

1 Flavonoids and saponins in plant rhizospheres

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3 **Flavonoids and saponins in plant rhizospheres: roles, dynamics, and the potential**
4 **for agriculture**

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6 Akifumi Sugiyama

7

8 Research Institute for Sustainable Humanosphere, Kyoto University, Gokasho, Uji, 611-
9 0011, Japan

10

11 ***Corresponding author: Akifumi Sugiyama**

12 Address: Laboratory of Plant Gene Expression, Research Institute for Sustainable
13 Humanosphere, Kyoto University, Uji 611-0011, Japan.

14 Tel: +81-774-38-3617, Fax: +81-774-38-3623, E-mail: sugiyama.akifumi.4m@kyoto-
15 u.ac.jp

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20 **Abstract**

21 Plants are in constant interaction with a myriad of soil microorganisms in the rhizosphere,
22 an area of soil in close contact with plant roots. Recent research has highlighted the
23 importance of plant specialized metabolites (PSMs) in shaping and modulating the
24 rhizosphere microbiota; however, the molecular mechanisms underlying the
25 establishment and function of the microbiota mostly remain unaddressed. Flavonoids and
26 saponins are a group of PSMs whose biosynthetic pathways have largely been revealed.
27 Although these PSMs are abundantly secreted into the rhizosphere and exert various
28 functions, the secretion mechanisms have not been clarified. This review summarizes the
29 roles of flavonoids and saponins in the rhizosphere with a special focus on interactions
30 between plants and the rhizosphere microbiota. Furthermore, this review introduces
31 recent advancements in the dynamics of these metabolites in the rhizosphere and indicates
32 potential applications of PSMs for crop production and discusses perspectives in this
33 emerging research field.

34

35 **Keywords**

36 flavonoid, holobiont, rhizosphere, saponin, soybean

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38

39 **Introduction**

40 Plants produce a diverse array of low molecular weight compounds, the number of which
41 exceeds 200,000 (Ferne 2007; Afendi et al. 2012). A large portion of these metabolites
42 are not necessarily essential for growth and development but often have biological
43 activities such as protection against biotic and abiotic stress and modulation of
44 interactions with other organisms. These metabolites are called plant specialized
45 metabolites (PSMs), and it is thought that plants acquired the ability to biosynthesize
46 these metabolites during evolution for adaptation to the ecosystem (Kim and Buell 2015;
47 Lichman et al. 2020).

48 In nature, plants are in constant interaction with a myriad of microorganisms
49 ranging from pathogens, commensals, and beneficial microbes. It is believed that plants
50 have evolved to benefit from beneficial microorganisms while protecting themselves
51 from pathogens; however, how to distinguish these microbes at the molecular level
52 remains unclear. How do plants engage with beneficial microorganisms while at the same
53 time restricting pathogens is among the top unanswered questions about molecular plant-
54 microbe interactions (Harris et al. 2020), and answering this question is necessary for the
55 utilization of these microbes for sustainable crop production (Finkel et al. 2017; Zhang et
56 al. 2017). The rhizosphere, the region of soil in proximity to plant roots, is a hotspot for
57 these interactions, and it harbors unique repositories of microbes and metabolites
58 (Hartmann et al. 2008). Plant roots exude a substantial amount (up to 40%) of
59 photosynthesis-derived carbons into the rhizosphere (Lynch and Whipps 1990; Badri and
60 Vivanco 2009; Haichar et al. 2016). The majority of them are primary metabolites
61 including sugars, amino acids, and organic acids, which nourish rhizosphere microbes
62 (Canarini et al. 2019). In addition to the primary metabolites, plant roots secrete PSMs

63 that have important roles in the interactions between plants and soil microbes.

64 Root-derived metabolites, along with the physicochemical properties of soil and
65 environment factors, influence soil microbial communities and affect the formation of
66 rhizosphere microbiota characterized by abundant and active microbial communities
67 having reduced diversity, as compared with bulk soil (Wang et al. 2020; Vieira et al. 2020).
68 Recent evidence supports the importance of the rhizosphere microbiota in plant growth,
69 immunity, and fitness, emphasizing the potential for utilization in crop production (Canto
70 et al. 2020; Compant et al. 2019). The involvement of PSMs in shaping the
71 root/rhizosphere microbiota was demonstrated using plant mutants disrupted in a
72 particular biosynthetic pathway and has been summarized in recent reviews (Pascale et
73 al. 2020; Jacoby et al. 2021; Pang et al. 2021). In addition to the loss-of-function approach,
74 we have employed an artificial rhizosphere treatment method to reveal the functions of
75 flavonoids and saponins in shaping the rhizosphere microbiota (Okutani et al. 2020;
76 Fujimatsu et al. 2020) (Nakayasu et al. 2021) (Figure 1). In this review, we focus on two
77 types of PSMs—flavonoids and saponins—that are abundantly secreted from roots to
78 summarize their functions and dynamics in the rhizosphere and highlight future
79 challenges in harnessing rhizosphere microbiota for plant robustness and sustainable crop
80 production.

81

82 **Flavonoids**

83 *Synthesis and accumulation*

84 Flavonoids are phenolic compounds comprising more than 8,000 distinct molecules
85 (Pietta 2000). Flavonoids confer protection from UV-B radiation, pathogen, and
86 herbivores, attract pollinators, regulate auxin transport, and modulate reactive oxygen

87 species and fertility in plants (Ferreya et al. 2012). Additionally, flavonoids are secreted
88 from roots and exert diverse roles in the rhizosphere (see below). Flavonoids in plants are
89 biosynthesized through the phenylpropanoid and the acetate-malonate pathways to form
90 molecules composed of 15 carbon atoms arranged as C₆-C₃-C₆. Chalcone synthase (CHS)
91 catalyzes the first step in flavonoid biosynthesis using *p*-coumaroyl-CoA and malonyl-
92 CoA as substrates (Yonekura-Sakakibara et al. 2019). Based on the oxidation and
93 substitution of the C (C₃) ring, flavonoids are further categorized into the subgroups,
94 including anthocyanins, flavonols, flavanones, flavones, flavanols, isoflavones,
95 chalcones, catechins, and aurones (Panche et al. 2016). Isoflavones are predominantly
96 found in legume plants (Figure 2). Daidzein and genistein in soybean are biosynthesized
97 from liquiritigenin and naringenin, respectively, by isoflavone synthase (IFS), a P450
98 protein, to form 2-hydroxyisoflavanone (Akashi et al. 1999; Jung et al. 2000), followed
99 by dehydration to produce daidzein and genistein either spontaneously or via 2-
100 hydroxyisoflavanone dehydratase (HID) (Akashi et al. 2005). Glycitein is biosynthesized
101 from liquiritigenin by flavonoid 6-hydroxylase to form 6-hydroxyliquiritigenin (Latunde-
102 Dada et al. 2001). 6-hydroxyliquiritigenin is then converted to 6-hydroxydaidzein by IFS
103 and HID and subsequently converted to glycitein by isoflavone *O*-methyltransferase
104 (Uchida et al. 2020).

