

# On Arithmetic Quantum Field Theory

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## Abstract

We review fundamental aspects of arithmetic quantum field theory.

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## 1 Introduction

In recent developments of theoretical physics, it has been shown that number theory has connections with physics in various aspects (e.g., [23, 30]). Among others, “statistical mechanics” of numbers may be interesting, because it is related in a direct way to the Riemann zeta function and may give a key to solve the Riemann hypothesis ([17, 18, 20, 21, 22, 27, 28, 29] and references therein).

Spector [28] pointed out relationships between analytic number theory and a free supersymmetric quantum field theory, and further discussed these aspects with notions of partial supersymmetry and “duality”[29]. Motivated by these works of Spector, we started in [14] a research program developing analytic number theory as a field of infinite dimensional analysis or mathematically rigorous quantum field theory. We call this type of theory an *arithmetic quantum field theory*. In this paper we review some fundamental results in [14].

## 2 Arithmetical Functions in Boson Fock spaces

### 2.1 Partition functions and correlation functions

Let  $\mathcal{H}$  be a separable infinite dimensional Hilbert space with inner product  $(\cdot, \cdot)_{\mathcal{H}}$  (complex linear in the second variable) and  $\otimes_s^n \mathcal{H}$  be the  $n$ -fold symmetric tensor product Hilbert space of  $\mathcal{H}$  ( $n = 0, 1, 2, \dots$ ;  $\otimes_s^0 \mathcal{H} := \mathbb{C}$ ). Then the Boson Fock space over  $\mathcal{H}$  is defined by  $\mathcal{F}_B(\mathcal{H}) := \bigoplus_{n=0}^{\infty} \otimes_s^n \mathcal{H}$ . Let  $A$  be a nonnegative self-adjoint operator on  $\mathcal{H}$  and

$$H_B(A) := d\Gamma_B(A) \tag{2.1}$$

be the second quantization of  $A$  on  $\mathcal{F}_B(\mathcal{H})$  (e.g., [19, §5.2], [25, p. 302, Example 2]). We denote by  $N_B$  the number operator on  $\mathcal{F}_B(\mathcal{H})$ :  $N_B := d\Gamma_B(I)$ , where  $I$  denotes identity.

For  $s > 0$ , we define

$$Z_B(s; A) := \text{Tr} e^{-sH_B(A)}, \quad \tilde{Z}_B(s; A) := \text{Tr} \{(-1)^{N_B} e^{-sH_B(A)}\}, \quad (2.2)$$

provided that  $e^{-sH_B(A)}$  is trace class on  $\mathcal{F}_B(\mathcal{H})$ , where  $\text{Tr}$  denotes trace.

**Remark 2.1** In statistical mechanics of quantum fields,  $Z_B(s; A)$  is called the *partition function* of the Hamiltonian  $H_B(A)$  at temperature  $1/s$  (physically  $s$  denotes an *inverse temperature*). The function  $\tilde{Z}_B(s; A)$  is not so standard. We call it the *graded partition function* of the Hamiltonian  $H_B(A)$  at temperature  $1/s$ . This type of partition function was considered in a concrete case by Spector [29].

To treat the partition functions in a unified way, we introduce a more general partition function

$$Z_B(s, z; A) := \text{Tr} \left( \Gamma_B(z) e^{-sH_B(A)} \right) \quad (2.3)$$

with

$$z \in D := \{w \in \mathbf{C} \mid |w| \leq 1\}, \quad (2.4)$$

provided that  $e^{-sH_B(A)}$  is trace class on  $\mathcal{F}_B(\mathcal{H})$ , where  $\Gamma_B(z) := \bigoplus_{n=0}^{\infty} z^n$  acting on  $\mathcal{F}_B(\mathcal{H})$ . We have

$$Z_B(s, 1; A) = Z_B(s; A), \quad Z_B(s, -1; A) = \tilde{Z}_B(s; A). \quad (2.5)$$

In what follows, we assume the following.

**Hypothesis (A)** *The operator  $A$  is strictly positive, self-adjoint and, for some  $s > 0$ ,  $e^{-sA}$  is trace class on  $\mathcal{H}$ .*

**Theorem 2.1** *Let  $z \in D$ . Then the operator  $\Gamma_B(z) e^{-sH_B(A)}$  is trace class on  $\mathcal{F}_B(\mathcal{H})$  and*

$$Z_B(s, z; A) = \frac{1}{\det(I - z e^{-sA})}, \quad (2.6)$$

where  $\det(I + S)$  is the determinant for  $I + S$  with  $S$  a trace class operator [26, §XIII.17].

Using Theorem 2.1 and the product law of the determinant  $\det(I + \cdot)$ , we can derive relations of partition functions at different temperatures:

**Theorem 2.2** *For all  $n \in \mathbf{N}$  and  $z \in D$ ,*

$$Z_B(s, z; A) = \det \left( \sum_{k=0}^{n-1} z^k e^{-ksA} \right) Z_B(ns, z^n; A) \quad (2.7)$$

and

$$Z_B(s, z; A) Z_B(s, -z; A) = Z_B(2s, z^2; A). \quad (2.8)$$

**Remark 2.2** In general, relationships among theories at different coupling constants are referred to as “duality” [29]. Eq.(2.8) is a duality relation, where the coupling constant is the inverse temperature.

