

1 **Influence of potential grazers on picocyanobacterial abundance in**  
2 **Lake Biwa revealed with empirical dynamic modeling**

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# 11 **Influence of potential grazers on picocyanobacterial abundance in** 12 **Lake Biwa revealed with empirical dynamic modeling**

13 Picocyanobacteria in lakes generally occur as single cells (single-celled  
14 picocyanobacteria; SPcy) or colonies (colonial picocyanobacteria; CPcy), and the  
15 latter form has been considered an adaption to grazing pressure. In addition to  
16 direct effects of grazing, grazers may also have important indirect effects on  
17 picocyanobacteria, such as those from nutrient regeneration and trophic cascades.  
18 Interactions between picocyanobacteria and their grazers in lakes can thus be  
19 complex and difficult to predict. In the present study, we aimed to evaluate the  
20 influence of various grazers on SPcy and CPcy in Lake Biwa, Japan. We  
21 followed seasonal changes in the abundances of SPcy, CPcy, and their potential  
22 grazers biweekly over two years. The data collected were analyzed using  
23 empirical dynamic modeling (EDM), a model-free, nonlinear time-series method.  
24 We found that heterotrophic nanoflagellates (HNF), rotifers (*Keratella*,  
25 *Polyarthra*, and *Trichocerca*), cladocerans, and copepods played important and  
26 differing roles in controlling the abundances of SPcy and CPcy. Notably, HNF  
27 had an apparent positive influence on SPcy abundance, despite being considered  
28 major consumers of SPcy. This result suggested that the enhancement of SPcy  
29 growth due to nutrient regeneration by HNF might exceed losses from mortality  
30 due to grazing by HNF. EDM also suggested that colony formation by  
31 picocyanobacteria may be unidirectional, with SPcy tending to form CPcy. Our  
32 findings show that the seasonal dynamics of SPcy and CPcy in Lake Biwa are  
33 influenced by a variety of grazers, which may play differing ecological roles in  
34 the aquatic food web.

35 **Keywords:** empirical dynamic modeling, grazers, heterotrophic nanoflagellates,  
36 indirect effects, picocyanobacteria

## 37 **Introduction**

38 Picocyanobacteria, a diverse group of cyanobacteria defined by cell sizes of less than 2  
39  $\mu\text{m}$ , are numerous and ubiquitous in freshwater and marine ecosystems (Stockner and  
40 Antia 1986; Stockner 1988). Despite their small size, these photoautotrophic organisms

41 contribute largely to phytoplankton biomass and primary production, and play important  
42 roles in aquatic ecosystems (Weisse 1993). In freshwaters, single-celled  
43 picocyanobacteria (SPcy) dominate in oligotrophic environments and are mainly  
44 represented by the genera *Synechococcus* and *Cyanobium* (Fogg 1995; Sanchez-  
45 Baracaldo et al. 2005). Colonial picocyanobacteria (CPcy) are also common and often  
46 abundant in meso-eutrophic environments (Stockner 1991; Stockner et al. 2000). They  
47 consist of colonial species (e.g., *Aphanothece*, *Aphanocapsa*, *Cyanodictyon*) and  
48 microcolonies formed by SPcy (Passoni and Callieri 2000; Callieri et al. 2012).

49         Understanding how the abundances of picocyanobacterial populations are  
50 controlled by grazers is essential to elucidating their ecology. Grazing has been  
51 considered a key top-down control process affecting SPcy abundance (Horn and Horn  
52 2008). Small protists such as heterotrophic nanoflagellates (HNF) and ciliates are  
53 considered the major consumers of SPcy (Stockner and Antia 1986; Šimek et al. 1995  
54 and 1997; Sanders et al. 2000). An uptake of nearly 80% of the carbon produced by  
55 SPcy was estimated for HNF and ciliates in an oligotrophic lake (Callieri et al. 2002).  
56 Metazoan zooplankton are also important grazers of SPcy. Filter feeders such as  
57 planktonic rotifers (e.g., *Keratella*, *Polyarthra*) and cladocerans (e.g., *Daphnia*,  
58 *Bosmina*) can feed on pico-sized particles and thus consume SPcy (Gophen and Geller  
59 1984; Weisse 1993; Ronneberger 1998; Callieri et al. 2012). Copepods are also efficient  
60 SPcy grazers, even when alternative foods are available (Motwani and Gorokhova  
61 2013). By contrast, CPcy appear to be resistant to grazing (Blomqvist 1996). It has been  
62 suggested that colony formation by picocyanobacteria may act as an anti-grazing  
63 strategy (Callieri et al. 2012). Grazing experiments have shown that some strains of  
64 *Synechococcus* could form microcolonies when co-cultivated with HNF (Callieri et al.

65 2016). Huber et al. (2017) demonstrated that grazing by *Bosmina* favored the  
66 dominance of *Cyanodictyon* over SPcy. However, zooplankton such as *Daphnia* have  
67 the ability to ingest particles up to tens of micrometers in size (Ronneberger 1998), and  
68 thus small colonies of CPcy may be edible to such large grazers (Van Donk et al. 2011).

69         Grazers also play other important roles in controlling the abundances of  
70 picocyanobacteria based on indirect interactions such as nutrient regeneration and  
71 trophic cascades. Heterotrophic protists and metazoan zooplankton can excrete nitrogen  
72 and phosphorus and thereby support the growth of phytoplankton (Johannes 1965;  
73 Moegenburg and Vanni 1991; Nakano 1994a, b, c). Indeed, Callieri et al. (2004)  
74 reported a significant increase in picocyanobacterial photosynthetic efficiency in the  
75 presence of *Daphnia* grazing. In addition, predator-prey relationships exist among HNF,  
76 ciliates, rotifers, cladocerans, and copepods (Arndt 1993; Sanders et al. 1994; Suzuki et  
77 al. 1999; Nakano et al. 2001; Christoffersen and Gonzalez 2003; Brandl 2005), and  
78 therefore the abundances of picocyanobacteria may be affected indirectly through  
79 trophic cascades of these grazers (Wickham 1995; Sundt-Hansen et al. 2006). Taking  
80 the direct effects of grazing into consideration, interactions between picocyanobacteria  
81 and grazers in nature can thus be highly complex and difficult to predict.

82         To elucidate the influence of potential grazers on picocyanobacteria, we carried  
83 out a two-year study in Lake Biwa, Japan, focusing on the differing ecological  
84 properties of SPcy and CPcy. We collected samples biweekly and followed seasonal  
85 changes in abundances of picocyanobacteria (SPcy and CPcy) and their potential  
86 grazers (HNF, ciliates, rotifers, cladocerans, and copepods). Finally, we applied  
87 empirical dynamic modeling (EDM), which is a model-free, nonlinear time-series  
88 analysis method, to the time-series data collected. EDM was developed to specifically

89 analyze the dynamics of nonlinear systems such as ecosystems, where traditional linear  
90 tools are not applicable. Thus, this method is suitable for the analysis of the nonlinear,  
91 state-dependent behaviors of picocyanobacteria observed in our study system. The main  
92 objectives of the present study are two-fold: 1) identification of potential grazers that  
93 affect SPcy and CPcy abundances under natural conditions, and 2) quantification of the  
94 overall effects of various grazers on SPcy and CPcy abundances using EDM.

## 95 **Methods**

### 96 *Sample collection and measurement of environmental variables*

97 Sample collection was conducted biweekly at observation site Ie-1 (35°12'58"N;  
98 135°59'55"E; maximum depth, 73 m) in the north basin of Lake Biwa (Mukherjee et al.  
99 2017) from July 2015 to June 2017. Vertical profiles of water temperature, chlorophyll  
100 *a* (Chl-*a*) concentration and photosynthetically active radiation (PAR) throughout the  
101 water column were obtained using a CTD profiler (SBE-911 plus; Sea Bird Electronics,  
102 Sea-logger, WA, USA). In addition, samples for analysis of dissolved inorganic  
103 nitrogen (DIN) and dissolved inorganic phosphorus (DIP) were collected monthly at  
104 depths of 0, 5, 10 and 20 m from August 2015 to July 2016. NH<sub>4</sub>-N concentrations were  
105 measured using a sensitive fluorometric assay (Holmes et al. 1999). Concentrations of  
106 NO<sub>2</sub>-N, NO<sub>3</sub>-N and DIP were analyzed using an AACS-II autoanalyzer  
107 (BRAN+LUEBBE).

