# 有理曲面を成すモーデル・ヴェイユ群が自明な平面 曲線束について

北川真也

#### 1 Introduction

切断を持つ有理楕円曲面については、楕円曲線束が  $II^*$  型の特異ファイバーを持つことが、モーデル・ヴェイユ群が自明となる必要十分条件であることが知られている。 9 年前に前述を、ファイバーの集合体が有理曲面を成す仮定は保持するが、一般ファイバーを楕円曲線から種数 g の超楕円曲線に一般化した場合を考察した ([7]). 任意の g に対して、有理曲面のピカール数は 4g+6 以下で、その最大値 4g+6 をとる場合に制限すれば g=1 のときと同様に、相対極小な超楕円曲線束が  $II^*$  型を一般化した特異ファイバーを持つことが、モーデル・ヴェイユ群が自明となる必要十分条件であることが判明した。 今回も有理曲面を成す仮定は保持するが、一般ファイバーは平面 d 次曲線である場合を考察する。ピカール数は  $d^2+1$  以下で、その最大値をとる場合に制限すると、モーデル・ヴェイユ群が自明な平面曲線束は、超楕円的なときと同様に、特殊な特異ファイバーで特徴づけられることを紹介する.

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### 2 Preliminaries

We briefly review basic notation and results on fibred rational surfaces. Here, a fibred rational surface means a smooth projective rational surface  $X/\mathbb{C}$  together with a relatively minimal fibration  $f:X\to\mathbb{P}^1$  whose general fibre F is a smooth projective curve of

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genus  $g \geq 1$ . In particular, any fibre of f is connected and contains no (-1)-curves as components. Since X is rational, the first Betti number of X equals zero. The second Betti number of X is equal to the Picard number  $\rho(X)$  since the geometric genus of X is zero. Hence, we see that

(2.1) 
$$\rho(X) = 10 - K_X^2 = 4g + 6 - (K_X + F)^2$$

by virtue of Noether's formula. The adjoint divisor  $(K_X + F)$  is nef when  $g \ge 2$  (See [5, Lemma 1.1]). Thus we have that  $\rho(X) \le 4g + 6$ . By means of slope inequalities [9, Corollary 4.4], we also have that  $(K_X + F)^2 \ge g - 2$  and  $\rho(X) \le 3g + 8$  if F is non-hyperelliptic (See [13, Proposition 2.2]).

LEMMA 2.1 (See [5, Lemma 1.2]). Let C be an irreducible curve on S such that  $(K_S + F) \cdot C = 0$ . If  $(K_S + F)^2 > 0$ , then C is a smooth rational curve satisfying one of the following:

- (i) C is a (-2)-curve contained in a fibre.
- (ii) C is a (-1)-section, i.e., a (-1)-curve with F.C = 1.

From now on, we assume that  $f:S\to\mathbb{P}^1$  is a relatively minimal fibration of genus  $g\geq 2$  such that  $(K_S+F)^2>0$ . Suppose that there exists a (-1)-curve E with  $(K_S+F).E=0$  and let  $\mu_1:S\to S_1$  be its contraction. Since F.E=1,  $F_1:=(\mu_1)_*F$  is smooth on  $S_1$ . Furthermore, we have  $\mu_1^*(K_{S_1}+F_1)=K_S+F$ . If there exists a (-1)-curve  $E_1$  with  $(K_{S_1}+F_1).E_1=0$ , then, by contracting it, we get the pair  $(S_2,F_2)$  with  $F_2$  smooth and  $K_{S_2}+F_2$  pulls back to  $K_S+F$ . We can continue the procedure until we arrive at a pair  $(S_n,F_n)$  such that we cannot find a (-1)-curve  $E_n$  with  $(K_{S_n}+F_n).E_n=0$ . We put  $W:=S_n$  and  $G:=F_n$ . If  $\mu:S\to W$  denotes the natural map, then  $\mu^*(K_W+G)=K_S+F$  and  $G=\mu_*F$  is a smooth curve isomorphic to F. The original fibration  $f:S\to\mathbb{P}^1$  corresponds to a pencil  $\Lambda_f\subset |G|$  with at most simple (but not necessarily transversal) base points. From the assumption  $(K_S+F)^2>0$ ,  $K_S+F$  is nef and big. This implies that, W is the minimal resolution of singularities of the surface  $\operatorname{Proj}(R(S,K_S+F))$ , which has at most rational double points by Lemma 2.1, where  $R(S,K_S+F)=\bigoplus_{n\geq 0}H^0(S,n(K_S+F))$ . Therefore, such a model is uniquely determined. We call the pair (W,G) the reduction of (S,F).

