1	Steel slit shear walls with double-tapered links capable of condition
2	assessment
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4	Masahiro Kurata ¹ , Liusheng He ² , Masayoshi Nakashima ¹
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6	¹ Disaster Prevention Research Institute, Kyoto Univ., Gokasho, Uji, Kyoto, Japan
7	² Dept. of Arch. and Arch. Sys., Grad. Sch. of Eng., Kyoto Univ., Kyodai-Katsura, Kyoto,
8	Japan
9	
10	ABSTRACT
11	The concept of using a hysteretic damper as a condition assessment device that functions
12	immediately after a damaging earthquake is realized by making use of the residual out-of-
13	plane deformation of links that are arranged in slit shear walls. According to the proposed
14	inspection procedure, the maximum drift ratio experienced by the slit wall is estimated
15	based on the number of torsionally deformed links whose dimensions are determined so
16	that the links would exhibit notable out-of-plane deformation at the target deformations.
17	The adoption of a double-tapered shape for the links makes it possible to significantly
18	increase the amount of their out-of-plane deformation. The relationship between the
19	dimensions and the out-of-plane deformation of the links is established using numerical
20	simulations. The effectiveness of the proposed condition assessment scenario is verified by
21	using a series of cyclic-loading tests for individual links and groups of links. As a hysteretic
22	damper, the strength and stiffness of the links predicted by design equations matched well
23	with test results.

Keywords: Shear walls, steel plates, structural health monitoring, static test, finite element
 method

26

27 INTRODUCTION

In urban societies characterized by factors such as density, promptness and globalization, 28 continuity of living and business activities is essential for society's survival. Many 29 occurrences, both natural and social, are responsible for impeding this continuity. In many 30 earthquake-prone countries such as Japan, damaging earthquakes are a major factor 31 blocking normal living and business activities. Immediately after a large earthquake society 32 and its inhabitants want to know the status of the environment and whether they can 33 34 continue their daily activities or leave the space that they occupy. Structural health monitoring (SHM) and corresponding condition assessment is a promising technology to 35 serve this purpose. 36

Numerous attempts have been made to develop this approach, and actual implementation has occurred in various places [e.g. 1-3]. However, seismic application of SHM to structures already built are still limited, with physical implementation only applied to the most critical structures such as long-span bridges and key industrial facilities. The reason

41 for this is straightforward, that is, the cost of initial investment and the associated long-term

maintenance is considered too great. However, without clear understanding of how well 42 43 SHM works and how accurate it is, justification of cost is extremely difficult, and the lack of cost-benefit analysis is most likely one of the greatest barriers. Another is "unfamiliarity" 44 with the devices germane to SHM, which together have resulted in a rather negative 45 attitude among the practitioners engaged in the design and construction of structures. This 46 attitude should not be overlooked when considering the adoption of SHM in the 47 construction industry which is known to be conservative. This conservative approach also 48 suggests a tactic for success in the use of SHM which is to use devices, elements, or 49 components that have already been adopted in current construction techniques. 50

51 In seismic design, passive dampers have gained popularity in past decades and have been incorporated in many building constructions [e.g. 4-7]. Among various damper devices, 52 hysteretic dampers that dissipate energy by the material yielding are most common, very 53 likely because of a lesser cost burden and the familiarity developed with practical 54 application examples. A notable feature of hysteretic dampers is that they are inserted 55 56 carefully into the main frame (made of beams and columns) to activate energy dissipation earlier than the yielding of the frame. This means that deformation induced into the 57 58 dampers is magnified relative to the floor drift.

59 The above background suggests the concept of the hysteretic damper functioning as an 60 SHM sensor in addition to its own function of energy dissipation. If this is realized, the problem of cost and unfamiliarity will be greatly reduced, which in turn will lead to 61 drastically increasing applications of SHM to standard, not just special, structures. 62 Continuing with this idea, there is an interesting type of hysteretic damper named the slit 63 shear wall. The concept of the slit shear wall is illustrated in Figure 1. It is made of a steel 64 panel, has many diamond-shaped openings (manufactured using laser-cutting), and when 65 the wall sustains in-plane shear deformation (named lateral drift), each segment, bounded 66 67 by two adjacent openings, named a link, behaves as a flexural member at the point of inflection located mid-height. Each link yields and later involves out-of-plane buckling, and 68 the summation of the energies dissipated in individual links equates to the energy dissipated 69



Figure 1 Schematic diagram: (a) installation illustration; (b) a reference slit shear wall with double-tapered links; (c) deformation in simulation.



Figure 2 Slit shear wall with rectangular links: (a) assembly; (b) view from past test.

by the slit wall. The interesting features of the wall are that 1) the stiffness and strength of

the wall can be adjusted flexibly by changing the slit arrangement, and 2) the wall dissipates energy with in-plane deformations of individual links, thereby not needing

73 bracing by restrainers (often needed in steel plate shear walls).

The authors discovered a very interesting phenomenon associated with the deformation of individual links. As shown in Figure 1(c), each link exhibits torsional deformation after

individual links. As shown in Figure 1(c), each link exhibits torsional deformation after
experiencing a certain level of inelastic cyclic lateral drift. Here torsional deformation is

77 meant to be the out-of-plane rotation of the link caused by the buckling of the plate.
78 Initiation of torsional deformation is controlled by the width of the link, i.e., earlier

79 torsional deformation occurs in a wider link. The torsional deformation steadily increases

80 for larger lateral drifts to a degree such that it is apparent to the eye. Enlightened by this

81 interesting phenomenon, we came up with the following inspection procedure by which we

82 can estimate the maximum lateral drift experienced by the slit shear wall.