108 Samples of picocyanobacteria, protists and metazoan zooplankton were  
109 collected from depths of 0, 5, 10, 15 and 20 m with a 5 L Niskin sampler (General  
110 Oceanics, Miami, USA). All samples were collected at around the same time of day  
111 (10:00 to 12:00 h). For picocyanobacteria, unfiltered water samples were collected. For

112 HNF, water samples were prefiltered using a plankton net with a mesh size of 20  $\mu\text{m}$ ,  
113 and the filtrate was collected. After collection, 100 ml of each water sample was fixed  
114 with glutaraldehyde at a final concentration of 1% for enumeration of picocyanobacteria  
115 and HNF, and the fixed samples were then stored in the dark at 4 °C. For other  
116 zooplankton (ciliates, rotifers, cladocerans, and copepods), 10 L of lake water was  
117 concentrated to 100 ml using a plankton net with mesh size 20  $\mu\text{m}$ , then fixed with 5%  
118 acid Lugol's solution and stored in the dark.

### 119 *Sample treatment and plankton enumeration*

120 For the enumeration of picocyanobacteria, fixed samples of 1–10 ml were filtered  
121 through 0.2  $\mu\text{m}$  polycarbonate membrane filters to retain cells. Duplicate filters were  
122 prepared for each sample. An epifluorescence microscope (Olympus BX53, 1000x) was  
123 used to enumerate SPcy and CPcy cells under green excitation (530–550 nm). At least  
124 100 cells or 50 fields were counted from each filter. For the enumeration of HNF, fixed  
125 samples of 30–50 ml were filtered through 0.8  $\mu\text{m}$  polycarbonate membrane filters.  
126 HNF cells on the filter were stained with primulin according to methods in Caron  
127 (1983) and enumerated under ultraviolet excitation. Nanoflagellates that exhibited no  
128 apparent red chlorophyll fluorescence under green excitation were identified as HNF.

129 To count metazoan zooplankton, fixed samples were poured into 100 ml  
130 cylinders and concentrated through natural sedimentation for at least 48 h. One ml of  
131 the concentrated sample was then loaded onto a Sedgewick-Rafter counting chamber  
132 (Pyser-SGI Limited, British) and checked under an optical microscope (Olympus BX51,  
133 100x). Each sample was counted twice.

134 *Time series and state space reconstruction (SSR)*

135 Time series can be defined as any set of sequential observations of the system state, and  
136 the dynamic behaviors can be delineated as a trajectory of a state over time in a  
137 multidimensional state space by plotting time series. Time series taken from ecosystems  
138 can be used to trace out trajectories of the system, which provide information on  
139 ecosystem dynamics. For example, if one has performed sequential observations on a  
140 three-species ecological system, e.g., grasses (primary producer), rabbits (consumer)  
141 and foxes (predator), then the dynamics of the three-species system can be reconstructed  
142 by plotting time series of grasses, rabbits, and foxes along the  $x$ ,  $y$ , and  $z$  axis,  
143 respectively, in a three-dimensional state space. The motion of the three-dimensional  
144 vectors can be understood as the system behavior.

145 In a natural ecosystem, however, it is usually impossible to collect time series of  
146 all potentially important variables involved in a target system. Fortunately, Takens  
147 (1981) offered a theoretical basis to solve this problem: a mathematical theorem,  
148 Takens' embedding theorem, demonstrated that a shadow version of the attractor can be  
149 reconstructed by a single observed time series. In other words, delineation of  
150 trajectories, originally constructed using multivariables, can be possible even if a time  
151 series is available only for a single variable (Sauer et al. 1981; Takens 1981). To embed  
152 such a single time series, vectors in the putative phase space are formed from time-  
153 delayed values of the time series,  $\{x(t), x(t-\tau), x(t-2\tau), \dots, X(t-[E-1]\tau)\}$ , where  $E$  is the  
154 embedding dimension, and  $\tau$  is the time lag. This procedure, the reconstruction of the  
155 original dynamics, is known as State Space Reconstruction (SSR).

156 *Empirical dynamics modeling (EDM)*

157 EDM, a time-series analytical framework rooted in SSR and designed specifically for  
158 the analysis of nonlinear dynamics such as ecosystem processes (Sugihara et al. 2012;  
159 Ye et al. 2015; Deyle et al. 2016), was applied to our time-series data. Because EDM  
160 recovers dynamics directly from time series using SSR, it does not assume any set of  
161 equations governing the system, and thus is suitable for analyzing complex systems, for  
162 which it is often difficult to make reasonable *a priori* assumptions about their  
163 underlying mechanisms. EDM provides tools for various purposes, including the  
164 identification of causal factors and quantification of interaction strengths in nonlinear  
165 systems where traditional linear statistical tools are not applicable, and has been  
166 recently proven effective for analyzing the dynamics of natural complex ecosystems  
167 (Ye et al. 2015; Ushio et al. 2018). The analysis workflow in the present study was as  
168 follows: first, causal links between picocyanobacteria and other variables (e.g., water  
169 temperature, Chl-*a*, or HNF) were identified using convergent cross mapping (CCM;  
170 Sugihara et al. 2012); second, when causal links were identified, the interaction  
171 strengths between variables were quantified using the multivariate S-map method  
172 (Deyle et al. 2016). Detailed descriptions of CCM and the multivariate S-map are  
173 available in previous studies (Sugihara et al. 2012; Deyle et al. 2016; Chang et al. 2017;  
174 Ushio et al. 2019). Considering the thermal stratification and vertical migration of the  
175 plankton community, we used the average of time-series data collected from 0 to 20 m  
176 in our analyses. Data were normalized to a zero mean and unit variance prior to EDM  
177 analysis. The library size (i.e., the length of time-series data) of most variables was 48,  
178 whereas that of CPcy was 38.



179 First, CCM was applied to detect causal links. Briefly, if two variables are  
180 causally related in a dynamic system, they should share the same attractor, making it  
181 possible to predict the values of the causal variable by using the reconstructed state  
182 space of the effect variable (Sugihara et al. 2012). CCM quantifies how well an effect  
183 variable predicts the values of a putative causal variable, and the forecasting accuracy  
184 (i.e., cross map skill) and its convergence against the library size are important criteria  
185 for determining causality (for more details, see Sugihara et al. 2012). An essential  
186 parameter of CCM, the optimal embedding dimension ( $E$ ), was determined using  
187 simplex projection (Sugihara and May 1990). Simplex projection can be used to find the  
188 optimal value of  $E$  by identifying which  $E$  maximizes the forecasting accuracy of a  
189 given time series. According to simplex projection, the optimal  $E$  for the time series of  
190 SPcy and CPcy were 2 and 3, respectively. Another important parameter, time lag ( $\tau$ ) in  
191 the lagged coordinate embedding, was set to 1 following a previous study (Chang et al.  
192 2017), which corresponds to 2 weeks in our time series. Due to the time-lagged causal  
193 relationship, preliminary CCM was conducted to find the optimal cross-map lag ( $t_p$ ,  
194 time to prediction) for each causal variable. The optimal  $t_p$  value which maximized the  
195 forecasting accuracy within the range of 0 to  $-6$  (i.e., between now and three months  
196 ago) was chosen. Then, CCM was performed to calculate cross map skill and thus  
197 identify causal variables that affect SPcy and CPcy. Fisher's z-test and surrogate test  
198 were successively applied to determine whether the cross map skill was statistically  
199 significant (Chang et al. 2017). Fisher's z-test examines whether the cross map skill  
200 obtained using the maximal library length is significantly higher than that obtained  
201 using the minimal library length (i.e., convergence). Surrogate test examines whether

202 the cross map skill is significantly different from the null model expectation generated  
203 using surrogate time series.

204         Second, based on the set of significant causal variables identified through CCM,  
205 the multivariate S-map was built to quantify the influence of each variable on SPcy or  
206 CPcy, which was approximated using partial derivatives of the causal variables. Time-  
207 series data of the effect variable (SPcy or CPcy) and significant causal variables with  
208 lag equal to the optimal  $t_p$  were used to reconstruct the state space. For example, if the  
209 variables  $Y$  and  $Z$  influence variable  $X$  with  $t_p$  of  $-2$  and  $-3$ , respectively, the state space  
210 is reconstructed as follows:  $\{X(t), X(t-1), \dots, X(t-[E-1]), Y(t-1), Z(t-2)\}$ , and  $X(t+1)$  is  
211 predicted using the multivariate S-map. In the multivariate S-map analysis, the  
212 nonlinear parameter ( $\theta$ ) that minimizes the forecasting error was chosen according to  
213 previous studies (Deyle et al. 2016; Ushio et al. 2018).

