converge to
50 a same value irrespective of the rate sensitivity exponent. The rate sensitivity exponent $m = 0.044$ gave
51 the best fits with the experimental results in terms of the evolution of both θ and φ under an abrupt
52 change path, although the rate sensitivity exponent determined from macroscopic stress-strain curves
53 were $m = 0.002$.

54

55 1. Introduction

56 The weight reduction of automobiles is greatly needed to reduce CO₂ emissions (Hirsch, 2011);
57 therefore, the demand for lightweight sheet metals, including Al and Mg alloy sheets, has been
58 increasing. However, because the formability of these lightweight materials is notably different from
59 that of conventional steel sheets, finite-element method forming simulations are essential to help
60 determine the optimal forming conditions.

61 In sheet metal forming simulations, although recently nonassociated flow rules and nonnormality
62 modeling have been actively studied (e.g., Stoughton, 2002; Stoughton and Yoon, 2004, 2009; Cvitanić,
63 et al., 2008; Taherizadeh et al., 2010; Safaei et al., 2014; Lee et al., 2017), the associated flow rule is
64 still often used to represent the plastic deformation behavior of sheet metals for industrial applications.
65 Past studies have shown that the associated flow rule is applicable to reproduce the plastic flow of
66 some materials under linear loading paths, including a brass tube (Hill et al., 1994), a cold-rolled steel
67 sheet (Kuwabara et al., 1998), and an A5154-H112 extruded tube (Kuwabara et al., 2005). In contrast,
68 some studies also reported that the associated flow rule was not applicable when abrupt strain-path
69 changes were involved. For example, Kuroda and Tvergaard (1999) conducted crystal plasticity
70 simulations of abrupt strain-path change tests and showed that the direction of the plastic strain rate
71 after the strain-path change deviated greatly from the normal to the yield surface identified using an
72 abrupt strain-path change test. An abrupt strain-path change test was also conducted experimentally
73 for 6000 series Al alloy and SPCE sheets by Kuwabara et al. (2000) and a pure titanium sheet by
74 Kuwabara et al. (2008) using a cruciform specimen. They also observed a large deviation in the
75 direction of the plastic strain rate from the normal to the contours of equal plastic work.

76 Because strain-path changes or instantaneous changes of the deformation mode often occur during
77 sheet metal forming processes (Ito, 2005), to perform reliable simulations of metal forming processes,
78 it is vital to understand the plastic flow behavior under a variety of loading paths in detail and to
79 incorporate the characteristics into material modeling. To this end, some quantitative studies were
80 conducted both experimentally and numerically. Yoshida (2017) carried out crystal plasticity
81 simulations to investigate the plastic flow behavior under linear and nonlinear loading paths. By
82 comparing the direction of the plastic strain rate at the same stress state between the linear and
83 nonlinear loading paths, it was concluded that the direction of the plastic strain rate depended on not
84 only the stress state but also the direction of the strain rate. A phenomenological flow rule was also
85 proposed that considered these behaviors. Yoshida and Tsuchimoto (2018) conducted experiments on
86 tension–torsion combined loadings under linear and nonlinear strain paths using pure Al (A1050-O)
87 and ultralow carbon steel tubes. The experimental observations were consistent with those obtained
88 from the abovementioned crystal plasticity simulations and with the results reported by Phillips and
89 Lu (1984) in which 1100-O Al was subjected to tension–torsion tests. They also improved Yoshida’s
90 pseudo-corner model (Yoshida, 2017) to obtain better agreement with the experimental results.
91 Recently, Yoshida and Okada (2020) performed similar experiments over a large strain range and

92 examined the effect of the magnitude of the plastic strain at the change of the loading direction on the
93 plastic flow after the path change, showing that the effect of the magnitude of the plastic strain was
94 negligible. They also conducted crystal plasticity simulations and showed that the latent and kinematic
95 hardening of slip systems did not affect the plastic flow after the path change. Yang and Balan (2019)
96 performed simulations of abrupt strain-path change tests using an elasto-viscoplastic
97 phenomenological model with the associated flow rule. They showed that the viscosity resulted in an
98 apparent vertex, although the direction of the plastic strain rate was always normal to the stress path
99 both before and after strain-path changes. They also showed that kinematic hardening and elasticity
100 could also affect the deformation behavior after strain-path changes, even when the normality rule was
101 assumed in the viscoplastic constitutive model.

102 Most of the past studies focused their attention on the instantaneous plastic flow behavior at the
103 change of strain paths, including the vertex on the yield surface (e.g., Kuroda and Tvergaard, 1999;
104 Kuwabara et al., 2000; Kuroda and Tvergaard, 2001; Kuwabara et al., 2008) and the direction of plastic
105 strain rate immediately after strain-path changes (e.g., Yoshida, 2017; Yoshida and Tsuchimoto, 2018;
106 Yang and Balan, 2019). It has been understood from the past studies that the nonnormality occurs just
107 after abrupt strain path changes because of instability at the strain-path change point, and it tends to
108 disappear as the plastic deformation progresses. Kuroda and Tvergaard (1999) showed from their
109 crystal plasticity simulations of abrupt strain-path change tests of single crystals that the direction of
110 plastic strain rate changed quickly immediately after the abrupt change and then it became completely
111 normal to the stress path. Yang and Balan (2019) examined the evolution of the direction of viscoplastic
112 strain rate after abrupt path changes and showed that after a slight progress in plastic deformation the
113 direction of viscoplastic strain rate tended to converge to fulfill the associated flow rule again. However,
114 most of these results were numerical, and experimental results of further evolution of the plastic flow
115 behavior after abrupt strain-path change have hardly been reported. Particularly, the amount of plastic
116 strain increment which is necessary before convergence is not understood. Yoshida and Okada (2020)
117 studied the plastic flow behavior for a wide range of strains before abrupt strain-path change, but the
118 evolution after abrupt strain-path change to large strains was not examined. Indeed, because strain-
119 path changes frequently occur during press forming of sheet metals and, moreover, plastic instability,
120 including necking, often arises at large strains, it would be significant to study the plastic flow behavior
121 over a large strain range both before and after path changes. Thus, it is important to study further the
122 evolution of the plastic flow behavior after abrupt strain path changes experimentally and the
123 applicability of the associated flow rule for practical purposes.

124 For these reasons, in the present study, experiments of biaxial tensile tests of an A6022-T4 Al alloy
125 sheet were performed under various linear and nonlinear strain paths. The tests were conducted within
126 the first quadrant of the stress space until the plastic flow roughly converged to a certain state or
127 fracture occurred. Then, the evolution and convergence of the plastic flow behavior was investigated
128 especially focusing on abrupt strain-path change tests. The applicability of the associated flow rule
129 was also discussed from the practical viewpoint. Cruciform specimens were used for this purpose.

130 Crystal plasticity finite-element simulations were also conducted to analyze the plastic flow behavior
131 from the microscopic as well as theoretical perspectives.

132

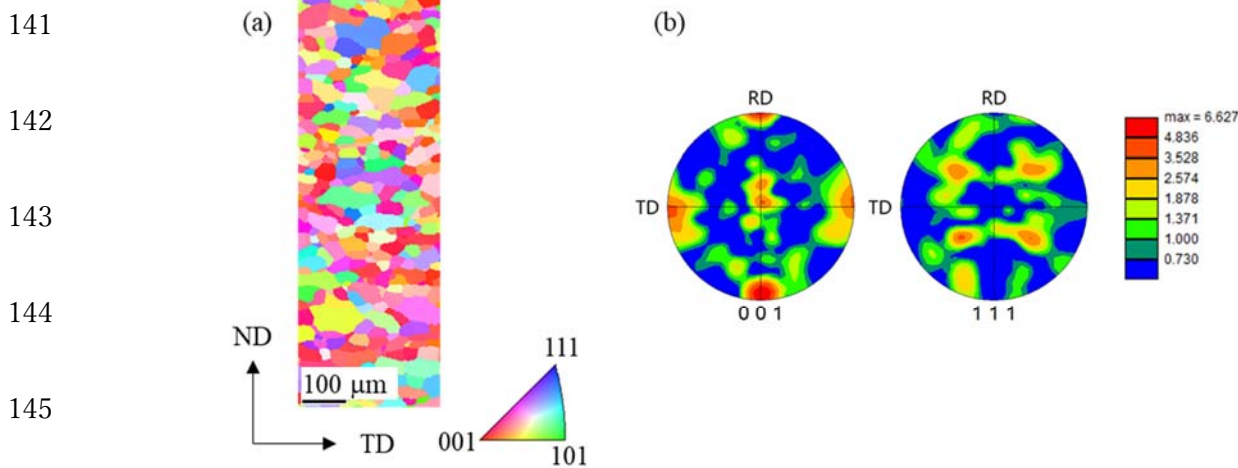
133 2. Experimental Methods

134 2.1. Material

135 The material used in this study was a commercially available 6000 series Al alloy A6022-T4 sheet
136 with 1.0-mm thickness. **Figs. 1(a)** and **(b)** show the inverse pole figure map and pole figures,
137 respectively, obtained from electron backscatter diffraction (EBSD) measurements. The average grain
138 diameter was approximately 43.9 μm . Strong cube components appeared.

139

140 2.2. Experimental Procedures



146

Fig. 1. Microstructures of A6022-T4 sheet used in this study: (a) inverse pole figure map and (b) pole figures

147

148

149 2.2.1 Uniaxial Tensile Tests

150 To investigate the tensile properties of the material, uniaxial tensile tests were performed along
151 three directions: the rolling direction (RD), transverse direction (TD), and diagonal direction (45D).
152 **Fig. 2** shows the specimen geometry used in this test. The initial strain rate was set to $1.67 \times 10^{-3} \text{ s}^{-1}$.
153 A strain gage (Kyowa Electronic Instrument Co., Ltd., KFEM-5-120-C1L3M3R) was used for the
154 strain measurements. All tests were performed at least twice to confirm reproducibility.

155

156

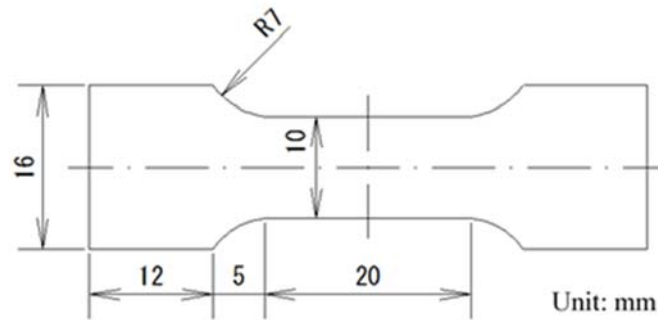


Fig. 2. Geometry of specimen used for uniaxial tensile test

2.2.2 Biaxial Tensile Tests

Biaxial tensile tests were conducted using a cruciform specimen as follows. The specimen geometry is shown in Fig. 3 (Kuwabara et al., 1998). The arms of the specimen aligned to the RD and TD. All tests were performed at least twice to confirm reproducibility.

The biaxial tensile testing apparatus originally developed by Kuwabara et al. (1998) was used. The biaxial strain components were measured using two strain gauges. The strain gauges were attached 21

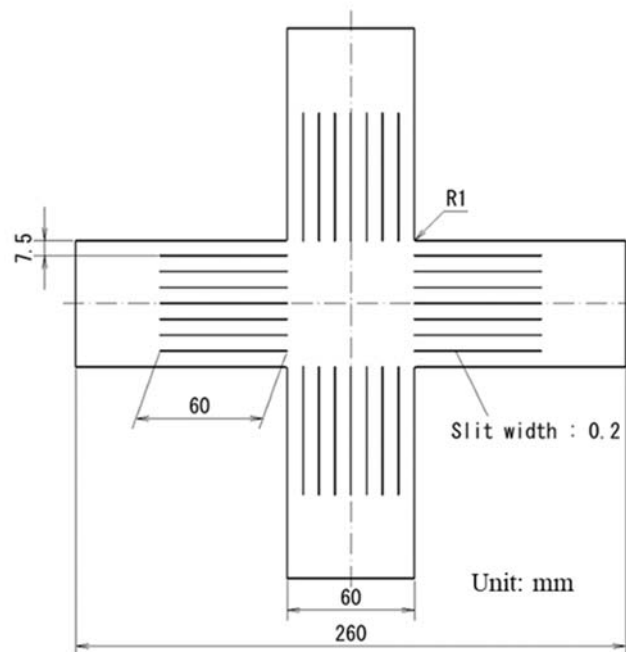


Fig. 3. Geometry of cruciform specimen used for biaxial tensile test (Kuwabara et al., 1998)

mm away from the center of the specimen in the direction of maximum force (Hanabusa et al., 2013). True stress components were calculated using these strain components. The strain gauge used in the biaxial tensile tests was the same as that used in the uniaxial tensile tests.

Force controlled tests were conducted under linear paths to measure the contours of equal plastic

195 work. A schematic illustration of the stress paths is shown in **Fig. 4(a)**. The ratios of true stresses σ_{11}
196 and σ_{22} , which denote the components along the RD and the TD, were controlled to be $\sigma_{11}:\sigma_{22} =$
197 4:1, 2:1, 4:3, 1:1, 3:4, 1:2, and 1:4. The initial strain rate was set to $5.0 \times 10^{-4} \text{ s}^{-1}$. The
198 results of uniaxial tension along the RD and TD were used for the conditions $\sigma_{11}:\sigma_{22} = 1:0$ and
199 $0:1$, respectively. An anisotropic yield function Yld2000-2d (Barlat et al., 2003) was used to represent
200 the shape of the contour of equal plastic work.

201 Displacement controlled tests were performed especially focusing on the evolution after abrupt
202 strain-path changes. For comparisons, the conditions of linear strain paths and nonlinear strain paths
203 with gradual change were also conducted. Specifically, the following three different types of loading
204 path were tested to examine the evolution of the plastic flow after strain-path changes.

- 205 ● Condition 1, shown in **Fig. 4(b)**, was five linear strain paths where the ratios of ε_{11} and ε_{22}
206 were controlled to be $\varepsilon_{11}:\varepsilon_{22} = 1:0, 2.414:1, 1:1, 1:2.414,$ and $0:1$. In the following, the
207 angle from the ε_{11} axis in the strain space, which is termed the “strain-path angle,” is used to
208 represent the strain path. For instance, the abovementioned linear strain paths correspond to the
209 strain-path angles of $0^\circ, 22.5^\circ, 45^\circ, 67.5^\circ,$ and 90° , respectively.
- 210 ● Condition 2, as in **Fig. 4(c)**, was eight nonlinear strain paths involving abrupt change. The sheet
211 was first loaded at 45° in the strain space. When the true strains ε_{11} and ε_{22} reached 0.005,
212 the strain path was abruptly altered to $-45^\circ, -22.5^\circ, 0^\circ, 22.5^\circ, 67.5^\circ, 90^\circ, 112.5^\circ,$ or
213 135° .
- 214 ● Condition 3, shown in **Fig. 4(d)**, was four nonlinear strain paths involving gradual change. The
215 sheet was first loaded at 45° as in the case of condition 2. When the true strains ε_{11} and ε_{22}
216 reached 0.005, the strain path was gradually changed along a circular arc to either $-45^\circ, 0^\circ,$
217 $90^\circ,$ or 135° . The detailed strain paths at the gradual change in the strain paths of -45° and
218 0° are shown in **Fig. 4(e)**. The central coordinate of the circular path was $(\varepsilon_{11}, \varepsilon_{22}) = (0.01, 0)$,
219 and the radius was $0.005\sqrt{2}$.

220 All the designated loading paths were well reproduced in the experiments, as shown in **Fig. 4**.

221 Because the purpose of this study was to examine the evolution of plastic deformation behavior
222 after strain-path changes, in the conditions 2 and 3, the maximum force direction along which strain
223 gauges were attached was defined on the basis of the strain path after the path change.

