

Ultrasound therapy of injury site modulates gene and protein expressions in the dorsal root ganglion in a sciatic nerve crush injury rat model

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1 **Abstract**

2 This study aimed to verify the effects of ultrasound on dorsal root ganglion (DRG)
3 neurons at the injury site in a rat model of sciatic nerve crush injury. We evaluated the
4 mRNA expression of neurotrophic and pro-inflammatory factors by quantitative reverse-
5 transcription polymerase chain reaction 7 and 14 days post-injury. We also evaluated the
6 protein levels of brain-derived neurotrophic factor (BDNF) at 7 and 14 days post-injury.
7 Axon regeneration and motor function analyses were performed 21 days after injury to
8 confirm the facilitative effect of ultrasound on nerve regeneration. In the ultrasound
9 group, *BDNF* and interleukin-6 mRNA expressions of the DRG were significantly reduced
10 seven days post-injury. Compared to the sham group, the BDNF protein expression of
11 the DRG in the ultrasound group remained at a higher level 14 days post-injury. Motor
12 function, myelinated fiber density, and myelin sheath thickness in the ultrasound group
13 were significantly higher than the sham group 21 days post-injury. These results indicate
14 that ultrasound therapy at the injury site promotes nerve regeneration and modulates
15 gene and protein expression in the DRG of a rat model of a sciatic nerve crush injury.

16
17 **Keywords:** ultrasound therapy, dorsal root ganglion, peripheral nerve injury,
18 neurotrophic factor, pro-inflammatory factor, nerve regeneration, gene expression,
19 protein expression, motor function

20

21 **Introduction**

22 Peripheral nerve injury (PNI) can cause motor and sensory dysfunction (Yang et al. 2011)
23 as well as neuropathic pain that may last a lifetime (Deumens et al. 2010). These factors
24 seriously affect patients' daily lives and work.

25 Crush injury, the main cause of PNI (Taylor et al. 2008), usually leads to axonotmesis,
26 in which the related neuronal axons and myelin sheaths are damaged but the
27 surrounding endoneurium and other supporting structures are partially or completely
28 intact (Seddon 1943; Robinson 2000). After a nerve crush injury, the injured nerve
29 undergoes Wallerian degeneration (Gaudet et al. 2011; Gordon 2020), and injured axons
30 trigger complex multicellular responses (DeFrancesco-Lisowitz et al. 2015). With the
31 participation of Schwann cells and macrophages, substances related to axonal
32 regeneration, including growth factors and cytokines, are regulated (Martini et al. 2008;
33 Gaudet et al. 2011). The distal stump is prepared to regenerate axons, and reactions for
34 axon elongation occur at the proximal stump after growth cone formation (Gaudet et al.
35 2011). However, nerve repair takes significant time (Scheib and Höke 2013), which may
36 lead to long-term motor dysfunction. Therefore, effective treatments that promote
37 peripheral nerve regeneration and motor function recovery are required.

38 In recent years, several treatments have been developed to promote nerve
39 regeneration following PNI, such as invasive electrical stimulation and
40 photobiomodulation with laser therapy, which has been proven to promote axonal
41 regeneration after PNI (Modrak et al. 2020). However, owing to the requirement for

42 invasive procedures or lack of standardization in treatment, the clinical use of
43 implantable electrical devices and photobiomodulation with laser therapy remains
44 difficult. Therefore, there is an urgent need to develop a standardized, non-invasive
45 treatment to promote peripheral nerve regeneration after PNI.

46 Ultrasound therapy is a non-invasive intervention for soft tissue and bone fractures
47 (ter Haar 2007). Compared with invasive electrical stimulation, ultrasound therapy does
48 not cause additional problems. In our previous study, the optimal standardized
49 ultrasound procedure for the treatment of PNI was identified (Ito et al. 2020), and its
50 ability to promote axon regeneration after PNI has also been confirmed in animal studies
51 (Chen et al. 2010; Ito et al. 2020; Wang et al. 2021). Thus far, ultrasound therapy of the
52 injured site can promote neurotrophic factor expression in the injured site and dorsal
53 root ganglion (DRG) (Chen et al. 2010; Ni et al. 2017) as well as the anti-inflammatory
54 effects (Ito et al. 2020) of Schwann cells and other cell types at the injured site in the
55 sciatic nerve crush injury model. However, the response of neuronal cell bodies to axonal
56 regeneration remains unclear.

57 A previous study showed that neuronal death occurs after PNI (West et al. 2007).
58 After PNI, the mRNA and protein expressions of brain-derived neurotrophic factor (*BDNF*)
59 in the DRG, the cell bodies of axons, are upregulated in response to neuronal injury
60 (Sanna et al. 2017; Shen et al. 2020). Moreover, endogenous BDNF protein blockade
61 suppresses the enhanced neurite growth induced by sciatic nerve injury in the DRG
62 (Song et al. 2008). This suggests that the increased BDNF levels in the DRG after PNI may

63 play a key role in nerve regeneration. Additionally, a report showed that ultrasound
64 therapy after sciatic nerve crush injury upregulated the mRNA expression of *BDNF* in the
65 DRG (Ni et al. 2017). Therefore, we hypothesized that ultrasound therapy at the injury
66 site might promote axon regeneration by affecting the molecular responses of neuronal
67 cell bodies in the DRG.

68 To further explore the mechanism by which ultrasound promotes nerve regeneration
69 and advances the clinical application of therapeutic ultrasound, it is necessary to verify
70 the effects of ultrasound therapy on DRG neurons after PNI. This study aimed to verify
71 the effects of ultrasound on DRG neurons in a rat model of sciatic nerve crush injury.

72

73 **Materials and Methods**

74 **Animals**

75 The study design is illustrated in Figure 1. A total of seventy-eight 12-week-old male
76 Lewis rats (250–300 g each) were used in this experiment. Three rats were housed per
77 cage with sufficient food and water and a 12-hour light/dark cycle to simulate the day
78 and night cycle. Seventy-two rats were randomly assigned to the ultrasound and sham
79 groups (n = 36 each). Samples from the rats in the ultrasound and sham groups (n = 18
80 per group) underwent reverse transcription quantitative polymerase chain reaction (RT-
81 qPCR) at 7 and 14 days postoperative (n = 9 for each group at each time point).

82 Twenty rats in the ultrasound group (n = 10) and sham group (n = 10) were used for
83 immunohistochemistry and hematoxylin and eosin staining analyses at different time

84 points (7 and 14 days) postoperatively (n = 5 for each group at each time point), and the
85 remaining rats in the ultrasound group (n = 8) and sham group (n = 8) were used for
86 motor function evaluations and axon regeneration evaluation at 21 days postoperative.
87 Three rats were used as intact calibration samples for RT-qPCR and three others were
88 used as intact samples for the immunohistochemistry and hematoxylin and
89 eosin staining analysis. This study was approved by the Animal Experiment Committee
90 of Kyoto University, and all experiments were performed in accordance with the
91 guidelines of the Animal Experiment Committee of Kyoto University (approval no.
92 MedKyo21081).

