# The Theory of the Determination of Stress in an Anisotropic Elastic Medium Using an Instrumented Cylindrical Inclusion 

By<br>Yoshiji Niwa* and Ken-ichi Hirashima*

(Received June 30, 1971)


#### Abstract

The present paper describes the theoretical aspect of the determination of the stresses in rock unaffected by a borehole with an instrumented cylindrical inclusion. The stress fields of the elastic matrix (isotropic or anisotropic) containing a cylindrical inclusion are discussed and the formulae to be used in practice to determine the stresses in rock are presented. The results of calculation are shown by several numerical examples.


## 1. Introduction

The measurements of the state of initial or variational stresses in rock are of importance in obtaining design data for underground structures and in clarifying the problem of strata pressure that occurs in the earth's crust. Several authors ${ }^{1 \sim 6)}$ have been made attempts to analyze the stresses in rock by the use of boreholes or drifts. Since the rock is generally in a threedimensional stress state and behaves frequently as an anisotropic elastic medium, the determination of the stresses in the rock is very complicated. Taking these conditions into consideration, the present paper describes a method of stress determination using a borehole with an instrumented inclusion such as in Rocha and Silverio's method. ${ }^{3)}$ This study has been carried out on the assumptions that:
(a) the rock is homogeneous and isotropic or anisotropic elastic body with arbitrary inclinations of the principal elastic axes,
(b) the stresses applied at infinity in the rock medium are three-dimensional, and
(c) the length of the inclusion is sufficiently larger than its diameter.

[^0]
## 2. Method of Analysis

As in Fig. 1, we refer the body under consideration to a rectangular Cartesian coordinate system ( $x, y, z$ ) where the $z$-axis is directed along the axis of cylindrical inclusion. In this case, the principal elastic axes of the matrix, assuming that the surrounding material is homogeneous and isotropic or anisotropic elastic body, incline in arbitrary directions against this coordinate system.


Fig. 1. Coordinates and boundaries of matrix and elliptical inclusion.

## 2. 1 Basic Equations and Complex Analytic Functions

The stress-strain relations for an anisotropic elastic matrix are given by the generalized Hooke's law as follows.

$$
\left.\begin{array}{r}
\varepsilon_{x}=a_{11} \sigma_{x}+a_{12} \sigma_{y}+a_{18} \sigma_{z}+a_{14} \tau_{y \varepsilon}+a_{15} \tau_{x \varepsilon}+a_{18} \tau_{x y},  \tag{2.1}\\
\varepsilon_{y}=a_{12} \sigma_{x}+a_{22} \sigma_{y}+\cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots+a_{26} \tau_{x y}, \\
\varepsilon_{\varepsilon}=a_{18} \sigma_{x}+a_{23} \sigma_{y}+\cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots+a_{36} \tau_{x y}, \\
\cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots \\
\tau_{x y}=a_{18} \sigma_{x}+a_{26} \sigma_{y}+a_{38} \sigma_{z}+a_{48} \tau_{y z}+a_{56} \tau_{x z}+a_{68} \tau_{x y} .
\end{array}\right\}
$$

In which $a_{11}, a_{12}, \cdots \cdots, a_{66}$ are the elastic constants of the matrix under consideration. From the third equation of Eq. (2.1),

$$
\begin{equation*}
\sigma_{z}=\frac{\varepsilon_{\varepsilon}}{a_{33}}-\frac{1}{a_{33}}\left(a_{13} \sigma_{x}+a_{23} \sigma_{y}+a_{34} \tau_{y \varepsilon}+a_{35} \tau_{x 3}+a_{38} \tau_{x y}\right) . \tag{2.2}
\end{equation*}
$$

Substituting from Eq. (2.2) into the remaining equations of Eq. (2.1), we obtain :

$$
\begin{align*}
& \varepsilon_{x}=\beta_{11} \sigma_{x}+\beta_{12} \sigma_{y}+\beta_{14} \tau_{y \varepsilon}+\beta_{15} \tau_{x z}+\beta_{18} \tau_{x y}+\frac{a_{18}}{a_{33}} \varepsilon_{z}, \\
& \varepsilon_{y}=\beta_{12} \sigma_{x}+\beta_{22} \sigma_{y}+\cdots \cdots \cdots \cdots \cdots \cdots \cdots \cdots+\frac{a_{23}}{a_{38}} \varepsilon_{x} \text {, } \\
& \boldsymbol{\gamma}_{y z}=\beta_{14} \sigma_{x}+\beta_{24} \sigma_{y}+\cdots \ldots \ldots \ldots \ldots \ldots \ldots \ldots+\frac{a_{34}}{a_{33}} \varepsilon_{z} \text {, }  \tag{2.3}\\
& \gamma_{x y}=\beta_{16} \sigma_{x}+\beta_{26} \sigma_{y}+\beta_{46} \tau_{y s}+\beta_{56} \tau_{x \varepsilon}+\beta_{68} \tau_{x y}+\frac{a_{66}}{\bar{a}_{38}} \varepsilon_{\varepsilon} .
\end{align*}
$$