224

225 **3. Crystal Plasticity Finite-Element Method**

226 ***3.1. Crystal Plasticity Model***

227 The crystal plasticity model used followed that utilized in previous studies (Hama, et al., 2015,
228 2017, 2018); thus, it is explained only briefly here. For face-centered cubic (fcc) single crystals, the
229 slip systems are defined by the four $\{111\}$ slip planes and the three $\langle 110 \rangle$ slip directions on each
230 plane. The slip rate of the α th slip system $\dot{\gamma}^{(\alpha)}$ is given by the following equation (Huchinson, 1976;

231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268

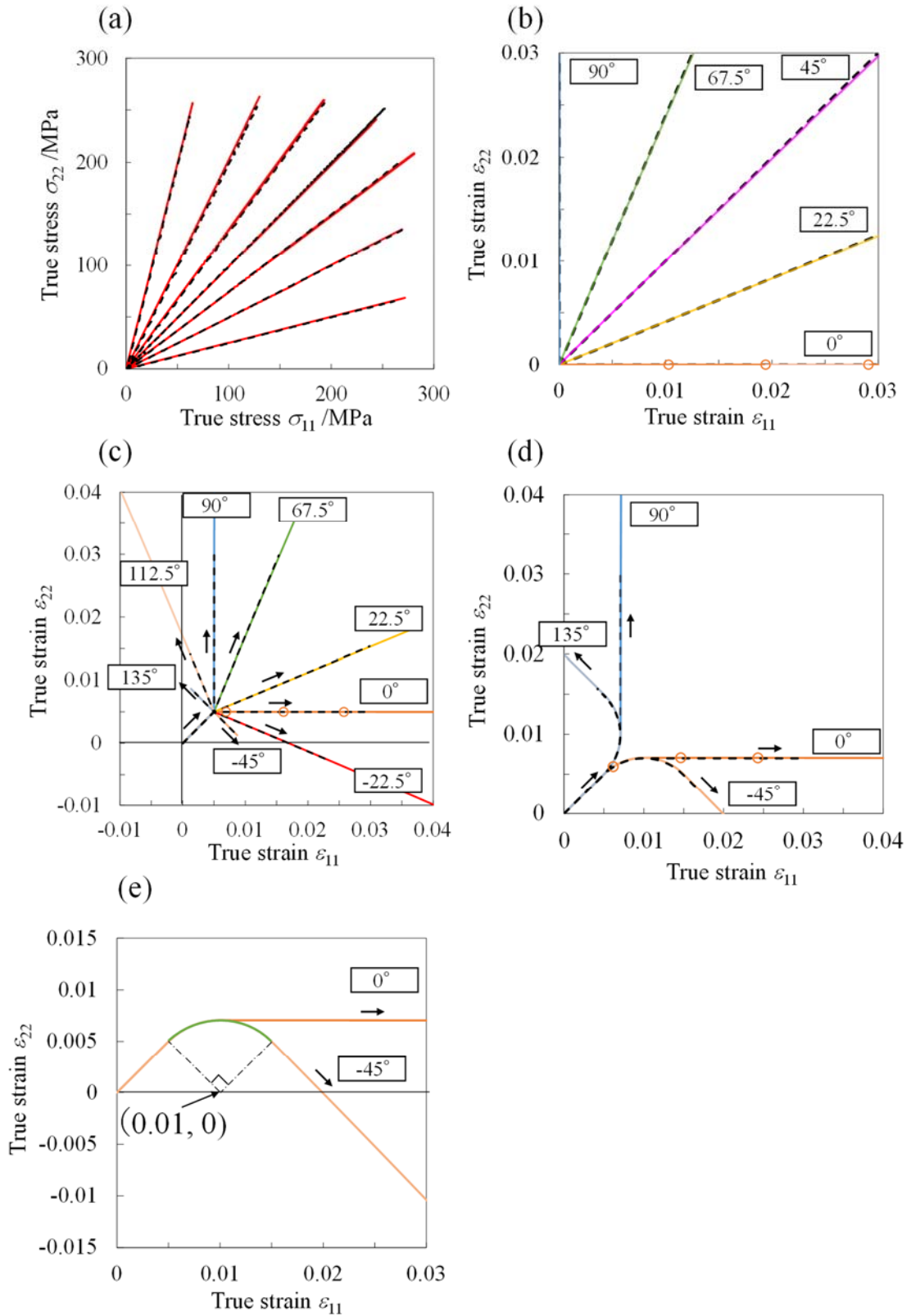


Fig. 4. Loading paths assigned in the experiments and crystal plasticity simulations — solid and broken lines represent the simulation and experimental results, respectively: (a) linear stress paths, (b) linear strain paths (condition 1), (c) nonlinear strain paths with abrupt changes (condition 2), (d) nonlinear strain paths with gradual changes (condition 3), and (e) detailed strain paths in conditions 3, circle marks in (b), (c), and (d) denote the deformation at $W_p = 1.3, 3.0$ and $5.0 \text{ MJ} \cdot \text{m}^{-3}$ for the conditions of 0°

$$\dot{\gamma}^{(\alpha)} = \dot{\gamma}_0 \left| \frac{\tau^{(\alpha)}}{\tau_Y^{(\alpha)}} \right|^{\frac{1}{m}} \text{sgn}(\tau^{(\alpha)}) \quad , \quad \tau^{(\alpha)} = \mathbf{s}^{(\alpha)} \cdot \boldsymbol{\sigma} \cdot \mathbf{m}^{(\alpha)} \quad , \quad (1)$$

270 where $\dot{\gamma}_0$ is the reference slip rate, $\tau^{(\alpha)}$ is the resolved shear stress, $\tau_Y^{(\alpha)}$ is the current resistance,
 271 and m is the strain rate sensitivity exponent. Here, $\mathbf{s}^{(\alpha)}$ and $\mathbf{m}^{(\alpha)}$ are the unit vectors that represent
 272 the slip direction and the normal to the slip plane, respectively. There are different approaches to utilize
 273 Eq. (1) (e.g., Tabourot, 2001; Adzima, et al., 2017). In this work, for convenience, the same parameters
 274 were assigned regardless of the slip system, and moreover, $\dot{\gamma}^{(\alpha)}$ was calculated by using Eq. (1) even
 275 when $\tau^{(\alpha)} < \tau_Y^{(\alpha)}$. The rate of slip resistance is given by

$$\dot{\tau}_Y^{(\alpha)} = \sum_{\beta} h_{\alpha\beta} |\dot{\gamma}^{(\beta)}| \quad , \quad (2)$$

276 where $h_{\alpha\beta}$ is the hardening moduli matrix. On the basis of dislocation-density-based modeling
 277 (Teodosiu et al., 1993; Teodosiu, 1997), $h_{\alpha\beta}$ is given in the form

$$h_{\alpha\beta} = \frac{\mu}{2} g_{\alpha\beta} \left(\sum_{\beta} g_{\alpha\beta} \rho^{(\beta)} \right)^{-\frac{1}{2}} \left[\frac{1}{K} \left(\sum_{\beta} d_{\alpha\beta} \rho^{(\beta)} \right)^{\frac{1}{2}} - 2y_c \rho^{(\beta)} \right] \quad , \quad (3)$$

$$\dot{\rho}^{(\alpha)} = \frac{1}{b} \left(\frac{1}{L^{(\alpha)}} - 2y_c \rho^{(\alpha)} \right) |\dot{\gamma}^{(\alpha)}| \quad , \quad (4)$$

$$L^{(\alpha)} = K \left(\sum_{\beta} d_{\alpha\beta} \rho^{(\beta)} \right)^{-\frac{1}{2}} \quad . \quad (5)$$

278 where b is the magnitude of the Burgers vector, μ is the shear modulus, and $\rho^{(\alpha)}$ is the dislocation
 279 density on the α th slip system. Here, $g_{\alpha\beta}$ is the 12×12 interaction matrix, that models the different
 280 types of dislocation interactions, with six independent components. $d_{\alpha\beta}$ is the interaction matrix that
 281 defines the interaction between the slip systems (Tabourot, et al., 1997; Fivel, 1998). In addition, y_c
 282 and K are material parameters that represent the generation and annihilation processes of dislocations,
 283 respectively, and $L^{(\alpha)}$ represents the mean free path of the dislocation of the α th slip system.

284

285 **3.2. Finite-Element Model**

286 The finite-element model was a cube with length l . The cube was uniformly divided into 10
 287 elements in each direction. Eight-node isoparametric solid elements with selective reduced integration
 288 were used. The crystallographic orientations were extracted from the result of the EBSD measurements
 289 and assigned to Gaussian integration points. A crystallographic orientation was assigned to eight
 290 Gaussian integration points in each element. In the simulation, the x -, y -, and z -directions were
 291 defined as the RD, TD, and normal direction, respectively. According to the assumption of plane

292 symmetry, the planes $x = 0$, $y = 0$, and $z = 0$ were fixed in the x -, y -, and z -directions,
 293 respectively.

294 The abovementioned finite-element model was used because this model has given sufficiently
 295 stabilized macroscopic deformations in our previous studies (Hama and Takuda, 2011, 2012).
 296 Moreover, a preliminary study showed that the finite-element model was appropriate also for the
 297 present material. Detailed results of the preliminary study are shown in Appendix.

298

299 **3.3. Simulation Procedures**

300 Displacement increments $\Delta \mathbf{u}_x$ and $\Delta \mathbf{u}_y$ given, respectively, to the planes $x = l$ and $y = l$
 301 were controlled to simulate the biaxial loadings described in Section 2.2.2. The linear strain paths
 302 (condition 1) and nonlinear strain paths with abrupt changes (condition 2) could be achieved by simply
 303 adjusting $\Delta \mathbf{u}_x$ and $\Delta \mathbf{u}_y$ to prescribed strain ratios. The nonlinear strain paths with gradual changes
 304 (condition 3) were achieved using the following algorithm. The displacement increments $\Delta \mathbf{u}_x$ and
 305 $\Delta \mathbf{u}_y$ were first imposed while maintaining $|\Delta \mathbf{u}_x| = |\Delta \mathbf{u}_y|$ until the strains reached 0.005. Then, the
 306 ratio of $|\Delta \mathbf{u}_x|$ and $|\Delta \mathbf{u}_y|$ was controlled to follow a circular arc. For instance, in the cases of -45°
 307 and 0° , the circular equation, which is designated by the green solid line in **Fig. 4(e)**, is expressed in
 308 the form

$$(\varepsilon_{11} - 0.01)^2 + (\varepsilon_{22})^2 = (0.005\sqrt{2})^2 \quad . \quad (6)$$

309 Solving Eq. (6) with respect to ε_{22} and differentiating with respect to ε_{11} yields

$$310 \quad \frac{d\varepsilon_{22}}{d\varepsilon_{11}} \approx \frac{\Delta \mathbf{u}_y}{\Delta \mathbf{u}_x} = \frac{1}{2} \left\{ (0.005\sqrt{2})^2 - (\varepsilon_{11} - 0.01)^2 \right\}^{-1/2} \cdot \{-2(\varepsilon_{11} - 0.01)\} . \quad (7)$$

311 To fulfill Eq. (7), $\Delta \mathbf{u}_x$ and $\Delta \mathbf{u}_y$ were controlled for each step. After the strains reached the
 312 prescribed values, the ratio of $|\Delta \mathbf{u}_x|$ and $|\Delta \mathbf{u}_y|$ was again kept unchanged in accordance with the
 313 condition. A similar algorithm was used for the conditions of 135° and 90° .

314 For the stress-controlled paths, an iterative algorithm proposed by Hama and Takuda (2012) was
 315 used to achieve pseudo-linear stress paths during deformation.

316 As shown in **Fig. 4**, all the designated loading paths were well reproduced in the simulations.

317

318 **3.4. Material Parameters**

319 To represent the elastic anisotropy, the elastic constants were set to $C_{11} = 107$, $C_{12} = 61$, and
320 $C_{44} = 28$ GPa (Simmons and Wang, 1971). The reference slip rate $\dot{\gamma}_0$ in Eq. (1) was set to 0.001
321 s^{-1} . Following previous studies on Al alloy sheets, the strain rate sensitivity exponent m was set to
322 0.002 (e.g., Hama et al., 2015). The magnitude of the Burgers vector b was set to 2.86×10^{-10} m.
323 The initial dislocation density $\rho_0^{(\alpha)}$ was assumed to be $1.0 \times 10^{10} \text{ m}^{-2}$ for all slip systems (Yoshida
324 et al., 2014). The components of the interaction matrixes $d_{\alpha\beta}$ and $g_{\alpha\beta}$ were determined following
325 Madec and Kubin (2017). The parameters τ_0 , γ_c , and K in Eqs. (3) and (4) were determined so that
326 the predicted uniaxial true-stress–true-strain curve along the RD agreed with the experimental curve.
327 It should be noted that the components of $d_{\alpha\beta}$ and $g_{\alpha\beta}$ were slightly changed from the original
328 values (Madec and Kubin, 2017) to achieve better agreements in the stress-strain curves along the 45D
329 and TD. The values of $d_{\alpha\beta}$, $g_{\alpha\beta}$, τ_0 , γ_c , and K are listed in **Table 1**.

330
331 **Table 1.** Material parameters for crystal plasticity simulation: g_0, g_1, g_2, g_3, g_4 , and g_5 , which are
332 the components of $g_{\alpha\beta}$ denote self-hardening, collinear system, Hirth lock, coplanar system,
333 glissile junction, and Lomer–Cottrell sessile lock, respectively

g_0	g_1	g_2	g_3	g_4	g_5	τ_0	γ_c	K
0.122	0.810	0.205	0.122	3.20	0.380	54.0	0.65×10^{-5}	20.0

d_0	d_1	d_2	d_3	d_4	d_5
0.122	0.810	1.25	0.122	0.320	0.380

341 The true-stress–true-strain curves in the RD, TD, and 45D are shown in **Fig. 5(a)**. In the experiment,
342 the flow stress was the largest in the RD, followed in order by the 45D and the TD. This tendency
343 agreed well qualitatively with the results reported for a similar material in a report by Tian et al. (2017).
344 In the simulation, the logarithmic strain tensor was calculated from the averaged deformation gradient
345 by volume averaging of local field of deformation gradient over the reference configuration (Nemat-
346 Nasser, 1999). The simulation results along the RD and the TD agreed well with the experimental
347 results. However, the simulation overestimated the true stresses along the 45D.
348 **Fig. 5(b)** shows the evolution of the r -value measured by conducting loading–unloading tests for every
349 1% strain. In the experiment, logarithmic longitudinal and width strains were measured after unloading,
350 and the r -value was calculated by $r = \varepsilon_w / (-\varepsilon_w - \varepsilon_l)$, where ε_l and ε_w denote the longitudinal and
351 width strains, respectively.

352 In the experiment, the r -values were almost independent of the tensile strain. The r -value was the
353 largest in the RD, followed in order by the TD and 45D. These tendencies, including very-weak r -
354 value in the 45D, agreed well with the results reported for similar materials in the literature (Kuwabara,
355 et al., 2017; Tian et al., 2017; Zecevic and Knezevic, 2018; Ogasawara, et al., 2020). These tendencies

356 are typical in Al alloy sheets with strong cube texture. In the simulation results, the magnitude
 357 relationship agreed with the experimental results; moreover, the r -values were independent of the
 358 strain. However, the simulation results along the RD and TD were overestimated.

359 As shown in Fig. 5, the predictive accuracies of the in-plane anisotropy of the stress-strain curves
 360 and the magnitudes of r -value were not sufficient and need further improvements. Similar
 361 discrepancies were also reported in similar Al alloy sheets in literature (Zecevic and Knezevic, 2018).
 362 Advanced crystal plasticity models have been proposed to increase the predictive accuracy (Khadyko,
 363 et al., 2016; Zecevic and Knezevic, 2018). Very recently, Feng et al. (2020) predicted successfully the
 364 r -values by using their crystal plasticity model, although the in-plane anisotropy of the stress-strain
 365 curves were still insufficient. In their model, the slip resistance was assumed to consist of the initial
 366 resistance and the terms evolving with statistically stored forest and debris dislocations. Further, the
 367 total density was assumed to consist of forward and reversible densities of dislocations which were
 368 evaluated separately for a positive and negative slip direction. Moreover, the two types of latent
 369 hardening matrix as in this study were considered. Although this issue is still open to discussion, such
 370 detail treatments of dislocation density would help prediction for 6022-T4 sheets. The improvement
 371 of the predictive accuracy will be conducted in our future work.

372 However, our preliminary studies showed that the effects of parameter identification on the
 373 simulation results of strain-path change tests were very small; therefore, the simulation results given
 374 in this study are acceptable at least for the purpose of this work.

375

376

377

378

379

380

381

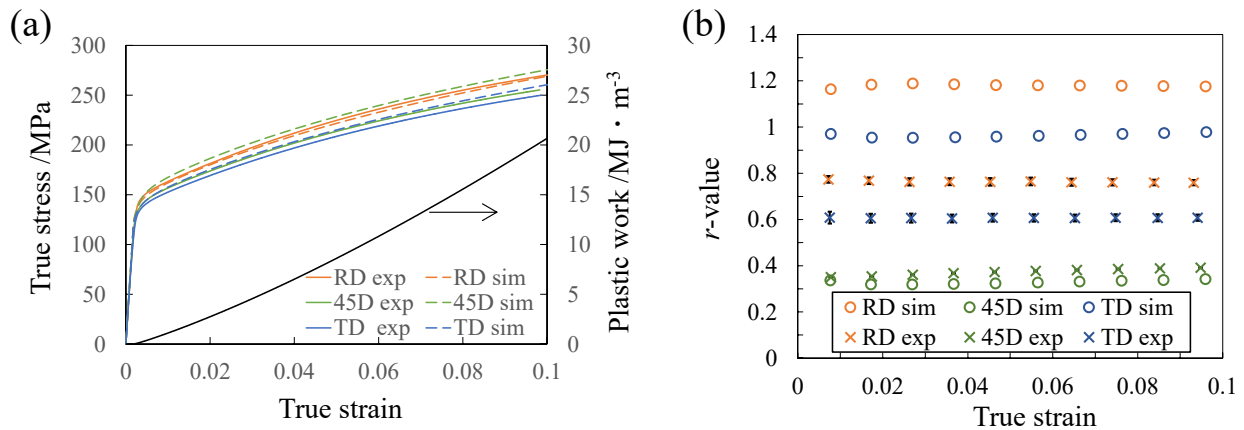
382

383

384

385

386



387 **Fig. 5.** Uniaxial tensile properties along the RD, 45D, and TD: (a) true-stress–true-strain curves and (b) evolution of the r -
 388 value — evolution of plastic work during the RD tension is also shown in (a)

389

390

391

392

393

394 4. Experimental and Simulation Results

395 4.1 Linear Stress Path

396 **Figs. 6(a)** and **(b)** show the experimental and simulation results, respectively, of the stress plots of
 397 equal plastic work. The stress components $(\sigma_{11}, \sigma_{22})$ were extracted at the plastic works $W_p = 0.4,$
 398 $1.0, 2.0, 4.0, 6.0,$ and $8.0 \text{ MJ} \cdot \text{m}^{-3}$, which corresponded to the strains of approximately 0.005, 0.009,
 399 $0.016, 0.027, 0.037,$ and 0.047 under uniaxial tension in the RD, respectively. Because the plastic work
 400 will be used to represent the amount of plastic deformation in the following results, for reference, the
 401 relationship between the plastic work and the strain under the RD tension is shown in Fig. 5(a). In the
 402 experiment, the results of two specimens were plotted for each stress path. The experimental error was,
 403 at most, 4.5 MPa, which was less than approximately 3% of the 0.2% proof stress. The parameters of
 404 the Yld2000-2d yield function were determined to describe analytically the shape of the contours of
 405 equal plastic work. Given the principal stress state, the Yld2000-2d yield function is given in the form