93

94 Surgery

95 A mixed anesthetic (0.15 mg/kg medetomidine, 2 mg/kg midazolam, 2.5 mg/kg
96 butorphanol) was injected intraperitoneally to anesthetize the rats. We used a rat sciatic
97 nerve crush injury model in this study according to a previously reported protocol (Wang
98 et al. 2018). The left sciatic nerve was exposed via a longitudinal, lateral incision. After
99 the nerve was separated from the surrounding tissue, a 2-mm-long section of the nerve
100 was crushed for 10 s using a needle holder (no. 12501-13; Fine Science Tools Inc., North
101 Vancouver, BC, Canada) at the site below the gluteal tuberosity. The proximal end of the
102 injury site was marked with a 9-0 nylon epineural stitch (T06A09N20-25; Bear Medic
103 Corp., Tokyo, Japan), and the muscle and skin were closed with 4-0 nylon sutures
104 (S15G04N-45; Bear Medic Corp.).

105

106 **Ultrasound protocol**

107 As previously reported (Ito et al. 2020; Wang et al. 2021; Kawai et al. 2022), ultrasound
108 irradiation was performed using an ultrasonic treatment apparatus (UST-770; ITO Co.,
109 LTD, Japan). The coupling gel was applied to the skin above the injury site, onto which
110 the ultrasound transducer (effective radiation area, 0.9 mm²; beam non-uniformity, 2.9)
111 was placed (Figure. 1B). Our previous studies have shown that ultrasound therapy five
112 or more times per week, for 5 min per day, starting the day after the sciatic nerve crush
113 injury, can effectively promote nerve regeneration (Wang et al. 2021; Kawai et al. 2022).
114 Our previous study also demonstrated that 140 mW/cm² is the optimal ultrasound
115 intensity to promote nerve regeneration after a sciatic nerve crush injury (Ito et al. 2020).
116 Based on the above studies, we determined the treatment protocol and ultrasound
117 parameters used in this experiment: 1-MHz acoustic frequency, 1-kHz repetition
118 frequency, 140 mW/cm² spatial average temporal average intensity, and 20% duty cycle,
119 all for 5 min/day. All rats were anesthetized with 2% isoflurane and received ultrasound
120 or sham stimulation (0 mW/cm²) daily from the first postoperative day until sacrifice.

121

122 **Hematoxylin and eosin staining**

123 The rats were transcardially perfused with 200 mL of saline and 200 mL of 4%
124 paraformaldehyde, and the L4 and L5 DRG were dissected. After fixation with 4%
125 paraformaldehyde for 24 h and 30% sucrose for 48 h at 4°C, 10- μ m-thick longitudinal

126 cryostat sections were prepared. In each L4 and L5 DRG specimen, one section was taken
127 for every 15 sections, and three sections were taken from each DRG specimen for
128 hematoxylin and eosin staining. Hematoxylin and eosin staining was then performed on
129 L4 and L5 DRG sections. The DRG sections were assessed using a light microscope
130 (DM2500; Leica, Wetzlar, Germany), and equally sized ($32000 \mu\text{m}^2$) images were
131 obtained. The number of DRG neurons in each image was manually counted using
132 ImageJ software (National Institutes of Health, Bethesda, MD, USA). The number of DRG
133 neurons per rat was then calculated as the mean number of L4 DRG neurons on the three
134 images, the mean number of L5 DRG neurons on the three images, and the number of
135 neurons in the L4 and L5 DRG of each rat shown as the number of neurons per 0.1 mm^2 .

136

137 Reverse transcription quantitative polymerase chain reaction

138 The L4 and L5 DRG of each rat was dissected 7- and 14- days postoperative, and the L4
139 and L5 DRG of three intact rats were dissected as intact controls. Total RNA was extracted
140 from DRG specimens using the RNeasy Plus Universal Mini Kit (Qiagen, Valencia, CA,
141 USA), and RNA purity was determined by NanoDrop2000 (Thermo Fisher Scientific,
142 Wilmington, DE, USA). The A260/A280 ratios of all specimens were > 1.98 . Next, $1 \mu\text{g}$ of
143 total RNA was reverse-transcribed to cDNA and RT-qPCR was performed using a 7500
144 Real-Time PCR System (Applied Biosystems, Foster City, CA, USA). TaqMan gene
145 expression assays (Applied Biosystems) were used to detect nerve growth factor (NGF)
146 (assay ID: Rn01533872_m1), BDNF (assay ID: Rn02531967_s1), neurotrophin-3 (NT-3)

147 (assay ID: Rn00579280_m1), growth-associated protein 43 (GAP-43) (assay ID:
148 Rn01474579_m1), interleukin 6 (IL-6) (assay ID: Rn01410330_m1), and tumor necrosis
149 factor (TNF) (assay ID: Rn99999017_m1).

150 Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) (assay ID: Rn01775763_g1)
151 was chosen as the endogenous reference gene because of its high stability under the
152 experimental conditions. The data obtained were analyzed using the comparative
153 threshold cycle method, and the target gene expression was normalized to that of
154 GAPDH. The values of the calibration specimens (intact DRG specimens) were set to 1,
155 and the values of the specimens in the ultrasound and sham groups at each time point
156 post-injury are shown relative to those of the calibration specimens (Ito et al. 2020).

157

158 Immunohistochemistry

159 The DRG slides were washed with phosphate-buffered saline (PBS), blocked with
160 blocking buffer containing 5% goat serum (Jackson ImmunoResearch, West Grove, PA,
161 USA), and incubated at room temperature for 30 min. Next, rabbit anti-BDNF (1:200; bs-
162 4989R, Bioss Inc., Woburn, MA, USA) primary antibody was added, and the slides were
163 incubated overnight at 4°C. The slides were then washed with PBS and incubated with
164 Alexa Fluor 488 goat anti-rabbit IgG (1:200; # A11008, Thermo Fisher Scientific)
165 secondary antibody at room temperature for 2 h. Finally, after washing with PBS,
166 coverslips were placed on all slides using an aqueous mounting medium.

167 One random cross-section of each DRG was viewed using a confocal laser scanning

168 microscope (FV10i; Olympus, Tokyo, Japan). For the semiquantitative analysis, the
169 appropriate laser intensity and sensitivity were set in the negative and positive control
170 sections, and all sections were processed under the same conditions. ImageJ software
171 was used to measure the mean gray values for the statistical analysis.

172

173 **Kinematic analysis**

174 Based on our previous research (Wang et al. 2018), a 3D motion analysis system
175 consisting of a treadmill, four 120-Hz-charged coupled device cameras, and data
176 processing software (Kinema Tracer System; Kissei Comtec, Nagano, Japan) was used for
177 the kinematic analysis. After the rats were anesthetized with 2% isoflurane, colored
178 hemispheric markers were attached to bilateral landmarks on the shaved skin as follows:
179 anterior superior iliac spine, greater trochanter, knee joint, lateral malleolus, and fifth
180 metatarsophalangeal joint. Both fourth toes were marked with pink ink. After the rats
181 recovered from the anesthesia, they walked on a treadmill at a speed of 12m/min, and
182 ten consecutive steps were recorded using four motion capture cameras. Here, we
183 analyzed the ankle angle and toe angle in the toe-off phase, which is the phase where
184 the foot loses its last contact with the ground. The ankle angle is defined as the angle
185 formed by the connecting line between the knee joint and the lateral malleolus, the
186 connecting line between the lateral malleolus and the fifth metatarsophalangeal joint
187 (angle between the two red lines in Fig. 5A). The toe angle is defined as the angle formed
188 by the extension line from the lateral malleolus to the fifth metatarsophalangeal joint,

189 the connecting line between the fifth metatarsophalangeal joint and the tip of the fourth
190 toe (angle between the yellow line and the dashed line in Fig. 5A). Using data processing
191 software, the 10-step ankle angles and toe angles in the toe-off phases were measured
192 using marks on the rats, and the mean values of the ankle and toe angles were calculated
193 (Wang et al. 2018).