As a corollary of [6, Theorem 2.3], we have the following.

THEOREM 2.2. Let S be a smooth rational surface and  $f: S \to \mathbb{P}^1$  a relatively minimal fibration whose general fibre F is a smooth plane curve of degree  $d \geq 4$ . Then

$$\rho(S) \le d^2 + 1.$$

Let (W,G) denote the reduction of (S,F). If  $\rho(S)=d^2+1$ , then  $W=\mathbb{P}^2$  and G is a curve of degree d. In particular, f has at least one (-1)-section. Furthermore, f has at most  $d^2$  (-1)-sections, which are disjoint from each other.

COROLLARY 2.3. Let S be a smooth rational surface of  $\rho(S) = d^2 + 1$  for any integer  $d \geq 3$  and  $f: S \to \mathbb{P}^1$  a relatively minimal fibration of plane curve of degree d. Assume that f has no multiple fibres when d=3. Then there exists a birational morphism  $\nu: S \to \mathbb{P}^2$  such that the pull-back to S of a (-1)-curve contracted by  $\nu$  intersects with F at just one point. In particular,  $\nu_*F$  is a smooth plane curve of degree d and f has at least one (-1)-section.

### 3 Mordell-Weil lattices

Via f, we can regard S as a smooth projective curve of genus g defined over the rational function field  $\mathbb{K} = f^*\mathbb{C}(\mathbb{P}^1)$ . We assume that it has a  $\mathbb{K}$ -rational point O. Let  $\mathcal{J}_{\mathcal{F}}/\mathbb{K}$  be the Jacobian variety of the generic fibre  $\mathcal{F}/\mathbb{K}$  of f. The Mordell-Weil group of f is the group of  $\mathbb{K}$ -rational points  $\mathcal{J}_{\mathcal{F}}(\mathbb{K})$ . It is a finitely generated Abelian group, since  $S/\mathbb{C}$  is a rational surface. The rank  $\mathrm{rk}\,\mathcal{J}_{\mathcal{F}}(\mathbb{K})$  of the group is called the *Mordell-Weil rank*. There is a formula, often referred as the Shioda-Tate formula, relating the Mordell-Weil rank and the Picard number:

(3.2) 
$$\operatorname{rk} \mathcal{J}_{\mathcal{F}}(\mathbb{K}) = \rho(S) - 2 - \sum_{t \in \mathbb{P}^1} (v_t - 1),$$

where  $v_t$  denotes the number of irreducible components of the fibre  $f^{-1}(t)$ . There is a natural one-to-one correspondence between the set of K-rational points  $\mathcal{F}(\mathbb{K})$  and the set of sections of f. For  $P \in \mathcal{F}(\mathbb{K})$ , we denote by (P) the section corresponding to P which is regarded as a horizontal curve on S. In particular, (O) corresponding to the origin O of  $\mathcal{J}_{\mathcal{F}}(\mathbb{K})$  is called the zero section. Shioda's main idea in [16] and [19] is to view the free part of  $\mathcal{J}_{\mathcal{F}}(\mathbb{K})$  as a Euclidean lattice with respect to a natural pairing induced by the intersection form on  $H^2(S)$ . The lattice is called the Mordell-Weil lattice of f and is denoted by MWL(f). In fact, by describing the Néron-Severi group NS(S), we can

explicitly determine the structure of MWL(f) as follows: Let T be the subgroup of NS(S) generated by (O) and the irreducible components of the fibres of f. When we equip NS(X) and T with the bilinear form which is (-1) times of the intersection form, we call them the Néron-Severi lattice  $NS(S)^-$  and the trivial lattice  $T^-$  respectively. Since S is a rational surface,  $NS(S)^-$  is a unimodular lattice, that is, the absolute value of the determinant of the Gram matrix equals one. Then the following holds.

