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# Frequency-domain Optimal Viscous Damper Placement Using Lower-bound Transfer Function and Multi-modal Adaptability

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## Abstract

A new concept of a ‘lower-bound transfer function (LBTF)’ and a new frequency-domain optimal damper design method are presented. LBTF expresses an ideal performance for response control in the frequency domain under a constant sum of added damping coefficients (or the total cost of dampers). The effectiveness of the damper design for each mode can be captured visually through plotting the transfer function amplitudes of the model and the LBTF. An efficient generation method of LBTFs is also proposed. The proposed design method provides designs with multi-modal adaptability (effective for multi-modes). It does not require much computational load to implement the method since the optimization is conducted in the frequency domain and the first-order or second-order sensitivities of the objective function can be derived analytically. The proposed design and the fundamental mode optimal damper placement are compared for shear-mass systems and moment-resisting frames through the transfer functions and the Incremental Dynamic Analysis (IDA). It is demonstrated that the proposed designs effectively reduce the floor acceleration responses and the elastic deformation responses. Moreover, it is shown that the proposed designs can effectively reduce the elastic-plastic responses although the optimization is conducted for linear elastic models.

**Keywords:** Earthquake response, Viscous damper, Optimization, Frequency-domain optimization, Lower-bound transfer function, Multi-modal adaptability.

## 35 1. Introduction

36 Researches on passive control of structural systems have been widely investigated so far<sup>1-4</sup>. Zhang  
37 and Soong<sup>5</sup> and Garcia<sup>6</sup> proposed sequential procedures for damper placement. Takewaki<sup>7</sup> applied an  
38 incremental inverse problem approach to the simultaneous optimization of story stiffness and viscous  
39 damping. Trombetti and Silvestri<sup>8</sup> demonstrated the effectiveness of mass-proportional damping  
40 systems and investigated the applicability of the systems to realistic building models. Lavan and  
41 Levy<sup>9</sup> effectively used an active earthquake to save the computational load for damper optimization  
42 under multiple ground motions. Cimellaro and Retamales<sup>10</sup> and Silvestri et al.<sup>11</sup> used design  
43 earthquake response spectrums to obtain preliminary designs of structures and dampers. Lavan and  
44 Dargush<sup>12</sup> treated a multi-objective optimization problem for simultaneous placement of viscous,  
45 viscoelastic and hysteretic dampers. Apostolakis and Dargush<sup>13</sup> proposed a design framework for  
46 hysteretic dampers. Yamamoto et al.<sup>14</sup> conducted  $H^\infty$  optimization of the transfer function of  
47 interstory drifts. Whittle et al.<sup>15</sup> compared several optimization techniques in view of reduction  
48 performances in peak responses, usability and computational load. Sonmez et al.<sup>16</sup> applied an  
49 artificial bee colony algorithm to damper optimization. Martínez et al.<sup>17</sup> treated an optimization  
50 problem of hysteretic damper placement in the frequency domain by using the stochastic equivalent  
51 linearization technique. Pollini et al.<sup>18</sup> tackled a simultaneous optimization of nonlinear fluid viscous  
52 dampers and their supporting braces. Cetin et al.<sup>19</sup> dealt with an optimization problem of damper  
53 placement under the critical excitation. De Domenico and Ricciardi<sup>20</sup> incorporated a nonlinear  
54 response estimation method of fluid viscous dampers using a non-Gaussian stochastic linearization  
55 formulation into an optimization procedure. Aydin et al.<sup>21</sup> investigated the effect of soil-structure  
56 interaction on the optimal damper placement. Apostolakis<sup>22</sup> introduced a multiscale approach to a  
57 genetic algorithm-based optimization of friction damper placement for 3D building structures.  
58 Marzok and Lavan<sup>23</sup> tackled an optimal design problem for multiple-rocking systems.

59 In almost all of the above-mentioned researches, elastic structural frames were treated. However, it is  
60 important to take the elastic-plastic responses of the frames into account when structural optimization  
61 is conducted because recently observed ground motions greatly exceed the level of the code-specified  
62 ground motions. There have been a few researches which take into account the elastic-plastic  
63 responses of frames in the damper optimization problems<sup>24-27</sup>. Attard<sup>28</sup> applied a gradient-based  
64 method for optimal viscous damper placement for nonlinear shear building structures. Akehashi and  
65 Takewaki<sup>29</sup> developed a consecutive design generation method to obtain damper designs which are  
66 effective for multi-level ground motions.

67 As stated above, the optimal damper placement methods can be classified in view of response  
68 evaluation as follows: (i) response spectrum-based methods, (ii) time-domain optimization methods,  
69 (iii) frequency-domain optimization methods. Especially, as for the frequency-domain optimization  
70 methods, Takewaki<sup>30</sup> treated an optimal viscous damper placement problem for a shear building  
71 structure with respect to the sum of amplitudes of interstory drifts at the fundamental natural  
72 frequency of the structure under a constant sum of added damping coefficients. Aydin et al.<sup>31</sup>  
73 extended this approach to the transfer function amplitude in terms of the base shear force at the  
74 fundamental natural frequency. When sufficient amount of added damping is given, this method

75 provides a design with high safety margin since the structure performs elastically and the higher-  
76 mode responses hardly contribute to the displacement responses. Akehashi and Takewaki<sup>32</sup> extended  
77 the concept developed by Takewaki<sup>30</sup> into higher modes and proposed the concept of ‘the  $n$ -th mode  
78 optimal damper placement’. At the same, it is also important to reduce floor acceleration responses in  
79 view of the damage of nonstructural components and facilities<sup>33-36</sup>. Moreover, in the case of high-rise  
80 buildings, the predominant periods of ground motions may coincide with the second, or third natural  
81 periods of the buildings. Therefore, both of the fundamental natural mode and the higher modes  
82 should be taken into account for damper designs.

83 It is widely known that the transfer function-based design methods directly treat the system  
84 characteristics and need low computational load. However, most of recent researches on the optimal  
85 damper placement deal with time-domain optimization methods. This is because nonlinear responses  
86 of dampers and structures need to be treated and the performances of computers have been  
87 increasing. However, since the transfer function-based method still has an advantageous feature, an  
88 alternative optimization method using transfer functions is newly proposed in this paper. The purpose  
89 of the proposed method is to obtain designs which are effective for multi modes. Such designs will  
90 effectively reduce both elastic deformation responses and floor acceleration responses. Moreover,  
91 such designs may also be safe for large-amplitude ground motions. The amplification of the higher-  
92 mode effect due to the elastic-plastic responses is unpredictable because it strongly depends on the  
93 nature of input ground motions and that of the structural design. However, if a design is not effective  
94 for the specified modes, the corresponding modes are largely amplified due to the elastic-plastic  
95 responses. Therefore, if an optimal design method using transfer functions for linear elastic models  
96 can realize designs which are effective for multi modes, such designs are expected to effectively  
97 reduce the elastic-plastic responses.

98 In this paper, a new concept of ‘lower-bound transfer function (LBTF)’ and a new frequency-domain  
99 optimal damper design method are presented. LBTF expresses an ideal performance for response  
100 control in the frequency domain under the constant sum of added damping coefficients (or the total  
101 cost of dampers). The effectiveness of a damper design for each mode can be captured visually  
102 through plotting the transfer function amplitudes of the model and LBTF. An efficient generation  
103 method of LBTFs is also proposed. The proposed design method provides designs with multi-modal  
104 adaptability (effective for multi-modes). It does not require much computational load to implement  
105 the method since the optimization is conducted in the frequency domain and the first-order or second-  
106 order sensitivities of the objective function can be derived analytically. The proposed design and the  
107 optimal damper placement for the fundamental mode are compared for shear-mass systems and  
108 moment-resisting frames through the transfer functions and incremental dynamic analysis (IDA)<sup>37</sup>. It  
109 is demonstrated that the proposed designs effectively reduce the floor acceleration responses, the  
110 elastic deformation responses. Moreover, it is shown that the proposed design can effectively reduce  
111 the elastic-plastic responses although the optimization is conducted for linear elastic models.

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115 **2. Optimization problem**

116 Takewaki<sup>30</sup> treated an optimal viscous damper placement problem for a shear building structure with  
117 respect to the sum of the interstory drift amplitudes at the fundamental natural frequency of the  
118 structure under a constant sum of added damping coefficients. Since the sum of added damping  
119 coefficients is almost proportional to the total cost of added dampers, the constraint on the sum of  
120 added damping coefficients is almost equivalent to the constraint on the total cost of added dampers.  
121 When a sufficient amount of added damping is given, this method provides a design with high safety  
122 since the structure performs elastically and the higher-mode responses hardly contribute to the  
123 displacement responses. Akehashi and Takewaki<sup>32</sup> extended the concept developed by Takewaki<sup>30</sup>  
124 into higher modes and proposed the concept of ‘the  $n$ -th mode optimal damper placement’. Both the  
125 fundamental natural mode and the higher modes should be taken into account for damper designs  
126 because the floor acceleration responses are directly related to the damage of the nonstructural  
127 components and facilities. Especially in the case of high-rise buildings, the predominant period of  
128 ground accelerations may coincide with the second or third natural period of the buildings. Moreover,  
129 in the case that the ground motion exceeds the level of the ground motion for the damper design, the  
130 structure performs inelastically and the higher-mode responses are amplified.

131 In Section 2.1, the problems which Takewaki<sup>30</sup> and Akehashi and Takewaki<sup>32</sup> treated are explained  
132 briefly. In Section 2.2, a new concept of ‘lower-bound transfer function (LBTF)’ is proposed for the  
133 visualization of the effectiveness of a damper design for each mode and its generation method is  
134 explained. Figure 1a illustrates the concept of LBTF and the 1-3th mode optimal damper placements.  
135 LBTF expresses an ideal performance for response control in the frequency domain under a constant  
136 sum of added damping coefficients. For examples, when a design is effective for the fundamental  
137 natural mode but not effective for the 2, 3th modes, the amplitudes of the transfer function is plotted  
138 away from the LBTF near the 2, 3th natural frequencies. On the other hand, when a design is  
139 effective for multi modes, the transfer function is plotted near LBTF for a broader frequency range.  
140 Figure 1b shows an example of such designs. In Section 2.3, an optimization problem to obtain  
141 designs with multi-modal adaptability is formulated and its solution algorithm is presented.