In which,

$$
\begin{equation*}
\beta_{i j}=a_{i j}-\frac{a_{i 3} a_{j 3}}{a_{33}}, \quad(i, j=1,2,4,5,6) . \tag{2.4}
\end{equation*}
$$

The same will hold for the inclusion, but instead of $a_{i j}$ and $\beta_{i j}$ the elastic constants of the matrix are $a_{i j}^{\prime}$ and $\beta_{i j}^{\prime}$ respectively. In the case when the uniform stress components $\sigma_{x}^{0}, \sigma_{y}^{0}, \sigma_{z}^{0}, \tau_{y z}^{0}, \tau_{x z}^{0}$ and $\tau_{x y}^{0}$ are applied at infinity in the matrix without the inclusion, the Airy's stress functions $F^{0}$ and $\psi^{0}$ can be given by

$$
\left.\begin{array}{l}
F^{0}=\frac{1}{2}\left(\sigma_{y}^{0} x^{2}-2 \tau_{x y}^{0} x y+\sigma_{x}^{0} y^{2}\right)  \tag{2.5}\\
\psi^{0}=\tau_{x z}^{0} y-\tau_{y z}^{0} x
\end{array}\right\}
$$

From general results on elliptical or ellipsoidal inclusion in an infinite anisotropic medium, it is well known that the stress and strain fields in the inclusion are homogeneous. ${ }^{71}$ This fact is used in the following analysis.

Assuming that the uniform stresses in the inclusion are represented as $\sigma_{x}^{\prime}, \sigma_{y}^{\prime}, \sigma_{z}^{\prime}, \tau_{y z}^{\prime}, \tau_{x z}^{\prime}$, and $\tau_{x y}^{\prime}$, the stress functions $F^{\prime}$ and $\psi^{\prime}$ for the inclusion are also given by

$$
\left.\begin{array}{rl}
F^{\prime} & =\frac{1}{2}\left(\sigma_{y}^{\prime} x^{2}-2 \tau_{x y}^{\prime} x y+\sigma_{x}^{\prime} y^{2}\right),  \tag{2.6}\\
\psi^{\prime} & =\tau_{x z}^{\prime} y-\tau_{y z}^{\prime} x .
\end{array}\right\}
$$

Then the formulas for stresses and displacements in an infinite medium with an inclusion can be written in the following manner. ${ }^{8,9)}$

$$
\left.\begin{array}{rl}
\sigma_{x} & =\sigma_{x}^{0}+2 \operatorname{Re}\left[\mu_{1}{ }^{2} \phi_{1}{ }^{\prime}\left(z_{1}\right)+\mu_{2}{ }^{2} \phi_{2}{ }^{\prime}\left(z_{2}\right)+\mu_{3}{ }^{2} \lambda_{3} \phi_{3}{ }^{\prime}\left(z_{3}\right)\right], \\
\sigma_{y} & =\sigma_{y}^{0}-2 \operatorname{Re}\left[\phi_{1}{ }^{\prime}\left(z_{1}\right)+\phi_{2}{ }^{\prime}\left(z_{2}\right)+\lambda_{3} \phi_{3}{ }^{\prime}\left(z_{3}\right)\right], \\
\tau_{y z} & =\tau_{y z}^{0}-2 \operatorname{Re}\left[\lambda_{1} \phi_{1}{ }^{\prime}\left(z_{1}\right)+\lambda_{2} \phi_{2}{ }^{\prime}\left(z_{2}\right)+\phi_{3}{ }^{\prime}\left(z_{3}\right)\right], \\
\tau_{x z} & =\tau_{x z}^{0}+2 \operatorname{Re}\left[\mu_{1} \lambda_{1} \phi_{1}\left(z_{1}\right)+\mu_{2} \lambda_{2} \phi_{2}{ }^{\prime}\left(z_{2}\right)+\mu_{3} \phi_{3}{ }^{\prime}\left(z_{3}\right)\right], \\
\tau_{x y} & =\tau_{x y}^{0}-2 \operatorname{Re}\left[\mu_{1} \phi_{1}{ }^{\prime}\left(z_{1}\right)+\mu_{2} \phi_{2}{ }^{\prime}\left(z_{2}\right)+\mu_{3} \lambda_{3} \phi_{3}{ }^{\prime}\left(z_{3}\right)\right], \\
u & =u^{0}+2 \operatorname{Re} \sum_{k=1}^{3} p_{k} \phi_{k}\left(z_{k}\right)-\omega^{0} y+u_{0},  \tag{2.8}\\
v & =v^{0}+2 \operatorname{Re} \sum q_{k} \phi_{k}\left(z_{k}\right)+\omega^{0} x+v_{0}, \\
w & r_{k} \phi_{k}\left(z_{k}\right)+w_{0} .
\end{array}\right\}
$$

Where $R e$ is the notation for the real part of the complex expressions, $\phi_{k}\left(z_{k}\right)$ are analytic functions with the argument of the complex variables $z_{k}=x+\mu_{k} y$, and $\mu_{k}, \lambda_{k}, p_{k}, q_{k}$ and $r_{k}(k=1,2,3)$, are complex constants related to the roots of the characteristic equation of the anisotropic elastic matrix and elastic constants $\beta_{i j}$. The real constants $\omega^{0}, u_{0}, v_{0}$ and $w_{0}$ characterize rigid rotation and rigid displacements of the body which are not accompanied by deformations.

