

# Loss of ATF6 $\alpha$ in a human carcinoma cell line is compensated not by its paralogue ATF6 $\beta$ but by sustained activation of the IRE1 and PERK arms for tumor growth in nude mice

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**ABSTRACT** To survive poor nutritional conditions, tumor cells activate the unfolded protein response, which is composed of the IRE1, PERK, and ATF6 arms, to maintain the homeostasis of the endoplasmic reticulum, where secretory and transmembrane proteins destined for the secretory pathway gain their correct three-dimensional structure. The requirement of the IRE1 and PERK arms for tumor growth in nude mice is established. Here we investigated the requirement for the ATF6 arm, which consists of ubiquitously expressed ATF6 $\alpha$  and ATF6 $\beta$ , by constructing ATF6 $\alpha$ -knockout (KO), ATF6 $\beta$ -KO, and ATF6 $\alpha/\beta$ -double KO (DKO) in HCT116 cells derived from human colorectal carcinoma. Results showed that these KO cells grew similarly to wild-type (WT) cells in nude mice, contrary to expectations from our analysis of ATF6 $\alpha$ -KO, ATF6 $\beta$ -KO, and ATF6 $\alpha/\beta$ -DKO mice. We then found that the loss of ATF6 $\alpha$  in HCT116 cells resulted in sustained activation of the IRE1 and PERK arms in marked contrast to mouse embryonic fibroblasts, in which the loss of ATF6 $\alpha$  is compensated for by ATF6 $\beta$ . Although IRE1-KO in HCT116 cells unexpectedly did not affect tumor growth in nude mice, IRE1-KO HCT116 cells with ATF6 $\alpha$  knockdown grew significantly more slowly than WT or IRE1-KO HCT116 cells. These results have unraveled the situation-dependent differential compensation strategies of ATF6 $\alpha$ .

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## INTRODUCTION

Soluble and transmembrane proteins destined for the secretory pathway are synthesized at ribosomes attached to the endoplasmic

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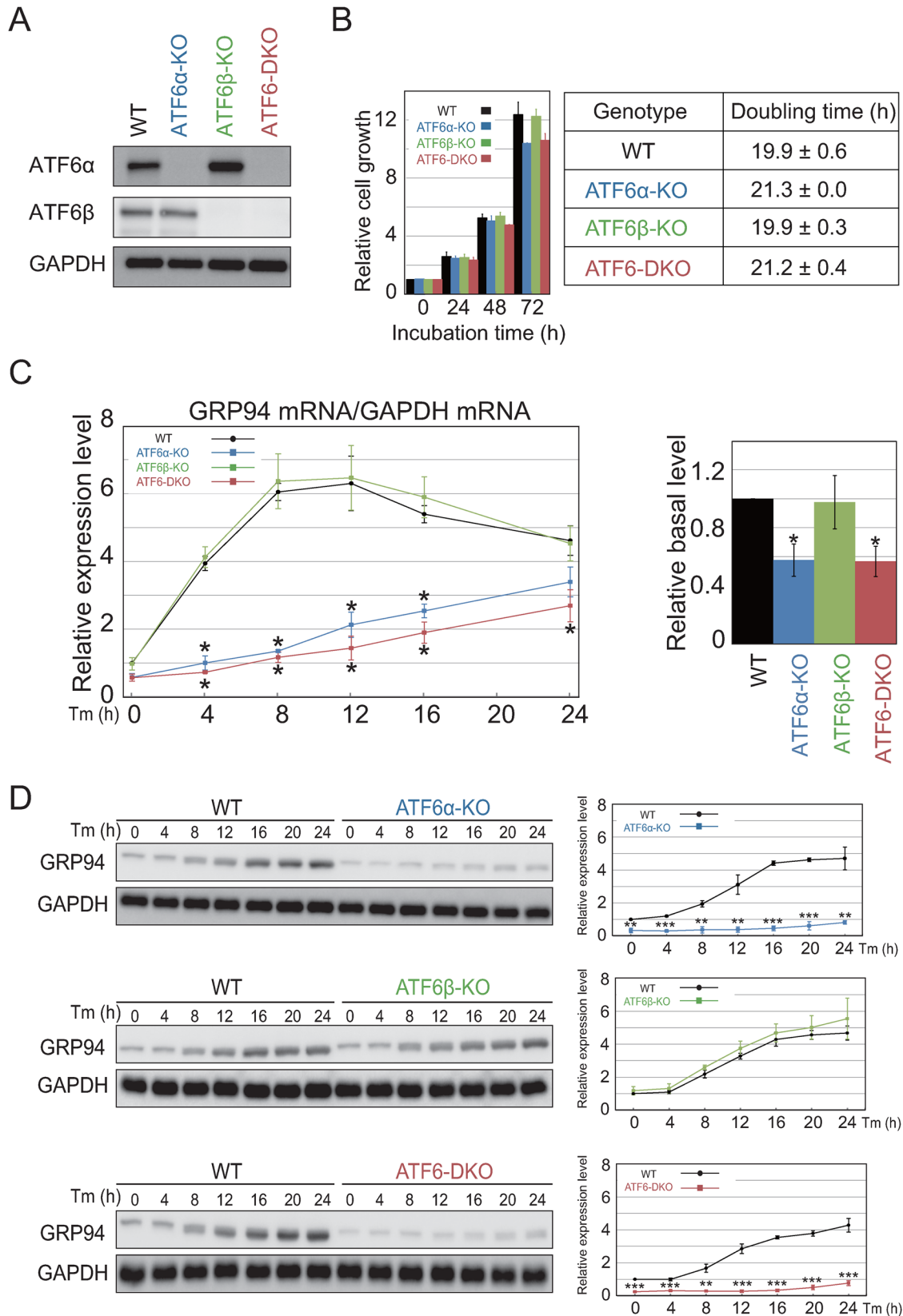
Abbreviations used: CRT, calreticulin; eIF2, eukaryotic initiation factor 2; DKO, double knockout; ER, endoplasmic reticulum; ERAD, ER-associated degradation; ERSE, ER stress response element; KD, knockdown; KO, knockout; MEFs, mouse embryonic fibroblasts; PBS, phosphate-buffered saline; TALEN, transcription activatorlike effector nuclease; UPR, unfolded protein response; UPRE, UPR element; WT, wild type.

