# Beilinson-Bloch conjecture for unitary Shimura varieties

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# 1 The BSD conjecture

- $E: y^2 = x^3 + Ax + B$  an elliptic curve over  $\mathbb{Q}$ .
- Algebraic rank: the rank of the finitely generated abelian group  $E(\mathbb{Q})$

$$r_{\mathrm{alg}}(E) := \operatorname{rank} E(\mathbb{Q}).$$

• Analytic rank: the order of vanishing of L(E, s) at the central point s = 1

$$r_{\mathrm{an}}(E) := \mathrm{ord}_{s=1} L(E, s).$$

Conjecture (Birch–Swinnerton-Dyer, 1960s)

- (1) (Rank)  $r_{\rm an}(E) \stackrel{?}{=} r_{\rm alg}(E),$
- (2) (Leading coefficient) For  $r = r_{an}(E)$ ,

$$\frac{L^{(r)}(E,1)}{r!} \stackrel{?}{=} \frac{\Omega(E)R(E)\prod_{p}c_{p}(E)\cdot|\mathrm{III}(E)|}{|E(\mathbb{Q})_{\mathrm{tor}}|^{2}}$$

where  $R(E) = \det(\langle P_i, P_j \rangle_{\mathrm{NT}})_{r \times r}$  is the regulator for the Néron–Tate height pairing  $\langle , \rangle_{\mathrm{NT}} : E(\mathbb{Q}) \times E(\mathbb{Q}) \to \mathbb{R}$ 

and III(E) is the Tate–Shafarevich group.

Remark. (Tate, The Arithmetic of Elliptic Curves, 1974)

This remarkable conjecture relates the behavior of a function L at a point where it is not at present known to be defined to the order of a group III which is not known to be finite!

### 2 What is known about BSD?

The BSD conjecture is still widely open in general, but much progress has been made in the rank 0 or 1 case.

Theorem (Gross-Zagier, Kolyvagin, 1980s)

$$r_{\rm an}(E) = 0 \Rightarrow r_{\rm alg}(E) = 0, \quad r_{\rm an}(E) = 1 \Rightarrow r_{\rm alg}(E) = 1$$

*Remark.* When  $r = r_{an}(E) \in \{0, 1\}$ , many cases of the formula for  $L^{(r)}(E, 1)$  are known. The proof combines two inequalities:

(1) (Gross–Zagier formula)

$$r_{\rm an}(E) = 1 \Rightarrow r_{\rm alg}(E) \ge 1.$$

(2) (Kolyvagin's Euler system)

$$r_{\mathrm{an}}(E) \in \{0, 1\} \Rightarrow r_{\mathrm{alg}}(E) \le r_{\mathrm{an}}(E).$$

Both steps rely on Heegner points on modular curves.

#### 3 The Beilinson–Bloch conjecture

- X: smooth projective variety over a number field K.
- $CH^m(X)$ : the Chow group of algebraic cycles of codimension *m* on *X*.
- $CH^m(X)^0 \subseteq CH^m(X)$ : the subgroup of geometrically cohomologically trivial cycles.
- Beilinson-Bloch height pairing

$$\langle , \rangle_{\mathrm{BB}} : \mathrm{CH}^m(X)^0 \times \mathrm{CH}^{\dim X + 1 - m}(X)^0 \to \mathbb{R}$$

•  $L(H^{2m-1}(X), s)$ : the motivic *L*-function for  $H^{2m-1}(X_{\overline{K}}, \mathbb{Q}_{\ell})$ .

Conjecture (Beilinson-Bloch, 1980s)

- (1) (Rank)  $\operatorname{ord}_{s=m} L(H^{2m-1}(X), s) \stackrel{?}{=} \operatorname{rank} \operatorname{CH}^m(X)^0.$
- (2) (Leading coefficient)  $L^{(r)}(H^{2m-1}(X),m) \stackrel{?}{\sim} \det(\langle Z_i, Z'_i \rangle_{BB})_{r \times r}$

Example  $(X/K = E/\mathbb{Q} \text{ and } m = 1)$ 

BB recovers the BSD conjecture as

$$\operatorname{CH}^{1}(E)^{0} \simeq E(\mathbb{Q}), \quad L(H^{1}(E), s) = L(E, s), \quad \langle , \rangle_{\operatorname{BB}} = -\langle , \rangle_{\operatorname{NT}}$$

Remark. In general both sides are only conditionally defined!