When the matrix and the inclusion are perfectly bonded, the boundary
conditions on the contact surface can be written as (see Fig. 1),

$$
\left.\begin{array}{rlrl}
X_{n} & =-X_{n}^{\prime}, Y_{n} & =-Y_{n}^{\prime}, & Z_{n} \tag{2.9}
\end{array}=-Z_{n}^{\prime}, \quad\right\}
$$

Here, $X_{n}, Y_{n}, Z_{n}$ and $u, v, w$ are the stress components and displacements along the directions of the coordinate axes on the contour of the matrix. The prime on the right sides of the above equation indicates the stresses and displacements for the inclusion. After some algebra, these boundary conditions reduce to the following equations.

$$
\left.\begin{array}{c}
2 \operatorname{Re}\left[\phi_{1}\left(z_{1}\right)+\phi_{2}\left(z_{2}\right)+\lambda_{3} \phi_{3}\left(z_{3}\right)\right]=\frac{\partial}{\partial x}-\left(F^{\prime}-F^{0}\right)+C_{1}, \\
2 \operatorname{Re}\left[\mu_{1} \phi_{1}\left(z_{1}\right)+\mu_{2} \phi_{2}\left(z_{2}\right)+\mu_{3} \lambda_{3} \phi_{3}\left(z_{3}\right)\right]=\frac{\partial}{\partial y}\left(F^{\prime}-F^{0}\right)+C_{2}, \\
2 \operatorname{Re}\left[\lambda_{1} \phi_{1}\left(z_{1}\right)+\lambda_{2} \phi_{2}\left(z_{2}\right)+\phi_{3}\left(z_{3}\right)\right]=\psi^{\prime}-\psi^{0}+C_{3},  \tag{2.11}\\
2 \operatorname{Re} \sum_{k=1}^{3} p_{k} \phi_{k}\left(z_{k}\right)=u^{\prime}-u^{0}-\left(\omega^{\prime}-\omega^{0}\right) y+\left(u_{0}^{\prime}-u_{0}\right), \\
2 \operatorname{Re} \sum q_{k} \phi_{k}\left(z_{k}\right)=v^{\prime}-v^{0}+\left(\omega^{\prime}-\omega^{0}\right) x+\left(v_{0}^{\prime}-v_{0}\right), \\
2 \operatorname{Re} \sum r_{k} \phi_{k}\left(z_{k}\right)=w^{\prime}-w^{0}+\left(w_{0}^{\prime}-w_{0}\right) .
\end{array}\right\}
$$

$C_{1}, C_{2}$ and $C_{3}$ are determined on the basis of some additional conditions, depending on the matrix shape and distribution of forces. It is not necessary to consider these for the present case.

Let us assume that the shape of the inclusion is elliptical with semi-axes $a$ and $b$ along the coordinates $(x, y)$. The contour of this inclusion is represented by

$$
\begin{equation*}
x_{0}=a \cos \theta, \quad y_{0}=b \sin \theta, \tag{2.12}
\end{equation*}
$$

in which $\theta$ is an angle parameter varying from 0 to $2 \pi$ in a counter-clockwise direction on the contour. By replacing the $x$ and $y$ of Eqs. (2.5) and (2.6) by the $x_{0}$ and $y_{0}$ of Eq. (2.12) in turn, and substituting into Eq. (2.10), we obtain the following.

$$
\left.\begin{array}{rl}
2 \operatorname{Re}\left[\phi_{1}\left(z_{1}\right)+\phi_{2}\left(z_{2}\right)+\lambda_{3} \phi_{3}\left(z_{3}\right)\right] \equiv 2 \operatorname{Re}\left[\bar{a}_{1} e^{-i \theta}\right], \\
2 \operatorname{Re}\left[\mu_{1} \phi_{1}\left(z_{1}\right)+\mu_{2} \phi_{2}\left(z_{2}\right)+\mu_{3} \lambda_{3} \phi_{3}\left(z_{3}\right)\right] \equiv 2 \operatorname{Re}\left[\bar{b}_{1} e^{-i \theta}\right],  \tag{2.13}\\
2 \operatorname{Re}\left[\lambda_{1} \phi_{1}\left(z_{1}\right)+\lambda_{2} \phi_{2}\left(z_{2}\right)+\phi_{3}\left(z_{3}\right)\right] \equiv 2 \operatorname{Re}\left[\bar{c}_{1} e^{-i \theta}\right] .
\end{array}\right\}
$$