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reticulum (ER). Usually these proteins are efficiently folded and matured with assistance from molecular chaperones and folding enzymes (collectively termed ER chaperones hereafter) abundantly expressed in the luminal side of the ER. However, proteins that remain unfolded or misfolded are recognized and dealt with by ER-associated degradation (ERAD), which culminates in ubiquitination-dependent degradation by the cytosolic proteasome (Bukau et al., 2006). Under a variety of physiological and pathological conditions, however, this quality control system is compromised, resulting in ER stress characterized as the accumulation of unfolded or misfolded proteins in the ER. To cope with ER stress, a ubiquitous cellular mechanism, termed the unfolded protein response (UPR), is activated to maintain the homeostasis of the ER (Kaufman, 1999; Mori, 2000).

The UPR is triggered when three types of transmembrane proteins in the ER, namely, IRE1, PERK, and ATF6, sense ER stress and initiate their downstream transcriptional and translational programs (Mori, 2009; Walter and Ron, 2011). Translation is generally regulated at the level of initiation. Thus phosphorylation of the  $\alpha$  subunit of



**FIGURE 1:** Effect of ATF6 $\alpha$ / $\beta$  deletion on induction of GRP94. (A) Cell lysates were prepared from HCT116 cells of the indicated genotypes and analyzed by immunoblotting using anti-ATF6 $\alpha$ , anti-ATF6 $\beta$ , and anti-GAPDH antibodies. (B) Growth of HCT116 cells of the indicated genotypes was determined by counting cell number every 24 h, and their doubling times were determined ( $n = 3$ ). (C) HCT116 cells of the indicated genotypes were treated with tunicamycin (Tm, 2  $\mu$ g/ml) for the indicated time, and then total RNA was isolated and subjected to quantitative RT-PCR to determine the level of GRP94 mRNA relative to that of GAPDH mRNA ( $n = 3$ ). The levels of GRP94 mRNA at time 0 in

eukaryotic initiation factor 2 (eIF2 $\alpha$ ) leads to transient and global attenuation of translation (Harding *et al.*, 2002). The major transcriptional targets for maintenance of the homeostasis of the ER are ER chaperones and ERAD components. Two types of ER stress-responsive *cis*-acting elements have been identified: the ER stress response element (ERSE), composed of CCAAT-N9-CCACG, is responsible for transcriptional induction of ER chaperones (Yoshida *et al.*, 1998), whereas the UPR element (UPRE), composed of TGACGTGG/A, is involved in the transcriptional induction of ERAD components (Yoshida *et al.*, 2001a).

IRE1, consisting of ubiquitous IRE1 $\alpha$  (Tirasophon *et al.*, 1998) and gut-specific IRE1 $\beta$  (Wang *et al.*, 1998) in mammals, is a type I transmembrane protein possessing protein kinase and endoribonuclease domains in its cytosolic side. It is the most evolutionarily conserved UPR sensor/transducer from yeast to humans (Cox *et al.*, 1993; Mori *et al.*, 1993). Its downstream transcription factor is encoded by XBP1 mRNA in metazoans. Upon activation in response to ER stress via oligomerization and autophosphorylation, IRE1 initiates unconventional (frame switch-type) splicing of XBP1 mRNA to produce a highly active transcription factor XBP1(S) which stands for spliced form (Yoshida *et al.*, 2001a; Calton *et al.*, 2002), whereas constitutively expressed unspliced XBP1 mRNA is translated to produce XBP1(U) (U stands for unspliced form), which can function as a negative regulator of XBP1(S) (Yoshida *et al.*, 2006). XBP1(S) binds to both ERSE and UPRE (Yoshida *et al.*, 2001a).

PERK is a ubiquitously expressed type I transmembrane protein possessing a protein kinase domain in its cytosolic side, which is conserved in metazoans. Upon activation in response to ER stress via oligomerization and autophosphorylation, PERK phosphorylates eIF2 $\alpha$  to decrease the burden on the ER by attenuating translation (Harding *et al.*, 1999). Interestingly and paradoxically, attenuated translation leads to translational induction of certain mRNAs, including ATF4 mRNA encoding the transcription factor ATF4. Targets of ATF4 contain the proapoptotic transcription factor CHOP (Harding *et al.*, 2000).