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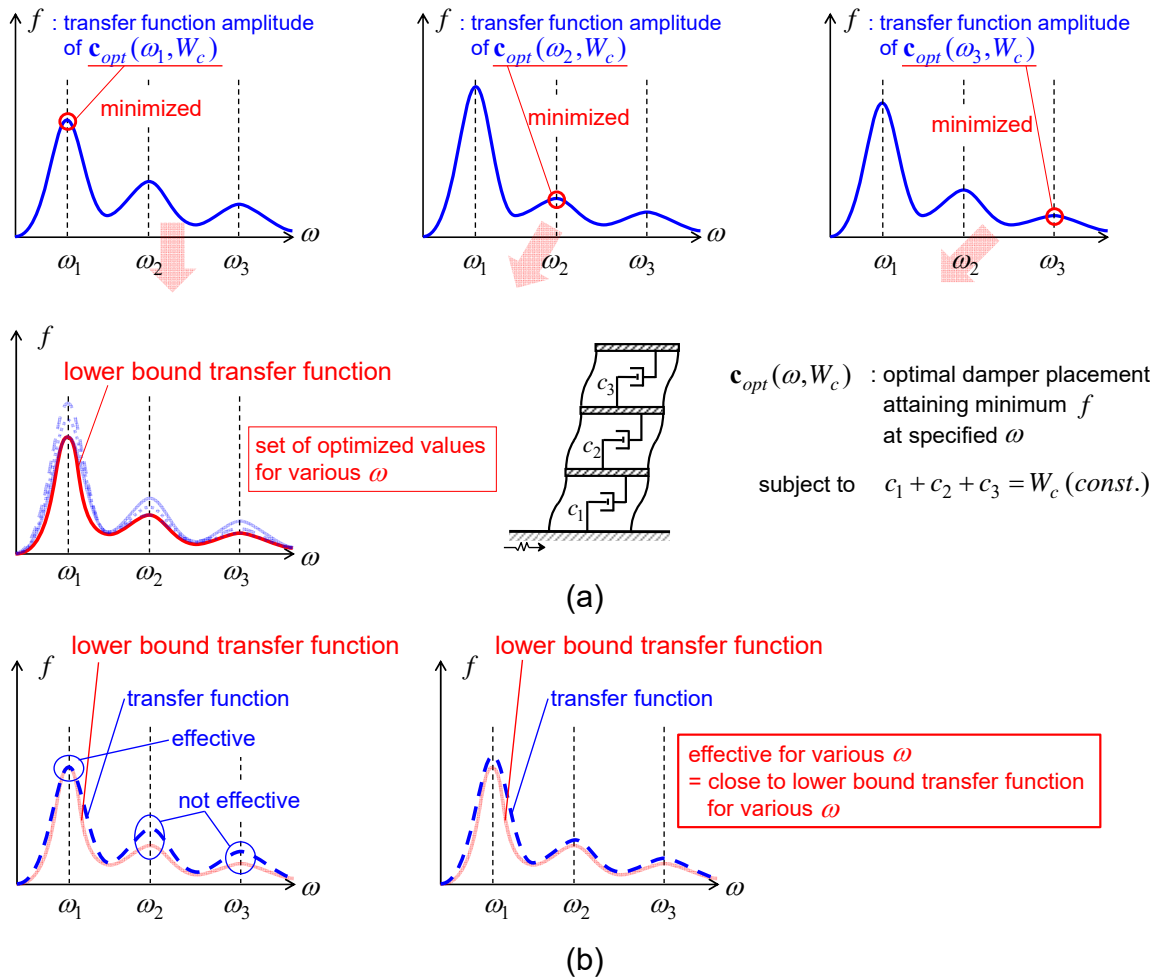


Fig. 1 Concept of lower-bound transfer function (LBTF) and response control performance in frequency domain, (a) LBTF, (b) response control performance in frequency domain.

## 2.1 Optimal damper placement with respect to sum of transfer function amplitudes at natural circular frequency

Consider an  $N$ -story shear-mass system. Let  $\mathbf{c} = (c_1, \dots, c_N)^T$  and  $\delta_i(\omega)$  denote the added damping coefficient vector and the transfer function of the  $i$ -th interstory drift, and  $\boldsymbol{\delta} = (\delta_1, \dots, \delta_N)^T$ . The problem which Takewaki<sup>30</sup> and Akehashi and Takewaki<sup>32</sup> treated is described as follows.

159 **[Problem]**

Find  $\mathbf{c} = (c_1, \dots, c_N)^T$

160 so as to minimize  $f = \sum_{i=1}^N |\delta_i(\mathbf{c}, \hat{\omega})|$  , (1)

$$\text{subjected to } \begin{cases} \mathbf{c}^T \cdot \mathbf{1} = W_c & (\text{const.}) \\ 0 \leq c_i \leq c_i^U & (\text{for } i = 1, \dots, N) \end{cases}$$

161 where  $W_c, c_i^U, \mathbf{1}$  denote the sum of added damping coefficients, the upper bound of the damping  
 162 coefficient added at the  $i$ -th story and the vector with 1 at every component. Takewaki<sup>30</sup> adopted the  
 163 undamped fundamental natural circular frequency  $\omega_1$  for the value of  $\hat{\omega}$  and Akehashi and  
 164 Takewaki<sup>32</sup> adopted the undamped 1-3th natural circular frequencies  $\omega_1, \omega_2, \omega_3$ . It is noted that  
 165  $|\delta_i(\omega)|$  corresponds to the  $i$ -th steady-state interstory drift under the harmonic excitation with single  
 166 frequency  $\hat{\omega}$ .

167 When the constraints on the upper bound of  $c_i$  are not included in the problem, the Lagrangian  
 168 function  $L$  for the problem is expressed by

$$169 \quad L = f + \lambda_c (c_1 + \dots + c_N - W_c) + \{\mu_1(-c_1) + \dots + \mu_N(-c_N)\}, \quad (2)$$

170 where  $\lambda_c, \mu_i$  are the Lagrange multipliers. From Eq. (2), the optimality criteria for the problem can  
 171 be obtained by

$$172 \quad (\partial f / \partial c_i) + \lambda_c - \mu_i = 0 \quad (i = 1, \dots, N), \quad (3)$$

$$173 \quad c_1 + \dots + c_N = W_c, \quad (4)$$

$$174 \quad c_i \geq 0, \mu_i \geq 0, \mu_i c_i = 0 \quad (i = 1, \dots, N) \quad (5)$$

175 Takewaki<sup>30</sup> developed an optimality criterion-based approach for the solution algorithm of the  
 176 problem. Hereafter, the optimal design for the problem is designated by  $\mathbf{c}_{opt}(\omega, W_c)$  in place of  
 177  $\mathbf{c}_{opt}(\hat{\omega}, W_c)$  because the specified circular frequency  $\hat{\omega}$  is extended to all the frequency  $\omega$ .

## 178 2.2 Importance of lower-bound transfer function (LBTF) and its generation method

180 Let us define LBTF  $f_{lb}(\omega, W_c)$  as follows.

$$181 \quad f_{lb}(\omega, W_c) = f(\mathbf{c}_{opt}(\omega, W_c), \omega) \quad (6)$$

183 Since  $\mathbf{c}_{opt}(\omega, W_c)$  is the optimal design for minimizing the sum of the transfer function amplitudes of  
 184 the interstory drifts,  $f_{lb}(\omega, W_c)$  is the lower bound of  $f(\mathbf{c}, \omega)$  for any damper designs with  
 185  $c_1 + \dots + c_N = W_c$ . In other words, the sum of the transfer function amplitudes of  $\mathbf{c}_{opt}(\omega, W_c)$  is  
 186 tangent to LBTF  $f_{lb}(\omega, W_c)$  only at the point where  $\omega$  coincides, the sum of the transfer function  
 187 amplitudes runs above  $f_{lb}(\omega, W_c)$  at any other points. Therefore,  $f_{lb}(\omega, W_c)$  expresses an ideal  
 188 performance for response control in the frequency domain under the constraint  $c_1 + \dots + c_N = W_c$ .

189 The effectiveness of the damper design in the frequency domain should be judged through the  
 190 comparison between the sum of the transfer function amplitudes and the corresponding LBTF. This  
 191 is because the amount of  $W_c$  (or the total cost of dampers) greatly affects how small the amplitudes  
 192 become. When the transfer function is plotted near LBTF for a broader frequency range, it indicates  
 193 that the design is effective for multi modes.

194  
 195 It is inefficient to repeat the optimization procedure and find  $\mathbf{c}_{opt}(\omega, W_c)$  as many as frequencies for  
 196 obtaining LBTF. An efficient generation method of LBTF is explained below.

197 Assume that  $\mathbf{c}_{opt}(\omega, W_c)$ , which satisfies Eqs. (3)-(5), has been obtained. Since  $\mathbf{c}_{opt}(\omega + \Delta\omega, W_c)$   
 198 must satisfy Eqs. (3), (4), the following equations must also be satisfied.  
 199

$$200 \quad g_i = \left\{ \frac{\partial f}{\partial c_i} + \sum_{j=1}^N \frac{\partial^2 f}{\partial c_j \partial c_i} \Delta c_j + \frac{\partial^2 f}{\partial \omega \partial c_i} \Delta \omega \right\} + \{ \lambda_c + \Delta \lambda_c \} - \{ \mu_i + \Delta \mu_i \} \quad (i=1, \dots, N), \quad (7)$$

$$= \sum_{j=1}^N \frac{\partial^2 f}{\partial c_j \partial c_i} \Delta c_j + \frac{\partial^2 f}{\partial \omega \partial c_i} \Delta \omega + \Delta \lambda_c - \Delta \mu_i = 0$$

$$201 \quad \Delta c_1 + \dots + \Delta c_N = 0, \quad (8)$$

202  
 203 Eq. (7) corresponds to Eq. (3) and Eq. (8) corresponds to Eq. (4). Then  $g'_i$  is introduced to delete  
 204  $\Delta \lambda_c$  from Eq. (7).  
 205

$$206 \quad g'_i = g_1 - g_i$$

$$= \sum_{j=1}^N \left( \frac{\partial^2 f}{\partial c_j \partial c_1} - \frac{\partial^2 f}{\partial c_j \partial c_i} \right) \Delta c_j + \left( \frac{\partial^2 f}{\partial \omega \partial c_1} - \frac{\partial^2 f}{\partial \omega \partial c_i} \right) \Delta \omega - (\Delta \mu_1 - \Delta \mu_i) \quad (i=2, \dots, N), \quad (9)$$

$$= 0$$

207  
 208 Since  $g_1 = \dots = g_N = 0$ ,  $g'_2 = \dots = g'_N = 0$  is required. The simultaneous formulation of