83 Suppose the slit shear wall consists of three links of different widths (Figure 1(b)), with the

widest link (Link A) exhibiting notable torsional deformation at a lateral drift ratio of 1%
(the lateral drift divided by the link height), the second widest link (Link B) at a lateral drift

(the lateral drift divided by the link height), the second widest link (Link B) at a lateral drift ratio of 2%, and the narrowest link (Link C) at a lateral drift ratio of 3%. If no link shows

notable torsional deformation, the maximum lateral drift ratio sustained by the wall is no

greater than 1%. If only Link A shows notable torsional deformation, the maximum lateral

drift ratio is greater than 1% but smaller than 2%. If Links A and B show notable torsional

90 deformation, the maximum lateral drift ratio is between 2% and 3%, and so forth.

91 This paper reports on 1) the design of links, named monitoring links, 2) numerical analysis

92 to examine the relationship between the link's dimensions and its out-of-plane deformation,

and corresponding torsional rotation, 3) a series of tests on individual links and groups of

94 links, and 4) preliminary designs of slit walls that make it possible to estimate the

95 maximum lateral drift by visual inspection.

96 DESIGN OF MONITORING LINKS

97 Shear wall systems using steel plates are very common in the field of earthquake

98 engineering with their large stiffness, lightness, and ductility. Among the many types of 99 steel shear walls, the special steel plate shear wall (SPSW) and slit shear wall are the most common in practice. The SPSW is widely accepted in North America and is included in 100 design standards [8, 9]. It resists shear deformation with tension field action after the onset 101 of buckling and presents a slight pinching behavior in its hysteresis loop [e.g. 10-12]. 102 Because a SPSW requires rigid plate boundaries, the four sides of the plate need to be fixed 103 to stiff boundary members or an equivalent stiff boundary system [13]. Slit shear walls are 104 fairly popular in Japan. As illustrated in Figure 2(a), each rectangular link behaves as a 105 flexural member, and its yielding and hysteresis becomes a source of energy dissipation 106 similar to conventional steel hysteresis dampers [e.g., 14]. Since Hitaka and Matsui 107 introduced the design philosophy of slit shear walls, many studies, including practical 108 applications to real buildings, have been reported [15-17]. In a slit shear wall, two major 109 parameters control the energy dissipation behavior of each link, i.e., the width-thickness 110 ratio (b/t in Figure 2(a), where b is the link width and t is the plate thickness) and the aspect 111 112 ratio (h/b in Figure 2(a), where h is the height of the link). If the link is thin in b/t, local buckling occurs first and eventually out-of-plane deformations develop; if the link is long 113 in h/b, yielding at the link ends occurs first, and the in-plane behavior dominates; but if the 114 link is too long, the link becomes too flexible and its energy dissipation lessens 115 116 significantly. According to previous studies [15-16] and actual implementation, feasible 117 values for b/t and h/b are 8 to 24 and 3 to 10, respectively, considering the balance between the dimensions and the strength desired in design. Note also that the slit walls adopted in 118 real construction were 10 to 15 mm thick. 119

120 The authors previously attempted the development of monitoring links, whose details are given in [18-20]. In that development, slit shear walls shown in Figure 2(b), were designed 121 and tested. A few links with different widths were arranged in the wall, and the wall and 122 consequently the links, were loaded cyclically with increasing amplitude. The wider links 123 buckled earlier, followed by the buckling of narrower links. In that study, the concept for 124 monitoring using slit shear walls was found feasible, but the following two problems were 125 identified. One was the degree of buckling, and the other was cracks initiated from the edge 126 127 of the link. Although each segment exhibited buckling and corresponding out-of-plane deformation involving torsional deformation, the degree of the deformation was not 128 necessarily significantly large, which had made it rather difficult to judge whether or not it 129 sustained "notable" changes. As the link behaved as a flexural member, the maximum 130 strain (and the corresponding plastic hinge) occurred at the end of the link, i.e., the slit edge. 131 132 The large strain at the edge triggered cracking at that location, which in turn made the 133 growth of torsional deformation unstable and inconsistent, and lessened the dissipation of 134 energy.

Taking into account the outcomes of the past test, this study proposes a novel shape of monitoring links shown in Figure 1(b). The concept of a double-tapered steel component is not new [e.g., 21], and was used as a means of energy dissipation. Its effectiveness relative to its rectangular shape was achieved by controlling the locations of plastic hinges as a function of the rate of taper. However, the primary intention of the double-tapered shape 140 proposed here is not the enhanced energy dissipation but the relocation of the plastic hinge 141 away from the edge of the link, by which initiation and growth of the cracks will be 142 avoided. Another, more important aspect of this shape is that the degree of torsional

143 deformation can be significantly amplified relative to the conventional rectangular shape.

144 DESIGN OF THE DOUBLE-TAPERED LINK

145 Configuration of the double-tapered link

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146 A schematic of a double-tapered link when subjected to an in-plane shear force Q is 147 illustrated in Figure 3(a), where a, b, h, and t denote the link middle section width, end 148 section width, height, and thickness. While the bending moment under in-plane shear 149 becomes largest at the ends of the link, the link can start yielding at a location away from 150 the ends as a result of its tapered shape.