ATF6, consisting of both ubiquitous ATF6 $\alpha$  and ATF6 $\beta$ , is a type II transmembrane protein which has gained functionality as a transcription factor in vertebrates (Haze *et al.*, 1999, 2001; Ishikawa *et al.*, 2013). Constitutively synthesized ATF6 $\alpha$ (P) and ATF6 $\beta$ (P) (P stands for precursor form) relocate from the ER to the Golgi apparatus in response to ER stress via COPII vesicles and are then sequentially cleaved by two proteases, Site-1 and Site-2 proteases (Ye *et al.*, 2000; Nakanaka *et al.*, 2004). ATF6 $\alpha$ (N) and ATF6 $\beta$ (N) (N stands for nuclear form) liberated from the Golgi membrane contain DNA-binding and transcriptional activation domains and activate transcription via binding to ERSE (Yoshida *et al.*, 2000, 2001b). ATF6 $\alpha$ (N) is more active than ATF6 $\beta$ (N) as a transcription factor (Haze *et al.*, 2001). In addition, ATF6 $\alpha$ (N)-XBP1(S) heterodimer binds to UPRE with higher affinity than XBP1(S) homodimer (Yamamoto *et al.*, 2007).

Mouse embryonic fibroblasts (MEFs) deficient in ATF6 $\alpha$ , ATF6 $\beta$ , IRE1 $\alpha$ , or XBP1 were obtained and extensively characterized. BiP/GRP78 and GRP94 are two major ER chaperones of the Hsp70 and Hsp90 family, respectively, and react with anti-KDEL antibody. Northern blotting or quantitative RT-PCR showed that induction of BiP mRNA in response to ER stress was greatly attenuated in ATF6 $\alpha$ -knockout (KO) MEFs (Wu *et al.*, 2007; Yamamoto *et al.*, 2007) but

was not affected in ATF6 $\beta$ -KO MEFs (Yamamoto *et al.*, 2007), IRE1 $\alpha$ -KO MEFs (Yoshida *et al.*, 2003; Wu *et al.*, 2007), or XBP1-KO MEFs (Lee *et al.*, 2003). Microarray analysis showed that the targets of ATF6 $\alpha$  include various molecular chaperones (BiP, GRP94, ORP150/GRP170, and calreticulin [CRT]), the co-chaperone ERdj3, and various oxidoreductases (ERp72, P5, GRP58, and ERO1 $\beta$ ) (Adachi *et al.*, 2008). Immunoblotting confirmed that induction of BiP, GRP94, ERp72, and P5 was lost in ATF6 $\alpha$ -KO MEFs (Wu *et al.*, 2007; Yamamoto *et al.*, 2007). Northern blotting confirmed that induction of ERdj3 mRNA was greatly attenuated in ATF6 $\alpha$ -KO MEFs (Adachi *et al.*, 2008). Interestingly, Northern blotting showed that the co-chaperone ERdj4 mRNA was induced in response to ER stress similarly in both wild-type (WT) and ATF6 $\alpha$ -KO MEFs (Adachi *et al.*, 2008), and that induction of ERdj4 mRNA was lost in XBP1-KO MEFs (Lee *et al.*, 2003). These results indicate that a majority of ER chaperones are targets of ATF6 $\alpha$ , whereas the co-chaperones ERdj3 and ERdj4 are targets of the ATF6 and IRE1 arms, respectively (Yamamoto *et al.*, 2007; Adachi *et al.*, 2008).

Microarray analysis also showed that ERAD components (HRD1, SEL1L, Herp1, Derlin3, and EDEM1) are targets of ATF6 $\alpha$  (Adachi *et al.*, 2008). Northern blotting or quantitative RT-PCR showed that induction of mRNA encoding HRD1, Herp1, and EDEM1 was greatly attenuated not only in ATF6 $\alpha$ -KO MEFs but also in IRE1 $\alpha$ -KO MEFs and XBP1-KO MEFs (Wu *et al.*, 2007; Yamamoto *et al.*, 2008). Based on these findings, we postulated that a majority of ERAD components are induced by ATF6 $\alpha$ -XBP1 heterodimer (Yamamoto *et al.*, 2007, 2008).

Tumor cells must cope with conditions of hypoxia and poor nutrition until the completion of angiogenesis. To do so, they activate the hypoxia response and UPR to survive (Akman *et al.*, 2021; Satija *et al.*, 2021). Accordingly, virally transformed XBP1-KO MEFs and PERK-KO MEFs, in which activation of the IRE1 and PERK arms, respectively, in response to ER stress was completely prevented, were shown to grow very poorly in nude mice (Romero-Ramirez *et al.*, 2004; Bi *et al.*, 2005). In contrast, nothing is known regarding the ATF6 arm, because ATF6 $\alpha$  and ATF6 $\beta$  function redundantly in the ATF6 arm and their double KO (DKO) MEFs are not available due to embryonic lethality in ATF6 $\alpha$ /ATF6 $\beta$ -DKO mice at a very early stage (Yamamoto *et al.*, 2007).

Here we examined the effect of deletion of the ATF6 arm on tumor growth in nude mice following the advent of innovative genome editing technologies which allowed us to construct ATF6 $\alpha$ /ATF6 $\beta$ -DKO in a human carcinoma cell line.