209  $(g'_2, \dots, g'_N)^T = \mathbf{0}$  and Eq. (8) leads to  
 210

$$211 \quad \begin{pmatrix} \frac{\partial^2 f}{\partial c_1^2} - \frac{\partial^2 f}{\partial c_1 \partial c_2} & \dots & \frac{\partial^2 f}{\partial c_N \partial c_1} - \frac{\partial^2 f}{\partial c_N \partial c_2} \\ \vdots & \ddots & \vdots \\ \frac{\partial^2 f}{\partial c_1^2} - \frac{\partial^2 f}{\partial c_1 \partial c_N} & \dots & \frac{\partial^2 f}{\partial c_N \partial c_1} - \frac{\partial^2 f}{\partial c_N^2} \\ 1 & \dots & 1 \end{pmatrix} \begin{pmatrix} \Delta c_1 \\ \vdots \\ \Delta c_N \end{pmatrix} = -\Delta \omega \begin{pmatrix} \frac{\partial^2 f}{\partial \omega \partial c_1} - \frac{\partial^2 f}{\partial \omega \partial c_2} \\ \vdots \\ \frac{\partial^2 f}{\partial \omega \partial c_1} - \frac{\partial^2 f}{\partial \omega \partial c_N} \\ 0 \end{pmatrix} + \begin{pmatrix} \Delta \mu_1 - \Delta \mu_2 \\ \vdots \\ \Delta \mu_1 - \Delta \mu_N \\ 0 \end{pmatrix} \quad (10)$$

212  
 213 By solving Eq. (10),  $\mathbf{c}_{opt}(\omega + \Delta\omega, W_c) = \mathbf{c}_{opt}(\omega, W_c) + \Delta \mathbf{c}$  can be obtained. It is noted that  $\Delta \mu_i$  is set  
 214 to zero when  $c_i$  is large enough. In the case that  $c_i$  is nearly zero or equal to zero,  $c_i + \Delta c_i$  is

215 calculated with  $\Delta\mu_i = 0$  first. If  $c_i + \Delta c_i < 0$ ,  $\Delta\mu_i$  is updated so that  $c_i + \Delta c_i$  becomes zero. Figure 2  
 216 represents the relation between  $c_i$  and  $\mu_i$ . The generation method of LBTF may be described as  
 217 follows.

218

219 **[Algorithm]**

220 Step 1 Set the search range as  $\omega^L \leq \omega \leq \omega^U$ . Put  $i_\omega \rightarrow 1$ .

221 Step 2 Solve the problem expressed by Eq. (1) and obtain the optimal damper placement

222  $\mathbf{c}_{opt}(\omega_{i_\omega}, W_c)$ , where  $\omega_{i_\omega}$  is the  $i_\omega$ -th undamped fundamental natural circular frequency.

223 Step 3 Set  $\mathbf{c}_{opt}(\omega_{i_\omega}, W_c)$  as an initial design, then solve Eq. (10) repeatedly to obtain the optimal

224 designs and the corresponding transfer functions in the range of  $\omega_{i_\omega} \leq \omega \leq \min\{\omega^U, \omega_{i_\omega+1}\}$ .

225 Step 4 Set  $\mathbf{c}_{opt}(\omega_{i_\omega}, W_c)$  as an initial design, then solve Eq. (10) repeatedly to obtain the optimal

226 designs and the corresponding transfer functions in the range of  $\max\{\omega^L, \omega_{i_\omega-1}\} \leq \omega \leq \omega_{i_\omega}$ .

227 When  $i_\omega = 1$ , the range is replaced with  $\omega^L \leq \omega \leq \omega_{i_\omega}$ .

228 Step 5 If  $i_\omega = n_\omega$ , go to Step 6. Otherwise, put  $i_\omega \rightarrow i_\omega + 1$  and return to Step 2.

229 Step 6 Select the design which exhibits the minimum value of  $f$  at each  $\omega$ , then finalize the  
 230 process.

231

232 Figure 3 shows the search order of LBTF. It is noted that the added dampers can decrease the transfer  
 233 function amplitudes near the natural circular frequencies. However, the added dampers hardly affect

234 the transfer function amplitudes in the intermediate range between two adjacent natural circular

235 frequencies  $\omega_{i_\omega}, \omega_{i_\omega+1}$ . Although the search with  $\mathbf{c}_{opt}(\omega_{i_\omega}, W_c)$  as an initial design works well near

236  $\omega_{i_\omega}$ , it may lead to local optimal solutions in the intermediate range between  $\omega_{i_\omega}, \omega_{i_\omega+1}$ , and the

237 solutions obtained near  $\omega_{i_\omega+1}$  may not be global optimum. To avoid such unsuccessful search near

238  $\omega_{i_\omega+1}$ , the initial design is changed  $n_\omega$  times in the proposed method. As a result, the search is carried

239 out multiple times in the specific frequency range. Therefore, the design which exhibits the minimum

240 value of  $f$  is selected in the Step 6.

241



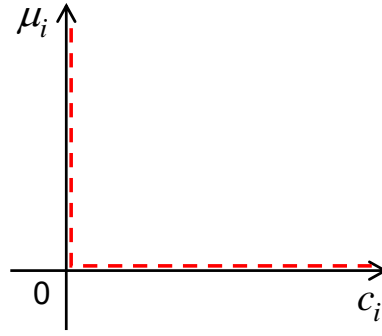


Fig. 2 Relation between added damping coefficient  $c_i$  in  $i$ -th story and Lagrange multiplier  $\mu_i$  corresponding to constraint  $c_i \geq 0$ .

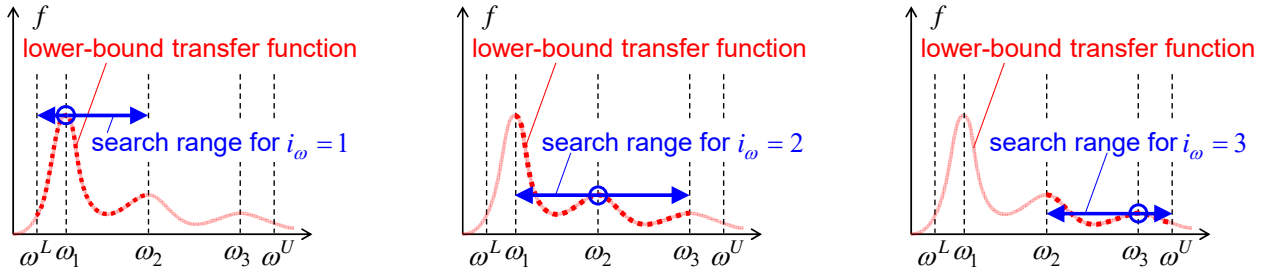


Fig. 3 Search order of LBTf.

### 2.3 Design problem of damper placement effective for multi modes and its solution algorithm

When the transfer function is close to the LBTf for a broader frequency range, such design has multi-modal adaptability. Consider the following problem to systematically obtain damper designs with multi-modal adaptability.

#### [Problem]

$$\text{Find } \mathbf{c} = (c_1, \dots, c_N)^T$$

$$\text{so as to minimize } f_{tf} = \sum_{j=1}^{N_\omega} f(\mathbf{c}, \omega^L + (j-1)\Delta\omega)\Delta\omega$$

$$= \sum_{j=1}^{N_\omega} \sum_{i=1}^N |\delta_i(\mathbf{c}, \omega^L + (j-1)\Delta\omega)| \Delta\omega, \quad (11)$$

$$\text{subjected to } \begin{cases} \mathbf{c}^T \cdot \mathbf{1} = W_c & (\text{const.}) \\ 0 \leq c_i \leq c_i^U & (\text{for } i=1, \dots, N) \end{cases}$$

The objective function  $f_{tf}$  for an integrated transfer function amplitude is an approximation of the integration of  $f$  by the rectangle rule (Figure 4a). It is noted that it does not require much computational load to solve this problem since the optimization is conducted in frequency domain and the first-order or second-order sensitivities of the objective function can be derived analytically (the first-order or second-order sensitivities of  $f$  have been derived by Takewaki<sup>30</sup>). This problem

263 can be solved by the algorithm proposed by Takewaki<sup>30</sup>. The algorithm is briefly explained here,  
264 although some expressions of the formulations are rewritten in a simpler manner.

265 The first-order sensitivity of  $f_{tf}$  is approximated by the following equation.  
266

$$267 \quad \left. \frac{\partial f_{tf}}{\partial c_i} \right|_{\mathbf{c}+\Delta\mathbf{c}} \cong \left. \frac{\partial f_{tf}}{\partial c_i} \right|_{\mathbf{c}} + \sum_{j=1}^N \left. \frac{\partial^2 f_{tf}}{\partial c_j \partial c_i} \right|_{\mathbf{c}} \Delta c_j \quad (i=1, \dots, N) \quad (12)$$

268 Since the first-order sensitivity of  $f_{tf}$  at the optimal solution is parallel to the normal vector of the  
269 hyperplane  $c_1 + \dots + c_N = W_c$  (Figure 4b), the following equation is obtained.

$$270 \quad \frac{\partial f_{tf}}{\partial c_1} = \dots = \frac{\partial f_{tf}}{\partial c_N} \quad (13)$$

