

1 The effects of codon bias and optimality on mRNA and protein regulation

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7 Abstract

8 The central dogma of molecular biology entails that genetic information is transferred from nucleic acid to
9 proteins. Notwithstanding retro-transcribing genetic elements, DNA is transcribed to RNA which in turn is
10 translated into proteins. Recent advancements have shown that each stage is regulated to control protein
11 abundances for a variety of essential physiological processes. In this regard, mRNA regulation is essential in
12 fine-tuning or calibrating protein abundances. In this review, we would like to discuss one of several mRNA-
13 intrinsic features of mRNA regulation that has been gaining traction of recent – codon bias and optimality.
14 Specifically, we address the effects of codon bias with regard to codon optimality in several biological processes
15 centred on translation, such as mRNA stability and protein folding among others. Finally, we examine how
16 different organisms or cell types, through this system, are able to coordinate physiological pathways to respond
17 to a variety of stress or growth conditions.

18 Introduction

19 The degeneracy of the genetic code entails that 61 codons encode 20 different amino acids. With the exception
20 of methionine and tryptophan, all amino acids are encoded by synonymous codons. One of the pioneering
21 studies of synonymous codons was published in 1972, in a paper exhibiting a method to calculate codon
22 frequencies in yeast and seven bacteria [1]. From the computations performed, Goel and colleagues arrived at a
23 conclusion that synonymous codons were not fully equivalent and alluded that these differences in codon
24 frequency was likely due to codons conferring different rates of translation and therefore, a “selection pressure
25 to maintain certain ratios among the synonymous codons” [1]. These findings were further supplemented by
26 analyses of part of the *Escherichia coli* (*E. coli*) chromosome which showed that the frequencies of synonymous
27 codons were non-random in coding sequences [2]. This systematic bias in codon frequencies in organisms
28 would come to be known as ‘codon (usage) bias’. In 1980, Grantham and colleagues proposed the *Genome*
29 *Hypothesis* which states that synonymous codons are used at different frequencies by different genomes, and
30 that the usage remains constant for all genes within each genome [3]. In other words, every organism utilizes its
31 own system of synonymous codons.

32 Because synonymous codons are decoded at different rates, codons can be briefly classified into two categories,
33 optimal and non-optimal. Broadly speaking, optimal codons are decoded faster and more efficiently than their
34 non-optimal counterparts, impacting the translation efficiency of transcripts [4-6]. As translation efficiency is
35 modulated by the codon composition of the transcript, transcripts enriched with optimal codons are translated
36 more efficiently than those enriched with non-optimal codons.

37 For this review, we would like to define codon optimality, in a general sense, as how efficiently a codon is
38 translated by the ribosome. This sets codon usage bias apart from codon optimality in the sense that the former
39 refers to the composition of codon frequencies in coding regions for the genome while the latter is a measure for
40 how efficiently a codon is translated. As we will further elaborate in the proceeding sections, codon optimality
41 has been shown to influence important biochemical processes such as translation initiation, elongation and
42 inevitably, processes surrounding it such as protein folding, among others.

43 Because these processes consequently impact cellular fitness it has been proposed that codons may have been
44 subjected to selective pressure during evolution [7]. In accordance to this, several theories have been pursued,
45 demonstrating that codons have been selected for accurate translation at important protein residues and longer
46 genes [8-10], as well as for optimizing translation speed for fast-growing organisms [11, 12]. It is thus important
47 to note a corollary that codon bias is to a certain extent influenced by codon optimality. Finally, in accordance
48 with the *Genome Hypothesis*, this also entails that codon optimality is not universal in that different organisms
49 utilize different sets of optimized and non-optimized codons.

50 **To date, several exceptional reviews have been published [7, 13-26].** In this review, we discuss in the light of
51 recent findings and advancements, how the usage of synonymous codons influences mRNA and protein
52 regulatory steps, their effects and the implications in biochemical and physiological processes. While discussed
53 individually at the level of nucleotide sequences to how the resulting protein is folded, these processes should be
54 thought of as intricately intertwined dynamic networks which contribute to a final protein output. We first
55 explain the measures by which codon bias is measured and how they have evolved over time. We then discuss
56 the evidence to show the effects of codon usage on translation followed by a discussion on an enabling tool used
57 to probe transcriptome-wide translation, ribosome profiling. Subsequently, we assess evidence which indicates
58 that synonymous codon usage can exert an impact during translation or processes prior to translation such as
59 transcription and splicing. We then examine how RNA modification of codons can affect translation kinetics.
60 Finally, we discuss the physiological relevance of codon bias/optimality and how cells have harnessed this
61 system to coordinate physiological responses.

62 **Measures of codon bias and optimality**

63 To scrutinize the effect of codon bias, several metrics have been proposed thus far. A classical metric, the codon
64 adaptation index (cAI), proposed in 1987 by Sharp and Li, calculates the codon usage of a codon against a
65 reference set of highly expressed genes [27]. Inspired by this index, another metric termed the tRNA adaptation
66 index (tAI) was proposed by dos Reis and colleagues [28]. The tAI assumes that tRNA gene copy number in
67 certain genomes such as *Escherichia coli*, *Saccharomyces cerevisiae* and *Caenorhabditis elegans* is highly
68 correlated with tRNA abundance in the cell [29-31].

69 While the tAI is a useful indicator of codon bias, showing that different tRNA species have different affinities
70 with its cognate codon, its predication that tRNA copy number reflects intracellular tRNA concentration may be
71 limited to certain genomes [28]. Sabi and colleagues in 2014, proposed an approach to bridge this gap by
72 introducing organism-specific adjusted tAI weights [32, 33]. Physiologically, tRNA abundances are dynamic,
73 with translation rates depending on the balance between its “supply and demand”, where tRNAs may be
74 depleted depending on the frequency or demand of their cognate codons [34]. In order to accurately account for
75 the cellular dynamics of tRNAs, Pechmann and Frydman in 2013, devised the normalized translational
76 efficiency (nTE) scale that factored in the competition between cellular tRNA abundance and codon optimality
77 [5]. Under this metric, codons could be designated optimal if the availability of cognate tRNAs is more
78 abundant than their usage [5]. Additionally, several other estimators of codon translation speed, starvation
79 indices as well as algorithms catered to calculating species-specific tAI have been conceived [33, 35, 36].

80 In 2015, Presnyak and colleagues laid out a metric which utilizes the Pearson correlation between codon
81 frequencies and half-lives of each mRNA in yeast to derive an R-value known as the Codon occurrence to
82 mRNA stability Correlation coefficient (CSC) [4]. Interestingly, comparison of the optimal and non-optimal
83 assignments of codons based on the CSC with that of the tAI showed a high degree of similarity, with a good
84 correlation between the respective values in yeast [4].

85 In 2016, Bazzini and colleagues in a paper investigating how codon identity and translation affected mRNA
86 stability in zebrafish, *Xenopus*, mouse, and *Drosophila*, raised the possibility that strong amino acid bias in
87 transcriptomes may have been a result of synonymous codons impacting mRNA stability [6]. As a measure of
88 amino acid optimality, the authors utilized the Pearson correlation coefficient between mRNA half-life and the
89 amino acids encoded in individual transcripts to derive an amino acid stabilization coefficient (ASC) [6]. Both
90 CSC and ASC would then be used in a separate publication in 2019 to show that both codon and amino acid

91 identity were crucial in determining mRNA stability in human cells [37]. In several recent publications, a metric
92 comparable to the ASC, the AASC, was defined by Narula and colleagues as well as Forrest and colleagues, to
93 further investigate the effect of amino acids on mRNA stability in several half-life datasets [38, 39]. In
94 concordance with the previous findings, the groups concluded that codon and amino acid content was associated
95 with mRNA stability in human and mammalian cells respectively [38, 39].

96 Additionally, in our recent study in humans cells, codons with either a G or C at the third base position (GC3)
97 was shown to be enriched in mRNA with longer half-lives while codons with either an A or U at the third base
98 position (AU3) were shown to be associated with mRNA with shorter half-lives suggesting that GC3 and AU3
99 codons were optimal and non-optimal codons in humans respectively [40]. In this study, we showed that
100 increased GC3-content entailed proportionately higher GC-content, suggesting that GC3- and GC-content could
101 also be used as a tractable estimate of mRNA stability in human cells [40].

102 As the field of codon bias gains prominence, the development of metrics to reliably and easily quantify the
103 variables associated with mRNA stability over a diversity of species is of vital importance. Given the inter-
104 connectedness of translation as a complex process, further experiments are necessary to tease apart the
105 intricacies of the system of codon bias and optimality.

106 **Regulation of translation initiation and elongation**

107 Translation efficiency can be determined by several parameters, such as the availability of cognate tRNA as well
108 as the rate of initiation and elongation among others. The initiation step is regarded as the rate-limiting step of
109 protein production [41-43]. In prokaryotes, this process is facilitated by the presence of the Shine Dalgarno
110 sequence upstream of the start codon [44], while in eukaryotes, the process is guided by the Kozak sequence
111 which encompasses the start codon [45]. In addition to this, the codon composition of the 5' end of the open
112 reading frame (ORF) has been shown to be distinctly different from the rest of the ORF [21]. Interestingly, in
113 bacteria, studies have shown that there are biases in codons usage at the translation start site [46-48].

114 Of interest, is the hypothesis that a 'ramp' sequence is present immediately after the start codon. It entails that
115 translation is slow at the start of translation, increasing thereafter [49, 50] (**Fig. 1a**). Several purposes of the
116 ramp have been proposed. Studies predominantly in bacteria propose that these reduce elongation speed, prevent
117 'ribosomal traffic jams' [50, 51] while others asserting that the ramps are an effect of selection for reduced
118 secondary structure [46-48, 52]. Within the latter studies, results pertaining to the use of codon bias has been
119 varied, with studies proposing that rare codons are selected for efficient translation [47], in contrast to studies
120 which argue that codon bias does not have significant effects on mRNA or protein levels [48].

121 However, the 5' end of the coding region is home to several regulatory signals which can influence translation
122 initiation and elongation. Tuller and colleagues in a review aimed to demystify these findings, describe in detail
123 the positions and purposes of several known features and regulatory signals at the first 70 codons of the ORF
124 [21]. Namely, the review in addition to others, describes sequences at the first ~10 codons conferring weak
125 mRNA folding followed by a region of strong mRNA folding, suggesting that codon usage bias is in part
126 directly selected for, as opposed to weak indirect selection [21]. Accordingly, Verma and colleagues show that
127 the nucleotide positions 7-15 of the coding sequence (3-5 on the peptide) strongly affect the efficiency of
128 translation [49]. Research by Bentele and colleagues show that the first 5-10 codons of the protein coding
129 sequence in bacteria contain largely rare codons to reduce mRNA folding at the translation start [46].
130 Furthermore, Tuller and colleagues in their work demonstrate that further downstream from the initial 10 codons,
131 at positions ~30-50 exists a region of low translation efficiency which has been selected for to reduce ribosomal
132 collisions at the expense of slower translation initiation [50]. Additionally, the 5' end of the ORF was described
133 to have a relatively weak adaptation to the tRNA pool, with the length of the ramp agreeing well with the length
134 of translated polypeptide needed to fill the exit tunnel of the ribosome suggesting a role of the ramp in transiting
135 from a slow initiation to a fast elongation phase [21]. Other signals such as the environment surrounding the
136 start codon as well as amino acid exit tunnel interactions in addition to others can also be found within these 70
137 codons [21]. The authors caution that while certain of these signals may be universal, a portion of them may be

138 specific to or have yet to be investigated in certain organisms [21]. Indeed, with an abundance of regulatory
139 features which are linked to translation initiation and even elongation, future studies need to consider the
140 position-specific features and their consequences working in tandem with respect to translation initiation and
141 elongation.