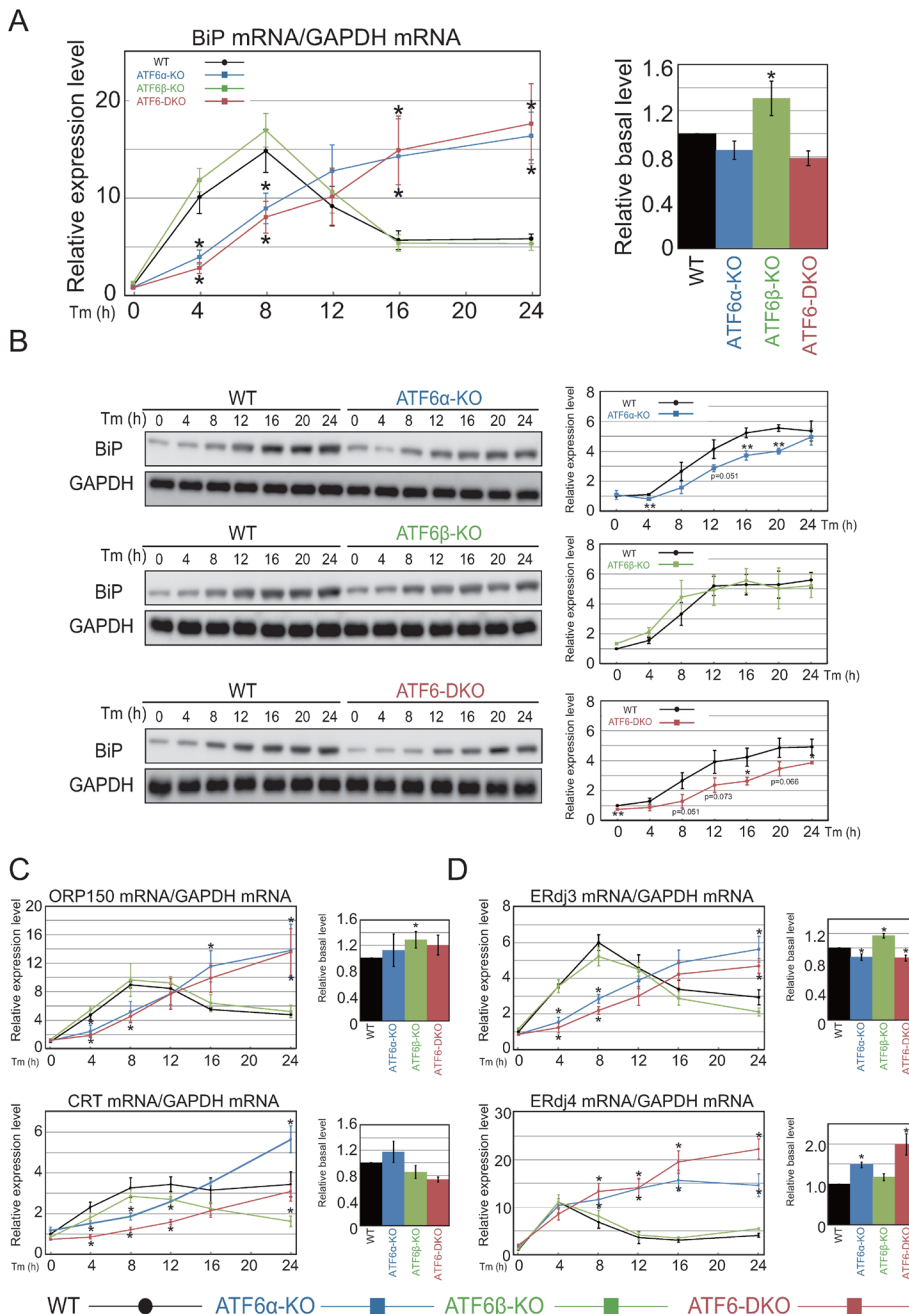
## RESULTS

### Deletion of ATF6 $\alpha$ but not ATF6 $\beta$ results in sustained activation of the IRE1 and PERK arms

We previously constructed ATF6 $\alpha$ - and ATF6 $\beta$ -DKO (ATF6-DKO) cells using HCT116 diploid cells derived from human colorectal carcinoma (Koba *et al.*, 2020) via the construction of ATF6 $\alpha$ - and ATF6 $\beta$ -KO cells (Supplemental Figure S1, A and 1B). Data for genomic PCR confirming homologous recombination induced by the transcription activator-like effector nuclease (TALEN) method in ATF6 $\alpha$ -KO and ATF6 $\beta$ -KO cells are shown in Supplemental Figure S1, C and D, respectively. The absence of ATF6 $\alpha$  in ATF6 $\alpha$ -KO and ATF6-DKO cells and that of ATF6 $\beta$  in ATF6 $\beta$ -KO and ATF6-DKO cells were confirmed by immunoblotting (Figure 1A). WT and these

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various KO cells relative to that in WT cells are shown on the right. (D) HCT116 cells of the indicated genotypes were treated with tunicamycin (Tm, 2  $\mu$ g/ml) for the indicated time, and then cell lysates were prepared and analyzed by immunoblotting using anti-KDEL antibody to determine the level of GRP94 relative to that of GAPDH ( $n = 3$ ). Quantified data are shown on the right.



**FIGURE 2:** Effect of ATF6 $\alpha$ / $\beta$  deletion on induction of ER chaperones and co-chaperones. (A) Quantitative RT-PCR was conducted to determine the level of BiP mRNA relative to that of GAPDH mRNA ( $n = 3$ ), as in Figure 1C. (B) Immunoblotting using anti-KDEL antibody was conducted to determine the level of BiP relative to that of GAPDH ( $n = 3$ ), as in Figure 1D. (C) Quantitative RT-PCR was conducted to determine the levels of ORP150 mRNA and CRT mRNA relative to that of GAPDH mRNA ( $n = 3$ ), as in Figure 1C. (D) Quantitative RT-PCR was conducted to determine the levels of ERdj3 mRNA and ERdj4 mRNA relative to that of GAPDH mRNA ( $n = 3$ ), as in Figure 1C.

three types of KO cells grew in a comparable manner in cell culture (Figure 1B).