In statistical mechanics, *correlation functions* are also important objects. We denote by  $a_{\mathcal{H}}(f)$  ( $f \in \mathcal{H}$ ) the annihilation operator on  $\mathcal{F}_{\mathbb{B}}(\mathcal{H})$  (e.g., [19, §5.2], [25, §X.7]) ( $a_{\mathcal{H}}(f)$  is antilinear in  $f$ ). For all  $t > s$  and  $f, g \in D(A^{-1/2})$  ( $D(A^{-1/2})$  denotes the domain of  $A^{-1/2}$ ), We can define

$$R_{\mathbb{B}}(t, z; f, g; A) := \frac{\text{Tr} \left( \Gamma_{\mathbb{B}}(z) a_{\mathcal{H}}(f)^* a_{\mathcal{H}}(g) e^{-tH_{\mathbb{B}}(A)} \right)}{Z_{\mathbb{B}}(t, z; A)}, \quad z \in D. \quad (2.9)$$

This is called a *two-point correlation function*. In the same manner as in [19, Proposition 5.2.28], we can show that

$$R_{\mathbb{B}}(t, z; f, g; A) = (g, z e^{-tA} (1 - z e^{-tA})^{-1} f)_{\mathcal{H}},. \quad (2.10)$$

## 2.2 Arithmetical aspects

By Hypothesis (A), the spectrum  $\sigma(A)$  of  $A$  is purely discrete with

$$\sigma(A) = \{E_n(A)\}_{n=1}^{\infty}, \quad (2.11)$$

$0 < E_1(A) \leq E_2(A) \leq \dots$ ,  $E_n(A) \rightarrow \infty$  ( $n \rightarrow \infty$ ), counted with algebraic multiplicity. There exists a complete orthonormal system (CONS)  $\{\phi_n\}_{n=1}^{\infty}$  of  $\mathcal{H}$  such that  $\phi_n \in D(A)$ ,  $A\phi_n = E_n(A)\phi_n$ ,  $n \in \mathbb{N}$ . We set

$$a_n := a_{\mathcal{H}}(\phi_n) \quad (2.12)$$

Then we have canonical commutation relations

$$[a_n, a_m^*] = \delta_{mn}, \quad [a_n, a_m] = 0, \quad [a_n^*, a_m^*] = 0, \quad n, m \geq 1, \quad (2.13)$$

on the finite particle subspace of  $\mathcal{F}_{\mathbb{B}}(\mathcal{H})$ .

We denote by

$$\mathcal{P} := \{p_n\}_{n=1}^{\infty} \quad (2.14)$$

the set of all prime numbers with  $p_n < p_{n+1}$ ,  $n \geq 1$  ( $p_1 = 2, p_2 = 3, p_3 = 5, p_4 = 7, p_5 = 11, \dots$ ).

By definition, an arithmetical function is a complex-valued function on  $\mathbb{N}$ . An arithmetical function  $f$  is called *completely multiplicative* if it satisfies

$$f(1) = 1, \quad f(mn) = f(m)f(n), \quad m, n \in \mathbb{N}.$$

Let  $N \geq 2$  be a natural number. Then, by the fundamental theorem of arithmetic, there exists a unique set  $\{i_1, \dots, i_n, \alpha_1, \dots, \alpha_n\} \subset \mathbb{N}$  ( $i_1 < \dots < i_n$ ) such that

$$N = (p_{i_1})^{\alpha_1} \dots (p_{i_n})^{\alpha_n}. \quad (2.15)$$

Then we define an arithmetical function  $\gamma(N)$  by  $\gamma(1) := 0$  and

$$\gamma(N) := \sum_{k=1}^n \alpha_k, \quad N \geq 2. \quad (2.16)$$

The arithmetical function defined by  $\lambda(1) := 1$  and

$$\lambda(N) := (-1)^{\gamma(N)}, \quad N \geq 2. \quad (2.17)$$

is called the *Liouville function* [1, §2.12]. This function is completely multiplicative.

Using the representation (2.15) of  $N$ , we can define a vector  $\Psi_N \in \mathcal{F}_B(\mathcal{H})$  by

$$\Psi_N := C_N (a_{i_1}^*)^{\alpha_1} \cdots (a_{i_n}^*)^{\alpha_n} \Omega_{\mathcal{H}}, \quad (2.18)$$

where  $\Omega_{\mathcal{H}} := \{1, 0, 0, \dots\}$  is the Fock vacuum in  $\mathcal{F}_B(\mathcal{H})$  and  $C_N := 1/\sqrt{\alpha_1! \cdots \alpha_n!}$  is a normalization constant so that  $\|\Psi_N\| = 1$ . We set  $\Psi_1 := \Omega_{\mathcal{H}}$ . A key fact is the following.

**Lemma 2.3** [28] *The set  $\{\Psi_N\}_{N=1}^{\infty}$  is a CONS of  $\mathcal{F}_B(\mathcal{H})$ .*

**Lemma 2.4** *For all  $N \in \mathbb{N}$ ,  $\Psi_N$  is a unique eigenvector (up to constant multiples) of  $\Gamma_B(z)$  with eigenvalue  $z^{\gamma(N)}$ .*

We introduce a function  $F_A : \mathbb{N} \rightarrow (0, \infty)$  as follows:  $F_A(1) := 1$  and if  $N \geq 2$  is represented as (2.15), then

$$F_A(N) := \prod_{k=1}^n e^{\alpha_k E_{i_k}(A)}. \quad (2.19)$$

It is easy to see that  $F_A$  is completely multiplicative.

**Lemma 2.5** *For all  $N \in \mathbb{N}$ ,  $\Psi_N$  is a unique eigenvector (up to constant multiples) of  $H_B(A)$  with eigenvalue  $\log F_A(N)$ .*

By Lemmas 2.4 and 2.5, we have

$$Z_B(s, z; A) = \sum_{N=1}^{\infty} \frac{z^{\gamma(N)}}{F_A(N)^s}, \quad z \in D. \quad (2.20)$$

By this fact and Theorem 2.1, we obtain the following.