142 Early studies in the MS2 bacteriophage and *E. coli* genome showed a strong, non-random system of codon bias
143 in highly expressed coding regions of their mRNA [53, 54]. Supplemented with information of studies about
144 tRNA anti-codon sequences, Grosjean and colleagues showed that less abundant tRNAs were less utilized in
145 highly expressed genes [53, 55]. The study went on to conclude that for efficient translation, certain degenerate
146 codons were selected for based on their optimal codon-anticodon binding energies [53]. It was not until a study
147 in 1984 by Pedersen that the direct effect of rare codons in *E. coli* under several growth conditions was
148 measured [56]. The study concluded that protein synthesis rates were adversely affected due to the lack of
149 cognate rare tRNAs when reporters harbouring rare codons were utilized [56] (**Fig. 1b**). This was one of several
150 other early studies which would go on to show that codon bias usage and its associated tRNA pool could
151 influence translation elongation [57, 58]. Advancements in genome engineering technologies enabled one
152 particular study to recode genes in *E.coli* in order to investigate how codon usage bias influences translation
153 [59]. Results from this investigation revealed that genome-scale perturbation of codons from frequent to rare
154 codons resulted in an adverse effect in translation efficiency and therefore, cellular fitness [59]. In line
155 accordance with previous hypotheses, increasing the supply for these codons resulted in a recovery of fitness,
156 proving that translation is largely dependent on the tRNA pool and that a codon-to-tRNA balance is crucial in
157 maintaining cellular viability [59]. Indeed, with advancements in deep sequencing and proteomics, it has also
158 been shown that tRNA levels better correlate with codon usage in mice under fasting conditions [60]. Several
159 models of investigating codon optimality or bias have been utilized to date. Besides the replacement of non-
160 optimal codons with their optimal counterparts, heterologous protein expression models have also been
161 employed in bacteria, yeast, zebrafish and human cells among others [4, 37, 38, 40, 61, 62]. Interestingly, in
162 filamentous fungus *Neurospora crassa*, codon bias of genes has been postulated to evolve to efficiently
163 transcribe and translate coding sequences [63, 64]. Yu and colleagues, in their work with a *Neurospora* cell-free
164 system, presented several lines of evidence to demonstrate the effect of codon bias on translation elongation and
165 consequently, protein structure [64]. Additionally, with ribosome profiling, the authors demonstrated that
166 optimal and non-optimal codons influenced ribosome occupancy *in vitro* and *in vivo*, increasing and decreasing
167 translation elongation respectively [64].

168 Other well established protocols such as polysome profiling have been used to measure ribosome density for
169 individual mRNAs [65]. In studying the effect of codon bias in two proto-oncogenes, *KRAS* and *HRAS* with
170 similar protein identity but differing levels of optimality, it was demonstrated that optimization of mRNA
171 increases its levels in polysome fractions in human cells [66, 67]. These, together with other studies hint that
172 codon optimization of coding sequences increases translation efficiency and consequently higher protein
173 production [40, 66, 67] (**Fig. 1c**).

174 Indeed, the complexities and intricacies of translation are influenced by a multitude of factors. Riba and
175 colleagues showed that in several yeast stains, translation elongation rates were influenced by amino acid and
176 codon content as well as tRNA abundance to a similar extent [43]. Looking forward, the increased sensitivity of
177 instruments coupled with increasing availability of transcriptomic and proteomic data will further allow us to
178 tease apart the individual aspects of translation regarding codons usage and their downstream constituents such
179 as amino acid content. In the next section, we would like to discuss one of the enabling technologies vital to
180 understanding translation – ribosome profiling and its implications.

181 **Ribosome profiling studies**

182 The advancement of functional genomic tools has enabled an unprecedented and deeper understanding of the
183 dynamics of translation. In particular, ribosome profiling, the capturing and sequencing of mRNA fragments
184 protected by the translating ribosome, has enabled transcriptome-wide analysis of translation with nucleotide
185 resolution [68, 69] (**Fig. 2a**). The premise was simple. Ribosomal velocity should be inversely related to

186 ribosomal density. If a system of codon optimality was present, translating ribosomes with their A-sites at non-
187 optimal codons would possess a relatively longer dwell time compared to that of their counterparts at optimal
188 codons (**Fig. 2b**). Surprisingly, initial ribosome profiling experiments in yeast, mouse embryonic stem cells and
189 bacteria saw no correlations between codon usage and ribosome density or tRNA abundance when cells were
190 treated with translation inhibitors cycloheximide (CHX) or chloramphenicol [70-73]. Several studies also
191 proposed that positively charged amino acids were the major determinant of ribosomal pausing [70, 74].
192 Moreover, conflicting results were numerous, with several studies reporting significant correlations instead [75].
193 Additionally, it has been shown that ribosomal profiling studies can be biased by a variety of factors such as
194 sequencing bias, coverage, experimental and analysis methodology as well as culture conditions [41, 74, 76-81].
195 Compounding to this, the use of different translational inhibitors may yield different ribosome footprint sizes
196 with their distributions among codons uncorrelated between different inhibitors [82].

197 The use of CHX in particular, in ribosome profiling studies would prove to be a challenging one. To account for
198 the discrepancy of the findings in ribosome profiling studies, several studies in yeast analysed unexpected
199 patterns in the ribosome density of individual downstream codons and concluded that the inhibition of ribosome
200 translocation by CHX was not immediate – elongation was able to proceed and ribosomes were inhibited in a
201 gradual manner [79, 83]. Additionally, CHX has been shown to affect transcription of ribosome biogenesis
202 genes in budding yeast under nutrient starvation, thereby affecting measures of translation efficiency [84]. To
203 address the issue of ribosome arrest, alternative experimental protocols have been developed. 5PSeq, a method
204 to identify 5' capped and phosphorylated RNA that are products of exo- or endonucleolytically cleaved RNAs
205 has been proposed to be a drug-free alternative. In this approach, these degradation intermediates which follow a
206 three-nucleotide periodicity are sequenced to give a transcriptome-wide view of ribosome dynamics [85, 86].
207 Flash-freezing of cells to allow the omission of CHX pre-treatment has also been widely adopted as another
208 alternative [87-89]. With this protocol, studies in yeast have shown that non-optimal codons are indeed
209 translated slower than their optimal counterparts [75, 76, 82]. Furthermore, to investigate the effect of codons
210 on the before-mentioned translational ramp at the 5' end of the ORF, ribosome profiling has also been used to
211 supplement experimental findings. However, conclusions from ribosome profiling experiments have been mixed,
212 with studies claiming that no reduction in elongation speed could be observed and that any evidence of rare
213 codon-mediated slowing was due to experimental artefacts or analysis issues [71, 90]. In this regard, Tuller and
214 Zur in a 2015 publication, citing differences in analysis and normalization protocols argue that elongation speed
215 was still slower in at the start of the ORF [21].

216 To date, several other methods developed from the ribosome profiling approach have been developed.
217 Translation complex profile sequencing (TCP-seq) has been used to provide valuable *in vivo* evidence to support
218 the scanning model of translation initiation [91, 92]. TCP-seq involves the snap-chilling of cells followed by the
219 crosslinking of the translation complexes with their bound mRNA. RNA digestion of these complexes ensues to
220 retrieve RNA footprints which are then separated by sedimentation velocity [91]. Finally, recovered RNA is
221 subjected to high-throughput sequencing [91]. Importantly TCP-seq was able to capture, in addition to the 80S
222 initiation complex, 40S ribosomal small subunit (SSU) footprints along the 5'UTRs and stop codons, providing
223 valuable insights in the spatial and temporal sequence of events at these locations [91]. Another method,
224 selective ribosome profiling (SeRP), uses an immunoprecipitation protocol to isolate specific factor-bound
225 ribosome-nascent-chain complexes in via ribosome profiling to study the co-translational activity of specific
226 protein maturation factors [93-96]. To date, SeRP has been successfully applied in both *E.coli* and yeast to
227 investigate protein factor engagement to nascent polypeptide chains [93-96]. Given the engagement of
228 chaperones to nascent polypeptide chains, investigating the maturation dynamics of proteins derived from codon
229 optimized/deoptimized mRNA would surely provide insights to co-translational folding as discussed in the
230 preceding section.

231 As elongation speed along a transcript is never uniform, the pausing of ribosomes on certain sequences may
232 result in ribosome arrest and collisions on the transcript. Collided ribosomes are collectively known as disomes
233 and are subjected to mRNA surveillance processes known as ribosome-associated quality control (RQC) and
234 No-Go-Decay (NGD) whereby the nascent peptide and mRNA are degraded respectively [97-99] (**Fig. 2c**).
235 Where ribosome profiling has enabled the capturing of transcriptome-wide footprints, new analysis methods

236 have been developed to capitalize on ribosome profiling to analyze phenomena associated with ribosome arrest.
237 One such method developed by Diamant and colleagues to analyze ribosome queuing showed that at least one in
238 five translating ribosomes in yeast is stalled [100]. Analysis to date shows that in yeast, CGA–CCG and CGA–
239 CGA codons as well as poly(A) tracts induce stalling [98, 99, 101, 102] while a recent study in humans and
240 zebrafish by Han and colleagues, showed that disomes occur at Pro-Pro/Gly/Asp, Arg-X-Lys E-P-A-sites as
241 well as stop codons and 3'UTRs [103]. Interestingly, another recent study by Meydan and colleagues showed
242 that in yeast, recognition of collided ribosomes do not always result in the nascent peptide and transcript
243 undergoing RQC and NGD [104], suggesting another level of discernment that can further calibrate protein
244 output.

245 At this point, it remains to be conclusively seen if codon optimality-mediated deceleration of ribosomes can be
246 addressed through ribosome profiling. One might ask about the potential of a significantly decelerated ribosome
247 resulting in a ribosome collision or, in such cases, how a cell is able to accurately discern the difference between
248 both. With the increasing use of ribosome profiling, the continuous development and modification of ribosome
249 profiling techniques will be essential in illuminating and bridging the gap between the transcriptome and
250 proteome for both studies from basic biology to complex diseases [105-107]. However, at present, while
251 ribosome profiling is a revolutionary and useful gauge of translation, careful consideration must be given in
252 interpreting its conclusions in relation to its experimental parameters, analyses and limitations.

