# Gustiness in thermally-stratified urban turbulent boundary-layer flows and the influence of surface roughness

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# 7 Abstract

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Gustiness is examined for the wind speed, fluctuations, turbulence intensities and 8 fluxes for a real urban topography. Using large-eddy simulation (LES) and an ensemble 9 sampling approach, which allows a more comprehensive characterisation of the 10 urban morphological features, a wide range of boundary-layer stabilities is consid-11 ered: the bulk Richardson number,  $Rb \in [-0.41, 0.82]$ . Ratios of the proposed gustiness 12 statistics,  $\mathcal{G}$ , over the conventional time-averaged flow and turbulence statistics are max-13 imised for  $z/H_{\rm ave} \lesssim 1$  (where  $H_{\rm ave}$  is the mean building height). The strong linear scaling 14 of  $\mathcal{G}$  with the plan-area index  $(\lambda_p)$  for neutral stratification is found to persist for stably-15 and unstably-stratified flows ( $R^2 \sim 0.8$ ). By contrast, the non-dimensionalised building-16 height variability,  $\sigma_H/H_{\rm ave}$ , and the effective frontal-area index,  $\hat{\lambda_f} \equiv \lambda_f H_{\rm ave}/\sigma_H$ , are 17 argued to be of more appropriateness as scaling parameters for  ${\mathcal G}$  compared to their orig-18 inal forms,  $\sigma_H$  and  $\lambda_f$ . While the sensitivity of  $\mathcal{G}$  to Rb is well defined at greater 19 heights, the influence of surface inhomogeneity may be strong enough to op-20 pose the effect of thermal stratification in the lower surface layers. Qualitative 21 differences in the sensitivities to the boundary-layer stability are narrowly dis-22 tinguishable amongst the zeroth-, first- and second-order gustiness statistics. 23 The results are relevant to the understanding of urban wind hazards. 24 Keywords: Wind gusts, urban design, street canyon, boundary-layer stability, ensemble 25

26 sampling

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# 27 1. Introduction

Urban turbulent boundary-layer (TBL) flows are strongly perturbed by the roughness 28 elements mounted on the ground (Wooding et al., 1973; Oke, 2006). Understanding the 29 complex interaction between the flow and the obstacles is important for urban planning 30 and building design towards optimised building aerodynamics (Belcher, 2005; Bou-Zeid 31 et al., 2009; Ashie and Kono, 2011) and improved urban microenvironments (Britter and 32 Hanna, 2003; Yassin and Ohba, 2012; Wang et al., 2019). In particular, the extremes 33 of micrometeorological events, including strong winds and turbulence (or wind gusts, 34 hereafter  $\mathcal{G}$ ), that can lead to wind hazards, are crucial to the urban-climate and 35 building-environment safety (Nakayama et al., 2012; Takemi et al., 2019; Knoop et al., 36 2019; Takemi et al., 2020). 37

The geometry and arrangement of the roughness elements affect the flow and turbu-38 lence. Asymmetric street canyons (Hoydysh and Dabberdt, 1988; Addepalli and Pardy-39 jak, 2013) result in flow and turbulence structures differing greatly from symmetric ones 40 (Murena et al., 2011; Ngan and Lo, 2016). The flow pattern may also be altered by vary-41 ing roof shapes (Wooding et al., 1973; Korycki et al., 2016; Allegrini, 2018) or differential 42 organisations of the building obstacles (Hagishima et al., 2009), e.g. aligned (Inagaki and 43 Kanda, 2008; Duan and Ngan, 2019) or staggered (Coceal et al., 2007b; Xie et al., 2008). 44 Efforts have been made to characterise the urban surface inhomogeneity using a set of 45 convenient parameters, which include the building-packing densities, 46

$$\lambda_p \equiv \frac{A_p}{A_T} \text{ and } \lambda_f \equiv \frac{A_f}{A_T},$$
(1)

(where  $A_p$  is the total plan area,  $A_f$  the total frontal area of the roughness elements and  $A_T$  the total lot area (Grimmond and Oke, 1999)), the mean and maximum building heights ( $H_{\text{ave}}$  and  $H_{\text{max}}$ ) and the building-height variability ( $\sigma_H$ ) (Britter and Hanna, 2003; Nakayama et al., 2011; Yoshida et al., 2018; Yoshida and Takemi, 2018). For convenience, hereafter

$$\mathcal{S} \equiv \{\lambda_p, \ \lambda_f, \ H_{\text{ave}}, \ H_{\text{max}}, \ \sigma_H\}.$$
 (2)

This has proven useful. For example, micrometeorological method in determining the surface aerodynamics parameters (the roughness length,  $\hat{z}$ , and the zero-plane displacement height, d), which define the well known surface-layer scaling (Perry et al., 1969; <sup>55</sup> M. R. Raupach, 1991),

$$U = \frac{u_*}{\kappa} \ln(\frac{z-d}{\hat{z}}),\tag{3}$$

<sup>56</sup> where  $u_*$  is the friction velocity and  $\kappa$  the von Kármán constant (usually assumed to be <sup>57</sup> 0.4), can be simplified with the morphometric method, whereby  $\hat{z}$  and d are parameterised <sup>58</sup> with the surface morphometric parameters, S (e.g. Macdonald et al., 1998; Grimmond <sup>59</sup> and Oke, 1999; Kanda et al., 2013). However, relevant studies have originated with <sup>60</sup> the purpose of incorporating urban canopy influences into mesoscale modelling as flux <sup>61</sup> sources or sinks via parameterisation (Cheng and Castro, 2002) rather than quantifying <sup>62</sup> the sensitivity of the turbulent flow within the canopy per se to S.

Applying urban computational fluid dynamics (CFD) techniques, the influence of ur-63 ban morphology on the turbulent flow can be explicitly resolved (Hanna et al., 2006). For 64 example, the flow regime inside an urban canyon has shown a well-defined classification 65 according to the building-height-to-canyon-width aspect ratio (AR) (Hunter et al., 1992) 66 and the canyon vortex dynamics for different AR has been explored using large-eddy 67 simulation and Green's function (Ngan and Lo, 2016). While studies of the 2-D cases 68 shed lights on the nature of flow inside street canyons (e.g. Murena and Mele, 2014; Ngan 69 and Lo, 2017), urban TBL flows are essentially 3-D in realistic urban scenarios-the mean 70 flow only represents a partial picture—the perturbations that originated from the urban 71 surface heterogeneity and the roughness anisotropy would almost always lead to a more 72 complicated urban TBL environment, which may not be solely described by the mean 73 streamline geometries. Organised low-frequency coherent structures and high-frequency 74 turbulence may dominate compared to the mean flow (Thomas and Foken, 2007). Also, 75 conventional time averages have often been applied to turbulence statistics 76 (e.g. Duan and Ngan, 2019; Marucci and Carpentieri, 2020), wherein the 77 extremes (or gusts) are smoothed out. 78

Attempts have been sought to apply the instantaneous maximum as a diagnostic for wind gusts. He and Song (1999) defined the peak gust,  $u_{\text{gust}}$ , as the wind speed, u, that exceeds the time average,  $\overline{u}$ , by three times of the turbulence intensity ( $u_{\text{r.m.s.}}$ ), i.e.  $u_{\text{gust}} \geq \overline{u} + 3u_{\text{r.m.s.}}$ . A similar criteria was applied in Hayashi (1992). The occurrence of wind gusts was shown to be more frequent for  $1.2 \leq u_{\text{gust}}/\overline{u} \leq 1.8$  (Nakayama et al., 2012). However, gusts may not be robustly distinguished from instantaneous signals without ensemble averages given the fact that the urban flow is highly intermittent and unsteady.