(1)  $L(H^{2m-1}(X), s)$  is not known to be analytically continued to the central point s = m.

(2)  $CH^m(X)^0$  is not known to be finitely generated.

# 4 Testable BB conjecture: X = Shimura varieties

• Langlands–Kottwitz/Langlands–Rapoport: express the motivic *L*-functions of Shimura varieties  $X = Sh_G$ , as a product of automorphic *L*-functions  $L(s, \pi)$  on *G*,

$$L(H^{2m-1}(X), s+m) = \prod_{\pi} L(s+1/2, \pi).$$

- Assume from now (the most interesting case):
  - (1)  $2m 1 = \dim X$  (middle cohomology).
  - (2)  $\pi$  is tempered cuspidal.
- Analytic properties of  $L(s, \pi)$  can be established.
- $CH^m(X)^0$  is not known to be finitely generated, but we can test if it is nontrivial.

Unconditional prediction of BB conjecture, in the same spirit of Gross-Zagier:

Conjecture (Beilinson-Bloch for Shimura varieties)

$$\operatorname{ord}_{s=1/2} L(s,\pi) = 1 \implies \operatorname{rank} \operatorname{CH}^m(X)^0_{\pi} \ge 1.$$

Remark. Conjecture was only known for:

- (1) X =modular curves (Gross–Zagier)
- (2) X = Shimura curves (S. Zhang, Kudla–Rapoport–Yang, Yuan–Zhang–Zhang, Liu).
- (3)  $X = U(1,1) \times U(2,1)$  Shimura threefolds and  $\pi$  = endoscopic (Xue).

Theorem A (L.-Liu [1], impressionist version)

Conjecture holds for U(2m - 1, 1)-Shimura varieties and  $\pi$  satisfying local assumptions.

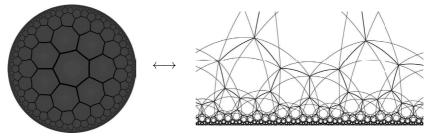
- 5 The Hermitian symmetric space for U(n-1, 1)
  - Hermitian symmetric space for U(n 1, 1),

$$\mathbb{D}_{n-1} := \{ z \in \mathbb{C}^{n-1} : |z| < 1 \} \cong \frac{\mathrm{U}(n-1,1)}{\mathrm{U}(n-1) \times \mathrm{U}(1)}.$$

• We have an action

$$U(n-1,1) \curvearrowright \mathbb{D}_{n-1}$$
.

• Notice  $\mathbb{D}_1$  is isomorphic to the upper half plane  $\mathbb{H}$ .



#### 6 Unitary Shimura varieties X

- E/F: CM extension of a totally real number field.
- $\mathbb{V}$ : totally definite *incoherent*  $\mathbb{A}_E/\mathbb{A}_F$ -*hermitian space* of rank *n*.
- Any place  $w \mid \infty$  of F gives a nearby *coherent* E/F-hermitian space V such that

 $V_v \cong \mathbb{V}_v, v \neq w$ , but  $V_w$  has signature (n-1, 1).

- $G = \mathsf{U}(\mathbb{V}).$
- $K \subseteq G(\mathbb{A}_F^{\infty}) \cong U(V)(\mathbb{A}_F^{\infty})$ : open compact subgroup.
- X: unitary Shimura variety of dimension n − 1 over E such that for any place w|∞ inducing ι<sub>w</sub> : E ⇔ C,

$$X(\mathbb{C}) = \mathsf{U}(V)(F) \setminus [\mathbb{D}_{n-1} \times \mathsf{U}(V)(\mathbb{A}_F)^{\infty}/K].$$

- *X* is a Shimura variety *of abelian type*.
- Its étale cohomology and *L*-function are computed in the forthcoming work of Kisin–Shin–Zhu, under the help of the endoscopic classification for unitary groups (Mok, Kaletha–Minguez–Shin–White).

