1 The impact of GVHD on outcomes after adult single cord blood transplantation

2 in European and Japanese populations

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- 1 **Running title**: Impact of GVHD on UCBT in European and Japanese
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- 9 A part of this study was presented at the 47th Annual Meeting of the European Society for
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1 Abstract

2 The impact of GVHD and graft-versus-leukemia effect in unrelated cord blood transplantation (UCBT) is controversial. In the Eurocord/ALWP EBMT and 3 4 JSTCT/JDCHCT collaborative study, we evaluated the impact of GVHD on UCBT 5 outcomes in Japanese and European registries. A total of 3,690 adult patients with 6 acute leukemia who received their first single UCBT were included. A multivariate 7 analysis of overall survival (OS) revealed a positive impact of grade II acute GVHD 8 compared with grade 0-I GVHD, in the Japanese cohort (hazard ratio (HR), 0.81; P = 9 0.001), and an adverse impact in the European cohort (HR, 1.37; P = 0.007). A 10 negative impact of grade III-IV acute GVHD on OS was observed regardless of 11 registries. In the analysis of relapse, a positive impact of grade II acute GVHD 12 compared with grade 0-I GVHD was observed only in the Japanese cohort, 13 regardless of disease risk. The positive impact of limited chronic GVHD on OS was 14 observed only in the Japanese cohort. In conclusion, a positive impact of mild GVHD 15 after single UCBT was observed only in the Japanese cohort. This could explain the 16 ethnic difference in UCBT outcomes and might contribute to the preference usage of 17UCBT in Japan.

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KEYWORDS: single cord blood transplantation; adult; HLA mismatch; international
 transplant registries; graft-versus-host disease; graft-versus-leukemia effect

21

1 INTRODUCTION

The umbilical cord blood is an established alternative source for those who cannot find an HLA-matched sibling or unrelated donors.¹⁻¹³ The risk of acute graft-versus-host disease (GVHD) after unrelated cord blood transplantation (UCBT) is comparable to that after HLA-matched unrelated bone marrow transplantation (BMT) or peripheral blood stem cell transplantation (PBSCT). Furthermore, the risk of chronic GVHD after UCBT is comparable or even lower than that after unrelated BMT or PBSCT.^{7,14,15}

9 The clinical practice for UCBT in Japan and Europe are different, which creates a 10 barrier for the mutual understanding and application of clinical results between these 11 populations. The acceptable cut-off level of total nucleated cells (TNCs) in single cord 12 blood unit selection is different among Japan and Europe (Japan, $\geq 2.0 \times 10^7/kg$, Europe ≥ 2.5 or 3.0×10^7 /kg).^{5,16} A double UCBT is not allowed in Japan except for 13 one clinical trial of a double UCBT¹⁷, while the use of double UCBT is fairly common 14 15 in the adult setting in Europe. HLA mismatches in UCBT is counted based on allele 16 level for HLA-DRB1 in Western countries, whereas antigen level for HLA-DRB1 in 17Japan.

18 Despite these differences, we have reported that prognostic factors after single UCBT were shared between the two registries in the collaborative study between 19 20 Eurocord/the Acute Leukaemia Working Party of European Society for Blood and 21Marrow Transplantation (ALWP-EBMT) and the Japanese Society for Transplantation 22 and Cellular Therapy/Japanese Data Center for Hematopoietic Cell Transplantation (JSTCT/JDCHCT).¹⁸ Only one substantial difference in prognostic factors between 23 24 the two populations was demonstrated, which was the impact of HLA on outcomes. 25 The impact of HLA on relapse and non-relapse mortality (NRM) was seen only in

Japanese populations. Considering the different impact of HLA on UCBT outcomes, we hypothesised that the impact of GVHD could differ between Japanese and European populations. In the present Eurocord/ALWP EBMT and JSTCT/JDCHCT collaborative study, we evaluated the impact of GVHD on transplant outcomes for adult patients with acute myelogenous leukaemia (AML) or acute lymphoblastic leukemia (ALL) in Japanese and European registries.

7

1 METHODS

2 Data collection

Data were obtained from the Eurocord/EBMT Registries and the Transplant Registry Unified Management Program of JSTCT/JDCHCT. Written informed consent for research was provided from all participants. The study was conducted according to the Declaration of Helsinki and was approved by the Institutional Review Board of the EBMT, Eurocord, and the Data Management Committees of the JSTCT/JDCHCT, and Kyoto University.

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10 Inclusion and exclusion criteria

11 Patients aged between 18 and 75 years with AML or ALL who underwent their first 12 allogeneic single UCBT from 2000 to 2014 were eligible for the study (3,764 and 13 1,033 patients of the JSTCT/JDCHCT and Eurocord/ALWP-EBMT registries). 14 Patients who received manipulated, double or UCB combined with other cell sources 15 and patients with secondary leukemia were excluded (n = 6). Those without 16 information on GVHD (n = 66) or relapse (n = 58) were also excluded. Finally, patients 17failing to achieve neutrophil engraftment or experiencing graft failure within the first 18 100 days after UCBT, with or without autologous recovery, were excluded to compare 19 the effect of GVHD on outcomes (793 out of 3679 (22%) for Japanese cohort and 183 20 out of 988 (19%) for European cohort). As a result, 2,886 and 804 patients of the 21 JSTCT/JDCHCT and Eurocord/ALWP-EBMT registries, respectively, were included.

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23 **Definitions**

Overall survival (OS) was defined as the time from transplantation to the date of last follow-up or death. Leukemia-free survival (LFS) was defined as the time from transplantation to the date of death, relapse, or last follow-up whichever occurred first. Relapse was defined based on the morphological and clinical evidence of 1 disease activity, and NRM was defined as the time to date of death without relapse.