Wind gusts may be quantified in a probabilistic way, e.g. by means of the Weibull 86 distribution (Murakami and Fujii, 1983). Conditional sampling (e.g. Wallace, 2016) has 87 also proven useful in filtering extreme turbulence fluxes with a specified bandwidth,  $\alpha$ , 88  $|-u'w'| > \alpha |\overline{u'w'}|$  (e.g. Duan and Ngan, 2019). Gusty signals may be also identified in the 89 spectral space, e.g. using wavelet analysis, which enables the localisation of extremes in 90 time (Knoop et al., 2019). The gust index has often been defined as the ratio of the local 91 maximum wind speed over the local temporal mean or the freestream wind speed (e.g. 92 Ahmad et al., 2017; Takemi et al., 2020). While this perhaps simplifies the analysis, it is 93 not necessarily implied that higher-order gustiness statistics, e.g. turbulence intensities 94 and fluxes, would be of negligible effects (Inagaki and Kanda, 2008; Anderson et al., 95 2015). 96

Wind gusts have been studied for realistic urban areas under the perturbation of 97 strong typhoons (Takemi et al., 2019, 2020). It was shown that the gust index scaled 98 monotonically with  $\lambda_p$  at two vertical levels,  $z/H_{\rm ave} = 0.5$  and 1.0. The wind gusts were 99 found to be maximised in major streets and districts with sparse buildings, i.e. for low 100  $\lambda_p$ . Similar results had been obtained in Ahmad et al. (2017) and it has been noted that 101 the relationship at a higher vertical level was less conclusive compared to the pedestrian 102 level (~ 2 m). While urban wind gusts have been explicitly linked to the plan-area index, 103 influences of other morphometric parameters remain uncertain, e.g.  $\sigma_H$ , the building-104 height variability, which affects the surface inhomogeneity but is independent of  $\lambda_p$  by 105 definition. The sensitivity of  $\mathcal{G}$  to  $\mathcal{S}$  remains inadequately resolved. 106

Most importantly, effects of the boundary-layer stability, which could be of significant 107 impacts on the sensitivity of  $\mathcal{G}$  to  $\mathcal{S}$ , have received little attention (if any). Both the mean 108 flow and turbulence could be more complicated in thermal stratification: the flow regime 109 undergoes a transition as the boundary-layer stability changes from unstable to stable 110 stratifications and the wind fluctuations may need to attain a certain threshold before 111 they can be unambiguously identified as gusts (Duan and Ngan, 2019). It is desirable to 112 confirm if the previous findings for neutral flow carry over to thermally-stratified urban 113 TBLs. 114

The current study attempts to resolve the above issues pertinent to urban gustiness with emphases on the following aspects. 1. In addition to  $\lambda_p$ , the influence of other morphometric parameters are considered, specifically the frontal-area index and the building-

height variability. The surface heterogeneity of a realistic urban (Sec. 2.1) is characterised 118 in a more comprehensive manner by traversing a sampling unit of various dimensions and 119 displacement distances across the entire domain (Sec. 2.2), which allows ensemble averag-120 ing and hence more robust results. 2. The influence of thermal stratification is examined 121 by considering a wide range of boundary-layer stabilities using large-eddy simulation 122 (LES) (Sec. 2.3). The bulk Richardson number,  $Rb \in [-0.41, 0.82]$ , satisfies values ob-123 served in realistic urban environments. 3. The previous studies are complemented by also 124 exploring second-order gustiness statistics, which include gustiness turbulence intensities, 125 momentum and thermal fluxes (see Sec. 2.4). 126

The remaining part of the paper is organised as follows. Sec. 3 analyses the vertical distribution of the gustiness statistics. Sec. 4 is focused on the 10 m-height  $\mathcal{G}$ , wherein influences of building-packing indices ( $\lambda_p$  and  $\lambda_f$ ) (Sec. 4.1) and building-height variability ( $\sigma_H$ ) (Sec. 4.2) are studied. The sensitivity of  $\mathcal{G}$  to the boundary-layer stability is summarised in Sec. 5. Summary and discussion are given in Sec. 6.

# 132 2. Methodology

# 133 2.1. Building topography

Fig. 1 shows the topography of the studied area. The building topography herein is 134 obtained from the digital surface model (DSM) data at the horizontal resolution of 2 m 135 provided by Kokusai Kogyo Co., Ltd. As in Yoshida et al. (2018), the domain is sur-136 rounded by a buffer region that filled with cubic roughness elements. This is to alleviate 137 influences due to the building-height discontinuity that may arise from the periodic lateral 138 boundary conditions. The lateral dimensions (width and length) of the cuboids are fixed 139 at 10 m, the height set to the domain averaged building height,  $H_{\text{ave,g}}$ , and the packing 140 density to  $\lambda_p = 0.06$ . The dimensions of the domain are  $L_x = 1504 \times \Delta x = 3008$  m in the 141 streamwise (x),  $L_y = 480 \times \Delta y = 960$  m in the spanwise (y) and  $L_z \approx 500$  m in the vertical 142 (z) directions. The spatial resolution in the lateral is homogeneous, i.e.  $\Delta x = \Delta y = 2 \text{ m}$ , 143 which has proven sufficient for simulations of thermally-stratified urban boundary layers 144 (Keck et al., 2014; Gronemeier et al., 2017). In the vertical,  $\Delta z_{\min} = 2 \text{ m for } 0 < z \leq 80 \text{ m}$ 145  $(\sim 4 - 8H_{\rm ave,g})$  and the grid spacing is stretched for  $z > 80\,{\rm m}$  with a stretching factor of 146 1.08 until  $\Delta z_{\text{max}} = 16 \text{ m}$ , which is maintained up to the upper boundary of the domain. 147 The ratio of the coarsest and finest  $\Delta z$  is  $\Delta z_{\rm max}/\Delta z_{\rm min} = 8$ . The maximum grid cell 148



Figure 1: Topography of the studied domain, an urban area of Osaka, Japan. A buffer region filled with cubic blocks is set around the domain. The lateral dimensions of the cuboids are fixed at 10 m following Yoshida et al. (2018) and the height is equal to the global average,  $H_{\text{ave,g}} = 11.5 \text{ m}$  (the building height averaged for the entire domain). The maximum building heigh,  $H_{\text{max,g}} = 58.2 \text{ m}$ , and the standard deviation,  $\sigma_{H,g} = 7.1 \text{ m}$ . The domain dimensions are summarised in Table 1. Fig. 3 plots the morphometric statistics.

$L_x/\Delta x$	$L_y/\Delta y$	$L_z$ (m)	$H_{\rm ave,g}$ (m)	$\sigma_{H,\mathrm{g}}$ (m)	$H_{\rm max,g}~({\rm m})$
1504	480	500	11.5	7.1	58.2
$\Delta x = \Delta y \ (\mathrm{m})$	$\Delta z_{\min} (z \le 80) (m)$	$\Delta z_{\mathrm{k+1}}/\Delta z$	$\Delta z_{\rm k} \ (z > 80 \rm{m})$	$\Delta z_{\rm max}$ (m)	
2	2		1.08	16	
$\Delta P_x \ ({\rm Pa}{\rm m}^{-1})$	$\xi \equiv t_f - t_0 \ (s)$	$\Delta t$ (s)	Sampling unit, $\mathcal{D}$		
$0.6  imes 10^{-3}$	3600	10	See Eqs. $(4)$ - $(7)$ and Fig. 2		

Table 1: Domain dimensions and model parameters.

deformation is smaller than Cui et al. (2004) and Michioka et al. (2019) by a factor of  $\sim 2$ .

<sup>151</sup> The domain dimensions and spatial resolutions are summarised in Table 1.

#### 152 2.2. Sampling

The analysis areas are defined by traversing a sampling unit,  $\mathcal{D}$ , across the entire domain, i.e.  $\mathcal{D} \in L_x \times L_y$ .  $\mathcal{D}$  is initially located at

$$\mathcal{D}_{0,0} \equiv A_0 \cap B_0,\tag{4}$$

where  $A_0 \equiv [x_0, x_0 + l_x]$ ,  $B_0 \equiv [y_0, y_0 + l_y]$  and  $l_x \times l_y$  defines the total lot area covered by  $\mathcal{D}$  (see Fig. 2 for a schematic illustration).  $O(x_0, y_0) \equiv (0, 0)$  denotes the bottom-left

corner of the domain (excluding the buffer region, see Fig. 1). The next analysis area is 157 given by displacing  $\mathcal{D}_{0,0}$  in the lateral directions, viz. 158

$$\mathcal{D}_{m,n} \equiv A_m \cap B_n,\tag{5}$$

where  $A_m \equiv A_0 + m\Delta l_x$  and  $B_n \equiv B_0 + n\Delta l_y$ . The non-dimensional size of the sampling units,

$$l_x/\Delta x \in d_x,\tag{6a}$$

$$l_y/\Delta y \in d_y,\tag{6b}$$

are summarised in Table 2. The dimensions of  $\mathcal{D}$  need to ensure that the profiles within each sampling unit are statistically representative. Cheng and Castro (2002) considered a spatial average over 25 sampling profiles and it was shown that a 4-profile average yielded very similar results. In the current study, the minimum quantity of profiles within each sampling unit is  $125 \times 125$ , which is larger compared to Cheng and Castro (2002) by two orders of magnitude. The non-dimensional displacement distances,  $\Delta l_x$  and  $\Delta l_y$ ,

$$\Delta l_x / \Delta x \in s_x, \tag{7a}$$

$$\Delta l_y / \Delta y \in s_y, \tag{7b}$$

allow partial overlap of neighbouring  $\mathcal{D}$  (see the green and blue patches in Fig. 2 for 159 a schematic illustration). Through permutations and combinations of the sampling unit 160 dimensions, Eq. (6), and the displacement distances, Eq. (7), the sample size is effectively 161 increased, allowing a more comprehensive characterisation of the morphometric features 162 of a realistic urban area (cf. Yoshida et al., 2018). For example, assuming  $\Delta l_x = \frac{1}{2}l_x$ 163 and  $\Delta l_y = \frac{1}{2}l_y$ , the sample size can be increased by a factor of up to  $3 \sim 4$ . Given the 164 dimensions considered for the current domain (see Table 1), a dataset of about  $4 \times 10^3$ 165 analysis units is established. 166

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For convenience, angle brackets denote horizontal averaging over each  $\mathcal{D}$ , viz.,

$$\langle \star \rangle = \frac{1}{A_{\mathcal{D}}} \int_{A_{\mathcal{D}}} (\star) d\boldsymbol{x},\tag{8}$$

where  $A_{\mathcal{D}} = d_x \Delta x \times d_y \Delta y = l_x \times l_y$ . For brevity, the notation will be dropped off for the 168 gustiness statistics (see Sec. 2.4). For simplicity, the same notation is applied to ensemble 169

Table 2: Normalised dimensions and displacement distances of the sampling unit,  $\mathcal{D}$  (Fig. 2).