**Theorem 2.6** *For all  $z \in D$ ,*

$$\sum_{N=1}^{\infty} \frac{z^{\gamma(N)}}{F_A(N)^s} = \frac{1}{\prod_{n=1}^{\infty} (1 - z e^{-s E_n(A)})}. \quad (2.21)$$

**Remark 2.3** Formula (2.21) may be regarded as a general form unifying arithmetical formulas known under the name of *Euler products* [1, Chapter 11]. See Section 2.3 below.

We introduce a function  $\varrho(N, m) : \mathbb{N} \times \mathbb{N} \rightarrow \{0\} \cup \mathbb{N}$  by

$$\varrho(1, m) := 0, \quad \varrho(N, m) := \sum_{k=1}^n \alpha_k \delta_{i_k m} \quad (2.22)$$

if  $N \geq 2$  is expressed as (2.15) ( $N, m \in \mathbb{N}$ ).

**Theorem 2.7** *Let  $t > s$ . Then, for all  $m \in \mathbb{N}$  and  $z \in D$ ,*

$$\sum_{N=1}^{\infty} \frac{z^{\gamma(N)} \varrho(N, m)}{F_A(N)^t} = \frac{z}{e^{t E_m(A)} - z} Z_B(t, z; A). \quad (2.23)$$

Let  $N \geq 2$  be given as (2.15). Then, each divisor  $m$  of  $N$  is of the form

$$m = p_{i_1}^{r_1} \cdots p_{i_n}^{r_n} \quad (2.24)$$

with  $0 \leq r_j \leq \alpha_j$ ,  $j = 1, \dots, n$ . We define a vector  $\Psi_{N,m} \in \mathcal{F}_B(\mathcal{H})$  by

$$\Psi_{N,m} := C_{N,m} a_{i_1}^{r_1} \cdots a_{i_n}^{r_n} \Omega_{\mathcal{H}}, \quad (2.25)$$

where  $C_{N,m} > 0$  is a normalization constant. For an  $m \in \mathbb{N}$  and  $N \in \mathbb{N}$ , we mean by  $m|N$  that  $m$  is a divisor of  $N$ . The set  $\{\Psi_{N,m}\}_{m|N}$  of vectors is orthonormal. We introduce

$$\mathcal{F}_B^{(N)}(\mathcal{H}) := \mathcal{L}\{\Psi_{N,m}\}_{m|N}, \quad (2.26)$$

where  $\mathcal{L}\{\cdot\}$  means the subspace spanned algebraically by the vectors in the set  $\{\cdot\}$ . We set  $\mathcal{F}_B^{(1)} := \{\alpha \Omega_{\mathcal{H}} | \alpha \in \mathbb{C}\}$ . We denote by  $P_N$  the orthogonal projection from  $\mathcal{F}_B(\mathcal{H})$  onto  $\mathcal{F}_B^{(N)}(\mathcal{H})$ .

**Proposition 2.8** *Let  $z \in D$ . Then, for all  $N$ ,*

$$\mathrm{Tr} \left( P_N \Gamma_B(z) e^{-s H_B(A)} P_N \right) = \sum_{m|N} \frac{z^{\gamma(m)}}{F_A(m)^s}. \quad (2.27)$$

### 2.3 Connections with analytic number theory

A basic object in analytic number theory is the *Dirichlet series*

$$D(s, f) := \sum_{n=1}^{\infty} \frac{f(n)}{n^s} \quad (2.28)$$

for an arithmetical function  $f$  and  $s \in \mathbb{C}$ , provided that the infinite series converges. The *Riemann zeta function*

$$\zeta(s) := \sum_{n=1}^{\infty} \frac{1}{n^s}, \quad s > 1, \quad (2.29)$$

is a special case of  $D(s, f)$ . We first show that  $\zeta(s)$  and  $D(s, \lambda)$  can be represented as partition functions of  $H_B(A)$  with a suitable  $A$ . For this purpose, we consider the case where  $\mathcal{H}$  is given by

$$\ell^2 := \oplus_{n=1}^{\infty} \mathbb{C} = \left\{ \psi = \{\psi_n\}_{n=1}^{\infty} \mid \psi_n \in \mathbb{C}, n \geq 1, \sum_{n=1}^{\infty} |\psi_n|^2 < \infty \right\}. \quad (2.30)$$

On this Hilbert space we define an operator  $\omega_{\mathcal{P}}$  as follows:

$$D(\omega_{\mathcal{P}}) = \left\{ \psi = \{\psi_n\}_{n=1}^{\infty} \in \ell^2 \mid \sum_{n=1}^{\infty} |(\log p_n) \psi_n|^2 < \infty \right\}, \quad (2.31)$$

$$(\omega_{\mathcal{P}} \psi)_n = (\log p_n) \psi_n, \quad \psi \in D(\omega_{\mathcal{P}}), \quad n \geq 1. \quad (2.32)$$

Then  $\omega_{\mathcal{P}}$  is strictly positive and self-adjoint. Moreover, the spectrum of  $\omega_{\mathcal{P}}$  is purely discrete with

$$\sigma(\omega_{\mathcal{P}}) = \{\log p_n\}_{n=1}^{\infty} \quad (2.33)$$

with the multiplicity of each eigenvalue  $\log p_n$  being one. A normalized eigenvector of  $\omega_{\mathcal{P}}$  with eigenvalue  $\log p_n$  is given by

$$e_n := \{\delta_{n,j}\}_{j=1}^{\infty} \in \ell^2. \quad (2.34)$$

**Theorem 2.9** For all  $s > 1$  and  $z \in D$ ,

$$Z_B(s, z; \omega_P) = \sum_{N=1}^{\infty} \frac{z^{\gamma(N)}}{N^s}. \quad (2.35)$$

Applying Theorem 2.6 with  $A = \omega_P$ , we obtain the following.