2 Acute and chronic GVHD were diagnosed and graded by the physicians who 3 performed transplantation at each center using traditional criteria.^{19,20}

The intensity of the conditioning regimen was classified based on the report by the Center for International Blood and Marrow Transplant Research.²¹ HLA typing was classified based on low-resolution typing for HLA-A and HLA-B loci and high-resolution for HLA-DRB1 locus according to the European cord blood historical selection criteria.

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10 Endpoints

The primary endpoint was to evaluate the impact of acute GVHD on OS in the Eurocord/ALWP of EBMT and JSTCT/JDCHCT registries. The secondary endpoints were the impact of acute and chronic GVHD on LFS, relapse, NRM as well as the effect of chronic GVHD on OS.

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16 Statistical analysis

17The Cox proportional-hazards model was used to evaluate the impact of GVHD on 18 OS, LFS, relapse and NRM. The occurrence of acute and chronic GVHD was treated 19 as a time-dependent covariate. In the analysis of acute GVHD, patients were 20 assigned to the 'no or grade I acute GVHD group' at the time of transplantation and 21 then transferred to the 'grade II acute GVHD group' or the 'grade III–IV acute GVHD 22 group' at the onset of acute GVHD. The analysis of chronic GVHD were performed for 23 patients who were alive without relapse at least 100 days after transplantation. 24 Patients were assigned to the 'no chronic GVHD group' at the time of transplantation 25and then transferred to the 'limited chronic GVHD group' or the 'extensive chronic 26 GVHD group' at the onset of chronic GVHD. The prior history of acute GVHD (no or 27grade I, grade II or grade III-IV acute GVHD) at day 100 were included in the analysis 28 of chronic GVHD. The impact of GVHD on survival, relapse, and NRM was

1 graphically illustrated by Simon–Makuch plots.²⁰

2 Variables considered included patient sex, patient age, disease, refined disease risk 3 index (rDRI), transplant year, center experience, TNC of UCB, HLA matching, ABO blood type matching, use of total body irradiation, and conditioning regimen.²² TNCs 4 5 were categorized into two groups according to the guidelines and published studies in Europe (cut-off, 3.0 x 10⁷/kg) and Japan (2.5 x 10⁷/kg).^{8,9,23-2516} Center experience 6 7 was categorized according to the number of UCBT included during the period of 8 observation in each cohort (1-4, 5-9, 10-19, ≥20). Missing data were considered as a 9 separate category if a variable had ≥5% missing values. Since ATG was rarely used 10 in Japanese cohort, it was included only in the European cohort. In addition to the 11 clinically important variables mentioned above, other variables that remained 12significant after a backward stepwise selection with a variable retention criterion of P 13 < 0.05 in each dataset were included in adjusted multivariate models.

P-values were two-sided and results under <0.05 were considered significant. All
 statistical analyses were performed using Stata version 13 (Stata Corp., College
 Station, TX).

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1 **RESULTS**

2 **Patient characteristics**

3 Characteristics of patient, donor, and transplantation were shown in **Table 1**. The 4 median follow-up of survivors was 48 (range, 1-195) and 45 (range, 1-183) months in 5 the JSTCT/JDCHCT and Eurocord/ALWP-EBMT registries, respectively. The 6 Japanese cohorts are older than the European cohorts (median age, 50 vs 38 years). 7 Patients with higher rDRI were more frequently included in the Japanese cohort than 8 the European cohort. UCBs with three or more HLA mismatches were more 9 frequently used in the Japanese cohort (23%) than in the European cohort (3%). Median TNC counts were higher in European cohort (3.51 \times 10⁷/kg) than the 10 Japanese cohort (2.58 \times 10⁷/kg). ATG was used in only 2% of the Japanese cohort. 11 12 Combination of calcineurin inhibitor and methotrexate was frequently used as GVHD 13 prophylaxis in the Japanese cohort. UCB grafts were obtained from 11 Japanese 14 public banks for the JSTCT/JDCHCT cohort and from at least 65 international public 15 banks for the Eurocord/ALWP-EBMT cohort. Data were provided by 206 16 JSTCT/JDCHCT centers in Japan and 135 EBMT centers in 25 countries.

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18 Effect of acute GVHD on OS and LFS

The grades II-IV and III-IV acute GVHD occurred in 1286 (45%) and 402 (14%) in the Japanese population, and in 246 (31%) and 94 (12%) in the European cohort. The median day of onset of grades II–IV acute GVHD after transplantation in the Japanese and European cohort was 31 (range 1-411) and 28 (range 4-123), respectively.

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The effect of acute GVHD on OS and LFS was illustrated with reference to three categories: grade 0–I, II, and III–IV acute GVHD in either Japanese or European cohort (**Figure 1**). A multivariate analysis of OS that treated acute GVHD as a

1 time-dependent covariate revealed that a positive impact of grade II acute GVHD 2 compared with grade 0-I GVHD was observed in the Japanese cohort (hazard ratio 3 (HR), 0.81; 95% confidence interval (CI), 0.72–0.92; P=0.001), whereas the adverse 4 impact was observed in the European cohort (HR, 1.37; 95% CI, 1.09–1.73; P=0.007; 5 Table 2). The positive impact of grade II acute GVHD in Japanese cohort was more 6 apparent in the high-risk groups. Positive impact of grade II acute GVHD was 7 consistently observed regardless of diagnosis or condition intensity in Japanese 8 cohort, whereas adverse impact of grade II acute GVHD was observed regardless of 9 diagnosis, condition intensity, or use of ATG in European cohort (supplemental table 10 1). The analysis also showed an adverse impact of grade III-IV acute GVHD on OS 11 compared with grade 0-I GVHD regardless of registries (Japanese, HR, 1.81; 95% CI, 121.57–2.08; P<0.001; European, HR, 2.14; 95% CI, 1.62–2.84; P<0.001).