Figure 2: Schematic illustration of the sampling methodology. The sampling unit,  $\mathcal{D}$ , is initially located at  $A_0 \cap B_0$  (i.e.  $\mathcal{D}_{0,0} \equiv A_0 \cap B_0$ ), the bottom left corner of the domain,  $L_x \times L_y$  (see Fig. 1 and Table 1), where  $A_0 \equiv [x_0, x_0 + l_x]$  and  $B_0 \equiv [y_0, y_0 + l_y]$ .  $\mathcal{D}_{m,n}$  denotes the updated sampling unit after displacing  $\mathcal{D}_{0,0}$  in the streamwise direction by  $m\Delta l_x$  and in the spanwise direction by  $n\Delta l_y$ .  $l_x$  and  $l_y$  are the lateral dimensions of  $\mathcal{D}$ , Eq. (6), and  $\Delta l_x$  and  $\Delta l_y$  are the displacement distances, Eq. (7). The green and blue patches denote that neighbouring  $\mathcal{D}$ 's are allowed to overlap.

<sup>170</sup> averaging over the sampling units,

$$\langle \star \rangle \equiv \frac{1}{M \times N} \sum_{\mathcal{D}_{0,0}}^{\mathcal{D}_{M,N}} (\star), \tag{9}$$

where  $M \times N$  denotes the maximum number of the urban unit,  $\mathcal{D}$ , that the domain can accommodate given the unit dimensions and displacement distances (see Fig. 2 and Table 2). Similar ensemble averaging was seen in Coceal et al. (2007a).

Applying the proposed sampling approach, a dataset of surface morphometric features is established. The morphometric metrics are graphed in a boxplot in Fig. 3, which includes the nominal minimum, maximum, the median and the 25%-75% quartiles. The values of each measure are roughly symmetrically distributed about the median, despite the plan-area index  $(\lambda_p)$ that is slightly positively skewed.  $\lambda_p$  also exhibits a greater variability compared to the frontal-area index  $(\lambda_f)$ . The maximum building height  $(H_{\text{max}})$ 



Figure 3: Morphometric statistics of the studied urban area (Fig. 1) applying the sampling approach proposed in Sec. 2.2. The red diamond indicates the mean and the short horizontal line colored in white shows the median.

- <sup>181</sup> shows a wide range of variation across the entire domain and is approximately <sup>182</sup> four times of the mean building height  $(H_{ave})$ , indicating the presence of areas <sup>183</sup> with a cluster of high-rise buildings. The morphometric statistics are similar <sup>184</sup> to Kyoto (Takemi et al., 2020) and London, but differ greatly from some cities <sup>185</sup> in North American, e.g. Los Angeles (Ratti et al., 2002).
- 186 2.3. Large-eddy simulation
- 187 2.3.1. Numerical model

The flow and turbulence are simulated using large-eddy simulation based on the implicitly filtered non-hydrostatic, incompressible Boussinesq equations (Duan and Ngan, 2019; Wang and Anderson, 2019),

$$\frac{\mathrm{D}\tilde{\boldsymbol{u}}(\boldsymbol{x},t)}{\mathrm{D}t} == \frac{1}{\rho} \boldsymbol{F}(\boldsymbol{x},t)$$
(10)

where  $\mathbf{F}$  denotes the forcing term,  $\tilde{\boldsymbol{u}}$  is the velocity vector with its three components,  $\tilde{u}$ ,  $\tilde{v}$ and  $\tilde{w}$ , representing the projections on the streamwise (x), spanwise (or cross-stream, y) and vertical (z) axes of the coordinate vector,  $\boldsymbol{x}$ , respectively. The grid-filtered quantity,  $\widetilde{(\cdot)}$ , is obtained from convolution with a spatial filter kernel,  $\tilde{\boldsymbol{u}} = G * \boldsymbol{u}(\boldsymbol{x}, t)$ . The resultant

subgrid-scale (SGS) stress tensor,  $\tau_{ij} = \widetilde{u_i u_j} - \widetilde{u}_i \widetilde{u}_j$ , is parameterised using the modified 195 1.5-order Deardorff closure (Deardorff, 1980) of Moeng and Wyngaard (1988). The SGS 196 eddy viscosity for momentum,  $\nu_{sgs,m}$ , is modelled by solving a prognostic equation for 197 the SGS turbulence kinetic energy. SGS parameterisation is also needed for the filtered 198 energy equation (Bou-Zeid et al., 2010). The SGS eddy diffusivity for heat,  $\nu_{sgs,h}$ , is 199 modeled as  $\nu_{\rm sgs,h} = \nu_{\rm sgs,m}/Pr_t$ , where  $Pr_t$  is the turbulent Prandtl number and is slightly 200 smaller than unity. For brevity, the tilde is dropped off hereafter. Coriolis accelerations 201 are neglected. 202

The governing equations are solved using the LES model, PALM (Maronga et al., 204 2015). Third-order Runge-Kutta time-stepping scheme (Williamson, 1980) is combined 205 with a fifth-order finite differencing scheme for momentum and scalar advection (Wicker 206 and Skamarock, 2002). The Poisson equation for the pressure is solved with the multigrid 207 method.

PALM has been extensively applied to studies of urban TBL flows (e.g. Letzel et al., 2009 2008; Kanda et al., 2013; Park et al., 2015; Duan and Ngan, 2019). The model has been 210 successfully validated for first-order statistics of temperature and velocity components 211 (e.g. Yaghoobian et al., 2014; Park and Baik, 2013; Wang and Ng, 2018b). Second-212 order statistics also show good agreement with wind-tunnel measurements for thermally-213 stratified TBL flows (e.g. Duan and Ngan, 2020).

#### 214 2.3.2. Initialisation and forcing

A thermally-stratified urban turbulent boundary-layer (TBL) flow is driven by a fixed pressure gradient in the streamwise direction,  $\Delta P_x$ , which mimics an external large-scale forcing (e.g. Lo and Ngan, 2017), and a temperature difference specified between the ground surface,  $T_{\rm ref}$ , and the freestream,  $T_0$ ,  $\Delta T \equiv T_{\rm ref} - T_0$  (see Table 3). The 3-D LES model is initialised from the stationary solution of a 1-D model based on the Reynoldsaverage turbulence parameterisation (Detering and Etling, 1985).

As in Cheng and Liu (2011), periodic boundary conditions are applied in the lateral directions and Neumann at the domain top. At solid surfaces, there is no-slip for the velocity components and Neumann for scalars, except for temperature on the ground, wherein Dirichlet boundary condition is used. A Prandtl layer is assumed between the roughness height,  $z_0 = 0.1$  m, and the first grid level; the near-wall boundary conditions are parameterised following the Monin-Obukhov similarity theory (MOST). The occur-

rence of streak-like structures originating from the persistent periodic boundary condi-227 tions, also known as the spanwise locking of very large-scale structures (e.g. Hutchins 228 and Marusic, 2007; Fang and Porté-Agel, 2015), is alleviated by applying 'shifted peri-229 odic boundary conditions' in the streamwise direction, whereby the outlet boundary no 230 longer corresponds to the original inlet boundary but rather the one shifted in the span-231 wise direction (Munters et al., 2016). The periodic boundary conditions herein 232 differ from Duan and Ngan (2019), wherein turbulence recycling was com-233 bined with Dirichlet and radiation boundary conditions applied at the inlet 234 and outlet boundaries, respectively, so as to mimic a neutrally-stratified flow 235 approaching a local region that is thermally stratified. The current study re-236 quires horizontally-homogeneous statistics for both the velocity components 237 and the temperature. 238