253 **Regulation of mRNA stability**

254 mRNA degradation is a complex process facilitated by many protein complexes (**Fig. 3a**). A multi-faceted
255 participant in translation, codon optimality has also been implicated in regulating mRNA stability. For years,
256 translation was known to be intricately coupled to mRNA degradation [108, 109] via several different decay
257 pathways [110-113]. In particular, several experiments have shed light with regard to how translation is coupled
258 to mRNA repression and degradation in yeast via proteins Dhh1p and Pat1p [114-117]. Specifically, during
259 translation, Dhh1p is bound to slowly translocating ribosomes, engaging mRNA decapping and deadenylation
260 factors such as Dcp1p, Lsm1p, Pat1p and Pop2p to induce mRNA decay [116, 117]. In addition, the 5' end of
261 mRNA decay intermediates which follow a triple nucleotide periodicity have been identified suggesting that the
262 RNA can be degraded from the 5' to 3' direction concurrent to translation [85, 86]. The effect of codon bias on
263 mRNA stability has also been uncovered in several other model organisms such as *E. coli*, *Schizosaccharomyces*
264 *pombe*, zebrafish, *xenopus*, trypanosomes and human cells [6, 37, 40, 62, 118-120]. It is also interesting to note
265 that in a recent study in yeast, the Ccr4-Not complex was shown to be recruited to the ribosome via Not5 at
266 regions of non-optimal codons when the ribosome A-site was vacant suggesting that Not5 acts as a guide of
267 optimality-based degradation [121]. Additionally, a cryo-electron microscopy structure study by Tesina and
268 colleagues show that in yeast, the mRNA exit site of the ribosome can be bound by exoribonuclease Xrn1,
269 providing evidence that the Xrn1 can co-translationally interact with the translation machinery to degrade
270 mRNAs [122].

271 Presnyak and colleagues with the formulation of the CSC, showed that mRNA half-lives correlated with optimal
272 codon content in yeast [4]. The study further showed that mRNA degradation was linked to codon optimality via
273 the regulation of ribosome elongation [4]. In a follow-up study, it was established that this effect was brought
274 about by ribosome-bound Dhh1p acting as a sensor of ribosome velocity, associating with mRNAs containing
275 predominantly non-optimal codons to facilitate their degradation [123] (**Fig. 3b**). However, a study by He and
276 colleagues that studied decapping activators Pat1, Lsm1 and Dhh1, showed that the average codon optimality
277 score of individual transcripts targeted by Dhh1 in their study did not agree with the observation in the previous
278 study [124]. Furthermore, given that transcripts with low codon optimality scores were also targeted by Lsm1
279 and Pat1, independently of Dhh1, the authors suggested that other decay factors as well as the identity and
280 distribution of non-optimal codons along a transcript may be factors that influence degradation [124].
281 Interestingly, in a separate study, the loss of the mammalian homolog of Dhh1, DDX6, did not result in a change
282 in the correlation between mRNA stability and translational levels but instead, led to increased translation of
283 microRNA targets [125]. Further analysis revealed that the stability of transcripts stabilized upon DDX6 loss
284 were independent of codon optimality suggesting DDX6 does not link mRNA stability to codon optimality in

285 mammalian cells [125]. Separately, Courel and colleagues in their work in mammalian cells, showed that DDX6
286 targets were GC-rich mRNAs that were instead enriched in optimal codons rather than non-optimal ones [126].
287 Furthermore, the group reported that the GC-content of genes influences mRNA storage and decay in human
288 cells with AU-rich and GC-rich mRNA degraded via different pathways; GC-rich mRNAs are degraded from
289 the 5' end via XRN1 while AU-rich mRNAs are localized to P-bodies where they are targeted for degradation
290 by PAT1B in a 3' to 5' manner [126]. The effects of GC- as well as GC3-content on mRNA expression and
291 stability have also been investigated. In our work with human cells, increased GC3-content, mirrored by
292 increased GC-content was shown to increase both mRNA stability and translation efficiency in contrast to AU-
293 rich mRNA which exerted a converse effect [40] (**Fig. 3c**). Additionally it was shown that AU-rich discerning
294 RBPs such as ILF2 and ILF3 can bind to the coding sequences of mRNAs to exert a destabilizing effect
295 independent of translation [40].

296 In the same vein as codon bias, amino acid content has also been shown to be a determinant of mRNA stability
297 [38, 39]. Investigations in yeast demonstrate that during translation elongation, decoding at the ribosome A-site
298 determines mRNA degradation [127]. On the other hand, in higher eukaryotes such as zebrafish and *Xenopus*,
299 the effect on mRNA stability is comprised of both codon and amino acid usage [6]. This discrepancy between
300 the importance of codon- or amino acid-level usage on mRNA stability has been suggested to be a reflection of
301 differences in the translation and/or degradation machinery between organisms [127]. Indeed, uncoupling the
302 effect of amino acid usage bias from codon bias on mRNA stability is complicated even within studies in human
303 cells [37-39]. While these recent findings show that amino acids could be defined as optimal or non-optimal,
304 factors such as the hydrophathy of amino acids have also been proposed [128]. Further investigations would be
305 required to completely uncouple the effects of codon and amino acid optimality on mRNA stability.

306 While is it the prevailing consensus that codon bias affects translation, several models of how ribosome speed
307 and therefore density, affects mRNA stability. Some models posit that the competition between the decay and
308 translation initiation machinery in addition to increased ribosome density, excludes mRNA decay factors,
309 consequently increasing mRNA stability [129-133] (**Fig. 3d**). On the other hand, other studies propose that the
310 effect of codon optimality on mRNA stability is the major determinant during the translation elongation phase,
311 utilizing ribosomes as sensors to recruit mRNA decay factors [4, 114, 117, 123, 127]. While not entirely
312 mutually exclusive, it would be interesting to pry apart and assess the contributions of each of the above-
313 mentioned factors in different model organisms.

314 **Co-translational protein folding**

315 Alongside discoveries of how synonymous codons affected translation efficiency, it was proposed that gene
316 sequences had, by extension, evolved to control the kinetics of translation at defined parts of proteins to allow
317 efficient folding [134, 135]. Early studies in *E. coli* demonstrated that in several multi-domain proteins, codons
318 which slowed translation were enriched in highly ordered regions in the polypeptide chain suggesting a form of
319 co-translational control of protein folding [135]. Follow-up investigations revealed that the specific topology of
320 proteins were correlated to codon usage; α -helices by optimal codons, β -strands and coils by non-optimal
321 codons [136, 137]. In one study, analysis of several genomes including *E. coli*, yeast, *Drosophila*, and mice
322 revealed that translational fidelity is critical at structurally sensitive sites; optimal codons are enriched in buried
323 residues, in addition to regions whereby mutations would have resulted in significant changes in folding energy
324 [9] (**Fig. 4a**). Additionally, conserved rare codon clusters, demonstrated to improve protein folding, have been
325 found to be predominantly enriched in membrane-related proteins involved in targeting, insertion or, interaction
326 with other proteins [138, 139]. Using the nTE, Pechmann and Frydman revealed that in yeast, non-optimal
327 codon clusters are associated with α -helices while β -sheets are associated only with optimal codons [5].
328 Additionally, they showed that hydrophobic regions, critical for protein folding and aggregation, contain mainly
329 optimal codons suggesting a need for accurate error-free translation in these regions [5]. Kinetic modelling of
330 the translation of *E. coli* proteins by Bitran and colleagues revealed that the usage of rare codons in the
331 translation of intermediate folding regions of large proteins improves co-translational folding efficiency by
332 allowing nascent chains more time to fold into their proper conformations [140]. Investigations in animal

333 systems such as *Drosophila* cells have also shown that structure based-codon manipulation affects protein
334 structure and function [141].

335 Interestingly, it was noted the type of synonymous codons used in controlling protein folding was different for
336 various species, reflecting differences in translational mechanisms [142, 143], in accordance to Grantham's
337 hypothesis [3]. Indeed, work with heterologous expression systems has been shown to yield inactive proteins
338 [144, 145]. Since then, such correlations have been demonstrated in various experimental systems with various
339 genes [146-148]. Critically, the fact that organisms had evolved their own codon usage systems to control
340 translation hints at the importance of an optimized system in producing functionally intact proteins. Early
341 studies which involve replacement of rare codons with frequent ones demonstrate a negative impact on the
342 synthesized protein's structure and thus activity [147] (**Fig. 4b**). Additionally, perturbations to the tRNA pool by
343 increasing the abundance of rare codons in *E. coli* has been shown to generate misfolded proteins [149]. These
344 findings have also been reflected in the *Neurospora* cell-free system as well as *in vivo* via measurements of
345 optimized and non-optimized luciferase reporters; optimization of non-optimized regions resulted in a decrease
346 in luciferase activity [64, 150]. Additionally, these optimized variants were more resistant to partial trypsin
347 digestion than the wildtype ones [64]. Furthermore, investigations into mammalian gamma-B crystalline
348 proteins have shown that proteins translated from corresponding synonymous codon variants also possessed
349 different conformations when investigated by 2D nuclear magnetic resonance as well as protease resistance
350 assays [151].

351 Conversely, an alternative explanation accounting for protein folding besides codon bias or optimality has been
352 proposed. In a study in yeast and mouse embryonic stem cells, Yang and colleagues argue that increased protein
353 production relies on a trade-off of translational accuracy for elongation speed and that the only way to improve
354 translational fidelity is to decrease the speed of translation [152]. Importantly, the authors demonstrate in yeast
355 that strong mRNA structures are used to slow elongation in order to improve translational fidelity and
356 consequently protein structure of highly expressed mRNAs [152]. However, a study in multiple genomes
357 explains that synonymous substitutions appear to be selected for in maintaining stable RNA structures which in
358 turn can influence translation [153] suggesting that these findings can to a certain extent be reconciled with the
359 use of synonymous codons.

360 While studies on co-translational folding are pervasive, it should be noted that the complexity behind protein
361 folding is manifold. While in general, perturbations or substitutions to synonymous codons have yielded
362 fascinating insights to how proteins are co-translationally folded, these results may vary depending on the
363 algorithms, organism, reporter system that was employed as well as the sensitivity of detection of the folding
364 signals. Even within bacteria, the strength of codon usage bias was found to be varied among species [154]. As
365 mentioned before, results from heterologous expression systems should be interpreted in the light of differing
366 tRNA pool requirements. Finally, given the involvement of protein chaperones on folding [155], it would be
367 appealing to investigate how chaperones are involved in the translation of optimized and non-optimized
368 sequences.

369 **Regulation of transcription and splicing**

370 Given its multiplicity of effects towards influencing protein expression, codon optimality has unsurprisingly
371 been shown to exert its effects even at the level of transcription. By the 1990s, optimality modelling based on
372 the simplifying assumption that natural selection favours increased transcription of genes bearing preferred
373 codons had already been made [156]. Indeed, subsequent studies in this field have yielded concrete evidence
374 implicating codon optimality in altering transcription rates. As part of a study aimed at analyzing the connection
375 between sequence features of translation to transcription elongation, Cohen and colleagues showed that codon
376 usage bias significantly affects both transcription and translation in highly expressed genes in yeast [157]. Zhou
377 and colleagues in a study utilizing the *Neurospora* cell-free system, showed that the effects of codon
378 optimization were also positively exerted at the level of mRNA transcription [63]. Conversely, it was shown that
379 histone H3 lysine 9 (H3K9) trimethylation was responsible for the transcriptional silencing of genes containing
380 predominantly non-optimal codons [63] (**Fig. 5a**). Kudla and colleagues, aiming to uncover the effects of

381 synonymous silent-site GC substitutions on gene expression in mammalian cells, demonstrated that GC-rich
382 reporters had increased mRNA abundances compared to their GC-poor counterparts [158]. Interestingly, the
383 study also reported that mRNA degradation was not responsible for this difference and attributed the increase to
384 either increased transcription or mRNA processing [158]. Evidence from our work with human cells
385 demonstrated that GC-rich reporters derived from REL and IL6 was more abundant compared to their AU-rich
386 counterparts in HEK293 cells suggesting increased transcription of GC-rich transcripts [40].