13 In the analysis of LFS, similar results were obtained (**Table 2**)

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15 Effect of acute GVHD on relapse and NRM

16 The effect of acute GVHD on relapse and NRM was illustrated in **Supplemental** 17Figure 1. A multivariate analysis of relapse showed that a positive impact of grade II 18 acute GVHD compared with grade 0-I acute GVHD was observed in the Japanese 19cohort (HR, 0.81; 95% CI, 0.69–0.95; P=0.010), but not in the European cohort (HR, 20 0.94; 95% CI, 0.68–1.31; P=0.718; **Table 3**). The positive impact of grade II acute 21GVHD seemed to be consistent regardless of disease risk. A positive impact of grade 22 III-IV acute GVHD compared with grade 0-I GVHD was observed regardless of 23 registries, although it did not reach statistical significance in the European cohort.

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A multivariate analysis of NRM showed that an adverse impact of grade III-IV acute GVHD compared with grade 0-I GVHD was consistently observed in the Japanese cohort (HR, 2.97; 95% CI, 2.47–3.56; P<0.001) and in the European cohort (HR, 3.91; 95% CI, 2.77-5.51; P<0.001; **Table 3**). Interestingly, the positive impact of grade II acute GVHD was observed in Japanese cohort (HR, 0.82; 95% CI, 0.67–0.99;
P=0.037), whereas the adverse impact was observed in European cohort (HR, 1.76;
95% CI, 1.29–2.40; P<0.001). Causes of NRM are shown in **Table 4**. GVHD and
infection among patients with grade II acute GVHD was more frequently observed as
a cause of death in European cohort than in Japanese cohort.

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7 Effect of chronic GVHD on OS and LFS

Any or extensive chronic GVHD occurred in the 792 (36%) and 330 (15%) in the Japanese cohort and 235 (35%) and 95 (14%) in the European cohort. The median day of onset of chronic GVHD after transplantation in the Japanese and European cohort was 108 (range 45-2275) and 146 (range 66-1109) days, respectively.

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The effect of chronic GVHD on OS and LFS was illustrated in Figure 2. The positive
impact of limited chronic GVHD on OS and LFS was observed only in the Japanese
cohort (OS, HR, 0.51; 95% CI, 0.42-0.63; P<0.001; LFS, HR, 0.59; 95% CI,
0.48-0.71; P<0.001), but not in the European cohort (OS, HR, 0.87; 95% CI,
0.64-1.19; P=0.391; LFS, HR, 1.06; 95% CI, 0.79-1.44; P=0.697, Table 5).
The adverse impact of extensive chronic GVHD was observed only in the European

19 cohort, but not in the Japanese cohort (**Table 5**).

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21 Effect of chronic GVHD on relapse and NRM

The effect of chronic GVHD on relapse and NRM was illustrated in **Supplemental Figure 2**. The positive impact of limited chronic GVHD on relapse was observed only in the Japanese cohort (HR, 0.75; 95% CI, 0.59–0.94; P=0.013), but not in the European cohort (HR, 1.03; 95% CI, 0.70–1.51; P=0.896).

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The adverse impact of extensive chronic GVHD on NRM was only observed in the European cohort (HR, 3.25; 95% CI, 2.24–4.71; P<0.001). Interestingly, the positive

- 1 impact of limited chronic GVHD on NRM was observed in the Japanese cohort (HR,
- 2 0.38; 95% Cl, 0.26–0.53; P<0.001).
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1 Discussion

2 In the present study, we demonstrated that a positive impact of grade II acute GVHD 3 on OS and LFS was observed in the Japanese cohort, but an adverse impact in the 4 European cohort. The positive impact of grade II acute GVHD in the Japanese cohort 5 was more apparent in the high-risk groups. Further, a positive impact of grade II acute 6 GVHD on relapse was observed in the Japanese cohort, but not in the European 7 cohort. Similarly, a positive impact of limited chronic GVHD on OS and LFS was 8 observed in the Japanese cohort. These divergent results potentially reflect the ethnic 9 difference in UCBT outcomes and may contribute to the increased preference of 10 UCBT in Japan in comparison to Western countries.

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12 A greater impact of grade II acute GVHD for patients with acute leukemia was 13 observed only in Japanese populations, which is in agreement with a previous study.²⁶⁻²⁹ A positive impact of acute GVHD, not only on relapse but also on NRM, 14 15 was observed. This was partly due to a better response to corticosteroids for acute 16 GVHD in the Japanese population, leading to the separation of GVHD and a 17graft-versus-leukemia effect, and improved immune recovery for those who experienced grade II acute GVHD without long-term steroid usage.³⁰ Similar with the 18 19 findings in the analysis of acute GVHD, the positive impact of limited chronic GVHD 20 on OS, LFS, relapse and NRM was observed only in the Japanese cohort. These 21differences in the impact of GVHD on transplant outcomes may be partly due to 22 differences in ethnicity. A low incidence of grade II-IV acute GVHD in the Japanese 23 populations compared with Caucasian populations was shown in related BMT and PBSCT.^{31,32} Furthermore, this was confirmed in unrelated BMT.³³ Specific haplotypes 24 have been associated with a greater risk of acute GVHD in Japan.^{34,35} T-cell 2526 activation caused by minor histocompatibility antigens/tumor associated antigens 27 may differ by haplotype and major histocompatibility complex. These might have led

1 to improved survival in Japanese populations.