**Corollary 2.10** For all  $s > 1$  and  $z \in D$ ,

$$\sum_{N=1}^{\infty} \frac{z^{\gamma(N)}}{N^s} = \frac{1}{\prod_{p \in \mathcal{P}} (1 - zp^{-s})}. \quad (2.36)$$

An application of Theorem 2.7 gives the following.

**Corollary 2.11** For all  $s > 1$ ,  $n \in \mathbb{N}$  and  $z \in D$ ,

$$\sum_{N=1}^{\infty} \frac{z^{\gamma(N)} \varrho(N, n)}{N^s} = \frac{z}{p_n^s - z} Z_B(s, z; \omega_P). \quad (2.37)$$

The operator  $\omega_P$  may be regarded as a special case of a more general operator associated with a completely multiplicative function. Let  $f$  be a completely multiplicative function such that  $0 < f(n) < 1$  for all  $n \geq 2$  and

$$\sum_{n=1}^{\infty} f(p_n) < \infty, \quad (2.38)$$

and define an operator  $A_f$  on  $\ell^2$  by

$$D(A_f) = \left\{ \psi = \{\psi_n\}_{n=1}^{\infty} \mid \sum_{n=1}^{\infty} |\log f(p_n)|^2 |\psi_n|^2 < \infty \right\}, \quad (2.39)$$

$$(A_f \psi)_n = [-\log f(p_n)] \psi_n, \quad \psi \in D(A_f), \quad n \geq 1. \quad (2.40)$$

Then  $A_f$  is a strictly positive self-adjoint operator and  $e^{-A_f}$  is trace class on  $\ell^2$ . It is easy to see that

$$F_{A_f}(N) = \frac{1}{f(N)}, \quad N \in \mathbb{N}. \quad (2.41)$$

Hence we have

$$Z_B(1, z; A_f) = \sum_{n=1}^{\infty} z^{\gamma(n)} f(n), \quad z \in D. \quad (2.42)$$

Applying Theorem 2.6, we obtain the following fact.

**Corollary 2.12** Let  $f$  be as above. Then, for all  $z \in D$ ,

$$\sum_{n=1}^{\infty} z^{\gamma(n)} f(n) = \frac{1}{\prod_{p \in \mathcal{P}} (1 - zf(p))}. \quad (2.43)$$

Theorem 2.7 gives the following.

**Corollary 2.13** Let  $f$  be as above. Then, for all  $n \in \mathbb{N}$  and  $z \in D$ ,

$$\sum_{N=1}^{\infty} z^{\gamma(N)} \varrho(N, n) f(N) = \frac{zf(p_n)}{1 - zf(p_n)} Z_B(1, z; A_f). \quad (2.44)$$

Applying Proposition 2.8, we have for all  $s > 1$

$$\text{Tr} \left( P_N z^{N_B} e^{-sH_B(\omega_P)} P_N \right) = \sum_{m|N} \frac{z^{\gamma(m)}}{m^s}, \quad z \in D. \quad (2.45)$$

### 3 Arithmetical Functions in Fermion Fock Spaces

#### 3.1 Partition functions and correlation functions

Let  $\mathcal{K}$  be a separable infinite dimensional Hilbert space and  $\otimes_{\mathbb{A}}^n \mathcal{K}$  be the  $n$ -fold antisymmetric tensor product Hilbert space of  $\mathcal{K}$  ( $n = 0, 1, 2, \dots$ ;  $\otimes_{\mathbb{A}}^0 \mathcal{K} := \mathbf{C}$ ). Then the Fermion Fock space over  $\mathcal{K}$  is defined by  $\mathcal{F}_{\mathbb{F}}(\mathcal{K}) := \bigoplus_{n=0}^{\infty} \otimes_{\mathbb{A}}^n \mathcal{K}$ .

Let  $T$  be a nonnegative self-adjoint operator on  $\mathcal{K}$  and

$$H_{\mathbb{F}}(T) := d\Gamma_{\mathbb{F}}(T). \quad (3.1)$$

be the second quantization of  $T$  in  $\mathcal{F}_{\mathbb{F}}(\mathcal{K})$ . The number operator on  $\mathcal{F}_{\mathbb{F}}(\mathcal{K})$  is defined by  $N_{\mathbb{F}} := d\Gamma_{\mathbb{F}}(I)$ .

Let  $s > 0$ ,  $z \in D$  and

$$Z_{\mathbb{F}}(s, z; T) := \text{Tr} \left( \Gamma_{\mathbb{F}}(z) e^{-sH_{\mathbb{F}}(T)} \right), \quad (3.2)$$

provided that  $e^{-sH_{\mathbb{F}}(T)}$  is trace class on  $\mathcal{F}_{\mathbb{F}}(\mathcal{H})$ , where  $\Gamma_{\mathbb{F}}(z) := \bigoplus_{n=0}^{\infty} z^n$  acting on  $\mathcal{F}_{\mathbb{F}}(\mathcal{K})$ .

In what follows, we assume the following.