The above model setups yield a thermally stratified TBL flow with the bulk Richardson number (e.g. Duan and Ngan, 2020),

$$Rb = \left(\frac{g\,\tilde{\tilde{T}} - T_{ref}}{\overline{\overline{T}} - \tilde{\tilde{H}}}\right) \middle/ \left(\frac{\tilde{\tilde{U}}}{\tilde{\tilde{H}}}\right)^2,\tag{11}$$

satisfying  $\text{Rb} \in [-0.41 \pm 0.08, \ 0.82 \pm 0.10]$ , where  $\tilde{\tilde{H}}$  denotes the canopy height (herein 241  $\tilde{H} \sim H_{\rm max}$  as momentum fluxes peak close to the maximum building height (Kanda 242 et al., 2013)),  $\tilde{\tilde{T}}$  and  $\tilde{\tilde{U}}$  the temporally- and spatially-averaged roof-level temperature and 243 streamwise velocity, respectively,  $\overline{T}$  the temporally- and vertically-average temperature 244 for  $z/\tilde{\tilde{H}} \in [0,1], g = 9.8\,\mathrm{m\,s^{-2}}$  the gravitational acceleration. Similar boundary-layer 245 stabilities have been considered in previous studies of urban TBL flows. For exam-246 ple, Rb  $\in [-0.52, 0.31]$  in the LES study of an idealised building array (Duan and 247 Ngan, 2019), Rb  $\in [-0.21, 0.78]$  in wind-tunnel experiments (Uehara et al., 2000), 248  $Rb \in [-0.35, 0]$  from field measurements in a real city (Wang and Ng, 2018a) and 249  $Rb \in [-0.45, -0.17]$  from in situ measurements inside a street canyon (Nakamura and 250 Oke, 1988). 251

The vertical scale may be limited by the domain height  $(L_z)$ , which is smaller than the inversion height of a typical convective boundary layer. However, given the fact that only the flow and turbulence statistics in the surface layers are of great concern in the current study, it is a common practice to decrease the domain height to a reasonable level so that the simulations can

Table 3: Temperature differences,  $\Delta T \equiv T_{ref} - T_0$ .  $T_{ref}$  denotes the temperature specified on the ground and  $T_0 = 300 \text{ K}$  is the freestream temperature.

Stability	-Stable-	-Neutral-	-Unstable-
$\Delta T$ (K)	$\{-8, -4\}$	$\{0\}$	$\{4, 8\}$

<sup>257</sup> be accommodated without requiring an immerse amount of computer power
(e.g. Kanda and Yamao, 2016; Nazarian and Kleissl, 2016; Duan and Ngan,
<sup>259</sup> 2019). In fact the current domain height is comparable with Park and Baik
(2013), wherein turbulence coherent structures were studied for stably- and
<sup>261</sup> unstably-stratified urban TBL flows.

The Reynolds number based on  $\tilde{\tilde{H}}$  and  $\tilde{\tilde{U}}$ ,  $Re = \tilde{\tilde{U}}\tilde{\tilde{H}}/\nu \sim \mathcal{O}(10^7)$ , is consistent with 262 the LES study of Wang and Anderson (2019) and the roughness  $Re, Re^* = u_* \hat{z} / \nu \sim$ 263  $\mathcal{O}(10^6)$ , comparable with the measured value of Inagaki and Kanda (2008)  $(10^4 - 10^5)$ , 264 where  $\hat{z}$  denotes the roughness length that obtained via least-squares regression of the 265 mean wind profiles in the logarithmic region (Cheng et al., 2007). The friction velocity,  $u_*$ , 266 is calculated from the averaged Reynolds shear stress, -u'w', at  $z/\tilde{\tilde{H}} = 1$  (e.g. Kastner-267 Klein and Rotach, 2004), i.e.  $u_* = (-\overline{u'w'})^{1/2}$ . The stress may be averaged for the 268 roughness sublayer (RSL) (e.g.  $H_{\text{ave}} + \sigma_H < z < H_{\text{max}}$ , Kanda et al., 2013) or throughout 269 the RSL and the inertial sublayer (ISL); however, this would not lead to a qualitatively 270 differing result (Cheng and Castro, 2002). 271

For comparison, Rb, Re,  $Re^*$  and associated standard deviations are plotted in Fig. 4. The values of the Reynolds number and the bulk Richardson number for each  $\Delta T$  are calculated from the ensemble average over the sampling units (see Eq. 9 in Sec. 2.2). The standard deviations therefore reflect the spatial variability.

The 3-D model is forced to develop for  $t_f = 7$  hours, with a 6-hour spin up,  $t_0 = 6$  h. The last 1-hour data,  $\xi \equiv t_f - t_0 = 3600$  s, which are recorded every  $\Delta t = 10$  s and have reached statistically-steady state, are used for analyses. For convenience, an overbar,  $\overline{(\star)}$ , will be used to denote the time averaging of the resolved-scale quantity,  $(\star)$ ,

$$\overline{(\star)} \equiv \frac{1}{\xi} \int_{t_0}^{t_f} (\star)(t) \, dt, \tag{12}$$

and a single prime,  $(\star)'$ , the deviation from the time average,  $(\star)' \equiv (\star) - \overline{(\star)}$ .



**Figure 4:** (Blue) Re; (green)  $Re^*$ ; (red) Rb. The angle brackets denote the ensemble average over the sampling units (see Eq. 9 in Sec. 2.2). The error bars (standard deviations) reflect the spatial variability.

# 281 2.4. Gustiness and notation

Gustiness is defined as the extremes associated with either the resolved scale, e.g.  $u_i$ , or the turbulence quantity, e.g.  $u'_i$ , viz.

$$|u_i|_{\max} \equiv \max_{\substack{t \in \xi \\ \mathbf{x} \in \mathcal{D}}} |u_i(t, \mathbf{x})|,$$
(13a)

$$|u_i'|_{\max} \equiv \max_{\substack{t \in \xi \\ \mathbf{x} \in \mathcal{D}}} |u_i'(t, \mathbf{x})|, \tag{13b}$$

$$\sigma_{u_i,\max} \equiv \max_{\substack{t \in \\ \vec{\mathbf{x}} \in \mathcal{D}}} {u'_i}^2(t, \vec{\mathbf{x}}), \tag{13c}$$

$$TKE_{\max} \equiv \max_{\substack{t \in \xi \\ \mathbf{x} \in \mathcal{D}}} \frac{1}{2} ({u'}^2 + {v'}^2 + {w'}^2)(t, \mathbf{x}),$$
(13d)

$$-|\varphi'\phi'_{(-)}|_{\max} \equiv -\max_{\substack{t\in\xi\\\vec{\mathbf{x}}\in\mathcal{D}}} |\varphi'\phi'_{(-)}(t,\vec{\mathbf{x}})|,$$
(13e)

where  $\xi \equiv t_f - t_0 = 3600 \,\mathrm{s}$  (see Sec. 2.3.2), the subscript '(-)' denotes negative fluxes<sup>1</sup>,  $u_i = \{u, v, w\}, \varphi' \text{ and } \phi' \ (\varphi' \neq \phi')$  denote the deviation of a velocity component  $(u_i)$ 

<sup>&</sup>lt;sup>1</sup>Negative covariances (known as sweeps or ejections in quadrant analysis) contribute to turbulence generation (Wallace, 2016).

or temperature (T) from the corresponding time average, Eq. (12). The extremes are calculated for all the grid points of each sampling unit, i.e.  $\vec{\mathbf{x}} \in \mathcal{D}$  (see Sec. 2.2), and then horizontally averaged (see Eq. (8)). For convenience, a gust factor,  $\mathcal{G}$ , summarises all the gustiness statistics,

$$\mathcal{G} \equiv \{ \overbrace{|u_i|_{\max}}^{\text{Eq. (13a)}}, \underbrace{|u_i'|_{\max}}_{\text{Eq. (13b)}}, \overbrace{\sigma_{u_i,\max}}^{\text{Eq. (13c)}}, \underbrace{TKE_{\max}}_{\text{Eq. (13d)}}, \overbrace{-|\psi'\phi'_{(-)}|_{\max}}^{\text{Eq. (13e)}} \}.$$
(14)

The gustiness statistics may be non-dimensionalised with respect to the local temporal average (Nakayama et al., 2012), viz.

$$\mathcal{G} \equiv \begin{cases}
|u_i|_{\max} / \langle \overline{u_i} \rangle, \\
|u_i'|_{\max} / \langle \overline{u_i'} \rangle, \\
\sigma_{u_i,\max} / \langle \overline{\sigma_{u_i}} \rangle, \\
TKE_{\max} / \langle \overline{TKE} \rangle, \\
-|\psi' \phi_{(-)}'|_{\max} / \langle \overline{\psi' \phi'} \rangle,
\end{cases}$$
(15)

<sup>290</sup> or the freestream reference quantities (e.g. Ahmad et al., 2017; Takemi et al., 2020), viz.

$$\mathcal{G} \equiv \begin{cases}
|u_i|_{\max}/U_{\infty}, \\
|u_i'|_{\max}/U_{\infty}, \\
\sigma_{u_i,\max}/U_{\infty}, \\
TKE_{\max}/U_{\infty}^2, \\
-|\psi'\phi_{(-)}'|_{\max}/\psi_{\mathrm{ref}}\phi_{\mathrm{ref}},
\end{cases}$$
(16)

where  $U_{\infty}$  is the temporal and spatial average of the streamwise velocity in the horizontal plane close to the domain top and  $T_{\text{ref}}$  denotes the temperature specified on the urban floor (see Sec. 2.3.2),  $\psi_{\text{ref}}\phi_{\text{ref}} = U_{\infty}T_{\text{ref}}$  for thermal fluxes and  $\psi_{\text{ref}}\phi_{\text{ref}} = U_{\infty}^2$  for momentum fluxes. The former, Eq. (15), quantifies the gusts with respect to the local statistically-steady state, while the latter, Eq. (16), assesses the local extremes versus the large-scale forcing.