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3 Another potential reason underlying the differences in GVHD and outcomes could be 4 differences in GVHD prophylaxis. ATG was more frequently used in the European 5 populations, whereas it was used in only 2% of the Japanese cohort. Previous 6 studies have reported that the use of ATG in UCBT was associated with an increased 7 risk of overall mortality and NRM, infectious complications and related deaths, and delayed immune reconstitution.^{23,36-39} Moreover, a recent study reported that better 8 T-cell reconstitution at GVHD onset is associated with lower mortality.⁴⁰ Therefore. 9 10 delayed T-cell reconstitution after the use of ATG could have affected the impact of 11 acute GVHD on mortality. Although the incidence of acute GVHD was comparable 12between the Japanese and the European populations, treatment with corticosteroids 13 may increase the risk of transplant-related complications for those who have 14 developed acute GVHD even after the use of ATG prophylaxis in the European cohort. 15 Actually, grade III-IV acute GVHD was more detrimental effect on mortality in the ATG 16 cohort, although the use of ATG did not significantly change the impact of grade II 17acute GVHD. Importantly, ATG use in European centers and Japan was very distinct, 18 with two-thirds of patients from the European cohort receiving ATG in comparison to a 19 negligible use in the Japanese cohort. Therefore, we could not exclude that some of 20 different results observed might be attributed, in part, to differences in ATG usage 21 between Japan and Europe. Further, the impact of acute GVHD did not change 22 according to diagnosis and conditioning intensity in either Japanese or European 23 cohort.

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Of note, a no detrimental impact of acute GVHD on survival was reported in American cohort, however the potential impact of grade II acute GVHD was not separately analyzed.^{28,41} As we have previously reported, UCB grafts were obtained from 11 Japanese public banks for the JSTCT/JDCHCT cohort, whereas they were obtained

from at least 65 international public banks for the Eurocord/ALWP-EBMT cohort.
Further UCBT data in Eurocord were obtained from 25 countries. The potentially
different management of cord blood collection and clinical practices including patient
management and GVHD grading in various countries might have an effect on the
outcome.

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7 Several limitations should be noted. First, there are unmeasured biases in the two 8 studies. Differences in clinical practices and insurance systems could affect 9 transplant outcomes and should be considered for interpretation. Second, there are a 10 variety of different patients/transplant backgrounds, although we have shown that the 11 data from the two registries shared similar prognostic factors on transplant outcomes, 12except for HLA mismatches, and tried to adjust the main effect by the confounding 13 factors. Lastly, in this study, acute and chronic GVHD were diagnosed on the basis of 14 traditional criteria. Therefore, it is not possible to differentiate persistent or recurrent 15 acute GVHD or late-onset acute GVHD from classical or overlap chronic GVHD. This 16 may bias the association between acute and chronic GVHD.

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18 In summary, a positive impact of grade II acute GVHD after single UCBT on OS and 19leukemia-free survival was observed only in the Japanese cohort. Grade III-IV acute 20 GVHD should be avoided regardless of the registry. Limited chronic GVHD after 21single UCBT on OS and leukemia-free survival was also observed only in the 22 Japanese cohort. Extensive chronic GVHD had an adverse effect only in the 23 European cohort. Mild GVHD, i.e. grade II acute GVHD, and limited chronic GVHD 24 was beneficial in the Japanese cohort. This could reflect ethnic differences in UCBT 25outcomes and might contribute to the preference of UCBT in Japan.

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5 Author contributions

- 6 JK, YA, and EG designed the research, and organized the project. JK performed the
- 7 statistical analysis; JK wrote the first draft and all other authors interpreted the data,
- 8 critically reviewed the draft, and approved the final version for publication.
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10 **Conflict of interests**

- 11 None of the authors has a relevant conflict of interest to this article.
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1 Figure legend

2 Figure 1 Impact of acute GVHD on overall survival and leukemia-free survival

Impact of acute GVHD (aGVHD) on overall survival and leukemia-free survival in
 Japanese (A, C) and European cohort (B, D) was graphically illustrated by
 Simon–Makuch plots.

6

7 Figure 2 Impact of chronic GVHD on overall survival and leukemia-free survival

8 Effect of chronic GVHD (cGVHD) on overall survival and leukemia-free survival in 9 Japanese (A, C) and European cohort (B, D) was graphically illustrated by 10 Simon–Makuch plots.

Variables		Japanese o (n = 2,88	cohort 86)	Europear (n = 8	1 cohort 304)
Recipient age (years)	Median (range)	50	(18-75)	38	6 (18-70)
Recipient sex	Female	1,291	45%	408	51%
	Male	1,594	55%	392	49%
	Missing	1	0%	4	0%
Recipient weight (kg)	Median (range)	55 (29.1	-155.6)	65 ((35-120)
Recipient height (cm)	Median (range)	163 (137	7-190.5)	168 (1	49-200)
Disease	AML	2,127	74%	534	66%
	ALL	759	26%	270	34%
Refined DRI	Low	118	4%	34	4%
	Intermediate	1,206	42%	487	61%
	High	1,190	41%	201	25%
	Very high	367	13%	42	5%
	Missing	5	0%	40	5%
Total nucleated cells at collection (x10 ⁷ /kg)	Median (range)	2.58 (1.0)2-8.54)	3.51 (1.4	40-9.50)
Number of HLA mismatch	0	119	4%	32	4%
	1	438	15%	210	26%
	2	1,455	50%	431	54%
	3-5	665	23%	28	3%
	Missing	209	7%	103	13%
ABO compatibility	Match	992	34%	232	29%
	Mismatch	1,885	65%	361	45%
	Missing	9	0%	211	26%
Sex compatibility	Match	1,056	37%	400	50%