Theorem 3.1 (See [16], [19, Theorem 3]). Keep the notation and assumptions as above. Then

$$\mathcal{J}_{\mathcal{F}}(\mathbb{K}) \simeq \mathrm{NS}(S)/T.$$

Let L be the orthogonal complement  $(T^-)^{\perp} \subset NS(S)^-$ . Then the dual lattice

$$L^* = \{ \mathfrak{x} \in L \otimes \mathbb{Q} \mid \langle \mathfrak{x}, \mathfrak{y} \rangle_{L \otimes \mathbb{Q}} \in \mathbb{Z}, \quad \forall \mathfrak{y} \in L \}$$

is isomorphic to MWL(f).

### 4 Main Theorem

THEOREM 4.1. Let S be a smooth rational surface of  $\rho(S) = d^2 + 1$  for any integer  $d \geq 3$  and  $f: S \to \mathbb{P}^1$  a relatively minimal fibration of plane curves of degree d. Assume that f has no multiple fibres when d = 3. Then f has at least one (-1)-section, and the following four conditions are equivalent.

- (1) The Mordell-Weil group of f is trivial.
- (2) f has a reducible fibre whose dual graph corresponds to the graph as in Figure 1.

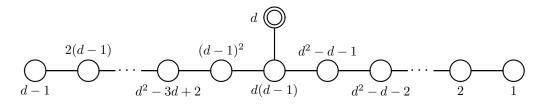


Figure 1.

Here, a double circle denotes a (-d+1)-curve and the other circles denote (-2)curves. The numbers indicated outside the circles denote the multiplicities of components in the degenerated fibre.

- (3a)  $f: S \to \mathbb{P}^1$  can be obtained from  $\mathbb{P}^2$  by eliminating the base points of the following pencil  $\Lambda$ : Let L be a line on  $\mathbb{P}^2$ . Take a curve  $C_0$  of degree d which has a contact of order d with L at one smooth point. Then the pencil  $\Lambda$  is generated by  $C_0$  and dL.
- (3b)  $f: S \to \mathbb{P}^1$  can be obtained from  $\mathbb{P}^2$ , after performing a projective transformation, by eliminating the base points of the following pencil  $\Lambda$ : Let (X:Y:Z) be homogeneous coordinates of  $\mathbb{P}^2$  and L a line defined by Y=0. For  $t \in \mathbb{C}$ , each member of  $\Lambda$  is defined by

$$(4.3) tY^d = X^d + YZ^{d-1} + \sum_{i=1}^{d-1} c_{i,1} X^i YZ^{d-i-1} + \sum_{j=2}^{d} \sum_{i=0}^{d-j} c_{i,j} X^i Y^j Z^{d-i-j},$$

where  $c_{i,j}$  are complex numbers. The member of  $\Lambda$  corresponding to  $\infty$  is dL.

In order to show Theorem 4.1, we prove some lemmas. As a first step, we show that the conditions (2), (3a) and (3b) are equivalent. As a second step, we deduce (2)  $\Rightarrow$  (1). As a final step, we conclude (1)  $\Rightarrow$  (2).

LEMMA 4.2. Let S be a smooth rational surface of  $\rho(S)=d^2+1$  for any integer  $d \geq 3$  and  $f: S \to \mathbb{P}^1$  a relatively minimal fibration of plane curves of degree d. Assume that f has no multiple fibres when d=3. If f has a reducible fibre  $F_{\infty}$  whose dual graph corresponds to the graph as in Figure 1, then there exists a birational morphism  $\nu: S \to \mathbb{P}^2$  such that the images by  $\nu$  of the fibres of f forms the pencil  $\Lambda$  as in (3a) of Theorem 4.1.

PROOF. Let  $\Theta_k$ ,  $k = 0, 1, \dots, d^2 - 1$  be components of the reducible fibre  $F_{\infty}$  that satisfy the following condition:

$$(\Theta_{i-1}.\Theta_{j-1})_{1 \le i,j \le d^2 - 1} = \begin{pmatrix} -2 & 1 & 0 & \cdots & 0 \\ 1 & -2 & 1 & \ddots & \vdots \\ 0 & 1 & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & -2 & 1 \\ 0 & \cdots & 0 & 1 & -2 \end{pmatrix},$$