271 The simultaneous formulation of  $(\partial f_{tf} / \partial c_1)_{\mathbf{c}+\Delta\mathbf{c}} \mathbf{1} - \{(\partial f_{tf} / \partial c_2)_{\mathbf{c}+\Delta\mathbf{c}}, \dots, (\partial f_{tf} / \partial c_N)_{\mathbf{c}+\Delta\mathbf{c}}\}^T = \mathbf{0}$  and  
272  $\Delta c_1 + \dots + \Delta c_N = 0$  leads to

$$273 \quad \begin{pmatrix} \frac{\partial^2 f_{tf}}{\partial c_1^2} & \frac{\partial^2 f_{tf}}{\partial c_1 \partial c_2} & \dots & \frac{\partial^2 f_{tf}}{\partial c_N \partial c_1} & \frac{\partial^2 f_{tf}}{\partial c_N \partial c_2} \\ \vdots & \vdots & & \vdots & \vdots \\ \frac{\partial^2 f_{tf}}{\partial c_1^2} & \frac{\partial^2 f_{tf}}{\partial c_1 \partial c_N} & \dots & \frac{\partial^2 f_{tf}}{\partial c_N \partial c_1} & \frac{\partial^2 f_{tf}}{\partial c_N^2} \\ 1 & \dots & & 1 & \dots \end{pmatrix} \begin{pmatrix} \Delta c_1 \\ \vdots \\ \Delta c_N \end{pmatrix} = - \begin{pmatrix} \frac{\partial f_{tf}}{\partial c_1} & \frac{\partial f_{tf}}{\partial c_2} \\ \vdots \\ \frac{\partial f_{tf}}{\partial c_1} & \frac{\partial f_{tf}}{\partial c_N} \\ 0 \end{pmatrix} \quad (14)$$

274  
275  
276 By solving the Eq. (14) repeatedly and updating  $\mathbf{c} \rightarrow \mathbf{c} + \alpha \Delta \mathbf{c}$  ( $\alpha$ : small positive number), the  
277 optimal solution is obtained. It is noted that, when  $c_j = 0$  and  $c_j + \alpha \Delta c_j < 0$ , all the elements  
278 regarding  $c_j$  are deleted from Eq. (14) and  $\Delta \mathbf{c}$  is modified. If  $c_1 = 0$ , Eq. (14) is replaced with the  
279 simultaneous formulation of  $(\partial f_{tf} / \partial c_2)_{\mathbf{c}+\Delta\mathbf{c}} \mathbf{1} - \{(\partial f_{tf} / \partial c_3)_{\mathbf{c}+\Delta\mathbf{c}}, \dots, (\partial f_{tf} / \partial c_N)_{\mathbf{c}+\Delta\mathbf{c}}\}^T = \mathbf{0}$  and  
280  $\Delta c_2 + \dots + \Delta c_N = 0$ . The optimal design for the problem is designated by  $\mathbf{c}_{tf}$  (optimized for transfer  
281 function) hereafter.  
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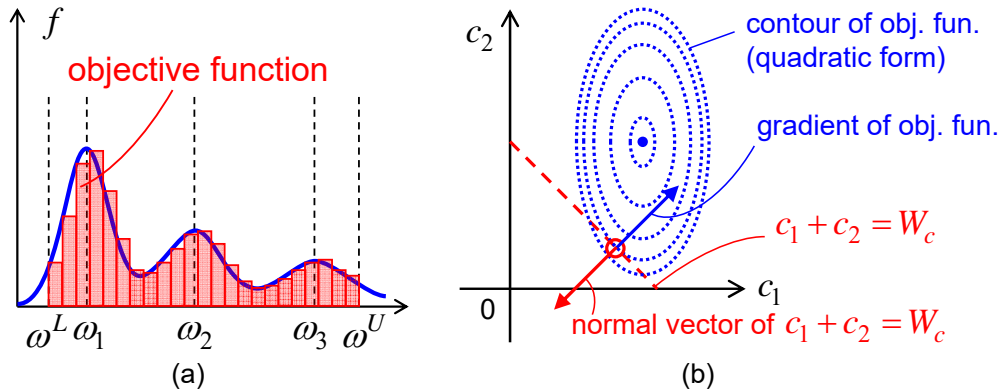
283 It should be noted that the  $H^\infty$  optimization is one of the famous control theories in the frequency  
284 domain. The  $H^\infty$  norm of the transfer function of the interstory drifts is expressed by

$$285 \quad \|\delta(\omega)\|_\infty = \sup_\omega \left\{ \sqrt{\sum_{i=1}^N |\delta_i(\omega)|^2} \right\}. \text{ The components of } \delta(\omega) \text{ near the fundamental natural frequency}$$

286 and those of  $\sqrt{\sum_{i=1}^N |\delta_i(\omega)|^2}$  are much larger than those in other range even if a sufficient amount of  
287 added damping is given. Therefore, the  $H^\infty$  optimization of  $\delta(\omega)$  may not be always effective for

288 the higher modes. On the other hand, the proposed method aims at damper designs with multi-modal  
 289 adaptability.

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Fig. 4 Overview of optimization problem, (a) objective function, (b) relation between gradient of objective function and normal vector of hyperplane  $c_1 + \dots + c_N = W_c$  (example for  $N=2$ ).

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297 The formulations in Section 2.1-2.3 are applicable to the optimal damper placement for moment-  
 298 resisting frames by adding some modifications. Numerical examples for shear-mass systems are  
 299 shown in Section 3, and numerical examples for moment-resisting frames are shown in Section 4.

300

### 301 3. Numerical examples for shear-mass system

302 In this section,  $\mathbf{c}_{opt}(\omega_1)$  and  $\mathbf{c}_{tf}$  for shear-mass systems are compared through the transfer functions  
 303 and incremental dynamic analysis (IDA)<sup>37</sup>. It is demonstrated that  $\mathbf{c}_{tf}$  is effective for the multi  
 304 modes, although  $\mathbf{c}_{opt}(\omega_1)$  is effective only for the fundamental natural mode and not effective for the  
 305 higher modes. In addition, it is shown that  $\mathbf{c}_{tf}$  effectively reduces the elastic-plastic responses  
 306 although the optimization is conducted without considering the nonlinearity of the structures.  
 307  $\mathbf{c}_{opt}(\omega_2), \mathbf{c}_{opt}(\omega_3), \mathbf{c}_{opt}(\omega_4)$  are shown in Appendix just for reference.

308 Consider two shear building models of 12 stories with different story stiffness distributions. Model 1  
 309 has a trapezoidal distribution of story stiffnesses ( $k_1 / k_{12} = 2.5$ ). Model 2 has the uniform story  
 310 stiffness distribution at every four stories (1-4, 5-8, 9-12: stiffness ratio is 2:1.5:1 from the bottom).  
 311 The undamped fundamental natural period of these two models is 1.2[s] and the structural damping  
 312 ratio is 0.01 (stiffness proportional type). All the floor masses have the same value  
 313 ( $m_i = 400 \times 10^3$  [kg]). The common story height is 4[m]. In the IDA analyses, the common yield  
 314 interstory drift  $d_y$  is set to 4/150 [m]. The story shear-interstory drift relation obeys the elastic  
 315 perfectly-plastic rule.

316 El Centro NS component during the Imperial Valley earthquake (1940) and Taft EW component  
 317 during the Kern County earthquake (1952) are employed as representatives of ground motions of  
 318 random nature. In addition, Rinaldi station fault-normal component during the Northridge earthquake

319 (1994) and Kobe University NS component during the Hyogoken-nanbu earthquake (1995) are  
 320 employed as representatives of ground motions of pulse type. PGV (peak ground velocity) in the IDA  
 321 analyses is increased from 0.2 [m/s] to 1.0 [m/s] by the increment 0.02 [m/s].

322 The sum of added damping coefficients is set to  $W_c = 20 \times 10^7$  [Ns/m] so that the fundamental-mode  
 323 damping ratio by the dampers becomes about 0.10.  $\omega^L = 0.9\omega_1$ ,  $\omega^U = 1.1\omega_4$ ,  $n_\omega = 4$ ,  $N_\omega = 1000$  are  
 324 employed for obtaining LBTF and  $\mathbf{c}_{tf}$ . The search range is set to be slightly wider than the range  
 325 between  $\omega_1$  and  $\omega_4$  because the peaks of the transfer function amplitudes do not always coincide  
 326 with the undamped natural frequencies. The initial damper design for the search of  
 327  $\mathbf{c}_{opt}(\omega_1), \dots, \mathbf{c}_{opt}(\omega_4)$  is set to  $(c_1 \cdots c_{12})^T = (W_c / 12)\mathbf{1}$  [Ns/m].  $\mathbf{c}_{opt}(\omega_1)$  is employed as the initial  
 328 design for the search of  $\mathbf{c}_{tf}$ .

329 Figures 5, 6 show the distributions of added damping coefficients of  $\mathbf{c}_{opt}(\omega_1), \mathbf{c}_{tf}$ , the normalized  
 330 sum of the transfer function amplitudes of the interstory velocities and normalized LBTFs. The  
 331 transfer function amplitudes of the interstory velocities are plotted for visibility in place of those of  
 332 the interstory drifts. In addition, the transfer function amplitudes and LBTFs are normalized so that  
 333 the maximum value of LBTFs becomes one. It can be observed that the added dampers are placed to  
 334 the specified stories for  $\mathbf{c}_{opt}(\omega_1)$ , and the added dampers are placed to relatively many stories for  $\mathbf{c}_{tf}$ .  
 335 Moreover, the transfer function amplitudes of  $\mathbf{c}_{opt}(\omega_1)$  are away from LBTF near the higher-mode  
 336 natural frequencies. However, the transfer function amplitudes are close to LBTF near  $\omega_1$ . On the  
 337 other hand, the transfer function amplitudes of  $\mathbf{c}_{tf}$  are close to LBTF for a broader frequency range.

338 Figures 7-10 present the results of the IDA analyses. The distributions of the maximum interstory  
 339 drifts and the distributions of the maximum floor accelerations are plotted for PGV = 0.2, 0.4, ..., 1.0  
 340 [m/s]. It can be observed that the models with  $\mathbf{c}_{opt}(\omega_1)$  exhibit a large deformation concentration to  
 341 specific stories for large PGVs although  $\mathbf{c}_{opt}(\omega_1)$  effectively reduces the elastic deformation  
 342 responses. This tendency is seen clearly in the cases of the pulse type ground motions. On the other  
 343 hand,  $\mathbf{c}_{tf}$  effectively reduces both the elastic and elastic-plastic deformation responses. Moreover,  
 344  $\mathbf{c}_{tf}$  effectively reduces the floor acceleration responses although  $\mathbf{c}_{opt}(\omega_1)$  does not. In the cases of  
 345  $\mathbf{c}_{opt}(\omega_1)$ , the floor acceleration responses become large because of the occurrence of the high  
 346 frequency vibration components due to the elastic higher-mode responses and the inelastic responses.