In urban microenvironments, the flow and turbulence are strongly perturbed by the roughness elements that mounted on the urban surface. Well-defined local steady states are generally difficult to be established within the roughness sublayer in realistic scenarios

(Ahmad et al., 2017). The dynamics of the flow are complicated by perturbations of 300 ground heating or cooling (Duan and Ngan, 2019). By contrast, fully developed turbulent 301 boundary layers are often seen above the roughness sublayer: TBLs have been shown for 302  $z/H\gtrsim 1.5$  for idealised urban canyons (Park and Baik, 2013; Duan and Ngan, 2018) and 303  $z/H_{\rm ave} \gtrsim 2-5$  for realistic ones (Rotach, 1995; Giometto et al., 2016). While gustiness is 304 essentially local, the normalisation with respect to the freestream reference quantities may 305 be more appropriate for the evaluation of influences of severe meteorological perturbations 306 (e.g. strong typhoons, Takemi et al., 2019, 2020). 307

#### 308 3. Vertical profiles

Vertical profiles are helpful in characterising the change of turbulence and gustiness 309 statistics from inside the canyons to the boundary layers aloft the surface roughness 310 and up to the top of the domain. Fig. 5 plots the vertical profiles of the gustiness 311 statistics,  $\mathcal{G}$ , for different  $\Delta T$ . Each profile corresponds to the horizontal average over 312 a single (urban) sampling unit,  $\mathcal{D}$  (see Sec. 2.2). For clarity in the lower surface 313 layers, a base-10 logarithmic scale is used for y axis and results of only one 314 unit displacement,  $s_x = s_y = 100$ , but all unit sizes,  $d_x \times d_y$  (see Table 2 in 315 Sec. 2.2), are shown. Despite that the profiles are plotted for the gustiness, the 316 general pattern resembles the conventional time-averaged flow and turbulence 317 statistics that observed in wind-tunnel experiments for thermally-stratified 318 TBL flows (Uehara et al., 2000). 319

The sensitivity of  $\mathcal{G}$  to  $\lambda_p$  depends on the vertical level. The color gradients are 320 well defined for  $z \leq H_{\text{max}}$ , implying a monotonic dependence of  $\mathcal{G}$  on  $\lambda_p$  in the lower 321 surface layers. This is consistent with previous studies for neutral flows, e.g. Ahmad 322 et al. (2017), which was focused on the 2 m-height wind gusts, and Takemi et al. (2020), 323 wherein the gusty winds were compared for  $z/H_{\text{ave,g}} = 0.5$  and 1.0. We note that the 324 relationship between  $\mathcal{G}$  and  $\lambda_p$  is inconclusive at higher vertical levels,  $z \gtrsim H_{\text{max}}$ , which 325 coincides with Ahmad et al. (2017) that the surface aerodynamic roughness 326 length was found to be of more relevance to  $\mathcal{G}$  compared to the plan-area 327 index at higher elevations above the canopy layer (though the study was 328 conducted for only neutral stratification). However, the influence of the surface 329 obstacles does not vanish-the profiles associated with each  $\Delta T$  do not exactly collapse. 330



Figure 5: Vertical profiles of the normalised gustiness statistics, Eq. (16), for different  $\Delta T$ . (Blues)  $\Delta T = -8 \,^{\circ}\text{C}$ ; (greens)  $\Delta T = 0 \,^{\circ}\text{C}$ ; (purples)  $\Delta T = 8 \,^{\circ}\text{C}$ . The profiles are colored in light (for low  $\lambda_p$ ) and deep (for high  $\lambda_p$ ) colors that associated with each  $\Delta T$ . For brevity, the colorbar is only shown for  $\Delta T = -8 \,^{\circ}\text{C}$ . The horizontal lines indicate the global values: upper dashed line for  $H_{\text{max},\text{g}}$  and lower dash-dotted line for  $H_{\text{ave},\text{g}}$  (see Table 1). Note the base-10 logarithmic scale for y axis. 16

<sup>331</sup> Unlike the conventional time-averaged turbulence statistics (Kastner-Klein and Rotach, <sup>332</sup> 2004; Cheng et al., 2007; Roth et al., 2015), the profiles of the gustiness statistics do not <sup>333</sup> converge at greater heights.

As in Raupach et al. (1996),  $\mathcal{G}$  attain local maxima for  $H_{\text{ave}} \leq z < H_{\text{max}}$  and there is a rapid decay with decreasing height for  $z/H_{\text{ave}} \leq 1$ . Note  $H_{\text{ave,g}} \sim 10 \text{ m}$  (see Table 1). Comparable 10 m-height  $\mathcal{G}$  were found in urban areas of Osaka (Takemi et al., 2019) and Kyoto (Takemi et al., 2020) during the passage of a strong typhoon. The pedestrian-level  $\mathcal{G}$  are also in good agreement with the LES study of wind gusts in Tokyo (Ahmad et al., 2017),  $\mathcal{G} \sim \mathcal{O}(0.1)$ .

The profiles also exhibit a strong dependence on the boundary-layer stability. While 340 the sensitivity to  $\Delta T$  seems monotonic for  $z/H_{\rm max} \lesssim 1$ , there is a slight overlap of 341 neighboring profile bunches, indicating that the influence of surface inhomogeneity may 342 be strong enough to oppose the effect of thermal stratification in certain regions of the 343 domain-the 3-D details of urban roughness elements may be of non-negligible importance. 344 By contrast, the influence of  $\Delta T$  predominates away from the surface roughness-the 345 three bunches of the profiles that associated with different  $\Delta T$  are well separate at higher 346 vertical levels. 347

The  $\mathcal{G}$  statistics (except the thermal fluxes, panels e,f) are not maximised for  $z/H_{\text{ave}} \sim$ 1 or  $z/H_{\text{max}} \sim 1$ . This is because the normalisation is against the freestream reference parameters,  $U_{\infty}$  and  $T_{\text{ref}}$  (see Eq. (16)), rather than the local quantities (see Eq. (15)) and the turbulence production increasingly dominates for large fluctuations at greater heights.

Fig. 6 plots the point-wise comparison of the  $\mathcal{G}$  intensities against the conventional 353 time-averaged turbulence statistics. While the colormaps also indicate a rough monotonic 354 dependence of the gustiness statistics on the building-height variability, this is less evident 355 for the unstable case. The ratios offer a general idea about the relative strength of 356 gustiness over the time averages. There is a well-defined convergence towards lower 357 values as the height is increased for  $z/H_{\text{ave}} \gtrsim 1$  and the maximum ratios are found 358 to occur substantially inside the canyons ( $z/H_{\rm ave} < 1$ , note  $H_{\rm ave,g} \sim 10\,{
m m}$ , see 359 Table 1). The dependence of the 10 m-height  $\mathcal{G}$  on the urban morphometric parameters, 360  $\mathcal{S}$ , is investigated in greater detail in Sec. 4. 361



Figure 6: As in Fig. 5, but for the ratios of the gustiness intensities over the conventional timeaveraged turbulence statistics, Eq. (15). The profiles are colored by the building-height variability,  $\sigma_H$  (m), in the color associated with each  $\Delta T$ . The colormaps follow that in Fig. 5. Angle brackets denote horizontal averaging over  $\mathcal{D}$  (see Eq. (8)) and overline denotes the temporal average.