194

195 Axon regeneration evaluation

196 Twenty-one days after surgery, a 5-mm-long sciatic nerve specimen was dissected from
197 the epineural stitch after the animals were euthanized. The specimens were immersed
198 in 1.44% paraformaldehyde and 1% glutaraldehyde in 0.036 M phosphate buffer (pH =
199 6.8) at 4°C overnight and fixed with 1% osmium tetroxide in 0.1 M phosphate buffer for
200 120 min. Subsequently, the specimens were dehydrated using graded ethanol and
201 embedded in EPON (Luveak; Nacalai Tesque, Kyoto, Japan). Transverse sections (1- μ m-
202 thick) 5 mm distal to the injury site were prepared and stained with toluidine blue
203 solution, and the cross-sections were viewed under a light microscope (Eclipse 80i; Nikon,
204 Tokyo, Japan). According to a previous study (Ito et al. 2020), myelinated fiber density
205 was counted in random areas of 90,000 mm^2 of one cross-section per rat using ImageJ,
206 accounting for at least 30% of the total area of the image. The results are expressed as
207 myelinated fiber density (fibers/ mm^2).

208 Ultrathin transverse sections of the same tissue stained with uranyl acetate and lead
209 citrate were viewed using a transmission electron microscope (Model H-7000; Hitachi

210 High-Technologies, Tokyo, Japan). According to a previous study (Wang et al. 2021), ten
211 random pictures of each cross-section were obtained at 2000× magnification, and the
212 shortest diameter of myelinated nerve fiber (a) and axon diameter (b) were measured
213 using ImageJ. The myelin sheath thickness (c) of each myelinated nerve fiber was
214 calculated using the formula $(a-b)/2$. The averages of these three parameters (a, b, and
215 c) of each cross-section were considered the mean myelinated nerve diameter, mean
216 axon diameter, and myelin sheath thickness, respectively.

217

218 Statistical analysis

219 All statistical analyses were performed using JMP Pro 15 software (SAS Institute, Cary,
220 NC, USA). Data are expressed as mean \pm standard deviation. The differences between
221 the ultrasound and sham groups were evaluated using the Student's t-test, while those
222 among the ultrasound, sham, and intact groups were evaluated using Tukey's honest
223 significant differences test. Statistical significance was set at $p < 0.05$.

224

225 Results

226 No decrease in DRG neuron count was found at 14 days post-injury

227 A representative image of the DRG neurons is shown in Figure 2A. The quantitative
228 analysis showed that the mean number of DRG neurons was not significantly different
229 between the ultrasound and sham groups on day 14 (101 ± 10.91 vs. 89 ± 8.99 ,
230 respectively; $p = 0.1035$) (Fig. 2B). There were no significant differences among the intact,

231 ultrasound, or sham groups at 14 days post-injury (Fig. 2B).

232

233 **Ultrasound decreased *BDNF* and *IL-6* mRNA expression in DRG at**
234 **seven days post-injury**

235 Compared with the intact group, *NGF*, *GAP-43*, and *IL-6* mRNA expressions in the DRG of
236 the ultrasound and sham groups were significantly upregulated at 7 and 14 days post-
237 injury (Figs. 3A, 3D, 3E), and the *BDNF* mRNA expression in the ultrasound and sham
238 groups was significantly upregulated seven days post-injury (Fig. 3B). The *BDNF* levels
239 did not differ between the calibration samples and the ultrasound or sham groups in
240 terms of gene expression at 14 days post-injury (Fig. 3B). The gene expressions of *NT-3*
241 and *TNF* at any time point were not significantly different between the groups and the
242 calibration samples (Figs. 3C, 3F).

243 Compared with the sham group, our results indicated that the *BDNF* mRNA
244 expressions of DRG in the ultrasound group were significantly downregulated at seven
245 days post-injury ($p = 0.0245$) (Fig. 3B). The *IL-6* mRNA expression of the DRG in the
246 ultrasound group were significantly suppressed compared to those in the sham group
247 seven days post-injury ($p = 0.0323$) (Fig. 3E). The gene expressions of *BDNF* and *IL-6* at
248 day 14 post-injury was not significantly different between the ultrasound and sham
249 groups (Figs. 3B, 3E).

250

251 ***BDNF* protein expression in the ultrasound group was higher in the**

252 DRG 14 days post-injury

253 Representative images of DRG BDNF protein expression in the ultrasound and sham
254 groups are shown in Figure 4A. No significant difference was found between the
255 ultrasound and sham groups in the mean gray value of BDNF protein expression at seven
256 days post-injury (44.54 ± 7.55 vs. 42.60 ± 4.23 , respectively; $p = 0.6335$) (Fig. 4B). At the
257 14-day time point, the mean gray value of BDNF protein expression was significantly
258 greater in the ultrasound group than in the sham group (21.44 ± 2.23 vs. 17.00 ± 2.86 ,
259 respectively; $p = 0.0257$) (Fig. 4B).

260

261 Ultrasound promoted motor function recovery

262 A representative image of the ankle and toe angles in the toe-off phase is shown in Figure
263 5A. The ankle angle is between the two red lines, and the toe angle is between the yellow
264 and dashed lines (Fig. 5A). The kinematic analysis results are shown in Figure 5B. Twenty-
265 one days post-injury, the kinematic analysis showed that the toe angle in the toe-off
266 phase was significantly larger in the ultrasound group than in the sham group ($38.24 \pm$
267 6.55° vs. $30.72 \pm 6.66^\circ$, respectively; $p = 0.0222$) (Fig. 5B). There was no significant
268 difference in the ankle angles between the ultrasound and sham groups ($94.73 \pm 7.23^\circ$
269 vs. $94.74 \pm 14.69^\circ$, respectively; $p = 0.9992$) (Fig. 5B).

270

271 Ultrasound promoted sciatic nerve regeneration

272 Representative images of nerve fibers processed with toluidine blue staining are shown

273 in Figure 6A. Quantitative analysis indicated that the myelinated nerve fiber density was
274 significantly higher in the ultrasound group than in the sham group at 21 days post-injury
275 (7111 ± 629.04 vs. 5370 ± 743.53 , respectively; $p = 0.0002$) (Fig. 6B).

276 Representative images of the transected sciatic nerve sections observed under
277 transmission electron microscopy are shown in Figure 7A. A quantitative analysis showed
278 that the myelin sheath thickness was significantly greater in the ultrasound group than
279 in the sham group at 21 days post-injury ($0.52 \mu\text{m} \pm 0.04$ vs. $0.46 \mu\text{m} \pm 0.03$, respectively;
280 $p = 0.0077$) (Fig. 7B). However, the myelinated nerve and the axon diameters did not
281 differ significantly between the ultrasound and sham groups at the 21-day time point
282 (myelinated nerve diameter: 3.18 ± 0.18 vs. 3.18 ± 0.21 respectively; $p = 0.9837$; axon
283 diameter: 2.14 ± 0.14 vs. 2.26 ± 0.17 , respectively; $p = 0.1581$) (Fig. 7B).

