3

Original Research Date

Pseudo-Double Impulse for Simulating Critical Response of Elastic Plastic MDOF Model under Near-Fault Earthquake Ground Motion

6

7 Hiroki Akehashi¹, Izuru Takewaki^{1*}

- ¹Department of Architecture and Architectural Engineering, Graduate School of Engineering,
- 9 Kyoto University, Kyotodaigaku-Katsura, Nishikyo, Kyoto 615-8540, Japan
- 10 * Correspondence: Izuru Takewaki, Department of Architecture and Architectural Engineering,
- 11 Graduate School of Engineering, Kyoto University, Kyotodaigaku-Katsura, Nishikyo, Kyoto
- 12 615-8540, Japan; E-mail takewaki@archi.kyoto-u.ac.jp

13

14 Abstract

A pseudo-double impulse (PDI) is proposed as an extension of the ordinary double impulse (DI) as a 15 substitute of a one-cycle sine wave. PDI is treated as a set of impulsive lateral forces while the ordinary 16 DI was introduced as an impulsive ground acceleration. The deformation and acceleration responses 17 of multi-degree-of-freedom (MDOF) models under DI largely exceed those under the corresponding 18 one-cycle sine wave as a main part of a near-fault ground motion. This is because DI has multiple 19 frequency components and the higher-mode responses are excited. While the influence coefficient 20 vector of the ordinary DI consists of 1 at every component, the influence coefficient vector of PDI is 21 set to be proportional to the undamped fundamental natural mode. Therefore, the fundamental-mode 22 23 response is mainly excited and the higher-mode responses are hardly excited by PDI. The displacement responses, the velocity responses and the input energy under DI and those under PDI are derived here 24 for both elastic proportionally and non-proportionally damped MDOF models. The critical timing 25 26 under PDI is also derived. The critical timing can be obtained by the time-history response analysis without repetition. It is demonstrated through the time-history response analysis that the distributions 27 of interstory drifts and floor accelerations under the critical PDI correspond well to those under the 28 critical one-cycle sine wave. Moreover, it is shown that, as far as the input level is large, the critical 29 input period of PDI and that of the one-cycle sine wave correspond well. Since this procedure is not 30 limited to elastic models, the proposed procedure helps to efficiently estimate the critical responses 31 under the one-cycle sine wave for elastic-plastic MDOF models. Finally, the responses under recorded 32 near-fault ground motions are compared with those under PDI. It is shown that the correspondence of 33 the response under PDI and that under the recorded ground motions is fairly good although recorded 34 ground motions are not always critical inputs for elastic-plastic MDOF models. 35

- 37 Keywords: Critical excitation, Elastic-plastic earthquake response, Nonlinear resonance,
- 38 Double impulse, Pseudo-double impulse, Near-fault ground motion.
- 39

40 1. Introduction

- 41 Ground motions observed in recent years greatly exceed the level specified in the code for building
- 42 structural design. Especially, a pulse-like motion and a long-period, long-duration motion could
- 43 cause large damage to a specific class of building structures because these ground motions have
- characteristics extremely different from ground motions of random nature [1-3]. Therefore, it is
- 45 necessary to establish a design method that takes account of the natures of these earthquake ground
- 46 motions.
- 47 To develop effectively the seismic design against pulse-like motions, the following treatments may
- 48 be necessary; 1) characterization and simplification of such ground motions and 2) investigation on
- 49 the effectiveness of innovative base-isolation systems and structural control systems. Regarding the
- 50 former, the expression of the pulse-like motions using trigonometric functions and wavelets have
- been investigated [4, 5]. It has also been clarified that a one-cycle sine wave effectively expresses a
- 52 main characteristic of a fling-step motion [2, 6-8]. Since the relation among the parameters of the
- 53 ground motion (e.g. the input frequency and the input amplitude), the structural parameters and the
- response quantities is quite complicated, an innovative approach has been desired. The critical
 excitation method using the worst input has been used to overcome this difficulty [9-12]. Regarding
- the latter, some researchers investigated the effectiveness of passive dampers under pulse-like
- The latter, some researchers investigated the effectiveness of passive dampers under pulse-like
- 57 motions [11-13]. It is also important to investigate the elastic-plastic response characteristics and the
- tendency of the structural damage under pulse-like motions [14-23].
- 59 The critical excitation method was initiated by Drenick [24] and has been investigated extensively
- 60 [25, 26]. Kojima and Takewaki [27] introduced a simplified conceptual input called 'double impulse
- 61 (DI)' as a substitute of the fling-step motion to capture the intrinsic natures of critical responses in
- 62 closed form for elastic-plastic SDOF models. DI consists a set of two impulses, and its ground
- 63 acceleration is defined by two parameters, V (velocity amplitude) and t_0 (time interval between two
- 64 impulses). The most important subject on DI is to find the critical timing of two impulses under
- 65 constant V. The closed-form solutions of the critical deformation responses and the critical timing
- 66 for elastic-plastic SDOF models were derived based on the energy balance law. This critical
- excitation method using DI was applied to long-period, long-duration ground motions [9, 28].
- As stated above, the transformation of ground motions into impulses enables one to derive the critical
- 69 deformation for elastic-plastic SDOF models. On the other hand, for elastic-plastic MDOF models,
- only a few investigations have been conducted [29, 30]. In the case of MDOF models, the energy
- balance law cannot be used effectively due to the phase lag among masses, and floor acceleration
- responses under DI and those under the one-cycle sine wave do not correspond well due to the
- impulsive nature of DI [31]. In addition, the deformation responses of MDOF models with small
- 74 damping under DI largely exceed those under the one-cycle sine wave. This is because DI has
- multiple frequency components different from the one-cycle sine wave. The overestimation of the
- responses may lead to extremely conservative designs. Moreover, the evaluation of floor acceleration
- responses is needed for the design of nonstructural components and facilities [32, 33].

- In this paper, a pseudo-double impulse (PDI) is newly introduced. PDI is treated as a set of
- 79 impulsive lateral forces while the ordinary DI was introduced as an impulsive ground acceleration. It
- 80 is noted that the influence coefficient vector of PDI is set to be proportional to the undamped
- fundamental natural mode while the influence coefficient vector of the ordinary DI for a shear
- building model consists of 1 at every component. The fundamental-mode response is mainly excited
- and the higher-mode responses are hardly excited by PDI. The displacement responses, the velocity
- responses and the input energy under DI and those under PDI are derived here for both elastic
- proportionally and non-proportionally damped MDOF models. Finally, the time-history response
- analysis is conducted to compare the responses for elastic-plastic MDOF models under DI, PDI, the
- 87 corresponding one-cycle sine wave, and recorded ground motions.
- 88

89 2. Response characteristics of elastic MDOF models under double impulse

90 It has been clarified that a one-cycle sine wave effectively expresses a main characteristic of a fling-

step motion [2, 6-8]. Although the one-cycle sine wave is simple, the time-history response analysis

is inevitable to obtain the maximum elastic-plastic response even for SDOF models. To respond to

- this issue, DI was introduced by Kojima and Takewaki [27] as a substitute of the fling-step motion.
- DI consists a set of two impulses, and its ground acceleration is defined by two parameters V (the
- velocity amplitude) and t_0 (the time interval between two impulses). This simple expression enables
- an independent treatment of the input period $(2t_0)$ from the amplitude of the input. In addition, the
- 97 closed-form solutions of the critical deformation responses and the corresponding critical time
- 98 interval for elastic-plastic SDOF models can easily be derived based on the energy balance law.
- Moreover, the velocity amplitude V was adjusted so that the maximum value of the Fourier
- amplitude of DI coincides with that of the one-cycle sine wave. This treatment leads to a good
- 101 correspondence of the Fourier amplitude of DI and that of the one-cycle sine wave in the range of
- 102 $0 \le \omega \le 2\pi / t_0$. When t_0 is the critical time interval for the SDOF model, the transfer function of the
- 103 SDOF model has the peak in this frequency range. Therefore, in the case of elastic-plastic SDOF
- 104 models, DI works well as a substitute of the critical one-cycle sine wave.

105 In the case of elastic-plastic MDOF models, the contribution of higher modes to the responses under 106 the one-cycle sine wave with relatively long period is slight. However, the higher modes are excited

by DI because DI has multiple frequency components. This means that the use of DI may lead to the

- 108 overestimation of the responses under the corresponding one-cycle sine wave. To overcome this
- 109 difficulty, PDI is newly introduced in this paper. PDI is treated as a set of impulsive lateral forces.
- 110 Especially, while the influence coefficient vector of the ordinary DI as an acceleration base input for
- a shear building model is the vector such that all the components are one, the influence coefficient
- vector of PDI is set to be proportional to the undamped fundamental natural mode. For elastic
- proportionally damped MDOF models, the response excited by PDI is equal to the fundamental mode
- response under DI. The ordinary DI introduced by Kojima and Takewaki represents a ground motion,
- and each impulse provides the change of the relative velocity response V to all the mass. On the
- 116 other hands, the change of the relative velocity response along height provided by PDI is proportional

- to the undamped fundamental natural mode. It should be noted again that, PDI is a set of impulsive
- 118 lateral forces, not a ground motion. Figure 1, 2 show the relation between DI, PDI and the
- 119 corresponding one-cycle sine wave.
- 120 It should be noted that a one-cycle sine wave with short period may excite the higher-mode
- responses. In such case, the use of PDI is inappropriate since it mainly excites the fundamental
- natural mode response. However, a one-cycle sine wave with relatively long period, which mainly
- 123 excites the fundamental natural mode response, often maximizes the deformation response of elastic-
- 124 plastic MDOF models. Therefore, the response under PDI and that under the one-cycle sine wave
- 125 correspond well in the critical case.
- 126 In this section, the responses of elastic MDOF models under DI are derived for the comparison with
- the responses under PDI, which will be derived in Section 3.