105 Although direct biochemical evidence is lacking, most flavonoids in plant cells
106 are assumed to be accumulated in vacuoles in the form of glucosides. Vacuolar
107 sequestration of flavonoids involves transporters, glutathione S-transferase, and vesicle
108 trafficking (Zhao 2015). Both ATP-binding cassette (ABC) transporters and multidrug
109 and toxin extrusion (MATE) transporters have been identified as being involved in the
110 vacuolar sequestration of flavonoids (Zhao 2015). Most of the research on vacuolar

111 sequestration has analyzed the sequestration of anthocyanins and proanthocyanidins
112 (Zhao 2015; Shitan and Yazaki 2020); however, biochemical transport analysis using
113 yeast membrane vesicles revealed that AtABCC2 of *Arabidopsis thaliana* transports
114 flavonoid glucosides such as luteolin 7-*O*-glucoside and apigenin 7-*O*-glucoside, in
115 addition to anthocyanins (Behrens et al. 2019), and MtMATE2 of *Medicago truncatula*
116 transports apigenin 7-*O*-glucoside, apigenin 7-*O*-glucoside malonate, kaempferol 7-*O*-
117 glucoside, and kaempferol 7-*O*-glucoside malonate, in addition to anthocyanins (Zhao et
118 al. 2011).

119

120 *Secretion into the rhizosphere*

121 Root exudates and root border cells are the primary sources of rhizosphere flavonoids
122 (Sasse et al. 2018; Hassan and Mathesius 2012). ABC transporters have been suggested
123 to mediate the secretion of flavonoids into the rhizosphere. A biochemical transport assay
124 using plasma membrane vesicles of soybean revealed the involvement of ABC
125 transporters in the secretion of genistein, an isoflavone acting as a signal for nod gene
126 expression in rhizobia (Sugiyama et al. 2007). Tobacco BY-2 cells expressing
127 MtABCG10 showed the efflux of isoliquiritigenin from cells (Biala et al. 2017).

128 Soybean is a suitable model plant to study flavonoid secretion because of its
129 relatively large leaves and roots and the importance of secreted flavonoids in its
130 interactions with rhizosphere microbes (Kosslak et al. 1987; Sugiyama 2019; Okutani et
131 al. 2020). Three types of isoflavone aglycones—daidzein, genistein, and glycitein—are
132 biosynthesized in the cytosol of soybean, and their glucosides and malonylglucosides are
133 presumably accumulated in the vacuoles (Figure 2A). These aglycones are also secreted
134 from roots and found in root exudates (Pueppke et al. 1998). In addition to the ATP-

135 dependent active transport of isoflavone aglycones, secretion of isoflavone glucosides
136 stored in vacuoles into the apoplast has also been proposed (Suzuki et al. 2006; Sugiyama
137 2019). Secreted isoflavone glucosides are hydrolyzed to aglycones by isoflavone
138 conjugate hydrolyzing β -glucosidase (ICHG) (Suzuki et al. 2006).

139 The secretion of isoflavones from soybean roots has been analyzed both in
140 hydroponic conditions and in the field. Both daidzein and genistein induce *nod* genes of
141 the rhizobia, leading to a symbiosis for biological nitrogen fixation (Kosslak et al. 1987;
142 Pueppke et al. 1998). Under nitrogen deficiency, the secretion of these isoflavones is
143 increased approximately 10-fold in hydroponic conditions (Sugiyama et al. 2016) (Figure
144 3). Daidzein is the predominant isoflavone in root exudates throughout the growth stages;
145 a higher amount of daidzein is secreted during the vegetative stages than the reproductive
146 stages, and the secretion of malonyldaidzin and daidzin is increased during the
147 reproductive stages (Sugiyama et al. 2016). The amount of daidzein and genistein in root
148 exudates is stable during the day. However, genes for transcription factors and
149 biosynthesis of isoflavone metabolism show diurnal regulation with increased expression
150 during the daytime (Matsuda et al. 2020). GmMYB176, a transcription factor of
151 isoflavone biosynthesis highly expressed in roots, induces isoflavone biosynthetic genes
152 from dawn to noon, followed by the induction of isoflavone biosynthetic genes at noon,
153 and a slight increase of daidzein aglycone in roots in the afternoon (Matsuda et al. 2020).
154 Conceivably, the secretion pathway from vacuolar glucosides to apoplast would be
155 induced during the nighttime when the expression of *ICHG* is increased to maintain the
156 daidzein level in root exudates.

157 In field-grown soybean, the secretion of daidzein is also higher in the early
158 vegetative stages than in the reproductive stages, but the amount is increased up to

159 10,000-fold, compared with hydroponic conditions (Toyofuku et al. 2021) (Figure 3). The
160 increase of secretion is possibly due to the presence of microbes in the field; however,
161 soil particles in the field may influence the root morphology and the rate of isoflavone
162 secretion because the particle size and chemistry of growth substrates such as sand, clay,
163 and glass beads, affect root morphology and exudation in *Brachypodium distachyon*
164 (Sasse et al. 2020). It remains unclear whether both pathways of isoflavone secretion, i.e.
165 active transport of isoflavone aglycones and secretion of vacuole-stored isoflavone
166 glucosides, operate simultaneously or if one operates conditionally. Although higher
167 daidzein secretion is suggested during the early vegetative stages, the rhizosphere
168 isoflavone contents are slightly higher in the reproductive stage due to relative stability
169 of the compounds in the soil (Sugiyama et al. 2017). It is of particular importance to
170 analyze the microbial communities and physicochemical properties of soils together with
171 degradation kinetics since the stability of flavonoids depends on the soil (Sugiyama and
172 Yazaki 2014).