284

285 Discussion

286 Ultrasound therapy is a noninvasive treatment that promotes peripheral nerve
287 regeneration whose effectiveness has been proven in many studies (Ni et al. 2017; Ito et
288 al. 2020; Wang et al. 2021; Kawai et al. 2022). Although many studies have verified the
289 effects of ultrasound on injured sites, few have focused on its impact on the upstream
290 neurons. Here we investigated the effects of ultrasound on DRG neurons in a rat sciatic
291 nerve crush injury model.

292 Neurotrophic factors are secreted after PNI that can promote nerve regeneration
293 (Menorca et al. 2013; Skaper 2018). A previous study showed that the mRNA expression

294 of *BDNF* in the DRG was upregulated in response to neuronal injury (Shen et al. 2020).
295 Therefore, here we investigated the mRNA expression of neurotrophic factors in the DRG
296 to explore the effect of ultrasound on the DRG after PNI. Previous studies reported that
297 the mRNA expression of *NGF* and *BDNF* in the DRG was upregulated one day after a
298 nerve injury, *NGF* mRNA expression levels remained high after 4 and 7 days, and *BDNF*
299 mRNA expression levels returned to normal after four days (Shen et al. 2020). Consistent
300 with the change trends of neurotrophic factors in a previous study (Shen et al. 2020), we
301 found an increase in *NGF* mRNA expression 7 and 14 days post-injury in each group, and
302 the *BDNF* mRNA expression level returned to normal earlier than the *NGF* mRNA
303 expression. Additionally, in our study, *BDNF* mRNA expression in the DRG was
304 significantly lower in the ultrasound group than in the sham group at seven days post-
305 injury, but there was no significant difference between them at 14 days post-injury. In
306 contrast, in the ultrasound group, BDNF protein expression in the DRG was significantly
307 greater than that in the sham group at 14 days post-injury. Because of the BDNF
308 retrograde axonal transport mechanism (DiStefano et al. 1992; Curtis et al. 1998), we
309 speculate that this result may be due to the continuous promotion of the retrograde
310 axonal transport of BDNF in neurons and acceleration of its accumulation in the DRG
311 after a sciatic nerve crush injury. We considered that ultrasound therapy to the injured
312 site promoted nerve regeneration, while the reaction process to the injury in DRG also
313 converged at an early stage.

314 We found no intergroup differences in *NT-3* mRNA expression in the DRG of the

315 ultrasound, sham, or intact groups. This result indicates that *NT-3* expression in the DRG
316 may not be affected by sciatic nerve crush injury and may not respond to ultrasound
317 therapy. *NT-3* reportedly affects neuronal survival and differentiation (Ventimiglia R et al.
318 1995) and can prevent re-myelination (Chan JR et al. 2001). Therefore, to promote re-
319 myelination after PNI, the mRNA expression of *NT-3* in DRG may not be upregulated,
320 which is consistent with previous results of the sciatic nerve (Funakoshi H et al. 1993; Ito
321 et al. 2020).

322 We found that *BDNF* mRNA expression in the DRG was significantly lower in the
323 ultrasound group than in the sham group seven days after the sciatic nerve crush injury,
324 which is contrary to the results of Ni et al. (2017). Perhaps the model used by Ni et al.
325 (2017) was more impaired than ours, had long-term re-positive reactions continuing in
326 the DRG, and featured *BDNF* mRNA expression that continued to increase for a longer
327 period. In this study, *BDNF* mRNA expression in the DRG stabilized to normal at 14 days
328 post-injury, which may indicate that the reaction of *BDNF* mRNA in the DRG was already
329 completed.

330 Levels of *GAP-43*, a membrane protein that participates in neuronal development
331 and plasticity, were increased in DRG after PNI (Woolf et al. 1990; Sommerville et al.
332 1991). Because *GAP-43* expression is correlated with axonal growth, it is usually used as
333 a marker of neuronal regeneration (Verge et al. 1990). There is evidence that *GAP-43*
334 mRNA expression in the DRG increases after PNI (Anderson et al. 2003), consistent with
335 our results. Our study results showed no difference in *GAP-43* mRNA expression

336 between the ultrasound and sham groups at any time point post-injury, indicating that
337 ultrasound did not promote an increase in *GAP-43* mRNA expression in the DRG after
338 PNI.

339 Pro-inflammatory factors such as TNF and IL-6 are rapidly expressed in the distal
340 stump after PNI (Bosse 2012). An early-stage (within hours) increase in TNF expression
341 may control phospholipase-A2 expression and activation in Schwann cells and
342 macrophages, thereby promoting the initiation of myelin breakdown and the
343 progression of Wallerian degeneration after PNI (Ribardo et al. 2001; Gaudet et al. 2011).
344 Early IL-6 expression can increase the expression of regeneration-associated genes in
345 neurons to promote axonal growth (Cafferty et al. 2004; Cao et al. 2006). However,
346 intense inflammatory responses may not be conducive to post-PNI recovery (Tang et al.
347 2018). To clarify the effect of ultrasound on the mRNA expression of pro-inflammatory
348 factors in DRG, we investigated the mRNA expression of pro-inflammatory factors (TNF
349 and IL-6) at 7 and 14 days post-injury. We found that *IL-6* mRNA expression at 7 and 14
350 days post-injury increased by hundreds of times in each group versus that in intact rats.
351 Compared to the sham group, the *IL-6* mRNA expression of DRG in the ultrasound group
352 was significantly reduced at seven days post-injury. Our previous study also indicated
353 that ultrasound therapy suppressed *IL-6* mRNA expression of the distal stump of the
354 sciatic nerve seven days after PNI (Ito et al. 2020). These results suggest that ultrasound
355 therapy may accelerate the early inflammation after PNI. However, in the present study,
356 we did not observe any significant change in *TNF* mRNA expression in the DRG, although

357 significantly higher expression was observed in the sciatic nerves seven days after PNI in
358 our previous study (Ito et al. 2020). This result indicates that *TNF* expression in the DRG
359 was not affected by sciatic nerve crush injury and did not respond to ultrasound therapy.

360 Our previous research showed that the ankle and toe angles in the toe-off phase
361 were significantly decreased after sciatic nerve crush injury in rats and that the increases
362 in the ankle and toe angles in the toe-off phase were highly correlated with nerve
363 regeneration (Wang et al. 2018; Wang et al. 2021; Kawai et al. 2022). In this study,
364 although there was no difference in the ankle angles in the toe-off phase at 21 days post-
365 injury, the mean toe angle of the ultrasound group was significantly recovered compared
366 to that of the sham group, a finding that is consistent with our previous results (Wang et
367 al. 2021). Consistent with our previous studies (Wang et al. 2021; Kawai et al. 2022), we
368 found that the myelinated fiber density and myelin sheath thickness in the ultrasound
369 group were significantly greater than those in the sham group at 21 days post-injury.
370 These results confirm the effect of ultrasound on motor function recovery and nerve
371 regeneration after sciatic nerve crush injury in a rat model.