where,

$$
\left.\begin{array}{l}
\bar{a}_{1}=\frac{1}{2}\left\{a\left(\sigma_{y}^{\prime}-\sigma_{y}^{0}\right)-i b\left(\tau_{x y}^{\prime}-\tau_{x y}^{0}\right)\right\}, \\
\bar{b}_{1}=\frac{1}{2}\left\{a\left(\tau_{x y}^{\prime}-\tau_{x y}^{0}\right)-i b\left(\sigma_{x}^{\prime}-\sigma_{x}^{0}\right)\right\},  \tag{2.14}\\
\bar{c}_{1}=-\frac{1}{2}\left\{a\left(\tau_{y z}^{\prime}-\tau_{y z}^{0}\right)-i b\left(\tau_{x z}^{\prime}-\tau_{x z}^{0}\right)\right\} .
\end{array}\right\}
$$

Thus, we can express the analytic functions $\phi_{k}\left(z_{k}\right)$ in the case of an elliptical
opening as

$$
\begin{equation*}
\phi_{k}\left(z_{k}\right)=\Gamma_{k} \ln \vartheta_{k}+\Gamma_{k 1} \vartheta_{k}^{-1},(k=1,2,3), \tag{2.15}
\end{equation*}
$$

where,

$$
\begin{equation*}
z_{k}=\frac{1}{2}-\left\{\left(a-i \mu_{k} b\right) \zeta_{k}+\left(a+i \mu_{k} b\right) \zeta_{k}^{-1}\right\} \tag{2.16}
\end{equation*}
$$

In which $\zeta_{k}$ on the contour of the cross section of the opening takes a value equal to $e^{i \eta}$. Thus, on substituting from Eqs. (2.14) and (2.15) into Eq. (2.11) and solving the simultaneous equation, it may be reduced to

$$
\begin{align*}
\Delta \cdot \Gamma_{11} & =\left(\mu_{2}-\mu_{3} \lambda_{2} \lambda_{3}\right) \bar{a}_{1}+\left(\lambda_{2} \lambda_{3}-1\right) \bar{b}_{1}+\lambda_{3}\left(\mu_{3}-\mu_{2}\right) \bar{c}_{1}, \\
\Delta \cdot \Gamma_{21} & =\left(\mu_{3} \lambda_{1} \lambda_{3}-\mu_{1}\right) \bar{a}_{1}+\left(1-\lambda_{1} \lambda_{3}\right) \bar{b}_{1}+\lambda_{3}\left(\mu_{1}-\mu_{3}\right) \bar{c}_{1}  \tag{2.17}\\
\Delta \cdot \Gamma_{31} & =\left(\mu_{1} \lambda_{2}-\mu_{2} \lambda_{1}\right) \bar{a}_{1}+\left(\lambda_{1}-\lambda_{2}\right) \bar{b}_{1}+\left(\mu_{2}-\mu_{1}\right) \bar{c}_{1} \\
\Delta & =\mu_{2}-\mu_{1}+\lambda_{2} \lambda_{3}\left(\mu_{1}-\mu_{3}\right)+\lambda_{1} \lambda_{3}\left(\mu_{3}-\mu_{2}\right) \tag{2.17'}
\end{align*}
$$

## 2. 2 Determining Stresses in an Inclusion

Let us consider the displacements $u^{0}, v^{0}$ and $w^{0}$ in the matrix by the use of Eq. (2.1). Relations among strains, rotations, and displacements are generally written as follows:

$$
\left.\begin{array}{ll}
\varepsilon_{x}^{0}=\frac{\partial u^{0}}{\partial x}, & r_{x y}^{0}=\frac{\partial u^{0}}{\partial y}+\frac{\partial v^{0}}{\partial x}, \\
\varepsilon_{y}^{0}=\frac{\partial \omega^{0}}{\partial y}, & r_{y z}^{0}=\frac{\partial v^{0}}{\partial z}+\frac{\partial v^{0}}{\partial x}-\frac{\partial w^{0}}{\partial y},  \tag{2.18}\\
\varepsilon_{z}^{0}=\frac{\partial w^{0}}{\partial z}, & 2 \omega_{y z}^{0}=\frac{\partial w^{0}}{\partial y}-\frac{\partial v^{0}}{\partial z} \\
r_{x z}^{0}=\frac{\partial w^{0}}{\partial x}+\frac{\partial u^{0}}{\partial z}, & 2 \omega_{x z}^{0}=\frac{\partial u^{0}}{\partial z}-\frac{\partial w^{0}}{\partial x}
\end{array}\right\}
$$