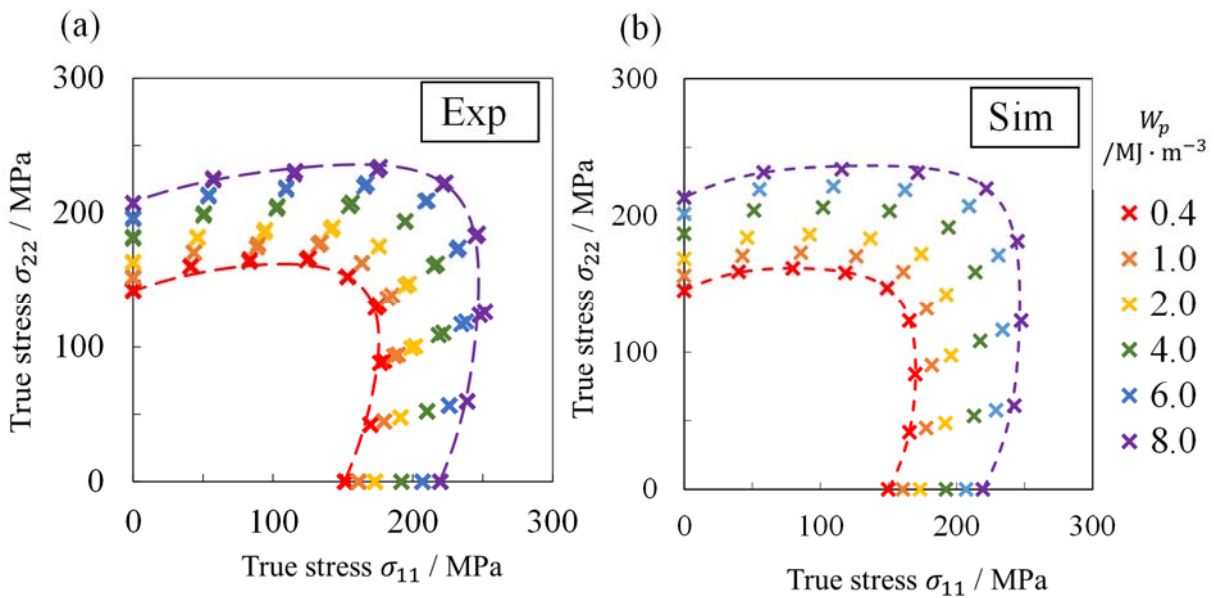
$$\Phi(\sigma_{11}, \sigma_{22}) = |X'_{xx} - X'_{yy}|^M + |2X''_{yy} + X''_{xx}|^M + |2X''_{xx} + X''_{yy}|^M - 2\bar{\sigma}^M = 0 \quad , \quad (11)$$

406 where $\bar{\sigma}$ is the equivalent stress, and

$$\begin{Bmatrix} X'_{xx} \\ X'_{yy} \end{Bmatrix} = \frac{1}{3} \begin{bmatrix} 2\alpha_1 & -\alpha_1 \\ -\alpha_2 & 2\alpha_2 \end{bmatrix} \begin{Bmatrix} \sigma_{11} \\ \sigma_{22} \end{Bmatrix} \quad , \quad (12)$$

$$\begin{Bmatrix} X''_{xx} \\ X''_{yy} \end{Bmatrix} = \frac{1}{9} \begin{bmatrix} -2\alpha_3 + 2\alpha_4 + 8\alpha_5 - 2\alpha_6 & \alpha_3 - 4\alpha_4 - 4\alpha_5 + 4\alpha_6 \\ 4\alpha_3 - 4\alpha_4 - 4\alpha_5 + \alpha_6 & -2\alpha_3 + 8\alpha_4 + 2\alpha_5 - 2\alpha_6 \end{bmatrix} \begin{Bmatrix} \sigma_{11} \\ \sigma_{22} \end{Bmatrix} \quad . \quad (13)$$

407 The results of $\sigma_{11}:\sigma_{22} = 1:0, 1:1,$ and $0:1$ were used to determine α_k ($k=1\sim6$). M in Eq. (11)
 408 was determined so that the error between the stress points and the Yld2000-2d curve was minimized



422 **Fig. 6.** Contours of equal plastic work with Yld2000-2d yield loci (broken lines): (a) experimental and (b) crystal
 423 plasticity simulation results

424

425 (Kuwabara and Yoshida, 2015). Here, α_k ($k = 1 \sim 6$) and M were determined at the plastic works of
 426 0.4 and 8.0 MJ · m⁻³. The determined values are listed in **Table 2**. Because the stress plots were
 427 different between the experimental and simulation results, the parameters were determined separately
 428 for the experimental and simulation results to achieve the best fit for each result.

429 The determined Yld2000-2d yield loci are shown in **Fig. 6**. In the experiment, small differences
 430 appeared between the stress plots and the yield locus at $\sigma_{11}:\sigma_{22} = 1:4$ for $W_p = 0.4$ MJ · m⁻³,
 431 whereas the yield locus described the stress plots well for $W_p = 8.0$ MJ · m⁻³. In the simulation, the
 432 yield loci described the stress plots well for both plastic works. The predicted yield loci were
 433 apparently different from those of the experimental ones, as explained earlier.

434 **Fig. 7** shows the relationships between the stress ratio φ and the direction of the plastic strain rate
 435 θ for $W_p = 0.4$ and 8.0 MJ · m⁻³, which are defined as follows:

$$\varphi = \arctan \frac{\sigma_{22}}{\sigma_{11}}, \quad (14)$$

$$\theta = \arctan \frac{d\varepsilon_{22}^p}{d\varepsilon_{11}^p}. \quad (15)$$

436 The reference results calculated using the associated flow rule with the Yld2000-2d yield function are
 437 also shown. The differences in θ between the reference and experimental results were, at most,
 438 approximately 8.7° and 5.5°, respectively, for $W_p = 0.4$ and 8.0 MJ · m⁻³. These results indicate that
 439 the deformation behavior under the linear stress paths was reproduceable using the associated flow
 440 rule within these errors. Because the difference in the reference results between $W_p = 0.4$ and 8.0 MJ ·
 441 m⁻³ was also within comparable small errors, it is reasonable to assume that this material exhibits
 442 isotropic hardening. Similarly, in the simulation results in **Fig. 7(b)**, the plastic flow was also well
 443 represented by the associated flow rule for both plastic works, which is consistent with the
 444

445
 446 **Table 2.** Parameters of Yld2000-2d yield function determined for experimental and crystal plasticity simulation
 447 results

	W_p / MJ · m ⁻³	M	α_1	α_2	α_3	α_4	α_5	α_6
exp	0.4	4.6	0.693	1.187	1.011	1.077	0.994	0.697
	8.0	5.6	0.941	0.982	0.892	1.058	1.002	0.899
sim	0.4	6.0	0.967	1.073	1.069	1.034	0.984	0.941
	8.0	7.0	0.985	1.046	0.999	1.022	0.981	0.940

448
 449
 450
 451
 452
 453
 454
 455
 456
 457
 458

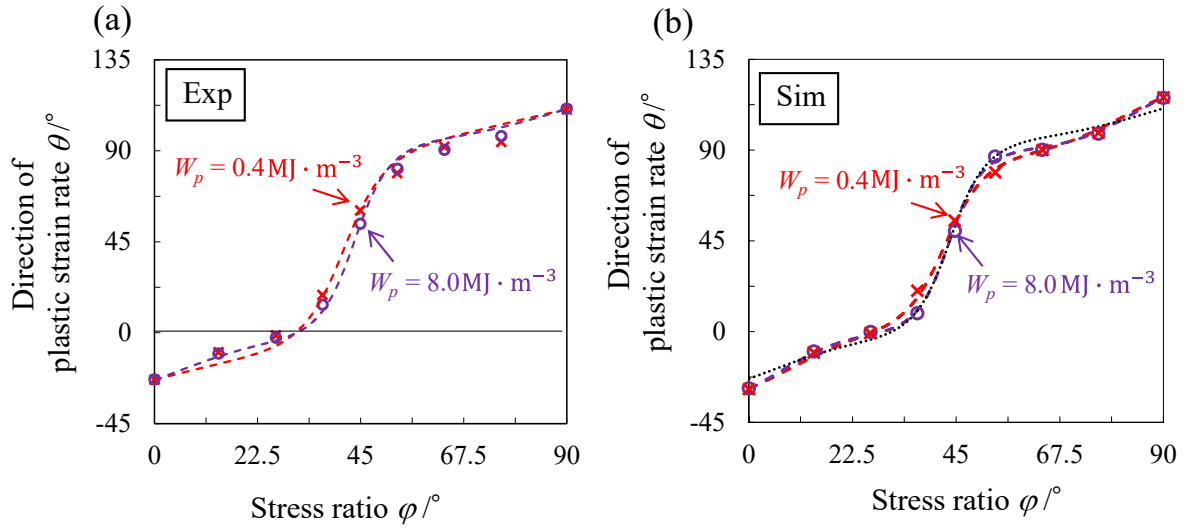


Fig. 7. Relationships between φ and θ under linear stress paths obtained from (a) experiment and (b) crystal plasticity simulation: dots are obtained from biaxial tensile tests, and broken lines are the reference results calculated using the associated flow rule with the Yld2000-2d yield function. In (b) dotted line is the reference results of the experimental result for $W_p = 8.0 \text{ MJ} \cdot \text{m}^{-3}$

experimental results.

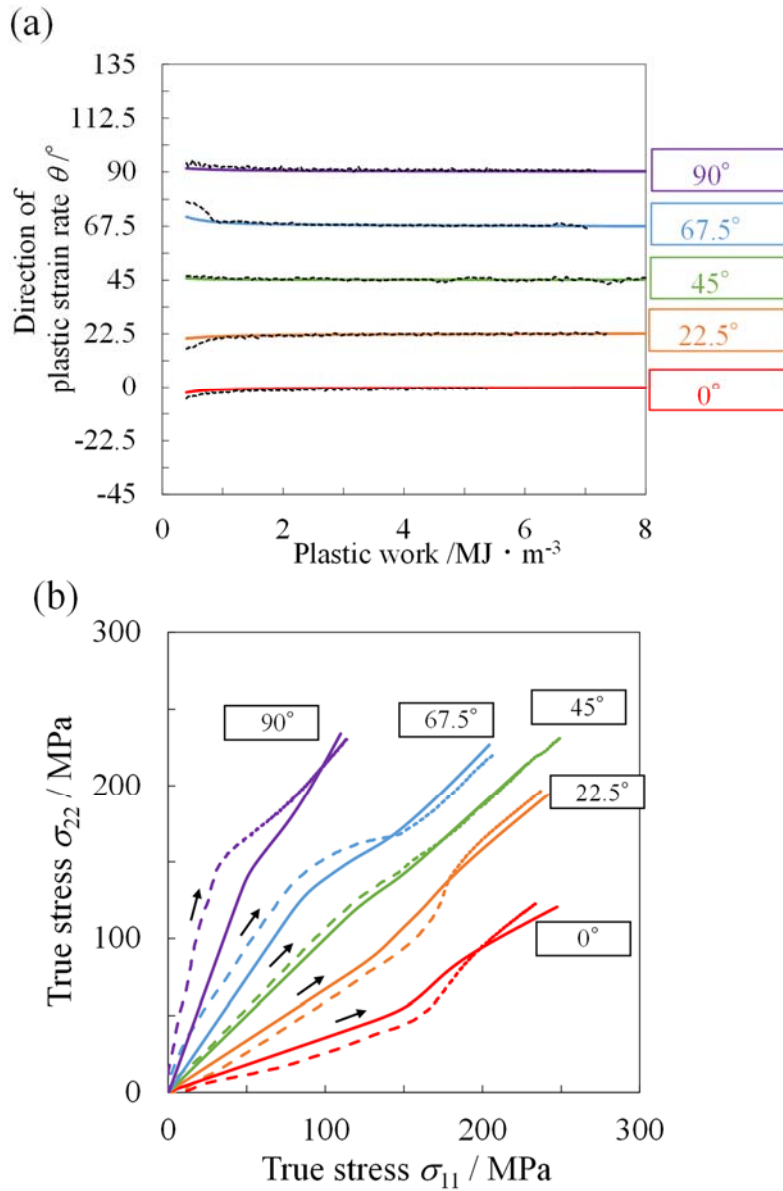
As described earlier, the yield loci were different between the experimental and simulation results. To examine the difference more in detail, the reference result of the experiment for $W_p = 8.0 \text{ MJ} \cdot \text{m}^{-3}$ is also shown in Fig. 7 (b). The difference in θ between the two reference results was at most 5.3° . It is presumed that this level of error is involved when the experimental and simulation results are directly compared. For this reason, to eliminate the effect of the difference in the reference results from discussion, the results of the associated flow rule with the Yld2000-2d yield function at $W_p = 8.0 \text{ MJ} \cdot \text{m}^{-3}$ and isotropic hardening assumption obtained for the experimental and simulation results were used as the reference results for the experiment and simulation, respectively.

It is noted that currently there is no evidence that the abovementioned isotropic hardening assumption holds after strain-path changes. Therefore, the evaluation of the normality after strain-path changes was not discussed in this study. However, because the associated flow rule is still often used in industrial FEM simulations, as explained earlier, it is worth comparing experimental and simulation results with the reference results to examine the representability of the plastic deformation after strain-path changes by using the associated flow rule, an initial yield surface, and the isotropic hardening assumption from practical viewpoints. In addition, another reason to compare with the reference results was that it is helpful to use reference results to discuss comprehensively the plastic deformation behaviors under different loading paths.

On the basis of the past studies, it is presumed that the plastic deformation for conditions 1 and 3 is representable by the reference results throughout the process because any bifurcation is not involved, whereas that of condition 2 deviates from the reference results just after the strain path changes.

497 **4.2 Linear Strain Path**

498 **Figs. 8(a) and (b)** show, respectively, the transitions of θ as a function of plastic work and the
 499 stress evolution under the linear strain paths (condition 1). For the experimental results shown in Fig.
 500 8 (a), the results from $W_p = 0.4 \text{ MJ} \cdot \text{m}^{-3}$ until fracture are shown. The experiments could be
 501 conducted to the plastic work range from approximately 5.0 to 9.0 $\text{MJ} \cdot \text{m}^{-3}$. In the experimental and
 502 simulation results, the changes in θ were very small except for the very beginning of deformation.



529 **Fig. 8.** Results of linear strain paths (condition 1): (a) transitions of θ as a function of plastic work and (b) evolution
 530 of stresses — the broken and solid lines denote the experimental and crystal plasticity simulation results, respectively

531
532
533
534

535 This tendency was also independent of the strain path. The values of θ matched well the strain-path
536 angles for all conditions. The stress evolution was nonlinear, irrespective of the path. The nonlinear
537 evolution would be owing to the anisotropy of the material (Kuwabara, et al., 2000). The simulation
538 results reproduced the overall tendencies observed in the experimental results of the transition of θ .
539 In the stress evolution, although overall tendencies were reproduced well, quantitative differences were
540 somewhat large for stresses under 200 MPa. The reason of this difference will be discussed in Section
541 4.5.

542 **Fig. 9 (a)** shows the experimental results of the relationships between φ and θ during
543 deformation for condition 1. For the condition of 45° , the values of φ and θ remained almost
544 unchanged throughout the process and were almost on the reference curve. In contrast, for the
545 conditions of 0° , 22.5° , 67.5° , and 90° , the relationships evolved in the directions designated by the
546 arrows because the stress ratio apparently changed, see Fig. 8 (b). The trajectories roughly followed
547 the reference curve, although they were not exactly on it. Specifically, the differences in θ between
548 the reference and experimental results during deformation were, at most, approximately 7.0° , which
549 was comparable to those observed in Fig. 7. After the relationships reached the \times marks at $W_p = 5.0$
550 $\text{MJ} \cdot \text{m}^{-3}$, which corresponded to the strain of approximately 0.032 under uniaxial tension in the RD,
551 further deformation until fracture was very small. For the condition of 0° , the change in θ was
552 approximately 4.3° from $W_p = 0.4$ to $5.0 \text{ MJ} \cdot \text{m}^{-3}$, while it was approximately 0.3° from $W_p = 5.0$
553 $\text{MJ} \cdot \text{m}^{-3}$ to fracture at $W_p =$ approximately $5.4 \text{ MJ} \cdot \text{m}^{-3}$. These results suggested that the plastic
554 deformation behavior under linear strain paths could be evaluated by using the associated flow rule
555 with the Yld2000-2d yield function and isotropic hardening assumption with practical small errors,
556 irrespective of the strain path.

557 Fig. 9 (b) shows the simulation results. The results in the plastic work range from 0.4 to $8.0 \text{ MJ} \cdot$
558 m^{-3} are shown. The trajectories were almost on the reference curve, and the results were qualitatively
559 the same with those of the experiments. In all the conditions, the values of φ and θ remained almost
560 unchanged beyond $W_p = 5.0 \text{ MJ} \cdot \text{m}^{-3}$. More specifically, for the condition of 0° , the change in θ was
561 approximately 2.0° from $W_p = 0.4$ to $5.0 \text{ MJ} \cdot \text{m}^{-3}$, while it was less than 0.1° from $W_p = 5.0$ to 8.0
562 $\text{MJ} \cdot \text{m}^{-3}$. These experimental and simulation results suggest that the deformation roughly converged
563 at $W_p =$ around $5.0 \text{ MJ} \cdot \text{m}^{-3}$.