1 Table 1 Patient, donor, and transplant characteristics

KANDA et al.	Impact of GVI	HD on UCBT	in Europea	an and Jap	anese
	Female to male	665	23%	190	24%
	Male to female	543	19%	183	23%
	Missing	622	22%	31	4%
Conditioning intensity	Myeloablative	1,922	67%	598	74%
	Reduced-intensity	961	33%	194	24%
	Missing	3	0%	12	1%
Dose of TBI (Gy)	0	410	14%	385	48%
	1-8	1,066	37%	144	18%
	9-14	1,404	49%	184	23%
	Missing	6	0%	91	11%
Use of ATG	No	2,831	98%	220	27%
	Yes	55	2%	527	66%
	Missing	0	0%	57	7%
GVHD prophylaxis	CI+MTX+-steroid	1,720	60%	39	5%
	CI+MMF+-steroid	653	23%	356	44%
	CI+steroid	14	0%	277	34%
	CI only	469	16%	67	8%
	Others/missing	30	1%	65	8%
Year of transplantation	2000-2004	368	13%	85	11%
	2005-2009	907	31%	385	48%
	2010-2014	1,611	56%	334	42%
Number of UCBTs	20- CBTs	2,122	74%	442	55%
per center	10-19 CBTs	527	18%	141	18%
	5-9 CBTs	171	6%	125	16%
	1-4 CBTs	66	2%	96	12%
Abbreviation: DRI, disea	se risk index; T	BI, total	body irra	adiation;	GVHD,
graft-versus-host disease; lymphoblastic leukemia; CI	AML, acute m	yelogenou: br; MMF, m	s leukerr ycophenol	nia; ALL late mofe	, acute til; MTX,

methotrexate; CBT, cord blood transplantation

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	HR		96	3% C	1		P value	HR		6	5% C	_		P value
Overall survival*														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.81	\smile	0.72	ı	0.92		0.001	1.37	\smile	1.09	ı	1.73		0.007
Grade III-IV	1.81	\smile	1.57	ı	2.08		<0.001	2.14	\smile	1.62	ı	2.84		<0.001
Standard risk														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.92	\smile	0.74	ī	1.15		0.460	1.32	\smile	0.97	ı	1.79		0.075
Grade III-IV	2.89	\smile	2.27	ı	3.68		<0.001	2.70	\smile	1.87	ı	3.87		<0.001
High risk														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.76	\smile	0.66	ī	0.88		<0.001	1.26	\smile	0.85	ı	1.87		0.256
Grade III-IV	1.46	\smile	1.23	ı	1.73	$\widehat{}$	<0.001	1.52	\smile	0.95		2.43		0.084
Leukemia-free survival†														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.83	\smile	0.73	ı	0.94		0.003	1.27	\smile	1.01	ı	1.59		0.041
Grade III-IV	1.68	\smile	1.45	ı	1.93		<0.001	1.82	\smile	1.38	ı	2.39		<0.001
Standard risk														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.86	\smile	0.70	ı	1.07		0.176	1.23	\smile	0.91	ı	1.66		0.173
Grade III-IV	2.47	\smile	1.96	ı	3.13		<0.001	2.33	\smile	1.63	ı	3.32		<0.001
High risk														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.80	\smile	0.69	ī	0.94	$\widehat{}$	0.005	1.10	\smile	0.74	ı	1.62	$\widehat{}$	0.639

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0.342 $\overline{}$ 2.00 1.25 (0.79 -<0.001 1.40 (1.17 - 1.68) Grade III-IV

Abbreviations: GVHD, graft-versus-host disease; HR, hazard ratio; CI, confidence interval.

*HRs were adjusted by TNC, HLA compatibility, center experience, recipient age, year of transplantation, refined disease risk index, sex incompatibility, and use of anti-thymocyte globulin (only in European cohort).

THRs were adjusted by TNC, HLA compatibility, center experience, recipient age, year of transplantation, refined disease

risk index, sex incompatibility, and use of anti-thymocyte globulin (only in European cohort).

Impact of GVHD on UCBT in European and Japanese

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Variable			Ja	pane	ese coh	ы				Ш	rope	an coh	ort	
	HR		ő	5% 0			P value	HR		õ	5% C			P value
Relapse*														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.81	\smile	0.69	ı	0.95		0.010	0.94	\smile	0.68	ı	1.31		0.718
Grade III-IV	0.75	\smile	0.58	ı	0.97		0.026	0.67	\smile	0.41	ı	1.10		0.110
Standard risk														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.74	\smile	0.55	ī	1.01		0.061	0.88	\smile	0.55	ī	1.41		0.598
Grade III-IV	0.77	\smile	0.46	ı	1.30		0.334	0.45	\smile	0.18	ı	1.12		0.087
High risk														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.85	\smile	0.70	ı	1.03		0.098	0.78	\smile	0.46	ı	1.32		0.348
Grade III-IV	0.77	\smile	0.57	ı	1.03		0.075	0.82	\smile	0.44	ı	1.55		0.549
Non-relapse mortality†														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.82	\smile	0.67	ı	0.99		0.037	1.76	\smile	1.29	ı	2.40		<0.001
Grade III-IV	2.97	\smile	2.47	ı	3.56		<0.001	3.91	\smile	2.77	ı	5.51		<0.001
Standard risk														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.98	\smile	0.73	ı	1.32		0.899	1.62	\smile	1.10	ī	2.39		0.015
Grade III-IV	4.27	\smile	3.22	ı	5.66		<0.001	4.84	\smile	3.20	ī	7.34		<0.001
High risk														
Grade 0-I	1.00						reference	1.00						reference
Grade II	0.70	\smile	0.54	ı	06.0		0.005	1.87	\smile	1.05	ı	3.33	$\widehat{}$	0.033

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0.017 $\overline{}$ 4.32 2.24 (1.16 -<0.001 $\overline{}$ 2.95 2.32 (1.83 -Grade III-IV

Abbreviations: GVHD, graft-versus-host disease; HR, hazard ratio; CI, confidence interval.