Quantitative RT-PCR (Figure 1C) and immunoblotting (Figure 1D) revealed that induction of GRP94 in response to treatment with tunicamycin, which induces ER stress by inhibiting protein *N*-glycosylation (Kaufman, 1999), observed in WT cells was greatly attenuated in ATF6 $\alpha$ -KO and ATF6-DKO cells but not in ATF6 $\beta$ -KO cells at

the levels of mRNA and protein, respectively, similarly to the case of MEFs deficient in ATF6 $\alpha$  or ATF6 $\beta$  (Yamamoto *et al.*, 2007). In contrast, quantitative RT-PCR revealed that although induction of BiP mRNA observed in WT cells was significantly decreased in ATF6 $\alpha$ -KO and ATF6-DKO cells but not in ATF6 $\beta$ -KO cells until 8 h, it rather increased significantly from 16 h in ATF6 $\alpha$ -KO and ATF6-DKO cells (Figure 2A). Accordingly, induction of BiP protein observed in WT cells was only slightly decreased in ATF6 $\alpha$ -KO and ATF6-DKO cells, whereas it was unaffected in ATF6 $\beta$ -KO cells (Figure 2B). Induction of ORP150 mRNA and CRT mRNA showed a pattern similar to that of BiP mRNA, except for reproducibly lower induction of CRT mRNA in ATF6-DKO cells compared with ATF6 $\alpha$ -KO cells at all time points as well as a higher level of CRT mRNA in WT cells compared with ATF6 $\beta$ -KO cells after 12 h for an unknown reason (Figure 2C).

Induction of ERdj3 mRNA, a target of the ATF6 arm, in response to tunicamycin treatment in WT, ATF6 $\alpha$ -KO, ATF6 $\beta$ -KO, and ATF6-DKO cells showed a pattern similar to that of BiP mRNA (Figure 2D). In contrast, induction of ERdj4 mRNA, a target of the IRE1 arm, observed in WT cells in response to tunicamycin treatment was not decreased in ATF6 $\alpha$ -KO, ATF6 $\beta$ -KO, or ATF6-DKO cells until 4 h but then increased rather significantly from 8 h in ATF6 $\alpha$ -KO and ATF6-DKO cells but not in ATF6 $\beta$ -KO cells (Figure 2D). These results suggested the possibility that the IRE1 arm is activated in ATF6 $\alpha$ -KO and ATF6-DKO cells.

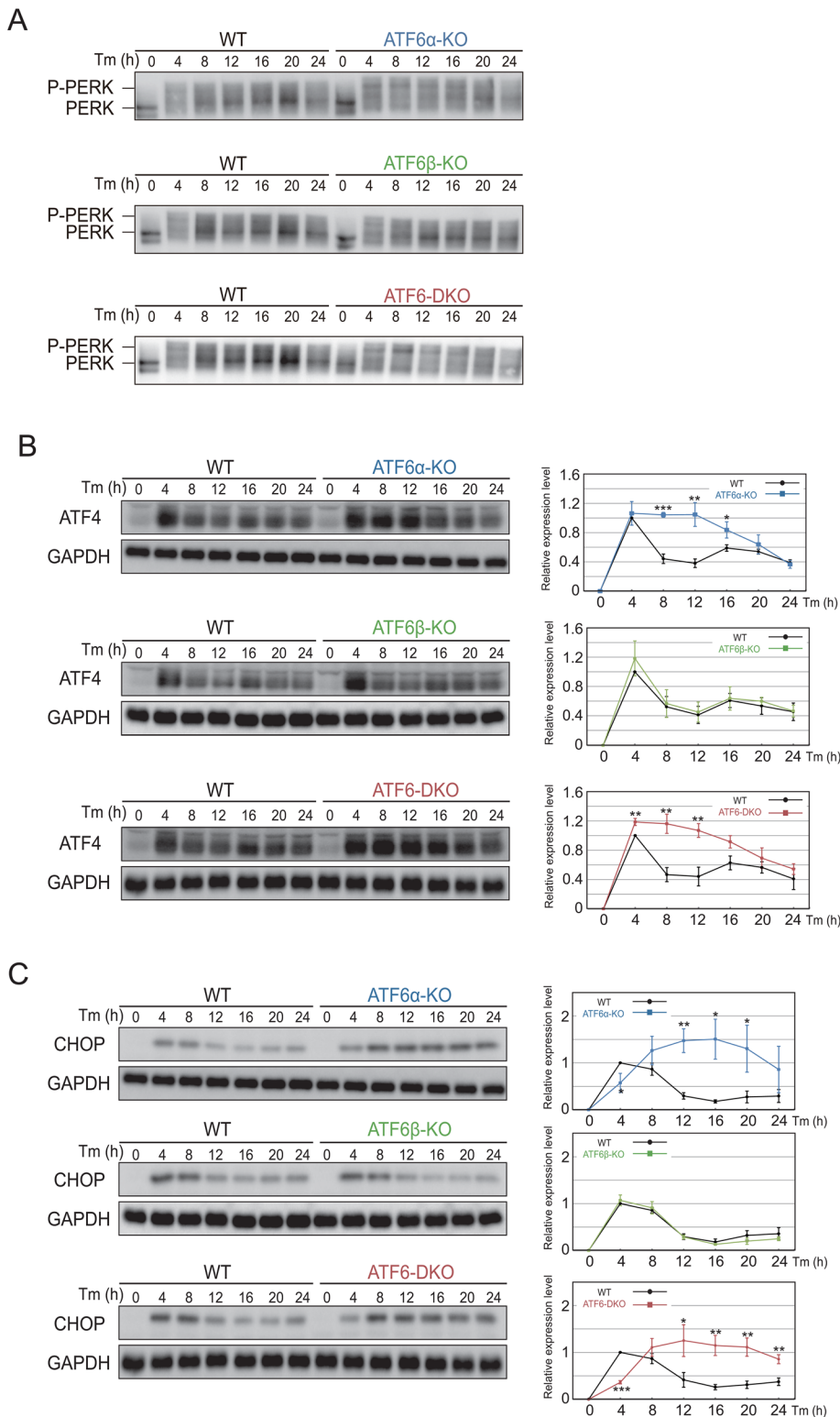
We therefore checked the activation status of IRE1 $\alpha$  by immunoblotting using standard and phos-tag gels and found that activation of IRE1 $\alpha$  via autophosphorylation (thus with slower migration during SDS-PAGE) peaked at 4 h in WT and ATF6 $\beta$ -KO cells, whereas the activation status of IRE1 $\alpha$  was markedly sustained in ATF6 $\alpha$ -KO and ATF6-DKO cells (Figure 3A). Accordingly, transient induction of the active (spliced) form of XBP1, designated XBP1(S), after tunicamycin treatment in WT and ATF6 $\beta$ -KO cells was markedly sustained in ATF6 $\alpha$ -KO and ATF6-DKO cells (Figure 3B). Of note, the extent of cleavage of Caspase3, an apoptosis marker, was increased in tunicamycin-treated