387 Apart from reporters, closely related genes have been employed. Newman and colleagues in their experiments
388 show that differences in codon bias in closely related nucleic acid-sensing receptors TLR7 and TLR9 which
389 contain predominantly rare and common codons respectively, possess different levels of expression [159]. The
390 authors then demonstrate that codon bias is correlated to GC-content and that suboptimal codon bias related to
391 low GC-content limits the expression of TLR7 with respect to TLR9 [159]. Furthermore, proto-oncogenes
392 *KRAS* and *HRAS*, genes with similar amino acid identity but differing levels of optimality were demonstrated to
393 be differentially regulated at the level of translation and, transcription via histone modification and chromatin
394 structure [67].

395 Corroborating these studies, a recent study by Mordstein and colleagues demonstrated that through genome-
396 wide analysis and optimization of codons, high GC-content increased mRNA and protein abundance, as well as
397 cytoplasmic localization [160]. Interestingly however, the authors also showed that splicing increases the
398 expression of AT-rich genes via increased cytoplasmic localization; an effect not observed for GC-rich genes
399 [160] (**Fig. 5b**). In another study, Fontrodona and colleagues demonstrate that in humans, exons coregulated by
400 splicing factors possess similar nucleotide composition bias in that codons encode amino acids with similar
401 physicochemical properties [161]. For example, G/C-rich motif-binding SRSF2 promotes the inclusion of GC-
402 rich exons which code preferentially for small amino acids while C-rich motif-binding SRSF3 promotes the
403 inclusion of GC-rich exons which code preferentially for uncharged amino acids [161]. These studies are
404 extremely relevant in that they demonstrate that nucleotide sequence bias (and consequent codon bias) not only
405 has an impact on the production of a functional gene, but is also implicated in the localization and splicing of
406 transcripts while maintaining their physicochemical protein features.

407 Unsurprisingly, Stergachis and colleagues show that codon preferences in mammalian genomes can also be
408 accounted for by transcription factor binding [162]. In their study, codons which specify both amino acids and
409 exonic transcription factor recognition sites, termed ‘duons’, are evolutionarily constrained by the need to
410 preserve transcription factor recognition sequences [162]. Importantly, with data obtained from genome-wide
411 associated studies (GWAS), the authors show that a large proportion of disease- and trait-associated duons are
412 associated with non-synonymous substitutions suggesting that these variants may have an impact on regulation
413 and/or protein function [162].

414 **RNA modifications in tRNA and protein-coding RNA**

415 Given the increasing body of evidence demonstrating how tRNA pools and codon usage regulate translation,
416 one would call into question how organisms, which do not possess a canonical repertoire of all 61 tRNA species
417 (possessing generally 23 to 45 tRNA species), are able to circumvent this limitation in resources. Francis Crick,
418 in 1966, in explaining the nature of the genetic code’s degeneracy, proposed the *Wobble Hypothesis* [163]. The
419 hypothesis states that while regular base-pairing could occur between the first and second positions of the codon
420 with the corresponding third and second bases of the anticodon, the third base of the codon could form a non-
421 Watson-Crick base pairing with the first base of the anticodon [163]. Where many organisms do not possess all
422 61 tRNA species, wobbling compensates this limitation by conferring broad specificity, allowing one tRNA
423 molecule to be read by multiple codons [164]. Wobble bases have been shown to affect translation kinetics
424 although the impact and extent to are still uncertain, with several studies reporting mixed results [76, 165, 166].
425 In this section we discuss how organisms utilize wobbling to adjust and optimize translation kinetics by
426 modifying the affinity of tRNA and mRNA to translation. It should be noted however, that the codon optimality
427 in the following and related studies may not follow the static delineation of optimized/non-optimized codons.
428 Instead these codons in defined sets of transcripts are specially selected for to be optimal only under specific

429 conditions. Nonetheless, it would be beneficial to take into account the findings of these and future studies when
430 investigating the system of codon bias under different physiological conditions.

431 tRNA can be subjected to a multitude of RNA modifications. Indeed, more than a hundred tRNA modifications
432 have been identified [167, 168]. From this, more than 700 modified RNA sequences have been identified, a vast
433 majority of which can be found on tRNA [168]. Wobble base modifications in particular have been shown to
434 facilitate the recognition between codons and wobble-read tRNA globally [169-171] (**Fig. 6a**). This
435 phenomenon has been researched in several organisms under various growth conditions. In yeast, under
436 oxidative stress, Trm4-catalyzed modification of C at the wobble base of tRNA^{Leu(CAA)} has been demonstrated to
437 increase translation of a certain set of mRNAs in which the majority of encoded leucines are biased towards the
438 codon UUG [172]. This phenomena is similarly echoed in *mycobacterium bovis* under hypoxic conditions in
439 which increases in wobble cmo5U in tRNA^{Thr(UGU)} increases the translation of transcripts containing the ACG
440 codon [173]. The loss of wobble uridine (U34) in *Caenorhabditis elegans* and yeast has been shown to result in
441 translational pausing of ribosomes leading up to the accumulation of protein aggregates, the inability to clear
442 these aggregates and eventual proteotoxic stress [174]. A recent study by Bornelöv and colleagues in human
443 embryonic stem cells revealed that codon optimality of self-renewing and differentiating cells is based on the
444 GC-content of differentially expressed transcripts [175]. In particular, self-renewing stems cells which possess
445 high levels of inosine are dependent on inosine tRNA modifications which generally increase translation
446 efficiency of modified transcripts [175].

447 Apart from those in wobble tRNA, modifications which affect translation efficiency can be found on protein-
448 coding RNA itself; translation of these codons results in increased translation efficiency and mRNA stability
449 (**Fig. 6B**). Arango and colleagues describe the acetylation of wobble base cytidine to N4-acetylcytidine (ac4C)
450 as an mRNA modification which increases translation efficiency and mRNA stability in human cells [176].
451 Additionally, Eyler and colleagues utilizing a bacterial translation system and human cells, demonstrated that
452 pseudouridine, a common RNA modification negatively impacts translation by altering the interaction between
453 the ribosome and cognate as well as non/near cognate amino-acylated tRNAs [177]. Additionally, the authors
454 demonstrated that the inclusion of pseudouridine-containing codons in a single type of mRNA resulted in the
455 translation of a variety of peptide products suggesting an alteration in tRNA selection by the ribosome [177].
456 Another common RNA modification, m6A has been investigated with regard to translation due to its presence in
457 coding sequences yielding mixed results. Research by Mao and colleagues show that inclusion of m6A in
458 protein coding transcripts results in ribosomal pausing, while the removal of m6A modifications further
459 decreased translation [178]. This phenomena was attributed to m6A possessing dual functions; eliciting
460 ribosome stalling and, resolving mRNA structures to facilitate translation via YTHDC2, a RNA helicase m6A
461 reader [178]. These findings were to a certain extent contrasted by an earlier publication which concluded that
462 the majority of m6A in protein-coding regions were non-functional with little conservation in both yeast and
463 humans [179]. However in the latter research, the authors also concluded that the remaining minority of
464 evolutionary conserved m6A modifications were suggested to be functional in nature and should be subjected to
465 future investigations [179]. While pseudouridine and m6A are not specifically wobble base modifications, it
466 would be of interest to investigate how their presence in protein-coding sequences ties into the translation of
467 AU-rich mRNA.

468 **Functional importance and physiological relevance**

469 Codon optimality affords organisms a plethora of ways to regulate protein abundance and functionality. This
470 system has been shown to couple the translation response to an organism's state, stress response and ultimately,
471 adaptation to its environment. While briefly touched upon in the previous sections, we would like to further
472 elaborate on the specific physiological merits conferred to various organisms through codon bias and optimality
473 in the following section. It is therefore important to recall that the *Genome Hypothesis* holds true, in that various
474 organisms utilize a unique repertoire of codons in their own systems of codon optimality,

475 Under the assumption that tRNA isoacceptor abundances are correlated with frequency of their cognate
476 synonymous codons, a study which modelled the charging states of *E. coli* tRNA isoacceptors revealed that

477 tRNA isoacceptors are selectively charged during amino acid starvation [36]. In this and further validation
478 experiments, rare codons which are read by less abundant tRNAs were demonstrated to preserve high charging
479 levels for their cognate amino acids under starvation, allowing them to be relatively efficiently translated
480 compared to their frequent codon counterparts hinting at the presence of gene subsets which may be selectively
481 translated under nutrient limiting conditions [36, 180] (**Fig. 7a**). A study of yeast and *C. elegans* datasets by
482 Gingold and colleagues also revealed that codons read by rare tRNAs are enriched under various stress
483 responses [181] (**Fig 7b**). Furthermore, based on modified tAI, it has been shown that in yeast, genes with
484 codons that were better adapted to the tRNA pool under stress conditions tended to be associated with functions
485 related to responses to external stimuli [182]. Conversely, genes whose codon adaptation was low, were
486 associated with functions such as amino acid biosynthesis and carbohydrate metabolism suggesting that cells
487 can dynamically regulate their tRNA abundance to produce a myriad of responses to cope with stress [182].

488 Adaptation to varying tRNA abundances via synonymous codons has also been demonstrated in eukaryotes as a
489 method to control the cell cycle. A study by Frenkel-Morgenstern and colleagues demonstrated the effects of a
490 changing charged tRNA pool during various stages of the cell cycle [183]. Importantly, in the G2 phase, several
491 tRNA synthetases were found to be increased towards the G2/M phase of the cell where charged tRNA pools
492 were at the highest [183]. Correspondingly, mRNA with non-optimal codons were efficiently translated,
493 mirroring this increase in charged tRNA pools [183]. In contrast, in the G1 phase, where charged tRNA was
494 limited, mRNA with optimal codons were selectively translated due to a higher affinity of optimal codons to
495 their corresponding tRNA isoacceptors [183]. Further evidence of such phase-specific control has also been
496 demonstrated in yeast through work by Sabi and colleagues, in which translation elongation efficiency was
497 shown to be changed at various phases of yeast sporulation to ensure the selective translation of important
498 phase-specific proteins [184]. Additionally, research in yeast revealed that genes with similar functions have
499 similar codon compositions allowing them to be regulated at similar levels for synchronous expression [4]. For
500 example, genes involved in glycolysis, or encoding ribosome subunits were enriched in optimal codons while
501 genes encoding pheromone responses and small-subunit processome were enriched in non-optimal codons,
502 possibly as a reflection of their physiological requirements [4]. Intriguingly, tRNA gene sequences in eukaryotes
503 are highly diverse. Goodenbour and colleagues show that tRNA genes which harbour the same anticodon but
504 different sequences elsewhere in the tRNA (tRNA isodecoder genes) are diverse in mammalian genome [185].
505 Interestingly, isodecoders have been shown to vary in expression in mammalian tissues, with each possessing
506 varying degrees of translational efficiency [185-187]. While these studies may not have been specifically
507 discussed in the light of codon bias and optimality, it would be no surprise to surmise that individual species of
508 tRNA isodecoders may have a limiting impact on the overall decoding of transcripts in different tissues and may
509 present as an extra level of translation regulation.