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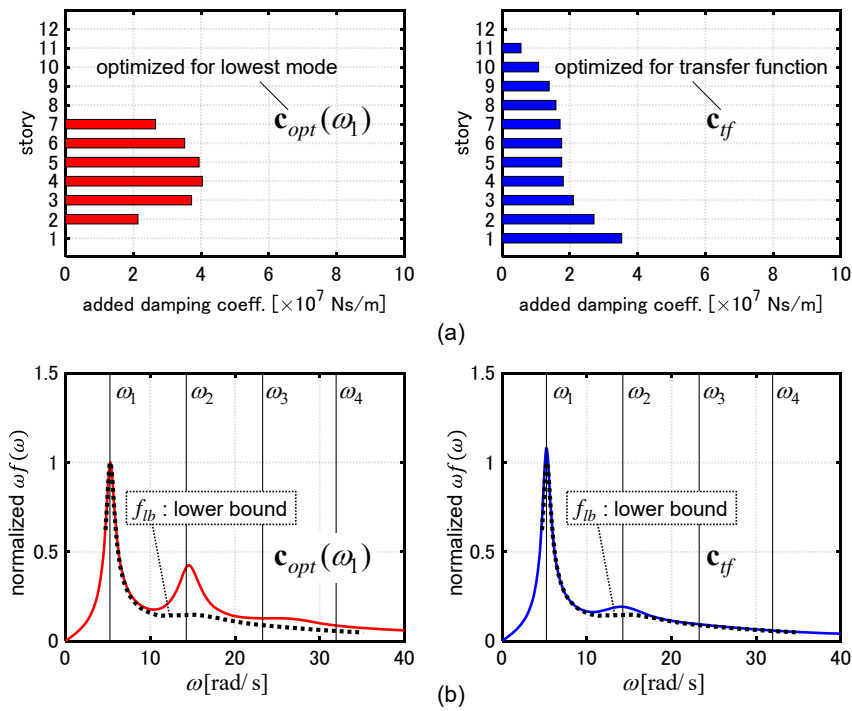


Fig. 5 Comparison of  $\mathbf{c}_{opt}(\omega_1), \mathbf{c}_{tf}$  (Model 1), (a) distributions of added damping coefficients, (b) normalized sum of transfer function amplitudes of interstory velocities.

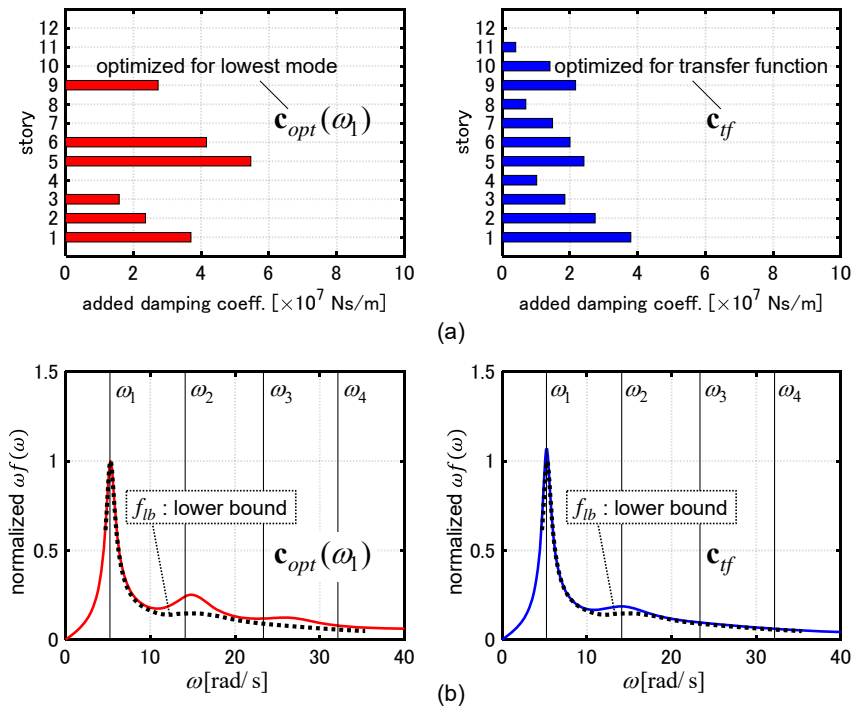


Fig. 6 Comparison of  $\mathbf{c}_{opt}(\omega_1), \mathbf{c}_{tf}$  (Model 2), (a) distributions of added damping coefficients, (b) normalized sum of transfer function amplitudes of interstory velocities.

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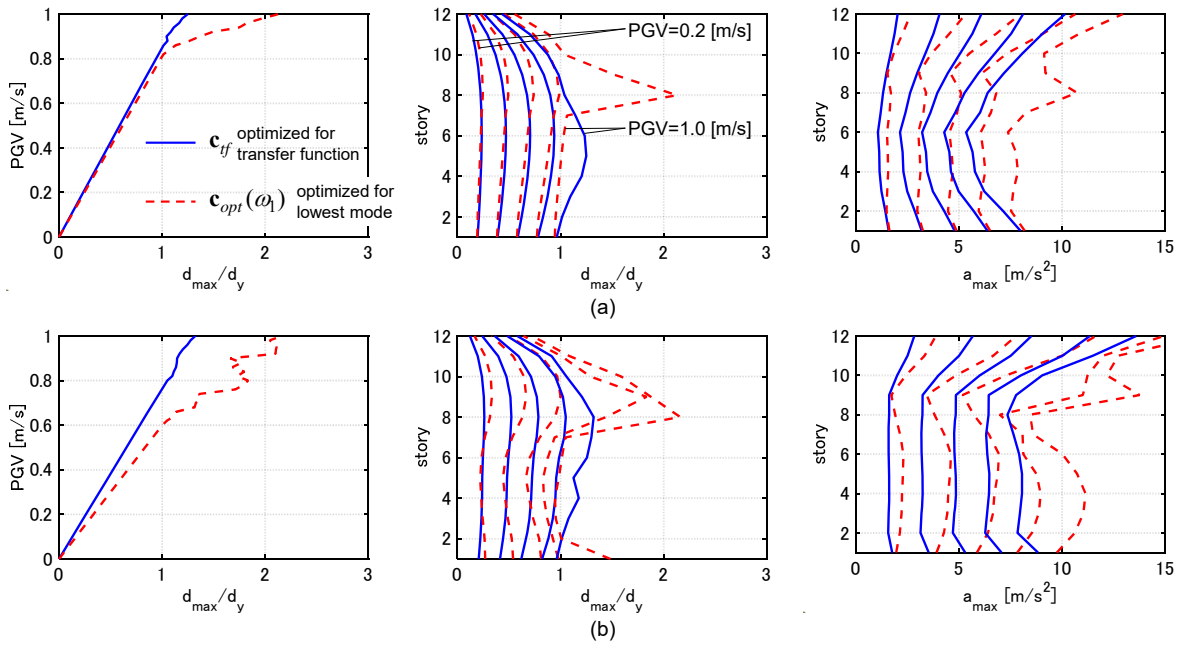


Fig. 7 IDA curves, distributions of maximum interstory drifts and distributions of maximum floor accelerations (Model 1), (a) El Centro NS component, (b) Taft EW component

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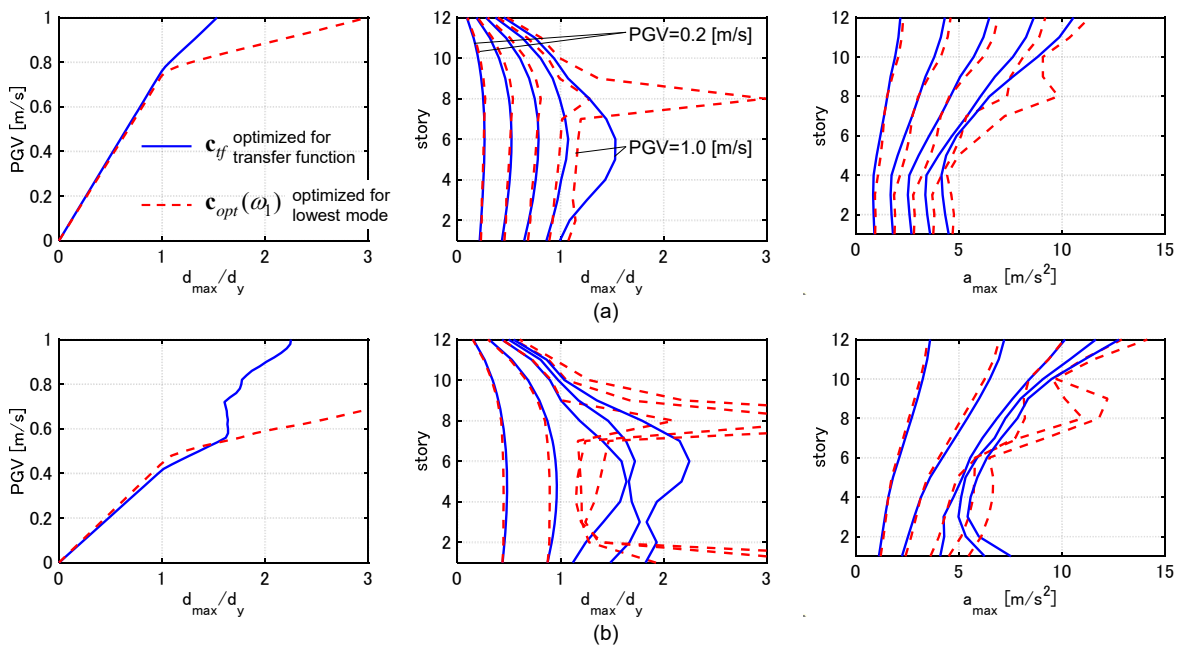


Fig. 8 IDA curves, distributions of maximum interstory drifts and distributions of maximum floor accelerations (Model 1), (a) Rinaldi Sta. FN component, (b) Kobe Univ. NS component.