214         Lastly, Spearman's correlation analysis was conducted to infer potential  
215 relationships between the abundances of picocyanobacteria and concentrations of  
216 nutrients (DIN and DIP). This method was used because the lengths of time series of  
217 nutrients ( $N = 13$ ) were not sufficient for EDM. All analyses described above were  
218 carried out using R v3.4.3 (R Development Core Team, 2018). EDM was performed  
219 using the "rEDM" package (version 0.7.2, Ye et al. 2015), and the step-by-step tutorial  
220 is available at <https://ha0ye.github.io/rEDM/index.html>.

## 221 **Results**

### 222 *Seasonal profiles of water temperature, Chl-a, and nutrients*

223 In Lake Biwa, thermal stratification was pronounced from June to October (the  
224 stratification period) with a thermocline between 15 and 30 m (Fig. 1a). In August and

225 September, water temperature in the epilimnion reached as high as 29.8 °C. Water  
226 column started mixing in November and circulated totally from February to March (the  
227 mixing period). During the mixing period, the average ( $\pm$  standard deviation) water  
228 temperature was  $8.4 \pm 0.5$  °C.

229         The annual mean concentration of Chl-*a* in the euphotic zone (from 0 to 20 m,  
230 calculated by PAR, data not shown) was  $2.65 \pm 1.85$   $\mu\text{g L}^{-1}$  (Fig. 1b). Elevated Chl-*a*  
231 concentrations ( $>5$   $\mu\text{g L}^{-1}$ ) were recorded several times: from April to May 2016, from  
232 November 2016 to January 2017 and from May to June 2017. The mean concentration  
233 of DIN was  $1.64 \pm 1.26$   $\mu\text{mol L}^{-1}$ . DIN was depleted in the epilimnion during the  
234 stratification period but relatively high at other times (Fig. S1a). DIP concentrations  
235 were low and remained nearly constant across depths and seasons, with an average of  
236  $0.0045 \pm 0.0025$   $\mu\text{mol L}^{-1}$  (Fig. S1b).

### 237 *Seasonal dynamics of picocyanobacteria and potential grazers*

238 The annual average SPcy abundance in the water column above 20 m was  $5.64 \pm 9.00 \times$   
239  $10^4$  cells  $\text{mL}^{-1}$  during the study period (Fig. 2a). High cell densities (up to  $4.50 \times 10^5$   
240 cells  $\text{mL}^{-1}$ ) were recorded in June and August 2016. Generally, SPcy were highly  
241 abundant ( $>10^5$  cells  $\text{mL}^{-1}$ ) throughout the stratification period and were mainly  
242 distributed in the epilimnion. During the mixing period, SPcy density decreased to  
243 around  $10^2$  cells  $\text{mL}^{-1}$  and the cells were almost homogeneously distributed throughout  
244 the water column.

245         The annual average CPcy abundance was  $3.22 \pm 8.45 \times 10^4$  cells  $\text{mL}^{-1}$  (Fig. 2b;  
246 calculated using data collected from July 2016 to June 2017, as data from 2015 were  
247 incomplete). CPcy density increased dramatically from  $10^3$  to  $>3 \times 10^5$  cells  $\text{mL}^{-1}$  in the  
248 epilimnion at the beginning of July. The majority of CPcy were observed near the

249 thermocline after 2 weeks and the greatest cell density (up to  $4.54 \times 10^5$  cells mL<sup>-1</sup>) was  
250 recorded at a depth of 15 m in mid-July 2016. From September onward, CPcy density  
251 declined rapidly to  $<10^3$  cells mL<sup>-1</sup> and became undetectable during the mixing period.

252 HNF were observed throughout the year in the water column, with an average  
253 cell density of  $7.86 \pm 6.44 \times 10^2$  cells mL<sup>-1</sup> (Fig. S2a). Generally, HNF were abundant  
254 ( $>10^3$  cells mL<sup>-1</sup>) in the epilimnion during stratification periods and remained scarce  
255 ( $>10^2$  cells mL<sup>-1</sup>) during mixing periods. By contrast, ciliates were not a major protistan  
256 group in Lake Biwa (Fig. S2b). At most times, ciliates were at low abundance ( $<10$  cells  
257 L<sup>-1</sup>) or even below the detection limit, with an annual average cell density of  $51.7 \pm$   
258  $153.8$  cells L<sup>-1</sup>. Nevertheless, the genera *Epistylis* and *Codonella* sometimes formed  
259 transient blooms in summer or autumn with densities greater than  $10^3$  cells L<sup>-1</sup>.

260 We observed no clear seasonal or vertical trends in the abundances of rotifers,  
261 cladocerans, and copepods. These grazers could be abundant in spring, summer or  
262 autumn at different depths (Fig. S2c–e). The annual average density of rotifers was  
263  $111.6 \pm 124.5$  individuals L<sup>-1</sup>. Rotifers in Lake Biwa were highly diverse, so we also  
264 recorded seasonal changes in their genus-level composition (Fig. S3). The dominant  
265 genera during the study period were *Polyarthra*, *Keratella* and *Trichocerca*, accounting  
266 for 55.1%, 11.5%, and 10.8% of total rotifer abundance, respectively. Average densities  
267 of cladocerans and copepods were  $13.2 \pm 15.6$  and  $58.8 \pm 59.1$  individuals L<sup>-1</sup>,  
268 respectively. The dominant genera of cladocera were *Daphnia* and sometimes *Bosmina*,  
269 whereas copepods were dominated by *Eodiaptomus japonicus*.

## 270 ***Results of EDM and correlation analysis***

271 According to CCM, temperature, HNF, cladocera, copepod, and *Keratella* were  
272 identified as causal variables that affected seasonal changes in the abundance of both

273 SPcy and CPcy, whereas *Polyarthra* affected only SPcy and *Trichocerca* affected only  
274 CPcy (Table 1; see details in Fig. S4 and S5). In addition, we also found causal links  
275 between SPcy and CPcy. No significant causal link was found between SPcy and other  
276 variables such as Chl-*a* concentration, ciliates or non-dominant rotifers (e.g., *Pleosoma*,  
277 *Pompholyx*), or between CPcy and those variables (data not shown).

278         The influences of causal variables on SPcy and CPcy were then quantified using  
279 the multivariate S-map (Fig. 3; see Table S1 for optimal parameters of the multivariate  
280 S-map). Positive and negative values of interaction strengths can be interpreted as an  
281 effect variable tending to increase and decrease, respectively, in response to the increase  
282 in a causal variable (Deyle et al. 2016). Although the multivariate S-map method  
283 enables the calculation of time-varying interaction strengths, we used time-averaged  
284 values of interaction strengths to evaluate the overall effects of causal variables on  
285 picocyanobacterial abundance for convenience and simplicity (Table 1; Fig. 4).

286 Therefore, temperature, HNF, and *Polyarthra* had positive effects on SPcy, whereas  
287 CPcy, *Keratella*, and cladocera negatively affected SPcy abundance. And copepods had  
288 a moderating effect on SPcy. On the other hand, SPcy, HNF, *Trichocerca*, and copepod  
289 had positive effects on CPcy abundance, whereas temperature, *Keratella*, and cladocera  
290 had negative effects on CPcy.

291         Lastly, potential relationships among SPcy, CPcy, DIN, and DIP were examined  
292 using Spearman's correlation analysis. The results showed that DIN was negatively  
293 correlated with SPcy and CPcy ( $r_s = -0.668$ ,  $p < 0.001$ ;  $r_s = -0.734$ ,  $p < 0.001$ ,  
294 respectively). DIP was negatively correlated with CPcy ( $r_s = -0.528$ ,  $p < 0.001$ ), but not  
295 significantly correlated with SPcy ( $r_s = 0.092$ ,  $p = 0.516$ ).

## 296 **Discussion**

297 In the present study, we applied EDM for exploring the environmental variables and  
298 organisms that are potential drivers of the seasonal dynamics of picocyanobacteria.  
299 Interaction strengths estimated using the multivariate S-map revealed how these  
300 variables affect picocyanobacterial abundance. In many cases, both positive and  
301 negative values were obtained simultaneously, suggesting complex relationships  
302 between the causal variable and SPcy or CPcy. The influence by one causal variable on  
303 SPcy or CPcy abundance (i.e., the average of interaction strengths) should be regarded  
304 as a “net” interaction strength. In other words, the time-averaged interaction strength  
305 calculated in the present study indicates whether positive “bottom-up” effects were  
306 larger than negative “top-down” effects or not (Deyle et al. 2016).