510 Interestingly, studies have shown that codon optimization of the *neurospora* FRQ protein, a protein
511 predominantly comprised of non-optimal codons, resulted in the disruption of *neurospora* circadian rhythm,
512 hinting at a physiological role for non-optimal codons [188]. In cyanobacterium *Synechococcus elongate*, while
513 optimization of circadian clock genes *kaiBC*, resulted in enhanced rhythmicity at physiologically permissive
514 temperatures, cellular fitness was compromised at cooler temperatures, indicating a form of selection against the
515 usage of optimal genes; only permitting growth at physiologically permissive temperatures [189].

516 Saikia and colleagues reported that in mammalian cells, the translation of ubiquitin–proteasome pathway-
517 associated genes was resistant to the effects of amino acid starvation [190]. Analysis of this subset of genes
518 showed that were enriched in non-optimal codons [190]. The authors hypothesized that following amino acid
519 limiting conditions, the functioning of this pathway is vital in ensuring that amino acids are recycled to help the
520 cell adapt to the environment [190]. In comparison, a recent study in mouse embryonic fibroblasts found that
521 mRNAs related to cell proliferation were more strongly translated in rapidly dividing cells compared to cells at a
522 resting state despite individual tRNA expression remaining unchanged [191]. The authors attributed this
523 phenomenon instead, to a global upregulation of tRNAs as compared to individual regulation of tRNAs [191].

524 The effects of codon optimality are also evident in developmental processes. In maternal-to-zygotic transition of
525 zebrafish, *Xenopus*, *Drosophila* and mice, codon optimality is essential for the clearance of maternal mRNA

526 during early embryogenesis [6, 62]. Strikingly, the attenuation of the codon optimality-based system has been
527 shown to be important in the neural development of drosophila [192]. It has been hypothesized that this
528 attenuation is necessary to allow neural-specific development programs of mRNA degradation to prevail [192].

529 Additionally, the role of RNA modifications in stress responses has come under increasing scrutiny of late.
530 Trm4's before-mentioned role in cell survival, increases selective translation of mRNA enriched in the TTG
531 codon, allowing yeast to mount a survival response to an oxidative environment by increasing the expression of
532 ribosomes [172]. Additional high-throughput screens in yeast have demonstrated that another methyltransferase,
533 Trm9 is upregulated in response to the presence of alkylating agents [169, 193] and ionizing radiation [194] by
534 introducing wobble base modifications of several tRNA (tRNA^{UCU} and tRNA^{UUC} among others) [195].
535 Consequently, AGA and GAA codon-enriched mRNAs, associated with protein synthesis and DNA damage
536 responses were translated more efficiently [196]. Expectedly, trm9Δ cells accrue a DNA damage phenotype
537 suggesting that the modified wobble bases were essential in mounting a survival response [196]. Indeed, these
538 results hint that RNA modifications under a variety of stress conditions allow cells to reprogram tRNA for
539 codon-biased translation of subsets of mRNA to mount a survival response.

540 **Conclusion**

541 Codon optimality has been demonstrated to be a strong multi-faceted force in shaping protein production via a
542 myriad of biochemical processes (**Fig. 8**), consequently impacting cellular fitness. Once again, we would like to
543 stress that while this review explores the effects of codon bias and optimality in separate sections, these
544 processes should be thought of as a fluid network which can be calibrated by a myriad of inputs such as
545 environmental stress and developmental programs among others, to help the cell regulate a functional protein
546 output. Considering the effects of synonymous codon usage even at the level of transcription and splicing, the
547 genetic code in the context of our discussion is a superimposition of several layers of regulatory information.
548 This however increases the complexity in teasing apart the effects of each of the above-mentioned process from
549 each other.

550 Insofar as transcription and post-transcriptional processes such as splicing or localization are concerned, we
551 have discussed how nucleotide sequence (under constraints by codon and amino acid identity) has an impact on
552 transcript expression. While beyond the scope of this discussion, trans-acting factors such as splicing and export
553 factors as well as base modifying enzymes, by extension can be considered to be part of a multi-layered system
554 designed to tune transcript and consequently, protein levels.

555 This review has also discussed mRNA degradation and protein folding as co-translational processes contributing
556 to a functional protein output. Besides these, cis-acting elements at the 5' end of the coding sequence
557 demonstrate that translation initiation can have a profound impact on a transcript's translation efficiency and
558 stability. Additionally, the discovery that Dhh1 may act as a sensor for monitoring translation elongation and
559 controlling mRNA degradation in yeast demonstrates that ribosome dynamics are crucial. It is therefore
560 plausible that other similar sensing factors exist, particularly in mammals that can link mRNA stability to
561 translation. Given that non-optimal codons have the potential to significantly slow ribosomes, there is a potential
562 for ribosome collisions to occur under suitable conditions. The discovery of disomes (and trisomes) through
563 emerging technologies such as ribosome profiling may thus help determine if a link exists between non-optimal
564 codons and ribosome collisions as well as further shed light on how cells differentiate and resolve these
565 roadblocks.

566 While we emphasize that optimal and non-optimal codons have been selected for to optimize protein production,
567 many studies have shown that organisms or cell types are able to further alter or bypass this system under
568 various growth or stress conditions. In this sense, codon optimality can also be thought of as dynamic or fluid –
569 different conditions necessitating different 'optimal' or 'non-optimal codons' to mount a suitable physiological
570 response. Finally, it would be interesting to consider how these insights, gleaned from how mRNA and protein
571 levels are calibrated in cells, can be applied at an industrial level; knowledge of the transcription and translation

572 machineries of cells can be harnessed to produce large quantities of functional recombinant proteins efficiently
573 and effectively.

574 **Compliance with ethical standards**

575 **Conflict of interest.** The authors have no conflicts of interest to report.

576 **Acknowledgments.** The authors thank all members of our laboratory for discussions. This work is supported
577 by Japan Society for the Promotion of Science (JSPS) KAKENHI Grant Numbers JP18H05278 and 20F20115,
578 and Takeda Science Foundation.

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964

965 **Figure Legend**

966 **Figure 1. Synonymous codons regulate translation.** (a) Diagram depicting the effects of the ‘ramp’ on
967 ribosome density. Translation is slow at the start (indicated by high ribosome density) but decreases thereafter,
968 possibly indicating an increase in translation speed. (b) Usage of rare codons results in decreased protein
969 synthesis rates as their cognate tRNA are less abundant. (c) Summary of the effects of optimal and non-optimal
970 codons on translation.

971 **Figure 2. Ribosome profiling for monitoring translation dynamics.** (a) Outline of the ribosome profiling
972 workflow. Translating ribosomes are firstly immobilized and then subjected to RNase treatment. The resulting
973 fragments are then subjected to protease treatment to remove the ribosomes. High-throughput sequencing
974 follows and the ribosome densities are calculated. (b) As non-optimal codons are translated at a slower speed,
975 the ribosome densities at sites of low codon optimality are expected to depict a high ribosome density. (c)
976 Simplified diagram highlighting how ribosome collisions occur. A stalled ribosome is subject to collisions by the
977 trailing ribosome forming a disome. Further collisions may result in further staking of ribosomes.

978 **Figure 3. Synonymous codons can regulate mRNA stability** (a) Outline of the fate of transcripts during
979 degradation. Transcripts can be degraded from the 5' to 3' direction by Xrn1 after decapping or in the 3' to 5'
980 direction by the exosome complex. (b) Dhh1 acts as a sensor of codon optimality by facilitating the degradation
981 of transcripts possessing low codon optimality. (c) mRNAs possessing high GC-content are stable and are
982 translated more efficiently than their AU-rich counterparts. (d) The competition between mRNA degradation
983 factors and translation initiation factors can determine the fate of the transcript. Transcripts occupied by
984 ribosomes can exclude decay factors.

985 **Figure 4. Both optimal and non-optimal codons are utilized in several ways to ensure translation fidelity**
986 **and proper folding.** (a) Structurally sensitive sites of proteins are generally coded for by optimal codons to
987 ensure high translation fidelity and the consequent production of functional proteins. (c) Non-optimal codons
988 can be utilized to slow translation to enable folding of the nascent peptide chain into the correct conformation
989 for a functional protein. Substitution of the non-optimal codons with optimal codons alters the folding of the
990 peptide, resulting in incorrectly folded and non-functional proteins.

991 **Figure 5. Synonymous codons can regulate transcription.** (a) H3K9 trimethylation on sequences which
992 possess non-optimal codons can have a silencing effect on transcription. (b) Schematic diagram illustrating how
993 splicing, GC-content and 5'GC content affects expression of a gene.

994 **Figure 6. RNA modifications have an effect on translation and mRNA stability.** (a) Generalized illustration
995 of one of the possible effects of wobble base-modified tRNA. Such an effect can be observed with Trm4-
996 catalyzed modification of C at the wobble base of tRNA^{Leu(CCA)}. (b) Besides wobble base modifications of tRNA,
997 mRNA can be subject to modifications such as ac4C (at the wobble position), pseudouridine and m6A among
998 others. Modifications such as ac4C can have a mRNA stabilizing effect in addition to increased translation
999 efficiency.

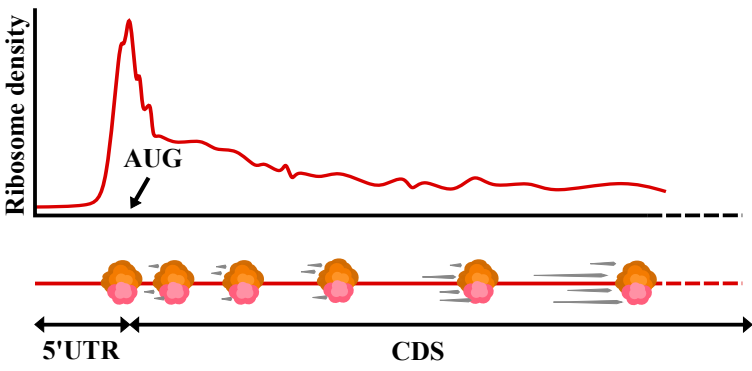
1000 **Figure 7. Functional importance of synonymous codons on physiological processes.** (a) Selective charging
1001 of tRNA isoacceptors after starvation. Highly abundant tRNA isoacceptor (major tRNA, red) with a given
1002 anticodon (NNN)₁ may possess lower charging levels after amino acid starvation. Conversely a less abundant
1003 isoacceptor (minor tRNA, blue) with a given anticodon (NNN)₂ can maintain high charging levels to ensure
1004 transcripts enriched with this codon can be rapidly translated to produce a stress response. (b) Organisms can
1005 induce changes to their charged tRNA pool (and isoacceptor abundance) to ensure a timely response to stress.
1006 Under optimal growth conditions, transcripts which possess codons which require a major tRNA isoacceptor
1007 (red) are translated efficiently. Under stress, organisms can facilitate changes to tRNA abundances to ensure the
1008 efficient translation of a separate set of stress response transcripts which utilize minor tRNA isoacceptors (blue).