The location of first yielding corresponds to the location of maximum bending stress. With the coordinate system shown in Figure 3(a), the bending stress along the link edge is calculated as:

$$\sigma(x) = \frac{M(x)y}{I(x)} = \frac{3M_0}{ht} \frac{1}{(\frac{b-a}{h}\sqrt{x} + \frac{a}{2\sqrt{x}})^2},$$
(1)

where M(x) is the bending moment at the cross-section whose ordinate is x, with M_0 the bending moment at the end section, and I(x) the moment of inertia at the cross-section whose ordinate is x. The maximum bending stress is derived by solving the first order

158 differential equation: $d\sigma/dx = 0$, which leads to:

159
$$\sigma_{\max} = \frac{3M_0}{2at(b-a)}, \text{ when } x = \frac{ah}{2(b-a)}.$$
 (2)

The location suitable for the maximum bending stress and corresponding yielding should be away from the end section. If it is too close to the mid-section, however, the strength and energy dissipation of the link becomes too small. As a compromise, one-quarter height from the mid-section was chosen as the location that sustains the maximum bending moment. Inserting x = h/4 in Equation (2), b/a = 3 is attained. This means that the link should be tapered so that the width of the mid-section is made 1/3 the width at the end.

166 Strength and stiffness of the double-tapered link

Using the classical beam theory, the in-plane shear force that corresponds to the first yielding, named the yield strength Q_y , is:

169
$$Q_{y} = \frac{8a^{2}t}{3h}\sigma_{y},$$
 (3)

170 where σ_{v} is the yield stress.

171 Assuming that the plastic hinge is formed at the one-quarter height where the maximum

172 strain occurs, the shear force that corresponds to the full plastic condition, named the plastic 173 strength Q_p , is:

174
$$Q_p = 1.5Q_y = \frac{4a^2t}{h}\sigma_y$$
. (4)

Note, however, that the double-tapered link will sustain buckling, which in turn results in
torsional deformation of the link. The strength of the link controlled by buckling will be
explained later.

178 The elastic stiffness of a double-tapered link can be expressed as:

179
$$K_{link} = \frac{1}{\int_{-h/2}^{h/2} \frac{M(x)^2}{EI(x)} dx + \int_{-h/2}^{h/2} \frac{Q^2}{GA(x)} dx} = \frac{1}{\frac{h^3}{12.7Ea^3t} + \frac{0.55h}{Gat}},$$
(5)

180 where E is Young's modulus and G is the shear modulus.



Figure 3 Double-tapered link: (a) schematic; (b) loading history; (c) progress of torsional deformation (dark color indicating yielding); (d) angle of rotation ($\lambda = 22.2$).

181 PRELIMINARY ANALYSIS

182 Given the thickness of the link t and the width ratio between the end and mid sections, b/a=3, the mid-section width a and height h formed the two major parameters that 183 184 controlled the behavior of the double-tapered link. One parameter was the width-thickness 185 ratio λ and the other was the aspect ratio β . Because the cross-section at the one-quarter height would yield first and control the post-yielding behavior including buckling and the 186 succeeding torsional deformation, the link width at the quarter height, 2a, instead of a, was 187 taken as the reference width. Thus, the width-thickness ratio was defined as $\lambda = 2a/t$; and 188 189 the aspect ratio as $\beta = h/2a$.

190 To understand the post-yielding behavior of the double-tapered link, preliminary analysis was conducted using the commercial finite element (FE) code, ABAQUS 6.10 [22]. In the 191 FE model, a three-dimensional four-node shell element with reduced integration (S4R) was 192 193 adopted to represent the link. The adopted mesh size allowed at least 10 seeds at the narrowest middle section. A displacement associated with the first mode was imposed on 194 the FE model as the initial imperfection. In the direction normal to its plane, the maximum 195 imperfection amplitude of the link was scaled to 1/500 of the link height. Sensitivity 196 analysis was conducted with respect to the mesh size and the amount of initial imperfection, 197 and stable results were obtained with the values adopted. In the simulation, a yield stress of 198 374 MPa and a strain hardening ratio of 0.5% were adopted for the material. Note that the 199 yield stress was obtained from the coupon test conducted together with the cyclic loading 200 tests whose details will be presented later. 201

Figure 3(b) shows the loading history used in the simulation. Displacement-controlled cyclic loading was applied to the top boundary of the link, while the bottom boundary was fixed. The amplitude of cyclic loading was increased incrementally from 1.0% to 6.0% with an increment of 1.0%. Loading at the same drift ratios was repeated twice.

206 Effect of width-thickness ratio

In the thin plate theory, the width-thickness ratio controls local buckling. First, a parametric 207 study on the width-thickness ratio was conducted to examine its effect on the behavior of 208 209 the double-tapered link. In light of past research and practice, a 13.5 mm thick plate was considered, and three links with different widths at mid-section were chosen, i.e., a=150, 90 210 and 60 mm, which correspond to the width-thickness ratios of $\lambda = 22.2$, 13.3 and 8.9. For 211 $\lambda = 22.2$ as an example, Figure 3(c) shows the progress of out-of-plane deformation for 212 incremental cyclic loading. The link buckled at a drift ratio of 1% from the yielded area at 213 the quarter-height sections, torsional deformation of the link became notable at a drift ratio 214 of 2%, and the amount of torsional deformation increased during succeeding loading cycles. 215 The middle part of the link bounded by one-quarter and three-quarter heights behaved 216 217 nearly as a rigid body. The angle of rotation (R) of the mid-section was used to quantify the 218 amount of torsional deformation. Figure 3(d) shows the growth of the angle of rotation with respect to drift ratios. The rotation initiated at the drift ratio of 1% and increased 219 consistently as loading progressed. In one loading cycle, the amplitude of torsional 220 221 deformation was largest at the maximum drift ratio and decreased as the link was unloaded

to zero displacement. This was natural because of the elastic component present at the maximum drift ratio. The ratio of reduction of torsional deformation caused by unloading was large right after the onset of buckling but became smaller with the increase in

amplitude. This observation is important because the condition assessment of the wall will be performed after a major earthquake, in which residual inter-story drifts are commonly

227 not so significant.