### 307 *Effects of environmental variables on picocyanobacteria*

308 The positive influence of temperature on SPcy as revealed through EDM (Fig. 3a)  
309 indicates that temperature played an important role in increasing the abundance of  
310 picocyanobacteria (Vörös et al. 2009; Jodłowska and Śliwińska 2014). By contrast,  
311 CPcy was negatively affected by temperature (Fig. 3b), despite being reported to  
312 increase dramatically in the warm summer months (Callieri et al. 2012). This result may  
313 be caused by sinking due to the large colony sizes of CPcy (Deng et al. 2016). After  
314 forming transient blooms near the water surface, CPcy immediately sank to the  
315 metalimnion (15–20 m), where water temperature was relatively low during the  
316 stratification period (Fig. 1a and 2b). On the other hand, we did not find a relationship  
317 between Chl-*a* concentration and picocyanobacterial abundance. Although  
318 picocyanobacteria could be dominant (45% of total Chl-*a*) during the stratification

319 period, they were not a major group in the phytoplankton community during other parts  
320 of the year in Lake Biwa (< 5% of total Chl-*a* in other months; Nagata 1986). Lastly,  
321 the negative correlations found between DIN or DIP and picocyanobacterial abundance  
322 suggested that low nutrient availability facilitated the dominance of SPcy (Nagata 1986;  
323 Schallenberg and Burns 2001; Callieri 2008), and that nutrient limitation could be one  
324 of the factors inducing colony formation (Callieri et al. 2012).

### 325 ***Relationships between single cells and colonies***

326 Some strains of SPcy are known to form microcolonies under certain conditions such as  
327 ultraviolet radiation or grazing pressure (Jezberová and Komárková 2007; Callieri et al.  
328 2011; Callieri et al. 2016). Conversely, some CPcy genera have single-cell stages in  
329 their life histories (Komárková and Šimek 2003) and many genera in the order  
330 *Synechococcales* that were originally described as colonial lose their mucilaginous  
331 envelopes in cultivation (Komárek et al. 2014). Therefore, transformation between  
332 single cells and colonies may occur frequently in lakes. Fortunately, we found  
333 significant causal links between SPcy and CPcy, suggesting that they were affected by  
334 each other. Furthermore, the multivariate S-map results suggested that SPcy could have  
335 enhanced the abundance of CPcy, whereas CPcy decreased that of SPcy (Fig. 4).  
336 Therefore, the transformation of the morphology of picocyanobacteria is likely  
337 unidirectional in Lake Biwa, with SPcy tending to form CPcy.

### 338 ***Effects of protists on picocyanobacteria***

339 HNF have been regarded as key grazers of SPcy that contribute strongly to the latter's  
340 mortality losses (Nagata 1988; Callieri et al. 2002), and thus HNF are expected to have  
341 negative effects on SPcy abundance. However, the opposite result was obtained from

342 EDM, with HNF increasing SPcy abundance rather than reducing them (Fig. 4). A  
343 possible explanation for this is the enhancement of SPcy growth due to nutrient  
344 regeneration by HNF exceeding mortality losses due to grazing by HNF. This is  
345 consistent with the results in previous studies (Ferrier-Pages and Rassoulzadegan 1994;  
346 Selph et al. 2003), though it is impossible to quantify the amount of nutrients excreted  
347 respectively by HNF or other grazers from nutrient samples we collected.

348         The other possible explanation is the presence of trophic cascade, as HNF fall  
349 within the food size ranges of a variety of predators and are vulnerable to predation in  
350 aquatic environments (Pace et al. 1998; Nakano et al. 2001). We investigated the  
351 influence of putative predators on HNF using EDM, and found that *Polyarthra* and  
352 cladocera showed negative influences on HNF abundance (Table S2), suggesting the  
353 presence of top-down controls on HNF by these predators (Pourriot 1977; Stemberger  
354 and Gilbert 1985; Jürgens et al. 1996). So it is likely that grazing pressure of HNF on  
355 picocyanobacteria can be suppressed by predation of *Polyarthra* and cladocerans.  
356 Furthermore, HNF were enumerated at the community level in the present study, and  
357 grazing on SPcy by HNF is species-specific (Callieri et al. 2012). Therefore, the HNF  
358 species that prey on SPcy could be minor in our samples, which could result in the  
359 moderate influence of SPcy on HNF (Table S2).

360         On the other hand, the positive effect on CPcy abundance by HNF (Fig. 4)  
361 suggested that HNF play an important role in stimulating colony formation by  
362 picocyanobacteria, possibly through grazing on single cells, as previously reported  
363 (Callieri et al. 2016). Indeed, microcolony-forming bacteria generally cannot be  
364 consumed by HNF due to their large size (Hahn et al. 2000), and we found an apparent



365 negative effect of CPcy on HNF (Table S2), which suggests that HNF are unlikely to  
366 graze on CPcy.

367 Ciliates are also important grazers of picocyanobacteria (Šimek et al. 1995 and  
368 1997), but EDM did not show a significant causal link between their abundance and that  
369 of SPcy or CPcy. Ciliates may not be involved in controlling picocyanobacterial  
370 abundance, due to their low abundance in the north basin of Lake Biwa (Yoshida et al.  
371 2001b).

### 372 *Effects of metazoan zooplankton on picocyanobacteria*

373 The relationships between metazoan zooplankton and picocyanobacteria can be more  
374 complex because they are both potential grazers of picocyanobacteria and predators of  
375 HNF and small zooplankton. For example, rotifers feed significantly on nanoflagellates  
376 and small ciliates (Arndt 1993), whereas cladocerans prey upon a wide range of food  
377 particle sizes (1–50  $\mu\text{m}$ ) that includes small protists (Gophen and Geller 1984; Stockner  
378 and Porter 1988; Sanders et al. 1994). Copepods use a variety of hunting and feeding  
379 techniques, enabling them to prey on diverse planktonic animals (Suzuki et al. 1999;  
380 Brandl 2005). The influence of rotifers, cladocerans, and copepods on SPcy or CPcy  
381 can thus be interpreted as the synergistic effects of grazing, nutrient regeneration, and  
382 trophic cascades. In addition, we also conducted EDM analyses to investigate whether  
383 and how these grazers could be affected by other variables (Table S2). However,  
384 potential causal variables of metazoan zooplankton, such as abundances of bacteria,  
385 phytoplankton and their predators, are not available. The reconstructed dynamics of  
386 metazoan zooplankton might not be reasonably resolved, and thus the interaction  
387 strengths calculated by the multivariate S-map might be counter-intuitive and difficult  
388 to explain. Therefore, detailed discussion on influences of causal variables on metazoan

389 zooplankton can be speculative and should be avoided as possible.

390 Rotifers that have been previously reported to prey on picocyanobacteria are  
391 *Keratella* and *Polyarthra* (Callieri et al. 2012), both of which were dominant genera in  
392 Lake Biwa (Yoshida et al. 2001b). *Keratella* showed apparent top-down control on  
393 picocyanobacterial abundance (Fig. 4), indicating that they may be effective grazers of  
394 both SPcy and CPcy (Pourriot 1977; Callieri et al. 2012; Table S2, positive influence of  
395 CPcy on *Keratella*). The positive effect of *Polyarthra* on SPcy (Fig. 4) suggested that  
396 *Polyarthra* may enhance SPcy abundance through preying on HNF, as discussed  
397 previously. The bacterivorous rotifer *Trichocerca* enhanced the abundance of CPcy  
398 (Fig. 4), and the negative influence of CPcy on *Trichocerca* may suggest that CPcy  
399 were not grazed by *Trichocerca* (Table S2). However, it is unclear whether grazing  
400 pressure from *Trichocerca* plays a role in inducing colony formation, as they had no  
401 effect on SPcy (Table 1). Overall, rotifers have seldom been investigated as grazers of  
402 picocyanobacteria, and therefore further research is needed to clarify the food chain  
403 between rotifers and picocyanobacteria.

404 Cladocerans are well-known grazers of SPcy (Callieri et al. 2012), and they had  
405 an apparent negative influence on SPcy abundance (Fig. 4). Similar to the rotifer  
406 *Keratella*, cladocerans (mainly *Daphnia*) induced the decrease in CPcy abundance (Fig.  
407 4), suggesting that they graze on CPcy. Although the CPcy found during the present  
408 study generally had large colony sizes (up to several hundred  $\mu\text{m}$ ), microcolonies  
409 ranging from several to tens of micrometers can be eaten by cladocerans (Ronneberger  
410 1998; Table S2, positive influence of CPcy on cladocera).

411 The effect of copepods on SPcy abundance was nearly moderate, despite their  
412 ability to ingest SPcy effectively (Fig. 4; Motwani and Gorokhova 2013; Table S2,

413 positive influence of SPcy on copepod). A possible reason for this finding is that the  
414 negative effects of grazing were offset by positive indirect effects, especially through  
415 trophic cascades, as copepods represent the highest trophic level among grazers of  
416 picocyanobacteria. A trophic cascade involving copepods may also be a major  
417 contributor to their positive influence on CPcy (Fig. 4). Copepods increased the  
418 abundance of CPcy, possibly by preying on microzooplankton such as *Keratella*  
419 (Yoshida et al. 2001a) that have negative effects on CPcy abundance.