Since the external stresses in the matrix are applied at infinity, the body is considered to be in a state of plane strain, and we can assert that all components of stresses, rotations and displacements with one exceptional case related to the $\varepsilon_{z}^{0}$, will not depend on $z$. Thus,

$$
\frac{\partial u}{\partial z}=\frac{\partial v}{\partial z}=0
$$

By integrating Eq. (2.18), we obtain displacements as follows.

$$
\left.\begin{array}{l}
u^{0}=\varepsilon_{x}^{0} x+\frac{1}{2} \gamma_{x y}^{0} y-\omega_{x y}^{0} y+u_{0},  \tag{2.18}\\
v^{0}=\varepsilon_{y}^{0} y+\frac{1}{2} \gamma_{x y}^{0} x+\omega_{x y}^{0} x+v_{0} \\
w^{0}=\gamma_{x z}^{0} x+\gamma_{y z}^{0} y+\varepsilon_{z}^{0} z+w_{0}
\end{array}\right\}
$$

The displacements $u^{\prime}, v^{\prime}$ and $w^{\prime}$ for the inclusion are represented similarly as follows.

$$
\left.\begin{array}{rl}
u^{\prime} & =\varepsilon_{x}^{\prime} x+\frac{1}{2} \gamma_{x y}^{\prime} y-\omega_{x y}^{\prime} y+u_{0}^{\prime},  \tag{2.18'}\\
v^{\prime} & =\varepsilon_{y}^{\prime} y+\frac{1}{2} \gamma_{x y}^{\prime} x+\omega_{x y}^{\prime} x+v_{0}^{\prime}, \\
w^{\prime} & =\gamma_{x z}^{\prime} x+\gamma_{y z}^{\prime} y+\varepsilon_{z}^{\prime} z+w_{0}^{\prime}
\end{array}\right\}
$$

Therefore, substituting from expressions (2.18) and (2.18') into the right side of Eq. (2.11), we obtain the following expressions

$$
\begin{align*}
u^{\prime}-u^{0}-\left(\omega^{\prime}-\omega^{0}\right) y+\left(u_{0}^{\prime}-u_{0}\right) & =\left(\varepsilon_{x}^{\prime}-\varepsilon_{x}^{0}\right) x+\frac{1}{2}\left(\gamma_{x y}^{\prime}-\gamma_{x y}^{0}-2 \omega\right) y \\
v^{\prime}-v^{0}+\left(\omega^{\prime}-\omega^{0}\right) x+\left(v_{0}^{\prime}-v_{0}\right) & =\left(\varepsilon_{y}^{\prime}-\varepsilon_{y}^{0}\right) y+\frac{1}{2}\left(\gamma_{x y}-\gamma_{x y}^{0}+2 \omega\right) x  \tag{2.19}\\
w^{\prime}-w^{0}+\left(w_{0}^{\prime}-w_{0}\right) & =\left(\gamma_{x z}^{\prime}-\gamma_{x z}^{0}\right) x+\left(\gamma_{y z}^{\prime}-\gamma_{y z}^{0}\right) y+\left(\varepsilon_{z}^{\prime}-\varepsilon_{z}^{0}\right) z, \\
\omega & =\left(\omega^{\prime}-\omega^{0}\right)+\left(\omega_{x y}^{\prime}-\omega_{x y}\right) .
\end{align*}
$$

From the assumption that the length of the cylindrical (or elliptical) inclusion is sufficiently larger than its diameter, it is reasonable that the axial strain along the $z$-axis, $\varepsilon_{z}^{\prime}$, in the inclusion can be assumed to be equal to that in the matrix, $\varepsilon_{2}^{0}$; it is impossible to establish a theoretical determination if this assumption is not made.

By means of Eqs. (2.19) and (2.15) and by some calculations, the boundary conditions (2.11) are reduced to

$$
\begin{align*}
& 2 \sum_{k=1}^{3} p_{k} \Gamma_{k 1}=a\left(\varepsilon_{x}^{\prime}-\varepsilon_{x}^{0}\right)+\frac{1}{2} i b\left(\gamma_{x y}^{\prime}-\gamma_{x y}^{0}-2 \omega\right), \\
& 2 \sum q_{k} \Gamma_{k 1}=\frac{1}{2} a\left(\gamma_{x y}^{\prime}-\gamma_{x y}^{0}+2 \omega\right)+i b\left(\varepsilon_{y}^{\prime}-\varepsilon_{y}^{0}\right),  \tag{2.20}\\
& 2 \sum r_{k} \Gamma_{k 1}=a\left(\gamma_{x z}^{\prime}-\gamma_{x z}^{0}\right)+i b\left(\gamma_{y z}^{\prime}-\gamma_{y z}^{0}\right) .
\end{align*}
$$