129

Figure 1 Comparison of modal responses under double impulse, pseudo-double impulse and one cycle sine wave



Figure 2 Relation between pulse-like ground motion, one-cycle sine wave and double impulse

132

133 134

135 2.1 Displacement response and input energy for elastic SDOF models under DI

Consider an SDOF model of mass m, undamped natural circular frequency ω_1 , damping ratio h_1 , damped natural circular frequency $\omega_{D1} = \omega_1 \sqrt{1 - h_1^2}$. The ground acceleration of DI is expressed by 136

137

 $\ddot{u}_g(t) = V\delta(t) - V\delta(t - t_0)$ (1)

- 139 where V is the input velocity amplitude, t_0 is the time interval of two impulses and $\delta(t)$ is the Dirac 140
- delta function. 141
- The displacement response of an elastic SDOF model under DI can be expressed as follows. 142

143
$$u(t) = \frac{-Ve^{-h_1\omega_1 t}}{\omega_{D1}} \sin \omega_{D1} t \qquad (0 < t < t_0) \quad (2a)$$

144
$$u(t) = \frac{-Ve^{-h_{1}\omega_{1}t}}{\omega_{D1}}\sin\omega_{D1}t + \frac{Ve^{-h_{1}\omega_{1}(t-t_{0})}}{\omega_{D1}}\sin\omega_{D1}(t-t_{0}) \qquad (t > t_{0}) \quad (2b)$$

145

Furthermore, the velocity response can be expressed by 146

147
$$\dot{u}(t) = \frac{-Ve^{-h_1\omega_1 t}}{\sqrt{1-h_1^2}}\cos(\omega_{D1}t + \phi_1) \qquad (0 < t < t_0) \quad (3a)$$

148
$$\dot{u}(t) = \frac{-Ve^{-h_1\omega_1 t}}{\sqrt{1-h_1^2}}\cos(\omega_{D1}t + \phi_1) + \frac{Ve^{-h_1\omega_1(t-t_0)}}{\sqrt{1-h_1^2}}\cos(\omega_{D1}(t-t_0) + \phi_1) \qquad (t > t_0) \quad (3b)$$

149

150 where

$$\phi_1 = \arctan(h_1 / \sqrt{1 - h_1^2}) \tag{3c}$$

Since the impulse input does not change the displacement at once (the strain energy does not change at once), the input energies E_1, E_2 by the first and second impulses can be derived by subtracting the

kinetic energy just before one impulse input from that just after that impulse input.

156
$$E_1 = \frac{1}{2}mV^2$$
 (4a)

$$E_{2} = \frac{1}{2}mV^{2} \left\{ 1 - \frac{e^{-h_{1}\omega_{1}t_{0}}}{\sqrt{1 - h_{1}^{2}}} \cos(\omega_{D1}t_{0} + \phi_{1}) \right\}^{2} - \frac{1}{2}mV^{2} \left\{ \frac{e^{-h_{1}\omega_{1}t_{0}}}{\sqrt{1 - h_{1}^{2}}} \cos(\omega_{D1}t_{0} + \phi_{1}) \right\}^{2}$$
(4b)
$$\frac{1}{\sqrt{1 - h_{1}^{2}}} \left\{ \frac{2e^{-h_{1}\omega_{1}t_{0}}}{\sqrt{1 - h_{1}^{2}}} \exp(\omega_{D1}t_{0} + \phi_{1}) \right\}^{2}$$

157

$$=\frac{1}{2}mV^{2}\left\{1-\frac{2e^{-h_{1}\omega_{1}t_{0}}}{\sqrt{1-h_{1}^{2}}}\cos(\omega_{D1}t_{0}+\phi_{1})\right\}$$

158

159 It should be remarked that an input energy can be expressed as $-\int m \dot{u} \ddot{u}_g dt$ in general.

160 In the case of MDOF models, the following relation is helpful in deriving E_1, E_2 for MDOF models.

161
$$\int f(t)\delta(t) dt = \frac{1}{2} \{ f(0-) + f(0+) \}$$
(5)

162

163 2.2 Displacement response and input energy of elastic, proportionally damped MDOF model 164 under DI

165 Consider an elastic, proportionally damped MDOF model of *n*-th undamped natural mode vector $\boldsymbol{\varphi}_n$, 166 *n*-th damping ratio h_n , undamped *n*-th natural circular frequency ω_n , mass matrix **M** and damped *n*-167 th natural circular frequency $\omega_{Dn} = \omega_n \sqrt{1 - h_n^2}$. The displacement response of this model under DI 168 can be expressed by

169
$$\mathbf{u}(t) = -\sum_{i=1}^{N} \left(\frac{\mathbf{\phi}_{i}^{T} \mathbf{M} \mathbf{1}}{\mathbf{\phi}_{i}^{T} \mathbf{M} \mathbf{\phi}_{i}} \mathbf{\phi}_{i} \right) \frac{V}{\omega_{Di}} e^{-h_{i}\omega_{i}t} \sin \omega_{Di}t \qquad (0 < t < t_{0}) \quad (6a)$$

170
$$\mathbf{u}(t) = -\sum_{i=1}^{N} \left(\frac{\mathbf{\phi}_{i}^{T} \mathbf{M} \mathbf{1}}{\mathbf{\phi}_{i}^{T} \mathbf{M} \mathbf{\phi}_{i}} \mathbf{\phi}_{i} \right) \frac{V}{\omega_{Di}} \left\{ e^{-h_{i}\omega_{i}t} \sin \omega_{Di}t - e^{-h_{i}\omega_{i}(t-t_{0})} \sin \omega_{Di}(t-t_{0}) \right\} \quad (t > t_{0}) \quad (6b)$$

171

172 Following the modal orthogonality with respect to the mass matrix, the displacements can be

173 obtained as follows.

174
$$\mathbf{u}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_i \omega_i t}}{\omega_{Di}} (\alpha_i \mathbf{\varphi}_i) \sin \omega_{Di} t \qquad (0 < t < t_0) \quad (7a)$$

175
$$\mathbf{u}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_i\omega_i t}}{\omega_{Di}} (\alpha_i \mathbf{\varphi}_i) \sin \omega_{Di} t + \sum_{i=1}^{N} \frac{Ve^{-h_i\omega_i (t-t_0)}}{\omega_{Di}} (\alpha_i \mathbf{\varphi}_i) \sin \omega_{Di} (t-t_0) \quad (t > t_0) \quad (7b)$$

177 where

178
$$\mathbf{1} = \alpha_1 \mathbf{\varphi}_1 + \dots + \alpha_N \mathbf{\varphi}_N \tag{8}$$

179

180 The velocity response can then be expressed by

181
$$\dot{\mathbf{u}}(t) = \sum_{i=1}^{N} \frac{-V e^{-h_i \omega_i t}}{\sqrt{1 - h_i^2}} (\alpha_i \mathbf{\varphi}_i) \cos(\omega_{Di} t + \phi_i) \qquad (0 < t < t_0) \quad (9a)$$

$$\dot{\mathbf{u}}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_i \omega_i t}}{\sqrt{1 - h_i^2}} (\alpha_i \mathbf{\varphi}_i) \cos(\omega_{Di} t + \phi_i) + \sum_{i=1}^{N} \frac{Ve^{-h_i \omega_i (t - t_0)}}{\sqrt{1 - h_i^2}} (\alpha_i \mathbf{\varphi}_i) \cos(\omega_{Di} (t - t_0) + \phi_i)$$
(9b)

183

182

184 where

185
$$\phi_i = \arctan(h_i / \sqrt{1 - h_i^2})$$
 (10)
186

187 The input energies E_1, E_2 by the first and second impulses can be expressed by

188
$$E_{1} = \frac{1}{2} \sum_{i=1}^{N} V^{2} (\alpha_{i} \boldsymbol{\varphi}_{i})^{T} \mathbf{M} \mathbf{1} = \frac{1}{2} \sum_{i=1}^{N} V^{2} (\alpha_{i} \boldsymbol{\varphi}_{i})^{T} \mathbf{M} (\alpha_{i} \boldsymbol{\varphi}_{i}) = \frac{1}{2} \sum_{i=1}^{N} M_{i} V^{2}$$
(11a)

189
$$E_2 = \frac{1}{2} \sum_{i=1}^{N} M_i V^2 \left\{ 1 - \frac{2e^{-h_i \omega_i t_0}}{\sqrt{1 - h_i^2}} \cos(\omega_{Di} t_0 + \phi_i) \right\}$$
(11b)

190

191 where $M_n = (\alpha_n \mathbf{\varphi}_n)^T \mathbf{M}(\alpha_n \mathbf{\varphi}_n)$ is the *n*-th generalized mass.