## 420 ***Conclusions***

421 Increasing picocyanobacterial abundance along with climate change in future have been  
422 indicated in oceans (Flombaum et al. 2013). In lakes, growing blooms of  
423 picocyanobacteria also have been reported in recent years, and some species of  
424 picocyanobacteria can produce harmful toxins and secondary metabolites thus causing  
425 problems to public health (Jakubowska and Szelağ-Wasielewska 2015; Jasser and  
426 Callieri 2016; Śliwińska-Wilczewska et al. 2018). Despite the increasing impacts of  
427 picocyanobacteria on aquatic ecosystems, however, ecology of them remain largely  
428 unclear. So far, few studies have been reported discussing the comprehensive impacts of  
429 grazers on picocyanobacteria.

430 In the present study, we found that HNF, *Keratella*, *Polyarthra*, *Trichocerca*,  
431 cladocerans and copepods had important impacts on SPcy and CPcy, and played various  
432 roles in controlling their abundances (Fig. 4). Notably, we found that HNF might  
433 stimulate the growth of SPcy through indirect positive effects such as nutrient  
434 regeneration in excess of direct negative effects such as grazing, which is a novel result.  
435 We also found that single cells of picocyanobacteria tended to form colonies, possibly  
436 due to the positive effects of HNF, *Trichocerca* and copepods on CPcy. Our findings

437 clearly show that natural seasonal dynamics of picocyanobacteria in Lake Biwa are  
438 influenced by a variety of grazers, and that the influences of grazers in complex natural  
439 food webs are often counter-intuitive. Furthermore, because SPcy and CPcy are  
440 influenced by different grazers, they may thus play differing ecological roles in the  
441 aquatic food web. It should be noticed that we did not conduct any *in situ* or laboratory  
442 experiments to validate the results of the present study. Further research, especially *in*  
443 *situ* manipulative experiments, is needed to elucidate the detailed interspecific  
444 interactions among picocyanobacteria and their grazers.

445

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452

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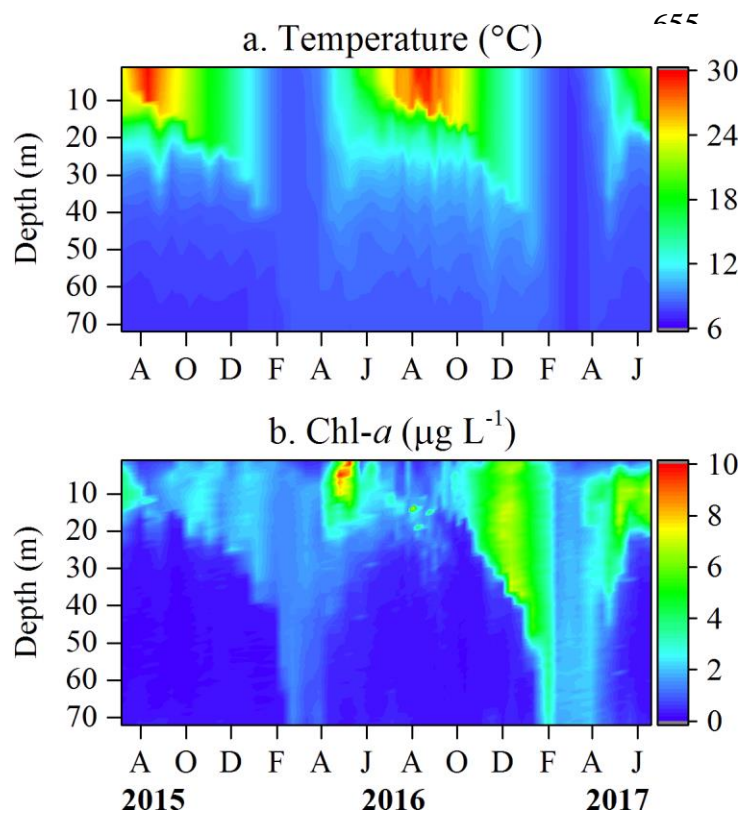
646 Table 1. Significant causal variables affecting the abundances of single-celled  
 647 picocyanobacteria (SPcy) and colonial picocyanobacteria (CPcy) identified by CCM,  
 648 and according time-averaged interaction strengths calculated by the multivariate S-map.

Effect variable	Causal variable	$t_p$	$\rho_{\max}$	$\Delta\rho$	$P_z$	$P_s$	Time-averaged interaction strength
SPcy	Temperature	0	0.75	0.42	0.000	0.048	0.164
	CPcy	-2	0.57	0.46	0.000	0.004	-0.467
	HNF	-1	0.58	0.31	0.007	0.006	0.324
	<i>Keratella</i>	-4	0.42	0.44	0.001	0.024	-0.213
	<i>Polyarthra</i>	-5	0.51	0.47	0.000	0.003	0.133
	<i>Trichocerca</i>	0	0.26	0.13	0.342*	0.081*	
	Cladocera	0	0.62	0.54	0.000	0.001	-0.300
	Copepod	-3	0.54	0.41	0.001	0.001	0.008
CPcy	Temperature	-2	0.91	0.59	0.000	0.001	-0.299
	SPcy	-2	0.82	0.57	0.000	0.001	0.125
	HNF	-3	0.81	0.63	0.000	0.001	0.212
	<i>Keratella</i>	-4	0.49	0.47	0.000	0.011	-0.059
	<i>Polyarthra</i>	0	0.12	0.16	0.267*	0.309*	
	<i>Trichocerca</i>	-1	0.47	0.30	0.019	0.004	0.262
	Cladocera	-1	0.29	0.29	0.040	0.044	-0.081
	Copepod	-6	0.55	0.45	0.000	0.002	0.139

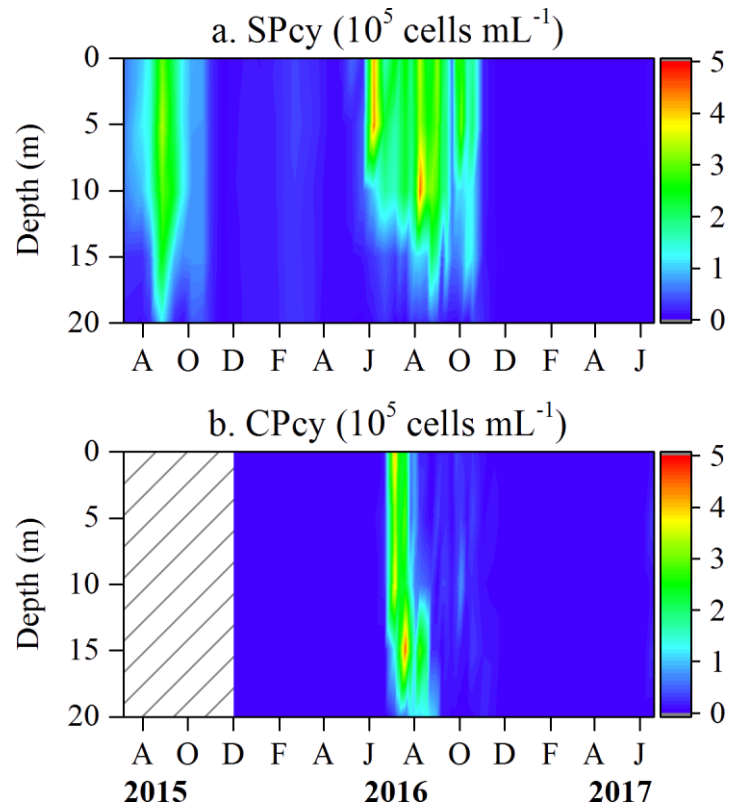
649  $t_p$ : cross-map lag;  $\rho_{\max}$ :  $\rho$  at maximal library size;  $\Delta\rho$ :  $\rho$  at maximum library size minus  
 650  $\rho$  at minimum library size;  $P_z$ :  $P$  value of Fishfer's z test;  $P_s$ :  $P$  value of surrogate test; \*:   
 651 not statistically significant at the 0.05 level.