Substitution from Eqs. (2.14), (2.17) and (2.1) into Eq. (2.20) gives six simultaneous linear equations with unknown constants $\sigma_{x}^{\prime}, \sigma_{y}^{\prime}, \tau_{y z}^{\prime}, \tau_{x z}^{\prime}, \tau_{x y}^{\prime}$ and $\omega$. Thus by solving these equations, we can obtain the uniform stress components $\sigma_{x}^{\prime}, \sigma_{y}^{\prime}, \tau_{y z}^{\prime}, \tau_{x_{z}}^{\prime}$ and $\tau_{x y}^{\prime}$ in the inclusion and rotation $\omega$. The stress component, $\sigma_{z}^{\prime}$, can be estimated as below, since the axial strain $\varepsilon_{z}^{\prime}$ is equal to $\varepsilon_{z}^{0}$.

$$
\begin{equation*}
\sigma_{z}^{\prime}=\frac{\varepsilon_{z}^{0}}{a_{33}^{\prime}}-\frac{1}{a_{33}^{\prime}}\left(a_{13}^{\prime} \sigma_{x}^{\prime}+a_{23}^{\prime} \sigma_{y}^{\prime}+a_{34}^{\prime} \tau_{y z}^{\prime}+a_{35}^{\prime} \tau_{x z}^{\prime}+a_{38}^{\prime} \tau_{x y}^{\prime}\right), \tag{2.21}
\end{equation*}
$$

where,

$$
\varepsilon_{z}^{0}=a_{13} \sigma_{x}^{0}+a_{23} \sigma_{y}^{0}+a_{33} \sigma_{z}^{0}+a_{34} \tau_{y z}^{0}+a_{35} \tau_{x z}^{0}+a_{36} \tau_{x y}^{0}
$$

Using the above method, we can also calculate the stress components $\sigma_{x}^{0}$, $\sigma_{y}^{0}, \sigma_{z}^{0}, \tau_{y z}^{0}, \tau_{x z}^{0}$ and $\tau_{x y}^{0}$ applied at infinity in the matrix by means of the measured uniform stress components $\sigma_{x}^{\prime}, \sigma_{y}^{\prime}, \sigma_{z}^{\prime}, \tau_{y z}^{\prime}, \tau_{x z}^{\prime}$ and $\tau_{x y}^{\prime}$.

## 3. Numerical Examples

Let the stress components applied at infinity in the matrix be defined by $\sigma_{x}^{0}, \sigma_{y}^{0}, \sigma_{z}^{0}, \tau_{y z}^{0}, \tau_{x z}^{0}$ and $\tau_{x y}^{0}$ as follows.

$$
\begin{gather*}
\left.\begin{array}{c}
\sigma_{i}^{0}=F_{i}^{1} \sigma_{x}^{\prime}+F_{i}^{2} \sigma_{y}^{\prime}+F_{i}^{3} \sigma_{z}^{\prime}+F_{i}^{4} \tau_{y z}^{\prime}+F_{i}^{i} \tau_{x z}^{\prime}+F_{i}^{6} \tau_{\tau y}^{\prime}, \\
\\
\quad(i=x, y, z), \\
\tau_{i j}^{0}=H_{i j}^{1} \sigma_{x}^{\prime}+H_{i j}^{2} \sigma_{y}^{\prime}+H_{i j}^{3} \sigma_{z}^{\prime}+H_{i j}^{4} \tau_{y z}^{\prime}+H_{i j}^{\mathrm{j}} \tau_{x z}^{\prime}+H_{i j}^{\mathrm{i}} \tau_{x y}^{\prime}, \\
\\
\quad(i, j=x, y, z, i \neq j)
\end{array}\right\}, ~ \tag{3.1}
\end{gather*}
$$

Here, $F_{i}^{k}$ and $H_{i j}^{k}(k=1,2, \cdots \cdots, 6)$ are constants to be determined from the elastic constants and their directions with respect to the matrix and the inclusion. These coefficients can be easily calculated by the theoretical solution described above. The coefficients $F_{i}^{k}$ and $H_{i j}^{k}$ represent the influence coefficients of stress from which $\sigma_{i}^{0}$ and $\tau_{j i}^{0}$ may be determined by measured stress components $\sigma_{i}$ and $\tau_{i j}$ in the instrumented inclusion.

If these influence coefficients of stress are obtained, then we can find the stress components in the matrix from Eq. (3.1). In the first case, let us take an example such that the matrix and the inclusion are both isotropic bodies for which the elastic constants of the cylindrical inclusion are $E_{0}=3.0 \times 10^{4} \mathrm{~kg}$ / $\mathrm{cm}^{2}$ and $\nu_{0}=0.360$. Then, the influence coefficients $F_{i}^{4}, F_{i}^{5}, H_{i j}^{1}, H_{i j}^{2}, H_{i j}^{3}, H_{y_{2}}^{6}$, $H_{y z}^{6}, H_{x z}^{4}, H_{x z}^{6}, H_{x y}^{4}$ and $H_{x y}^{s}$ are equal to $z e r o$ in this case. The influence coefficients for this case are shown in Fig. 2 with varying parameters of


Fig. 2. Influence coefficients of stress $F_{i}^{k}$ and $H_{i j}^{k}$ when the matrix and cylindrical inclusion are both isotropic bodies (Young's modulus and Poisson's ratio of the inclusion are respectively, $E_{0}=3.0 \times 10^{4} \mathrm{~kg} / \mathrm{cm}^{2}$ and $\nu_{0}=0.360$ ).