Figure 4(a) shows the growth of torsional deformation with respect to the drift ratio. The 228 229 dotted lines with marks correspond to the angle measured after two cycles are completed at each amplitude, with the residual drift ratio of zero. It clearly shows that the initiation and 230 growth of torsional deformation differed with λ . The values adopted here, i.e., $\lambda = 22.2$, 231 232 13.3 and 8.9, were chosen to exhibit torsional deformation at the drift ratios of 1%, 2% and 233 4%, respectively. The lower and upper solid lines correspond to the rotation angle at the residual drift ratio of 20% of the maximum drift ratio before and after the completion of 234 two-cycle loading. For each width-thickness ratio, the differences among the three lines are 235 very small, indicating that some residual deformation would change the torsional 236 237 deformation very little.

238 Effect of the aspect ratio

Suppose the width and thickness of the link are specified, and the height of the link is the remaining parameter. If the link is too short, shear deformation (instead of flexural deformation) and yielding govern the behavior, which is not a preferred mode in the slit shear wall. To prevent early shear yielding at the narrowest mid-section, the lower bound of

243 β is taken as 4 in this study.

Conversely, if the link is too long, lateral torsional buckling is the likely failure mode [23].
It is impractical to derive a closed-form equation for the exact elastic buckling load of a
double-tapered link because of the tapered shape. Bradford and Cuk [24] proposed an
empirical equation for a web-tapped I-shaped cantilever subjected to a tip load, which is



Figure 4 Angle of rotation (*R*) versus drift ratio relationship for links: (a) effect of width-thickness ratio; (b) effect of aspect ratio.

equivalent to half of a double-tapered link. They estimated the critical load as:

249
$$Q_{ll} = \frac{\eta \sqrt{(EI_{y}GJ)_{0}}}{l^{2}},$$
 (6)

where *l* is half length of the link, η is the coefficient against the beam torsion parameter $k = \frac{\pi}{l} \sqrt{\left(\frac{EI_w}{GJ}\right)_0}$, with EI_w as the warping rigidity and GJ as the torsional stiffness, and the

(7)

subscript ₀ indicates the rigidity at the largest section.

253 An associated empirical equation for η is as:

254
$$\eta = 3.24k + 3.94$$
.

To study the effect of the aspect ratio, double-tapered links with a mid-section width of 90 255 mm and thickness of 13.5 mm, were considered as the prototype links. For $\beta = 10$ as an 256 257 example, the elastic lateral torsional buckling load Q_{ll} was 80.8 kN, while the load corresponding to the yield strength Q_{y} was 60.6 kN. This means that the elastic lateral 258 torsional buckling was not a dominant mode in promoting out-of-plane deformation. 259 Following the equation, an aspect ratio β of 12 was needed for the lateral torsional mode 260 at the load of Q_y , which was greater than the aspect ratios, of between 3 and 10, commonly 261 used for slit shear walls. 262

For the prototype double-tapered links, Figure 4(b) shows the increase in torsional deformation for various aspect ratios ranging from 4 to 10. For larger aspect ratios, the growth of rotation was somewhat slower, but overall the difference was considered minor compared with the difference observed for different width-thickness ratios.

267 PREPARATION OF THE TEST

268 Test specimens

The prototype slit shear wall was assumed to be arranged as illustrated in Figure 1, in which half of the story height was filled with a rigid element, and the drift ratio of the slit shear wall was then doubled. Assuming each story was 3 m high, the height of the slit shear wall was 1.5 m. Considering the end zones needed for connections, the link height was taken to be 900 mm, with a thickness of 13.5 mm. In the test, scaled slit shear walls were tested for various link dimensions.

275 Ten specimen pairs were prepared with the major test variables adopted as: (1) the width-276 thickness ratio; (2) the aspect ratio; and (3) the shape of the link. As discussed earlier, the 277 width-thickness ratio was believed to be the primary factor that would control the out-of-278 plane deformation, and therefore three ratios were adopted, namely, $\lambda = 23$, 14 and 9 (the values slightly different with those used in simulation since the actual thickness of the 279 tested plate was 4.3 mm instead of the nominal thickness of 4.5 mm), which were targeted 280 to exhibit notable torsional deformation at drift ratios of 1.5% (Level 1), 2.5% (Level 2) 281 and 3.5% (Level 3), respectively. Note that those width-thickness ratios were determined 282

283 from the FE analysis introduced in the previous section.

Table 1 shows a list of the specimen pairs 1 to 10. Pairs 1 to 4 were for Level 1, Pairs 5 to 8 284 were for Level 2, Pair 9 was for Level 3, and Pair 10 was for the combination of links for 285 Level 1 to 3. Pairs 4 and 8 had rectangular links, with b, λ and β in Table 1 as the width, 286 $\lambda = h/b$ and $\beta = b/t$, respectively. The number of links in each specimen was denoted as 287 n. The word "Pair" was used for the specimen designation because two identical or nearly 288 identical specimens were tested for each loading. For Level 1 and 2, links of two 289 290 thicknesses, 2.2 mm and 4.3 mm, were tested to demonstrate that the behavior would be similar for the same width-thickness ratio, and two heights, 300 mm and 200 mm, were 291 292 adopted to demonstrate that the aspect ratio was not a controlling factor. Pair 3 with an aspect ratio of 3 outside the recommendation, of at least 4, and chosen to avoid shear 293 294 yielding at the mid-section, was also included to verify the recommendation. To 295 demonstrate effectiveness, i.e., more enhanced torsional deformation, achieved by the 296 double-tapered links, conventional rectangular links were also tested. Finally, one more specimen was added, in which the three links assigned for Levels 1, 2 and 3 were installed 297 in one slit shear wall. This last specimen was tested to verify the procedure to visually 298 299 estimate the maximum drift ratio experienced by the wall.