564

565 *4.3 Nonlinear Strain Path with Abrupt Change*

566 **Figs. 10(a)** and **(b)** show, respectively, the transitions of θ as a function of plastic work and the stress
567 evolution under the nonlinear strain paths with abrupt change (condition 2). In the experimental and
568 simulation results, θ was 45° before the path changes, as shown in **Fig. 10(a)**. This is consistent with
569 the result of the linear strain path (**Fig. 8**). After the strain paths abruptly changed at $W_p =$
570 approximately $1.0 \text{ MJ} \cdot \text{m}^{-3}$, θ rapidly changed to the angles designated by each strain path and then
571 tended to converge. For the conditions of -45° , 112.5° and 135° , the experiments could not be
572 conducted until convergence because the sign of either σ_{11} or σ_{22} became negative before

573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612

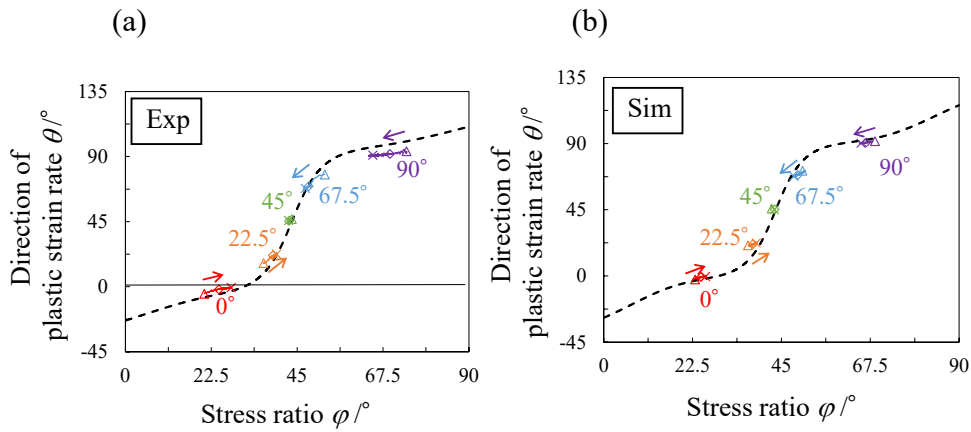


Fig. 9. Relationships between φ and θ for linear strain paths (condition 1) obtained from (a) experiment and (b) crystal plasticity simulation: the broken lines are the reference curves, and \triangle , \diamond , and \times denote the deformation at $W_p = 0.4, 1.3$ and $5.0 \text{ MJ} \cdot \text{m}^{-3}$, respectively

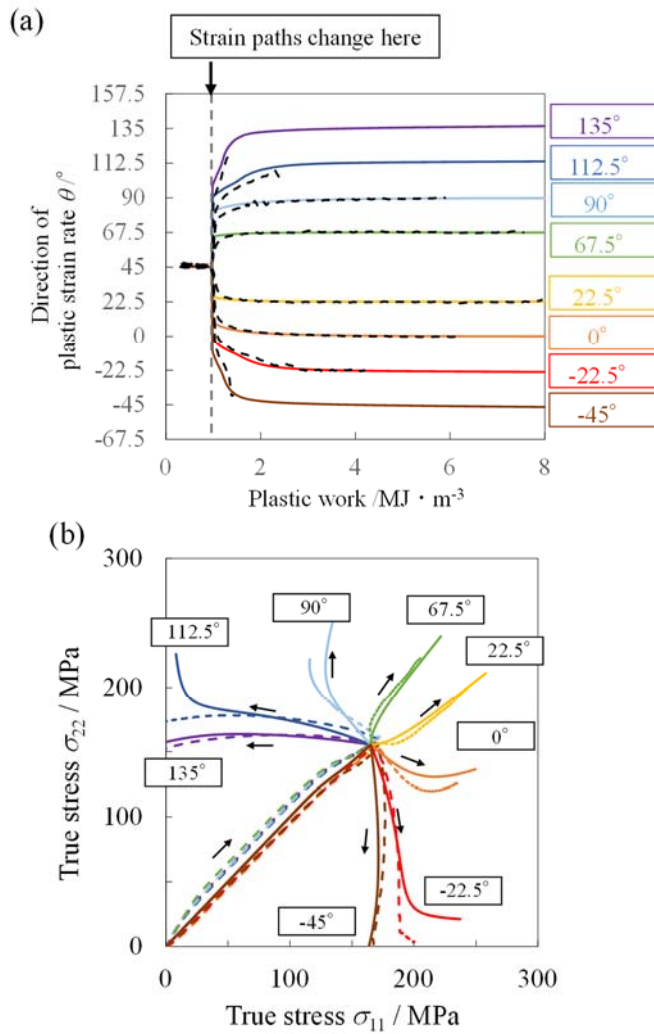


Fig. 10. Results of nonlinear strain paths with abrupt changes (condition 2): (a) transitions of θ as a function of plastic work and (b) evolution of stresses — the broken and solid lines denote the experimental and crystal plasticity simulation results, respectively

613 convergence. In other words, it is presumed that θ tends to converge also for these conditions if the
614 experiments can be conducted to the second or fourth quadrants in the stress space.

615 As discussed in previous studies (Hill et al., 1994; Kuroda and Tvergaard, 1999; Kuwabara et al.,
616 2000), the stress paths for the strain-path angles of -45° and 135° corresponded to a subsequent yield
617 surface, which lay within the contour of plastic work shown in **Fig. 6 (b)**. Clear vertices appeared at
618 the strain-path change points, consistent with the work by Kuwabara et al. (2000). The simulation
619 results reproduced the overall tendencies observed in the experimental results of the transitions of θ
620 and the stress evolution.

621 **Fig. 11** shows the relationships between φ and θ for condition 2. For comparison, the results of
622 condition 1 are also shown for the conditions of 0° , 22.5° , 67.5° , and 90° . In the experimental results
623 in **Fig. 11(a)**, before the strain paths changed, the relationships were within the black circle,
624 irrespective of the strain path, and were almost on the reference curve. Immediately after the strain
625 paths changed, the relationships rapidly deviated from the reference curve to the directions designated
626 by the arrows — that is, the slopes of the trajectories were significantly different from that of the
627 reference curve. More specifically, for the condition of 0° , the difference in θ between the
628 experimental and reference curves just after the paths changed was at most 11° . The slopes of the
629 trajectories were almost independent of the strain path. Thereafter, the slopes rapidly changed, and the
630 relationships approached to the reference curve. The smaller the change in the strain-path angle, the
631 earlier the slope changed. After the relationships reached the \times marks at $W_p = 5.0 \text{ MJ} \cdot \text{m}^{-3}$, they
632 remained almost unchanged until fracture as in the case of condition 1, suggesting that the deformation
633 roughly converged at the \times marks. More specifically, for the condition of 0° , the change in θ was
634 approximately 7.4° from $W_p = 1.3$ to $5.0 \text{ MJ} \cdot \text{m}^{-3}$, while it was less than 0.1° from $W_p = 5.0 \text{ MJ} \cdot$
635 m^{-3} to fracture at $W_p =$ approximately $6.1 \text{ MJ} \cdot \text{m}^{-3}$. Because the \times marks were very close to those
636 of condition 1, it can be said that the plastic deformation behavior at the plastic work larger than 5.0
637 $\text{MJ} \cdot \text{m}^{-3}$ could eventually be represented by using the reference curve within practical small errors.
638 This result also suggests that the plastic work increment of roughly $4.0 \text{ MJ} \cdot \text{m}^{-3}$, which corresponded
639 to the strain increment of approximately 0.024 under uniaxial tension in the RD, was necessary until
640 the deformation could be represented again by the reference curve after abrupt changes.

641 The simulation results in **Fig. 11(b)** showed similar overall tendencies, but the deviation from the
642 reference curve just after the path change was overestimated. More specifically, for the condition of
643 0° , the difference in θ between the simulation and reference curves just after the paths changed was
644 at most 31° . The values of φ and θ remained almost unchanged beyond $W_p = 5.0 \text{ MJ} \cdot \text{m}^{-3}$. For the
645 condition of 0° , θ changed approximately 4.8° from $W_p = 1.3$ to $5.0 \text{ MJ} \cdot \text{m}^{-3}$, while it changed
646 approximately 0.27° from $W_p = 5.0$ to $8.0 \text{ MJ} \cdot \text{m}^{-3}$. The \times marks were close to those of condition
647 1, as in the case of the experiment, but the deviation of the \times marks between conditions 1 and 2 was
648 larger than that of the experimental results.

649 Yang and Balan (2019) have also conducted simulations with the condition of -45° using a
650 phenomenological elasto-viscoplastic model, and the relationship between the direction of viscoplastic

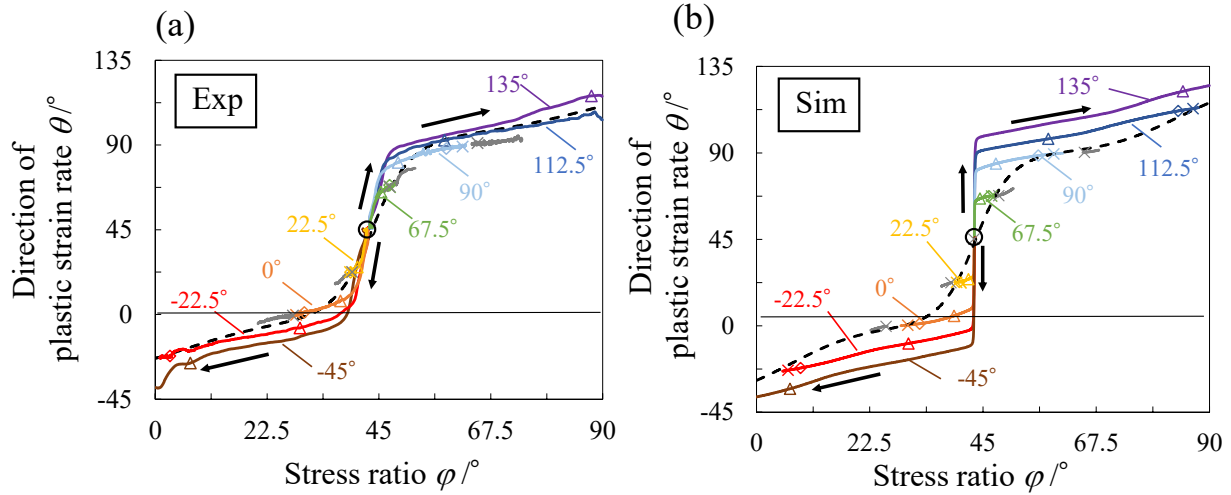


Fig. 11. Relationships between φ and θ for nonlinear strain paths with abrupt changes (condition 2) obtained from (a) experiment and (b) crystal plasticity simulation: the broken lines show the reference curves, solid gray lines show the results of condition 1, and \triangle , \diamond , and \times denote the deformation at $W_p = 1.3, 3.0$ and $5.0 \text{ MJ} \cdot \text{m}^{-3}$, respectively

strain rate and the stress ratio has been studied. The abovementioned tendencies, i.e., the slope just after the path change was significant and then it rapidly decreased and the relationships approached to the reference curve, were consistent with their work.

4.4 Nonlinear Strain Path with Gradual Change

Figs. 12(a) and **(b)**, respectively, show the transitions of θ as a function of plastic work and the stress evolution under the nonlinear strain paths with gradual change (condition 3). In the experimental and simulation results, the tendencies of the transitions of θ were similar to those under abrupt changes, although the slopes just after the path change were smaller. The stress evolution was also similar to that shown in **Fig. 10(b)**, but a vertex did not appear when the strain path changed. The simulation results reproduced the overall tendencies observed in the experimental results of the transitions of θ and the stress evolution. For the conditions of -45° and 135° , the experiments could not be conducted until convergence because the sign of either σ_{11} or σ_{22} became negative before convergence.

Fig. 13 shows the relationships between φ and θ for condition 3. For comparison, the results of condition 1 are also shown for the conditions of 0° and 90° . In the experimental results, the relationships were within the black circle before the path change, as in the case of condition 2. After the paths changed, the trajectories tended to follow the reference curve. In the case of the conditions of 0° and 90° , the circular strain paths finished at $W_p =$ approximately $2.2 \text{ MJ} \cdot \text{m}^{-3}$, and the strain paths became linear, as shown in Fig. 4 (d). After the relationships reached the \times marks at $W_p = 5.0 \text{ MJ} \cdot \text{m}^{-3}$, they remained almost unchanged until fracture. The \times marks were very close to those of condition 1, consistent with condition 2.

689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727

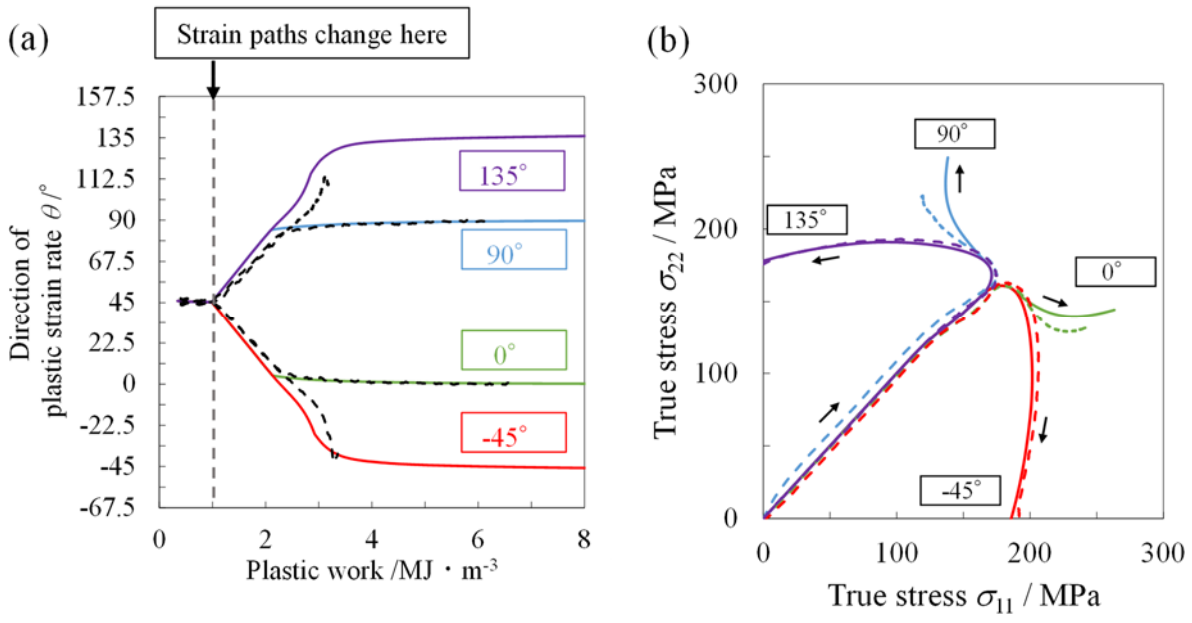


Fig. 12. Results of nonlinear strain paths with gradual changes (conditions 3 and 4): transitions of θ as a function of plastic work for (a) condition 3 and (b) condition 4, and evolution of stresses for (c) condition 3 and (d) condition 4 — the broken and solid lines denote the experimental and crystal plasticity simulation results, respectively

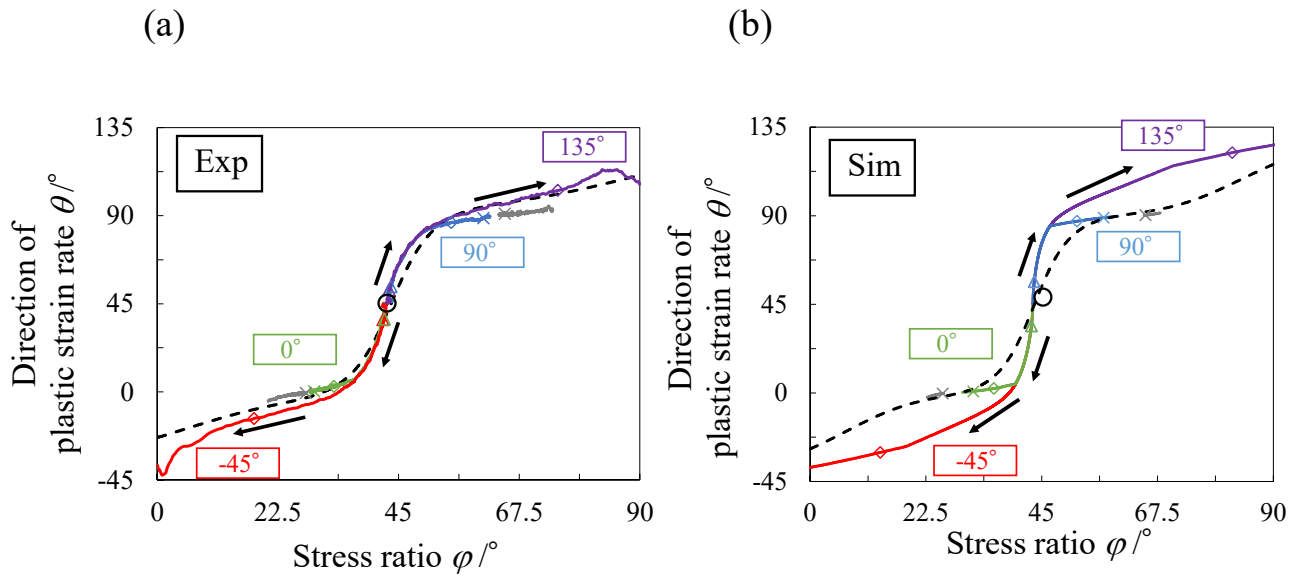


Fig. 13. Relationships between ϕ and θ for nonlinear strain paths with gradual changes (conditions 3 and 4) obtained from (a) experiment and (b) crystal plasticity simulation: the broken lines show the reference curve, solid gray lines show the results of condition 1, and \triangle , \diamond , and \times denote the deformation at $W_p = 1.3, 3.0$ and $5.0 \text{ MJ} \cdot \text{m}^{-3}$, respectively

728 However, in the simulation results, the slopes of the trajectories immediately after the path change
729 were still largely different from the reference curve, followed by approaching to the reference curve.
730 More specifically, for the condition of 0° , the difference in θ between the simulation and reference
731 curves during this process was at most 19° . However, it is also apparent that the deviation from the
732 reference curve was less pronounced than that of condition 2, which is qualitatively consistent with
733 the experimental results. As in the cases of condition 2, the values of φ and θ remained almost
734 unchanged beyond $W_p = 5.0 \text{ MJ} \cdot \text{m}^{-3}$, and the \times marks were close to those of condition 1.

735

736 *4. 5 Summary of Results*

737 In the present study, the evolution and convergence of plastic deformation after strain-path
738 changes could be successfully measured in the experiments. The trajectories on the φ - θ plane
739 roughly followed the reference curve under conditions 1 and 3 within practical small errors, as
740 expected from the past studies. These results suggest that the plastic deformation behavior of the
741 present material can be practically represented by using the associated flow rule with the Yld2000-2d
742 yield function and isotropic hardening assumption when the strain-path change is gradual or not
743 involved. It should be noted that the agreement between the experimental and reference curves did not
744 mean that the normality was fulfilled theoretically, because there is no evidence that the isotropic
745 hardening assumption is valid also after strain-path changes, as explained earlier. On the other hand,
746 when the strain-path change was abrupt (condition 2), the trajectories were far different from the
747 reference curve just after path changes, while they then could be represented again by using the
748 reference curve within practical small errors at the plastic work larger than $5.0 \text{ MJ} \cdot \text{m}^{-3}$. These results
749 were qualitatively consistent with the literature (Kuroda and Tvergaard, 1999; Kuwabara et al., 2000;
750 Yang and Balan, 2019).

751 The simulation reproduced the qualitative tendencies observed in the experiments, but in the
752 strain-path change conditions (conditions 2 and 3), the deviations from the reference curve just after
753 the paths changed were much more pronounced than in the experimental results.