*HRs were adjusted by TNC, HLA compatibility, center experience, age, refined disease risk index, and use of anti-thymocyte globulin (only in European cohort). THRs were adjusted by TNC, HLA compatibility, center experience, recipient age, refined disease risk index, sex incompatibility, year of transplantation, and use of anti-thymocyte globulin (only in European cohort).

Table 4 Causes of death for non-relapse mortality

Japanese cohort

Course of docath	Grade 0-I a(GUHD	Grade II aG	VHD	Grade III-IV	aGVHD
cause of death	(n = 1600)		(n = 884)		(n = 402)	
GVHD	10	3%	12	%2	57	29%
Idiopathic pneumonia	38	11%	11	%2	6	5%
Infection	91	26%	55	34%	65	33%
Organ failure	50	14%	23	14%	14	%2
Hemorrhage	20	6%	7	4%	10	5%
VOD	11	3%	4	2%	7	1%
Others	79	23%	26	16%	36	18%
Missing	52	15%	23	14%	9	3%
Total	351	100%	161	100%	199	100%

European cohort

Course of deceth	Grade 0-I a(GUHD	Grade II aG	/HD	Grade III-IV	aGVHD
	(n = 558)		(n = 152)		(n = 94)	
GVHD	21	14%	12	20%	31	60%
Idiopathic pneumonia	4	3%	С	5%	0	%0
Infection	70	48%	33	56%	14	27%
Organ failure	6	%9	7	3%	7	4%
Hemorrhage	6	%9	0	%0	0	%0
VOD	2	1%	0	%0	~	2%
Others	21	14%	5	8%	4	8%

Impact of GVHD on UCBT in European and Japanese	10 7% 4 7% 0 0%	146 100% 59 100% 52 100%
KANDA et al.	Missing	Total