Figure 5 shows the dimensions of the specimen. In Pairs 1, 2, 4 and 8, one specimen was 300 made of a single plate in which three identical links were formed, while in the other 301 specimen, three identical elements, each of which had just one link, were placed together as 302 an assembly (Figure 5(a), (b), (d) and (h), respectively). This treatment was to observe 303 whether the close boundaries between the adjacent links within a single plate would affect 304 305 the torsional deformation behavior of individual links. The shaded region denotes the part contacted with two angles that served to fix the specimen to the loading setup (with a width 306 of 60 mm). The circles in the shaded region are the openings for bolting. The links were 307 308 shaped by laser-cutting with a numerically controlled machine, which was found to be very 309 useful and accurate. Mild steel SS400, a Japanese steel grade equivalent to A36, was used 310 for the material of the tested double-tapered links. To determine the basic material Table 1 Summary of the specimens

Category	Pair	Link dimension (mm)	λ	β	с		
	1	a/t/h=25/2.2/300	22.7	6	60		
L anal 1	2	a/t/h=25/2.2/200	22.7	4	110		
Level 1	3	a/t/h=50/4.3/300	23.3	3	60		
	4	<i>b/t/h</i> =50/2.2/300	22.7	6	60		
	5	a/t/h=15/2.2/300	13.6	10	60		
Laval 2	6	a/t/h=15/2.2/200	13.6	6.7	110		
Level 2	7	a/t/h=30/4.3/300	14.0	5	60		
	8	<i>b/t/h</i> =30/2.2/300	13.6	10	60		
Level 3 9 <i>a/t/h=20/4.3/300</i>		a/t/h=20/4.3/300	9.3	7.5	60		
Combination	10	Combination of links from Pa	Combination of links from Pairs 3, 7 and 9				



properties, uniaxial tensile tests were performed, with an obtained average yield stress of
 374 MPa and maximum stress of 440 MPa.



In this study, notable torsional deformation was observed by visual inspection. Although this sounds rather subjective, notable torsional deformation was recognized very clearly once the out-of-plane displacement of the edges along the quarter-sections exceeded one thickness of the link as shown in Figure 6. This criterion of "off-one-thickness"

corresponded to angles of rotation (*R*) of 5°, 8° and 12° for Level 1 ($\lambda = 23$), Level 2 ($\lambda = 14$), and Level 3 ($\lambda = 9$), respectively.





319 Test setup and loading protocol

320 The specimen was installed with a rotation of 90° in a steel frame made of three wide-

flange columns (H-250 \times 250 \times 9 \times 14 mm) as shown in Figure 7(a). The two exterior

322 columns were securely posted on the base frame, while the middle column was attached to

the vertical jack and moved vertically. To orient the middle column vertically, both ends of the middle column were clamped by restrainers and rollers. Two nearly identical specimens

were installed as one pair, with one specimen installed on each side of the middle column

to check the variability of the two seemingly identical specimens. The drift ratio, i.e., the



(a) (b) Figure 7 Test setup and measurement: (a) loading and specimen installation; (b) measurement of rotation angle.

shear displacement, of the specimen, was controlled by the measurement of the vertical 327 displacement of the middle column, and the shear force applied was measured by the load 328 329 cell attached to the vertical jack. As the specimens on both sides were nearly identical, the shear force applied to each specimen was taken to be half the force detected by the load cell. 330 Great care was taken to measure the torsional deformation of individual links, as shown in 331 Figure 7(b). The torsional deformation was quantified as the rotation angle at the mid-332 section. Two wires were connected to each link at the mid-section and perpendicular to the 333 in-plane of the link, with the other two ends of wires connected to two displacement 334 335 transducers.

The loading protocol adopted for preliminary FE analysis (Figure 3(b)) was slightly modified to increase the resolution of the amplitude corresponding to buckling. In addition to the basic drift ratios, i.e., 1% to 6% with increments of 1%, a small increment of 0.5% was adopted near the expected drift ratio for buckling. For example, Level 1 (the target drift ratio of 1.5%) specimens were subjected to cyclic loading with successive drift ratios of

341 0.5%, 1%, 1.5%, 2%, 3%, 4%, 5% and 6%.

342 TEST RESULTS

343 Yielding in a quarter-height section

Yielding and local buckling at the quarter-height sections were the unique feature of the 344 proposed double-tapered link. To carefully observe that behavior, several foil strain gauges 345 346 that were to measure the elastic deformation were glued on the front face of the links at 347 their quarter-height as shown in Figure 8(a) and (b). The gauges were glued in the 348 longitudinal direction of the link and 2 mm inside its edge. Figure 8(b) shows the growth of 349 the respective strains (the vertical axis) in relation to the cyclic drift ratio (the horizontal 350 axis). The horizontal dashed lines indicate the strain corresponding to yielding. The plotted 351 strain values were those corresponding to the first maximum displacement in the first cycle





of respective cyclic amplitudes. Up to a drift ratio of 1.0%, the strain values on the two sides were nearly the same but opposite in sign. This symmetrical pattern was violated for drift ratios above 1.5%, when all strain gauges near the quarter-height section exhibited yielding, which eventually formed notable torsional deformation. This drift ratio of 1.5%

corresponding to the initiation of notable titling will be discussed in the next section.