Young's modulus $E$ and Poisson's ratio $\nu$ of the matrix as an isotropic elastic body.

(a)

(c)

(b)

Fig. 3. Influence coefficients of stress $F_{i}^{k}$ and $H_{i j}^{k}$ when the Young's moduli $E_{1}$ and $E_{2}$ of the matrix rotates in-plane with respect to the $z$-axis.

In the second case, let us consider the cross-anisotropic elastic matrix such that the elastic moduli and Poisson's ratios are given respectively by $E_{2}=E_{3}=$ $6.0 \times 10^{4} \mathrm{~kg} / \mathrm{cm}^{2}$ and $\nu_{12}=\nu_{13}=0.150, \nu_{23}=0.250$ and the moduli of rigidity are defined by the following formula:

$$
\begin{equation*}
\frac{1}{G_{i j}}=\frac{1}{E_{i}}+\frac{1}{E_{j}}+\frac{2 \nu_{i j}}{E_{i}}, \quad(i, j=1,2,3) . \tag{3.2}
\end{equation*}
$$

In this case, we assume that the principal elastic axes of the body coincide with the coordinate axes of the rectangular Cartesian system ( $x^{\prime \prime \prime}, y^{\prime \prime \prime}, z^{\prime \prime \prime}$ ) before performing the rotations of the coordinates. ${ }^{10)}$ The influence coefficients of stress, in the case where the principal elastic axes of the matrix are inclined to in-plane or out-of-plane for the plane perpendicular to the $z$-axis of the inclusion, are shown in Figs. 3~4. In the following numerical examples, it was assumed that the elastic constants of the inclusion were equal to $E_{0}=3.0$ $\times 10^{4} \mathrm{~kg} / \mathrm{cm}^{2}$ and $\nu_{0}=0.360$. Fig. 3 (a), (b) and (c) show the influence coefficients in which the axis of the principal elastic modulus $E_{1}$ (or $E_{2}$ ) rotates inplane with respect to the $z$-axis (that is, corresponding to plane orthotropy), when the ratios of the elastic moduli, $e=E_{1} / E_{2}=E_{1} / E_{3}$, take several values.

(a)

(b)

(c)


(d)

Fig. 4. Influence coefficients of stress $F_{i}^{k}$ and $H_{i j}^{k}$ when the plane containing the principal directions of $E_{1}$ and $E_{2}$ of the matrix rotates out-of-plane with respect to the $y$ axis.

Although the coefficients $F_{y}^{k}$ and $H_{y z}^{k}$ are not given in this figure, these coefficients are determined as in the following expressions.

$$
\begin{equation*}
\left(F_{y}^{k}\right)_{\tau}=\left(F_{x}^{k}\right)_{\gamma=90^{\circ}-\gamma},\left(H_{y z}^{k}\right)_{\gamma}=\left(H_{x z}^{k}\right)_{\gamma=90^{\circ}-\gamma .} . \tag{3.3}
\end{equation*}
$$

Here, $r$ is an angle of rotation around the $z^{\prime}$-axis. ${ }^{10)}$ Fig. 4 (a) $\sim(\mathrm{e})$ shows the influence coefficients in which the plane containing the principal axes of the elastic moduli, $E_{1}$ and $E_{2}$, rotates out-of-plane with respect to the $y^{\prime \prime}$ axis. ${ }^{10)}$

When the elastic constants and their directions with respect to the matrix and the inclusion are known in advance, stress components applied at infinity in the matrix can be easily determined from the measured stress components in the instrumented inclusion in the following ways.

As an numerical example, consider the case that the elastic constants of the matrix and the inclusion take the values shown in Figs. 2~4 and the measured stress components in the inclusion are given as

$$
\left.\left.\begin{array}{rl}
\sigma_{x}^{\prime} & =2.0 \mathrm{~kg} / \mathrm{cm}^{2}, \quad \sigma_{y}^{\prime} \tag{3.4}
\end{array}=3.5 \mathrm{~kg} / \mathrm{cm}^{2}, \quad \sigma_{z}^{\prime}=4.3 \mathrm{~kg} / \mathrm{cm}^{2},{ }_{\tau_{y z}}=1.5 \mathrm{~kg} / \mathrm{cm}^{2}, \tau_{x_{z}}^{\prime}=1.0 \mathrm{~kg} / \mathrm{cm}^{2}, \tau_{x y}^{\prime}=-1.2 \mathrm{~kg} / \mathrm{cm}^{2} .\right\}\right\}
$$