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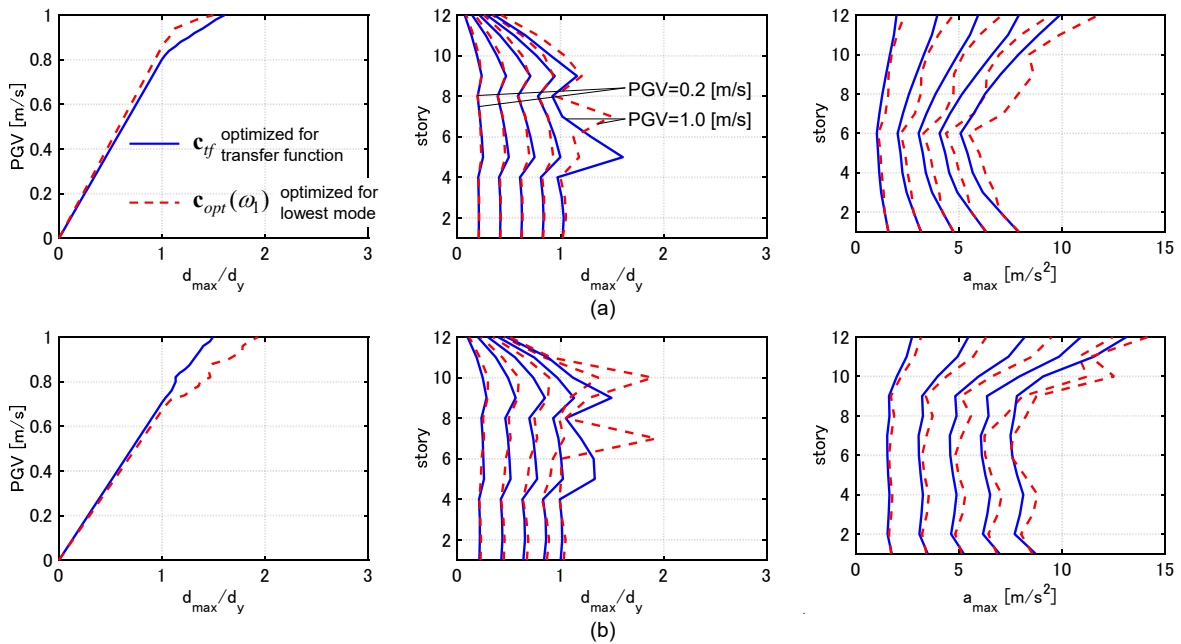


Fig. 9 IDA curves, distributions of maximum interstory drifts and distributions of maximum floor accelerations (Model 2), (a) EI Centro NS component, (b) Taft EW component

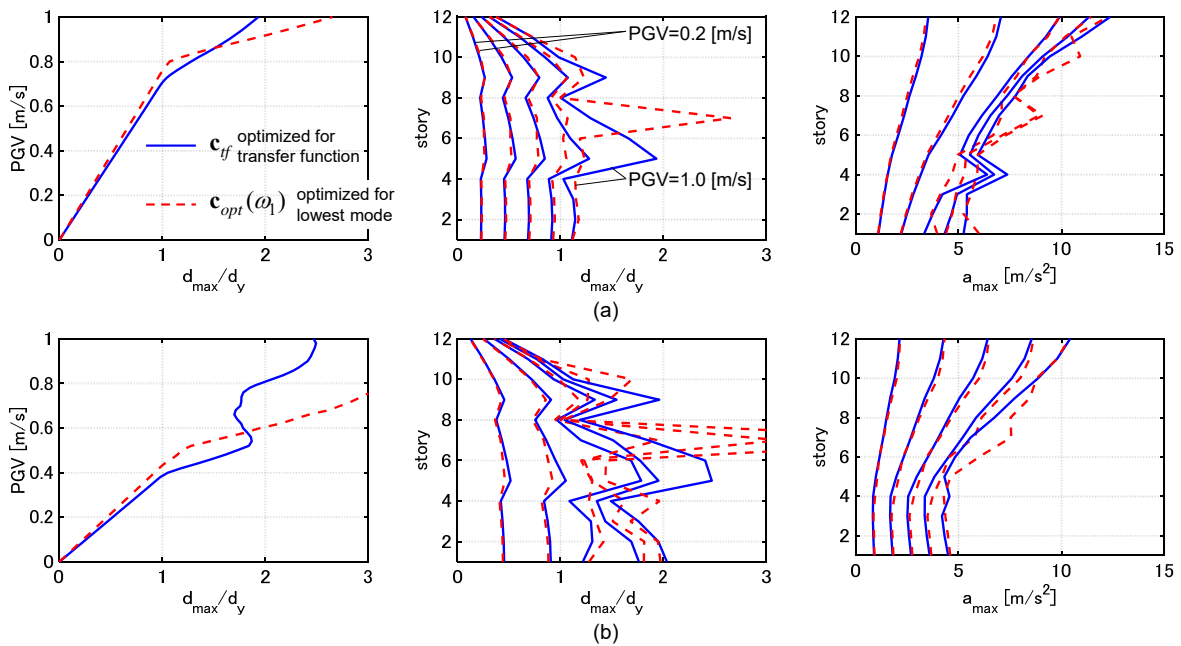


Fig. 10 IDA curves, distributions of maximum interstory drifts and distributions of maximum floor accelerations (Model 2), (a) Rinaldi Sta. FN component, (b) Kobe Univ. NS component.

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#### 380 4 Numerical examples for moment resisting frame

381 In this section,  $\mathbf{c}_{opt}(\omega_1)$  and  $\mathbf{c}_{tf}$  for a moment-resisting frame are compared through the transfer  
 382 functions and the IDA analyses. Consider a 10-story 3-bay steel moment-resisting frame. The  
 383 common story height is 4 [m], and the common span length is 7 [m]. All the floor masses are  
 384  $100 \times 10^3$  [kg]. To consider the vertical inertial forces,  $(100/6) \times 10^3$  [kg] is allocated to the top nodes  
 385 of the corner columns, and  $(100/3) \times 10^3$  [kg] is allocated to the top nodes of the interior columns.  
 386 W21 $\times$ 201 and W21 $\times$ 182 are employed as the sections of the columns in the 1-5<sup>th</sup> stories and those in  
 387 the 6-10<sup>th</sup> stories. W33 $\times$ 130 and W30 $\times$ 99 are employed as the sections of the beams in the 1-5<sup>th</sup>  
 388 stories and those in the 6-10<sup>th</sup> stories. The yield stress of the beams is 240 [N/mm<sup>2</sup>] and that of the  
 389 columns is 320 [N/mm<sup>2</sup>]. The columns are designed so as to have the large values of the plastic  
 390 moment compared with those of the beams. The column bases in the 1<sup>st</sup> story are fixed. Young's  
 391 modulus is set to  $2.05 \times 10^5$  [N/mm<sup>2</sup>]. The undamped fundamental natural period is 1.29 [s], and the  
 392 structural damping ratio is 0.02 (stiffness proportional type).

393 The linear viscous dampers with the K-type supporting braces are treated and the dampers can be  
 394 installed at all the bays in all stories. The sum of added damping coefficients has been set so that the  
 395 fundamental-mode damping ratio by the dampers becomes about 0.10. It is noted that the dampers  
 396 are allocated symmetrically.

397 The structural analysis software OpenSees is used to conduct the time-history response analysis for  
 398 the elastic-plastic frame<sup>38</sup>. The P-delta effect of the columns and the corotational formulation of the  
 399 beams are taken into account as the geometric nonlinearity. The material Steel01 with the strain  
 400 hardening ratio 0.01 is applied to all the beams and the columns. The flanges of the H-shaped cross  
 401 sections are modeled by 6 $\times$ 1 fibers, and the webs are also modeled by 6 $\times$ 1 fibers. All the ground  
 402 motions adopted in Section 3 are used again.

403 The parameters in the optimization algorithms are the same as those for the shear-mass systems. The  
 404 following 3-type damper placements are employed as initial designs for the search of  
 405  $\mathbf{c}_{opt}(\omega_1), \dots, \mathbf{c}_{opt}(\omega_4)$ : (i) uniform placement along height only in the center bay, (ii) uniform  
 406 placement along height only in the side bays (no damper at the center bay), (iii) uniform placement  
 407 along height in all the bays. As a result, the finally obtained designs have been the same for each  
 408 natural frequency.  $\mathbf{c}_{opt}(\omega_1)$  is employed as an initial design for the search of  $\mathbf{c}_{tf}$  here again.

409 Figure 11 shows the distributions of the added damping coefficients of  $\mathbf{c}_{opt}(\omega_1), \mathbf{c}_{tf}$ , the normalized  
 410 sum of the transfer function amplitudes of the interstory velocities and normalized LBTF. It can be  
 411 observed that the added damping of  $\mathbf{c}_{opt}(\omega_1)$  concentrates to the 2-4, 6, 7th stories, and the added  
 412 dampers are placed to relatively many stories for  $\mathbf{c}_{tf}$ . Since the member sections switch to the  
 413 smaller ones beyond the 6<sup>th</sup> story and the column bases in the 1<sup>st</sup> story are fixed, the stiffnesses of  
 414 those stories are relatively large. As a result, the dampers are not allocated to those stories in the case  
 415 of  $\mathbf{c}_{opt}(\omega_1)$ , and the dampers in those stories are relatively small in the case of  $\mathbf{c}_{tf}$ . It is noted that all  
 416 the dampers in each floor are installed into only the center bay because the vertical deformations of  
 417 the interior columns are smaller than those of the corner columns. It is pointed out that all the  
 418 dampers are not always allocated to the center bay in the cases of  $\mathbf{c}_{opt}(\omega_2), \mathbf{c}_{opt}(\omega_3), \mathbf{c}_{opt}(\omega_4)$  (see