173

174 *Roles in the rhizosphere*

175 Flavonoids display a broad range of biological activities not only in plants but in animals
176 and microbes. The biological activities in humans include antioxidative activity, free
177 radical scavenging capacity, anti-inflammatory capacity, and anticancer activities
178 (Gorniak et al. 2019), and flavonoids are widely used as phytomedicines. Flavonoids
179 inhibit a range of root pathogens in the rhizosphere (Hassan and Mathesius 2012;
180 Mierziak et al. 2014) because of their antipathogenic properties, such as the ability to
181 disrupt membrane integrity (Weinstein and Albersheim 1983; Wu et al. 2019) and inhibit
182 DNA gyrase (Wu et al. 2013). These defensive flavonoids can be exuded into the soil

183 either constitutively or inductively; for example, an increased level of glyceollin I, a
184 phytoalexin of soybean, is found in the root exudate upon exposure to pathogens and non-
185 symbiotic rhizobia (Schmidt et al. 1992; Lozovaya et al. 2004), isoflavones in the root
186 exudates of white lupin are increased upon treatments with various elicitors (Gagnon and
187 Ibrahim 1997). Other roles of flavonoids in the rhizosphere include mediation of
188 allelopathy, chelation, and reduction of metals in soil (Hassan and Mathesius 2012; Cesco
189 et al. 2012; Weston and Mathesius 2013). The following sections highlight the functions
190 of flavonoids as regulators of the rhizosphere microbiome.

191

192 *Roles as chemoattractants*

193 In addition to functioning as a nutrient source for rhizosphere microorganisms, plant
194 metabolites (particularly PSMs) exert both attractive and repellent effects on soil
195 microbes and shape the rhizosphere microbiota (Pascale et al. 2020; Jacoby et al. 2021).
196 Flavonoids have been thought of as an attractant for the rhizobia, as the chemical signals
197 from plant roots to symbiotic rhizobia have been determined to be flavonoids. Several
198 reports have shown a chemotactic activity of *nod* gene-inducing flavonoids, including
199 luteolin, 4',7-dihydroxyflavone, 4',7-dihydroxyflavanone, and 4,4'-dihydroxy-2-
200 methoxychalcone for *Ensifer meliloti* (Caetanoanollés et al. 1988; Dharmatilake and
201 Bauer 1992) and also apigenin and luteolin for *Rhizobium leguminosarum* (Aguilar et al.
202 1988); however, a recent comprehensive analysis revealed that *E. meliloti* did not show a
203 chemotactic response to flavonoids such as hyperoside, luteolin, luteolin-7-*O*-glucoside,
204 quercetin, and chrysoeriol in alfalfa seed exudates (Compton et al. 2020). Amino acids
205 and quaternary ammonium compounds, which are 10-fold more abundant than flavonoids
206 in alfalfa root exudates, are the primary chemoattractants of *E. meliloti* (Compton et al.

207 2020). Bacterial chemoreceptors for these metabolites have also been identified in *E.*
208 *meliloti* (Webb et al. 2014; Webb et al. 2017). In the case of soybean-rhizobia interactions,
209 *Bradyrhizobium japonicum* is most attracted to succinate, glutamate, and malonate and is
210 not attracted to luteolin, daidzein, or genistein (Barbour et al. 1991). *Bradyrhizobium*
211 *japonicum* is also attracted to cinnamic acid and hydroxycinnamic acids, such as *p*-
212 coumaric acid, caffeic acid, ferulic acid, and sinapinic acid (Kape et al. 1991). Together,
213 these studies do not favor the contribution of flavonoids in the recruitment of rhizobia to
214 the proximity of plant roots but indicate the contribution of amino acids, dicarboxylic
215 acids, and quaternary ammonium compounds. Future research is needed to highlight the
216 functions of these chemoattractants in the plant rhizosphere with consideration for the
217 secretion, degradation, and distribution within the context of the soil community where
218 multiple interactions occur.

219

220 *Roles as nod gene inducers*

221 Once attracted to the vicinity of the root surface, chemical signal exchange between
222 legume plants and the rhizobia occurs (Janczarek et al. 2015; Liu and Murray 2016).
223 Luteolin and 7,4'-dihydroxyflavone were the first signaling compounds discovered in
224 alfalfa and white clover, respectively, using a *nod-lacZ* expression system, which was also
225 used to identify other signaling flavonoids in other legume species, such as daidzein and
226 genistein in soybean (Peters et al. 1986; Redmond et al. 1986; Kosslak et al. 1987; Kape
227 et al. 1991). Different classes of flavonoids, including flavones, flavonols, flavanones,
228 isoflavones, and chalcones, have since been identified as plant signals for induction of
229 *nod* genes in the rhizobia in addition to non-flavonoid metabolites (Janczarek et al. 2015;
230 Liu and Murray 2016). Most of these flavonoids induce *nod* genes at low micromolar

231 concentrations within the range of the rhizospheric concentrations, at least for daidzein
232 (Sugiyama et al. 2017; Toyofuku et al. 2021). The specificity of flavonoid profiles in each
233 legume plant and the specific perception of flavonoids by NodD, a LysR-type
234 transcription regulator, in the rhizobia are responsible for the first level of host specificity
235 for legume-rhizobia symbiosis. Upon perception of the signal, the rhizobia synthesize and
236 secrete lipo-chitoooligosaccharide Nod factors (NFs) to be recognized by receptors on the
237 root surface (Gourion et al. 2015; Buhian and Bensmihen 2018). Genetic signaling
238 pathways governing NF detection and nodule organogenesis are now quite well
239 understood in the model legume plants, *Medicago truncatula* and *Lotus japonicus* (Roy
240 et al. 2020). In addition to NFs, type III secretion systems, together with exported proteins,
241 are induced by genistein in *Sinorhizobium fredii* USDA257, a symbiont of soybean and
242 other legume plants (Krishnan et al. 2003), and *B. elkanii* SEMIA587 (de Campos et al.
243 2011). Genistein also induces the expression of resistance-nodulation-division (RND)
244 efflux pumps in *B. japonicum* (Takeshima et al. 2013). A *B. japonicum* mutant deficient
245 in this efflux pump is sensitive to genistein (but not to daidzein) and shows reduced
246 nodulation and nitrogen fixation when this mutant is inoculated in soybean roots. The
247 expression of this efflux pump is negatively regulated by a TerR-like regulator (BdtR)
248 (Han et al. 2020). Mutation of this regulator results in higher extracellular genistein levels
249 and decreased susceptibility to genistein because of induction of the efflux pump. In
250 contrast, the induction of *nod* genes is reduced in the mutant. These results suggest that
251 the rhizobia maintain intracellular genistein homeostasis to induce *nod* genes for
252 nodulation while the toxic effect of this isoflavone is alleviated.