1009 **Figure 8. Summary of how codon optimality affects mRNA and protein regulation.** Organisms have
1010 evolved a system of codon optimality to fine-tune mRNA and protein levels. It should be noted that certain
1011 processes may be organism specific and may differ in the extent of their impact.

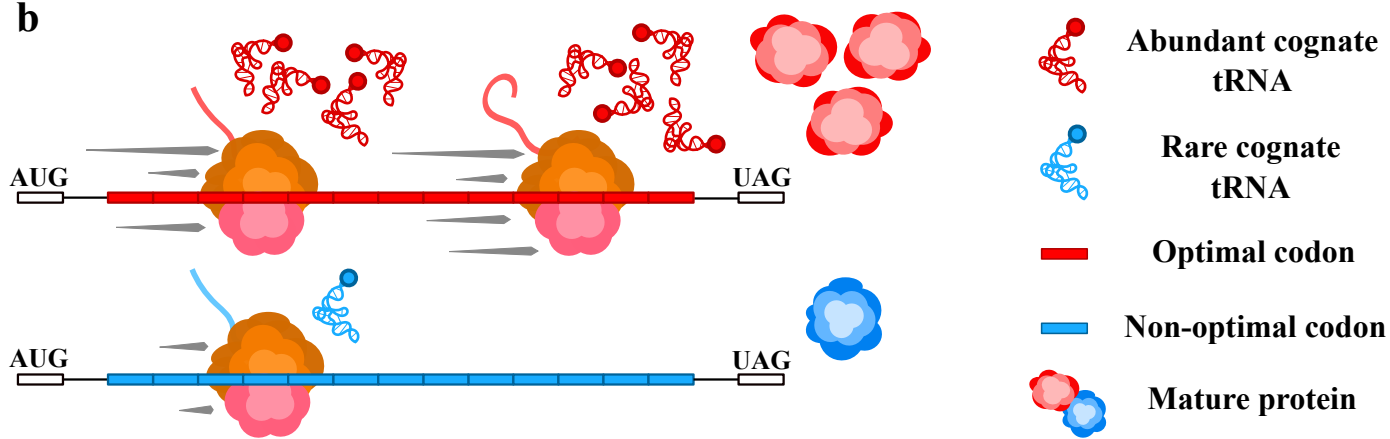
1012

Figure 1

a



b



c

	Optimal	Non-optimal
Translation	Fast 	Slow
Cognate tRNA	Abundant 	Rare
Protein abundance	High 	Low

Figure 2

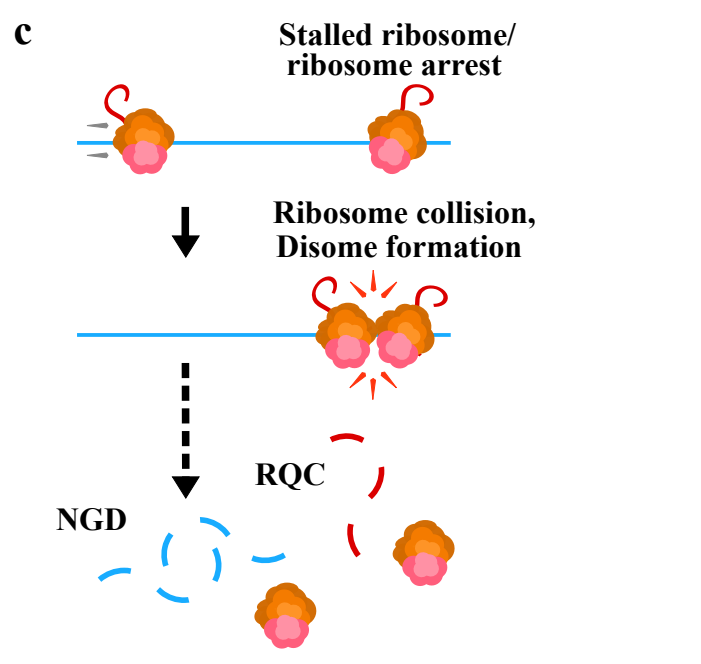
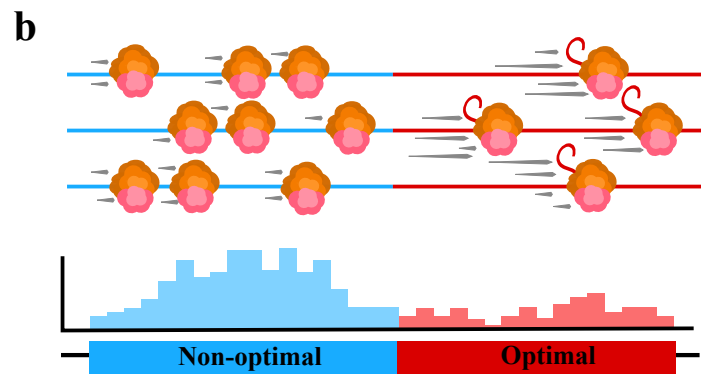
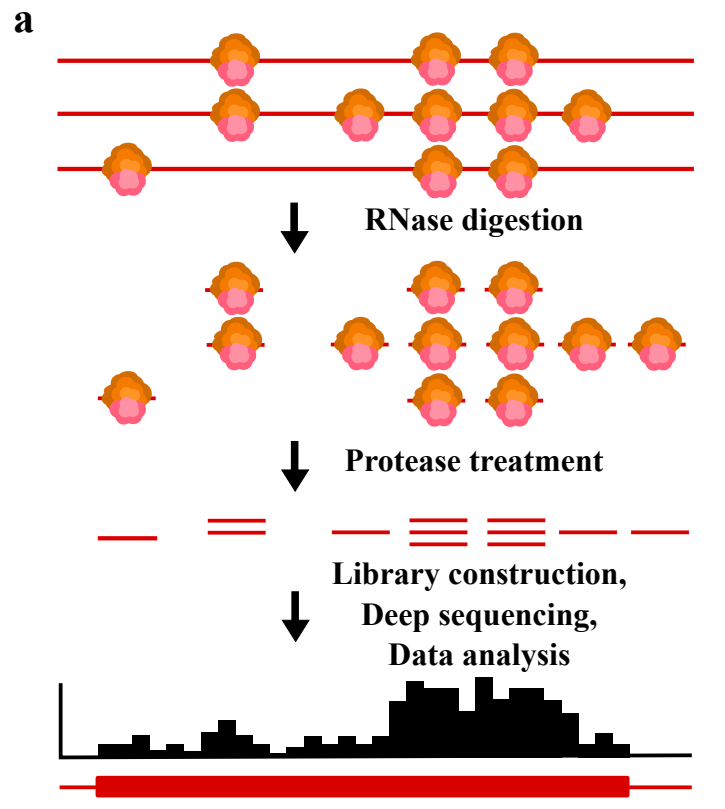
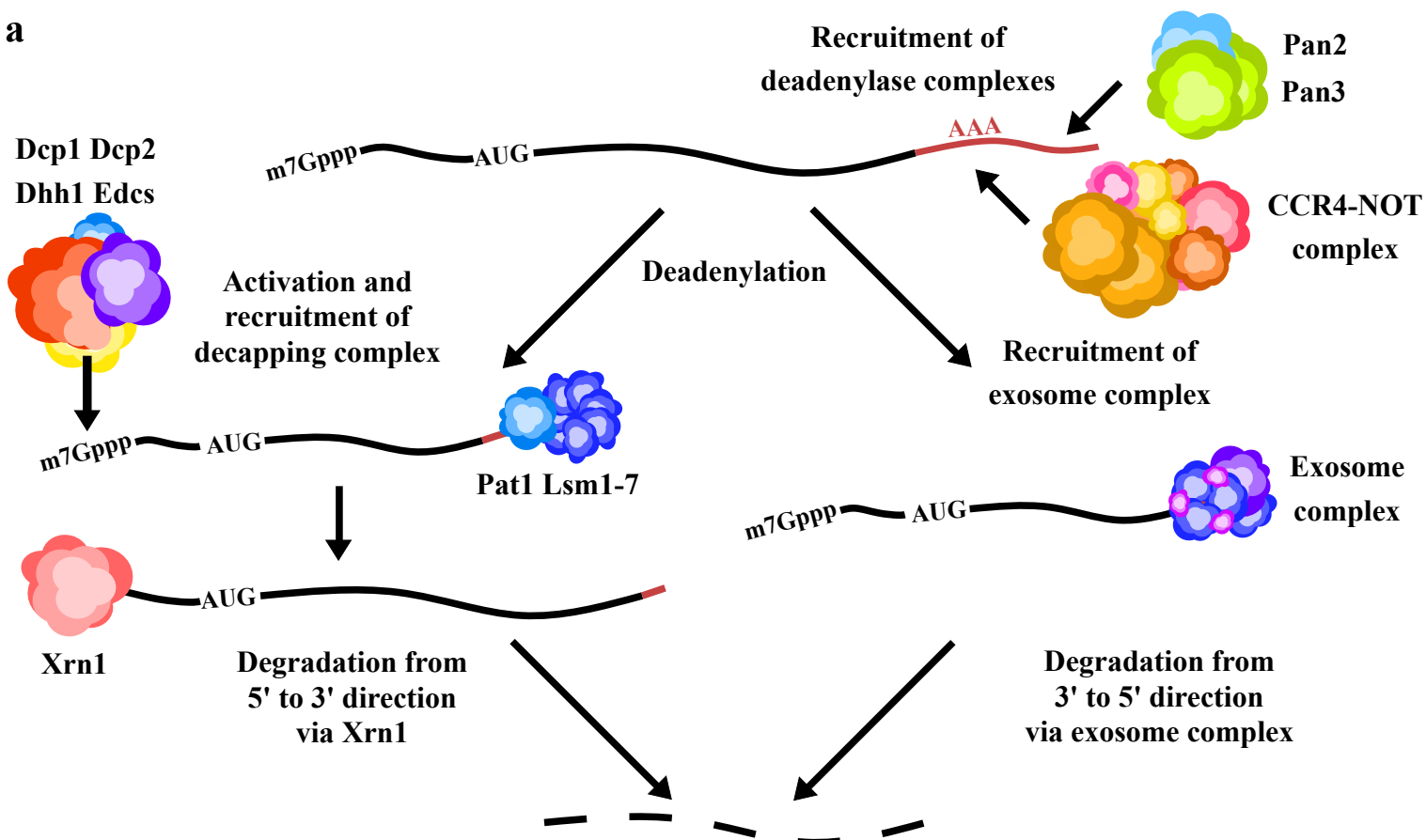
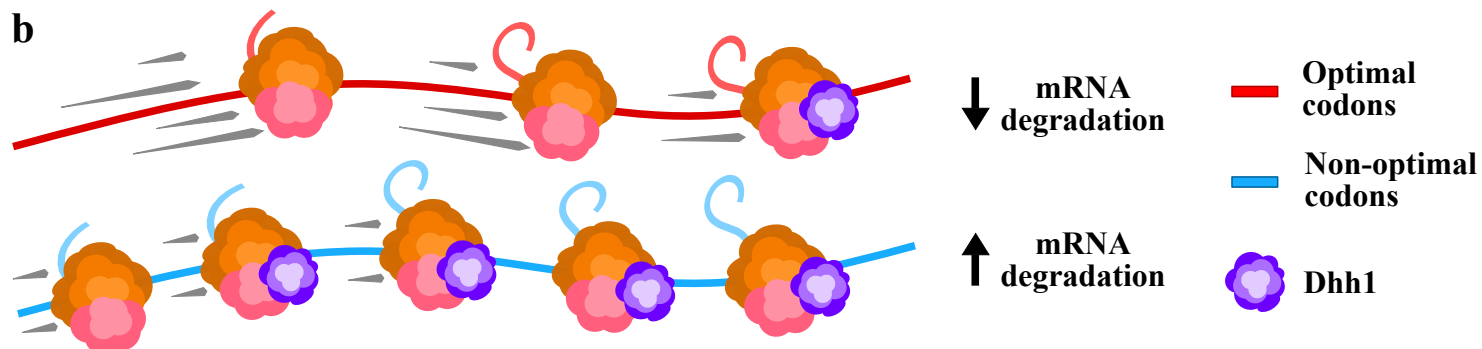