### 7 Automorphic representations $\pi$

- $W = E^{2m}$ : the standard E/F-skew-hermitian space with matrix  $\begin{pmatrix} 0 & 1_m \\ -1_m & 0 \end{pmatrix}$ .
- U(W): quasi-split unitary group of rank n = 2m.
- $\pi$ : cuspidal automorphic representation of  $U(W)(\mathbb{A}_F)$ .

### Assumptions.

- (1) E/F is unramified at all finite places (so  $F \neq \mathbb{Q}$ ), and split at all 2-adic places. Assume that  $E/\mathbb{Q}$  is Galois or contains an imaginary quadratic field (for simplicity).
- (2) For  $v \mid \infty, \pi_v$  is the holomorphic discrete series with Harish-Chandra parameter  $\{\frac{n-1}{2}, \frac{n-3}{2}, \dots, \frac{-n+3}{2}, \frac{-n+1}{2}\}$ .
- (3) For  $v \nmid \infty, \pi_v$  is tempered.
- (4) For  $v \nmid \infty$  split in E,  $\pi_v$  is a principal series.
- (5) For  $v \nmid \infty$  inert in E,  $\pi_v$  is unramified or almost unramified. If  $\pi_v$  is almost unramified, then v is unramified over  $\mathbb{Q}$ .

#### Remark.

- (1)  $\pi_{\nu}$  is *almost unramified*:  $\pi_{\nu}$  has a nonzero Iwahori-fixed vector and its Satake parameter contains  $\{q_{\nu}, q_{\nu}^{-1}\}$  and 2m 2 complex numbers of norm 1. Equivalently, the theta lift of  $\pi_{\nu}$  to the non-split local hermitian space has nontrivial invariants under the stabilizer of an almost self-dual lattice.
- (2) Let  $S_{\pi} = \{v \text{ inert} : \pi_v \text{ almost unramified}\}$ . Then under *Assumptions*, the global root number for the (complete) standard *L*-function  $L(s, \pi)$  equals

$$\varepsilon(\pi) = (-1)^{|S_{\pi}|}$$

by the epsilon dichotomy (Harris-Kudla-Sweet, Gan-Ichino).

#### 8 Main result A: BB conjecture

When  $\operatorname{ord}_{s=1/2} L(s, \pi) = 1$ :

- $\varepsilon(\pi) = -1$  and so  $|S_{\pi}|$  is odd.
- $\mathbb{V} = \mathbb{V}_{\pi}$ : totally definite incoherent space of rank n = 2m such that

 $\varepsilon(\mathbb{V}_v) = -1$  exactly for  $v \in S_{\pi}$ .

- Associated unitary Shimura variety *X* of dimension n 1 = 2m 1 over *E*.
- $\operatorname{CH}^m(X)^0_{\pi}$  the localization of  $\operatorname{CH}^m(X)^0_{\mathbb{C}}$  at the maximal ideal  $\mathfrak{m}_{\pi}$  of the Hecke algebra associated to  $\pi$ .

Theorem A (L.-Liu [1], 2020)

Let  $\pi$  be a cuspidal automorphic representation of  $U(W)(\mathbb{A}_F)$  satisfying *Assumptions*. Then the implication

 $\operatorname{ord}_{s=1/2} L(s,\pi) = 1 \Longrightarrow \operatorname{rank} \operatorname{CH}^m(X)^0_{\pi} \ge 1$ 

holds when the level  $K \subseteq G(\mathbb{A}_F^{\infty})$  is sufficiently small.

Nontrivial cycles constructed via the method of *arithmetic theta lifting* (Kudla, Liu). Next: a baby example of Heegner points.