ATF6 $\alpha$ -KO and ATF6-DKO cells compared with that in tunicamycin-treated WT and ATF6 $\beta$ -KO cells (Figure 3C), suggesting that sustained induction of XBP1(S) appeared not to be protective for ATF6 $\alpha$ -KO and ATF6-DKO cells.

We also found that the activation of PERK via autophosphorylation (thus with slower migration during SDS-PAGE) peaked at 4 h in WT and ATF6 $\beta$ -KO cells, whereas the activation status of PERK was







**FIGURE 4:** Effect of ATF6 $\alpha/\beta$  deletion on activation status of PERK, induction of ATF4, and induction of CHOP. (A) Immunoblotting using anti-PERK antibody was conducted to determine the activation status of PERK, as in Figure 1D. (B) Immunoblotting using anti-ATF4 antibody was conducted to determine the level of ATF4 relative to that of GAPDH ( $n = 3$ ), as in Figure 1D. (C) Immunoblotting using anti-CHOP antibody was conducted to determine the level of CHOP relative to that of GAPDH ( $n = 3$ ), as in Figure 1D.

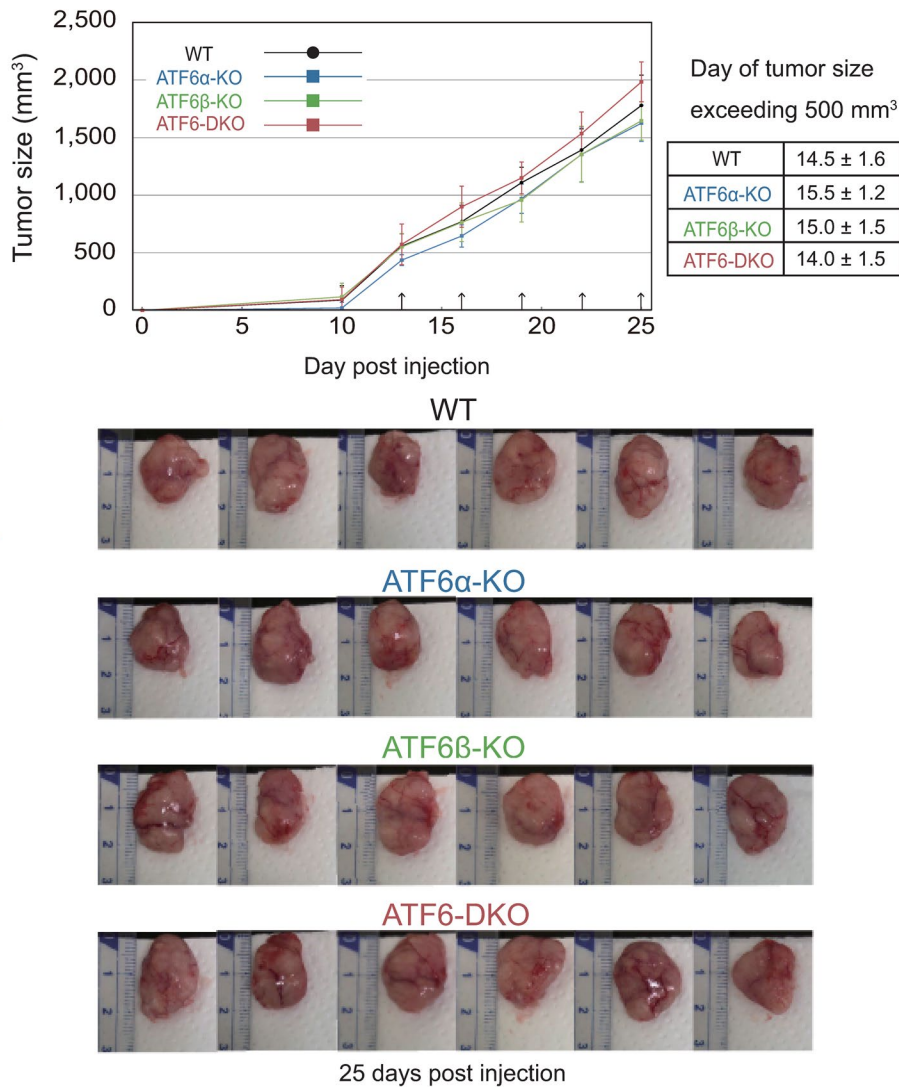
cells compared with tunicamycin-treated IRE1 $\alpha$ -KO\* cells (Supplemental Figure S3D), suggesting the protective role of ATF6 $\alpha$ .