Figure 3**a****b****c**

	GC-rich	AU-rich
Translation	Efficient 	Less efficient
mRNA Stability	High 	Low
Protein abundance	High 	Low

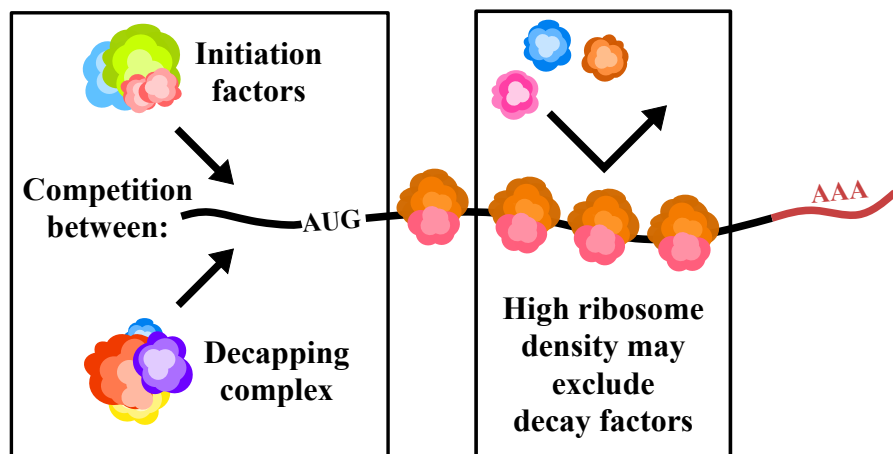
d

Figure 4

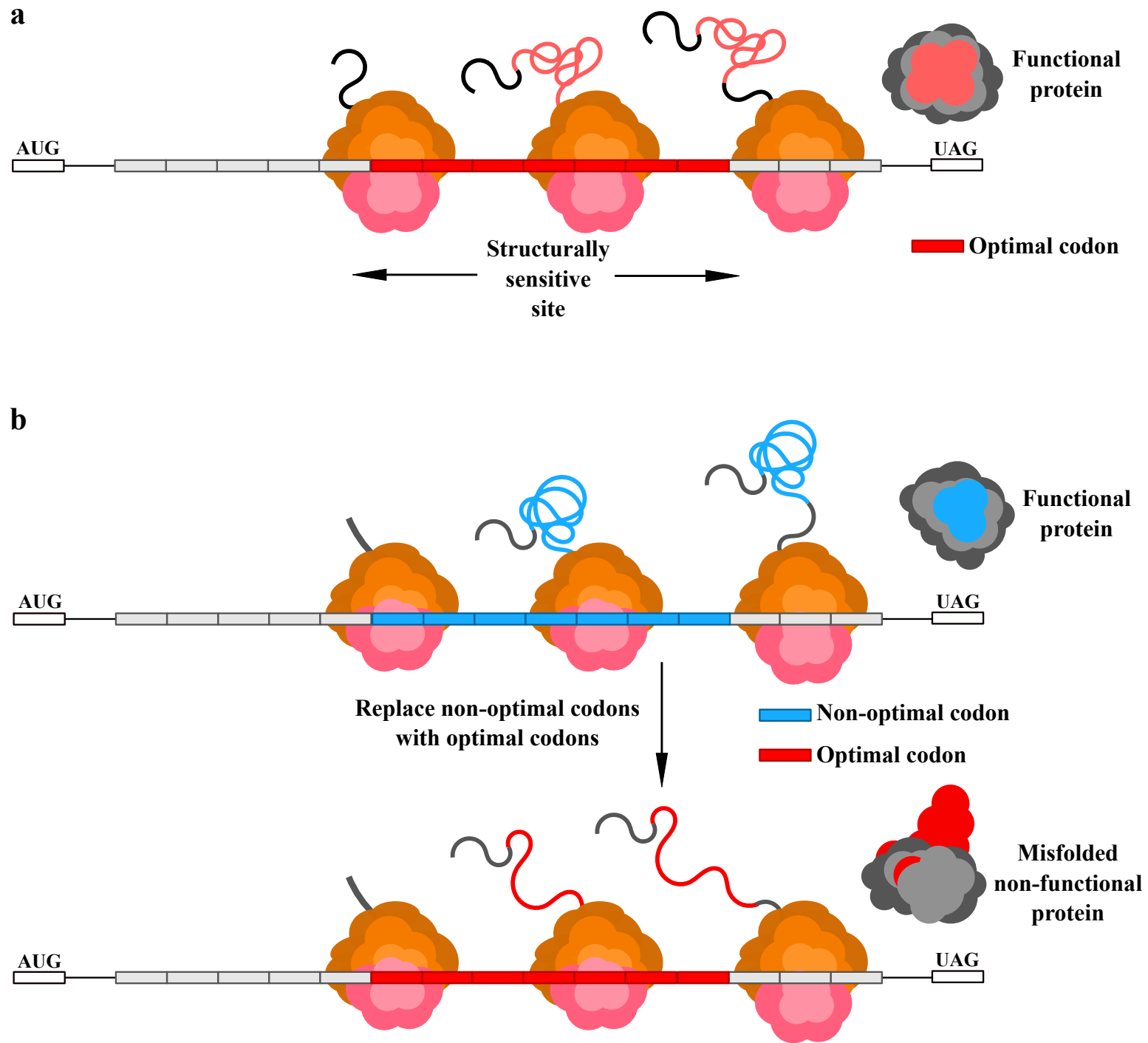
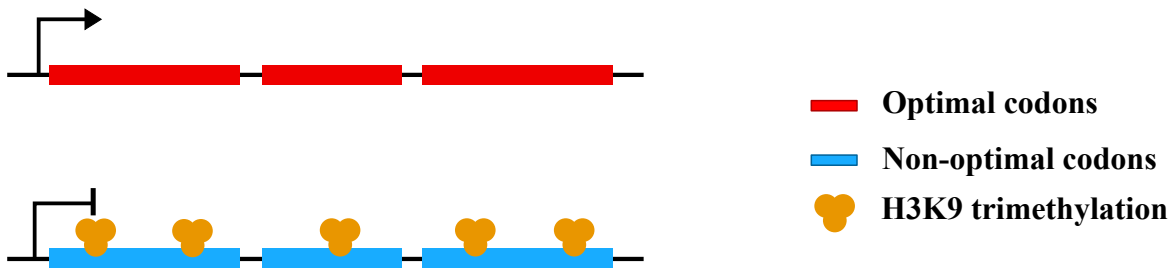


Figure 5

a



b

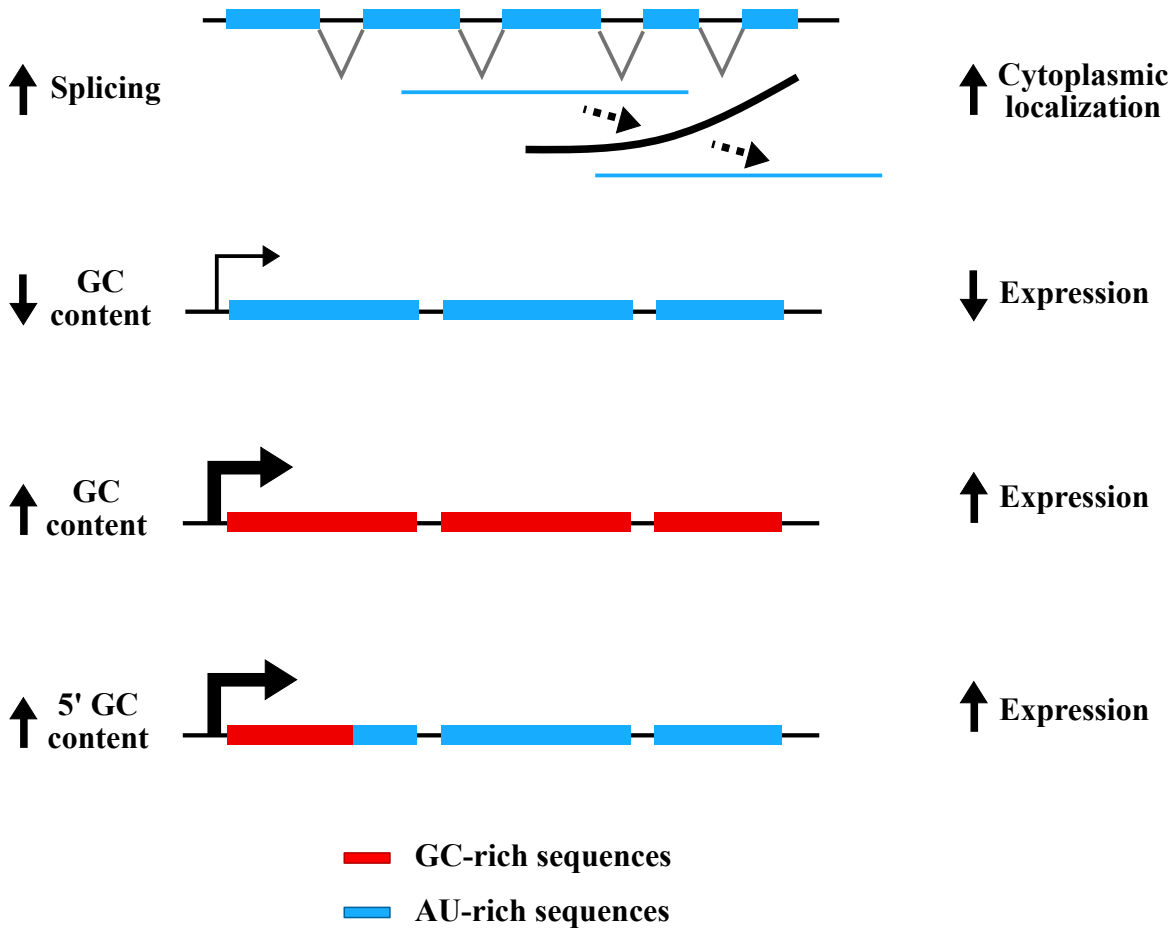
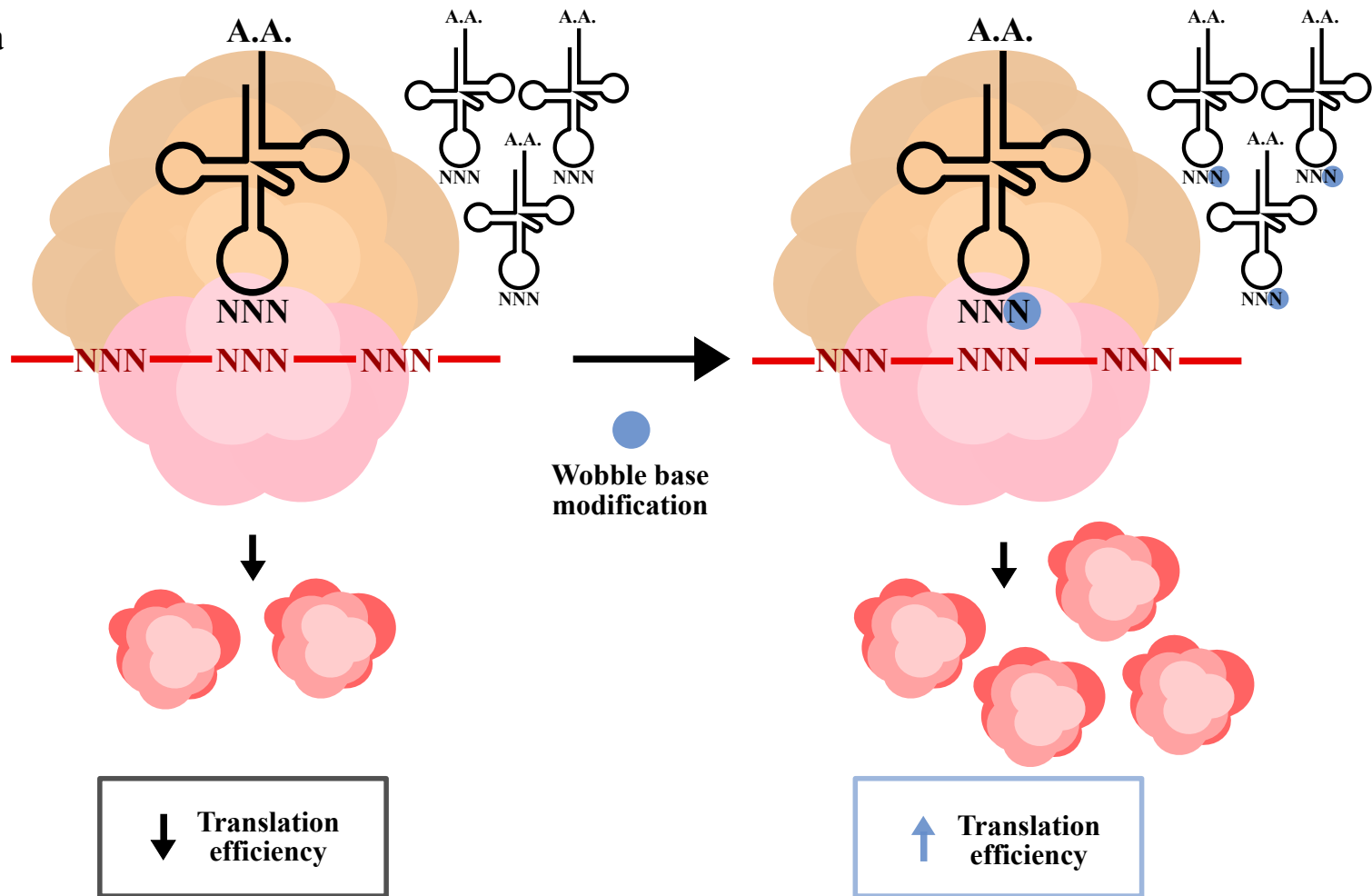