253

254 *Roles in modulating the microbiota*

255 Flavonoids in the rhizosphere impact the microbiota as well as the rhizobia. The
256 application of pure flavonoid compounds modifies both bacterial and fungal communities
257 in soil. Daidzein and genistein applied to the soil resulted in different microbial
258 community structures, as revealed by phospholipid fatty acid profiling (Guo et al. 2011).
259 The treatment of soils with 7,4'-dihydroxyflavone, a *nod* gene-inducing flavonoid of
260 alfalfa roots, modified bacterial communities at a concentration found in root exudates,
261 with an increase in Acidobacteria, suggesting multiple functions of this flavonoid in the
262 rhizosphere beyond the establishment of symbiosis (Szoboszlay et al. 2016). Both
263 bacterial and fungal communities of the peanut rhizosphere were modified when luteolin
264 was continuously applied to the soil (Wang et al. 2018). The growth and nodule formation
265 of peanuts treated with luteolin were reduced, suggesting the inhibitory effects of luteolin
266 in continuous mono-cropped peanut systems, leading to reduced productivity. Daidzein
267 also modifies bacterial communities in soil (Okutani et al. 2020). The relative abundance
268 of Comamonadaceae was increased in a concentration-dependent manner, and the
269 bacterial communities became more similar to the rhizosphere of soybean grown in fields
270 rather than bulk soil. The rhizosphere bacterial communities of soybean hairy roots
271 silenced with the *IFS* gene showed a slight change, depending on the gene silencing and
272 hairy root transformation (White et al. 2017). Comamonadaceae were not reduced in the
273 IFS-silenced hairy root rhizosphere, suggesting the involvement of multiple metabolites
274 in modulating the rhizosphere microbiota. In maize, flavones such as apigenin and
275 luteolin are secreted from the roots and promote the enrichment of Oxalobacteraceae in
276 the rhizosphere (Yu et al. 2021). Oxalobacteraceae isolates belonging to the genus
277 *Massilia* improve the growth of maize under nitrogen-deficient conditions via alteration
278 of root development, suggesting a network of root architecture and the microbial taxa in

279 the rhizosphere, resulting in improved plant growth under nutrient deficiency.

280

281 **Saponins**

282 *Synthesis and accumulation*

283 Saponins are a group of PSMs widely distributed in higher plants (Vincken et al. 2007).

284 They contain an aglycone hydrophobic backbone bound to hydrophilic saccharides such

285 as glycosides, resulting in amphiphilicity and the formation of a soap-like foam when

286 agitated in water. The name saponin is derived from the Latin word 'sapo', meaning soap,

287 and they are typically subdivided into triterpenoid and steroid glycosides based on the

288 carbon skeletons (Vincken et al. 2007). Both types of compounds are biosynthesized from

289 a common precursor, 2,3-oxidosqualene, via multiple reactions such as cyclization,

290 oxidation, and glycosylation. In the plant kingdom, dicotyledonous plants mainly

291 accumulate triterpenoid saponins, while monocotyledonous plants mainly synthesize

292 steroidal saponins (with some exceptions) (Sparg et al. 2004; Moses et al. 2014).

293 The triterpenoid and steroidal aglycone backbones are synthesized from

294 isopentenyl diphosphate units derived from the mevalonate pathway. Condensation of

295 two farnesyl diphosphate by squalene synthase (SQS) generates squalene, which is then

296 epoxidized to 2,3-oxidosqualene catalyzed by squalene epoxidase (SQE). Oxidosqualene

297 cyclases (OSCs) catalyze the cyclization of 2,3-oxidosqualene, and they are positioned at

298 a key metabolic branch point between primary metabolism for plant sterols (phytosterols)

299 and brassinosteroid hormones and specialized metabolism for triterpenoids. 2,3-

300 Oxidosqualene can be cyclized into a diverse range of compounds with triterpene

301 backbones, including dammaranes, tirucallanes, lupanes, hopanes, oleananes,

302 taraxasteranes, ursanes, lanostanes, and cucurbitanes (Vincken et al. 2007). OSCs

303 catalyzing the cyclization of 2,3-oxidosqualene are either specific or multifunctional,
304 leading to either a single product or multiple products from a single reaction (Moses et al.
305 2014). Following cyclization, triterpene aglycones are oxidized by cytochrome P450 and
306 further modified by an array of transferases such as UDP-dependent glycosyltransferases
307 (UGTs), acyltransferases, malonyltransferases, and methyltransferases (Thimmappa et al.
308 2014; Seki et al. 2015).

309 Soyasaponins are triterpenoid saponins commonly found in legume plants. They
310 are composed of aglycone and oligosaccharide moieties. Soyasaponins are subdivided
311 into four groups based on the aglycone structures: soyasaponin group A, group B, and
312 group E (derived from soyasapogenol A, soyasapogenol B, and soyasapogenol E,
313 respectively), and DDMP saponin consisting of soyasapogenol B with a DDMP (2,3-
314 dihydro-2,5-dihydroxy-6-methyl-4H-pyran-4-one) residue at the C-22 position of the
315 aglycone (Figure 2B). Soyasapogenol B is biosynthesized by the hydroxylation of β -
316 amyryl at C-22 and C-24, soyasapogenol A has an additional hydroxylation at C-21, and
317 soyasapogenol E has a carbonyl group at C-22 (Zhang and Popovich 2009; Seki et al.
318 2015; Krishnamurthy et al. 2019). These soyasapogenols are further diversified by
319 glycosylation catalyzed by UGTs to form the soyasaponin groups A, B, and E. DDMP
320 saponin is biosynthesized from group B saponin, possibly via a UGT encoded by the Sg-
321 9 locus (Sundaramoorthy et al. 2019) (Figure 4).