#### 9 The Gross–Zagier formula

• Modular curve

$$X_0(N) = \Gamma_0(N) \setminus \mathbb{H} \cup \{ \text{cusps} \} = \{ (E_1 \xrightarrow[N-\text{isogeny}]{\text{cyclic}} E_2) \}$$

• For certain imaginary quadratic fields  $K = \mathbb{Q}(\sqrt{-d})$ , get a *Heegner divisor* 

$$Z(d) := \{(E_1 \to E_2) \text{ with endomorphisms by } O_K\} \in \operatorname{CH}^1(X_0(N))$$

- The theory of complex multiplication: Z(d) is defined over *K*.
- $E/\mathbb{Q}$  elliptic curve of conductor N has a modular parametrization

$$\varphi_E: X_0(N) \to E.$$

• Get a Heegner point

$$P_K \in \varphi_E(Z(d) - \deg Z(d) \cdot \infty) \in E(K).$$

Theorem (Gross–Zagier, 1980s)

Up to simpler nonzero factors,

$$L'(E_K,1) \sim \langle P_K, P_K \rangle_{\mathrm{NT}}.$$

Remark.

- (1) Choosing *K* suitably gives the implications  $r_{an}(E) = 1 \Rightarrow r_{alg}(E) \ge 1$ .
- (2) BSD formula for  $E_K$  reduces to a precise relation between  $P_K$  and  $III(E_K)$ .

# 10 Generating series of Heegner points

Take  $P_d = \operatorname{tr}_{K/\mathbb{Q}} P_K \in E(\mathbb{Q})$ . It may depend on the choice of d, even when  $E(\mathbb{Q}) \cong \mathbb{Z}$ . Example  $(E = 37a1 = X_0^+(37) : y^2 + y = x^3 - x)$ 

- $E(\mathbb{Q}) \cong \mathbb{Z}$  with a generator P = (0, 0).
- *E* corresponds to the modular form  $f \in S_2(37)$ ,

$$f = \sum_{n \ge 1} a_n q^n = q - 2q^2 - 3q^3 + 2q^4 - 2q^5 + 6q^6 - q^7 + 6q^9 + 4q^{10} - 5q^{11} - 6q^{12} - 2q^{13} + \cdots$$

• Table of Heegner points  $P_d$ :

	d	3	4	7	11	12	16	27		67	
	$P_d$	(0, -1)	(0, -1)	(0, 0)	(0, -1)	(0, 0)	(1, 0)	(-1, -1)		(6, -15)	
	$c_d$	- 1	- 1	1	- 1	1	2	3	• • •	- 6	
١	where $P_d = c_d \cdot P$ .										

Miracle. The coefficients  $c_d$  appear as the Fourier coefficients of  $\phi \in S^+_{3/2}(4 \cdot 37)$ ,

$$\phi = \sum_{d \ge 1} c_d q^d = -q^3 - q^4 + q^7 - q^{11} + q^{12} + 2q^{16} + 3q^{27} + \dots - 6q^{67} + \dots,$$

which maps to f under the Shimura-Waldspurger-Kohnen correspondence

$$\theta: S_{3/2}^+(4N) \to S_2(N), \quad \theta(\phi) = f.$$

#### 11 Arithmetic theta lifting

• The generating series of Heegner points

$$\sum_{d\geq 1} P_d \cdot q^d = \sum_{d\geq 1} c_d P \cdot q^d = \phi \cdot P \in S^+_{3/2}(4 \cdot 37) \otimes E(\mathbb{Q})_{\mathbb{C}}$$

is a modular form valued in  $E(\mathbb{Q})_{\mathbb{C}}$ .

• More generally, we may define a generating series of Heegner divisors on  $X_0(N)$ ,

$$Z:=\sum_d Z(d)q^d\in M_{3/2}(4N)\otimes \operatorname{CH}^1(X_0(N))_{\mathbb{C}},$$

which may be viewed as an arithmetic theta series.