357 Initiation and growth of torsional deformation

Figure 9 shows the growth of torsional deformation (quantified by the angle of rotation *R*) with respect to the drift ratio. The vertical axis shows the angle of rotation observed at the completion (meaning zero drift ratio) of each amplitude with two cycles. Among Pairs 1 to 9, Pairs 3, 5, 6, 7 and 9 had identical specimens in each pair. In Pairs 1, 2, 4 and 8, the two specimens were slightly different, one as a single panel configured with links, while the other as an assembly of individual links. Comparison between the single panel specimen and individual link observed in Pairs 1, 2, 4 and 8, will be explained in the next section.

Figure 9(a) plots the four pairs in Level 1, in which all links have the same width-thickness ratio. Pairs 1 and 2 exhibited nearly the same behavior and reached a level of notable torsional deformation (according to the "off-one-thickness" criterion) at the drift ratio of 1.5% as expected prior to the test. The difference between Pair 1 and Pair 2 was the aspect ratio, β (6 versus 4), and this test result verified the discussion that the aspect ratio would not be a controlling factor. Pair 3 had the same width-thickness ratio, but the torsional deformation was somewhat different.

372 This was attributed to the small aspect ratio adopted in the link, a value that was smaller than the recommended value of 4. The resulting early shear yielding at the mid-section 373 caused the middle part between the quarter-height sections to behave no longer as a rigid 374 body and accordingly showed a different rotation. In Pair 4 with rectangular links, the 375 376 initiation of torsional deformation was similar, but the growth of torsional deformation was 377 significantly smaller than the corresponding double-tapered links. One of the motivations to 378 adopt the double-tapered link was more significant torsional deformation, which was 379 evidenced by the difference in the growth of torsional deformation between the Xshapeddouble-tapered and rectangular links. 380

381 Figure 9(b) plots the four pairs in Level 2, where the target drift ratio for notable buckling was 2.5%. Pairs 5 and 6 with different aspect ratios reached the target angle of rotation at a 382 383 drift ratio of 2.5%, which means the torsional deformation was as expected. On the other 384 hand, Pair 7 showed slightly earlier and greater torsional deformation than Pairs 5 and 6. This was most likely caused by the difference in flexibility of the end zones; Pair 7 was 385 386 made of a thicker plate than those for Pairs 5 and 6. The influence of the end zone will be further discussed in the next section. Pair 8 was for rectangular links, and the torsional 387 deformation progressed slower than the double-tapered links. This comparison again shows 388 the advantage of the double-tapered link over the rectangular link in terms of visual 389 inspection. Figure 9(c) plots the pair for Level 3, and, as expected, notable torsional 390 deformation occurred at a drift ratio of 3.5%. Thus, at all levels the test showed that the 391 392 proposed double-tapered links exhibited notable torsional deformation at the target drift

ratios. 393

Difference in the angle of rotation of links within a specimen 394

In Pairs $1, \frac{2}{2}$ and 42, one specimen was made of a single panel with three links configured, 395 396 while the other specimen was an assembly of three individual links. Figure 9(d) shows the 397 growth of the angle of rotation with respect to the drift ratio for Pair 1. The "1st" in Figure 10-means the exterior link and "2nd" means the middle link. The results for Pairs 2 and 4 398 399 were similar. This closeness between the links in the panel and individual links, and between the exterior and middle links, indicated the independence of a link's torsional 400 deformation even when neighbored by other links. It was also notable that there was little 401 402 sensitivity to the growth of out-of-plane deformations once the shape was specified.

Behavior of the panel for condition assessment 403

Pair 10 was configured with a combination of three different double-tapered links, each 404 405 representing Levels 1, 2 and 3. Link 1 in Figure 10(a) was the same as the links used for



Figure 9 Angle of rotation (R) versus drift relationship: (a) Level 1; (b) Level 2; (c) Level 3; (d) individual link versus panel for Pair 1.



Figure 10 Behavior of Pair 10 with combination: (a) front view before loading; (b) angle of rotation (*R*) versus drift ratio relationship; (c) upward view after 2 cycles of 3.5%.



412 Accuracy of FE analysis

Figure 11(a) shows the comparisons of test results and FE analysis in terms of the relationship between the residual angle of rotation and drift ratio, and plots are made for Pairs 1, 5 and 9, which are regarded as the standard specimens for the respective levels. The simulated buckling initiation agreed well with the physical test, and the growth of torsional deformation was also simulated reasonably by the analysis up to an angle of rotation corresponding to the "off-one-thickness" criterion.

419 STRENGTH, STIFFNESS AND ENERGY DISSIPATION

420 Yield strength

To obtain the experimental yield strength Q_{yt} , two strain gauges glued on the surface of the 421 422 link, Gauges 2 and 5 in Figure 8(ba), were monitored. The yield strength was determined as the shear force applied to the specimen when one of the strain gauges exceeded the yield 423 strain obtained from the coupon test. The yield strengths obtained are listed in Table 2, 424 together with the analytical strength estimated using Equation (3). In this table, Pairs 4 and 425 8 are not included as those specimens had rectangular links. Pair 10 is also excluded the 426 427 specimens had links with different width-thickness ratios. The experimental and analytical 428 strengths were very similar (with errors no greater than 5%) for Pairs 5, 6, 7 and 9 (those 429 for Levels 2 and 3), while the experimental strength was smaller by 15 to 25% than the analytical strength for Pairs 1, 2 and 3 (those for Level 1). The links in Level 1 were thinner 430

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than those in Levels 2 and 3; hence they were more susceptible to local buckling beforesignificant yielding, which was thought to be the major cause of the discrepancy.