192

193 2.3 Displacement response and input energy of elastic, non-proportionally damped MDOF 194 model under DI

Consider next an elastic, non-proportionally damped MDOF model. The displacement response ofthis model under DI can be expressed by

197
$$\mathbf{u}(t) = \sum_{i=1}^{N} V e^{-h_i^* \omega_i^* t} \{ \boldsymbol{\beta}_i^g \cos(\omega_{Di}^* t) - \boldsymbol{\gamma}_i^g \sin(\omega_{Di}^* t) \} \qquad (0 < t < t_0) \quad (12a)$$

$$\mathbf{u}(t) = \sum_{i=1}^{N} V e^{-h_i^* \omega_i^* t} \{ \mathbf{\beta}_i^g \cos(\omega_{Di}^* t) - \mathbf{\gamma}_i^g \sin(\omega_{Di}^* t) \}$$

- $\sum_{i=1}^{N} V e^{-h_i^* \omega_i^* (t-t_0)} \{ \mathbf{\beta}_i^g \cos(\omega_{Di}^* (t-t_0)) - \mathbf{\gamma}_i^g \sin(\omega_{Di}^* (t-t_0)) \}$ (12b)

Critical Pseudo-Double Impulse for MDOF

200 where Ψ_n , $\lambda_n = -h_n^* \omega_n^* + i\omega_{Dn}^* = -h_n^* \omega_n^* + i\omega_n^* \sqrt{1 - (h_n^*)^2}$, h_n^* , ω_n^* denote the *n*-th complex eigenvector, 201 the *n*-th eigenvalue, the *n*-th damping ratio and the *n*-th pseudo-undamped natural circular frequency, 202 and

203
$$\boldsymbol{\beta}_{n}^{g} + i\boldsymbol{\gamma}_{n}^{g} = \frac{-2\boldsymbol{\psi}_{n}^{T}\mathbf{M}\mathbf{1}}{2\lambda_{n}\boldsymbol{\psi}_{n}^{T}\mathbf{M}\boldsymbol{\psi}_{n} + \boldsymbol{\psi}_{n}^{T}\mathbf{C}\boldsymbol{\psi}_{n}}\boldsymbol{\psi}_{n}$$
(13)

204

Introducing the linear combination of the undamped natural modes, β_n^g, γ_n^g can then be expressed as

206
$$\boldsymbol{\beta}_{n}^{g} = \boldsymbol{\beta}_{n,1}^{g} \boldsymbol{\alpha}_{1} \boldsymbol{\varphi}_{1} + \ldots + \boldsymbol{\beta}_{n,N}^{g} \boldsymbol{\alpha}_{N} \boldsymbol{\varphi}_{N}$$
(14a)

207
$$\gamma_n^g = \gamma_{n,1}^g \alpha_1 \boldsymbol{\varphi}_1 + \ldots + \gamma_{n,N}^g \alpha_N \boldsymbol{\varphi}_N$$
(14b)

208

209 Let us define $\mu_{n,j}^g, \theta_{n,j}^g$ as

210
$$\mu_{n,j}^{g} = \omega_{Dn}^{*} \sqrt{(\beta_{n,j}^{g})^{2} + (\gamma_{n,j}^{g})^{2}}$$
(15a)

211
$$\theta_{n,j}^g = \arctan(\beta_{n,j}^g / \gamma_{n,j}^g)$$
(15b)
212

213 Equations (14a, b), (15a, b) lead to

214
$$\mathbf{u}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_i^* \omega_i^* t}}{\omega_{Di}^*} \sum_{j=1}^{N} \mu_{i,j}^g (\alpha_j \mathbf{\varphi}_j) \sin(\omega_{Di}^* t - \theta_{i,j}^g) \qquad (0 < t < t_0) \quad (16a)$$

215
$$\mathbf{u}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_i^* \omega_i^* t}}{\omega_{Di}^*} \sum_{j=1}^{N} \mu_{i,j}^g (\alpha_j \mathbf{\varphi}_j) \sin(\omega_{Di}^* t - \theta_{i,j}^g) + \sum_{i=1}^{N} \frac{Ve^{-h_i^* \omega_i^* (t-t_0)}}{\omega_{Di}^*} \sum_{j=1}^{N} \mu_{i,j}^g (\alpha_j \mathbf{\varphi}_j) \sin(\omega_{Di}^* (t-t_0) - \theta_{i,j}^g) + \sum_{i=1}^{N} \frac{Ve^{-h_i^* \omega_i^* (t-t_0)}}{\omega_{Di}^*} \sum_{j=1}^{N} \mu_{i,j}^g (\alpha_j \mathbf{\varphi}_j) \sin(\omega_{Di}^* (t-t_0) - \theta_{i,j}^g) + \sum_{i=1}^{N} \frac{Ve^{-h_i^* \omega_i^* (t-t_0)}}{\omega_{Di}^*} \sum_{j=1}^{N} \frac{Ve^{-h_i^* \omega_j^* (t-t_0)}}{\omega_{Di}^*} \sum_{j=1}^{N} \frac{Ve^{-h_i^* \omega_j^* (t-t_0)}}{\omega_{Di}^*} \sum_{j=1}^{N} \frac{Ve^{-h_i^* \omega_j^* (t-t_0)}}{\omega_{Di}^*} \sum_{j=1}^{N} \frac{Ve^{-h_i^* \omega_j^* (t-t_0)}}{\omega_{Di}^*} \sum_{j=1}^{N} \frac{Ve^{-h_j^* \omega_j^* (t-t_0)}}{\omega_{Di}^*$$

216

The parameter $\mu_{i,j}^g$ can be regarded as a weighting coefficient of the undamped *j*-th mode vector component in the *i*-th complex mode. It is true that $\mu_{i,j}^g$ is dominant among $\mu_{i,1}^g, \dots, \mu_{i,N}^g$ as far as the damping matrix has weak non-proportionality.

220 The velocity response can be expressed by

221
$$\dot{\mathbf{u}}(t) = \sum_{i=1}^{N} \frac{-V e^{-h_i^* \omega_i^* t}}{\sqrt{1 - (h_i^*)^2}} \sum_{j=1}^{N} \mu_{i,j}^g (\alpha_j \mathbf{\varphi}_j) \cos(\omega_{Di}^* t - \theta_{i,j}^g + \phi_i^*) \quad (0 < t < t_0) \quad (17a)$$

Critical Pseudo-Double Impulse for MDOF

Akehashi and Takewaki

$$\dot{\mathbf{u}}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_{i}\omega_{i}t}}{\sqrt{1-(h_{i}^{*})^{2}}} \sum_{j=1}^{N} \mu_{i,j}^{g}(\alpha_{j}\mathbf{\varphi}_{j})\cos(\omega_{Di}^{*}t - \theta_{i,j}^{g} + \phi_{i}^{*})$$

$$+ \sum_{i=1}^{N} \frac{Ve^{-h_{i}^{*}\omega_{i}^{*}(t-t_{0})}}{\sqrt{1-(h_{i}^{*})^{2}}} \sum_{j=1}^{N} \mu_{i,j}^{g}(\alpha_{j}\mathbf{\varphi}_{j})\cos(\omega_{Di}^{*}(t-t_{0}) - \theta_{i,j}^{g} + \phi_{i}^{*})$$

$$(t > t_{0}) \quad (17b)$$

223

222

224 where

225
$$\phi_i^* = \arctan(h_i^* / \sqrt{1 - (h_i^*)^2})$$
 (18)
226

227 The input energies E_1, E_2 by the first and second impulses can be expressed by

* *

228
$$E_{1} = \frac{1}{2} \sum_{i=1}^{N} \frac{V^{2}}{\sqrt{1 - (h_{i}^{*})^{2}}} \sum_{j=1}^{N} \mu_{i,j}^{g} M_{j} \cos(-\theta_{i,j}^{g} + \phi_{i}^{*})$$
(19a)

$$E_{2} = \frac{1}{2} \sum_{i=1}^{N} \frac{V^{2}}{\sqrt{1 - (h_{i}^{*})^{2}}} \sum_{j=1}^{N} \mu_{i,j}^{g} M_{j} \cos(-\theta_{i,j}^{g} + \phi_{i}^{*})$$
(19b)

$$-\sum_{i=1}^{N} \frac{V^2 e^{-h_i^* \omega_i^* t_0}}{\sqrt{1 - (h_i^*)^2}} \sum_{j=1}^{N} \mu_{i,j}^g M_j \cos(\omega_{Di}^* t_0 - \theta_{i,j}^g + \phi_i^*)$$
(19)

230

231 3. Concept of PDI and response characteristics of elastic MDOF models under PDI

232 In this section, PDI, which is a set of impulsive lateral forces, is introduced. The influence coefficient vector of PDI is set to be proportional to the undamped fundamental natural mode. The responses of 233 234 elastic MDOF models under PDI are derived. It should be noted that, although the formulations for 235 the responses of elastic MDOF models under PDI cannot be applied directly to the elastic-plastic MDOF models, the formulations for the critical input timings of PDI are applicable to elastic-plastic 236 MDOF models. The critical input timings of PDI will be derived in Section 3.3. Moreover, additional 237 investigations are presented in Appendices 1, 2 (transfer function of acceleration and phase properties 238 239 of maximum responses).