Quantitative RT-PCR revealed that induction of mRNA coding for ER chaperones BiP, ORP150 and CRT as well as ER co-chaperone ERdj3—all of which are targets of the ATF6 arm—in response to tunicamycin treatment was slightly decreased in IRE1 $\alpha$ -KO\* cells, whereas induction of ERdj4 mRNA, a target of the IRE1 arm, in response to tunicamycin treatment was lost almost completely in IRE1 $\alpha$ -KO\* and IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells, as expected (Figure 7A, purple lines). Importantly, sustained induction of mRNA coding for BiP, ORP150, CRT and ERdj3 observed in ATF6 $\alpha$ -KO cells (Figure 7A, blue lines) was canceled in IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells (Figure 7A, pink lines). Accordingly, induction of BiP protein was decreased more evidently in IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells (Figure 7B) compared with ATF6 $\alpha$ -KO and ATF6-DKO cells (Figure 2B). Although induction of GRP94 mRNA and GRP94 protein was not decreased in IRE1 $\alpha$ -KO\* cells, it was lost in IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells (Figure 7, A and C), similarly to the case of ATF6 $\alpha$ -KO and ATF6-DKO cells (Figure 1, C and D).

Injection experiments revealed that IRE1 $\alpha$ -KO\* cells grew in nude mice similarly to WT and ATF6 $\alpha$ -KO cells (Figure 8), although IRE1 $\alpha$ -KO\* cells grew significantly more slowly in cell culture than WT and ATF6 $\alpha$ -KO cells (Figure 6B). Critically, IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells grew significantly more slowly in nude mice than IRE1 $\alpha$ -KO\* cells (Figure 8), although they grew similarly in cell culture (Figure 6B).

## DISCUSSION

The requirement of ubiquitously expressed IRE1 $\alpha$  and PERK for tumor growth in nude mice was reported within 6 years after the discovery of these molecules (Romero-Ramirez et al., 2004; Bi et al., 2005). In contrast, the role of the ATF6 arm in tumor growth in nude mice has not been determined for more than 20 years since its discovery, except for one report showing reduced tumor growth in nude mice by KD of ATF6 $\alpha$  in D-HEP3 cells, which had been reprogrammed into a reversible dormant phenotype during passage in culture for more than 40 generations from the highly tumorigenic and metastatic human squamous carcinoma cell line T-Hep3 (Schewe and Aguirre-Ghiso, 2008). This is because the ATF6 arm consists of both ubiquitously expressed



**FIGURE 5:** Effect of ATF6 $\alpha$ / $\beta$  deletion on tumor growth in nude mice. Tumor sizes were measured on the days indicated by arrows after injection of HCT116 cells of the indicated genotypes into nude mice. The day on which tumor size exceeded 500 mm<sup>3</sup> was determined and is shown in Table ( $n = 6$ ). Tumors formed 25 d postinjection were photographed.

ATF6 $\alpha$  and ATF6 $\beta$ . ATF6 $\alpha$ - or ATF6 $\beta$ -single KO mice or medaka fish showed no obvious phenotype, whereas ATF6 $\alpha$ - and ATF6 $\beta$ -DKO mice or medaka fish exhibited embryonic lethality at very early stages (Yamamoto *et al.*, 2007; Ishikawa *et al.*, 2013). This hampered production of ATF6 $\alpha$ - and ATF6 $\beta$ -DKO MEFs for viral transformation. We further showed that both ATF6 $\alpha$  and ATF6 $\beta$  responded to physiological ER stress, which occurred during embryonic development of medaka fish by activating the major ER chaperone BiP promoter (Ishikawa *et al.*, 2013). Based on these findings, it has been considered that construction of ATF6 $\alpha$ - and ATF6 $\beta$ -DKO cancer cells is essential to examine the requirement of the ATF6 arm for tumor growth in nude mice.

Here we constructed ATF6 $\alpha$ -KO, ATF6 $\beta$ -KO, and ATF6 $\alpha$ /ATF6 $\beta$ -DKO in tumorigenic HCT116 cells. Results showed that ATF6 $\alpha$ -KO cells and ATF6 $\alpha$ /ATF6 $\beta$ -DKO cells responded to tunicamycin treatment quite similarly in the induction of various ER chaperones (Figures 1 and 2). In other words, ATF6 $\beta$  played no role in the response to tunicamycin treatment in HCT116 cells, just like ATF6 $\beta$ -KO MEFs and ATF6 $\beta$ -KO medaka fish responded to tunicamycin treat-

ment similarly to WT MEFs and WT fish, respectively (Yamamoto *et al.*, 2007; Ishikawa *et al.*, 2013). In contrast to our expectation, ATF6 $\alpha$ /ATF6 $\beta$ -DKO HCT116 cells grew normally in nude mice (Figure 5). This was due to sustained activation of IRE1 $\alpha$  and PERK in the absence of ATF6 $\alpha$  (Figure 3 and 4). Indeed, when ATF6 $\alpha$  was knocked down in IRE1 $\alpha$ -KO HCT116 cells, these cells grew significantly more slowly than ATF6 $\alpha$ -KO or IRE1 $\alpha$ -KO HCT116 cells in nude mice (Figure 8). KO or KD of PERK in IRE1 $\alpha$ -KO/ATF6 $\alpha$ -KD cells is expected to further slow their growth in nude mice.