• Use Z as the kernel to define *arithmetic theta lifting* 

$$\Theta(\phi) := (Z, \phi)_{\operatorname{Pet}} \in \operatorname{CH}^1(X_0(N))^0_{f,\mathbb{C}} = E(\mathbb{Q})_{\mathbb{C}}.$$

• Now  $\Theta(\phi)$  does not depend on any particular choice of *d* or *K*.

Theorem (Gross-Kohnen-Zagier, 1980s)

Up to simpler nonzero factors,

$$L'(E,1) \sim \langle \Theta(\phi), \Theta(\phi) \rangle_{\mathrm{NT}}.$$

# 12 Special cycles on X

For any y ∈ V with (y, y) > 0. Its orthogonal complement V<sub>y</sub> ⊆ V has rank n − 1. The embedding U(V<sub>y</sub>) → U(V) defines a Shimura subvariety of codimension 1

$$\operatorname{Sh}_{\operatorname{U}(V_{\mathcal{V}})} \to X = \operatorname{Sh}_{\operatorname{U}(V)}$$
.

• For any  $x \in V(\mathbb{A}_F^{\infty})$  with  $(x, x) \in F_{>0}$ , there exists  $y \in V$  and  $g \in U(V)(\mathbb{A}_F^{\infty})$  such that y = gx. Define the the *special divisor* 

$$Z(x) \to X$$

to be *g*-translate of  $Sh_{U(V_y)}$ .

• For any  $\mathbf{x} = (x_1, \dots, x_m) \in V(\mathbb{A}_F^{\infty})^m$  with  $T(\mathbf{x}) = ((x_i, x_j)) \in \operatorname{Herm}_m(F)_{>0}$ , define the *special cycle* (of codimension *m*)

$$Z(\mathbf{x}) = Z(x_1) \cap \cdots \cap Z(x_m) \to X.$$

• More generally, for a Schwartz function  $\varphi \in \mathcal{S}(V(\mathbb{A}_F^{\infty})^m)^K$  and  $T \in \operatorname{Herm}_m(F)_{>0}$ , define the weighted special cycle

$$Z_{\varphi}(T) = \sum_{\substack{\mathbf{x} \in K \setminus V(\mathbb{A}_F^{\infty})^m \\ T(\mathbf{x}) = T}} \varphi(\mathbf{x}) Z(\mathbf{x}) \in \mathrm{CH}^m(X)_{\mathbb{C}}.$$

• With extra care, we can also define  $Z_{\varphi}(T) \in CH^m(X)_{\mathbb{C}}$  for any  $T \in Herm_m(F)_{\geq 0}$ .

#### 13 Arithmetic theta lifting

Define Kudla's generating series of special cycles

$$Z_{\varphi}(\tau) = \sum_{T \in \operatorname{Herm}_m(E)_{\geq 0}} Z_{\varphi}(T) q^T.$$

Conjecture (Kudla's modularity, 1990s)

The formal generating series  $Z_{\varphi}(\tau)$  converges absolutely and defines a modular form on U(W) valued in  $CH^m(X)_{\mathbb{C}}$ .

#### Remark.

- (1) The analogous modularity in Betti cohomology is known (Kudla–Millson, 1980s).
- (2) Conjecture is known for m = 1. For general *m*, the modularity follows from the absolute convergence (Liu, 2011).
- (3) The analogous conjecture for orthogonal Shimura varieties over Q is known (Bruinier–Raum, 2014).
- (4) Conjecture is known when E/F is a norm-Euclidean imaginary quadratic field (Xia, 2021).