240

3.1 Displacement response and input energy of elastic, proportionally damped MDOF model under PDI

243 When DI is treated as an equivalent lateral force, the equation of motion is expressed by

244
$$\mathbf{M}\ddot{\mathbf{u}} + \mathbf{C}\dot{\mathbf{u}} + \mathbf{K}\mathbf{u} = -\mathbf{M}\mathbf{\iota}(V\delta(t) - V\delta(t - t_0))$$
(20)

Critical Pseudo-Double Impulse for MDOF

Akehashi and Takewaki

- where ι, C, K denote the influence coefficient vector, the damping matrix and the stiffness matrix. In
- 247 the case of the ground motion acceleration input as DI, ι becomes 1 consisting of 1 at every
- component. The displacement response of an elastic, proportionally damped MDOF model under
- 249 PDI can be expressed by

$$\mathbf{u}(t) = -\sum_{i=1}^{N} \left(\frac{\mathbf{\phi}_{i}^{T} \mathbf{M} \mathbf{\iota}}{\mathbf{\phi}_{i}^{T} \mathbf{M} \mathbf{\phi}_{i}} \mathbf{\phi}_{i} \right) \frac{V}{\omega_{Di}} e^{-h_{i} \omega_{i} t} \sin \omega_{Di} t \qquad (0 < t < t_{0}) \quad (21a)$$

251
$$\mathbf{u}(t) = -\sum_{i=1}^{N} \left(\frac{\mathbf{\phi}_{i}^{T} \mathbf{M} \mathbf{\iota}}{\mathbf{\phi}_{i}^{T} \mathbf{M} \mathbf{\phi}_{i}} \mathbf{\phi}_{i} \right) \frac{V}{\omega_{Di}} \left\{ e^{-h_{i}\omega_{i}t} \sin \omega_{Di}t - e^{-h_{i}\omega_{i}(t-t_{0})} \sin \omega_{Di}(t-t_{0}) \right\} (t > t_{0}) \quad (21b)$$

252

250

When $\mathbf{\iota} = \alpha_1 \boldsymbol{\varphi}_1$, this impulsive lateral force is called the pseudo-double impulse (PDI). The displacement response under PDI can be expressed by

255
$$\mathbf{u}(t) = \frac{-Ve^{-h_1\omega_1 t}}{\omega_{D1}} (\alpha_1 \mathbf{\varphi}_1) \sin \omega_{D1} t \qquad (0 < t < t_0) \quad (22a)$$

256
$$\mathbf{u}(t) = \frac{-Ve^{-h_1\omega_1 t}}{\omega_{D1}} (\alpha_1 \mathbf{\varphi}_1) \sin \omega_{D1} t + \frac{Ve^{-h_1\omega_1(t-t_0)}}{\omega_{D1}} (\alpha_1 \mathbf{\varphi}_1) \sin \omega_{D1} (t-t_0) \quad (t > t_0) \quad (22b)$$

From Equations (7a, b), (22a, b), it is understood that the response excited by PDI is equal to the fundamental mode response under DI. The velocity response can be expressed by

260
$$\dot{\mathbf{u}}(t) = \frac{-Ve^{-h_1\omega_1 t}}{\sqrt{1 - h_1^2}} (\alpha_1 \varphi_1) \cos(\omega_{D1} t + \phi_1) \qquad (0 < t < t_0) \quad (23a)$$

261
$$\dot{\mathbf{u}}(t) = \frac{-Ve^{-h_1\omega_1 t}}{\sqrt{1 - h_1^2}} (\alpha_1 \mathbf{\varphi}_1) \cos(\omega_{D1} t + \phi_1) + \frac{Ve^{-h_1\omega_1 (t - t_0)}}{\sqrt{1 - h_1^2}} (\alpha_1 \mathbf{\varphi}_1) \cos(\omega_{D1} (t - t_0) + \phi_1) + \frac{Ve^{-h_1\omega_1 (t - t_0)}}{\sqrt{1 - h_1^2}} (\alpha_1 \mathbf{\varphi}_1) \cos(\omega_{D1} (t - t_0) + \phi_1)$$

262

263 The input energy E_1, E_2 by the first and the second impulse of PDI can be expressed by

264
$$E_{1} = \frac{1}{2} \sum_{i=1}^{N} V^{2} (\alpha_{i} \boldsymbol{\varphi}_{i})^{T} \mathbf{M} (\alpha_{i} \boldsymbol{\varphi}_{i}) = \frac{1}{2} M_{1} V^{2}$$
(24a)

265
$$E_2 = \frac{1}{2} M_1 V^2 \left\{ 1 - \frac{2e^{-h_1 \omega_1 t_0}}{\sqrt{1 - h_1^2}} \cos(\omega_{D1} t_0 + \phi_1) \right\}$$
(24b)

266

3.2 Displacement response and input energy of elastic, non-proportionally damped MDOF model under PDI

The displacement response of an elastic, non-proportionally damped MDOF model under PDI can beexpressed by

271
$$\mathbf{u}(t) = \sum_{i=1}^{N} V e^{-h_i^* \omega_i^* t} \{ \boldsymbol{\beta}_i \cos(\omega_{Di}^* t) - \boldsymbol{\gamma}_i \sin(\omega_{Di}^* t) \} \qquad (0 < t < t_0) \quad (25a)$$

$$\mathbf{u}(t) = \sum_{i=1}^{N} V e^{-h_{i}^{*} \omega_{i}^{*} t} \{ \boldsymbol{\beta}_{i} \cos(\omega_{Di}^{*} t) - \boldsymbol{\gamma}_{i} \sin(\omega_{Di}^{*} t) \}$$

$$\sum_{i=1}^{N} V e^{-h_{i}^{*} \omega_{i}^{*} (t-t_{0})} \{ \boldsymbol{\beta}_{i} \cos(\omega_{Di}^{*} t) - \boldsymbol{\gamma}_{i} \sin(\omega_{Di}^{*} t) \}$$

$$(t > t_{0}) \quad (25b)$$

$$-\sum_{i=1}^{N} V e^{-h_{i} \omega_{i}^{*}(t-t_{0})} \{ \boldsymbol{\beta}_{i} \cos(\omega_{Di}^{*}(t-t_{0})) - \boldsymbol{\gamma}_{i} \sin(\omega_{Di}^{*}(t-t_{0})) \}$$
273

where

275
$$\boldsymbol{\beta}_n + i\boldsymbol{\gamma}_n = \frac{-2\boldsymbol{\psi}_n^T \mathbf{M}(\boldsymbol{\alpha}_1 \boldsymbol{\varphi}_1)}{2\lambda_n \boldsymbol{\psi}_n^T \mathbf{M} \boldsymbol{\psi}_n + \boldsymbol{\psi}_n^T \mathbf{C} \boldsymbol{\psi}_n} \boldsymbol{\psi}_n$$
(26)

276

272

277 Introducing the linear combination of the undamped natural modes as in Equations (14a, b), β_n, γ_n 278 can be expressed as

279
$$\boldsymbol{\beta}_n = \beta_{n,1} \alpha_1 \boldsymbol{\varphi}_1 + \ldots + \beta_{n,N} \alpha_N \boldsymbol{\varphi}_N \tag{27a}$$

280
$$\boldsymbol{\gamma}_n = \boldsymbol{\gamma}_{n,1} \boldsymbol{\alpha}_1 \boldsymbol{\varphi}_1 + \dots + \boldsymbol{\gamma}_{n,N} \boldsymbol{\alpha}_N \boldsymbol{\varphi}_N \tag{27b}$$

281

282 Then, $\mu_{n,j}, \theta_{n,j}$ are defined as

283
$$\mu_{n,j} = \omega_{Dn}^* \sqrt{(\beta_{n,j})^2 + (\gamma_{n,j})^2}$$
(28a)

284
$$\theta_{n,j} = \arctan(\beta_{n,j} / \gamma_{n,j})$$
(28b)

285

286 Equations (27a, b), (28a, b) lead to

287
$$\mathbf{u}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_i^* \omega_i^* t}}{\omega_{Di}^*} \sum_{j=1}^{N} \mu_{i,j}(\alpha_j \mathbf{\varphi}_j) \sin(\omega_{Di}^* t - \theta_{i,j}) \qquad (0 < t < t_0)$$
(29a)

$$\mathbf{u}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_{i}^{*}\omega_{i}^{*}t}}{\omega_{Di}^{*}} \sum_{j=1}^{N} \mu_{i,j}(\alpha_{j}\mathbf{\varphi}_{j})\sin(\omega_{Di}^{*}t - \theta_{i,j}) + \sum_{i=1}^{N} \frac{Ve^{-h_{i}^{*}\omega_{i}^{*}(t-t_{0})}}{\omega_{Di}^{*}} \sum_{j=1}^{N} \mu_{i,j}(\alpha_{j}\mathbf{\varphi}_{j})\sin(\omega_{Di}^{*}(t-t_{0}) - \theta_{i,j})$$
(29b)