322 Cycloartenol synthase (CAS) catalyzes the cyclization of 2,3-oxidosqualene to
323 cycloartenol, which is a precursor for phytosterols including cholesterol, campesterol, and
324 β -sitosterol. Cholesterol is then oxidized and glycosylated to form steroidal saponins
325 (Figure 4). Steroidal glycoalkaloids, typically found in species of *Solanum* as toxic
326 substances, are also biosynthesized from cholesterol as a precursor but incorporate an

327 amine group at C-26 to generate aglycones such as tomatidine and solanidine (Harrison
328 1990; Cardenas et al. 2015), which are subsequently glycosylated at the C3 hydroxy group
329 and accumulated as α -tomatine in tomato (*Solanum lycopersicum*) and α -solanine in
330 potato (*Solanum tuberosum*) (Friedman 2006). Genes involved in saponin biosynthesis
331 have been identified from various plant species (Thimmappa et al. 2014; Lee et al. 2019;
332 Jozwiak et al. 2020; Chung et al. 2020) and are often organized as a cluster in the genome
333 (Itkin et al. 2013; Nutzmann et al. 2018) (Akiyama et al. 2021).

334

335 *Secretion into the rhizosphere*

336 Transporters of saponins for vacuolar accumulation or secretion to the rhizosphere have
337 not been identified so far (Francisco and Martinoia 2018). The transporter responsible for
338 the relocation of α -tomatine from the vacuole to the cytosol has recently been identified
339 in tomato (Kazachkova et al. 2021) (Figure 3). Secretion of soyasaponins from soybean
340 roots and tomatine from tomato roots have been investigated both in hydroponic culture
341 and in field conditions. The secretion of soyasaponins from soybean roots was discovered
342 during a metabolomic analysis of soybean root exudates (Tsuno et al. 2018) as a strong
343 peak on the total ion chromatogram that did not show clear UV absorption typical to
344 aromatic rings. A detailed assessment of m/z values and MS/MS spectra, together with
345 authentic standard specimens, identified this peak as soyasaponin Bb.

346 Soyasaponins are secreted during growth in hydroponic culture but peak at the
347 early growth stage, as is the case for isoflavones of soybean (Tsuno et al. 2018) (Figure
348 4).. Soybean roots secrete both group A and group B soyasaponins, but the secretion of
349 DDMP saponins is limited despite the predominant accumulation in soybean roots. The
350 differential composition of soyasaponins between roots and root exudates suggests the

351 involvement of regulatory mechanisms such as transporters and apoplastic enzymes to be
352 elucidated in future studies. Other legume plants including, but not limited to, *Lotus*
353 *japonicus*, alfalfa (*Medicago sativa*), and pea (*Pisum sativum*), secrete soyasaponins at
354 varying concentrations and compositions, but DDMP saponins are not detectable from
355 root exudates in these legume species (Tsuno et al. 2018). The contents of the major
356 soyasaponins, soyasaponin Ab and soyasaponin Bb, in root exudates have no apparent
357 diurnal pattern, although the gene expression levels of β -amyrin synthase, cytochrome
358 P450, and UDP-glucuronosyltransferase involved in soyasaponin biosynthesis
359 (Krishnamurthy et al. 2019; Seki et al. 2015) are higher at night (Matsuda et al. 2020).
360 The amount of soyasaponins in the rhizosphere of soybean grown in field is slightly
361 increased during the growth stages, but the composition is stable, with group B
362 soyasaponins representing about 60% of the total soyasaponins, followed by group A, E,
363 and DDMP soyasaponins. Soyasaponin Bb represents up to 70% of group B soyasaponins,
364 and soyasapogenols are limited throughout the growth stages (Fujimatsu et al. 2020).

365 Tomatine and its aglycone tomatidine were also found in root exudates of tomato
366 (Kirwa et al. 2018). In hydroponically grown tomato, the concentrations of tomatine and
367 tomatidine in root exudates are higher during the early growth stages than the later growth
368 stages, consistent with the secretion of isoflavones and soyasaponins during growth
369 (Nakayasu et al. 2021) (Figure 4). The regulations of tomatine secretion remain elusive,
370 but it is known that it is regulated systemically by the addition of glycosylated azelaic and
371 possibly by soil microbiota (Korenblum et al. 2020). In field-grown tomatoes, rhizosphere
372 tomatine contents are comparable between the flowering and green-fruit stages. Neither
373 tomatine nor tomatidine is detectable in bulk soil, suggesting that tomatine is secreted
374 from field-grown tomato plants and accumulates in the rhizosphere throughout the growth

375 stages (Nakayasu et al. 2021).

376

377 *Roles in the rhizosphere*

378 Saponins exert a diverse range of biological properties relevant to rhizosphere
379 interactions including antibacterial, antifungal, and insecticidal activities, in addition to
380 various pharmaceutical effects in humans (Cheok et al. 2014; Vincken et al. 2007;
381 Augustin et al. 2011). The ecological significance of saponins has long been of particular
382 interest for their relevance in crop production. Biological activities against pathogens
383 have been reported; for example, minutosides extracted from *Allium minutiflorum* have
384 antimicrobial activities against various fungal and oomycete pathogens such as *Alternaria*
385 *alternate*, *Botrytis cinerea*, *Fusarium oxysporum*, *F. solani*, *Pythium ultimum*, and
386 *Rhizoctonia solani* (Barile et al. 2007), alliospirosides extracted from *Allium cepa* have
387 antifungal activities against a range of fungi including *Botrytis cinerea* and
388 *Colletotrichum gloeosporioides* (Teshima et al. 2013); and aescin from *Aesculus*
389 *hippocastanum* shows antifungal activities against *Microdochium nivale*, *Pyrenophora*
390 *teres*, and *Leptosphaeria maculans* (Trda et al. 2019). Additionally, steroidal
391 glycoalkaloids such as α -solanine, α -chaconine, and α -tomatine show hatching
392 stimulation activity in potato cyst nematode eggs, albeit weaker than solanoeclepin A, a
393 triterpene secreted from potato roots (Shimizu et al. 2020).