Assuming Kudla's modularity conjecture, for  $\phi \in \pi$ , define *arithmetic theta lifting* 

 $\Theta_{\varphi}(\phi) = (Z_{\varphi}(\tau), \phi)_{\text{Pet}} \in \text{CH}^m(X)^0_{\pi}.$ 

# 14 Main result B: Arithmetic inner product formula

Theorem B (L.-Liu [1], 2020)

Let  $\pi$  be a cuspidal automorphic representation of  $U(W)(\mathbb{A}_F)$  satisfying *Assumptions*. Assume  $\varepsilon(\pi) = -1$ . Assume *Kudla's modularity*. Then for any  $\phi \in \pi$  and  $\varphi \in \mathcal{S}(\mathbb{V}(\mathbb{A}_F^{\infty})^m)$ , up to simpler factors depending on  $\phi$  and  $\varphi$ ,

$$L'(1/2,\pi) \sim \langle \Theta_{\varphi}(\phi), \Theta_{\varphi}(\phi) \rangle_{\mathrm{BB}}.$$

Remark. The simpler factors can be further made explicit. For example, if

- $\pi$ : unramified or almost unramified at all finite places,
- $\phi \in \pi$ : holomorphic newform such that  $(\phi, \overline{\phi})_{\pi} = 1$ ,
- $\varphi$ : characteristic function of self-dual or almost self-dual lattices at all finite places.

Then

$$\frac{L'(1/2,\pi)}{\prod_{i=1}^{2m}L(i,\eta_{E/F}^{i})}C_{m}^{[F:\mathbb{Q}]}\prod_{\nu\in S_{\pi}}\frac{(-1)^{m}q_{\nu}^{m-1}(q_{\nu}+1)}{(q_{\nu}^{2m-1}+1)(q_{\nu}^{2m}-1)} = \langle\Theta_{\varphi}(\phi),\Theta_{\varphi}(\phi)\rangle_{\mathrm{BB}},$$

where  $C_m = 2^{m(m-1)} \pi^{m^2} \frac{\Gamma(1) \cdots \Gamma(m)}{\Gamma(m+1) \cdots \Gamma(2m)}$ .

Remark.

- Riemann hypothesis predicts  $L'(1/2, \pi) \ge 0$ .
- Beilinson's Hodge index conjecture predicts  $(-1)^m \langle \Theta_{\varphi}(\phi), \Theta_{\varphi}(\phi) \rangle_{BB} \ge 0$ .

Compatible with our formula!

#### 15 Summary

BSD conjecture	BB conjecture			
Modular curves $X_0(N)$	Unitary Shimura varieties X			
Heegner points $Z(d)$	Special cycles $Z_{\varphi}(T)$			
$Z = \sum_{d} Z(d) q^{d} \in \operatorname{CH}^{1}(X_{0}(N))_{\mathbb{C}}$	$Z_arphi = \sum_T Z_arphi(T) q^T \in \mathrm{CH}^m(X)_\mathbb{C}$			
$\Theta(\phi)\in E(\mathbb{Q})_{\mathbb{C}}$	$\Theta_\varphi(\phi)\in {\rm CH}^m(X)^0_\pi$			
Gross–Zagier formula	Arithmetic inner product formula			
$L'(E,1) \sim \langle \Theta(\phi), \Theta(\phi) \rangle_{\mathrm{NT}}$	$L'(1/2,\pi) \sim \langle \Theta_{\varphi}(\phi), \Theta_{\varphi}(\phi) \rangle_{\mathrm{BB}}$			

# 16 Proof strategy: doubling method

• Doubling method (Piatetski-Shapiro-Rallis, Yamana)

$$L(s+1/2,\pi) \sim (\phi \otimes \overline{\phi}, \operatorname{Eis}(s,g))_{U(W)^2},$$

where Eis(s, g) is a Siegel Eisenstein series on  $U(W \oplus W)$ .

• By definition  $\Theta_{\varphi}(\phi) = (Z_{\varphi}, \phi)_{\text{Pet}}$  gives

$$\langle \Theta_{\varphi}(\phi), \Theta_{\varphi}(\phi) \rangle_{\mathrm{BB}} = (\phi \otimes \overline{\phi}, \langle Z_{\varphi}, Z_{\varphi} \rangle_{\mathrm{BB}})_{\mathrm{U}(W)^2}.$$

• To prove  $L'(1/2,\pi) \sim \langle \Theta_{\varphi}(\phi), \Theta_{\varphi}(\phi) \rangle_{BB}$ , it suffices to compare

$$\operatorname{Eis}'(0,g) \stackrel{\scriptscriptstyle f}{=} \langle Z_{\varphi}, Z_{\varphi} \rangle_{\operatorname{BB}}$$

This can be viewed as an *arithmetic Siegel–Weil formula*.