#### <sup>362</sup> 4. 10 m-height gustiness statistics

Inside the urban canyons, the flow is strongly perturbed by the obstacles and the 363 dynamics are more complex as the flow being thermally stratified. The most obvious 364 evidence is the Reynolds shear stress, -u'w', which is weakened in stably-stratified flows, 365 whilst strengthened in unstable stratification. A direct influence is on the friction ve-366 locity,  $u_* \propto \sqrt{|-u'w'|}$ . Fig. 7 plots the normalised  $u_*$  against the non-dimensionalized 367  $\sigma_H$  (panel a) and the building-packing indices (panel b) for stable, neutral and 368 unstable stratification. The friction velocity is reduced by  $\sim 50\%$  in stable stratification 369  $(\Delta T = -8 \,^{\circ}\text{C})$  compared to the unstable case  $(\Delta T = 8 \,^{\circ}\text{C})$ . A comparable reduction has 370 been measured in wind-tunnel experiments of thermally-stratified flows in a 2-D canyon 371 (Marucci and Carpentieri, 2019). 372

The increasing trend of  $u_*/U_{\infty}$  with  $\sigma_H/H_{\text{ave}}$  (panel a) admits the fact that 373 the drag force is larger for urban surfaces of higher inhomogeneity (Cheng 374 and Castro, 2002; Britter and Hanna, 2003), and this is especially so when the 375 boundary layer is unstably stratified. By contrast, the colormap indicates a 376 complicated influence of the normalised maximum building height,  $H_{\text{max}}/H_{\text{ave}}$ , 377 on the drag. The surface inhomogeneity is not solely determined by the 378 geometric parameters (e.g.  $\sigma_H$  and  $H_{\text{max}}$ ), but also affected by the building 379 packing indices (panel b). Medium values of  $u_*/U_{\infty}$  are found to be clustered 380 around the maximum  $\lambda_f$  (indicated in the colormap); however, this is not 381 necessarily true for  $\lambda_p$ . Unlike the frontal-area index  $(\lambda_f)$ ,  $\lambda_p$  is not explicitly 382 correlated with  $\sigma_H$  by definition (Grimmond and Oke, 1999). It plausible that 383 the dependence of  $u_*/U_{\infty}$  on  $\lambda_p$  is also nonlinear. A likely explanation is that 384 as  $\lambda_p$  approaches the theoretical limit,  $\lambda_p \rightarrow 1$ , the drag force returns to that 385 for a flat plane (assuming  $\sigma_H = 0$ )-an intermediate range of  $\lambda_p$  may exist for 386 the drag force to attain maximum values (Shaw and Pereira, 1982; Raupach, 387 1992). The idea also agrees with the rationale categorising the urban flow 388 regimes (Hussain and Lee, 1980). The friction velocity reflects the combined 389 effects of those different components that affect the surface homogeneity; 390 however, disentangling the contribution of separate factors to the drag force 391 is not straightforward. 392



Following the discussion in Sec. 3 and Takemi et al. (2019), this section analyses the



Figure 7: Normalised friction velocity,  $u_*/U_{\infty}$ , versus the (a) non-dimensional buildingheight variability and the (b) building-packing indices. (blues)  $\Delta T = -8$  °C; (greens)  $\Delta T = 0$  °C; (purples)  $\Delta T = 8$  °C. The colormaps follow that in Fig. 5.

<sup>394</sup> 10 m-height gustiness statistics. As the vertical level is increased, the plan-area index is <sup>395</sup> decreased and eventually the flow is devoid of direct perturbations from the <sup>396</sup> surface roughness elements (Giometto et al., 2016).

Fig. 8 plots the gustiness statistics in the x - y plane at the 10 m height. There is a strong sensitivity to the boundary-layer stability: the extremes weaken in stable stratification (left panels), whilst strengthen in unstable stratification (right panels), which coincide with the pattern of the thermal flux (panels g,h). The sensitivity exhibits a great similarity to that for the conventional time-averaged turbulence statistics (e.g. Duan and Ngan, 2019).

The extremes are markedly visible in areas of more sparsely distributed building obstacles (i.e. regions of low plan-area index as annotated in the white squares in panel b), which agrees well with previous studies (e.g. Ahmad et al., 2017; Takemi et al., 2020). The current study also shows that the pattern is well preserved irrespective of ground heating or cooling. The sensitivity of  $\mathcal{G}$  to the surface morphometric parameters  $(\lambda_p, \lambda_f \text{ and } \sigma_H)$  and the boundary-layer stability is investigated in the remaining sections.

# 409 4.1. Influence of building-packing indices, $\lambda_p$ and $\lambda_f$

Fig. 9 plots the normalised  $\mathcal{G}$  against  $\lambda_p$ . For  $\Delta T = 0$  (the blues),  $\mathcal{G}$  show a strong linear dependence on  $\lambda_p$  (e.g.  $R^2 = 0.80$  for the gustiness TKE), wherein  $\mathcal{G}$  are maximised for small  $\lambda_p$  and minimised for large  $\lambda_p$ . The results are consistent with the study of



Figure 8: Normalised gustiness statistics,  $\mathcal{G}$  (Eq. (14)), in the 10 m-height x - y plane. (left panels) Stable stratification,  $\Delta T = -4$  °C; (right panels) unstable stratification,  $\Delta T = 4$  °C. (a-b) Vertical velocity component,  $|w|_{\max}$ ; (c-d) turbulence kinetic energy,  $TKE_{\max}$ ; (e-f) turbulent momentum flux,  $-|u'w'_{(-)}|_{\max}$ ; (g-h) thermal flux,  $-|T'w'_{(-)}|_{\max}$ . As the  $\mathcal{G}$  statistics are approximately an order of magnitude smaller for the stable cases compared to the unstable ones (cf. Fig. 5), for visualisation purpose, the colorbars are capped at smaller values for stable stratification.

Ahmad et al. (2017) for a neutrally-stratified TBL flow that developed over an urban area of Tokyo. It is further confirmed herein that the linear scaling of  $\mathcal{G}$  with  $\lambda_p$  persists for stable and unstable stratifications. By contrast, the colormap implies a strong non-linear relationship between  $\mathcal{G}$  and the frontal-area index,  $\lambda_f$  (explored in the later text accompanying Fig. 10).

The gustiness is considerably more sensitive to the change of  $\Delta T$ ,  $d\Delta T$ , for  $\Delta T >$ 419 0 compared to that for  $\Delta T < 0$ , i.e.  $d\mathcal{G}/d\Delta T|_{\Delta T>0} > d\mathcal{G}/d\Delta T|_{\Delta T<0}$ . For example,

the trends of  $\mathcal{G}$  versus  $\lambda_p$  for  $\Delta T = -4 \,^{\circ}\text{C}$  and  $-8 \,^{\circ}\text{C}$  almost collapse, while that for 420  $\Delta T > 0$  are well separate. The slope is much steeper for the latter. More specifically, 421  $\mathcal{G} \propto -0.03\lambda_p$  for  $\Delta T = -8$  °C and  $\mathcal{G} \propto -0.18\lambda_p$  for  $\Delta T = 8$  °C. Analogous results 422 have been noted in Duan and Ngan (2019, 2020) for thermally-stratified flows developed 423 over an idealised building array. While those studies were focused on the standard time-424 averaged turbulence statistics, the influence of  $\Delta T$  on wind gusts was indicated in the 425 quadrant analysis: stable flow is characterised with small fluctuations and the quadrant 426 statistics change marginally with  $\Delta T$ ; however, sweeps diminish greatly for  $\Delta T > 0$  as 427  $\Delta T$  is increased and ejections dominate. 428

Fig. 10 plots the normalised  $\mathcal{G}$  against the frontal-area index,  $\lambda_f$ . Despite that the 429 general pattern of  $\mathcal{G}$  versus  $\lambda_p$  (Fig. 9) is roughly preserved (noticeably for 430 high  $H_{\text{ave}}$  as indicated in the colormap), the dependence of gustiness on  $\lambda_f$  is 431 **non-linear:** the weakest gustiness appears to prioritise moderate  $\lambda_f$  that accompanied 432 with low  $H_{\text{ave}}$  (highlighted in the ellipse between the vertical dash-dotted lines, see panel 433 a) and this is especially so for  $\Delta T > 0$ . Also, we note that the quality of the linear curve 434 fitting using least-squares regression is not good for both the stable and unstable cases, 435 e.g.  $R^2 = 0.22$  for  $\Delta T = -8$  °C and 0.35 for  $\Delta T = 8$  °C for the gustiness TKE,  $TKE_{\text{max}}$ . 436 By definition,  $\lambda_f$  should be small when the building height is low (Grim-437 mond and Oke, 1999); however, this is not necessarily true for inhomogeneous 438 surfaces. The results indicate that there exists regions of high  $\sigma_H$  to offset the 439 decrease of  $\lambda_f$  due to the low averaged building height,  $H_{\text{ave}}$ . This may explain 440 the concurrence of low  $H_{\text{ave}}$  with medium  $\lambda_f$  but not small  $\lambda_f$ , suggesting that 441 an effective  $\lambda_f$  may be defined for inhomogeneous surfaces by incorporating 442  $\sigma_H$  and  $H_{\text{ave}}$  into the scaling. Following the idea in Kanda et al. (2013) that 443 on a somewhat unrelated topic, it is sensible to combine the nondimensional 444 quantity,  $H_{\text{ave}}/\sigma_H$ , directly with  $\lambda_f$ , i.e., 445

$$\hat{\lambda_f} \equiv \lambda_f H_{\rm ave} / \sigma_H. \tag{17}$$

An appreciable increase is seen in the *R*-squared with the effective  $\lambda_f$ ,  $\hat{\lambda_f}$ , in place of the unscaled  $\lambda_f$  for the linear least-squares fitting (see Fig. 11), e.g. the *R*<sup>2</sup> for *TKE*<sub>max</sub> is increased from 0.22 to 0.53 for  $\Delta T = -8$  °C and from 0.35 to 0.50 for  $\Delta T = -8$  °C, suggesting that  $\hat{\lambda_f}$  is of a more appropriate scaling parameter for *G* compared to  $\lambda_f$ .