 $\Theta_{d^2-1}.\Theta_{d^2-d-1}=1,\ \Theta_{d^2-1}^2=-d+1$  and  $\Theta_{d^2-1}.\Theta_k=0$  for  $k\neq d^2-d-1,d^2-1$ . We know that f has a (-1)-section  $E_{d^2}$  by the last assertion of Corollary 2.3. Since  $\Theta_0$  is a unique component whose multiplicity in  $F_{\infty}$  is one,  $E_{d^2}$  intersects with  $\Theta_0$ . Let  $\nu$  be the birational morphism contracting  $E_{d^2},\Theta_0,\Theta_1,\ldots,\Theta_{d^2-2}$  in turn. Then  $(\nu_*\Theta_{d^2-1})^2=1$ . Since  $\rho(S)=d^2+1$ , the image of S by  $\nu$  is  $\mathbb{P}^2$  with a line  $L=\nu_*\Theta_{d^2-1}$ . Furthermore,

multiplicity of  $\Theta_{d^2-1}$  in  $F_{\infty}$  implies that  $\nu_*F_{\infty}=dL$ . Let  $C_0$  be the image by  $\nu$  of  $f^{-1}(0)$ . By the Shioda-Tate formula (3.2) and its non-negativity,  $C_0$  is an irreducible curve of degree d. The original fibration  $f:S\to\mathbb{P}^1$  corresponds to a pencil  $\Lambda$  generated by  $C_0$  and dL. From the configuration of  $E_{d^2},\Theta_0,\Theta_1,\ldots,\Theta_{d^2-1}$  and  $f^{-1}(0)$ , we see that the intersection point of  $C_0$  and L is a smooth point of  $C_0$ , and we also deduce that  $C_0$  has a contact of order d with L at the intersection point.

LEMMA 4.3. Let S be a smooth rational surface of  $\rho(S) = d^2 + 1$  for any integer  $d \geq 3$  and  $f: S \to \mathbb{P}^1$  a relatively minimal fibration of plane curves of degree d. Assume that f has no multiple fibres when d = 3. Then the conditions (2), (3a) and (3b) of Theorem 4.1 are equivalent.

PROOF. Lemma 4.2 states  $(2) \Rightarrow (3a)$ . It suffices to show  $(3a) \Rightarrow (3b)$  and  $(3b) \Rightarrow (2)$ .  $(3a) \Rightarrow (3b)$ : Let (X : Y : Z) be homogeneous coordinates of  $\mathbb{P}^2$  and

$$\sum_{j=0}^{d} \sum_{i=0}^{d-j} c_{i,j} X^{i} Y^{j} Z^{d-i-j} = 0$$

the defining equation of  $C_0$  for some complex numbers  $c_{i,j}$ . We may define the line L by Y=0 and assume that the unique tangent point of  $C_0$  for L is (0:0:1). Then we have  $c_{0,0}=c_{1,0}=\cdots=c_{d-1,0}=0$ ,  $c_{d,0}\neq 0$  and  $c_{0,1}\neq 0$ . Furthermore, we may put  $c_{d,0}=c_{0,1}=1$  without loss of generality.

 $(3b) \Rightarrow (2)$ : We consider a pencil  $\Lambda$  on  $\mathbb{P}^2$  defined by (4.3), namely, each member  $C_t$  in  $\Lambda$  is defined by (4.3) for  $t \in \mathbb{C}$  and the member  $C_{\infty}$  in  $\Lambda$  corresponding to  $\infty$  is dL, which is defined by  $Y^d = 0$ . Then  $C_t$  is smooth at the point (0:0:1) for all  $t \in \mathbb{C}$ . Furthermore,  $C_t$  has a contact of order d with L at the smooth point (0:0:1). Thus any two members in  $\Lambda$  are disjoint on  $\mathbb{P}^2 \setminus \{(0:0:1)\}$ . In particular, the  $d^2$  base points of  $\Lambda$  consist of the point (0:0:1) and its infinitely near points. Therefore, we obtain a relatively minimal fibration  $f: S \to \mathbb{P}^1$  of smooth plane curves of degree d from  $\Phi_{\Lambda} : \mathbb{P}^2 \dashrightarrow \mathbb{P}^1$  by eliminating the base points of  $\Lambda$  as follows:

Let  $\nu_1: W_1 \to \mathbb{P}^2$  be the blow-up at the point (0:0:1) with the exceptional curve  $E_1$ , i.e.,  $\nu_1(E_1) = (0:0:1)$ . Let  $P_2$  be the intersection point of  $E_1$  and the strict transform to  $W_1$  of L. The strict transform to  $W_1$  of  $C_t$  has a contact of order d-1 with that of L at  $P_2$  for all  $t \in \mathbb{C}$ . Next let  $\nu_2: W_2 \to W_1$  be the blow-up at the base point  $P_2$  with  $E_2 = \nu_2^{-1}(P_2)$ . Let  $P_3$  denote the intersection point of  $E_2$  and the strict transform to  $W_2$  of L. For all  $t \in \mathbb{C}$  the strict transform to  $W_2$  of L has a contact of order L with that

of L at  $P_3$ . In the same way, for i = 3, 4, ..., d - 1, after the blow-up  $\nu_i : W_i \to W_{i-1}$  at the base point  $P_i$  with  $E_i = \nu_i^{-1}(P_i)$ , the strict transform to  $W_i$  of  $C_t$  has a contact of order d - i with that of L at  $P_{i+1}$ . Denote the pull-back of curves by the same symbols for simplicity. Then we get the irreducible decomposition

$$C_{\infty} - E_1 - E_2 - \dots - E_{d-1} = d(L - E_1 - E_2 - \dots - E_{d-1}) + \sum_{i=1}^{d-2} i(d-1)(E_i - E_{i+1}).$$

Furthermore,  $C_t-E_1-E_2-\cdots-E_{d-1}$  has a contact of order  $(d^2-d+1)$  with the other members at  $P_d$  for all  $t\in\mathbb{C}$ . Denote by  $\nu_d:W_d\to W_{d-1}$  the blow-up at the base point  $P_d$  with  $E_d=\nu_d^{-1}(P_d)$ . Let  $P_{d+1}$  be the intersection point of  $E_d$  and the strict transform to  $W_d$  of  $C_d$ . In fact,  $P_{d+1}$  corresponds to a tangent direction of  $C_t-E_1-E_2-\cdots-E_{d-1}$  at  $P_d$  on  $W_{d-1}$  by  $\nu_d$ , and  $C_t-E_1-E_2-\cdots-E_d$  has a contact of order  $(d^2-d)$  with the other members at  $P_{d+1}$  for all  $t\in\mathbb{C}$ . In the same way, for  $i=d+1,d+2,\ldots,d^2-1$ , after the blow-up  $\nu_i:W_i\to W_{i-1}$  at the base point  $P_i$  with  $E_i=\nu_i^{-1}(P_i), C_t-E_1-E_2-\cdots-E_i$  has a contact of order  $(d^2-i)$  with the other members at  $P_{i+1}$ . Let  $\nu_{d^2}:S\to W_{d^2-1}$  be the blow-up at the base point  $P_d$  with  $E_{d^2}=\nu_{d^2}^{-1}(P_{d^2})$ . Put  $f=\Phi_\Lambda\circ\nu_1\circ\nu_2\circ\cdots\circ\nu_{d^2}$ . Then  $f:S\to\mathbb{P}^1$  is a relatively minimal fibration whose general fibre F is  $C_t-E_1-E_2-\cdots-E_{d^2}$  for general  $t\in\mathbb{C}$  and  $f^{-1}(\infty)=C_\infty-E_1-E_2-\cdots-E_{d^2}$  is a reducible fibre. We remark that the irreducible components of  $f^{-1}(\infty)$  consist of one (-d+1)-curve  $L-E_1-E_2-\cdots-E_d$  and  $(d^2-1)$  (-2)-curves  $E_i-E_{i+1}, i=1,2,\ldots,d^2-1$ . Furthermore, we see that the dual graph of the reducible fibre  $f^{-1}(\infty)$  corresponds to the graph as in Figure 1.

As a corollary of Theorem 3.1, we have the following.

LEMMA 4.4. The Mordell-Weil group of f is trivial if and only if the zero section (O) and the irreducible components of the fibres of f generate NS(S).

$$(2) \Rightarrow (1)$$

LEMMA 4.5. Let S be a smooth rational surface of  $\rho(S) = d^2 + 1$  for any integer  $d \geq 3$  and  $f: S \to \mathbb{P}^1$  a relatively minimal fibration of plane curves of degree d. Assume that f has no multiple fibres when d = 3. If f has the reducible fibre  $F_{\infty}$  whose dual graph corresponds to the graph as in Figure 1, then the Mordell-Weil group of f is trivial.