• The Beilinson–Bloch height pairing is a sum of local indexes

$$\langle Z_arphi, Z_arphi 
angle_{ ext{BB}} = \sum_
u \langle Z_arphi, Z_arphi 
angle_{ ext{BB},
u}.$$

• The nonsingular Fourier coefficient decomposes as

$$\operatorname{Eis}_T'(0,g) = \sum_{v} \operatorname{Eis}_{T,v}'(0,g)$$

#### 17 Proof strategy: comparison

• Nonsingular terms: it suffices to compare

$$\operatorname{Eis}_{T,\nu}'(0,g) \stackrel{?}{=} \langle Z_{\varphi}, Z_{\varphi} \rangle_{\operatorname{BB},T,\nu}.$$

- Gross–Zagier (m = 1): compute both sides explicitly.
- Explicit computation infeasible for general *m*.
- $v \nmid \infty$ 
  - (1) relate  $\langle Z_{\varphi}, Z_{\varphi} \rangle_{BB,T,\nu}$  to arithmetic intersection numbers.
  - (2) recent proof of Kudla-Rapoport conjecture (L.-Zhang).
- $v \mid \infty$ :
  - (1) archimedean arithmetic Siegel–Weil (Liu, Garcia–Sankaran).
  - (2) avoidance of holomorphic projections.

To finish:

- Kill singular terms on both sides: Prove the existence of special  $\varphi \in \mathcal{S}(V(\mathbb{A}_F^{\infty})^m)$  with *regular support* at two split places with nonvanishing local zeta integrals.
- Theorem B for special  $\varphi$ : comparison of nonsingular terms.
- Theorem B for arbitrary  $\varphi$ : *multiplicity one* of doubling method (tempered case).
- Theorem A: same computation without Kudla's modularity (proof by contradiction).

### 18 Remarks on Assumptions

- When v ∤∞, the local index ⟨, ⟩<sub>BB,v</sub> is defined as a ℓ-adic linking number. It is defined on a certain subspace CH<sup>m</sup>(X)<sup>⟨ℓ⟩</sup> ⊆ CH<sup>m</sup>(X)<sup>0</sup> (conjecturally equal) and its independence on ℓ is not known in general.
- Find a Hecke operator  $t \notin \mathfrak{m}_{\pi}$  such that  $t^*Z \in CH^m(X)^{\langle \ell \rangle}$ , so BB height is defined.
- Find another Hecke operator s ∉ m<sub>π</sub>, so BB height of s<sup>\*</sup>t<sup>\*</sup>Z can be computed in terms of the *arithmetic intersection number* of a nice extension Z on X.
- Here  $\mathcal{X}$  is a regular integral model of a related unitary Shimura variety of PEL type (Kudla–Rapoport, Rapoport–Smithling–Zhang).
- *Kudla–Rapoport conjecture*: arithmetic intersection number equals  $\operatorname{Eis}_{T,v}'(0,g)$ .
- The  $\ell$ -independence of  $\langle Z_{\varphi}, Z_{\varphi} \rangle_{BB,T,\nu}$  then follows.
- Construction of Hecke operators and the proof of Kudla–Rapoport conjecture requires *Assumptions*.
- More recent work ([2]): remove some of *Assumptions*, and extend Kudla–Rapoport conjecture to include ramified places.

# References

[1]: Chao Li and Yifeng Liu. Chow groups and L-derivatives of automorphic motives for unitary groups. arXiv e-prints, page arXiv:2006.06139, June 2020.

[2]: Chao Li and Yifeng Liu. Chow groups and L-derivatives of automorphic motives for unitary groups, II. arXiv e-prints, page arXiv:2101.09485, January 2021.

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