394

395 *Roles as allelochemicals*

396 Saponins have also long been recognized as allelochemicals (Oleszek W. 1992). Saponins
397 in the roots of alfalfa (*Medicago sativa*) and red clover (*Trifolium pratense*) shows
398 inhibitory effects on seed germination and seedling growth of wheat (*Triticum aestivum*)

399 (Oleszek and Jurzysta 1987; Oleszek 1993), and medicagenic acid saponins exhibit
400 autotoxic effects and significantly lower the germination rate of alfalfa seeds as well
401 (Ghimire et al. 2019). Ginsenosides from *Panax notoginseng* exhibit autotoxicity and
402 these saponins accumulated in soil are suggested to cause the replant failure of this plant
403 (Yang et al. 2015).

404

405 *Roles in modulating microbiota*

406 The function of saponins in modulating the rhizosphere microbiota has recently been
407 identified for saponins. An oat *sad1* mutant deficient in avenacin, a triterpenoid saponin,
408 harbors altered rhizosphere microbial communities, compared with the wildtype (Turner
409 et al. 2013). We used tomato as a model system to investigate the role of saponins in
410 shaping the rhizosphere microbiota. Tomatine and tomatidine treatments of field soil *in*
411 *vitro* resulted in the enrichment of ten and two families, respectively, and the depletion of
412 35 and 78 families, respectively. The bacterial communities of both tomatine- and
413 tomatidine-treated soils are more similar to those of the tomato rhizosphere than of bulk
414 soil, as shown by a smaller weighted UniFrac distance between PSM-treated soil and the
415 rhizosphere than between PSM-treated soils and bulk soil. Sphingomonadaceae was the
416 only family enriched in both PSM-treated soil and tomato rhizosphere soil. Among
417 Sphingomonadaceae, the genus *Sphingobium* was particularly enriched, and this increase
418 was attributable to one amplicon sequence variant (ASV) among the 15 ASVs observed
419 in these soil samples (Figure 4). Sphingomonadaceae was also enriched in soil treated
420 with soyasaponin Bb (Fujimatsu et al. 2020); operational taxonomic units annotated as
421 *Novosphingobium* were particularly enriched in soyasaponin Bb-treated soil as well as
422 the soybean rhizosphere (Figure 4). It is of particular interest to investigate whether

423 differences in the carbon skeletons of saponins affect the influence of saponins in
424 rhizosphere bacterial communities. While the effect on bacterial communities is not
425 known, ginsenosides (triterpenoid saponins in Sanqi ginseng) alter fungal communities
426 with the enrichment of potentially pathogenic taxa including *Alternaria* and *Fusarium*,
427 and with the depletion of potentially beneficial taxa such as *Acremonium*, *Mucor*, and
428 *Ochroconis* (Li et al. 2020), which is a potential cause of replanting failure in Sanqi
429 ginseng.

430

431 **Dynamics of flavonoids and saponins in the rhizosphere**

432 The dynamics and interactions of PSMs and microbes in the rhizosphere are of particular
433 importance for gaining insight into the functions of PSMs. Traditionally, the amount of
434 PSMs secreted from roots has been analyzed using hydroponic cultures because of the
435 ease of applicability compared with field sampling (Oburger and Jones 2018). Although
436 research in our laboratory has revealed that the secretion of daidzein from roots follows
437 a similar pattern during the growth stages (i.e., higher secretion in early growth stages
438 than later growth stages), the amount of daidzein secreted from roots was much higher in
439 the field (Toyofuku et al. 2021), pointing toward the importance of measurement of
440 metabolites in field-grown plants. Along with the differences in the amount and rate of
441 secretion, it is technically challenging to analyze the positional secretion rate using a
442 hydroponic system. In hydroponic culture, the total amount of metabolites secreted from
443 whole roots is usually measured; in reality, the secretion rate varies based on root area
444 (Pearson and Parkinson 1960; McDougall and Rovira 1970; Weisskopf et al. 2005).
445 Recently, analysis using matrix-assisted laser desorption/ionization mass spectrometry
446 (MALDI-MS) showed a distribution of various metabolites including PSMs in the

447 rhizosphere (Velickovic et al. 2020). In this study, a polyvinylidene fluoride (PVDF)
448 membrane was placed against the soil-root interface of plants grown in a rhizobox. The
449 PVDF membrane was then mounted on a MALDI target plate for mass imaging.
450 Biosensors are also useful for revealing the distribution of metabolites in the rhizosphere.
451 Fux fusion bioreceptors have been developed in *Rhizobium leguminosarum* to detect
452 specifically for sugars, polyols, amino acids, organic acids, and flavonoids (Pini et al.
453 2017). Although a bacterial association with the plant root system needs to be validated,
454 these biosensors are potentially applicable for a broader range of bacteria and metabolites
455 to investigate the spatiotemporal distribution of PSMs in the rhizosphere.

456

457 *Degradation*

458 The amount of secretion and the stability of PSMs need to be analyzed to understand the
459 dynamics of PSMs in the rhizosphere. Once secreted, PSMs are degraded by soil microbes.
460 Despite the rather toxic properties of flavonoids and saponins, these PSMs are also a
461 carbon source for microbes possessing catabolic enzymes. The stability of PSMs in the
462 rhizosphere varies depending on the metabolites and soil microbial communities. In
463 contrast to primary metabolites that are degraded within a few minutes (Gunina and
464 Kuzyakov 2015), flavonoids are rather stable in the soil. The majority of naringenin and
465 formononetin is degraded within 96 hours (Shaw and Hooker 2008), while the half-life
466 of apigenin, kaempferol, and their derivatives ranges from 4.4 to 14.7 days with a seasonal
467 variation (Sosa et al. 2010). The half-life of daidzein is about seven days, measured in
468 soil collected from a soybean farm (Okutani et al. 2020) (Figure 3). The half-life of
469 saponins in the soil is comparable with flavonoids. The allelopathic effects of saponins
470 from alfalfa and red clover last for one to two weeks depending on the soil (Oleszek and

471 Jurzysta 1987), and most soyasaponin Bb and tomatine in soil are degraded within a week
472 (Fujimatsu et al. 2020) (Nakayasu et al. 2021).