289

288

290 The velocity response can be expressed by

291
$$\dot{\mathbf{u}}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_i^* \omega_i^* t}}{\sqrt{1 - (h_i^*)^2}} \sum_{j=1}^{N} \mu_{i,j}(\alpha_j \mathbf{\varphi}_j) \cos(\omega_{Di}^* t - \theta_{i,j} + \phi_i^*)$$
(30a)

This is a provisional file, not the final typeset article

$$\dot{\mathbf{u}}(t) = \sum_{i=1}^{N} \frac{-Ve^{-h_{i}^{*}\omega_{i}^{*}t}}{\sqrt{1-(h_{i}^{*})^{2}}} \sum_{j=1}^{N} \mu_{i,j}(\alpha_{j}\mathbf{\varphi}_{j})\cos(\omega_{Di}^{*}t - \theta_{i,j} + \phi_{i}^{*}) + \sum_{i=1}^{N} \frac{Ve^{-h_{i}^{*}\omega_{i}^{*}(t-t_{0})}}{\sqrt{1-(h_{i}^{*})^{2}}} \sum_{j=1}^{N} \mu_{i,j}(\alpha_{j}\mathbf{\varphi}_{j})\cos(\omega_{Di}^{*}(t-t_{0}) - \theta_{i,j} + \phi_{i}^{*})$$
(30b)

292

The input energies E_1, E_2 by the first and second impulses of PDI can be expressed by

$$E_{1} = \frac{1}{2} \sum_{i=1}^{N} \frac{V^{2}}{\sqrt{1 - (h_{i}^{*})^{2}}} \mu_{i,1} M_{1} \cos(-\theta_{i,1} + \phi_{i}^{*})$$
(31a)

$$E_{2} = \frac{1}{2} \sum_{i=1}^{N} \frac{V^{2}}{\sqrt{1 - (h_{i}^{*})^{2}}} \mu_{i,1} M_{1} \cos(-\theta_{i,1} + \phi_{i}^{*})$$
(31b)

296

 $-\sum_{i=1}^{N} \frac{V^2 e^{-h_i^* \omega_i^* t_0}}{\sqrt{1-(h_i^*)^2}} \,\mu_{i,1} M_1 \cos(\omega_{Di}^* t_0 - \theta_{i,1} + \phi_i^*)$

297

The parameter $\mu_{i,1}$ can be regarded as the weight of the undamped fundamental mode vector component in the *i*-th complex mode. Equations (31a, b) mean that the input energy to the *i*-th

300 complex mode by PDI depends on only $\mu_{i,1}$, not on $\mu_{i,2}, ..., \mu_{i,N}$.

- 301 Unlike the case of proportionally damped MDOF models, all the complex modes for non-
- 302 proportionally damped MDOF models are excited by PDI. However, the contribution of the higher
- 303 modes is slight as far as the damping matrix has weak non-proportionality. In Section 4, the
- 304 contribution of each mode will be investigated through the time-history response analysis.

305

306 3.3 Critical input timing of second impulse of PDI

Akehashi and Takewaki [10] derived the critical timing of the double impulse for MDOF models. Inthis section, the critical timing of PDI is derived.

309 The input energy E_2 by the second impulse of PDI can be expressed by

310
$$E_2 = \frac{1}{2} (\dot{\mathbf{u}} + V \mathbf{i})^T \mathbf{M} (\dot{\mathbf{u}} + V \mathbf{i}) - \frac{1}{2} \dot{\mathbf{u}}^T \mathbf{M} \dot{\mathbf{u}} = \dot{\mathbf{u}}^T \mathbf{M} (V \mathbf{i}) + \frac{1}{2} V^2 \mathbf{i}^T \mathbf{M} \mathbf{i}$$
(32)

- 311
- 312 where $\dot{\mathbf{u}}$ is the velocity just before the input of the second impulse. Introducing the linear
- 313 combination of the undamped natural modes, $\dot{\mathbf{u}}$ and $\boldsymbol{\iota}$ can be expressed as
- 314 $\dot{\mathbf{u}} = p_1(t_0)\alpha_1\mathbf{\varphi}_1 + \dots + p_N(t_0)\alpha_N\mathbf{\varphi}_N \tag{33a}$
- 315 $\mathbf{\iota} = q_1 \alpha_1 \mathbf{\varphi}_1 + \ldots + q_N \alpha_N \mathbf{\varphi}_N \tag{33b}$

Critical Pseudo-Double Impulse for MDOF

Akehashi and Takewaki

Substituting Equations (33a, b) into Equation (32), another expression of E_2 is obtained as follows.

318

$$E_{2} = V(p_{1}(t_{0})\alpha_{1}\boldsymbol{\varphi}_{1} + ... + p_{N}(t_{0})\alpha_{N}\boldsymbol{\varphi}_{N})^{T}\mathbf{M}(q_{1}\alpha_{1}\boldsymbol{\varphi}_{1} + ... + q_{N}\alpha_{N}\boldsymbol{\varphi}_{N}) + \frac{1}{2}V^{2}\boldsymbol{\iota}^{T}\mathbf{M}\boldsymbol{\iota}$$

$$= V\{q_{1}M_{1}p_{1}(t_{0}) + ... + q_{N}M_{N}p_{N}(t_{0})\} + \frac{1}{2}V^{2}\boldsymbol{\iota}^{T}\mathbf{M}\boldsymbol{\iota}$$
(34)

319

320 The partial differentiation of E_2 with respect to t_0 provides

321
$$\frac{\partial E_2}{\partial t_0} = V\{q_1 M_1 \dot{p}_1(t_0) + \dots + q_N M_N \dot{p}_N(t_0)\}$$
(35)

322

In the case of proportionally damped MDOF models, $M_n \dot{p}_n$ represents the *n*-th mode inertial force. 323 Therefore, Equation (35) means that, when the weighted sum of the modal inertial forces attains zero, 324 E_2 is maximized. In the case of non-proportionally damped MDOF models or the case of elastic-325 plastic MDOF models, Equation (35) is also applicable. However, Equation (35) does neither strictly 326 327 provide the complex modal decomposition nor the elastic-plastic modal decomposition. For these models, Equation (35) means just a change of a reference coordinate system. It should be pointed out 328 that $\dot{p}_n(t)$ is calculated by Equation (36), and the critical timing can be obtained by the time-history 329 response analysis. 330

331
$$\boldsymbol{\varphi}_{n}^{T}\mathbf{M}\ddot{\mathbf{u}}(t) = \dot{p}_{n}(t)\alpha_{n}\boldsymbol{\varphi}_{n}^{T}\mathbf{M}\boldsymbol{\varphi}_{n} \implies \dot{p}_{n}(t) = \frac{\boldsymbol{\varphi}_{n}^{T}\mathbf{M}\ddot{\mathbf{u}}(t)}{\alpha_{n}\boldsymbol{\varphi}_{n}^{T}\mathbf{M}\boldsymbol{\varphi}_{n}}$$
(36)

332

333 It is also noted that, when $\mathbf{\iota}$ is equal to $\alpha_n \boldsymbol{\varphi}_n$, the critical timing for the elastic, proportionally 334 damped MDOF models can be expressed by

335
$$\frac{\pi - 2\phi_n}{2\phi_n}$$

$$\omega_{Dn}$$

336

4. Comparison of responses and input energy of elastic MDOF models under DI, PDI and one cycle sine wave through time-history response analysis

- In this section, the time-history response analysis is conducted to compare the responses of elastic MDOF models under DI, PDI, and the one-cycle sine wave. The input circular frequency ω_p and the velocity amplitude V_p of the one-cycle sine wave are set following [27], namely $\omega_p = \pi / t_0$, $V_p = 1.222V$. This adjustment gives a good correspondence of the Fourier amplitude of the one-cycle sine wave and that of DI in the range of $0 \le \omega \le 2\pi / t_0$ (see Figure 2). MATLAB has been used for the numerical analyses and all the codes have been originally made. The accuracy has been checked
- through the comparison with a general-purpose structural analysis software.

346

(37)

347 **4.1 Models for numerical examples**

- 348 Consider two shear building models of 12 stories with different damping distributions of passive
- dampers. Both models have a trapezoidal distribution of story stiffnesses ($k_1 / k_{12} = 4$). The
- undamped fundamental natural period is 1.2 [s]. All the floor masses have the same value. The
- common story height is 4 [m]. P-Model has stiffness proportional type damping and NP-Model has a
- uniform distribution of damping coefficients. For both models, the sum of damping coefficients is set
- to 10×10^7 [Ns/m]. The fundamental damping ratio of P-Model is 0.035. Figure 3 shows the 1-4th
- participation vectors (eigenmode \times participation factor) and the undamped natural periods.