**Hypothesis (T)** For some  $s > 0$ ,  $e^{-sT}$  is trace class on  $\mathcal{K}$ .

**Theorem 3.1** For all  $z \in D$ ,  $\Gamma_{\mathbb{F}}(z) e^{-sH_{\mathbb{F}}(T)}$  is trace class on  $\mathcal{F}_{\mathbb{F}}(\mathcal{K})$  and

$$Z_{\mathbb{F}}(s, z; T) = \det(I + ze^{-sT}). \quad (3.3)$$

By Theorems 2.1 and 3.1, we have interesting relations between bosonic and fermionic partition functions:

**Corollary 3.2** Consider the case  $\mathcal{H} = \mathcal{K}$  and  $A$  be an operator on  $\mathcal{H}$  obeying Hypothesis (A) in Section 2. Then, for all  $z \in D$ ,

$$Z_{\mathbb{B}}(s, -z; A) = \frac{1}{Z_{\mathbb{F}}(s, z; A)}. \quad (3.4)$$

**Theorem 3.3** For all  $n \in \mathbf{N}$  and  $z \in D$ ,

$$Z_{\mathbb{F}}(ns, -z^n; T) = \det \left( \sum_{k=1}^{n-1} z^k e^{-skT} \right) Z_{\mathbb{F}}(s, -z; T), \quad (3.5)$$

$$Z_{\mathbb{F}}(s, -z; T) Z_{\mathbb{F}}(s, z; T) = Z_{\mathbb{F}}(2s, -z^2; T). \quad (3.6)$$

**Remark 3.1** Relation (3.6) is a form of *duality* of fermionic partition functions. A special case is discussed in [29].

**Corollary 3.4** Consider the case  $\mathcal{H} = \mathcal{K}$  and  $A$  be an operator on  $\mathcal{H}$  obeying Hypothesis (A). Then

$$Z_{\mathbb{B}}(2s, z^2; A) Z_{\mathbb{F}}(s, z; A) = Z_{\mathbb{B}}(s, z; A) \quad (3.7)$$

**Remark 3.2** Relation (3.7) is also a form of *duality* of fermionic and bosonic partition functions. For a special case, see [29].

Let  $u, v \in \mathcal{K}$  and  $z \in D$ . Then a *fermionic two-point correlation function* is defined by

$$R_{\mathbb{F}}(s, z; u, v; T) := \frac{\text{Tr} \left( \Gamma_{\mathbb{F}}(z) e^{-sH_{\mathbb{F}}(T)} b_{\mathcal{K}}(u)^* b_{\mathcal{K}}(v) \right)}{Z_{\mathbb{F}}(s, z; T)}. \quad (3.8)$$

where  $b_{\mathcal{K}}(u)$  ( $u \in \mathcal{K}$ ) the annihilation operator on  $\mathcal{F}_{\mathbb{F}}(\mathcal{K})$  (e.g., [19, §5.2]). It is easy to see (e.g., cf. [19]) that

$$R_{\mathbb{F}}(s, z; u, v; T) = (v, z e^{-sT} (1 + z e^{-sT})^{-1} u)_{\mathcal{K}}. \quad (3.9)$$

### 3.2 Arithmetical aspects

By Hypothesis (T), the spectrum of  $T$  is purely discrete with

$$\sigma(T) = \{E_n(T)\}_{n=1}^{\infty}, \quad (3.10)$$

$0 < E_1(T) \leq E_2(T) \leq \dots$ ,  $E_n(T) \rightarrow \infty$  ( $n \rightarrow \infty$ ), counted with algebraic multiplicity. There exists a CONS  $\{u_n\}_{n=1}^{\infty}$  of  $\mathcal{K}$  such that  $u_n \in D(T)$ ,  $Tu_n = E_n(T)u_n$ ,  $n \in \mathbb{N}$ . We set

$$b_n := b_{\mathcal{K}}(u_n). \quad (3.11)$$

Then we have canonical anti-commutation relations

$$\{b_n, b_m^*\} = \delta_{mn}, \quad \{b_n, b_m\} = 0, \quad \{b_n^*, b_m^*\} = 0, \quad n, m \geq 1, \quad (3.12)$$

where  $\{X, Y\} := XY + YX$ . In particular,  $b_n^2 = 0$ ,  $b_n^{*2} = 0$ ,  $n \in \mathbb{N}$ .

For  $N \in \mathbb{N}$  we define  $\nu(N)$  by  $\nu(1) := 1$  and

$$\nu(N) = n, \quad N \geq 2, \quad (3.13)$$

if  $N$  is represented as (2.15) [1, p.247].

A natural number  $m \geq 2$  is called *square free* if it is written as a product of mutually different prime numbers. As a convention, 1 is defined to be square free. We denote by  $\mathcal{S}_0$  the set of square free elements in  $\mathbb{N}$ :

$$\mathcal{S}_0 := \{m \in \mathbb{N} | m \text{ is square free}\}. \quad (3.14)$$

For each  $N \in \mathbb{N}$ , we define a set  $\mathcal{S}_0(N)$  as follows:

$$\mathcal{S}_0(1) := \{1\}, \quad (3.15)$$

$$\mathcal{S}_0(N) := \{m \in \mathcal{S}_0 | m \text{ is a divisor of } N\}, \quad N \geq 2. \quad (3.16)$$

Let  $N \geq 2$  be given as (2.15). Then each element  $m$  of  $\mathcal{S}_0(N)$  is of the form

$$m = p_{i_1}^{q_1} \cdots p_{i_n}^{q_n}, \quad (3.17)$$

where  $q_j = 0$  or  $q_j = 1$  ( $j = 1, \dots, n$ ). Corresponding to this, we define a vector  $\Phi_{N,m}$  by

$$\Phi_{N,m} := b_{i_1}^{*q_1} \cdots b_{i_n}^{*q_n} \Omega_{\mathcal{K}}, \quad (3.18)$$

where  $\Omega_{\mathcal{K}} := \{1, 0, 0, \dots\}$  is the Fock vacuum in  $\mathcal{F}_{\mathbb{F}}(\mathcal{K})$ .