754 The experimental and simulation results suggested that the deformation roughly converged at W_p
755 = around $5.0 \text{ MJ} \cdot \text{m}^{-3}$ after the strain path changed at $W_p =$ approximately $1.0 \text{ MJ} \cdot \text{m}^{-3}$. Moreover,
756 if the strain-path angle after the path change was the same, the converged points were very close
757 irrespective of the condition.

758 Lastly, the difference in the stress evolution between the experiments and simulations are briefly
759 discussed. In the stress evolution in condition 2 (Fig. 10 (b)), the stress evolution before path change
760 was apparently different between the conditions of the strain-path angles $\leq 22.5^\circ$ and $\geq 67.5^\circ$.
761 Specifically, the differences in the stress states between the two conditions were estimated to be at
762 most 15 % and 3 % before and at the strain-path change point, respectively. This difference occurred
763 presumably because the measurements of strains, i.e., the positions where strain gauges were attached,
764 were different. Similar levels of errors would be involved also in conditions 1 and 3. On the other hand,
765 the elastic constants used in the simulation could also yield the deviation in the stress evolution before

766 the path changes between the experiment and the simulation. The elastic constants used in this study
767 were taken from a literature (Simmons and Wang, 1971) as explained earlier, but there is no evidence
768 that these parameters are acceptable for the present material. Therefore, it is considered that both
769 experimental and predictive accuracies should be improved to obtain better agreements in the stress
770 evolution.

771

772 **5. Discussion**

773 **5.1. Effect of Strain Path**

774 **5.1.1 Experimental Results**

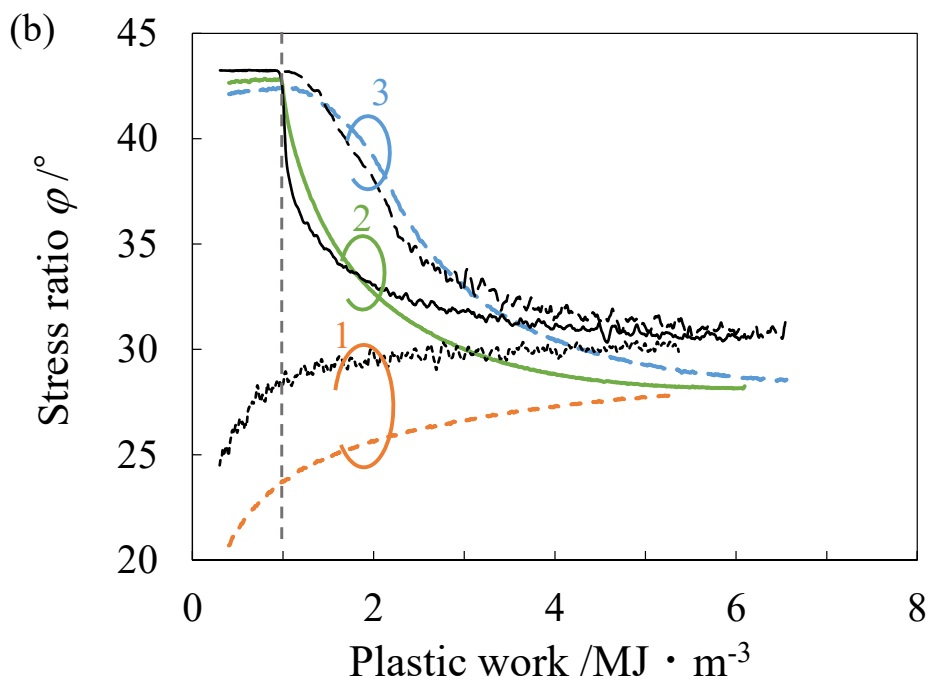
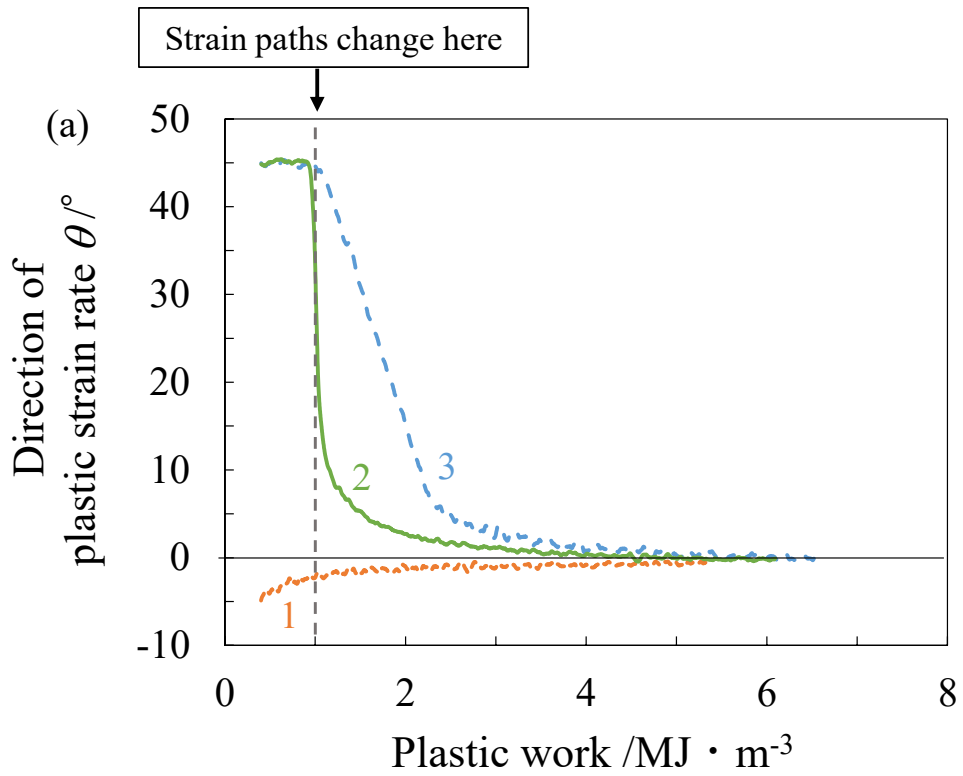
775 The results of condition 2, where large deviation from the reference curve appeared, are discussed
776 in detail. To this end, the results of conditions 1, 2, and 3 with a strain-path angle of 0° are used to
777 discuss the effect of the strain path on the plastic deformation behavior after the path changes.

778 **Figs. 14 (a) and (b)** show the experimental results of the transitions of θ and φ as a function of
779 plastic work for the three strain paths, respectively. In Fig. 14 (b), reference transitions of φ calculated
780 using the transitions of θ and the associated flow rule with the Yld2000-2d yield function are also
781 shown. After the paths changed at the plastic work of approximately $1.0 \text{ MJ} \cdot \text{m}^{-3}$, θ and φ tended
782 to converge to approximately 0° and 28° regardless of the strain path, suggesting that both θ and φ
783 tended to converge to certain values whether or not deviation from the reference curve appeared after
784 strain path changes. These results are consistent with Figs. 9, 11, and 13. In the present case, θ and
785 φ almost converged at plastic works of around $5.0 \text{ MJ} \cdot \text{m}^{-3}$, suggesting that the plastic work
786 increment of approximately $4.0 \text{ MJ} \cdot \text{m}^{-3}$, which corresponded to the strain increment of
787 approximately 0.024 under RD tension, was necessary after strain-path change before the plastic
788 deformation converged, as also explained earlier. It should be noted that for conditions 1 and 3 the
789 experimental curves were in good agreements with the reference curves throughout the process even
790 though the deformation did not converge at plastic works smaller than $5.0 \text{ MJ} \cdot \text{m}^{-3}$.

791 The transitions of θ and φ are discussed in detail for each condition. In condition 1, both θ and
792 φ varied extremely gradually throughout the process. Comparing the transition of φ with the
793 reference curve, the experimental result was 2° to 4° smaller than the reference curve. Moreover, the
794 experimental result of the final angle of φ was slightly smaller than that of the reference curve. This
795 quantitative difference was comparable to that observed in **Figs. 7 and 9**. However, the transition
796 tendencies were similar, and the experimental result qualitatively followed the reference curve, which
797 is consistent with **Fig. 9**.

798 In contrast, for conditions 2 and 3, the changes in both θ and φ immediately after the path
799 changes were sharp. To examine the evolution of φ in detail, **Fig. 14 (c)** shows the transitions of
800 instantaneous gradient of φ . For visibility purposes, only the results of conditions 2 and 3 are shown.

801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838



839

840

841

842

843

844

845

846

847

848

849

850

851

852

853

854

855

856

857

858

859

860

861

862

863

864

865

866

867

868

869

870

871

872

873

874

875

876

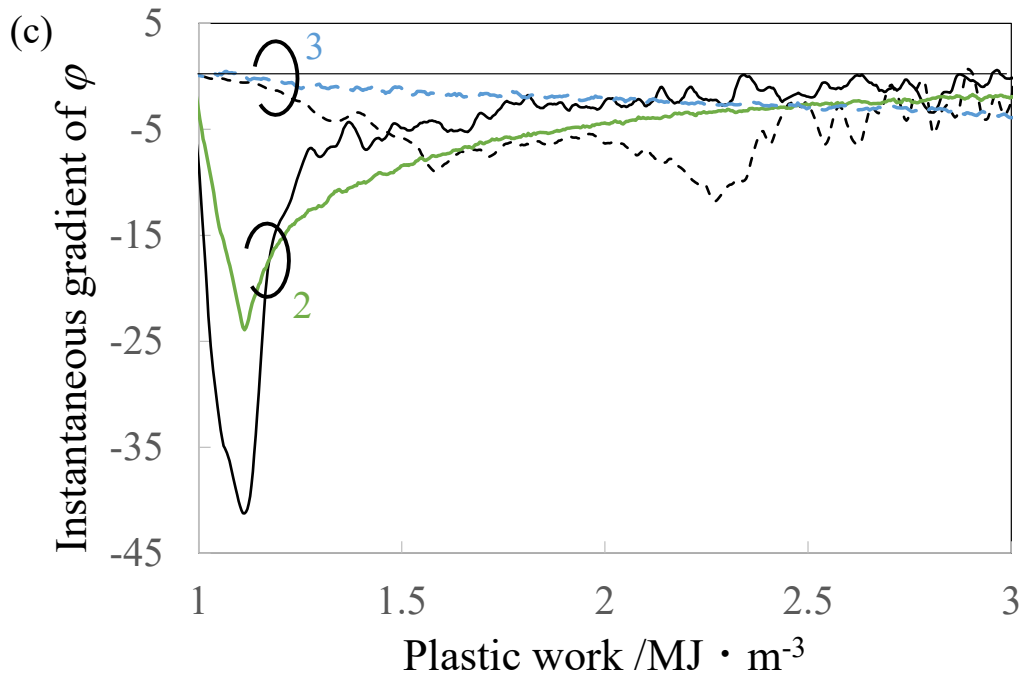


Fig. 14. Experimental results of transitions of θ and φ as a function of plastic work for conditions 1, 2, and 3 with a strain-path angle of 0° : (a) Transitions of θ , (b) transitions of φ , and (c) transitions of instantaneous gradient of φ – In (b) and (c), the black lines are the reference results, and the numbers given to the curves denote conditions

In condition 3, the gradient gradually decreased. Because the trajectory in the $\theta - \varphi$ plane was represented by the reference curve with practical small errors for condition 3 (Fig. 13), the deviation in the gradient observed in Fig. 14 (c) can also be considered as acceptable errors.

In condition 2, the change in θ immediately after the path change, i.e., specifically in the plastic work range from 1.0 to 1.2 $\text{MJ} \cdot \text{m}^{-3}$, was much more pronounced than in the other conditions (Fig. 14 (a)). However, the gradient of φ in this range was apparently smaller in the experimental result than in the reference curve. These results indicate that the relationships between φ and θ temporarily deviated from the reference result immediately after the path changes in condition 2 (Fig. 11) because the transition of φ was too gradual compared with the reference curve — that is, φ could not follow the rapid change of θ immediately after the abrupt change. Moreover, it is also presumed that the deviation from the reference curve was governed primarily by the deformation in the plastic work range from 1.0 to 1.2 $\text{MJ} \cdot \text{m}^{-3}$, which corresponded to a strain increment of approximately 0.001 under uniaxial tension in the RD.

Because the shape of yield locus would be subjected to change when the strain paths changed, as described earlier, it is significant to take this effect into consideration when the applicability of the

877 associated flow rule is discussed more rigorously. For instance, considering the homogeneous
 878 anisotropic hardening approach (Barlat, et al., 2011; 2013), it is presumed that the shape of yield locus
 879 is subjected to notable change after an abrupt path change, in particular for the plastic deformation
 880 from the plastic work of 1.0 to 5.0 MJ/m⁻³ in Fig. 14. It is expected that the change in the shape of the
 881 yield locus under the associated flow rule can be discussed in detail by utilizing the present
 882 experimental results and the homogeneous anisotropic hardening approach, which will be a part of our
 883 future works.

884

885 **5.1.2 Simulation Results**

886 **Fig. 15** shows the simulation results of the transitions of θ and φ for the three strain paths
 887 discussed in Section 5.1.1. The experimental results are also shown. The deviations in θ between the
 888 simulation and experimental results slightly appeared until $W_p = 3.0 \text{ MJ} \cdot \text{m}^{-3}$. They then almost
 889 disappeared at $W_p = 5.0 \text{ MJ} \cdot \text{m}^{-3}$, and θ converged to 0° irrespective of the condition. In contrast,
 890 the changes in φ were more gradual in the simulation results than in the experimental results
 891 irrespective of the condition. Moreover, although the subsequent changing rates were very small, φ
 892 still depended on the condition even at $W_p = 5.0 \text{ MJ} \cdot \text{m}^{-3}$. These results suggest that the present
 893 simulation tended to yield a more gradual transition of φ than the experimental results, which
 894 eventually yielded larger deviations of the relationship between φ and θ from the reference results
 895 of the associated flow rule in conditions 2 and 3. Furthermore, it is also presumed that the convergence
 896 points in the $\theta - \varphi$ plane were different between the experimental and simulation results primarily
 897 because of the deviation in φ .

898 Because θ would primarily be governed by slip activities, the correlation with the activities of
 899 slip systems was examined. The activities of the 12 slip systems of the fcc structure were evaluated
 900 using the relative activity of the α th slip system $r^{(\alpha)}$ as follows.

$$r^{(\alpha)} = \frac{\sum_{ns=1}^n \dot{\gamma}^{(\alpha)}}{\sum_{\beta=1}^N \sum_{ns=1}^n \dot{\gamma}^{(\beta)}} \quad , \quad (16)$$

901 where n and N are the numbers of grains and slip systems, respectively. Because of the strong cube
 902 components in the texture, for visibility purposes, the relative activity of the (111)[01 $\bar{1}$] system, which
 903 was the most active slip system in condition 1, is considered representative in further discussion.

904 The evolution of the relative activities for conditions 1, 2, and 3 is shown in **Fig. 15 (a)**. The relative
 905 activity for condition 1 was nearly constant throughout the process. Under conditions 2 and 3, the
 906 relative activities rapidly changed immediately after the paths changed and then converged onto that
 907 of condition 1, consistent with the transitions of θ . Moreover, it is apparent that the slope of θ
 908 changed largely when that of relative activity changed for conditions 2 and 3, confirming the strong
 909 correlation between the transitions of θ and the slip activity. In contrast, because no correlation was
 910 observed between the transitions of φ and the slip activity, the stress evolution would not be primarily
 911 governed by the evolution of the slip activity.

912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949

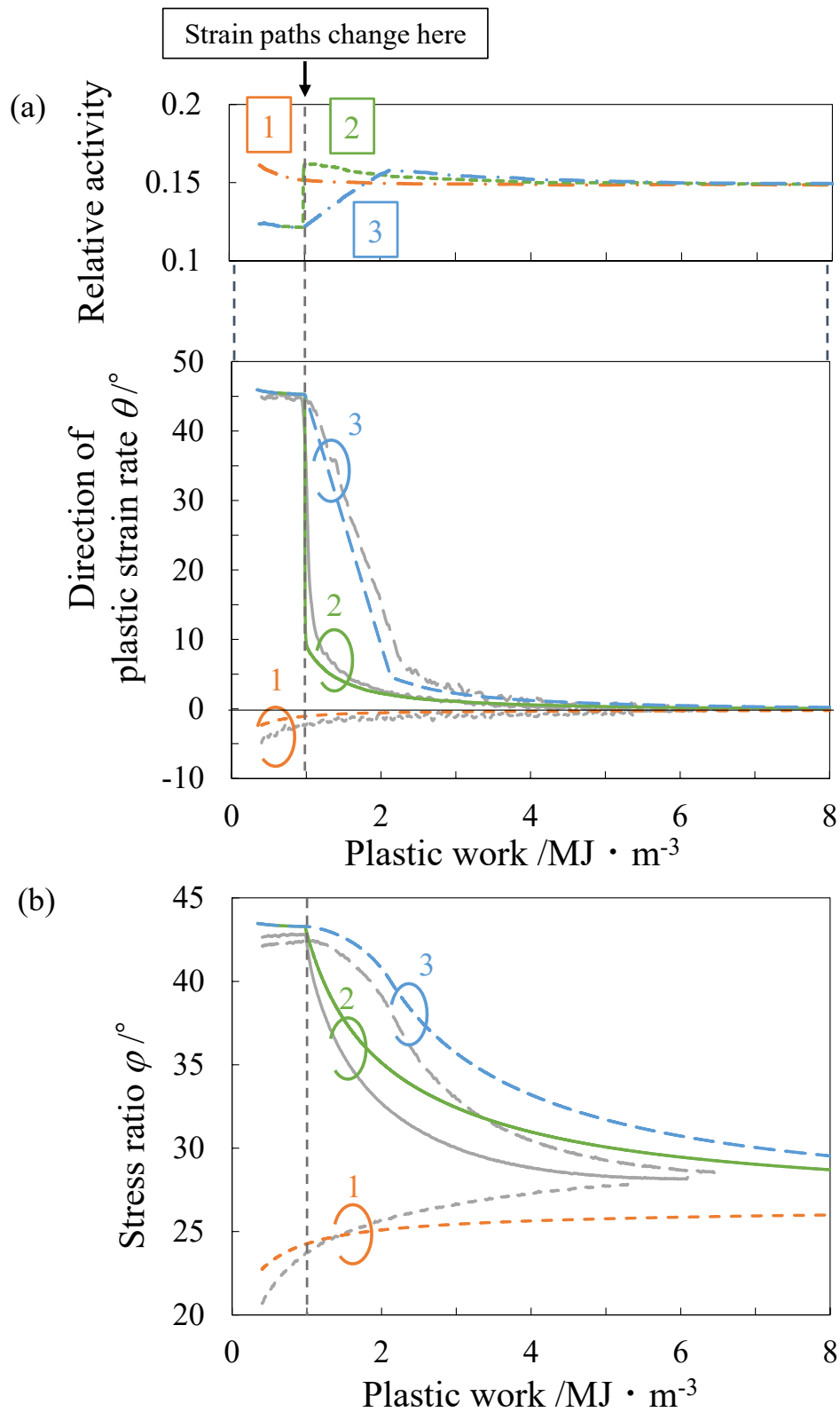


Fig. 15. Crystal plasticity simulation results of transitions of θ (solid lines), φ (broken lines), and relative activity as a function of plastic work for conditions 1, 2, and 3 with a strain-path angle of 0° : the gray solid lines represent the experimental results, and the numbers given to the curves denote the conditions