372 This study had several limitations. First, we analyzed mRNA expression in the DRG at
373 only 7 and 14 days post-injury. To further study the effect of ultrasound on upstream
374 dynamic changes in neurotrophic factor mRNA expression after injury, earlier time points
375 for mRNA expression experiments should be examined. We also found that ultrasound
376 therapy of the injured site affects gene and protein expressions in the DRG after PNI;
377 however, the association between these changes and the promotion of nerve

378 regeneration remains unclear. Further studies are needed to investigate the association
379 between the effect of ultrasound therapy on gene and protein expression and the
380 promotion of nerve regeneration in the PNI model. Third, unlike a previous study (West
381 et al. 2007), we found no significant reduction in DRG neuron counts post-injury, which
382 may be because our sciatic nerve injury model was insufficient to cause a significant
383 decrease in DRG neuron counts. As there was no significant cell loss in the DRG, the
384 neuroprotective effect of ultrasound therapy could not be verified in this study.
385 Therefore, other nerve injury models should be used to study the neuroprotective
386 effects of ultrasound therapy. Finally, because the sciatic nerve contains motor and
387 sensory fibers, we did not evaluate the sensory function of the sciatic nerve crush injury
388 model in this study. Further studies are needed to investigate the recovery of sensory
389 function after PNI.

390

391 **Conclusion**

392 Here we investigated the effects of ultrasound therapy on the DRG in a rat model of
393 sciatic nerve crush injury. We confirmed the effect of ultrasound therapy at the injury
394 site on nerve regeneration. We found that, after ultrasound therapy, the mRNA
395 expressions of *BDNF* and *IL-6* were reduced in the DRG on day seven and the protein
396 expression of BDNF was maintained at a higher level in the DRG on day 14. This shows
397 meaning that ultrasound therapy on the injury site can modulate the gene and protein
398 expressions in the DRG in a sciatic nerve crush injury rat model.

399

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406

407 **Conflict of Interest Statement**

408 The authors have no conflicts of interest to declare.

409

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517 **Figure Captions**

518 **Figure 1: Study design and ultrasound therapy**

519 (A) Study design describing the analytical methods. (B) A representative image of the
520 ultrasound therapy procedure.

521

522 **Figure 2: Dorsal root ganglion neurons**

523 (A) Representative image of dorsal root ganglion (DRG) neurons with hematoxylin and
524 eosin staining. Scale bar: 10 μ m. (B) Mean number of DRG neurons at 14 days post-injury.

525 All data are shown as mean \pm standard deviation (ultrasound and sham group: n = 5;
526 intact group: n = 3).

527

528 **Figure 3: Reverse transcription quantitative polymerase chain reaction**

529 Gene expressions of (A) *NGF*, (B) *BDNF*, (C) *NT-3*, (D) *GAP-43*, (E) *IL-6*, and (F) *TNF* in the
530 intact, sham, and ultrasound groups at 7 and 14 days post-injury. All data are shown as

531 mean \pm standard deviation. The mean value of the calibration samples (intact dorsal root

532 ganglion specimens, n = 3) was set to 1 (* p < 0.05 vs. sham group; # p < 0.05, ### p < 0.01

533 vs. calibration samples, n = 9).

534

535 **Figure. 4: Protein expression of brain-derived neurotrophic factor (BDNF) in dorsal**
536 **root ganglion (DRG)**

537 (A) Representative images of BDNF protein expression in the sham and ultrasound group.

538 Scale bar: 50 μm . (B) Mean gray value of DRG neurons. All data are shown as mean \pm
539 standard deviation ($*p < 0.05$, $n = 5$).

540

541 **Figure. 5: Kinematic analysis**

542 (A). Representative image of ankle angle (angle between the two red lines) and toe angle
543 (angle between the yellow line and the dashed line) in toe-off phase. (B) Joint angle in
544 the toe-off phase at 21 days post-injury. All data are shown as mean \pm standard deviation
545 ($*p < 0.05$, $n = 8$).

546

547 **Figure 6: Myelinated fiber density**

548 (A) Representative image of the sciatic nerve with toluidine blue staining. Scale bar: 100
549 μm . (B) Mean myelinated fiber density at 21 days post-injury. All data are shown as mean
550 \pm standard deviation ($**p < 0.01$, $n = 8$).

551

552 **Figure. 7: Transmission electron micrographs of the sciatic nerve**

553 (A) Representative transmission electron micrograph of a transected sciatic nerve in the
554 sham and ultrasound groups at 21 days post-injury. Scale bar: 2 μm . (B) Mean myelinated
555 nerve diameter. (C) Mean axon diameter. (D) Mean myelin sheath thickness. All data are
556 shown as mean \pm standard deviation ($**p < 0.01$, $n = 8$).