652

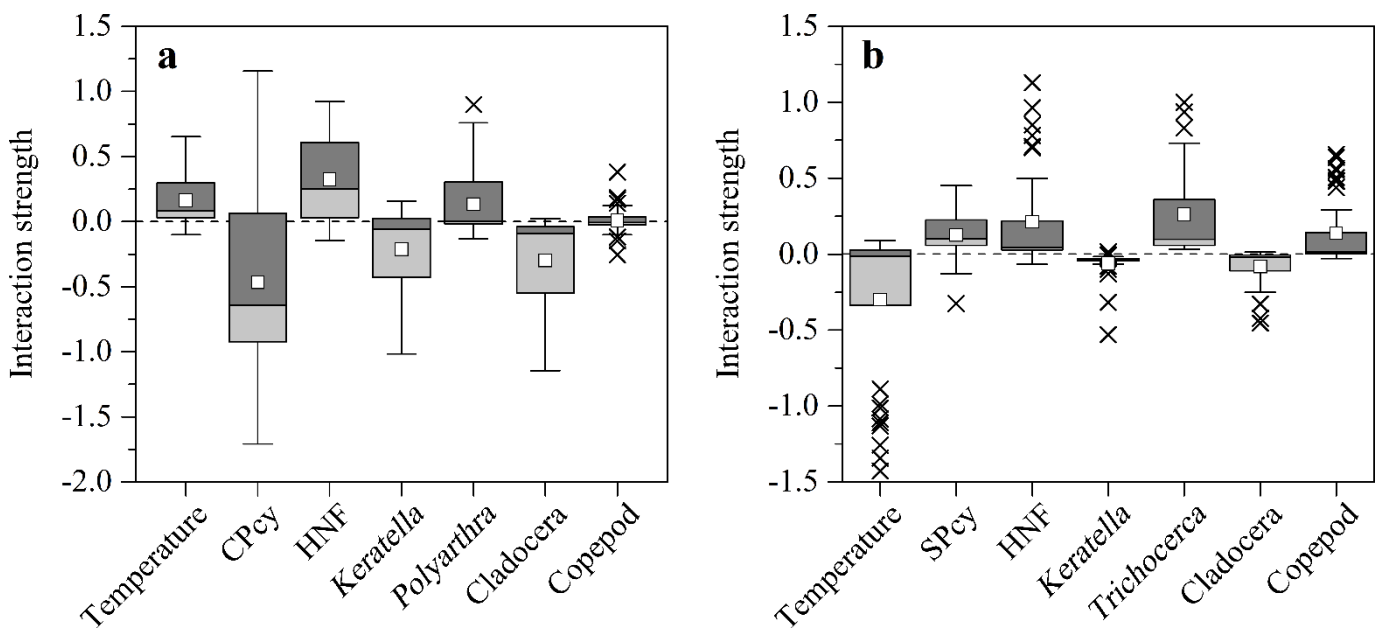
653 Figure 1. Seasonal changes in vertical profiles of (a) water temperature and (b)  
654 chlorophyll-*a* concentration.



662 Figure 2. Seasonal changes in vertical abundances of (a) single-celled picocyanobacteria  
663 (SPcy) and (b) colonial picocyanobacteria (CPcy).  
664

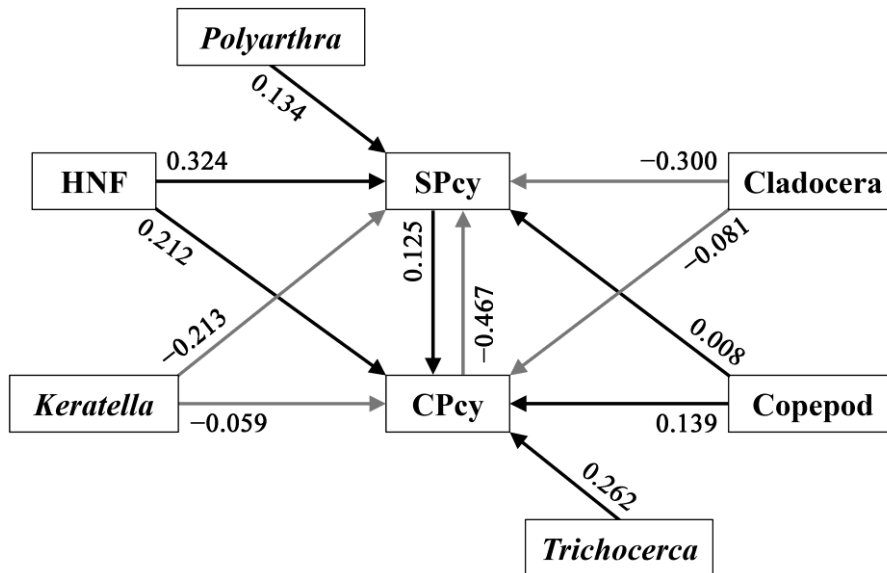


665 Figure 3. The effects of causal variables on picocyanobacterial abundance determined  
 666 using the multivariate S-map. The boxplots show the interaction strengths of causal  
 667 variables on the abundances of (a) SPcy and (b) CPcy. The bottom and top of each box  
 668 show the lower (25%) and upper (75%) quartiles, respectively; the band and square  
 669 within each box represent the median and the mean, respectively; whiskers indicate the  
 670 minimum and maximum; and crosses represent outliers. Note that original time series  
 671 were standardized, and thus the interaction strengths from different variables can be  
 672 compared directly to discuss the relative importance of each variable.



673  
 674

675 Figure 4. The effects of grazers on picocyanobacterial abundance, and the relationship  
676 between SPcy and CPcy. Numbers beside the arrows represent time-averaged values of  
677 interaction strengths.



678  
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680

681 Table S1. Optimal parameters of the multivariate S-map.

Variable to predict	$\theta$	Number of predictions	$\rho$	MAE	RMSE	<i>P</i> value
SPcy	3.75	36	0.90	0.28	0.46	0.000
CPcy	1.45	35	0.65	0.43	0.86	0.000

682  $\theta$ : the nonlinear parameter;  $\rho$ : the forecasting accuracy; MAE: mean absolute error;  
 683 RMSE: root mean square error; *P* value: *P* value that  $\rho$  is significantly greater than zero  
 684 using Fishfer's z-transformation.

685



686 Table S2. Significant causal variables affecting the abundances of HNF and metazoan  
687 zooplankton identified by CCM, and according time-averaged interaction strengths  
688 calculated by the multivariate S-map.

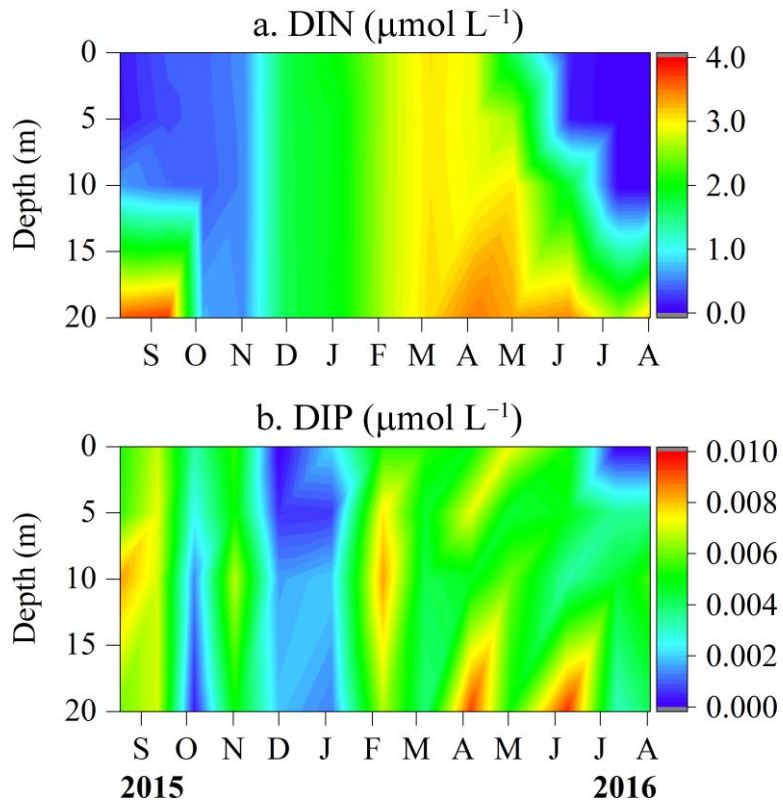
Effect variable	Causal variable	$t_p$	$\rho_{\max}$	$\Delta\rho$	$P_z$	$P_s$	Time-averaged interaction strength
HNF	SPcy	0	0.63	0.30	0.007	0.045	-0.002
	CPcy	-5	0.55	0.53	0.000	0.013	-0.119
	<i>Polyarthra</i>	-3	0.27	0.30	0.033	0.046	-0.297
	Cladocera	-1	0.51	0.53	0.000	0.004	-0.058
Keratella	CPcy	-6	0.48	0.42	0.001	0.017	0.483
	HNF	0	0.30	0.26	0.047	0.036	0.072
	<i>Polyarthra</i>	-5	0.27	0.32	0.023	0.046	-0.142
	Cladocera	-2	0.26	0.32	0.023	0.027	0.024
<i>Polyarthra</i>	HNF	-3	0.40	0.36	0.007	0.030	-0.035
	Cladocera	-5	0.77	0.60	0.000	0.001	0.331
Trichocerca	CPcy	0	0.51	0.49	0.000	0.009	-0.091
	HNF	-5	0.51	0.52	0.000	0.028	0.417
	Cladocera	-5	0.63	0.59	0.000	0.001	-0.006
	Copepod	-2	0.56	0.58	0.000	0.045	0.083
	<i>Polyarthra</i>	0	0.30	0.33	0.017	0.042	0.436
Cladocera	CPcy	-6	0.53	0.51	0.000	0.017	0.170
	HNF	-2	0.39	0.34	0.011	0.049	0.273
	<i>Polyarthra</i>	-6	0.55	0.37	0.002	0.006	0.400
	Trichocerca	-1	0.46	0.25	0.046	0.004	-0.154
Copepod	SPcy	0	0.56	0.39	0.001	0.003	0.321
	Cladocera	0	0.34	0.36	0.009	0.009	0.062
	Trichocerca	-2	0.44	0.36	0.006	0.003	-0.317