The local buckling stress of the double-tapered links was estimated. It was impractical to derive a closed-form equation for the exact elastic buckling load of double-tapered links because of the tapered shape, so the double-tapered link was assumed to be equivalent to a rectangular link with a uniform width of b = 2a, the width at the quarter-height section. The local buckling stress of a rectangular plate is given by [25]:

438
$$\sigma_{cr} = k \frac{\pi^2 E}{12(1-v^2)(b/t)^2},$$
 (8)

439 where k is the buckling coefficient determined by the plate geometry and boundary 440 conditions; E and v are Young's modulus and Poisson's ratio; b is the plate width; and t is 441 the thickness. The buckling strength Q_{cr} under this critical stress is estimated as follows:



Figure 11 Discussion for test results: (a) comparisons of angle of rotation versus drift ratio

between test and simulation; (b) buckling strength validation; (c) proposed design strength.

Considering the stress distribution induced in the link, the boundaries with three edges 443 444 simply supported and one edge free were adopted. With this boundary condition, the 445 buckling coefficient k when subjected to pure bending is 0.85 [26]. The local buckling strength estimated with Equation (9) and the lateral torsional buckling strength estimated 446 using Equation (6) are included in Table 2. For Pairs 1 to 3, the local buckling strength was 447 smaller than the corresponding lateral torsional buckling strength. Thus, local buckling was 448 the likely failure mode for these specimens. For Pairs 5 to 9, the predicted values for two 449 buckling strengths were significantly greater than unity, thus the specimens were subject to 450 451 significant yielding prior to the onset of buckling. While it was difficult to estimate the exact buckling strength in the inelastic range, the failure mechanism in terms of buckling is 452 explained as follows. The most critical section was the difference between local buckling 453 and lateral torsional buckling, that is, the former at the quarter-height and the latter at the 454 455 link end. Although the end section remained elastic, the quarter-height section was subjected to significant yielding with the taper of the links. In Table 2, while the critical 456 strengths for lateral torsional buckling were similar or slightly smaller than those for local 457 buckling in Pairs 5 to 9, the local buckling strength significantly decreased with the growth 458 in yielding at the quarter-height section and thus the governing failure mode remained as 459

local buckling. This reasoning was further confirmed because the experimental bucklingshape was the same as for local buckling in all the specimens.

462	Figure 11(b) shows the relationship between the buckling force (normalized by Q_y) and
463	width-thickness ratio, one obtained from Equation (9) with $k = 0.85$ and the other from

Pair	Q_{yt} (kN)	Q_y (kN)	Q_{yt}/Q_y	Q_{cr}/Q_y	Q_{lt}/Q_y	$Q_{\max t}$ (kN)	Q_{maxt}/Q_y
1	11.8	13.7	0.86	0.82	0.84	16.0	1.17
2	15.7	20.6	0.76	0.82	1.41	21.1	1.03
3	85.1	107.2	0.79	0.78	1.98	104.2	0.97
5	15.7	16.5	0.96	2.26	1.28	23.1	1.41
6	26.0	24.7	1.05	2.26	2.06	36.0	1.46
7	62.5	64.3	0.97	2.16	2.81	79.2	1.23
9	40.6	40.0	1.01	4.87	3.84	55.5	1.39
Pair	<i>K_t</i> (kN/mm)	<i>K</i> (kN/mm)	K_t / K	K _{mod} (kN/mm)	K_t / K_{mod}		
1	14.1	17.7	0.80	15.6	0.89		
2	32.0	52.3	0.61	33.1	0.93		
3	110.5	206.7	0.53	176.6	0.62		
5	12.0	13.7	0.88	13.4	0.89		
6	34.8	43.8	0.79	39.3	0.88		
7	63.3	94.7	0.67	87.9	0.72		
9	33.1	43.0	0.77	41.3	0.80		

Table 2 Summary of test results

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the FE analysis conducted for double-tapered links with various width-thickness ratios. The correlation between the two forces (for the same width-thickness ratio) was very reasonable, which justified using k = 0.85. Table 2 also lists the estimated buckling strength Q_{cr} / Q_y , which was close to the experimental yield strength Q_{yt} / Q_y for specimens in Level 1, with a difference within 6%. This and a previous observation on the yield strength indicates that the design strength of the double-tapered link can be estimated reasonably by the smaller of

470 the strengths calculated from Equations (3) and (9), as shown in Figure 11(c).

471 Estimation of the maximum strength

The maximum strength obtained from the test is also listed in Table 2 and plotted in Figure

473 11(c). Here, the maximum strength Q_{\max} was defined as the largest absolute strength

obtained up to the completion of the 6% drift ratio cycles. The maximum ratio of $Q_{\text{max}t}/Q_y$

475 was never greater than 1.5, which indicated that the plastic strength Q_p in Equation (4) can

be conservatively used in the estimation of maximum strength.