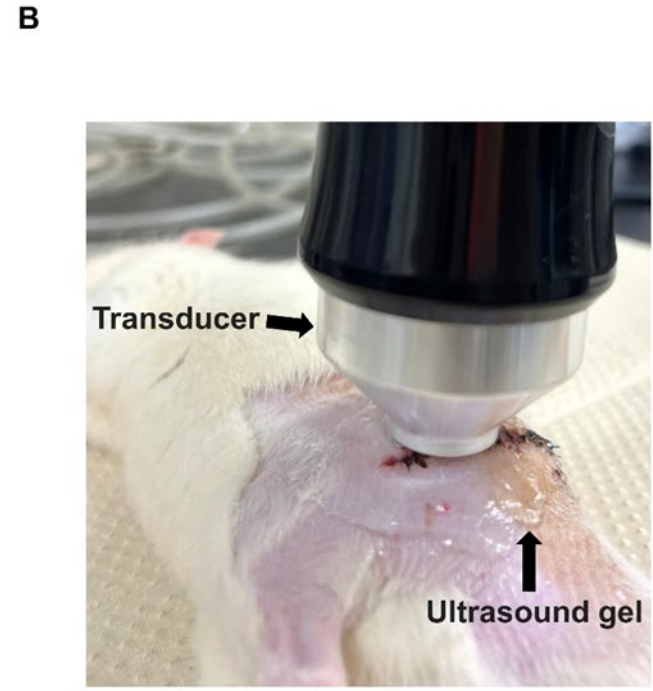
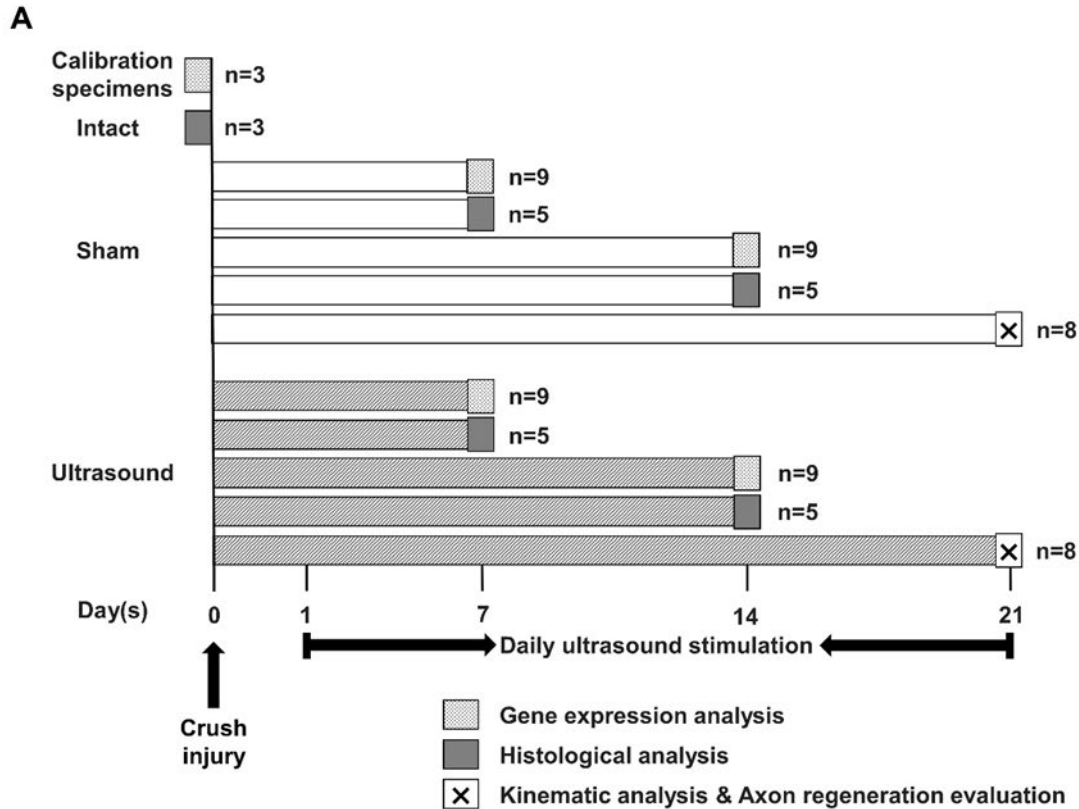
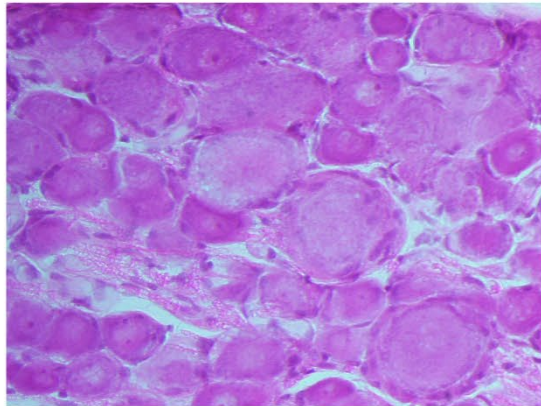


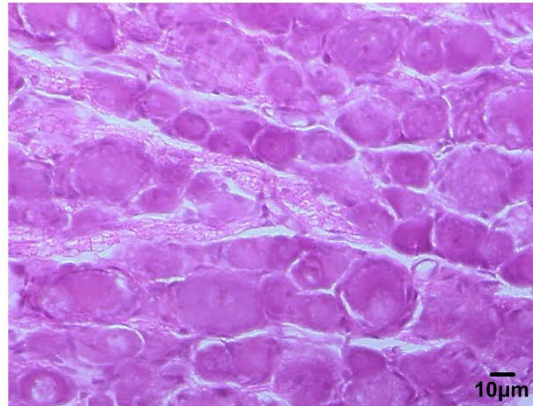
Figure 1: Study design and ultrasound therapy