Let

$$\mathcal{F}_F^{(1)}(\mathcal{K}) := \{c\Omega_{\mathcal{K}} | c \in \mathbb{C}\}, \quad \mathcal{F}_F^{(N)}(\mathcal{K}) := \mathcal{L}\{\Phi_{N,m} | m \in \mathcal{S}_0(N)\}, \quad N \geq 2. \quad (3.19)$$

Then  $\mathcal{F}_F^{(N)}(\mathcal{K})$  is finite dimensional with  $\dim \mathcal{F}_F^{(N)}(\mathcal{K}) = 2^{\nu(N)}$ . We denote by  $R_N$  the orthogonal projection from  $\mathcal{F}_F(\mathcal{K})$  onto  $\mathcal{F}_F^{(N)}(\mathcal{K})$ .

Let  $N \geq 2$  be of the form (2.15),

$$\mathcal{K}_N := \mathcal{L}\{u_{ik} | k = 1, \dots, n\} \quad (3.20)$$

and  $T_N$  be the restriction of  $T$  to  $\mathcal{K}_N$ . Then we can show that

$$\text{Tr} \left( R_N \Gamma_F(z) e^{-sH_F(T)} R_N \right) = \det(1 + ze^{-sT_N}). \quad (3.21)$$

Let  $m \in \mathcal{S}_0, m \geq 2$  and

$$m = p_{i_1} \cdots p_{i_r} \quad (3.22)$$

be its factorization in prime numbers ( $i_j \neq i_k, j \neq k$ ). Then we define a vector  $\Phi_m$  in  $\mathcal{F}_F(\mathcal{K})$  by

$$\Phi_m := b_{i_1}^* \cdots b_{i_r}^* \Omega_{\mathcal{K}}. \quad (3.23)$$

For  $m = 1$ , we set  $\Phi_1 := \Omega_{\mathcal{K}}$ . For  $m \notin \mathcal{S}_0$ , we define  $\Phi_m := 0$ .

**Lemma 3.5** [28] *The set  $\{\Phi_m\}_{m \in \mathcal{S}_0}$  is a CONS of  $\mathcal{F}_F(\mathcal{K})$ .*

The Möbius function  $\mu : \mathbb{N} \rightarrow \{0, \pm 1\}$  is defined as follows:  $\mu(1) := 1$ ,  $\mu(m) := 0$  if  $m \notin \mathcal{S}_0$  and  $\mu(m) := (-1)^r$  if  $m$  is written as the product of mutually different  $r$  prime numbers. We have

$$\mu(m) = (-1)^{\gamma(m)}, \quad m \in \mathcal{S}_0. \quad (3.24)$$

**Lemma 3.6** *For all  $m \in \mathcal{S}_0$ ,  $\Phi_m$  is an eigenvector of  $N_F$  with eigenvalue  $\gamma(m)$ .*

**Lemma 3.7** *For all  $m \in \mathcal{S}_0$ ,  $\Phi_m$  is an eigenvector of  $H_F(T)$  with eigenvalue  $\log F_T(m)$ , where  $F_T$  is defined by (2.19) with  $A = T$ .*

It follows from Lemmas 3.6 and 3.7 that

$$Z_F(s, z; T) = \sum_{m=1}^{\infty} \frac{z^{\gamma(m)} |\mu(m)|}{F_T(m)^s}, \quad z \in D, \quad (3.25)$$

where we have used that  $\mu(m) = 0$  for all  $m \notin \mathcal{S}_0$  and  $|\mu(m)| = 1$  for all  $m \in \mathcal{S}_0$ . By (3.25) and Theorem 3.1, we obtain the following.

**Theorem 3.8** *Let  $z \in D$ . Then*

$$\sum_{m=1}^{\infty} \frac{z^{\gamma(m)} |\mu(m)|}{F_T(m)^s} = \prod_{n=1}^{\infty} \left( 1 + ze^{-sE_n(T)} \right). \quad (3.26)$$

Theorems 3.8 and 2.6 imply the following.

**Corollary 3.9** *Let  $z \in D$ . Then,*

$$\sum_{m=1}^{\infty} \frac{z^{\gamma(m)} |\mu(m)|}{F_T(m)^s} = \frac{1}{\sum_{n=1}^{\infty} \frac{(-z)^{\gamma(n)}}{F_T(n)^s}}. \quad (3.27)$$

We introduce a function  $\eta$  on  $\mathbf{N} \times \mathbf{N}$  by

$$\eta(1, n) := 0, \quad (3.28)$$

$$\eta(m, n) := \sum_{k=1}^r (-1)^{k-1} \delta_{ikn} \quad (3.29)$$

if  $m \in \mathcal{S}_0$  is expressed as (3.22). If  $m \notin \mathcal{S}_0$ , then  $\eta(m, n) := 0$  for all  $n \in \mathbf{N}$ .

**Theorem 3.10** *Let  $z \in D$  and  $n \in \mathbf{N}$ . Then*

$$\sum_{m=1}^{\infty} \frac{z^{\gamma(m)} \eta(m, n)}{F_T(m)^s} = \frac{z}{e^{sE_n(T)} + z} Z_F(s, z; T). \quad (3.30)$$

The left hand side of (3.21) is equal to  $\sum_{m \in \mathcal{S}_0(N)} z^{\gamma(m)} / F_T(m)^s$ . Hence we obtain

$$\sum_{m|N} \frac{z^{\gamma(m)} |\mu(m)|}{F_T(m)^s} = \det \left( 1 + ze^{-sT_N} \right). \quad (3.31)$$

### 3.3 Connections with analytic number theory

Consider the case where  $\mathcal{H} = \ell^2$  and  $T = \omega_p$ . Let  $z \in D$  and  $s > 1$ . Then we have

$$Z_F(s, z; \omega_p) = \sum_{m=1}^{\infty} \frac{z^{\gamma(m)} |\mu(m)|}{m^s}. \quad (3.32)$$

Let  $f$  be a completely multiplicative function as in Section 2.3 and  $z \in D$ . Then, by (2.41), we have

$$Z_F(1, z; A_f) = \sum_{m=1}^{\infty} z^{\gamma(m)} |\mu(m)| f(m). \quad (3.33)$$

By Theorem 3.8, we obtain the following.