Figure 6

a



b

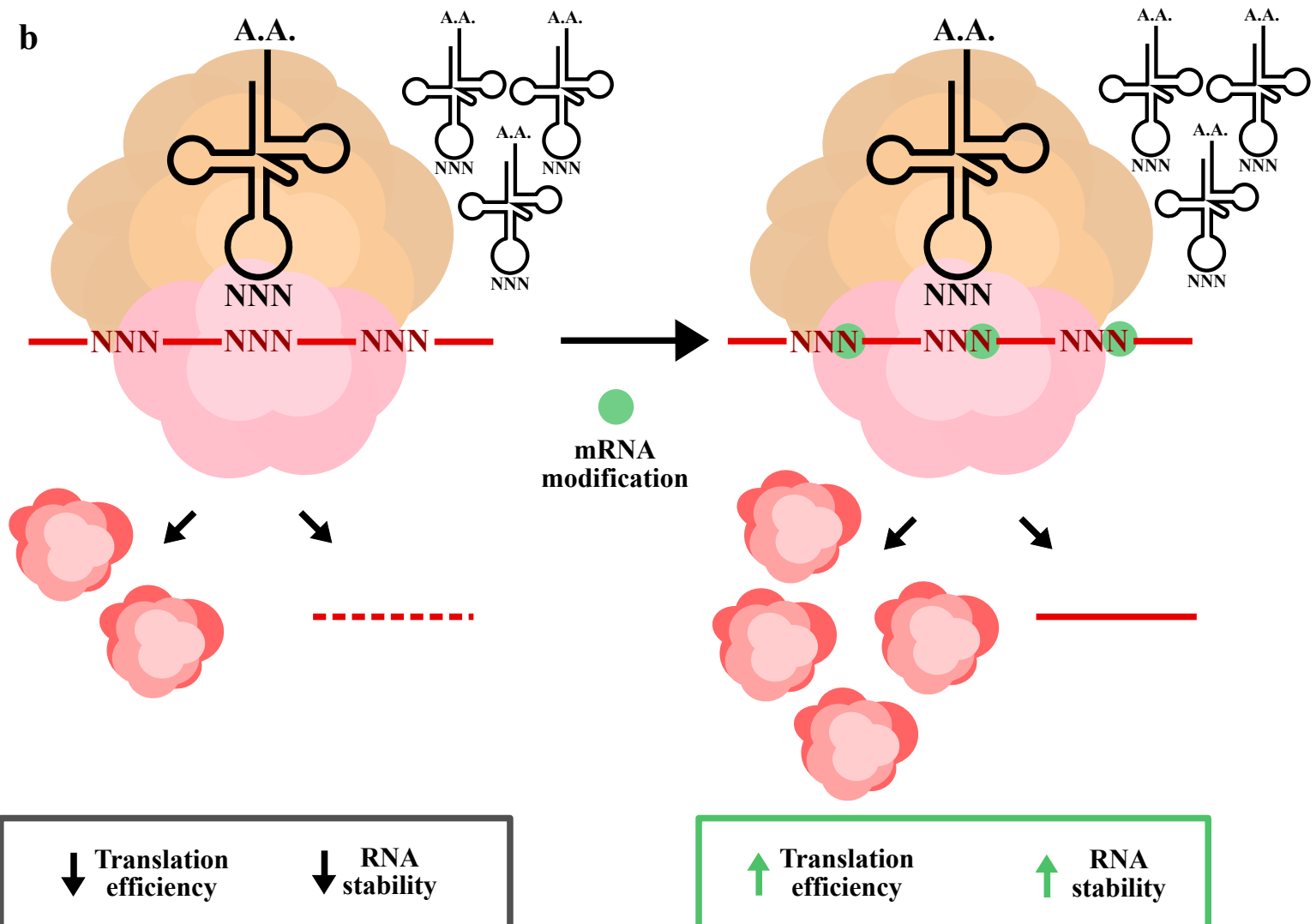


Figure 7

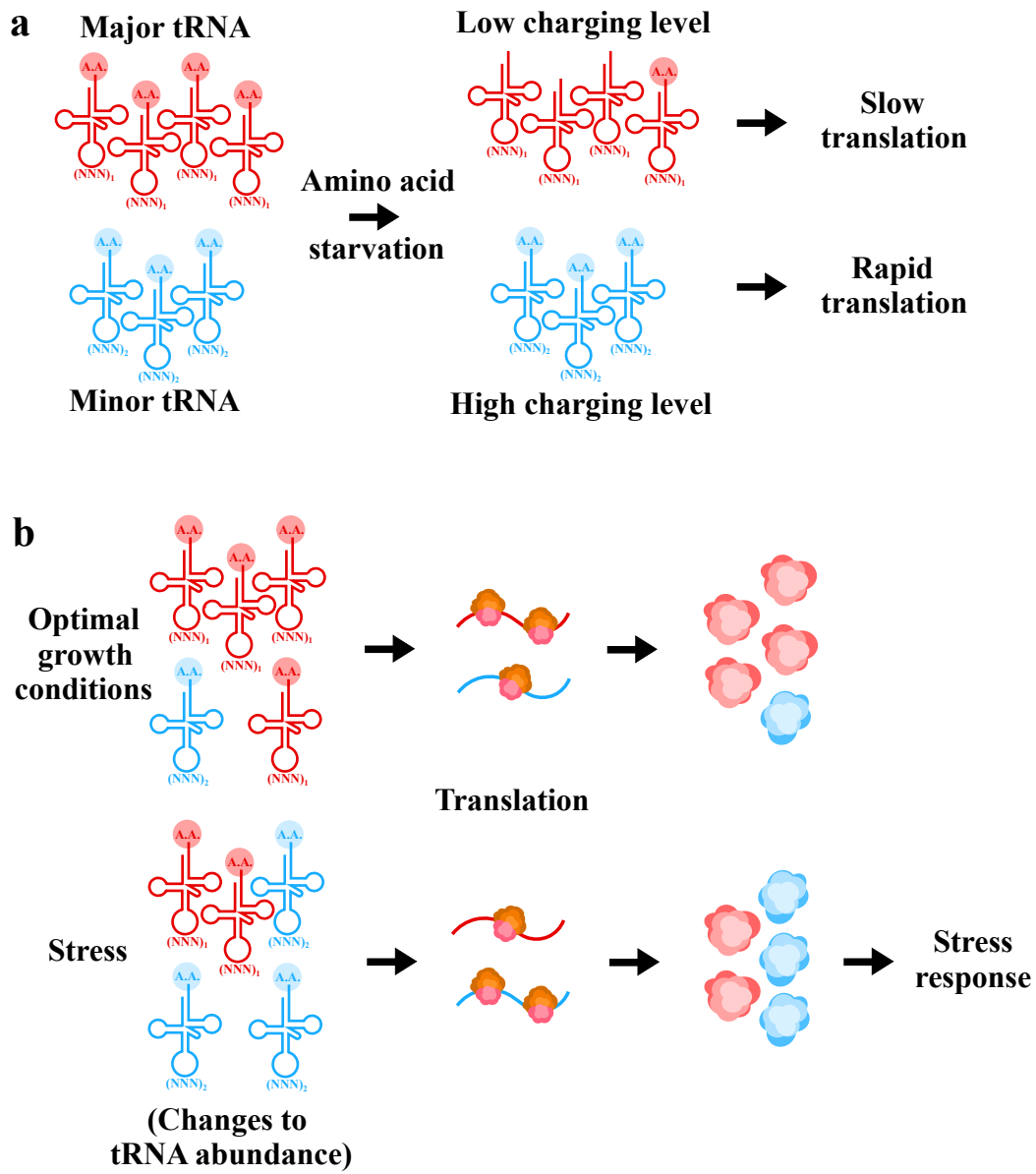


Figure 8