A

Sham



Ultrasound



B

Number of DRG neurons

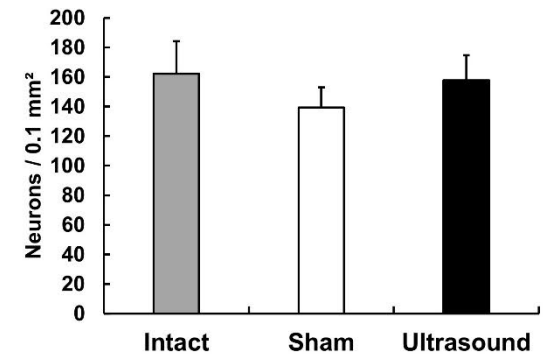


Figure 2: Dorsal root ganglion neurons

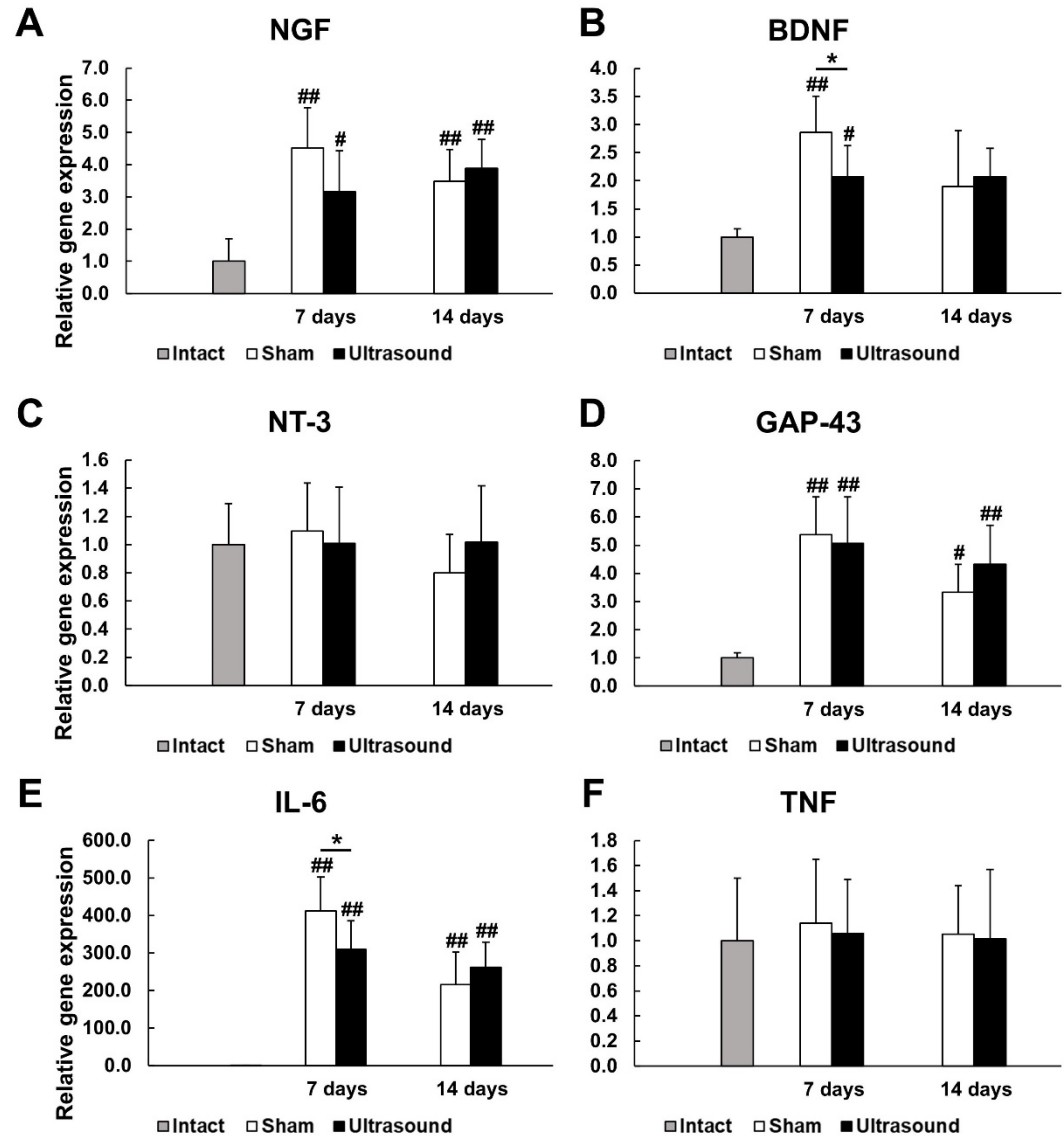


Figure 3: Reverse transcription quantitative polymerase chain reaction

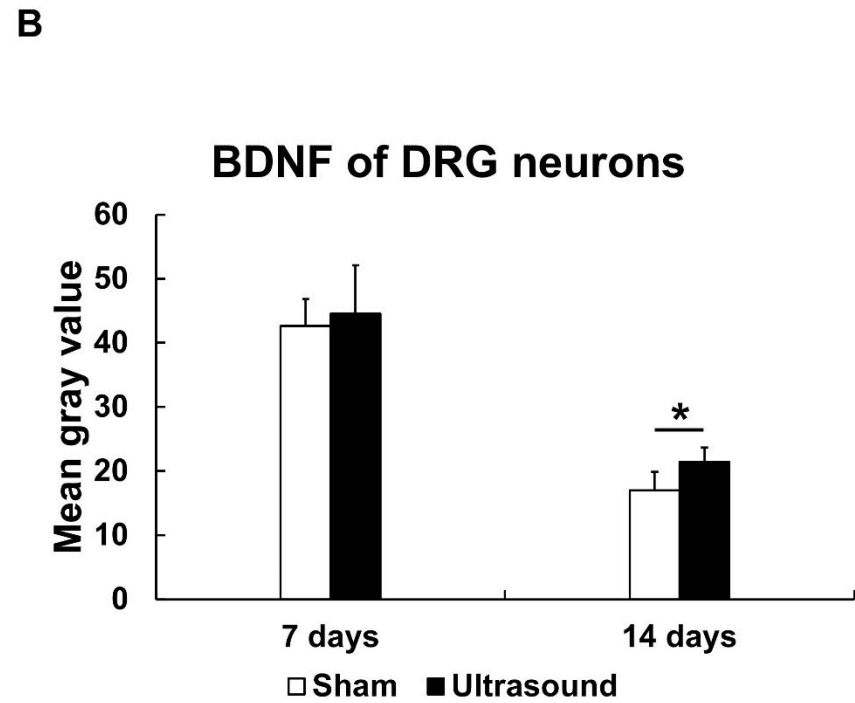
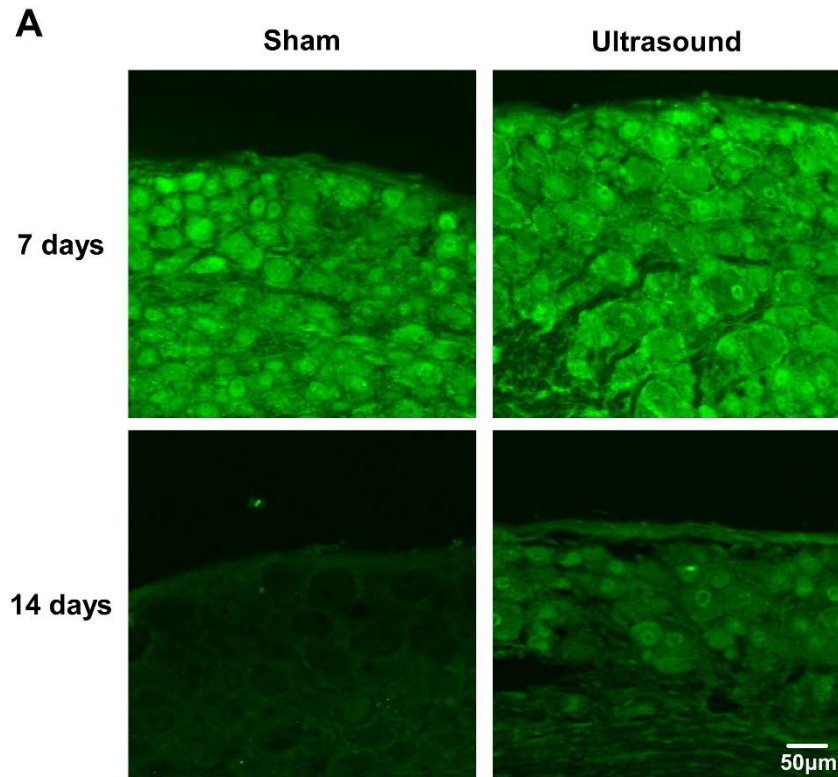
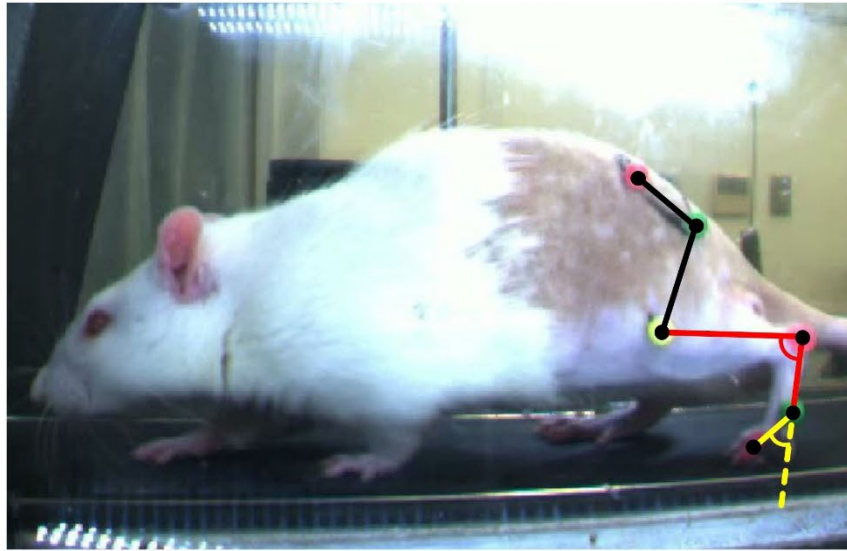


Figure. 4: Protein expression of brain-derived neurotrophic factor (BDNF) in dorsal root ganglion (DRG)