Abbreviations: aGVHD, acute graft-versus-host disease

HR95% CIP valueHR95% CIP valueOverall survival*No cGVHD1.00P valueHR95% CIP valueImited CGVHD1.000.51 0.42 0.63 0.285 1.89 1.42 2.52 0.391 Extensive cGVHD0.51 0.75 0.42 0.63 0.285 1.89 1.42 2.52 0.391 Extensive cGVHD0.90 0.75 0.73 -0.001 0.87 0.64 -1.19 0.391 Leukemia-free survivalt 1.00 -0.75 -1.19 0.285 1.89 (-1.42) -2.52 0.001 Limited cGVHD 0.94 0.73 -0.011 1.00 -1.44 0.697 -0.001 Limited cGVHD 0.94 0.78 -1.12 0.480 -1.85 -1.44 0.697 Limited cGVHD 0.94 0.78 -1.12 0.780 -1.12 0.780 -1.10 -1.100 Limited cGVHD 0.94 0.78 -1.12 0.780 -1.144 0.601 -1.06 -1.24 -2.249 -0.001 Limited cGVHD 0.81 -1.12 0.733 -1.02 -1.144 -2.244 -0.001 Limited cGVHD 0.81 -1.12 0.013 -1.03 -2.144 -0.021 -2.144 -0.021 Limited cGVHD 0.81 -1.12 -1.12 -1.12 -1.142 -2.24 -1.141 -2.24 -1.24 -1.24 No cGVHD 0.03 <th></th> <th></th> <th></th> <th>Je</th> <th>Ipan</th> <th>ese col</th> <th>Jort</th> <th></th> <th></th> <th>ı.</th> <th>Eu</th> <th>ropea</th> <th>n cohe</th> <th>ort</th> <th></th>				Je	Ipan	ese col	Jort			ı.	Eu	ropea	n cohe	ort	
Overall survival* No cGVHD 1.00 reference 1.00 0.391 0.301 0.391 <th></th> <th>HR</th> <th></th> <th>0</th> <th>5%</th> <th>C</th> <th></th> <th>P value</th> <th>HR</th> <th></th> <th>Ő</th> <th>5% CI</th> <th>_</th> <th></th> <th>P value</th>		HR		0	5%	C		P value	HR		Ő	5% CI	_		P value
No cGVHD 1.00 reference 0.331 r.1.12 0.433 </th <th>Overall survival*</th> <th></th>	Overall survival*														
Limited cGVHD 0.51 0.42 -0.63 -0.001 0.87 0.64 -1.19 0.391 Extensive cGVHD 0.90 0.75 - 1.09 0.285 1.89 (1.42 - 2.52) -0.001 Leukemia-free survivalt 0.90 0.75 - 0.01 1.00 - 2.52) -0.001 Leukemia-free survivalt 0.59 0.74 0.785 1.89 (1.42 - 2.52) -0.001 No cGVHD 0.59 0.74 0.748 - 0.71) 0.013 1.06 0.79 1.44) 0.697 No cGVHD 0.94 0.77 0.480 1.85 (1.37 - 2.49) -0.001 Release* No cGVHD 1.00 - 1.01 1.03 0.77 (0.44 1.37 0.78 0.700 1.352 No 0.001 Release 1.00 <td< td=""><td>No cGVHD</td><td>1.00</td><td></td><td></td><td></td><td></td><td></td><td>reference</td><td>1.00</td><td></td><td></td><td></td><td></td><td></td><td>reference</td></td<>	No cGVHD	1.00						reference	1.00						reference
Extensive cGVHD 0.90 (0.75 - 1.00 . 2.52) <0.001 Leukemia-free survivalt No cGVHD 1.00 reference 1.00 reference 1.00 reference 0.039 (0.43 > 0.001 1.00 reference 1.00 reference 1.00 reference 1.00 reference 1.00 reference 1.00 reference 1.00 0.039 (0.430 1.85 (1.44) 0.697 Limited cGVHD 0.39 (0.73 0.123 0.137 2.249) <0.001 No cGVHD 1.00 .	Limited cGVHD	0.51	\smile	0.42	ı	0.63	$\widehat{}$	<0.001	0.87	\smile	0.64	ı	1.19		0.391
Leukemia-free survivalt reference 1.00 reference 1.01 reference 1.01 reference 1.01 reference 1.03 0.070 0.044 1.04 0.0567 0.0367 0.044 1.04 0.0567 0.040 0.040 0.0567 0.040 0.040 0.0567 0.040 0.0567	Extensive cGVHD	06.0	\smile	0.75	ı	1.09	$\widehat{}$	0.285	1.89	\smile	1.42	ı	2.52		<0.001
No cGVHD 1.00 reference 0.697 reference 0.697 0.697 0.607 1.44 0.697 0.607 1.44 0.697 0.697 0.607 0.697 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.607 0.6001 0.607	Leukemia-free survival†														
Limited cGVHD 0.59 (0.48 - 0.71) <0.001 1.06 (0.74) 0.697 Extensive cGVHD 0.94 (0.78 - 1.12) 0.480 1.85 (1.44) 0.697 Relapse* No cGVHD 1.00 reference 1.01 reference 1.00 reference 1.00 reference 1.00 . 0.013 1.03 (0.77 (0.44) 0.667 Limited cGVHD 0.75 (0.53 - 0.013 1.03 (0.74 (0.44) 0.601 Limited cGVHD 0.81 0.653 - 1.03 0.077 (0.44 - 1.34) 0.352 No cGVHD 0.81 0.663 - 0.126 0.72 1.34) 0.357 No cGVHD 1.00 1.38 0.0251 3.25 2.24 1	No cGVHD	1.00						reference	1.00						reference
Extensive CGVHD 0.94 (0.78 - 1.12) 0.480 1.85 (1.37 - 2.49) <0.001	Limited cGVHD	0.59	\smile	0.48	ı	0.71		<0.001	1.06	\smile	0.79	ı	1.44		0.697
Relapse** Relapse** Ion reference 1.00 reference 1.01 0.0352 0.077 0.044 - 1.51 0<0.896 0.0352 0.0367 0.0352 <t< td=""><td>Extensive cGVHD</td><td>0.94</td><td>\smile</td><td>0.78</td><td>ı</td><td>1.12</td><td></td><td>0.480</td><td>1.85</td><td>\smile</td><td>1.37</td><td>ı</td><td>2.49</td><td></td><td><0.001</td></t<>	Extensive cGVHD	0.94	\smile	0.78	ı	1.12		0.480	1.85	\smile	1.37	ı	2.49		<0.001
No cGVHD 1.00 reference 1.00 reference 1.00 reference Limited cGVHD 0.75 (0.59 - 0.94) 0.013 1.03 (0.71) 0.896 Extensive cGVHD 0.81 (0.63 - 1.06) 0.123 0.77 (0.44 - 1.34) 0.352 Non-relapse mortality1t 0.81 (0.63 - 1.06) 0.123 0.77 (0.44 - 1.34) 0.352 Non-relapse mortality1t 1.00 n reference reference 1.14 (0.77 (0.44 - 1.34) 0.567 No cGVHD 1.00 1.00 reference reference 1.14 (0.72 - 1.81) 0.567 Extensive cGVHD 1.08 (0.83 - 1.40) 0.551 3.25 (<	Relapse**														
Limited cGVHD 0.75 (0.59 - 0.94) 0.013 1.03 (0.70 - 1.51) 0.896 Extensive cGVHD 0.81 (0.63 - 1.06) 0.123 0.77 (0.44 - 1.34) 0.352 Non-relapse mortality1T No cGVHD 1.00 reference reference 1.14 (0.72 - 1.81) 0.567 No cGVHD 1.00 0.38 (0.26 - 0.53 > 0.767 1.14 (0.72 - 1.81) <0.01	No cGVHD	1.00						reference	1.00						reference
Extensive cGVHD 0.81 (0.63 - 1.06) 0.123 0.77 (0.44 - 1.34) 0.352 Non-relapse mortalityTh No cGVHD 1.00 reference reference 1.14 (0.72 - 1.81) 0.567 Limited cGVHD 0.38 (0.26 - 0.53) <0.001	Limited cGVHD	0.75	\smile	0.59	ı	0.94	$\widehat{}$	0.013	1.03	\smile	0.70	ı	1.51		0.896
Non-relapse mortality11 No cGVHD 1.00 No cGVHD 1.00 reference Limited cGVHD 0.38 0.26 0.53 > <0.001	Extensive cGVHD	0.81	\smile	0.63	1	1.06	$\widehat{}$	0.123	0.77	\smile	0.44	ı	1.34		0.352
No cGVHD 1.00 reference Limited cGVHD 0.38 0.26 - 0.53 > 0.001 1.14 0.72 - 1.81) 0.567 Extensive cGVHD 0.38 0.26 - 0.53) <	Non-relapse mortality††														
Limited cGVHD0.38(0.26-0.53)<0.0011.14(0.72-1.81)0.567Extensive cGVHD1.08(0.83-1.40)0.5513.25(2.24-4.71)<0.001	No cGVHD	1.00						reference							
Extensive cGVHD 1.08 (0.83 - 1.40) 0.551 3.25 (2.24 - 4.71) <0.001 Abbreviations: cGVHD, chronic graft-versus-host disease; HR, hazard ratio; CI, confidence interval. 'HRs were adjusted by TNC, HLA compatibility, center experience, recipient age, year of transplantation, refined disease isk index. sex incompatibility. and use of anti-thymocyte globulin (only in European cohort).	Limited cGVHD	0.38	\smile	0.26	ı	0.53	$\widehat{}$	<0.001	1.14	\smile	0.72	ı	1.81		0.567
Abbreviations: cGVHD, chronic graft-versus-host disease; HR, hazard ratio; CI, confidence interval. 'HRs were adjusted by TNC, HLA compatibility, center experience, recipient age, year of transplantation, refined disease isk index. sex incompatibility. and use of anti-thymocyte globulin (only in European cohort).	Extensive cGVHD	1.08	\smile	0.83	ı	1.40	$\widehat{}$	0.551	3.25	\smile	2.24	ı	4.71		<0.001
"HRs were adjusted by TNC, HLA compatibility, center experience, recipient age, year of transplantation, refined disease isk index. sex incompatibility. and use of anti-thymocyte globulin (only in European cohort).	Abbreviations: cGVHD, cf	ronic g	raft-	versus-	-hos	it disea	se; H	R, hazard ratio;	CI, confi	den	ce inte	irval.			
isk index. sex incompatibility. and use of anti-thymocyte globulin (only in European cohort).	'HRs were adjusted by TN	NC, HL		mpatibi	lity,	center	expe	rience, recipient	age, yea	ır of	ftransp	olanta	ition, I	efin€	ed disease
	risk index. sex incompatib	ility. and	l US	e of an	ti-th	vmocvi	e alo	bulin (only in Eu	ropean c	oho	nt).				