473 Aerobic flavonoid biodegradation has been reported for a wide range of bacterial
474 species (Shaw et al. 2006). *Nod* gene-inducing daidzein and genistein are also to be
475 degraded by rhizobial species via multiple C-ring fission, although genes involved in this
476 pathway have not been identified (Rao and Cooper 1994, 1995). The metabolic pathways
477 for flavonoid degradation have been characterized in intestinal microbes; daidzein, when
478 consumed as a part of soy products, is converted to a reduction product, equol, and a C-
479 ring cleavage product, *O*-demethylangolensin, by anaerobic intestinal bacteria (Feng et
480 al. 2018). These products have not been identified in rhizosphere soil to our knowledge.
481 Screening of mutants defective in naringenin catabolism in *Herbaspirillum seropedicae*
482 revealed the involvement of a monooxygenase, FdeE, in the cleavage of naringenin,
483 possibly together with FdeD, a putative Rieske protein in fed operon (Marin et al. 2013;
484 Marin et al. 2016). Other proteins encoded by the fde operon include FdeH, a cupin family
485 protein that contains quercetin 2,3-dioxygenase for cleaving quercetin into 2-
486 protocatechuoyl-phloroglucinol carboxylic acid and carbon monoxide, identified in
487 *Bacillus subtilis* (Bowater et al. 2004; Barney et al. 2004) and *Streptomyces* sp. (Merkens
488 et al. 2007). Most of the genes involved in flavonoid catabolism, especially those for
489 isoflavones, have not been identified.

490 Intestinal and fecal microorganisms capable of metabolizing saponins have also
491 been reported (Hu et al. 2004; Dong et al. 2017; del Hierro et al. 2018). Sapogenins such
492 as oleanolic acid, hederagenin, serjanic acid, diosgenin, and soyasapogenol B accumulate
493 when plant extracts rich in saponins (e.g., quinoa, lentil, and fenugreek) are fermented by
494 gut microbiota (del Hierro et al. 2020). Sapogenins are absorbed by the gastrointestinal

495 tract, and no gut microorganisms capable of degrading sapogenin have been identified. In
496 contrast, it is not well understood what kind of microorganisms decompose compounds
497 in the soil. Microorganisms capable of degrading tomatine into tomatidine have been
498 reported (Ford et al. 1977; Okmen et al. 2013), and *Sphingobium* spp. isolated from
499 tomatine-treated soil degrade tomatine and tomatidine and use them as a carbon source
500 (Nakayasu et al. 2021). Saponins such as ginsenosides and soyasaponins, are also
501 metabolized by intestinal and fecal microbes (Hu et al. 2004; Dong et al. 2017). Yet, the
502 pathways and genes involved in the degradation of aglycones have not been characterized,
503 particularly for rhizosphere microbes.

504

505 *Adsorption*

506 In addition to the degradation by soil microbes, adsorption by organic matter and clay
507 minerals reduces the distribution of metabolites in the rhizosphere. We used daidzein as
508 a model to investigate the adsorption to grey lowland soil collected from a soybean farm.
509 Possible adsorption sites are humic substances and clay minerals. Humic substances
510 contain hydroxyl and phenolic hydroxyl groups involved in the formation of complexes
511 with organic substances (Pei Gan and Yau Li 2013). Decomposition of organic matter in
512 grey lowland soil reduced daidzein adsorption, suggesting the involvement of humic
513 substances in limiting the distribution of daidzein in the rhizosphere. In contrast,
514 adsorption of daidzein to clay minerals such as kaolinite, a 1:1-type silicate mineral, is
515 much lower than that to grey lowland soil, and adsorption to illite, a 2:1-type silicate
516 material, is undetectable (Okutani et al. 2020) (Figure 3).

517

518 *Simulation*

519 The distribution of mineral ions and water in the soil surrounding plant roots has been
520 simulated (Duncan et al. 2018; Zarebanadkouki et al. 2014; Vereecken et al. 2016). For
521 PSMs in rhizosphere soil, the advection-diffusion (dispersion) equation has recently been
522 used to simulate the dynamics of daidzein in the rhizosphere (Okutani et al. 2020). A
523 single root of diameter 2 mm and length 10 cm was set in the center of soil with a diameter
524 of 20 cm and a depth of 20 cm. The root length and diameter were assumed to be constant,
525 and daidzein secretion was assumed to be equal from all parts of the roots. Daidzein
526 distribution was predicted to within a few millimeters of the root surface during the early
527 growth stages (14 days). Although daidzein distribution was shown to be within 2 mm in
528 a rhizobox experiment (Okutani et al. 2020), this simulation is based on constant soil
529 environmental conditions, the absence of root growth, and equal secretion from all parts
530 of the roots. It is necessary to incorporate, at a minimum, the soil water contents (i.e., wet
531 conditions on rainy days and dry conditions on sunny days) and root growth with differing
532 secretion rates of metabolites to precisely simulate the distribution of metabolites in
533 rhizosphere soil in field-grown conditions. Also, simulation based on the secreted amount
534 of daidzein in hydroponic culture underestimates the amount of rhizosphere daidzein.
535 When the daidzein secretion rate measured in field-grown soybean was used for the
536 simulation, the estimated daidzein concentration in the soybean rhizosphere was within
537 the range of that from the rhizosphere in the soybean field (Toyofuku et al. 2021). So far,
538 the adsorption coefficient has been analyzed for flavonoids and saponins in addition to
539 organic xenobiotics and estrogens in soil (Shaw and Hooker 2008; Caron et al. 2010)
540 (Fujimatsu et al. 2020); thus, simulations based on a fluid model can be applied to predict
541 the rhizosphere distribution of PSMs.