**Corollary 3.11** *For all  $z \in D$ ,*

$$\sum_{m=1}^{\infty} z^{\gamma(m)} |\mu(m)| f(m) = \prod_{p \in \mathcal{P}} (1 + zf(p)). \quad (3.34)$$

Theorem 3.10 gives the following.

**Corollary 3.12** *For all  $n \in \mathbf{N}$  and  $z \in D$ ,*

$$\sum_{m=1}^{\infty} z^{\gamma(m)} \eta(m, n) f(m) = \frac{zf(p_n)}{1 + zf(p_n)} Z_F(1, z; A_f). \quad (3.35)$$

Jordan's totient function  $J_s(N)$  ( $s \geq 0, N \in \mathbf{N}$ ) is defined by  $J_s(1) := 1$  and, for  $N \geq 2$ .

$$J_s(N) = N^s \prod_{p|N; p \in \mathcal{P}} \left(1 - \frac{1}{p^s}\right) \quad (3.36)$$

[1, p.48]. The special case

$$\varphi(N) = J_1(N) \quad (3.37)$$

is Euler's totient function [1, p.25, p.27]. We have

$$\det \left(1 - e^{-s(\omega p)_N}\right) = \prod_{p|N; p \in \mathcal{P}} \left(1 - \frac{1}{p^s}\right), \quad s \geq 0, N \geq 2. \quad (3.38)$$

Hence we obtain

$$J_s(N) = N^s \det \left(1 - e^{-s(\omega p)_N}\right), \quad s \geq 0, N \geq 2, \quad (3.39)$$

which, together with (3.21), implies that

$$J_s(N) = N^s \text{Tr} \left(R_N(-1)^{N_F} e^{-sH_F(\omega p)} R_N\right), \quad s \geq 0, N \in \mathbf{N}. \quad (3.40)$$

This gives an expression of Jordan's totient function in terms of Fock space objects. Formula (3.31) implies the well known identity [1, p.48]:

$$J_s(N) = \sum_{m|N} \mu(m) \left(\frac{N}{m}\right)^s, \quad s \geq 0, N \in \mathbf{N}. \quad (3.41)$$

## 4 Arithmetical Aspects of Boson-Fermion Fock Spaces

### 4.1 Some general aspects

Let  $\mathcal{H}$  and  $\mathcal{K}$  be Hilbert spaces as before. Then the Boson-Fermion Fock space associated with the pair  $(\mathcal{H}, \mathcal{K})$  is defined by the tensor product Hilbert space

$$\mathcal{F}_{\text{BF}}(\mathcal{H}, \mathcal{K}) := \mathcal{F}_{\text{B}}(\mathcal{H}) \otimes \mathcal{F}_{\text{F}}(\mathcal{K}). \quad (4.1)$$

Let  $A$  and  $T$  be nonnegative self-adjoint operators on  $\mathcal{H}$  and  $\mathcal{K}$  respectively. Then the operator

$$H(A, T) := H_{\text{B}}(A) \otimes I + I \otimes H_{\text{F}}(T) \quad (4.2)$$

on  $\mathcal{F}_{\text{BF}}(\mathcal{H}, \mathcal{K})$  is nonnegative and self-adjoint.

We assume the following.

**Hypothesis (AT)** *The operators  $A$  and  $T$  satisfy Hypothesis (A) in Section 2 and Hypothesis (T) in Section 3 respectively.*

Under this assumption,  $e^{-sH(A,T)}$  is trace class and we can define a partition function

$$Z(s, z, w; A, T) := \text{Tr} \left( \Gamma_B(z) \otimes \Gamma_F(w) e^{-sH(A,T)} \right), \quad z, w \in D. \quad (4.3)$$

We have

$$Z(s, z, w; A, T) = Z_B(s, z; A) Z_F(s, w; T), \quad z, w \in D. \quad (4.4)$$

If one can represent the left hand side of (4.4) in various ways, (4.4) may produce nontrivial arithmetical relations for eigenvalues of  $A$  and  $T$ . Moreover, different expressions of  $\text{Tr} \left( X e^{-sH(A,T)} \right)$  with  $X$  an operator on  $\mathcal{F}_{\text{BF}}(\mathcal{H}, \mathcal{K})$  may yield interesting arithmetical relations. These are basic ideas to search for arithmetical relations by quantum field theoretical methods.

We carry over the notation in the preceding sections. Let  $N \geq 2$  be of the form (2.15) and  $m \in \mathcal{S}_0(N)$ . Then we can write

$$m = (p_{i_1})^{q_1} (p_{i_2})^{q_2} \cdots (p_{i_n})^{q_n}, \quad (4.5)$$

where  $q_j = 0$  or  $q_j = 1$ . Based on these factorizations, we define a vector

$$\Omega_{N,m} := C_{N,m} \left[ (a_{i_1}^*)^{\alpha_1 - q_1} \cdots (a_{i_n}^*)^{\alpha_n - q_n} \Omega_{\mathcal{H}} \right] \otimes \left[ (b_{i_1}^*)^{q_1} \cdots (b_{i_n}^*)^{q_n} \Omega_{\mathcal{K}} \right], \quad (4.6)$$

where  $C_{N,m} > 0$  is a normalization constant. For  $N = 1$  and  $m = 1$ , we set  $\Omega_{1,1} := \Omega_{\mathcal{H}} \otimes \Omega_{\mathcal{K}}$ .