(b)  $|u'_i|_{\text{max}}$  (Eq. (13b))

Figure 9: Normalised  $\mathcal{G}$  versus  $\lambda_p$ . For brevity, results of only the streamwise velocity component are shown  $(u_i = u \text{ and } u'_i u'_j = u'w')$ ; other components show similar trends. The gustiness statistics are colored by the frontal area density,  $\lambda_f$ : (gradient red)  $\Delta T = -8 \,^{\circ}$ C; (gradient green)  $\Delta T = -4 \,^{\circ}$ C; (gradient blue)  $\Delta T = 0 \,^{\circ}$ C; (gradient purple)  $\Delta T = 4 \,^{\circ}$ C; (red-green-blue)  $\Delta T = 8 \,^{\circ}$ C. The trends for the stable cases nearly overlap. For brevity, the colorbar is only shown for  $\Delta T = 8 \,^{\circ}$ C. The colormaps for the other  $\Delta T$  follow the convention that light colors indicate small values (herein  $\lambda_f$ ) and deep for large ones.



(b)  $|u'_i|_{\max}$ 



Figure 10: As in Fig. 9, but for  $\lambda_f$ , and colored by  $H_{\text{ave}}$ . For clarity, results of only one stable (gradient purple,  $\Delta T = -8 \,^{\circ}\text{C}$ ), one neutral (gradient blue,  $\Delta T = 0 \,^{\circ}\text{C}$ ) and an unstable (red-green-blue,  $\Delta T = 8 \,^{\circ}\text{C}$ ) case are shown. The colormaps follow that in Fig. 9. The dashed ellipse in panel a highlights the minimal  $H_{\text{ave}}$  that obtained for moderate  $\lambda_f$ ,  $0.3 \leq \lambda_f \leq 0.4$ , the range bounded between the dash-dotted vertical lines.



Figure 11: As in Fig. 10, but for  $\mathcal{G}$  versus the effective  $\lambda_f$ ,  $\hat{\lambda}_f$  (i.e.  $\lambda_f H_{\text{ave}}/\sigma_H$ , see Eq. (17)). For brevity, only the gustiness momentum flux and the TKE are plotted. (a)  $-|u'_i u'_{j(-)}|_{\text{max}}$ ; (b)  $TKE_{\text{max}}$ . Note the shift of low  $H_{\text{ave}}$  to high  $\lambda_f H_{\text{ave}}/\sigma_H$  compared to the clustering of low  $H_{\text{ave}}$  around moderate  $\lambda_f$  in Fig. 10. The dash-dotted lines indicate the linear curve fitting using least-squares regression:  $R^2 = 0.57$  for the gustiness flux (panel a) and  $R^2 = 0.53$  for the gustiness TKE (panel b).

# 451 4.2. Influence of building-height variability, $\sigma_H$

<sup>452</sup> A similar trend as that for  $\mathcal{G}$  versus  $\lambda_p$  and  $\lambda_f$  (Sec. 4.1) may not be expected for  $\mathcal{G}$ <sup>453</sup> versus  $\sigma_H$ . This is because the building-height variability affects the frontal-area density <sup>454</sup> but is independent of the plan-area density by definition.<sup>2</sup>

Fig. 12 plot the gustiness statistics versus the building-height variability,  $\sigma_H$ . For comparison, the dependence of  $\mathcal{G}$  on the building-packing indices are indicated in the colormaps: the left panels are colored by  $\lambda_p$  and the right by  $\lambda_f$ , but they plot the same data. Therefore, the plots agree with Fig. 9 and Fig. 10, respectively.

The strongest  $\mathcal{G}$  prioritise a certain range of  $\sigma_H$ ,  $4 \text{ m} \lesssim \sigma_H \lesssim 10 \text{ m}$  (or  $0.35 \lesssim \sigma_H / H_{\text{ave}} \lesssim 0.9$ ), wherein both  $\lambda_p$  and  $\lambda_f$  attain smallish values (indicated in the colormaps). The smallest  $\sigma_H$  appears to be in concurrence with the largest  $\lambda_p$  on minimised  $\mathcal{G}$ , implying that the gustiness is weak in urban areas of high plan-area packing density and low building-height variability.

465

The dependence of  $\mathcal{G}$  on  $\sigma_H$  differs for stable stratification compared to unstable

<sup>&</sup>lt;sup>2</sup>This may be a contributing factor to the clustering of scattered  $\mathcal{G}$  at low building heights for a certain range of  $\lambda_f$  (distinctly for unstable stratification, see Fig. 10), in contrast to the well defined linear scaling of  $\mathcal{G}$  versus  $\lambda_p$  (see Fig. 9).

stratification. A familiar monotonic dependence (approximately linear) seems well pre-466 served for  $\Delta T \leq 0$ . In stably-stratified flows, the (internal) boundary-layer thickness 467 is suppressed (roughly to the roof level) (Duan and Ngan, 2020) and hence wind gusts 468 are affected by the surface roughness obstacles in a more direct manner. However, the 469 quality of the linear curve fitting using least-squares regression is actually not as good 470 as the plots show (e.g.  $R^2 = 0.37$  for  $TKE_{max}$ ). For unstable stratification,  $\mathcal{G}$  are more 471 scattered ( $R^2 = 0.26$ ) and the dependence on  $\sigma_H$  is even less definite for the second-order 472  $\mathcal{G}$  statistics (see panels c-d, e-f). This may be attributed to the alignment of the vorticity, 473 which is altered by the convective plumes. In a neutrally-stratified shear flow, the vor-474 ticity orientation is set by the symmetric,  $\mathbf{S} = \frac{1}{2} (\nabla \tilde{\mathbf{u}} - \nabla \tilde{\mathbf{u}}^{\mathrm{T}})$ , and the anti-symmetric, 475

 $\Omega = \frac{1}{2} \left( \nabla \tilde{\mathbf{u}} + \nabla \tilde{\mathbf{u}}^{\mathrm{T}} \right), \text{ components of the velocity gradient tensor, } \nabla \tilde{\mathbf{u}} \text{ (Wu et al., 2006)}.$ 476 The vorticity is stretched by the former and rotated by the latter. The orientation to-477 wards the streamwise direction is a result of the balance between the strain-rate tensor 478 and the rotation-rate tensor (Dubief and Delcayre, 2000). As the ground being heated or 479 cooled, buoyancy competes against the shear. A direct measure to quantify the balance 480 is the Richardson number,  $Ri = N^2/S^2$ , where  $N^2$  is the buoyancy term and  $S^2$  the shear 481 term (also see Sec. 2.3.2). With the increase of the boundary-layer instability, buoyancy-482 induced vertical motions set in, tilting the alignment of the vorticity towards the vertical 483 (Li and Bou-Zeid, 2011; Simpson and Glezer, 2016). As a consequence, vertical fluxes 484 intensify up to greater heights (e.g. Kanda and Yamao, 2016) (roughly indicated in the 485 peak ratios in Fig. 6, Sec. 3) and the influence of  $\sigma_H$  is effectively alleviated. Similar jus-486 tification may be applied to the weakening influence of  $\lambda_p$  and  $\lambda_f$  on  $\mathcal{G}$  at higher vertical 487 levels that away from the surface perturbations. 488

The results suggest that  $\sigma_H$  may not be an appropriate scaling parameter for the 489 gustiness statistics; however, we note that the quality of the linear curve fitting is im-490 proved when its normalised form (cf. Ratti et al., 2002),  $\sigma_H/H_{\text{ave}}$ , is used (see Fig. 13). 491 For example, the  $\mathbb{R}^2$  of the least-squares regression for the gustiness momentum flux, 492  $-|u'_i u'_{i(-)}|_{\text{max}}$  (panel a), is increased from 0.45 to 0.5 for  $\Delta T = -8 \,^{\circ}\text{C}$  and from 0.36 to 493 0.45 for  $\Delta T = 8$  °C. A comparable improvement is also seen for the gustiness 494 TKE,  $TKE_{\text{max}}$  (panel b), e.g. from 0.37 to 0.43 for  $\Delta T = -8 \,^{\circ}\text{C}$  and from 0.26 495 to 0.38 for  $\Delta T = 8 \,^{\circ}\text{C}$ . While the increase of the *R*-squared seems unremark-496

<sup>497</sup> able, implying that  $\sigma_H$  may be of secondary importance for the scaling, the <sup>498</sup> influence of building-height variability on the gustiness is non-negligible.