542

543 **Conclusion and future perspectives**

544 Research in the past few decades has identified a vast array of roles of flavonoids and
545 saponins in the rhizosphere, especially for mediating interactions between plants and
546 microbes. Recent advancement of multi-omics analysis revealed a tight network between
547 host plants and microbiota, which opens up a holistic approach toward a comprehensive
548 understanding of plants and microbes. This concept considers the host plant and its
549 microbiota as a unique biological entity called “holobiont,” in which the host and
550 microbiota interact to affect morphology, development, and physiology among others
551 (Rosenberg and Zilber-Rosenberg 2016; Hassani et al. 2018). It remains largely unclear
552 how the metabolic network in the holobiont is established and how it affects plant growth,
553 fitness, and robustness to changing environments. For crop species, the domestication
554 process has affected root microbiota, mediated at least partially by the alteration of root
555 exudates (Iannucci et al. 2017; Escudero-Martinez and Bulgarelli 2019). The metabolic
556 network in the holobiont provides a valuable basis for designing an optimized microbiota
557 to confer robustness against both biotic and abiotic stresses and, eventually, to improve
558 crop yields. Flavonoids and saponins are key metabolites for such an approach since these
559 bioactive compounds mediate the interaction between the plant and the microbiota, and
560 recent evidence has revealed the possibility of fine-tuning the interactions (Fujimatsu et
561 al. 2020; Nakayasu et al. 2021).

562 The direct application of metabolites is the first step toward this goal. Flavonoids
563 applied to seeds improve the nodulation in several legume crops (Mabood et al. 2014),
564 and saponins applied to seeds improve salinity stress tolerance in quinoa and soybean
565 (Yang et al. 2018; Soliman et al. 2020). There remain possibilities to utilize these PSMs
566 in agriculture directly, but an obstacle is the stability of these metabolites in the soil.

567 Multiple applications are necessary to exert bioactive properties during a crop season, and
568 the application of PSMs to the rhizosphere is technically unfeasible. Designing a
569 holobiont-metabolic network utilizing both plant breeding and microbial inoculation
570 would be promising to circumvent these problems. Designing rhizosphere microbiomes
571 for crop productions has been proposed in reviews (Mueller and Sachs 2015; de Souza et
572 al. 2020; Pascale et al. 2020), and the holobiont-metabolic network could be a key
573 component.

574 Metagenomic analysis revealed key bacterial taxa in the microbiome for
575 beneficial traits. By comparing the rhizosphere metagenomes of resistant and susceptible
576 tomato varieties to pathogenic *Ralstonia solanacearum*, it was found that *Flavobacterium*
577 was abundant in the rhizosphere of resistant varieties, and isolated *Flavobacterium*
578 suppressed *R. solanacearum* when inoculated in pots (Kwak et al. 2018). Metagenomics
579 on disease suppressive soil identified a consortium of *Chitinophaga* and *Flavobacterium*
580 for the suppression of the fungal root pathogen *Rhizoctonia solani*, and gene clusters
581 encoding the production of nonribosomal peptide synthetases and polyketide synthases
582 in *Flavobacterium* were found to be essential for disease suppression (Carrion et al. 2019).
583 In contrast to metagenomics, the identities and functions of metabolites in the rhizosphere
584 largely remained unknown because of the instability and limited amounts of metabolites
585 in soil and difficulties with extraction from the rhizosphere. In addition to PSMs,
586 microbial metabolites exert diverse ranges of influence on host plants and co-occurring
587 microbes (Backer et al. 2018; Weisskopf et al.). The rhizosphere metabolome is a
588 prominent approach for uncovering the novel metabolites in the rhizosphere. We recently
589 found okaramine A, B, and C in the rhizosphere of hairy vetch (*Vicia villosa*), a cover
590 crop or a green manure crop (Sakurai et al. 2020). Okaramines were first identified as

591 insecticides from *okara* inoculated with *P. simplicissimum* AK-40 (Hayashi et al. 1989),
592 but they had not been identified in nature. Okaramine B was also detected in the
593 rhizosphere of soybean grown after hairy vetch but not in soybean without previous hairy
594 vetch cultivation, suggesting an interspecies soilborne legacy or an indirect defense of
595 plants against pests (Sakurai et al. 2020; Matsuda 2018).

596 Multi-omics analyses integrating metagenomics and rhizosphere metabolomics
597 are prerequisites for designing microbiomes based on holobiont-metabolic networks. The
598 rhizosphere is vital for plant growth and crop production. Unlike the accumulation of
599 microbial genomics, metabolomics has only revealed the tip of the iceberg. It will be of
600 particular importance to design a holobiont metabolic network by combining the
601 identification of new key metabolites, metagenomic analysis, isolation, and functional
602 characterization, and plant breeding to enable plants to grow more robustly (Figure 5).

603

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617

618 **Disclosure statement**

619 No potential conflict of interest was reported by the author.

620

621 **Data Availability Statement**

622 The data generated during this study are available from the corresponding author upon
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624

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1049

1050 **Graphical Abstract Caption**

1051 Flavonoids and saponins are secreted into rhizospheres. These plant specialized
1052 metabolites exert various functions in mediating interactions with microbiota.

1053

1054 **Figure legends**

1055 Figure 1. Soybean rhizosphere. Isoflavones and soyasaponins are secreted into the
1056 rhizosphere (①) and affect the bacterial communities (②).

1057

1058 Figure 2. Chemical structures of isoflavones in soybean

1059

1060 Figure 3. Secretion and fate of daidzein in soybean rhizosphere. (1) Sugiyama et al. (2007),
1061 (2) Suzuki et al. (2006), (3) Sugiyama et al. (2006), (4) Toyofuku et al. (2021), (5) Matsuda
1062 et al. (2020), (6) Sugiyama et al. (2017), (7) Okutani et al. (2020).

1063

1064 Figure 4. Secretion of soyasaponin from soybean roots and tomatine from tomato roots:
1065 cycloartenol synthase (CAS), β -amyrin synthase (BAS), UDP-sugar dependent
1066 glycosyltransferase (UGT), arabinose (Ara), galactose (Gal), glucose (Glc), glucuronic
1067 acid (GlcA), rhamnose (Rha), xylose (Xyl). CAS and BAS are members of OSC family.

1068 Bold arrows represent multiple reactions.

1069

1070 Figure 5. Integration of multi-omics to design holobiont metabolic networks that promote
1071 plant growth and suppress pathogens.

1072