477 Estimation of elastic stiffness

The elastic stiffness of the individual links is given in Equation (5). Assuming that the end 478 479 zones are rigid, the elastic stiffness of the specimens is the summation of the stiffness of the 480 individual links. The estimated value K is listed in Table 2, together with the elastic stiffness obtained experimentally. The correlation was not necessarily so reasonable. In 481 particular, the discrepancy was more significant in Pairs 2 and 6, in which the end zones 482 483 were larger (at a depth of 110 and 60 mm for the others). This implies the effect of 484 flexibility of the end zones was not negligible. Therefore, the stiffness of the end zone K_c was estimated using Equation (10) to account for the flexural and shear deformations of the 485 end zone. Note that the end zone in Equation (10) refers to the entire end zone of the panel, 486 taken as a continuous rectangular plate. The modified stiffness K_{mod} incorporating the 487 stiffness of the end zone, was also estimated in Table 2. The difference between the test and 488 489 estimation was significantly smaller with a difference not greater than 12% for the 490 specimens made of a 2.2 mm plate (Pairs 1, 2, 5, and 6). The specimens made of a 4.3 mm 491 plate (Pairs 3, 7, and 9) still had relatively large differences from 20% to 38%.

492
$$K_{c} = \frac{1}{\int_{0}^{c} (\frac{h}{2} + x)dx + \int_{0}^{c} \frac{1.2}{GA(x)}dx} = \frac{1}{\frac{c(3h^{2} + 6hc + 4c^{2})}{EtB^{3}} + \frac{1.2c}{GBt}}$$
(10)

where c is depth of the end zone; A is the sectional area of the end zone and B is the total width of the entire end zone.

495 Energy dissipation behavior

The shear force versus drift ratio relationship is shown in Figure 12(a) for Pair 10 with the combination of links for Levels 1 to 3. The strength started to decrease at 2.0% with the onset of local buckling in the link for Level 1. The decrease in strength was not very significant and the shear wall sustained the average strength (for positive and negative loading directions) larger than 80% of the yield strength Q_y . The equivalent damping ratios



Figure 12 Hysteresis and equivalent damping ratio: (a) hysteresis of Pair 10; (b) equivalent damping ratios.

501 estimated using the standard procedure [27] for Pairs 1 (Level 1), 5 (Level 2)-and,-9 (Level 502 3_{7} and for Pair-10 are plotted for each drift ratio in Figure 12(b), in which one loop in the second cycle was used for the calculation. As elastic local buckling occurred in Level 1 503 504 (Pair 1), the hysteresis naturally involved significant pinching; hence the damping ratio remained small. In Level 2 (Pair 5), serious torsional deformation after local buckling did 505 506 not occur until 2%; hence the loops below the drift ratio were rather fat, with the damping ratio in a range of over 0.1. In Pair 9 (Level 3), stable hysteresis was obtained up to a drift 507 508 ratio of 3%. Pinching and corresponding reduction in the damping ratio occurred for cycles 509 with drift ratios of 3.5% or greater. In Pair 10, pinching became significant after 2.5% in 510 drift ratio and the damping ratio decreased gradually for larger drift ratios.

511 CONCLUSIONS

This paper presented a novel slit shear wall with double-tapered links that functioned as a 512 513 hysteretic damper and as a condition assessment device. The number of torsionally deformed links, each of which was designed to rotate at specified drift ratios, was used as 514 an indicator to estimate the maximum experienced deformation of the shear wall. Relying 515 solely upon the inspection by naked eyes, we are able to judge immediately after the 516 earthquake event whether or not the deformation at certain stories of the building where the 517 proposed shear walls are deployed exceeds the deformation permitted in design. The major 518 findings from numerical simulations and associated experiments are summarized as 519 520 follows:

(1) To achieve notable torsional deformation for condition assessment, the link with a
double-tapered shape was adopted. In the experiment, all specimens with double-tapered
links exhibited large ductility, without any fracture observed below a drift ratio of 6%. The
growth of out-of-plane buckling was enhanced by 50% relative to the corresponding
rectangular links at a drift ratio of 6%.

(2) Buckling of double-tapered links was controlled primarily by the width-thickness ratio
and was little affected by the aspect ratio. Using the results of numerical simulations, the
width-thickness ratios of 22.7, 13.6 and 9.3 were selected for achieving notable torsional

deformation at the drift ratios of 1.5%, 2.5% and 3.5% (Levels 1, 2 and 3), respectively.

530 (3) The test resulted in success with most links notably buckled and reached the preset "off-

531 one-thickness" criterion at the target drift ratios of Levels 1 to 3. The specimen featured

532 with a combination of three different double-tapered links for Levels 1 to 3 showed clear

torsional deformation at the drift ratios of 2%, 2.5% and 3.5% drift ratios. This was a clear

evidence for supporting the scenario of condition assessment proposed in this study.

(4) The design strength matched very well with the yield strength obtained in the test; the
maximum strength was close to the yield strength for the thinner specimens (Level 1) and
about 1.4 times the yield strength for the thicker specimens (Levels 2 and 3). The
experimental elastic stiffness was 82% on average with respect to the design stiffness. The

539 influence of end-zone flexibility was found non-negligible for stiffness estimation.

540 (5) In future work, a general design guideline needs to be established. A design equation 541 with the width-thickness ratio as the primary design variable is desirable to predict the drift 542 ratio of the link corresponding to notable torsional deformation. The loading protocol 543 adopted here was the one commonly used to evaluate the seismic performance of shear 544 walls. Tests with other loading protocols should be conducted to investigate the sensitivity 545 of the proposed scenario of condition assessment with respect to the non-stationary 546 characteristics of earthquake-type loading.

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