950 **5.2. Parametric Study**

951 **5.2.1 Effect of Strain Rate Sensitivity**

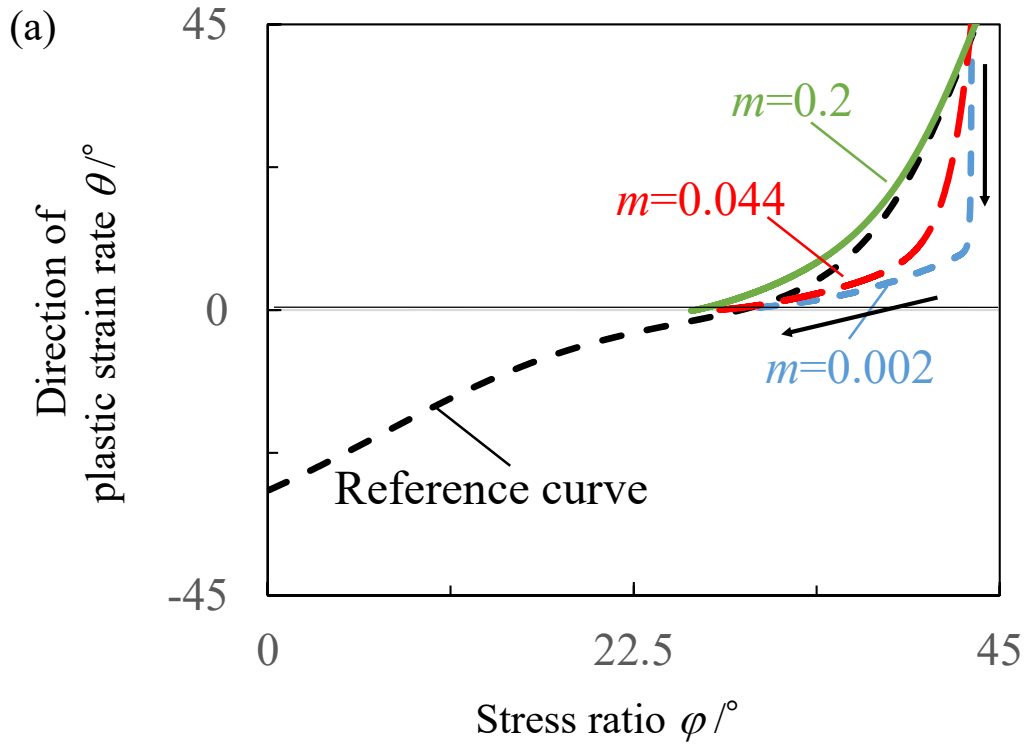
952 The deviation from the experimental results immediately after the strain path change observed in
953 the simulation results was further examined with respect to the mechanical properties of the material.
954 The effect of the strain rate sensitivity on the plastic deformation behavior has often been studied,
955 particularly in terms of the formation of vertices (e.g., Kuroda and Tvergaard, 1999; Kuwabara et al.,
956 2000; Kuroda and Tvergaard, 2001; Kuwabara et al., 2008; Yang and Balan, 2019). For instance,
957 Kuroda and Tvergaard conducted crystal plasticity simulations of abrupt strain-path change tests and
958 showed that larger viscosity yielded less pronounced change in θ just after the path change because
959 the rounded vertex became less sharp, and that subsequent deviations from normality were as large as
960 those obtained with smaller viscosity. Recently, Yang and Balan (2019) showed that the relationship
961 between the direction of viscoplastic strain rate and the stress ratio depended on the strain rate
962 sensitivity: the slope just after the strain-path change became smaller as the strain rate sensitivity
963 increased.

964 It is clear from Eq. (1) that the evolution of the slip rate $\dot{\gamma}^{(\alpha)}$ strongly depends on the strain rate
965 sensitivity exponent m , which was taken to be 0.002 in this study on the basis of experimental results
966 of polycrystalline Al alloy sheets. However, because Eq. (1) modeled the activity of each slip system,
967 it might be more appropriate to use the strain rate sensitivity exponent m of single crystals. Lindholm
968 et al. (1965) studied the work-hardening behaviors of single and polycrystalline pure Al under uniaxial
969 tension at different strain rates. According to their results, the rate sensitivity was likely to be larger in
970 single crystals than in polycrystalline materials. A similar tendency was reported for body-centered
971 cubic metals (Takeuchi, 1968). These past studies imply that it would be reasonable to consider that
972 the rate sensitivity exponent at the grain level is different from that at the macroscopic level. Therefore,
973 according to the work by Lindholm et al. (1965), simulations were conducted with larger rate
974 sensitivity exponents as a parametric study.

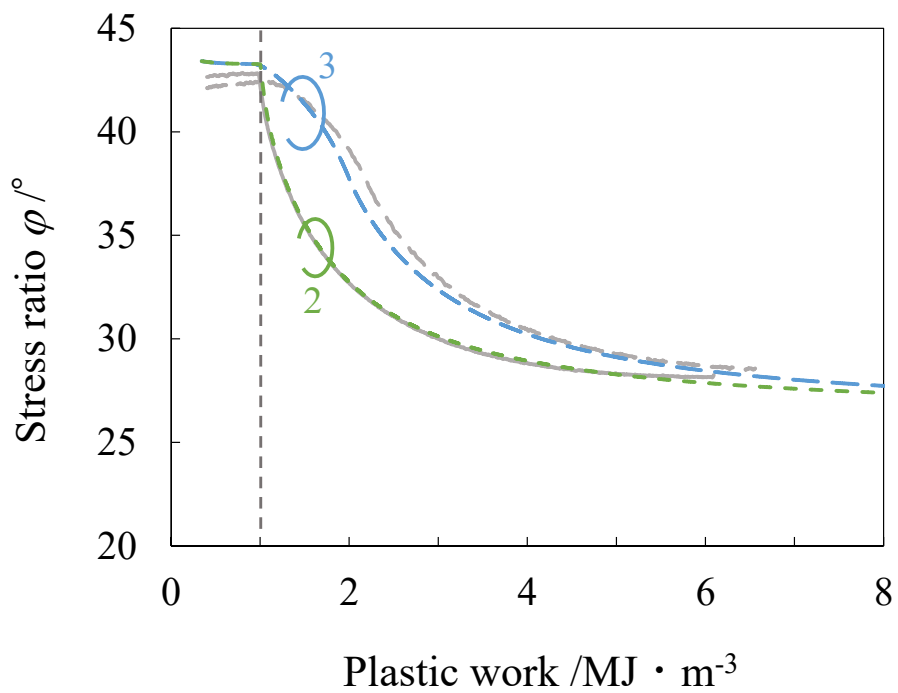
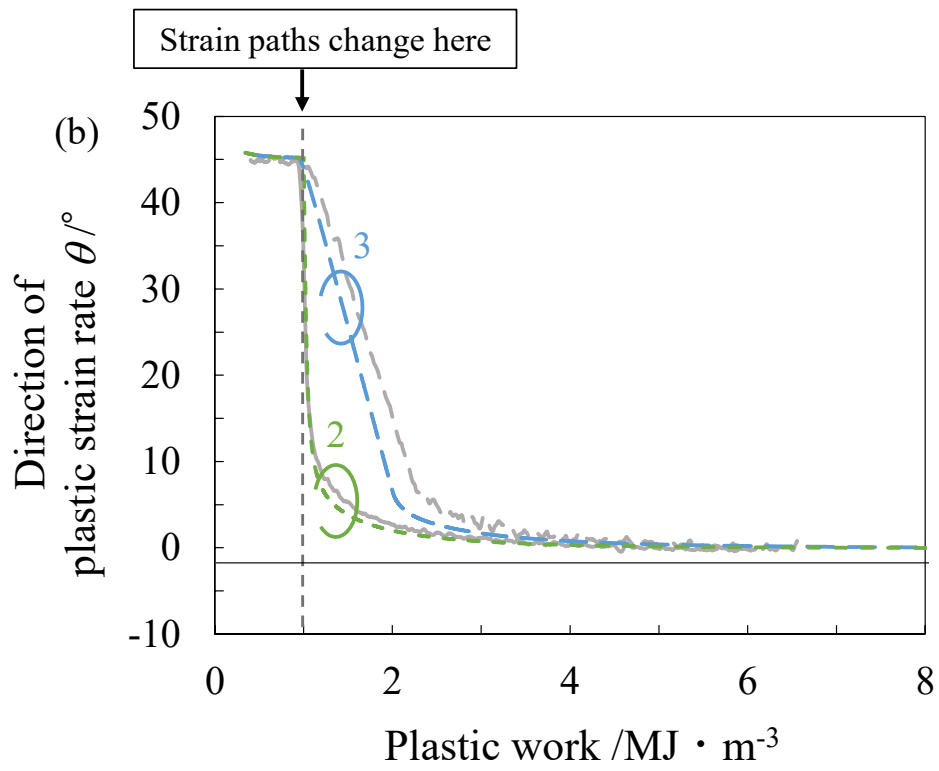
975 As examples, **Fig. 16 (a)** shows the relationship between φ and θ for condition 2 obtained with
976 $m = 0.002$ (original), 0.044 and 0.2. It is apparent that the slope just after the strain-path change became
977 smaller and the timing at which the slope started decreasing retarded as the strain rate sensitivity
978 increased. These tendencies were consistent with the results reported in the literature (Kuroda and
979 Tvergaard, 1999; Yang and Balan, 2019).

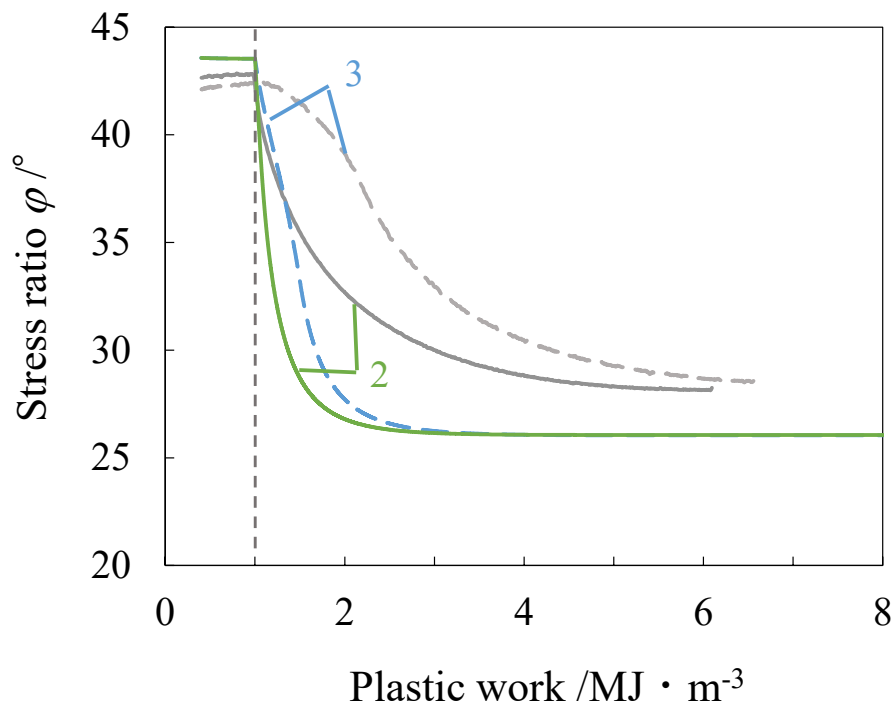
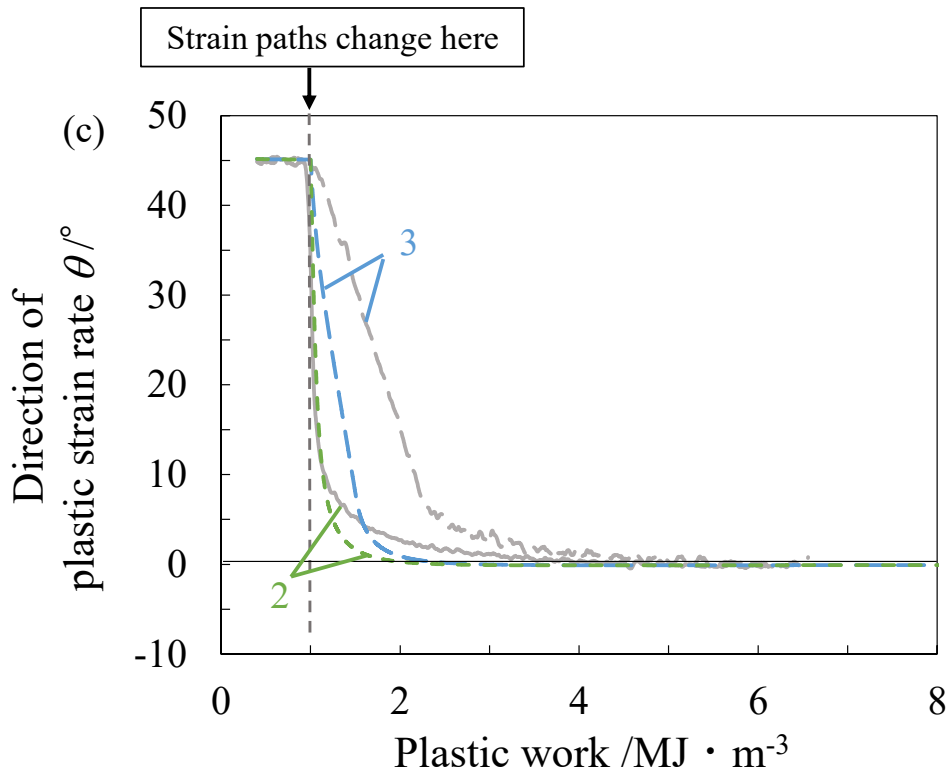
980 The simulation results for conditions 2 and 3 obtained with $m = 0.044$ and 0.2 are shown in **Figs.**
981 **16 (b) and (c)**, respectively. The experimental results explained earlier are also shown. When m was
982 set to 0.044, the transition of φ for condition 2 was in better agreement with the experimental result
983 than that of $m = 0.002$. In particular, φ tended to converge to approximately 27° , which agreed well
984 with the experimental result and was smaller than that of the result obtained with $m = 0.002$, see Fig.
985 15 (b). The transition of θ also became closer to the experimental result when m was set to 0.044.

986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000
1001
1002
1003
1004
1005
1006
1007
1008
1009
1010
1011
1012
1013
1014
1015
1016
1017
1018
1019
1020
1021
1022
1023



1024
1025
1026
1027
1028
1029
1030
1031
1032
1033
1034
1035
1036
1037
1038
1039
1040
1041
1042
1043
1044
1045
1046
1047
1048
1049
1050
1051
1052
1053
1054
1055
1056
1057
1058
1059
1060
1061





1096 **Fig. 16.** Crystal plasticity simulation results for condition 2 obtained with different m values: (a) relationships
1097 between φ and θ , and transitions of θ and φ as a function of plastic obtained with (b) $m = 0.044$ and (c) $m = 0.2$
1098 - In (b) and (c), the gray lines represent the experimental results.
1099

1100 The results of condition 3 also showed better agreements with the experimental results although the
1101 evolution of θ still deviated slightly: unlike the results with $m = 0.002$, the change in φ became
1102 sharper in the simulation result than in the experimental result.

1103 When m was set to 0.2, as shown in **Fig. 16(c)**, the change in φ became more sharp than that of
1104 $m = 0.044$ under conditions 2 and 3, and φ tended to converge to a smaller value. Apparently, $m =$
1105 0.044, which was larger than that determined from the macroscopic stress-strain curves, is more
1106 suitable in terms of the evolution of φ and θ . This tendency is consistent with the literature
1107 (Kuwabara, et al., 2000).

1108 These results indicate that the transition of φ became more pronounced with an increase in the
1109 rate sensitivity exponent, presumably because the evolution of the slip rate $\dot{\gamma}^{(\alpha)}$ after the path change
1110 became smoother because of the increase in m . Additionally, the transition of θ was also affected by
1111 the rate sensitivity exponent.

1112 In the present results, φ tended to converge to different values depending on the strain rate
1113 sensitivity, as explained earlier, whereas θ tended to converge to zero irrespective of the rate
1114 sensitivity exponent. In contrast, Yang and Balan (2019) examined the effect of strain rate sensitivity
1115 on the deformation behavior after strain-path change without considering work hardening, and showed
1116 that the relationship between the viscoplastic strain rate and the stress ratio tended to converge to a
1117 certain value irrespective of the strain rate sensitivity. They also reported that the deviation from the
1118 reference curve after the strain-path change became more pronounced when isotropic and /or kinematic
1119 hardening were considered. Kuroda and Tvergaard (1999) also discussed that the hardening would
1120 affect the stress path after the abrupt path change. On the basis of the past studies, the dependence of
1121 φ on the strain rate sensitivity observed in Fig. 16 would partially be because of work hardening of
1122 the material.