**Figure 12:** Normalised  $\mathcal{G}$  versus  $\sigma_H$ . For comparison, (left panels) colored by  $\lambda_p$  as in Fig. 9; (right panels) colored by  $\lambda_f$  as in Fig. 10.



**Figure 13:** As in Fig. 11, but for  $\mathcal{G}$  versus the normalised  $\sigma_H$ ,  $\sigma_H/H_{\text{ave}}$ .

# 499 5. Sensitivity to Rb

Fig. 14 compares the spatial and ensemble averages of the normalised gusti-500 ness TKE,  $TKE_{max}$ , versus the boundary-layer stability and the plan-area in-501 dex for  $z/H_{\text{ave,g}} \in [0, 1]$  (panel a) and  $z/200 \text{ m} \in [0, 1]$  (panel b). The gustiness TKE 502 increases monotonically with the increasing of the boundary-layer instability (Rb  $\leq 0$ ) 503 and plateaus for stable stratification (Rb > 0). Quadrant analyses have shown that 504 large turbulence fluctuations are more sensitive to the boundary-layer stability (as that 505 for Rb < 0, whilst small ones may need to exceed a certain threshold to become fully 506 turbulent (as that for Rb > 0) (Duan and Ngan, 2019). The trends reconcile with previ-507 ous studies for thermally-stratified TBL flows over idealised urban topographies (Uehara 508 et al., 2000; Cheng and Liu, 2011; Duan and Ngan, 2019) (though for the conventional 509 time-averaged turbulence statistics), implying that the sensitivity of time-averaged statis-510 tics of urban TBL flows to Rb is well preserved in the associated extremes. 511

The colormaps associated with each Rb reproduce the monotonic dependence of 512  $TKE_{\text{max}}$  on  $\lambda_p$  (almost linear, see Sec. 4.1). While  $TKE_{\text{max}}$  strengthens with decreasing 513  $\lambda_p$ , the influence of surface roughness weakens at greater heights-the color bins in panel 514 b are greatly shortened compared to that plotted in panel a. Nevertheless, the general 515 trend of  $TKE_{max}$  versus Rb is well maintained to higher vertical levels. The other gusti-516 ness quantities exhibit a similar sensitivity to Rb (summarised in Fig. 15). Qualitative 517 differences in the sensitivities to the boundary-layer stability are narrowly distinguishable 518 amongst the zeroth-, first- and second-order gustiness statistics. 519

(a)  $z/H_{\text{ave,g}} \in [0,1]$ 







Figure 14: Spatial and ensemble averages of the normalised gustiness TKE,  $TKE_{\text{max}}$ , versus Rb for (a)  $z/H_{\text{ave,g}} \in [0,1]$  and (b)  $z/200 \text{ m} \in [0,1]$ . The colormaps sketch the change of  $\lambda_p$ . Following the same convention, deep colors correspond to large  $\lambda_p$  and light to small  $\lambda_p$ . For brevity, the colorbar is only shown for the most unstable case (Rb = -0.41). The solid line connects the ensemble averages (denoted using the angle brackets, Eq. (9)) of  $TKE_{\text{max}}$  associated with each Rb.

(a)  $z/H_{\text{ave,g}} \in [0, 1]$ 



(b)  $z/200 \,\mathrm{m} \in [0, 1]$ 



Figure 15: As in Fig. 14, but a summary of the sensitivity of the zeroth- and second-order gustiness statistics, Eq. (16), to the boundary-layer stability. The angle brackets denote the ensemble average over all sampling units, Eq. (9). Note the y-axis values that are plotted in reverse order for the gustiness fluxes.

## 520 6. Summary and discussion

This research investigated **gustiness** in thermally-stratified turbulent boundary-layer 521 flows for a real urban topography using LES. It complements previous studies (e.g. 522 Ahmad et al., 2017; Takemi et al., 2020), wherein the focus was primarily on 523 wind gusts outreaching the time-averaged wind speed in neutrally-stratified 524 urban boundary layers, in the aspects that gustiness were explored for the 525 zeroth-order (the local maximum wind speed), first-order (the local maximum wind fluc-526 tuation) and second-order (local maxima of turbulence intensities and fluxes) statistics 527 for a wide range of boundary-layer stabilities,  $Rb \in [-0.41, 0.82]$ , that satisfy observa-528 tions of realistic urban TBLs. The results were obtained based on a proposed 529 ensemble sampling approach across the domain, which allows the analysis to 530 be performed in statistical manner and hence a more comprehensive charac-531 terisation of the urban surface heterogeneity. 532

The contribution of this study includes the following findings. 1. The strong linear 533 scaling of the gustiness statistics ( $\mathcal{G}$ ) with the plan-area index ( $\lambda_p$ ) for neutral flow is 534 found to persist for stable and unstable stratification. 2. There is a similar trend for 535  $\mathcal{G}$  versus the other topographic parameters ( $\mathcal{S}$ ): the frontal-area index ( $\lambda_f$ ) and the 536 building-height variability ( $\sigma_H$ ); however, their scaled forms,  $\lambda_f H_{\text{ave}} / \sigma_H$  and  $\sigma_H / H_{\text{ave}}$ , 537 are argued to be of more appropriateness as scaling parameters for  $\mathcal{G}$ . 3. While the 538 monotonic dependence of  $\mathcal{G}$  on  $\mathcal{S}$  appears to be well maintained across  $z/H_{\rm ave} \lesssim 1$ , 539 the influence of  $\mathcal{S}$  on  $\mathcal{G}$  is less definite at greater heights. 4. The gustiness increases 540 monotonically with the boundary-layer instability and plateaus for stable flow, in accord 541 with the sensitivity for the conventional mean and turbulence statistics. 5. Qualitative 542 differences in the sensitivities to the boundary-layer stability are narrowly distinguishable 543 amongst the proposed gustiness statistics. 544

The results are obtained for a realistic urban topography. It is plausible that the conclusions would carry over to urban cities of comparable morphological metrics, e.g. Kyoto, London or Berlin. For example, Kyoto city centre is characterised with a mean building height of  $10.8 \text{ m} \pm 7.0 \text{ m}$  (Yoshida et al., 2018), which is almost the same as that investigated in the current study ( $11.5 \text{ m} \pm 7.1 \text{ m}$ , see Sec. 2.1). While the building packing indices ( $\lambda_f = 0.25$  and  $\lambda_p = 0.41$ ) are slightly smaller, the values lie well within the range covered by the urban areas herein (Sec. 2.2). However, further work may be required to verify the robustness of the results for cities like Los Angeles. Although the building packing densities are comparable to Osaka, the mean building height (51.3 m) of Los Angeles (Ratti et al., 2002) is almost five times larger and the nondimensional building height variability ( $\sigma_{H,g}/H_{ave,g}$ ) is also much greater than that considered in the current study (1.0 versus 0.6).

One limitation of this study is only unidirectional wind was considered. It 558 is unlikely that the conclusions for  $\lambda_p$  and  $\sigma_H$  versus  $\mathcal{G}$  would be affected; how-559 ever, the frontal-area index,  $\lambda_f$ , differs when the wind direction is changed. 560 More efforts would be demanded to verify the scaling of  $\mathcal{G}$  with the pro-561 posed effective  $\lambda_f$ ,  $\hat{\lambda_f}$ . Another limitation is that the flow is driven by a 562 steady forcing through a prescribed pressure gradient and thermal stratifica-563 tion generated by fixed temperature differences (Duan and Ngan, 2019). For 564 urban wind hazards, it may be of more relevance if unsteady perturbations 565 from mesoscale model output or observations, in particular of strong tropical 566 cyclones (Islam et al., 2015; Takemi and Ito, 2020), could be incorporated 567 into building-resolving LES. While the proposed sampling approach allows 568 ensemble averaging and analyses in a statistical manner, the conclusions for  $\mathcal{G}$ 569 versus S may not be anticipated for a very local urban district and influences 570 of architecture contours, e.g. building roof shapes and the 3-D details of spe-571 cific building obstacles (Korycki et al., 2016), may be of potential interest for 572 future investigation. 573

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