PROOF. We use the same notation as in Proof of Lemma 4.3. The irreducible components of  $F_{\infty}$  are  $L - E_1 - E_2 - \cdots - E_d$  and  $E_i - E_{i+1}$ ,  $i = 1, 2, \dots, d^2 - 1$ . These and  $E_{d^2}$ , which is a (-1)-section of f, generate L and  $E_j$ ,  $j = 1, 2, \dots, d^2$ , and form a  $\mathbb{Z}$ -basis of NS(S). Therefore the Mordell-Weil group of f is trivial by Lemma 4.4.

Proof of Theorem 4.1. Combining Lemmas 4.3 and 4.5, it suffices to show  $(1) \Rightarrow (2)$  to prove Theorem 4.1. Let S be a smooth rational surface of  $\rho(S) = d^2 + 1$  for any integer  $d \geq 3$  and  $f: S \to \mathbb{P}^1$  a relatively minimal fibration of plane curves of degree d. Assume that f has no multiple fibres when d = 3. We denote by F a general fibre of f. Let  $\nu: S \to \mathbb{P}^2$  be a birational morphism as in Corollary 2.3 and  $E_i$ ,  $i = 1, 2, \ldots, d^2$  the pull-back to S of  $d^2$  (-1)-curves contracted by  $\nu$ . Assume that the Mordell-Weil group of f is trivial. Then a section of f is unique. We shall denote by  $E_{d^2}$  the (-1)-section of f. Furthermore, in the process of contracting by  $\nu$ , we may assume that  $E_{i+1}$  corresponds to an infinitely near point of the point corresponding to  $E_i$  for  $i = 1, 2, \ldots, d^2 - 1$ . Since  $(d^2-1)$  (-2)-curves  $E_i - E_{i+1}$ ,  $i = 1, 2, \ldots, d^2-1$  are connected, a reducible singular fibre  $F_{\infty}$  of f contains all of them. However, they do not generate  $F_{\infty}$ . By the Shioda-Tate formula (3.2) and  $\rho(S) = d^2 + 1$ , another component of  $F_{\infty}$  is unique, where we denote it by  $\Theta$ , and all other fibres of f are irreducible.

Let L be the pull-back by  $\nu: S \to \mathbb{P}^2$  of a line. Then  $\Theta = \alpha L - \sum_{i=1}^{d^2} \beta_i E_i$  for some non-negative integers  $\alpha$ ,  $\beta_i$ . Since  $\Theta.E_{d^2}$  and  $\Theta.(E_i - E_{i+1})$  are non-negative, we have  $0 \le \beta_{d^2} \le \beta_{d^2-1} \le \cdots \le \beta_2 \le \beta_1 \le \alpha$ . Lemma 4.4 implies  $\alpha = 1$ . These and  $\Theta.F = 0$  provide  $\Theta = L - E_1 - E_2 - \cdots - E_d$ . Here,  $\Theta$  and  $(d^2 - 1)$  (-2)-curves  $E_i - E_{i+1}$ ,  $i = 1, 2, \ldots, d^2 - 1$  form a singular fibre whose dual graph corresponds to the graph as in Figure 1.

This completes the proof of Theorem 4.1.

In [1], Beauville pointed out that the minimum number of singular fibres is two over  $\mathbb{P}^1$ , if  $f: S \to \mathbb{P}^1$  is not a trivial fibration. There are many interesting arithmetic and geometric properties in this extreme case (see [3]).

EXAMPLE 4.6. Let  $f: S \to \mathbb{P}^1$  be as in (3b) of Theorem 4.1. Consider the case where  $c_{i,j} = 0$  for the defining equation (4.3), and recall the proof of (3b)  $\Rightarrow$  (2). Let  $C_t$  be a curve on  $\mathbb{P}^2$  defined by  $tY^d = X^d + YZ^{d-1}$ . Then  $C_t$  is smooth unless  $t = 0, \infty$ , namely, the number of singular fibres of f is two.

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Shinya Kitagawa,
General Education (Natural Sciences),
National Institute of Technology, Gifu College,
2236-2 Kamimakuwa, Motosu, Gifu 501-0495, Japan
e-mail: kit058shiny@gifu-nct.ac.jp