689  $t_p$ : cross-map lag;  $\rho_{\max}$ :  $\rho$  at maximal library size;  $\Delta\rho$ :  $\rho$  at maximum library size minus  
690  $\rho$  at minimum library size;  $P_z$ :  $P$  value of Fisher's z test;  $P_s$ :  $P$  value of surrogate test.

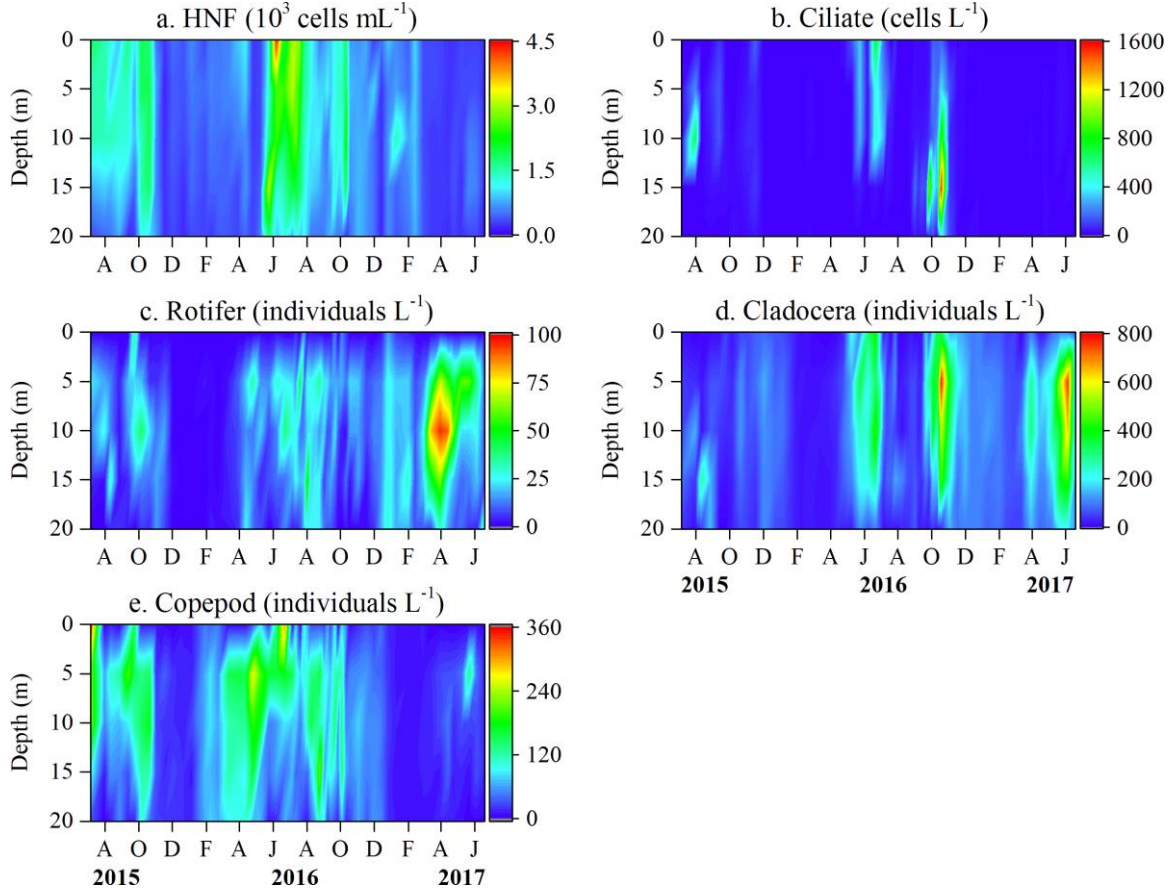
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692

693 Figure S1. Seasonal changes in vertical distributions of (a) dissolved inorganic nitrogen  
694 (DIN) and (b) dissolved inorganic phosphorus (DIP) concentrations.  
695

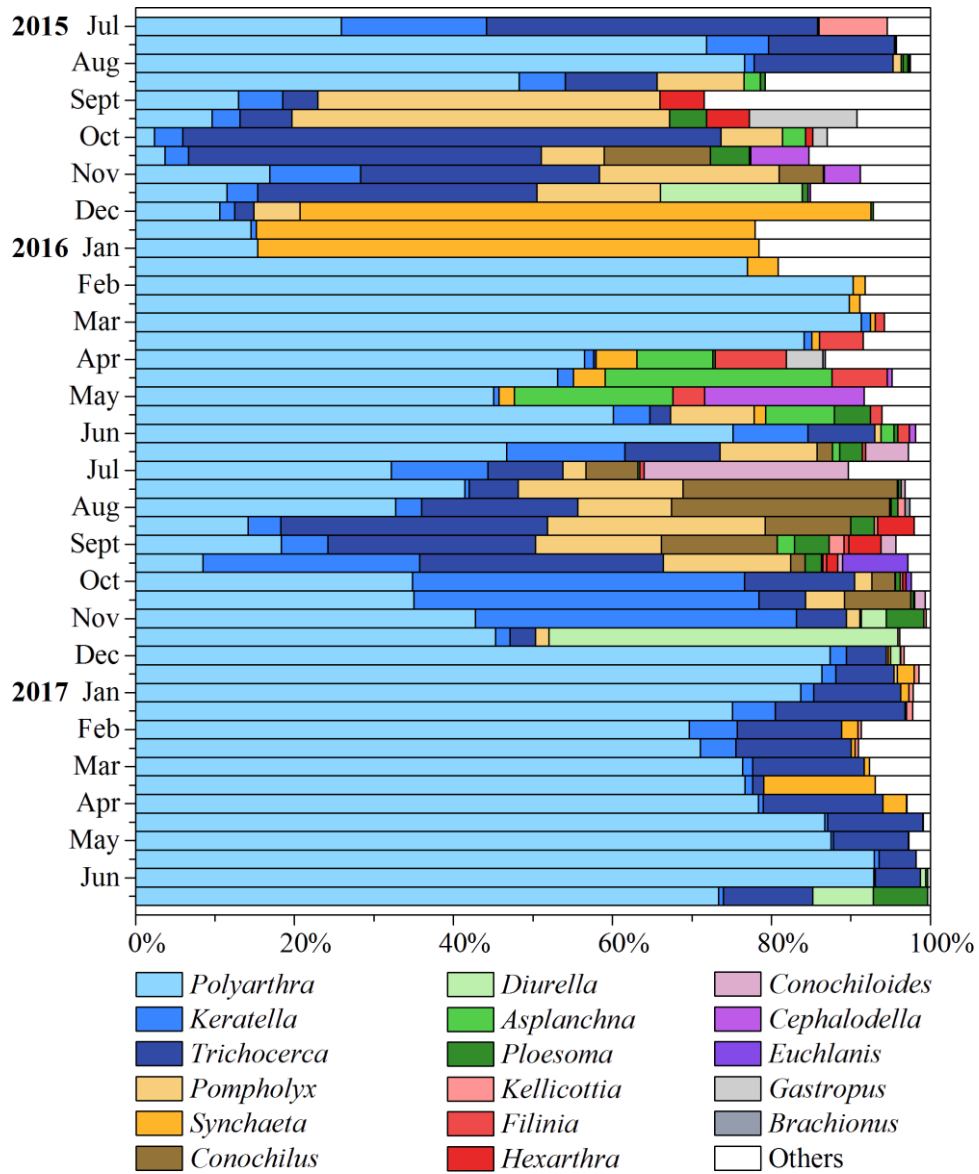


697 Figure S2. Seasonal changes in vertical abundances of picocyanobacteria grazers: (a)  
698 HNF, (b) ciliate, (c) rotifer, (d) cladocera, and (e) copepod.  
699