355





Figure 3 Participation vectors and natural periods

358

359 4.2 Comparison of elastic responses and input energy

Figures 4-9 show the distributions of peak interstory drifts, the distributions of floor accelerations, 360 and the input energy under DI, PDI and the corresponding one-cycle sine wave for the inputs with 361 $t_0 = 0.3, 0.6, 0.9$ [s]. The time interval $t_0 = 0.6$ [s] is nearly the critical timing for the fundamental 362 natural mode. Figure 10 shows the time-history floor acceleration in the case of $t_0 = 0.6$ [s]. The 363 negative peak interstory drifts correspond to the maximum deformation after the first impulse, and 364 the positive peak interstory drifts correspond to the maximum deformation after the second impulse. 365 366 The velocity amplitude of DI is set to V = 0.5 [m/s]. It can be observed from these figures that 367 higher modes contribute to the responses under DI. This is because DI has multiple frequency components. It is also noted that, just after each impulse input, the change of the interstory velocity 368 and the corresponding damping force are provided only in the first story, and the inertial force also 369 arises to balance with the damping force. Therefore, the floor accelerations in lower stories becomes 370 large. In the case of $t_0 = 0.6[s]$, the responses under PDI and the one-cycle sine wave correspond 371 well. The phase lag in the responses and the presence of the ground acceleration give the difference 372 of the floor accelerations in lower stories. However, the difference is small. In the case of $t_0 = 0.9[s]$, 373 374 the higher-mode responses are hardly excited by both PDI and the one-cycle sine wave. However, the 375 interstory drift response and the floor acceleration under PDI are about 1.3 times larger than those under the one-cycle sine wave. This results from the fact that DI has multiple frequency components 376

- and the input energy by PDI largely exceeds that by the one-cycle sine wave. In the case of
- 378 $t_0 = 0.3$ [s], the responses under PDI and the one-cycle sine wave do not correspond well. This is
- because the one-cycle sine wave excites not only the fundamental-mode response but also the
- 380 second-mode response.
- 381 It can be observed from Figures 7-9 that the non-proportional damping provides the slight
- contribution of higher complex modes to the responses under PDI.



This is a provisional file, not the final typeset article











- 455
- 456
- 457







Figure 11 shows the maximum interstory drift d_{max} with respect to t_0 . The critical timing under DI and that under PDI are also illustrated. It can be observed that the critical timing under DI differs from the timing which maximizes the value of d_{max} . On the other hand, the critical timing under PDI corresponds to the timing which maximizes the value of d_{max} . Moreover, the value of $\max(d_{\text{max}})$ under PDI is almost equal to that under the one-cycle sine wave.





491 5. Comparison of responses and input energy of elastic-plastic MDOF models under DI, PDI

492 and one-cycle sine wave through time-history response analysis

In this section, the time-history response analysis is conducted to compare the responses of elastic-493 plastic MDOF models under DI, PDI, and the one-cycle sine wave. P-Model and NP-Model are used 494 again. The common yield interstory drift is 4/150 [m] and the story shear-interstory drift relation 495 obeys the elastic perfectly-plastic rule. It should be pointed out that, due to the nonlinearity of the 496 story restoring-force characteristics, the elastic modes and the plastic modes are coupled. The plastic 497 modes mean the modes evaluated by using the post-yielding story stiffness. This phenomenon is 498 called nonlinear coupling [34]. The contribution of the plastic modes to the responses depends on the 499 500 state at the timing when the model attains the yield deformation. However, it is true that the fundamental mode is dominant in the response under PDI and that under the one-cycle sine wave 501 502 with long period. Therefore, it is expected to investigate the correspondence of the elastic-plastic

response under PDI and that under the one-cycle sine wave.

504 Figures 12-15 show the elastic-plastic responses under DI, PDI, and the one-cycle sine wave. Figure 12 indicates the input energy with respect to t_0 . Figure 13 shows the maximum interstory drift with 505 respect to t_0 . In Figures 12, 13, the critical timing (maximizing the input energy) and the timing 506 which maximizes the value of d_{max} at each input level are also plotted. Figures 14, 15 present the 507 distributions of interstory drifts and the distributions of floor accelerations under the critical DI, and 508 those under the critical PDI, and those under the one-cycle sine wave with t_0 which maximizes the 509 value of d_{max} at each input level. The velocity level V is increased from V = 0.5 [m/s] to 510 V = 2.0 [m/s] by 0.25 [m/s]. It can be observed from Figure 12 that t_0 , which maximizes the input 511 energy, smoothly shifts with the increase of the input level. Especially in the case of PDI, the critical 512 timing and the timing which maximizes the value of d_{max} correspond well for all the input level. 513 Moreover, the critical timing under PDI and the timing which maximizes the value of d_{max} under the 514 one-cycle sine wave correspond well in the range of $V \ge 1.3 \text{[m/s]}$. It can be observed from Figure 13 515 that, in the case of DI, d_{max} has multiple peaks with respect to t_0 under the condition of constant V. 516 As a result, the timing which maximizes the value of d_{max} shows the unstable shift with the increase 517 of the input level. In the case of PDI and the one-cycle sine wave, d_{max} has few peaks with respect to 518 t_0 under the condition of constant V. Moreover, $\max(d_{\max})$ under PDI and that under the one-cycle 519 sine wave correspond well for all input level. It can ^tbe observed from Figure 14 that the deformations 520 in the middle stories under PDI are slightly larger than those under the one-cycle sine wave, and the 521 deformations in the lower stories under PDI are slightly smaller than those under the one-cycle sine 522 wave. However, the correspondence of the distributions of interstory drifts under PDI and those 523 under the one-cycle sine wave is fairly good. On the other hand, the distributions of interstory drifts 524 525 under DI do neither correspond to those under PDI nor those under the one-cycle sine wave. It can be observed from Figure 15 that the distributions of floor accelerations under PDI and those under the 526 one-cycle sine wave correspond well. On the other hand, the floor acceleration response under DI is 527 528 quite large.

- 529 From the above results, the distribution of interstory drifts and the distribution of floor accelerations
- under the critical PDI correspond well to those under the one-cycle sine wave with t_0 which
- 531 maximizes the value of d_{max} . As far as the input level is large, the critical timing under PDI
- 532 corresponds well to the timing which maximizes the value of d_{max} under the one-cycle sine wave.
- 533 Moreover, the critical timing can be obtained from the time-history response analysis without
- repetition. Therefore, using the critical PDI helps to efficiently estimate the responses and the input
- period under the critical one-cycle sine wave for elastic-plastic MDOF models.





Figure 13 Maximum interstory drift with respect to t_0 , (a) DI, (b) PDI, (c) one-cycle sine wave.





6. Comparison of responses of elastic-plastic MDOF models under recorded near-fault ground motions, DI, PDI and one-cycle sine wave through time-history response analysis

In this section, the time-history response analysis is conducted to compare the responses of elastic-

plastic MDOF models under recorded near-fault ground motions, DI, PDI, and the corresponding

one-cycle sine wave. In Sections 4, 5, the critical responses of the elastic-plastic MDOF models were

567 mainly treated. However, recorded ground motions are not always critical inputs for the models.

Therefore, the correspondence of the responses under PDI and those under recorded ground motions are investigated here. Application of the procedure presented in Section 5 (time modulation of PDI,

505 i.e. time modulation of recorded ground motions for finding the critical input for a given structural

- 571 model) will be discussed briefly at the end of this section.
- 572 The Rinaldi station fault-normal component during the Northridge earthquake in 1994 (Rinaldi Sta.
- 573 FN) and the Kobe University NS component during the Hyogoken-Nanbu (Kobe) earthquake in 1995
- 574 (Kobe Univ. NS) are employed. The main part of each motion is approximated by a one-cycle sine
- wave following the procedure shown in [35]. Namely, the acceleration amplitude and the period of
- the approximated one-cycle sine wave for the Rinaldi station fault-normal component are

577 $A_p = 7.85 \text{[m/s^2]}, T_p = 0.8 \text{[s]}$ and those for the Kobe University NS component are

- 578 $A_p^{r} = 2.6[\text{m/s}^2], T_p^{r} = 1.0[\text{s}]$. The time interval of impulses in DI and PDI is given by $T_p/2$. The
- velocity amplitudes V = 0.25, 1.0 [m/s] are selected for DI and PDI. The amplitudes of the ground
- motions and the approximated one-cycle sine waves are adjusted to the levels of DI and PDI. Under
- the velocity level V = 0.25 [m/s], the models perform elastically. Figure 16 shows the adjusted
- 582 ground accelerations of the recorded motions and the approximated one-cycle sine waves.
- 583