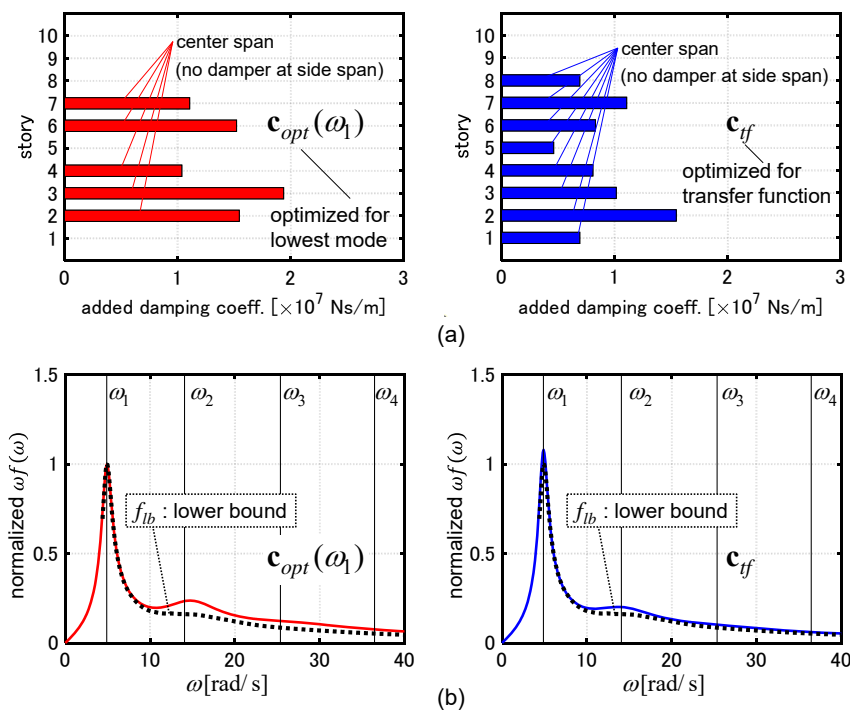


419 Appendix). It can also be observed from Figure 11 that the transfer function amplitudes of  $\mathbf{c}_{opt}(\omega_1)$   
 420 are away from LBTF near the higher-mode natural frequencies although the transfer function  
 421 amplitudes are close to LBTF near  $\omega_1$ . On the other hand, the transfer function amplitudes of  $\mathbf{c}_{tf}$  are  
 422 close to LBTF for a broader frequency range.

423 Figures 12, 13 present the results of the IDA analyses. It can be observed that both of  $\mathbf{c}_{opt}(\omega_1)$  and  
 424  $\mathbf{c}_{tf}$  effectively reduce the deformation responses under El Centro NS component, Rinaldi Sta. FN  
 425 component and Kobe Univ. NS component. This results from the increase of the effectiveness of  
 426  $\mathbf{c}_{opt}(\omega_1)$  for the elastic-plastic responses. The nonlinearity of the story shear-interstory drift relation  
 427 of the moment resisting frame is relatively small since the plastic hinges are not formed  
 428 simultaneously in all the beams on the same floor. It leads to less amplification of the higher-mode  
 429 effect due to the elastic-plastic responses than that in the cases of the shear-mass systems whose story  
 430 shear-interstory drift relation obeys the elastic perfectly-plastic rule. In the case of Taft EW  
 431 component,  $\mathbf{c}_{opt}(\omega_1)$  does not effectively reduce the deformation responses in the upper stories.  
 432 Moreover,  $\mathbf{c}_{tf}$  reduces the floor acceleration responses especially under the ground motions of  
 433 random nature more effectively than  $\mathbf{c}_{opt}(\omega_1)$ . These result from the ineffectiveness of  $\mathbf{c}_{opt}(\omega_1)$  for  
 434 the higher modes.

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439 Fig. 11 Comparison of  $\mathbf{c}_{opt}(\omega_1), \mathbf{c}_{tf}$  (moment-resisting frame), (a) distributions of added damping  
 440 coefficients, (b) normalized sum of transfer function amplitudes of interstory velocities.

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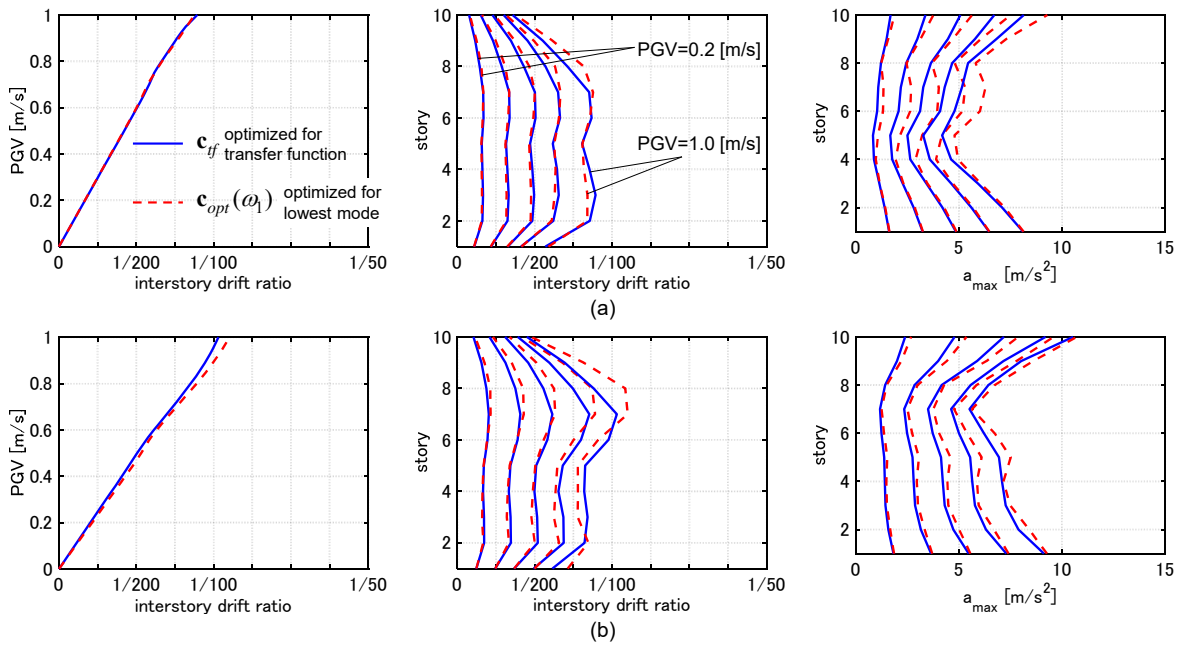


Fig. 12 IDA curves, distributions of maximum interstory drifts and distributions of maximum floor accelerations (moment-resisting frame), (a) El Centro NS component, (b) Taft EW component

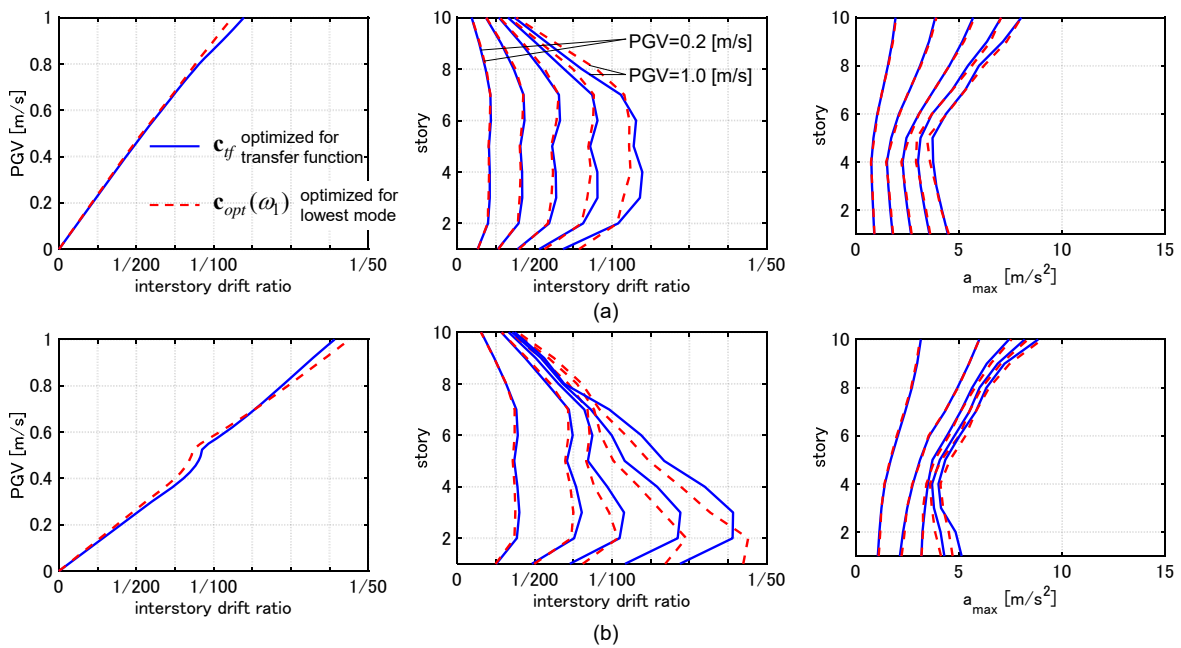


Fig. 13 IDA curves, distributions of maximum interstory drifts and distributions of maximum floor accelerations (moment-resisting frame), (a) Rinaldi Sta. FN component, (b) Kobe Univ. NS component.

## 454 5. Conclusions

455 A new concept of ‘lower-bound transfer function (LBTF)’ and a new frequency-domain optimal  
456 damper design method were presented. The main conclusions can be summarized as follows.

457 (1) A new concept of LBTF was proposed for visually capturing the effectiveness of damper design  
458 for each mode. LBTF expresses an ideal performance of response control in the frequency domain  
459 under the constant sum of added damping coefficients (or the total cost of dampers). When a  
460 damper design is effective for multi modes, the transfer function is plotted near the LBTF for a  
461 broader frequency range. On the contrary, when a design is not effective for some specified modes,  
462 the amplitudes of the transfer function is plotted away from the LBTF near the corresponding  
463 natural frequencies.

464 (2) An efficient generation method of LBTFs was presented. In the proposed method, the distribution  
465 of added damping coefficients is continuously changed so that the optimality criteria are always  
466 satisfied.

467 (3) An optimization problem was formulated to obtain designs with multi-modal adaptability and its  
468 solution algorithm was presented. It does not require much computational load to solve the problem  
469 since the optimization is conducted in the frequency domain and the first-order or second-order  
470 sensitivities of the objective function can be derived analytically.