Table 5 Impact of chronic GVHD on overall survival, leukemia-free survival, relapse, and non-relapse mortality

THRs were adjusted by TNC, HLA compatibility, center experience, recipient age, year of transplantation, retined disease

risk index, sex incompatibility, and use of anti-thymocyte globulin (only in European cohort). **HRs were adjusted by TNC, HLA compatibility, center experience, age, refined disease risk index, and use of anti-thymocyte globulin (only in European cohort).







Supplemental Figure legend

Supplemental Figure 1 Impact of acute GVHD on relapse and non-relapse mortality

Impact of acute GVHD (aGVHD) on relapse and non-relapse mortality in Japanese (A, C) and European cohort (B, D) was graphically illustrated by Simon–Makuch plots.

Supplemental Figure 2 Impact of chronic GVHD on relapse and non-relapse mortality

Impact of chronic GVHD (cGVHD) on relapse and non-relapse mortality in Japanese (A, C) and European cohort (B, D) was graphically illustrated by Simon–Makuch plots.

Supplemental Figure 1





Supplemental Figure 2



Supplemental Table 1

Impact of acute GVHD grade on overall mortality stratified by diagnosis, conditioning intensity, and use of ATG

Variable Japanese co	ohort		European c	ohort		
	HR	95% CI	P value	HR	95% CI	P value
Total cohort						
Grade 0-I aGVHD	1.00		reference	1.00		reference
Grade II aGVHD	0.81	(0.72 - 0.92	0.001	1.37	(1.09 - 1.73)	0.007
Grade III-IV aGVHD	1.81	(1.57 - 2.08	() <0.001	2.14	(1.62 - 2.84)	<0.001
Diagnosis						
AML						
Grade 0-I aGVHD	1.00		reference	1.00		reference
Grade II aGVHD	0.82	(0.72 - 0.94) 0.006	1.17	(0.87 - 1.56)	0.294
Grade III-IV aGVHD	1.69	(1.44 - 1.99) <0.001	2.70	(1.33 - 2.76)	<0.001
ALL						
Grade 0-I aGVHD	1.00		reference	1.00		reference
Grade II aGVHD	0.80	(0.62 - 1.03	0.087	1.78	(1.17 - 2.70)	0.007
Grade III-IV aGVHD	2.35	(1.76 - 3.12) <0.001	2.54	(1.59 - 4.03)	<0.001
Conditioning intensity						
MAC						
Grade 0-I aGVHD	1.00		reference	1.00		reference
Grade II aGVHD	0.79	(0.68 - 0.92	0.002	1.34	(1.01 - 1.79)	0.045
Grade III-IV aGVHD	1.53	(1.28 - 1.84) <0.001	2.63	(1.90 - 3.64)	<0.001
RIC						
Grade 0-I aGVHD	1.00		reference	1.00		reference
Grade II aGVHD	0.83	(0.67 - 1.03	0.086	1.76	(1.10 - 2.81)	0.017
Grade III-IV aGVHD	2.20	(1.76 - 2.76) <0.001	1.49	(0.82 - 2.71)	0.196
Use of ATG						
Non-ATG						
Grade 0-I aGVHD				1.00		reference
Grade II aGVHD				1.82	(1.14 - 2.89)	0.012
Grade III-IV aGVHD				1.05	(0.62 - 1.80)	0.844
ATG						
Grade 0-I aGVHD				1.00		reference
				1.28	(0.95 - 1.72)	0.105
Grade III-IV aGVHU				3.71	(7.03 - 5.24)	<0.001