589

584

- 590
- 591

- 592 Figures 17 shows the elastic-plastic responses under Rinaldi Sta. FN, DI, PDI, and the approximated
- 593 one-cycle sine waves for V = 0.25, 1.0 [m/s]. It can be observed that the distributions of interstory
- drifts and the distributions of floor accelerations under PDI slightly smaller than those under the
- ground motion and the one-cycle sine wave. This is because the time interval t_0 is shorter than the critical one. However, the correspondence of the distributions of interstory drifts under PDI and those
- under the ground motion and the one cycle sine wave is fairly good. On the other hand, the
- 597 under the ground motion and the one-cycle sine wave is fairly good. On the other hand, the
- distributions of floor accelerations under DI do not correspond to those under the ground motion, the
- 599 one-cycle sine wave and PDI.
- Figures 18 shows the elastic-plastic responses under Kobe Univ. NS, DI, PDI, and the approximated
 one-cycle sine wave. The distributions of interstory drifts and the distributions of floor accelerations
- under PDI and those under the one-cycle sine wave correspond well. On the other hand, the response
- 603 under the ground motion is about 1.6-1.7 times larger than those under the one-cycle sine wave and 604 PDI in the case of V = 0.25 [m/s]. This is because the ground acceleration of the Kobe University
- 121 in the case of V = 0.25 [m/s]. This is because the ground acceleration of the Robe Oniversity NS component becomes large at plural timings and the hysteretic energy dissipation does not exist in
- the elastic response range. In the case of V = 1.0 [m/s], due to the residual deformation, the
- 607 deformations in the lower stories under the ground motion slightly exceed those under PDI.
- 608 However, the correspondence of the distributions of interstory drifts under the ground motion and
- 609 PDI is fairly good. Moreover, the distributions of floor accelerations under PDI correspond well to
- 610 those under the ground motion. As in the case of Rinaldi Sta. FN, the distributions of floor
- accelerations under DI do not correspond to those under the Kobe University NS component, the
- 612 one-cycle sine wave and PDI.
- From the above results, it can be concluded that the correspondence of the response under PDI and
- that under the recorded ground motions is fairly good although recorded ground motions are not
- always the critical inputs for elastic-plastic MDOF models. Especially, the floor acceleration
- response under PDI corresponds well to that under the ground motions while that under DI largely
- 617 exceeds those.
- Although the time modulation of recorded ground motions for finding the critical input for a given
- elastic-plastic MDOF model was not conducted, this procedure can be performed straightforwardly
- by using the procedure shown at the end of Section 5. If we do not use PDI, many repetitive
- 621 procedures including time-history response analyses are necessary for the recorded ground motions
- to find the critically time-modulated version of recorded ground motions.
- 623
- 625





Figure 18 Distributions of interstory drifts and distributions of floor accelerations under Kobe Univ.
 NS, approximated one-cycle sine wave, DI and PDI, (a) P-Model, (b) NP-Model

- 649
- 650

651 7. Conclusions

In this paper, a pseudo-double impulse (PDI) was proposed as an extension of the ordinary double impulse (DI). The main conclusions can be summarized as follows.

(1) The deformation response and the acceleration response under DI largely exceed those under the corresponding one-cycle sine wave as a main part of a near-fault ground motion. This is because DI has multiple frequency components. To resolve this issue, PDI was introduced. PDI is treated as a set of impulsive lateral forces which is equivalent to an ordinary double impulse. While the influence coefficient vector of DI for a shear building model is the vector such that all the components are one, the influence coefficient vector of PDI is set to be proportional to the undamped fundamental natural mode.

- (2) The displacement responses, the velocity responses and the input energy under DI and those under
 PDI were derived for both elastic proportionally damped MDOF models and elastic nonproportionally damped MDOF models. In the case of elastic proportionally damped MDOF
 models, the responses under PDI is equal to the fundamental mode response under DI. In the case
 of elastic non-proportionally damped MDOF models, all the complex modes are excited by PDI.
 However, the contribution of higher modes is slight as far as the non-proportionality of the damping
 matrix is small.
- (3) The critical timing under PDI was derived. The critical timing is the timing which maximizes the
 input energy. The critical timing can be obtained by the time-history response analysis without
 repetition. Especially in the case of elastic proportionally damped MDOF models, the input energy
 is maximized when the sum of the first mode inertial forces (equal to the base shear) attains zero.
- (4) The distributions of interstory drifts and the distributions of floor accelerations under PDI 672 correspond well to those under the one-cycle sine wave as far as t_0 is close to one half of the 673 fundamental natural period. In the case that t_0 is small, the responses under PDI and the one-cycle 674 sine wave do not correspond well. This is because the one-cycle sine wave excites not only the 675 676 fundamental-mode response but also the higher-mode responses. In the case that t_0 is large, the higher-mode responses are hardly excited by both PDI and the one-cycle sine wave. However, the 677 responses under PDI are larger than those under the one-cycle sine wave. This is because DI has 678 679 multiple frequency components and the input energy by PDI exceeds that by the one-cycle sine 680 wave.
- (5) The distribution of interstory drifts and the distribution of floor accelerations under the critical PDI correspond well to those under the one-cycle sine wave with t_0 which maximizes the value of d_{max} . As far as the input level is large, the critical timing under PDI and the timing which maximizes the value of d_{max} under the one-cycle sine wave correspond well. Moreover, the critical timing can be obtained by the time-history response analysis without repetition. Therefore, using the critical PDI helps to efficiently estimate the responses and the input period under the critical one-cycle sine wave for elastic-plastic MDOF models.
- (6) The response of elastic-plastic MDOF models under recorded near-fault ground motions was also 688 689 compared with that under DI, PDI and the one-cycle sine wave. It was shown that the correspondence of the response under PDI and that under the recorded ground motions is fairly 690 good though recorded ground motions are not always critical inputs for elastic-plastic MDOF 691 models. Especially, the floor acceleration response under PDI corresponds well to that under the 692 ground motions while that under DI largely exceed those. The proposed procedure for finding the 693 694 critical PDI enables the search of the critically modulated recorded ground motions. If we do not use PDI, many repetitive procedures including time-history response analyses are necessary for the 695 696 recorded ground motions to find the critically time-modulated version of recorded ground motions.
- 697 The use of the critical PDI is highly recommended to simulate the acceleration responses, in addition698 to the deformation responses, under the critical one-cycle sine wave for elastic-plastic MDOF models

- in place of DI. It is noted that PDI is applicable not only to simple shear frames but also to complicatedframe structures. This is because higher-mode responses are hardly excited under the 'critical' one-
- 701 cycle sine wave in the case that the natural circular frequencies of the higher modes are separated
- rough from the fundamental natural circular frequency. It is also noted that the influence coefficient
- vector i should be modified when the natural circular frequencies of the higher modes are not
- separated enough from the fundamental natural circular frequency. For example, ι should be modified
- to $\iota = \alpha_1 \varphi_1 + \alpha_2 \varphi_2$ to treat the critical responses of the buildings with TMD.

Pulse-like motions may cause devastating damage to building structures. Especially, the plastic deformation concentration to specific stories must be prevented for the safety of buildings and human lives. The simulation of the critical elastic-plastic responses of buildings under pulse-like motions by PDI will help to design safer buildings by capturing simultaneously the resonant deformation and acceleration responses without repetitive procedures for finding the resonant period.

711

712 **8. Acknowledgement**

- 713 Part of the present work is supported by the Grant-in-Aid for Scientific Research (KAKENHI) of Japan
- 714 Society for the Promotion of Science (No.18H01584, JP20J20811). This support is greatly 715 appreciated.
- 716

717 **9. References**

- [1] Bertero, V. V., Mahin, S. A., and Herrera, R. A. Aseismic design implications of near-fault San Fernando earthquake records. *Earthq. Eng. Struct. Dyn.*, 1978, 6(1), 31–42.
- [2] Sasani, M., and Bertero, V. V. Importance of severe pulse-type ground motions in performance based engineering: Historical and critical. In *Proc. of the 12th World Conf. on Earthq. Eng., New Zealand Society for Earthq. Eng., Upper Hutt, New Zealand*, 2000.
- [3] Takewaki, I., Murakami, S., Fujita, K., Yoshitomi, S., and Tsuji, M. The 2011 off the Pacific coast of Tohoku earthquake and response of high-rise buildings under long-period ground motions. *Soil Dyn. Earthq. Eng.*, 2011, 31(11), 1511-1528.
- [4] Mavroeidis, G. P., and Papageorgiou, A. S. A mathematical representation of near-fault ground motions. *Bull. Seism. Soc. Am.*, 2003, 93(3), 1099-1131.
- [5] Baker, J. W. Quantitative classification of near-fault ground motions using wavelet analysis. *Bull. Seism. Soc. Am.*, 2007, 97(5), 1486-1501.
- [6] Makris, N., and Black, C. J. Dimensional analysis of rigid-plastic and elastoplastic structures under
 pulse-type excitations. *J. Eng. Mech.*, 2004, 130(9), 1006-1018.
- [7] Kalkan, E., and Kunnath, S. K. Effects of fling step and forward directivity on seismic response of
 buildings, *Earthq. Spectra*, 2006, 22(2), 367–390.
- [8] Hayden, C. P., Bray, J. D., and Abrahamson, N. A. Selection of near-fault pulse motions. *J. Geotech. Geoenviron. Eng.*, 2014, 140(7), 04014030.