471 (4) The proposed design  $\mathbf{c}_{ff}$  for the optimized transfer function and the fundamental mode optimal  
472 damper placement  $\mathbf{c}_{opt}(\omega_1)$  for shear-mass systems and moment-resisting frames were compared  
473 through the transfer functions and the IDA analyses. It was demonstrated that  $\mathbf{c}_{opt}(\omega_1)$  is effective  
474 for the fundamental natural mode but not effective for the higher modes. In addition, models with  
475  $\mathbf{c}_{opt}(\omega_1)$  may exhibit large deformation concentration in specific stories for large PGV although  
476  $\mathbf{c}_{opt}(\omega_1)$  effectively reduces the elastic deformation responses. On the other hand,  $\mathbf{c}_{ff}$  is effective  
477 for multi modes, and effectively reduces the floor acceleration responses and the elastic and elastic-  
478 plastic deformation responses.

479 (5) In the case of a moment-resisting frame, the amplification of the higher-mode effect due to the  
480 elastic-plastic responses is smaller than that in shear-mass systems whose story shear-interstory  
481 drift relation obeys the elastic perfectly-plastic rule. It leads to the increase of the effectiveness of  
482  $\mathbf{c}_{opt}(\omega_1)$  for the elastic-plastic deformation responses. Both  $\mathbf{c}_{opt}(\omega_1)$  and  $\mathbf{c}_{ff}$  can effectively  
483 reduce the elastic and elastic-plastic deformation responses. However,  $\mathbf{c}_{opt}(\omega_1)$  is not effective for  
484 the higher modes as in the case of shear-mass systems. Therefore,  $\mathbf{c}_{ff}$  reduces the floor acceleration  
485 responses more effectively than  $\mathbf{c}_{opt}(\omega_1)$ .

486

## 487 6. Acknowledgement

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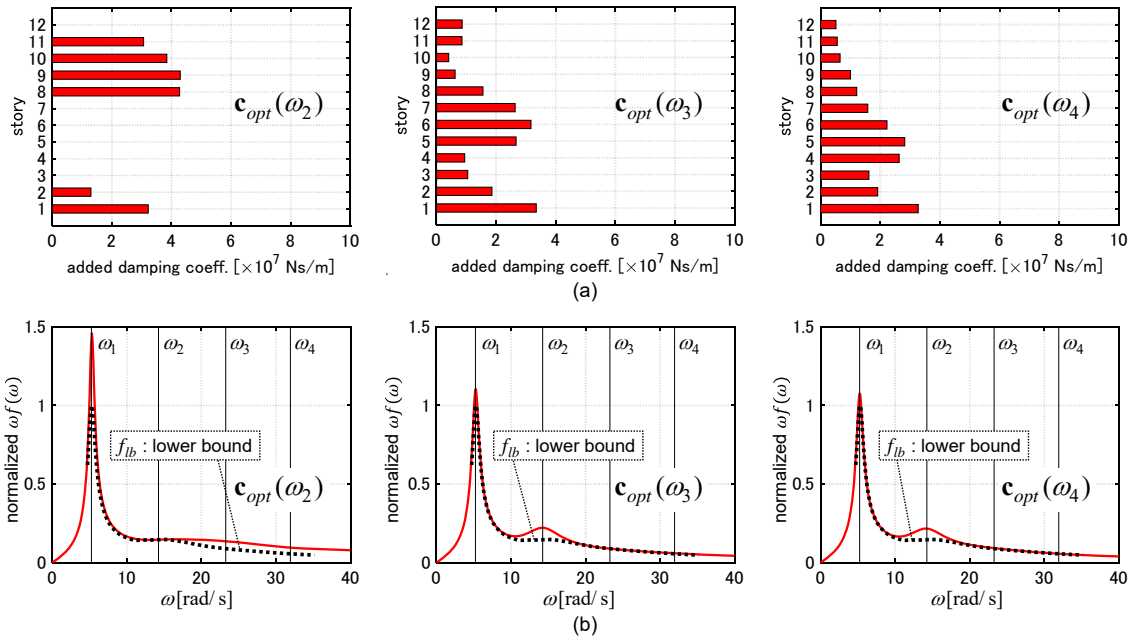
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## 583 Appendix

584 Figures A1, A2 illustrates the distributions of the added damping coefficients of  
585  $\mathbf{c}_{opt}(\omega_2), \mathbf{c}_{opt}(\omega_3), \mathbf{c}_{opt}(\omega_4)$  and the corresponding normalized transfer function amplitudes for Model  
586 1, 2 (shear-mass system). Normalized LBTFs are also plotted. Figure A3 shows those for the  
587 moment-resisting frame. It can be observed that the transfer function amplitudes are close to LBTFs  
588 near the corresponding natural circular frequencies. Unlike the case of  $\mathbf{c}_{opt}(\omega_1)$ , the dampers are  
589 allocated into not only the center bay but also the side bays for the moment-resisting frame. In other  
590 words, the vertical displacements of the nodes (outer nodes) do not always decrease the effectiveness  
591 of the dampers in the cases of the higher modes. The allocation of the dampers into the side bays may  
592 be more effective than the allocation into the center bay due to the relation between the directions of  
593 the lateral displacements and that of the vertical displacements of the nodes.

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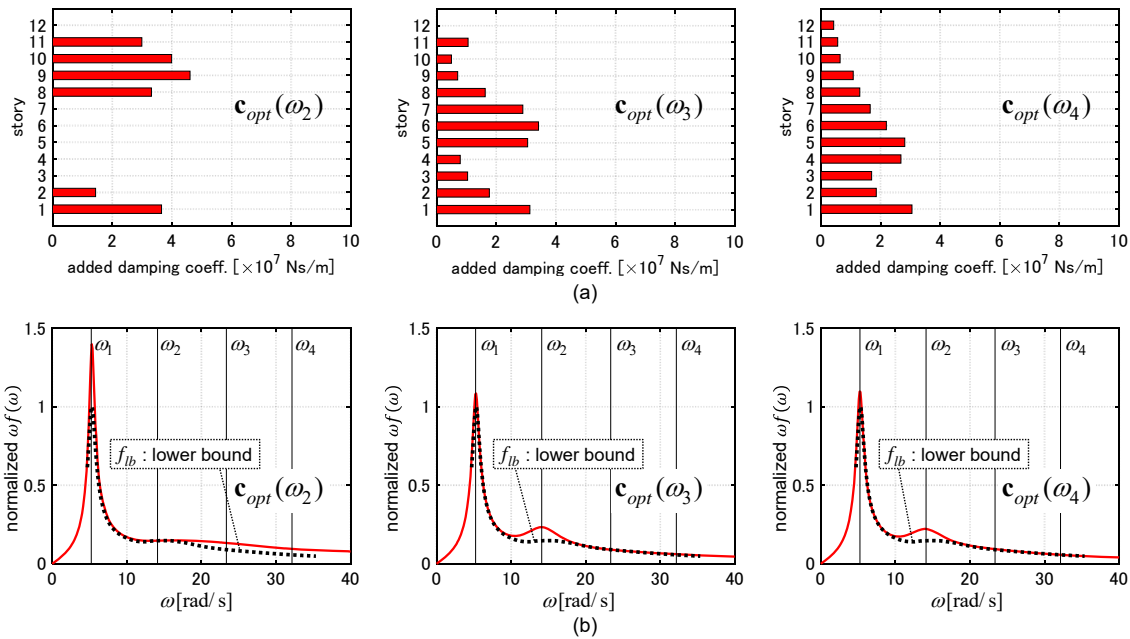
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Fig. A1 Comparison of  $c_{opt}(\omega_2), c_{opt}(\omega_3), c_{opt}(\omega_4)$  (Model 1), (a) distributions of added damping coefficients, (b) normalized sum of transfer function amplitudes of interstory velocities.



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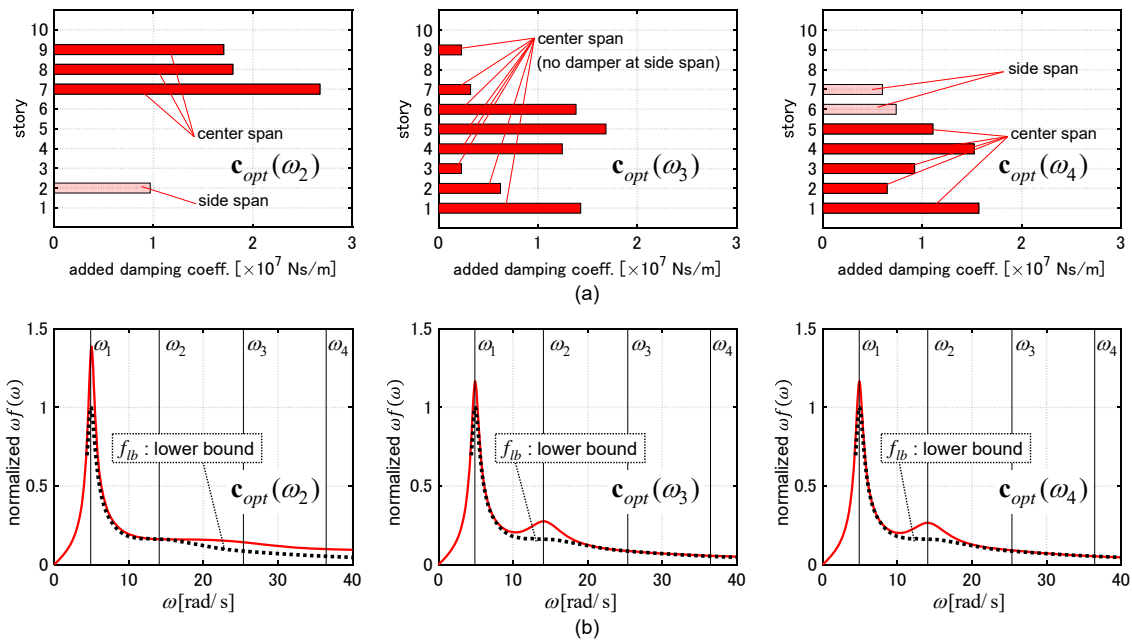
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Fig. A2 Comparison of  $c_{opt}(\omega_2), c_{opt}(\omega_3), c_{opt}(\omega_4)$  (Model 2), (a) distributions of added damping coefficients, (b) normalized sum of transfer function amplitudes of interstory velocities.



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Fig. A3 Comparison of  $\mathbf{c}_{opt}(\omega_2), \mathbf{c}_{opt}(\omega_3), \mathbf{c}_{opt}(\omega_4)$  (moment resisting frame), (a) distributions of added damping coefficients, (b) normalized sum of transfer function amplitudes of interstory velocities.