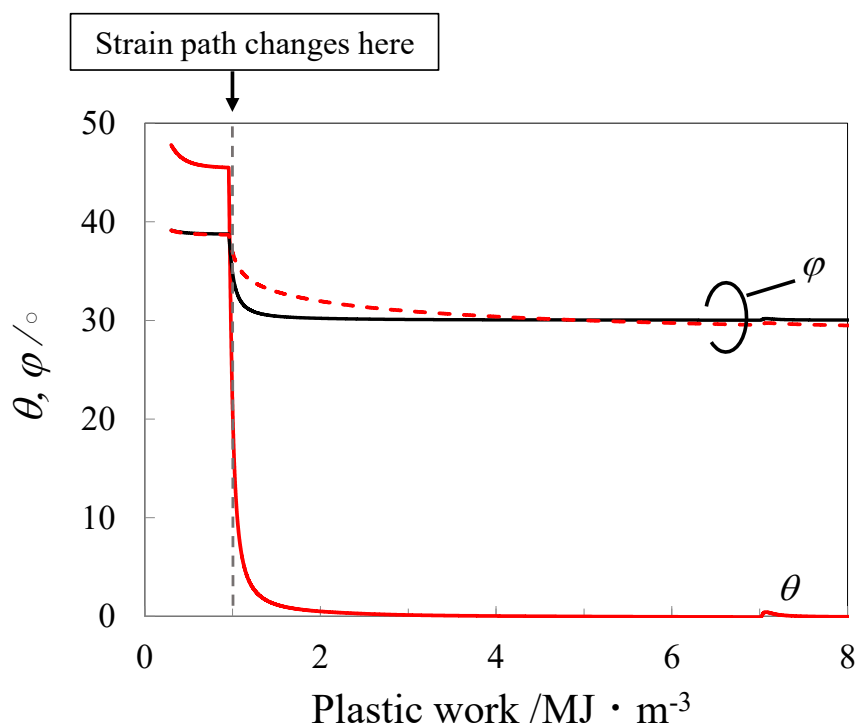
1123 Although $m = 0.044$ gave the best fits to the experimental results for condition 2 among the values
1124 tested in the present case, there is no experimental evidence that $m = 0.044$ for single crystals is
1125 physically appropriate for this material. The appropriate m value would also depend on the other
1126 hardening parameters. Modeling of rate sensitivity of this material should be further studied from both
1127 macroscopic and mesoscopic viewpoints in future works. Moreover, because the simulation results for
1128 condition 3 still deviated slightly from the experimental results even with $m = 0.044$, it is considered
1129 that other mechanisms, including work hardening, caused the difference between the experimental and
1130 simulation results.

1132 ***5.2.2. Strain-Path Change Test for Single Crystal***

1133 It has been established that stress and strain distributions are not uniform at the grain level in
1134 polycrystalline materials although macroscopically uniform deformation is given (Zhao et al., 2008;
1135 Lim et al., 2014; Baudoin et al., 2019). It is likely that the heterogeneous deformation at the grain level
1136 disturbs the prompt response of macroscopic stress evolution along with the change in direction of

1137 plastic strain rate θ . Kuroda and Tvergaard (1999) performed crystal plasticity simulations of the
 1138 abrupt strain-path change tests of single crystals and showed that the nonnormality appeared just after
 1139 the abrupt change, as in the case of polycrystalline materials, indicating that the deviation from the
 1140 reference curve is inevitable also in single crystals. However, if the heterogeneous deformation at the
 1141 grain level affected the deviation, it is presumed that the deviation is smaller in single crystals than in
 1142 polycrystalline materials. To examine the effect of the heterogeneous deformation on the plastic flow,
 1143 simulations under condition 2 were conducted assuming single crystals where heterogeneity at the
 1144 grain level would be small. The strain rate sensitivity exponent m was set to 0.044 in this simulation
 1145 because $m = 0.044$ gave better agreement with the experimental result, as explained in Section 5.2.1.

1146 An example of the simulation results is shown in **Fig. 17**. The Euler angles in the radians of the
 1147 single crystal considered here were (4.2191, 2.9200, 5.7445). The results were almost independent of
 1148 the crystal orientation. Because corresponding experimental results were not available, the reference
 1149 curve obtained from the Yld2000-2d function determined for the single crystal is shown instead. θ
 1150 showed sharper changes in the single crystal than in the polycrystalline sheet. In contrast, the transition
 1151 of φ was somewhat similar to that of the polycrystalline sheet: φ deviated from the reference curve
 1152 in the plastic work range from $W_p = 1.0$ to approximately $4.0 \text{ MJ} \cdot \text{m}^{-3}$. These results suggest that the
 1153 effect of the heterogeneous deformation at the grain level is significant on the evolution of θ , while
 1154 that for the stress evolution was small, indicating that the delay in the stress evolution is almost
 1155 independent of the number of grains.



1172 **Fig. 17.** Crystal plasticity simulation results of transitions of θ (solid line) and φ (broken line) as a function of
 1173 plastic work for a single crystal: a black solid line is a reference curve of φ obtained from the associated flow rule
 1174

5.3. Plastic-Strain-Controlled Test

Considering condition 2 with a strain-path angle of 0° , which corresponds to plane-strain tension in the RD, the plastic strain in the TD could in fact be increased, even after the path change, because total strains, which include elastic strains, were controlled in the test. It is likely that the elastic strains also affect the deformation behavior upon strain path changes, as pointed out by Yang and Balan (2019). Therefore, to examine the effect of elastic strains, a plastic-strain-controlled test was conducted, in which plastic strains ε_{11}^p and ε_{22}^p were directly controlled instead of total strains. Here, ε_{11}^p and ε_{22}^p were approximated as follows.

$$\varepsilon_{11}^p = \varepsilon_{11} - \frac{\sigma_{11}}{E_{11}} + \nu \frac{\sigma_{22}}{E_{22}}, \quad (17)$$

$$\varepsilon_{22}^p = \varepsilon_{22} - \frac{\sigma_{22}}{E_{22}} + \nu \frac{\sigma_{11}}{E_{11}}, \quad (18)$$

where E_{11} and E_{22} denote Young's moduli measured in the uniaxial tensile test along the RD and TD, respectively. The Poisson ratio ν was set to 0.3. Under condition 2, the sheet was stretched equibiaxially until ε_{11}^p and ε_{22}^p reached 0.005, followed by an abrupt change to $\tan^{-1}(d\varepsilon_{11}^p/d\varepsilon_{22}^p)=0^\circ$. A crystal-plasticity simulation was also conducted under this condition. The strain rate sensitivity exponent m was set to 0.044 in this simulation.

Fig. 18(a) shows the plastic strain paths achieved in the experiment and simulation. The two results followed the command path overall, but a slight decrease in ε_{22}^p occurred immediately after the path change. This indicates that the plastic strains immediately after the path change could not be represented by Eqs. (17) and (18). Therefore, in the following, the deformation behavior immediately after the path change is not discussed, but subsequent transitions are the focus.

Fig. 18(b) shows the transitions of θ and φ for the plastic-strain-controlled test. In the experimental and simulation results, θ decreased suddenly after the path change and overshoot to negative values, which corresponded to the slight decrease in ε_{22}^p , as shown in **Fig. 18(a)**. Thereafter, θ converged more rapidly in **Fig. 18(b)** as compared with the result of condition 2 (**Fig. 14**). This result suggests that the convergence rate of θ was affected by elastic strains when total strains were controlled. In contrast, the transition of φ still differed from the reference curve calculated from the associated flow rule and was somewhat similar to that of condition 2. This result shows that the tendency of the deviation from the reference curve was affected little by elastic strains.

6. Conclusions

In this study, biaxial tensile tests under various loading paths, including linear strain paths and nonlinear strain paths with abrupt or gradual changes, were conducted using a 6022-T4 Al alloy sheet.

1208
 1209
 1210
 1211
 1212
 1213
 1214
 1215
 1216
 1217
 1218
 1219
 1220
 1221
 1222
 1223
 1224
 1225
 1226
 1227
 1228
 1229
 1230
 1231
 1232
 1233
 1234
 1235
 1236
 1237
 1238
 1239
 1240
 1241
 1242
 1243
 1244
 1245

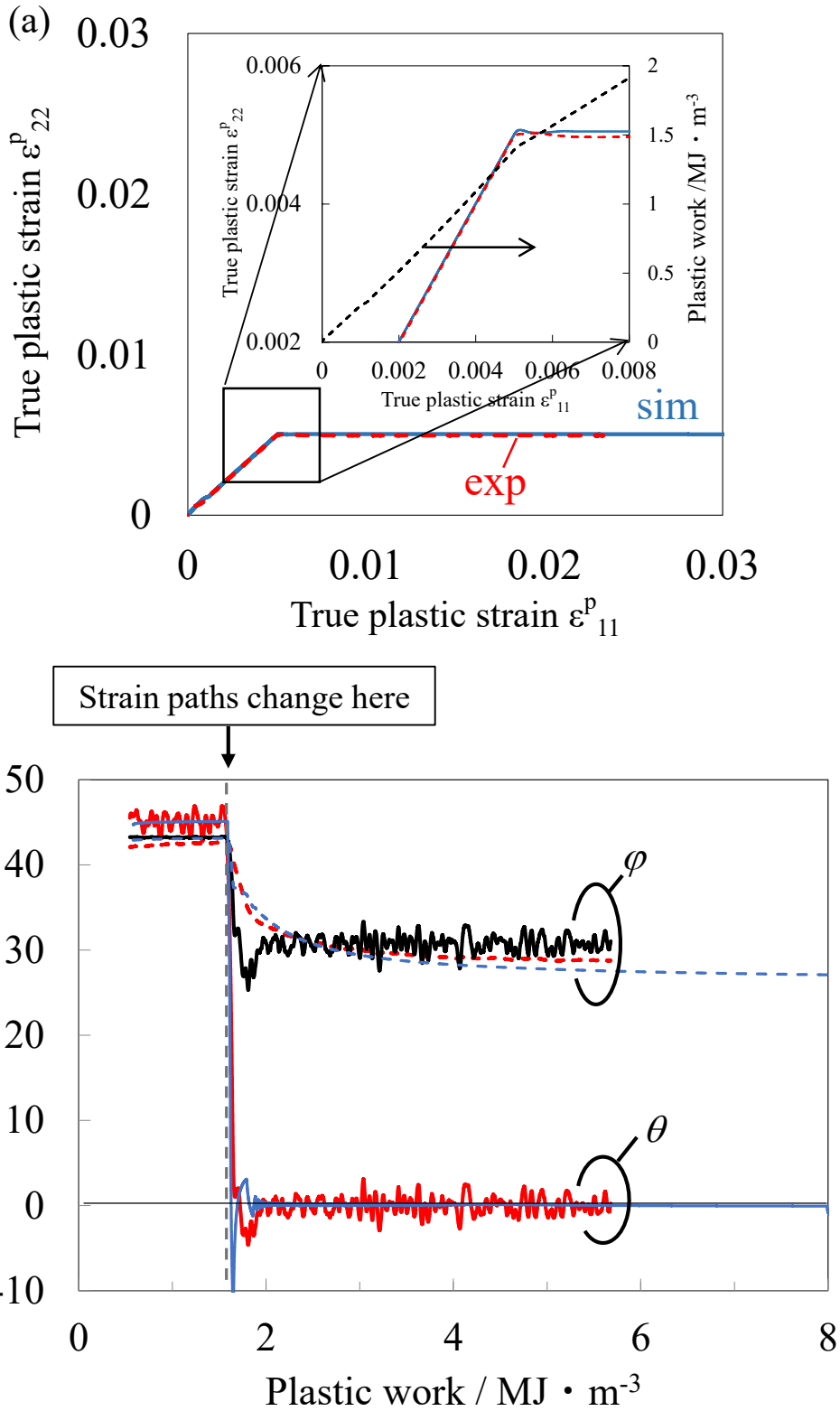


Fig. 18. Results of plastic-strain-controlled test: (a) plastic strain paths and (b) transitions of θ (solid lines) and φ (broken lines) as a function of plastic work — the red and blue curves are the experimental and crystal plasticity simulation results, respectively, and a black solid line in (b) is a reference curve of φ obtained from the associated flow rule

1246 The plastic deformation behavior resulting from strain-path changes was studied in detail, focusing on
1247 the transitions of the direction of the plastic strain rate θ and the stress ratio φ during deformation.
1248 A cruciform specimen was used for this purpose. Crystal plasticity finite-element simulations were
1249 also conducted to investigate the underlying deformation mechanism at mesoscopic viewpoints. The
1250 main conclusions obtained in this study are summarized as follows.

- 1251
1252 1) The evolution of the plastic deformation behavior after strain-path changes is measured
1253 experimentally under various strain paths. After the abrupt strain-path change, the plastic work
1254 increment of roughly $4.0 \text{ MJ} \cdot \text{m}^{-3}$, which corresponds to the strain increment of
1255 approximately 0.024 under uniaxial tension in the RD, is necessary until the deformation can
1256 be represented again by the associated flow rule with the Yld2000-2d yield function and
1257 isotropic hardening assumption. The simulation results reproduce the qualitative tendencies
1258 observed in the experiments, but the deviation from the associated flow rule is much more
1259 pronounced, particularly under nonlinear strain paths with gradual change.
- 1260 2) The transitions of θ and φ as a function of plastic work show that both θ and φ tend to
1261 converge to certain values regardless of the strain path if the final strain-path angles are
1262 identical. It is also found that the relationships between φ and θ temporarily deviate from
1263 the associated flow rule immediately after the abrupt path changes because φ cannot follow
1264 the rapid change of θ .
- 1265 3) Parametric studies show that φ tends to converge to different values depending on the strain
1266 rate sensitivity, whereas θ tends to converge to a same value irrespective of the rate sensitivity
1267 exponent. The rate sensitivity exponent $m = 0.044$ gives the best fits with the experimental
1268 results in terms of the evolution of both θ and φ under an abrupt change path, although the
1269 rate sensitivity exponent determined from macroscopic stress-strain curves are $m = 0.002$.
1270 However, the simulation results under a gradual change path still deviated slightly from the
1271 experimental results even with $m = 0.044$, mechanisms other than the rate sensitivity also would
1272 affect the predictive accuracy.

1273 **Acknowledgments**

1274
1275 The help of Mr. Sohei Uchida of the Osaka Research Institute of Industrial Science and Technology is
1276 acknowledged for the EBSD measurements. The authors wish to acknowledge Prof. Kengo Yoshida
1277 of Shizuoka University for fruitful discussions on the plastic deformation behavior upon strain-path
1278 changes. This study was partially supported by the Amada Foundation Grant number AF-2019004-A3
1279 and JSPS KAKENHI Grant number 20H02480. The biaxial tensile experiments were conducted using
1280 the apparatus originally developed at the Tokyo University of Agriculture and Technology (Prof.
1281 Kuwabara's laboratory), which has now been transferred to Kyoto University. The Al alloy sheet used
1282 in this study was provided by Kobe Steel, Ltd.

1283

1284 Appendix

1285 To examine the validities of the finite-element model and the number of crystal orientations used for
1286 the present material, a simulation of uniaxial tension along the RD was performed using four different
1287 sets of initial crystal orientations extracted from the same result of the EBSD measurement. The pole
1288 figures obtained from these sets are shown in Fig. A1. Set 1 corresponded to that used in this work.
1289 The overall pole figures and the max intensity values were in good agreements with the experimental
1290 results (Fig. 1 (b)). Fig. A2 shows the stress-strain curves and the evolution of r -values. The simulation
1291 results were almost independent of the set of initial crystal orientations. These results indicate that the
1292 finite-element model and the number of crystal orientations used in this work provide sufficiently
1293 stabilized results to study the macroscopic deformation behavior of the present material.