**Lemma 4.1** [28] *The set  $\{\Omega_{N,m} | N \geq 1, m \in \mathcal{S}_0(N)\}$  is a CONS of  $\mathcal{F}_{\text{BF}}(\mathcal{H}, \mathcal{K})$ .*

The following fact is easily proven.

**Lemma 4.2** *Let  $N \in \mathbb{N}$ ,  $m \in \mathcal{S}_0(N)$  and  $z, w \in D$ . Then  $\Omega_{N,m}$  is an eigenvector of  $\Gamma_B(z) \otimes \Gamma_F(w)$  with eigenvalue  $z^{\gamma(N) - \gamma(m)} w^{\gamma(m)}$ .*

For each  $N \in \mathbb{N}$ , we define a function  $Y_{A,T}(N, \cdot)$  on  $\mathcal{S}_0(N)$  by

$$Y_{A,T}(N, m) := \prod_{k=1}^n e^{(\alpha_k - q_k) E_{i_k}(A) + q_k E_{i_k}(T)}, \quad m \in \mathcal{S}_0(N), \quad (4.7)$$

when  $N$  and  $m$  are represented as (2.15) and (4.5) respectively. Note that

$$Y_{A,T}(N, m) = F_A \left( \frac{N}{m} \right) F_T(m). \quad (4.8)$$

**Lemma 4.3** *Let  $N \in \mathbb{N}$  and  $m \in \mathcal{S}_0(N)$ . Then  $\Omega_{N,m}$  is an eigenvector of  $H(A, T)$  with eigenvalue  $\log Y_{A,T}(N, m)$ .*

**Theorem 4.4** *Let  $z, w \in D$ . Then*

$$Z(s, z, w; A, T) = \sum_{N=1}^{\infty} \sum_{m|N} \frac{z^{\gamma(N) - \gamma(m)} w^{\gamma(m)} |\mu(m)|}{Y_{A,T}(N, m)^s}. \quad (4.9)$$

**Corollary 4.5** *Let  $z, w \in D$ . Then*

$$\sum_{N=1}^{\infty} \sum_{m|N} \frac{z^{\gamma(N) - \gamma(m)} w^{\gamma(m)} |\mu(m)|}{Y_{A,T}(N, m)^s} = Z_B(s, z; A) Z_F(s, w; T). \quad (4.10)$$

**Remark 4.1** If we put into the right hand side of (4.10) the formulas established in Sections 2 and 3, then we obtain explicit formulas, which are nontrivial.

**Remark 4.2** By rescaling as  $T \rightarrow tT/s$  ( $t > 0$ ) in (4.10), we can obtain relations at different temperatures  $1/s$  and  $1/t$ . Hence (4.10) include ‘‘duality relations’’.

## 4.2 Connections with analytic number theory

We consider the case where  $\mathcal{H} = \mathcal{K} = \ell^2$  and  $A = T = \omega_{\mathcal{P}}$ . Then we have  $Y_{\omega_{\mathcal{P}}, \omega_{\mathcal{P}}}(N, m) = N$ . Hence Corollary 4.5 gives

$$\sum_{N=1}^{\infty} \sum_{m|N} \frac{z^{\gamma(N)-\gamma(m)} w^{\gamma(m)} |\mu(m)|}{N^s} = Z_{\mathbf{B}}(s, z; \omega_{\mathcal{P}}) Z_{\mathbf{F}}(s, w; \omega_{\mathcal{P}}), \quad s > 1. \quad (4.11)$$

This yields well known relations

$$\sum_{N=1}^{\infty} \frac{2^{\nu(N)}}{N^s} = \frac{\zeta(s)}{D(s, \lambda)}, \quad \sum_{N=1}^{\infty} \frac{\lambda(N) 2^{\nu(N)}}{N^s} = \frac{D(s, \lambda)}{\zeta(s)}, \quad s > 1.$$

Let  $f$  be the completely multiplicative function considered in Section 2.3 and

$$H := H(A_f, A_f)$$

Then we have for all  $s > 1$

$$\mathrm{Tr} \left( \Gamma_{\mathbf{F}} e^{-sH} \right) = 1, \quad \mathrm{Tr} \left( \Gamma_{\mathbf{B}} e^{-sH} \right) = 1, \quad (4.12)$$

which are supersymmetric identities [6, 28]. These relations imply the following:

$$\sum_{m=1}^{\infty} \mu(m) f(m) = \frac{1}{\sum_{n=1}^{\infty} f(n)}, \quad \sum_{m=1}^{\infty} |\mu(m)| f(m) = \frac{1}{\sum_{n=1}^{\infty} \lambda(n) f(n)}. \quad (4.13)$$

By Corollary 4.5 with rescaling  $T \rightarrow tT/s$ , we obtain

$$\sum_{N=1}^{\infty} \sum_{m|N} \frac{z^{\gamma(N)-\gamma(m)} w^{\gamma(m)} |\mu(m)|}{N^s m^{t-s}} = Z_{\mathbf{B}}(s, z; \omega_{\mathcal{P}}) Z_{\mathbf{F}}(t, w; \omega_{\mathcal{P}}), \quad t > s > 1. \quad (4.14)$$

**Remark 4.3** General theories on Boson-Fermion Fock spaces have been developed in [3, 5, 6, 7, 9, 11, 13, 15, 16]. See also [2, 4, 8, 10] for related aspects. Applications of these theories to arithmetic quantum field theories may yield interesting results in analytic number theory.

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