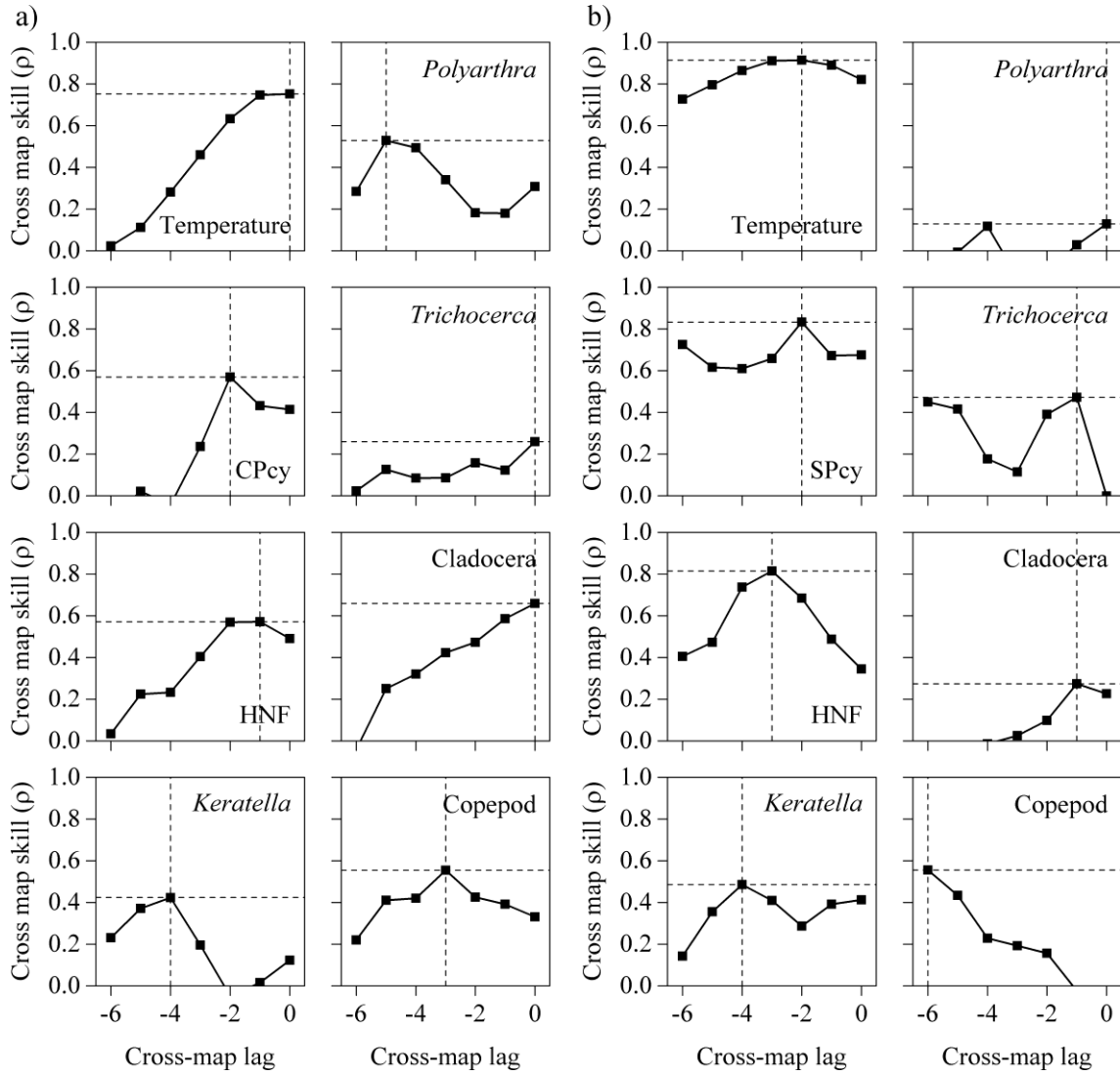


700 Figure S3. Seasonal changes in the genus-level composition of rotifers.

701



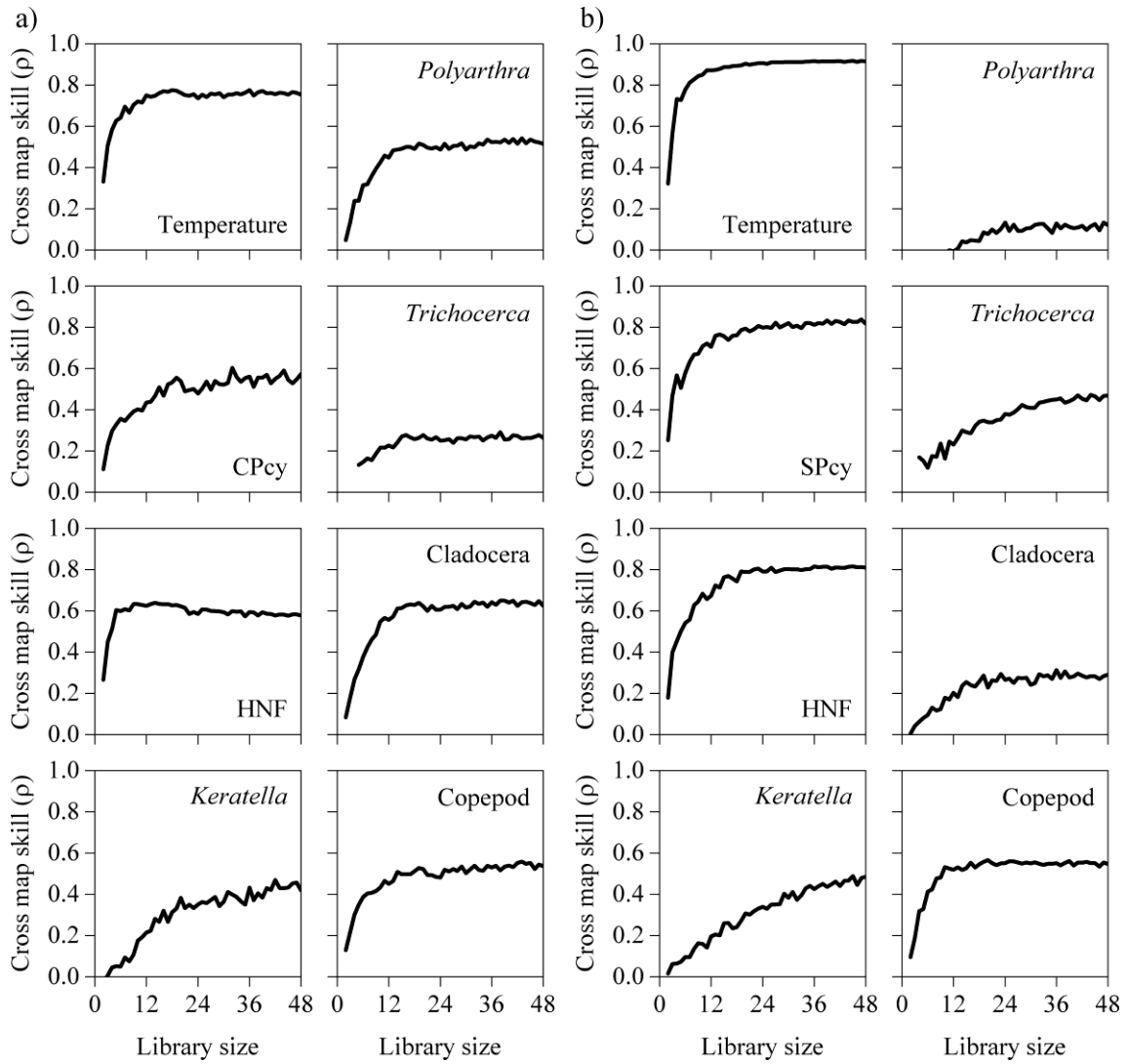
705 Figure S4. Time-delayed convergent cross mapping (CCM). (a) SPcy and (b) CPcy  
 706 cross-mapping causal variables. Crossed dash lines indicate the optimal cross-map lag  
 707 ( $t_p$ ), which maximizes cross map skill ( $\rho$ ).



708

709

710 Figure S5. CCM at the optimal cross-map lag. (a) SPcy and (b) CPcy cross-mapping  
 711 causal variables  
 712



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