1294

1295

1296

1297

1298

1299

1300

1301

1302

1303

1304

1305

1306

1307

1308

1309

1310

1311

1312

1313

1314

1315

1316

1317

1318

1319

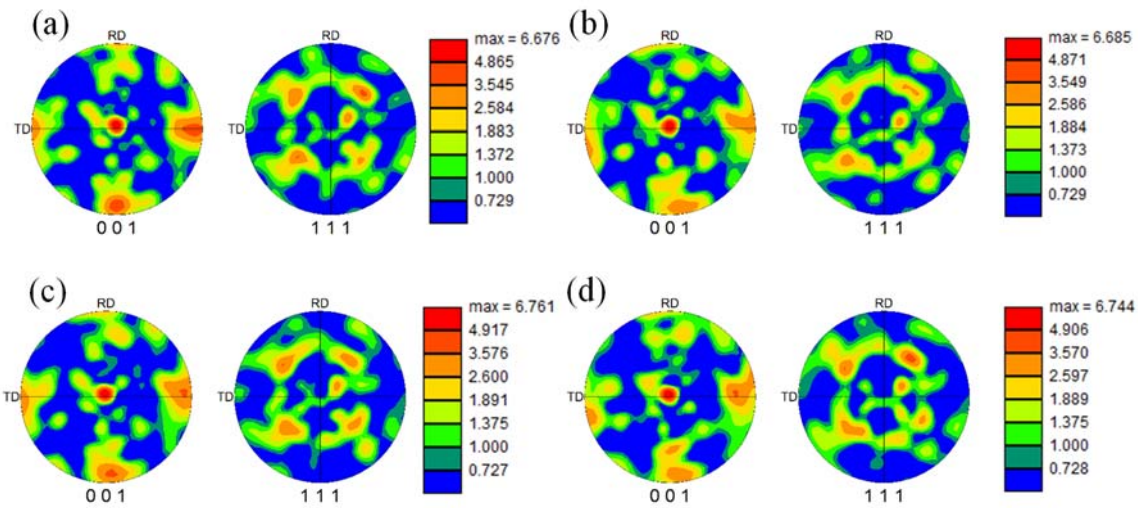


Fig. A1. Pole figures obtained with four different sets of initial orientations: (a) set 1, (b) set 2, (c) set 3, and (d) set 4

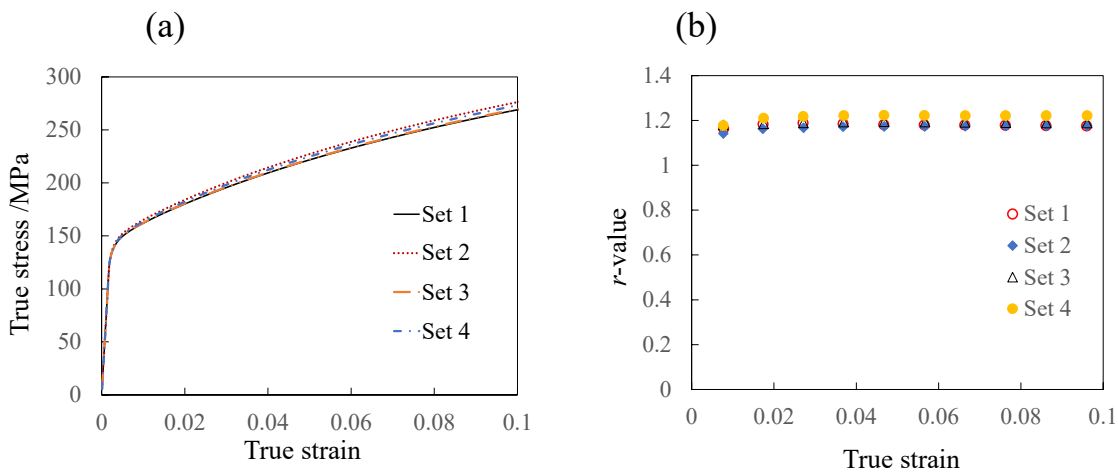


Fig. A2. Uniaxial tensile properties along the RD obtained with four different sets of initial orientations: (a) true-stress–
true-strain curves and (b) evolution of the r -value

References

1320

- 1321 Adzima, F., Balan, T., Manach, P.Y., Bonnet, N., Tabourot, L., 2017. Crystal plasticity and
1322 phenomenological approaches for the simulation of deformation behavior in thin copper alloy
1323 sheets, *International Journal of Plasticity* 94, 171-191.
1324 <http://dx.doi.org/10.1016/j.ijplas.2016.06.003>
- 1325 Asaro, R.J., Needleman, A., 1985. Texture development and strain hardening in rate dependent
1326 polycrystals. *Acta Metallurgica* 33, 923-953.
1327 [https://doi.org/10.1016/0001-6160\(85\)90188-9](https://doi.org/10.1016/0001-6160(85)90188-9)
- 1328 Barlat, F., Brem, J.C., Yoon, J.W., Chung, K., Dick, R.E., Lege, D.J., Pourboghrat, F., Choi, S.-H., Chu,
1329 E., 2003. Plane stress yield function for aluminum alloy sheets – part 1: theory. *International*
1330 *Journal of Plasticity* 19, 1297-1319.
1331 [https://doi.org/10.1016/S0749-6419\(02\)00019-0](https://doi.org/10.1016/S0749-6419(02)00019-0)
- 1332 Barlat, F., Grácio, J.J., Lee, M.-G., Rauch, E.F., and Vincze, G., An alternative to kinematic hardening
1333 in classical plasticity, *International Journal of Plasticity*, 27 (2011), 1309-1327.
1334 [doi:10.1016/j.ijplas.2011.03.003](https://doi.org/10.1016/j.ijplas.2011.03.003)
- 1335 Barlat, F., Ha, J., Grácio, J.J., Lee, M.-G., Rauch, E.F., and Vincze, G., Extension of homogenous
1336 anisotropic hardening model to cross-loading with latent effects, *International Journal of Plasticity*,
1337 46(2013), 130-142.
1338 <http://dx.doi.org/10.1016/j.ijplas.2012.07.002>
- 1339 Baudoin, P., Hama, T., and Takuda, H., Influence of critical resolved shear stress ratios on the response
1340 of a commercially pure titanium oligocrystal: crystal plasticity simulations and experiment,
1341 *International Journal of Plasticity* 115(2019), 111-131.
1342 <https://doi.org/10.1016/j.ijplas.2018.11.013>
- 1343 Cvitanić, V., Vlak, F., Lozina, Ž., 2008. A finite element formulation based on non-associated plasticity
1344 for sheet metal forming. *International Journal of Plasticity* 24, 646–687.
1345 <https://doi.org/10.1016/j.ijplas.2007.07.003>
- 1346 Feng, Z., Yoon, S.-Y., Choi, J.-H., Barrett, T.J., Zecevic, M., Barlat, F., Knezevic, M., 2020. A
1347 comparative study between elasto-plastic self-consistent crystal plasticity and anisotropic yield
1348 function with distortional hardening formulations for sheet metal forming. *Mechanics of Materials*
1349 148, 103442.
- 1350 Fivel, M., Tabourot, L., Rauch, E., Canova, G., 1998. Identification through mesoscopic simulations
1351 of macroscopic parameters of physically based constitutive equations for the plastic behavior of
1352 fcc single crystals. *Journal de Physique IV*, 1-8.
- 1353 Hama, T., Takuda, H., 2011. Crystal-plasticity finite-element analysis of inelastic behavior during
1354 unloading in a magnesium alloy sheet. *International Journal of Plasticity* 27, 1072-1092.
1355 <https://doi.org/10.1016/j.ijplas.2010.11.004>
- 1356 Hama, T., Takuda, H., 2012. Crystal Plasticity Finite-Element Simulation of Work-Hardening Behavior

1357 in a Magnesium Alloy Sheet under Biaxial Tension. *Computational Materials Science* 51, 156-164.
1358 <https://doi.org/10.1016/j.commatsci.2011.07.026>

1359 Hama, T., Matsudai, R., Kuchinomachi, Y., Fujimoto, H., and Takuda, H. 2015. Non-linear
1360 deformation behavior during unloading in various metal sheets. *ISIJ International* 55, 1067-1075.
1361 <https://doi.org/10.2355/isijinternational.55.1067>

1362 Hama, T., Kojima, K., Kubo, M., Fujimoto, H., and Takuda, H., 2017. Crystal plasticity finite-element
1363 simulation on development of dislocation structures in BCC ferritic single crystals. *ISIJ*
1364 *International* 57, 866-874.
1365 <https://doi.org/10.2355/isijinternational.ISIJINT-2017-011>

1366 Hama, T., Fujimoto, H., and Takuda, H., 2018. Prediction of differential work-hardening behavior
1367 under biaxial tension of steel sheet using crystal plasticity models. *Procedia Manufacturing* 15,
1368 1808-1815.
1369 <https://doi.org/10.1016/j.promfg.2018.07.210>

1370 Hanabusa, Y., Takizawa, H., Kuwabara, T., 2013. Numerical verification of a biaxial tensile test
1371 method using a cruciform specimen. *Journal of Materials Processing Technology* 213, 961-970.
1372 <https://doi.org/10.1016/j.jmatprotec.2012.12.007>

1373 Hill, R., Hecker, S.S., Stout, M.G., 1994. An investigation of plastic flow and differential work
1374 hardening in orthotropic brass tubes under fluid pressure and axial load. *International Journal of*
1375 *Solids and Structures* 31, 2999-3021.
1376 [https://doi.org/10.1016/0020-7683\(94\)90065-5](https://doi.org/10.1016/0020-7683(94)90065-5)

1377 Hirsch, J., 2011. Aluminium in innovative light-weight car design. *Materials Transactions* 52, 818-824.
1378 <https://doi.org/10.2320/matertrans.L-MZ201132>

1379 Hutchinson, J.W., 1976. Bounds and self-consistent estimates for creep of polycrystalline materials.
1380 *Proceedings of the Royal Society of London A* 348, 101-127.
1381 <https://doi.org/10.1098/rspa.1976.0027>

1382 Ito, K. 2005. Plastic instability theory and its application to predict sheet metal forming limit. *Journal*
1383 *of the Japan Society for Technology of Plasticity* 46, 1121-1125. (in Japanese)

1384 Khadyko, M., Dumoulin, S., Cailletaud, G., Hopperstad, O.S., 216. Latent hardening and plastic
1385 anisotropy evolution in AA6060 aluminium alloy. *International Journal of Plasticity* 76, 51-74.

1386 Kuroda, M., Tvergaard, V., 1999. Use of abrupt strain path change for determining subsequent yield
1387 surface: illustrations of basic idea. *Acta Materialia* 47, 3879-3890.
1388 [https://doi.org/10.1016/S1359-6454\(99\)00213-X](https://doi.org/10.1016/S1359-6454(99)00213-X)

1389 Kuroda, M., Tvergaard, V., 2001. A phenomenological plasticity model with non-normality effects
1390 representing observations in crystal plasticity. *Journal of the Mechanics and Physics of Solids* 49,
1391 1239-1263.
1392 [https://doi.org/10.1016/S0022-5096\(00\)00080-6](https://doi.org/10.1016/S0022-5096(00)00080-6)

1393 Kuwabara, T., Ikeda, S., Kuroda, K., 1998. Measurement and analysis of differential work hardening
1394 in cold-rolled steel sheet under biaxial tension. *Journal of Materials Processing Technology* 80-81,

1395 517-523.
1396 [https://doi.org/10.1016/S0924-0136\(98\)00155-1](https://doi.org/10.1016/S0924-0136(98)00155-1)
1397 Kuwabara, T., Kuroda, M., Tvergaard, V., Nomura, K., 2000. Use of abrupt strain path change for
1398 determining subsequent yield surface: experimental study with metal sheets. *Acta Materialia* 48,
1399 2071-2079.
1400 [https://doi.org/10.1016/S1359-6454\(00\)00048-3](https://doi.org/10.1016/S1359-6454(00)00048-3)
1401 Kuwabara, T., Yoshida, K., Narihara, K., Takahashi, S., 2005. Anisotropic plastic deformation of
1402 extruded aluminum alloy tube under axial forces and internal pressure. *International Journal of*
1403 *Plasticity* 21, 101-117.
1404 <https://doi.org/10.1016/j.ijplas.2004.04.006>
1405 Kuwabara, T., Horiuchi, Y., 2008. Determining subsequent yield surface of pure titanium sheet by use
1406 of abrupt strain path change. *International Journal of Material Forming* 1, 237-240.
1407 <https://doi.org/10.1007/s12289-008-0360-1>
1408 Kuwabara, T., Yoshida, K., 2015. Plastic deformation characteristics of light metal sheets -methods of
1409 measurement and modeling. *Journal of Japan Institute of Light Metals* 65, 164-173. (in Japanese)
1410 Kuwabara, T., Mori, T., Asano, M., Hakoyama, T., and Barlat, F., *International Journal of Plasticity*,
1411 93(2017), 164-186.
1412 <http://dx.doi.org/10.1016/j.ijplas.2016.10.002>
1413 Lee, E.-H., Stoughton, T.B., Yoon, J.W., 2017. A yield criterion through coupling of quadratic and non-
1414 quadratic functions for anisotropic hardening with nonassociated flow rule. *International Journal*
1415 *of Plasticity* 99, 120–143.
1416 <https://doi.org/10.1016/j.ijplas.2017.08.007>
1417 Lim, H., Carroll, J., Battaile, C.C., Buchheit, T., Boyce, B., Weinberger, C., 2014. Grain-scale
1418 experimental validation of crystal plasticity finite element simulations of tantalum oligocrystals.
1419 *International Journal of Plasticity* 60, 1–18.
1420 <https://doi.org/10.1016/j.ijplas.2014.05.004>
1421 Lindholm, U.S., Yeakley, L.M., 1965. Dynamic deformation of single and polycrystalline aluminium.
1422 *Journal of the Mechanics and Physics of Solids* 13. 41-53.
1423 [https://doi.org/10.1016/0022-5096\(65\)90030-X](https://doi.org/10.1016/0022-5096(65)90030-X)
1424 Madec, R., Kubin, L., 2017. Dislocation strengthening in FCC metals and in BCC metals at high
1425 temperatures. *Acta Materialia* 126, 166-173.
1426 <https://doi.org/10.1016/j.actamat.2016.12.040>
1427 Nemat-Nasser, S., 1999. Averaging theorems in finite deformation plasticity, *Mechanics of Materials*,
1428 31, 493-523.
1429 [https://doi.org/10.1016/S0167-6636\(98\)00073-8](https://doi.org/10.1016/S0167-6636(98)00073-8)
1430 Ogasawara, Y., Hakoyama, T., Kuwabara, T., Hayamizu, H., Ikeda, T., Takeda, H., and Barlat, F.,
1431 *Procedia Manufacturing*, 47(2020), 1270-1273.
1432 [10.1016/j.promfg.2020.04.217](https://doi.org/10.1016/j.promfg.2020.04.217)

- 1433 Peirce, D., Asaro, R.J., Needleman, A., 1983. Material rate dependence and localized deformation in
1434 crystalline solids. *Acta Metallurgica* 31, 1951-1976.
1435 [https://doi.org/10.1016/0001-6160\(83\)90014-7](https://doi.org/10.1016/0001-6160(83)90014-7)
- 1436 Phillips, A., Lu, W.-Y., 1984. An experimental investigation of yield surfaces and loading surfaces of
1437 pure Aluminum with stress-controlled and strain-controlled paths of loading, *Journal of*
1438 *Engineering Materials and Technology* 106, 349-354.
1439 <https://doi.org/10.1115/1.3225729>
- 1440 Safaei, M., Yoon, J.W., De Waele, W., 2014. Study on the definition of equivalent plastic strain under
1441 non-associated flow rule for finite element formulation. *International Journal of Plasticity* 58, 219–
1442 238.
1443 <https://doi.org/10.1016/j.ijplas.2013.09.010>
- 1444 Simmons, G., Wang, H., 1971. *Single Crystal Elastic Constants and Calculated Aggregate Properties:*
1445 *A Handbook*, Second Edition. MIT Press, Cambridge, Massachusetts, and London, England, 4.
- 1446 Stoughton, T.B., 2002. A non-associated flow rule for sheet metal forming. *International Journal of*
1447 *Plasticity* 18, 687–714.
1448 [https://doi.org/10.1016/S0749-6419\(01\)00053-5](https://doi.org/10.1016/S0749-6419(01)00053-5)
- 1449 Stoughton, T.B., Yoon, J.-W., 2004. A pressure-sensitive yield criterion under a non-associated flow
1450 rule for sheet metal forming. *International Journal of Plasticity* 20, 705–731.
1451 [https://doi.org/10.1016/S0749-6419\(03\)00079-2](https://doi.org/10.1016/S0749-6419(03)00079-2)
- 1452 Stoughton, T.B., Yoon, J.W., 2009. Anisotropic hardening and non-associated flow in proportional
1453 loading of sheet metals. *International Journal of Plasticity* 25, 1777–1817.
1454 <https://doi.org/10.1016/j.ijplas.2009.02.003>
- 1455 Tabourot, L., Fivel, M., Rauch, E., 1997. Generalised constitutive laws for f.c.c. single crystals.
1456 *Materials Science and Engineering A* 234-236, 288-309.
1457 [https://doi.org/10.1016/S0921-5093\(97\)00353-5](https://doi.org/10.1016/S0921-5093(97)00353-5)
- 1458 Tabourot, L., 2001. *Vers une vision unifiée de la plasticité cristalline*, Habilitation à Diriger des
1459 *Recherches*. Univ. Savoie.
- 1460 Taherizadeh, A., Green, D.E., Ghaei, A., Yoon, J.-W., 2010. A non-associated constitutive model with
1461 mixed iso-kinematic hardening for finite element simulation of sheet metal forming. *International*
1462 *Journal of Plasticity* 26, 288–309.
1463 <https://doi.org/10.1016/j.ijplas.2009.07.003>
- 1464 Takeuchi, S., 1968. Plasticity of BCC metals. *Bulletin of Japan Institute of Metals* 7, 14-26. (in
1465 Japanese)
- 1466 Teodosiu, C., Raphanel, J.L., Tabourot, L., 1993. Finite element simulation of the large elastoplastic
1467 deformation of multicrystals. *Proceedings of the International Seminar MECAMAT'91*, 153-168.
- 1468 Teodosiu, C., 1997. Dislocation modelling of crystalline plasticity. *Large Plastic Deformation of*
1469 *Crystalline Aggregates*, 21-80.
- 1470 Tian, H., Brownell, B., Baral, M., Korkolis, Y., 2017. Earing in cup-drawing of anisotropic Al-6022-

1471 T4 sheets. *International Journal of Material Forming* 10, 329-343.
1472 <https://doi.org/10.1007/s12289-016-1282-y>

1473 Yang, Y., Balan, T., 2019. Prediction of the yield surface evolution and some apparent non-normality
1474 effects after abrupt strain-path change using classical plasticity, *International Journal of Plasticity*,
1475 119, 331-343.
1476 <https://doi.org/10.1016/j.ijplas.2019.04.006>

1477 Yoshida, K., Ishii, A., Tadano, Y., 2014. Work-hardening behavior of polycrystalline aluminum alloy
1478 under multiaxial stress paths. *International Journal of Plasticity* 53, 17-39.
1479 <https://doi.org/10.1016/j.ijplas.2013.07.003>

1480 Yoshida, K., 2017. A plastic flow rule representing corner effects predicted by rate-independent crystal
1481 plasticity. *International Journal of Solids and Structures* 120, 213-225.
1482 <https://doi.org/10.1016/j.ijsolstr.2017.05.004>

1483 Yoshida, K., Tsuchimoto, T., 2018. Plastic flow of thin-walled tubes under nonlinear tension-torsion
1484 loading paths and an improved pseudo-corner model. *International Journal of Plasticity* 104, 214-
1485 229.
1486 <https://doi.org/10.1016/j.ijplas.2018.02.013>

1487 Yoshida, K., Okada, N., 2020. Plastic flow behavior of fcc polycrystal subjected to nonlinear loadings
1488 over large strain range. *International Journal of Plasticity* 127, 102639.
1489 <https://doi.org/10.1016/j.ijplas.2019.12.002>

1490 Zecevic, M., Knezevic, M., 2018. Latent hardening within the elasto-plastic self-consistent polycrystal
1491 homogenization to enable the prediction of anisotropy of AA6022-T4 sheets. *International Journal*
1492 *of Plasticity* 105, 141-163.
1493 <https://doi.org/10.1016/j.ijplas.2018.02.007>

1494 Zhao, Z., Ramesh, M., Raabe, D., Cuitino, A., Radovitzky, R., 2008. Investigation of three-dimensional
1495 aspects of grain-scale plastic surface deformation of an aluminum oligocrystal. *International*
1496 *Journal of Plasticity* 24, 2278–2297.
1497 <https://doi.org/10.1016/j.ijplas.2008.01.002>
1498
1499