A



B

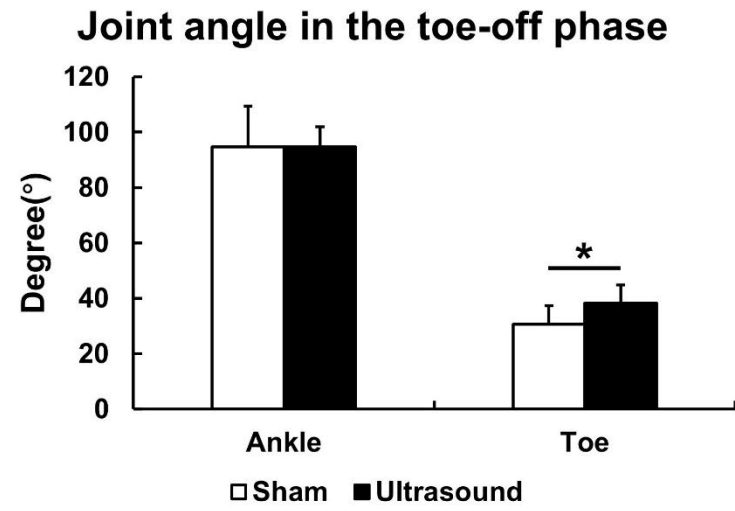
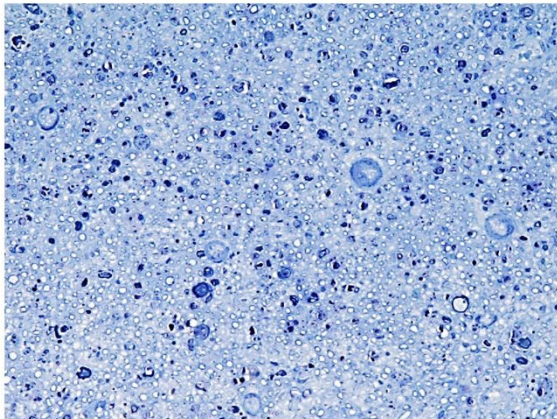


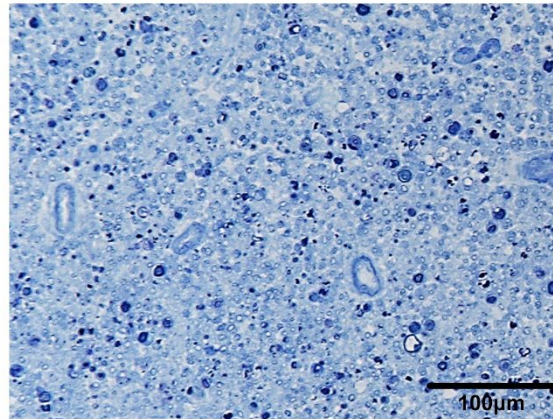
Figure. 5: Kinematic analysis

A

Sham



Ultrasound



B

Myelinated fiber density

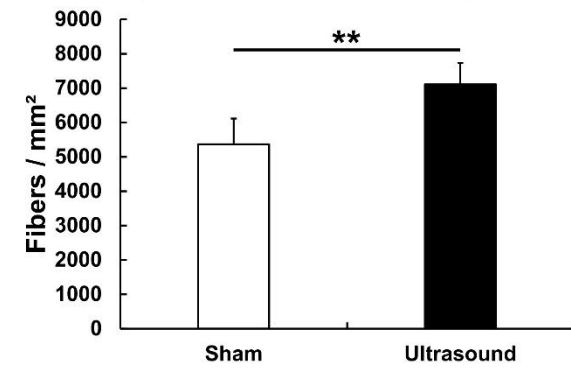


Figure 6: Myelinated fiber density

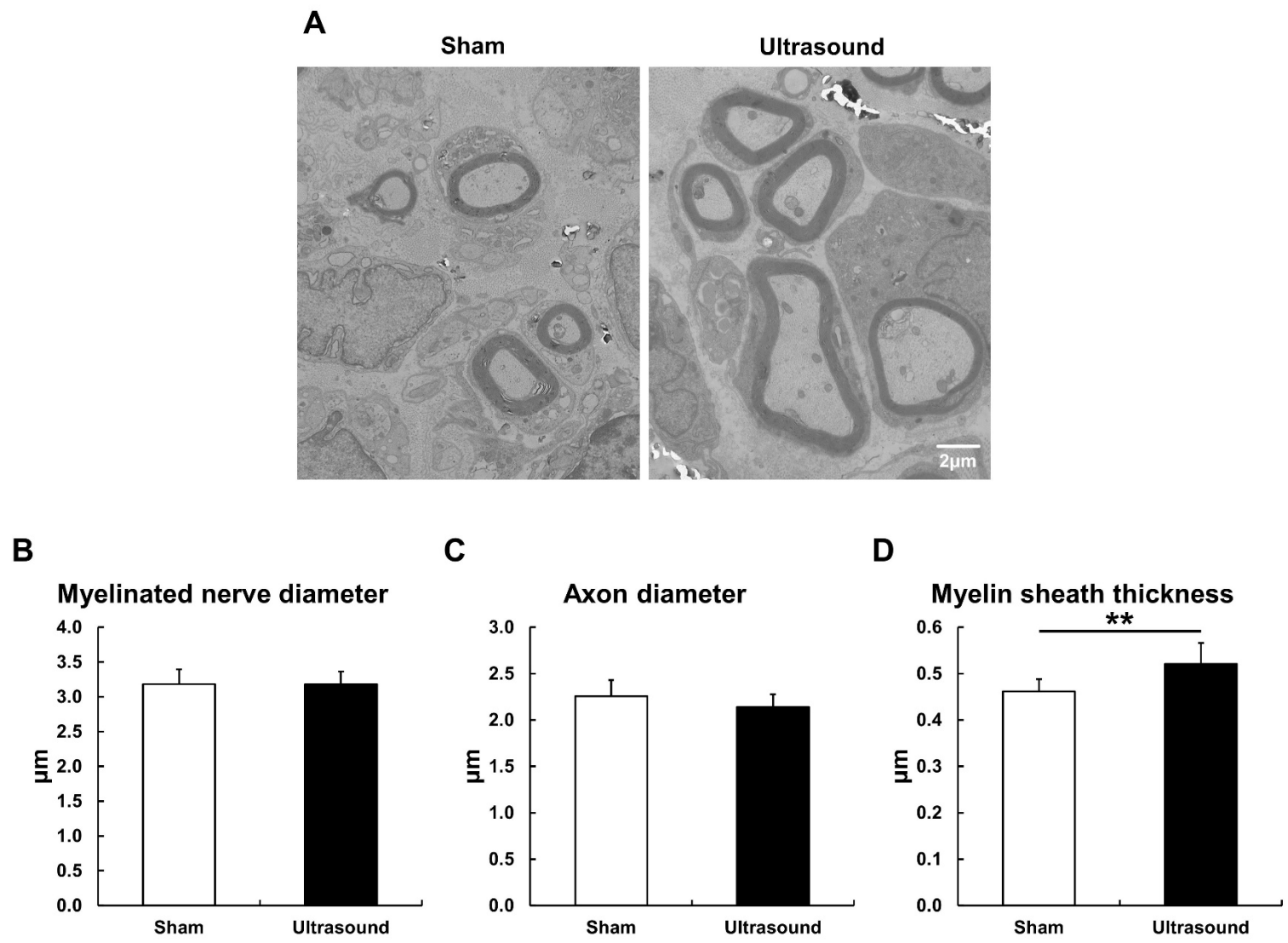


Figure. 7: Transmission electron micrographs of the sciatic nerve