When the elastic constants and their directions with respect to the matrix are given that
(a) $E=3.0 \times 10^{5} \mathrm{~kg} / \mathrm{cm}^{2}, \nu=0.150$,
(b) $\quad E_{1}=3.0 \times 10^{5} \mathrm{~kg} / \mathrm{cm}^{2}, \quad E_{2}=E_{3}=6.0 \times 10^{4} \mathrm{~kg} / \mathrm{cm}^{2}, \quad \nu_{12}=\nu_{13}=0.150, \quad \nu_{23}=0.250$, $\gamma=45^{\circ}, \alpha=\beta=0^{\circ}$,
(c) $\quad E_{1}=3.0 \times 10^{5} \mathrm{~kg} / \mathrm{cm}^{2}, \quad E_{2}=E_{3}=6.0 \times 10^{4} \mathrm{~kg} / \mathrm{cm}^{2}, \quad \nu_{12}=\nu_{13}=0.150, \quad \nu_{23}=0.250$, $\beta=45^{\circ}, \alpha=\gamma=0^{\circ}$,
the stress components applied at infinity in the matrix are determined by the use of Figs. 2~4 as follows.
(a) $\sigma_{x}^{0}=1.39, \sigma_{y}^{0}=7.57, \sigma_{z}^{0}=23.48, \tau_{y z}^{0}=9.64, \tau_{x z}^{0}=6.41, \tau_{x y}^{0}=-4.94$,
(b) $\sigma_{x}^{0}=2.61, \quad \sigma_{y}^{0}=5.61, \quad \sigma_{z}^{0}=5.09, \tau_{y z}^{0}=2.71, \tau_{x z}^{0}=1.51, \tau_{x y}^{0}=-3.19$,
(c) $\sigma_{x}^{0}=-3.35, \sigma_{y}^{0}=-1.11, \sigma_{z}^{0}=11.75, \tau_{y z}^{0}=2.75, \tau_{x z}^{0}=4.44, \tau_{x y}^{0}=-1.58$.

In other cases when the elastic constants and their directions with respect to the matrix and the cylindrical inclusion take arbitrarily different values, the same analysis as described above may be easily applied.

## 4. Concluding Remarks

In the present paper we have analyzed the theoretical stresses in isotropic or anisotropic elastic matrices with a cylindrical inclusion in which the length is sufficiently larger than the diameter. The results of calculation have been explicitly shown by several numerical examples. This method is very applicable for practical usage such as for stress measurements in rock masses.

## References

1) E. R. Leeman : The Borehole Deformation Type of Rock Stress Measuring Instruments, Int. J. Rock Mech. Min. Sci., Vol. 4 (1967), pp. 23-44.
2) Y. Hiramatsu and Y. Oka: Determination of the Stress in Rock Unaffected by Boreholes or Drifts, from Strains or Deformations, Int. J. Rock Mech. Min. Sci., Vol. 5 (1968), pp. 337-353.
3) M. Rocha and A. Silvēlio: A New Method for the Complete Determination of the State of Stress in Rock Masses, Geotéchnique, Vol. 19 (1969), pp. 116-132.
4) Y. Oka and I. Bain: A Means of Determining the Complete State of Stress in a Single Borehole, Int. J. Rock Mech. Min. Sci., Vol. 7 (1969), pp. 503-515.
5) Y. Niwa, S. Kobayashi and K. Hirashima: Some Considerations for Measurments of Stresses in Rock Masses by the Use of Photoelastic Gages, Mem. Fac. of Eng., Kyoto Univ., Vol. 31 (1969), pp. 217-230.
6) D. S. Berry : Theory of Determination of Stress Changes in a Transversely Isotropic Medium, Using an Instrumented Cylindrical Inclusion, Technical Report MRD-1-70, Nov. (1970).
7) D. Eshelby : The Determination of the Elastic Field of an Ellipsoidal Inclusion, and Related Problems, Proc. Roy. Soc., A 241 (1957), pp. 376-396; also, see Elastic Inclusions and Inhomogeneities, Progress in Solid Mechanics, Vol. 2 (1961), pp. 89-140.
8) S. G. Lekhnitskii : Anisotropic Plates, (Eng. Trans.), Gordon and Breach Science Publishers, New York (1968), pp. 190-197.
9) S. G. Lekhnitskii : Theory of Elasticity of an Anisotropic Elastic Body, (Eng. Trans.), Holden-Day Inc., (1963), pp. 103-162.
10) Y. Niwa and K. Hirashima : Stress Distribution Around a Tunnel with an Arbitrary Cross Section Excavated in Anisotropic Elastic Ground, Mem. Fac. of Eng., Kyoto Univ., Vol. 32 (1970), pp. 175-193.

[^0]:    * Department of Civil Engineering