- [9] Akehashi, H., Kojima, K., Farsangi, E. N., and Takewaki, I. Critical response evaluation of damped
 bilinear hysteretic SDOF model under long duration ground motion simulated by multi impulse
 motion. *Int. J. Earthq. Impact Eng.*, 2018, 2(4), 298-321.
- [10] Akehashi, H. and Takewaki, I. Optimal viscous damper placement for elastic-plastic MDOF
 structures under critical double impulse. *Frontiers in Built Environment*, 2019, 5: 20.
- [11] Akehashi, H. and Takewaki, I. Comparative investigation on optimal viscous damper placement
 for elastic-plastic MDOF structures: Transfer function amplitude or double impulse. *Soil Dyn. Earthg. Eng.*, 2020, 130, 105987.
- [12] Akehashi, H., and Takewaki, I. Simultaneous optimization of elastic-plastic building structures
 and viscous dampers under critical double impulse. *Frontiers in Built Environment*, 2020, 6: 211.
- [13] Tirca, L. D., Foti, D., and Diaferio, M. Response of middle-rise steel frames with and without
 passive dampers to near-field ground motions. *Eng. Struct.*, 2003, 25(2), 169-179.
- [14] Bray, J. D., and Rodriguez-Marek, A. Characterization of forward-directivity ground motions in
 the near-fault region. *Soil Dyn. Earthq. Eng.*, 2004, 24(11), 815-828.
- [15] Gicev, V., and Trifunac, M. D. Permanent deformations and strains in a shear building excited by
 a strong motion pulse. *Soil Dyn. Earthq. Eng.*, 2007, 27(8), 774-792.
- [16] Yang, D., Pan, J., and Li, G. Interstory drift ratio of building structures subjected to near-fault ground motions based on generalized drift spectral analysis. *Soil Dyn. Earthq. Eng.*, 2010, 30(11), 1182-1197.
- [17] Alonso-Rodríguez, A., and Miranda, E. Assessment of building behavior under near-fault pulse like ground motions through simplified models. *Soil Dyn. Earthq. Eng.*, 2015, 79, 47-58.
- [18] Durucan, C., and Durucan, A. R. Ap/Vp specific inelastic displacement ratio for the seismic response estimation of SDOF structures subjected to sequential near fault pulse type ground motion records. *Soil Dyn. Earthq. Eng.*, 2016, 89, 163-170.
- [19] Yadav, K. K., and Gupta, V. K. Near-fault fling-step ground motions: characteristics and simulation.
 Soil Dyn. Earthq. Eng., 2017, 101, 90-104.
- [20] Pu, W., Wu, M., Huang, B., and Zhang, H. Quantification of response spectra of pulse-like near-fault ground motions. *Soil Dyn. Earthq. Eng.*, 2018, 104, 117-130.
- [21] Masaeli, H., Khoshnoudian, F., and Musician, S. Incremental dynamic analysis of nonlinear rocking soil-structure systems. *Soil Dyn. Earthq. Eng.*, 2018, 104, 236-249.
- [22] Ji, K., Ren, Y., Wen, R., and Kuo, C. H. Near-field velocity pulse-like ground motions on February
 6, 2018 MW6. 4 Hualien, Taiwan earthquake and structural damage implications. *Soil Dyn. Earthq. Eng.*, 2019, 126, 105784.
- [23] Li, C., Zuo, Z., Kunnath, S., and Chen, L. Orientation of the strongest velocity pulses and the maximum structural response to pulse-like ground motions. *Soil Dyn. Earthq. Eng.*, 2020, 136, 106240.
- [24] Drenick, R. F. Model-free design of aseismic structures. *J. Eng. Mech. Div.*, 1970, 96(4), 483 493.

- [25] Iyengar, R. N., and Manohar, C. S. Nonstationary random critical seismic excitations. J. Eng.
 Mech., 1987, 113(4), 529-541.
- Takewaki, I. *Critical excitation methods in earthquake engineering*. 2013, Butterworth Heinemann.
- [27] Kojima, K., and Takewaki, I. Critical earthquake response of elastic-plastic structures under near fault ground motions (Part 1: Fling-step input), *Frontiers in Built Environment*, 2015, 1: 12.
- [28] Kojima, K., and Takewaki, I. Critical input and response of elastic–plastic structures under long duration earthquake ground motions. *Frontiers in Built Environment*, 2015, 1: 15.
- [29] Taniguchi, R., Kojima, K., and Takewaki, I. Critical response of 2DOF elastic–Plastic Building
 structures under Double impulse as substitute of near-Fault ground motion. *Frontiers in Built Environment*, 2016, 2: 2.
- [30] Shiomi, T., Fujita, K., Tsuji, M., and Takewaki, I. Dual hysteretic damper system effective for
 broader class of earthquake ground motions. *Int. J. Earthq. Impact Eng.*, 2018, 2(3), 175-202.
- [31] Ishida, S. and Takewaki, I. Optimal seismic design of stiffness and gap of hysteretic-viscous
 hybrid damper system in nonlinear building frames for simultaneous reduction of interstory drift
 and acceleration. *Frontiers in Built Environment*, 2021, 7: 656606.
- [32] Rodriguez, M. E., Restrepo, J. I. and Carr, A. J. Earthquake-induced floor horizontal accelerations
 in buildings. *Earthq. Eng. Struct. Dyn.*, 2002, 31, 693-718.
- [33] Vukobratović, V., and Fajfar, P. A method for the direct estimation of floor acceleration spectra for elastic and inelastic MDOF structures. *Earthq. Eng. Struct. Dyn.*, 2016, 45(15), 2495-2511.
- [34] Skinner, R. I., Robinson, W. H., and McVerry, G. H. *An introduction to seismic isolation*. 1993,
 Wiley.
- [35] Kojima, K., and Takewaki, I. Closed-form critical earthquake response of elastic-plastic structures
 with bilinear hysteresis under near-fault ground motions. *J. Struct. Construct. Eng.*, 2016, 726,
 1209–1219 (in Japanese).
- 799

800 Appendix 1: Transfer function of acceleration

Figure A1 shows the transfer functions $H_{a,N}^{g}$ of the absolute accelerations at the top story with respect 801 to input ground acceleration and the transfer functions $H_{a,N}^f$ of the 'relative' (to ground) accelerations 802 at the top story with respect to lateral force input with $\mathbf{l} = \alpha_1 \boldsymbol{\varphi}_1$. The latter transfer function is the ratio 803 of the relative acceleration at the top story with respect to $V\delta(t) - V\delta(t-t_0)$ in Eq.(20). It can be 804 observed that higher modes hardly contribute to $H_{a,N}^f$ and the values of $H_{a,N}^f$ at $\omega = 0$ are zero due 805 to the absence of the ground motion. Although the components of H_{aN}^{f} in a higher frequency range 806 are thought to enlarge the acceleration responses under PDI, this is not true. These components 807 correspond to the Dirac delta function in time domain since the real parts of these components are 808 809 almost constant and the imaginary parts are almost 0. In other words, an impulsive lateral force causes

810 impulsive relative acceleration responses. Such impulsive acceleration responses are excluded in the

- 811 evaluation of the maximum acceleration responses. This fact supports the validity of using PDI in the
- 812 evaluation of acceleration in place of DI.



Figure A1 Transfer functions $H_{a,N}^g$ of absolute acceleration at top story with respect to input ground acceleration and transfer functions $H_{a,N}^f$ of relative acceleration at top story with respect to lateral force input with $\mathbf{\iota} = \alpha_1 \boldsymbol{\varphi}_1$, (a) $H_{a,N}^g$ of P-Model, (b) $H_{a,N}^f$ of P-Model, (c) $H_{a,N}^g$ of NP-Model, (d) $H_{a,N}^f$ of NP-Model

821

833

822 Appendix 2: Phase properties of maximum responses

The phase properties corresponding to the maximum responses under PDI are derived for proportionally damped elastic MDOF models. Figure A2 illustrates the phases of the maximum responses under the critical PDI in the complex plane. The timing $t_{d,1}$ at which the displacement response attains the maximum under the 1st impulse of the critical PDI is the timing at which the velocity response attains 0. $t_{d,1}$ is expressed as follows.

828 $t_{d,1} = \{(\pi/2) - \phi_1\} / \omega_{D1}$ (A1)

829 In the same way, the timing $t_{v,1}$ at which the velocity response attains the maximum under the 1st 830 impulse of the critical PDI and the timing $t_{a,1}$ at which the acceleration response attains the maximum 831 are derived as

832
$$t_{v,1} = (\pi - 2\phi_1) / \omega_{D1}$$
 (A2)

$$t_{a,1} = \{(\pi/2) - 3\phi_1\} / \omega_{D1}$$
(A3)

This is a provisional file, not the final typeset article

834 The timings $t_{d,2}, t_{v,2}, t_{a,2}$ at which the displacement, velocity and acceleration responses attain the 835 maximum under the 2nd impulse of the critical PDI are derived as follows.

836
$$t_{d,2} = t_0^c + \{(\pi/2) - (\hat{\phi}_1 - \phi_1)\} / \omega_{D1}$$
(A4)

837
$$t_{\nu,2} = t_0^c + (\pi - \hat{\phi}_1) / \omega_{D1}$$
(A5)

838
$$t_{a,2} = t_0^c + \{(\pi/2) - (\hat{\phi}_1 + \phi_1)\} / \omega_{D1}$$
 (A6)

839 where $\hat{\phi}_1$ is the phase angle between \ddot{u} and the imaginary axis, and $\hat{\phi}_1$ is expressed by

840
$$\hat{\phi}_{l} = \arctan \frac{\sin(2\phi_{l})}{\cos(2\phi_{l}) + \exp(-h_{l}\omega_{l}t_{0}^{c})}$$
(A7)

841 $\hat{\phi}_1$ satisfies $\phi_1 < \hat{\phi}_1 < 2\phi_1$. By substituting Equations (A1-A6) into Equations (22a, b), (23a, b), the 842 maximum responses under the critical PDI are evaluated.



Figure A2 Phases of responses under critical PDI in complex plane









