We were surprised to find that the loss of IRE1 $\alpha$  in HCT116 cells did not affect tumor growth in nude mice (Figure 8), because we previously showed that virally transformed MEFs deficient in XBP1, a transcription factor downstream of IRE1 $\alpha$ , did not grow at all in nude mice (Romero-Ramirez *et al.*, 2004). A literature search revealed that IRE1 $\alpha$  KO in human triple negative breast cancer cell lines, such as MDA-MB-231 and HCC1806 cells (Harnoss *et al.*, 2020), or in the human multiple myeloma cell line KMS-11 (Harnoss *et al.*, 2019), reduced tumor growth in nude mice. Treatment of the human multiple myeloma cell line RPMI8226 with the IRE1 $\alpha$  inhibitor MKC-3946 (Mimura *et al.*, 2012) or STF083010 (Papandreou *et al.*, 2011) reduced tumor growth in nude mice, whereas treatment of MDA-MB-231 cells with the IRE1 $\alpha$  inhibitor MKC8866 did not do so (Logue *et al.*, 2018). IRE1 $\alpha$  KD in the human prostate cancer cell line LNCaP and treatment of human prostate cancer cell lines such as LNCaP, VCap, 22Rv1, and C4-2B with the IRE1 $\alpha$  inhibitor MKC8866 (Sheng *et al.*, 2019) reduced tumor growth in nude mice (Sheng *et al.*, 2015). Therefore, the case of HCT116 cells appears to be exceptional. We will examine the effect of XBP1

KO in HCT116 cells on tumor growth in nude mice, and if XBP1-KO HCT116 cells do not grow well in nude mice, we will then examine which of XBP1(S) or XBP1(U) helps the growth of IRE1 $\alpha$ -KO HCT116 cells in these mice.

Current and previous analyses of cells deficient in ATF6 $\alpha$  have revealed a marked difference in the response to tunicamycin treatment between HCT116 cells and MEFs (Yamamoto *et al.*, 2007), namely, that sustained activation of IRE1 $\alpha$  and PERK occurs only in HCT116 cells. Our preliminary experiments suggest that this might be due to a difference in the level of protein synthesis between colorectal carcinoma-derived cells and primary embryonic fibroblasts. The effect of ATF6 $\alpha$  KD on the IRE1 and PERK arms was previously examined using human neuroblastoma-derived SH-SY5Y cells (Walter *et al.*, 2018). Since measurement of fluorescent protein expression from reporter genes in ER-stressed cells showed up-regulation of the IRE1 arm but no effect on the PERK arm in ATF6 $\alpha$ -KD SH-SY5Y cells, the authors focused only on the IRE1 arm and determined the underlying mechanism at the mRNA and protein levels, resulting in the proposal that IRE1



Reagent type or resources	Designation	Source or reference	Identifier	Additional information
Cell line ( <i>Homo Sapiens</i> )	colorectal carcinoma	ATCC	HCT116	The cell lines have been authenticated and tested negative for mycoplasma.
Antibody	anti-KDEL (Mouse monoclonal)	MBL	Cat#: M181-3	WB (1:5000)
Antibody	anti-GAPDH (Rabbit polyclonal)	Trevigen	Cat#:2275-PC-100	WB (1:1000)
Antibody	anti-IRE1 $\alpha$ (Rabbit monoclonal)	Cell Signaling Technology	Cat#: 3294	WB (1:1000)
Antibody	anti-XBP1 (Rabbit polyclonal)	Santa Cruz Biotechnology	Cat#: sc-7160	WB (1:1000)
Antibody	Anti-PERK	Cell Signaling Technology	Cat#: 3192	WB (1:1000)
Antibody	anti-ATF4 (Rabbit polyclonal)	Santa Cruz Biotechnology	Cat#: sc-200	WB (1:1000)
Antibody	anti-CHOP (Rabbit polyclonal)	Santa Cruz Biotechnology	Cat#: sc-793	WB (1:1000)
Antibody	anti-Caspase-3 (Rabbit polyclonal)	Cell Signaling Technology	Cat#: 9662	WB (1:1000)
Antibody	anti-Cleaved Caspase-3 (Rabbit polyclonal)	Cell Signaling Technology	Cat#:9661	WB (1:1000)

**TABLE 1:** Key resources.

signaling during ER stress has an ATF6 $\alpha$ -dependent “off-switch.” However, our analysis at the protein level clearly showed sustained activation of both the IRE1 and PERK arms in ATF6 $\alpha$ -KO HCT116 cells (Figures 3 and 4). We speculate that the level of protein synthesis in SH-SY5Y cells may be in between that in HCT116 cells and MEFs.

Here is our scenario for the differential compensation strategies of ATF6 $\alpha$ . Transcriptional induction of ER chaperones is the most effective and productive way to cope with ER stress as it leads to the refolding of unfolded or misfolded proteins accumulated in the ER. This induction is mediated by the IRE1 arm in yeast and nonvertebrates, but the mediator is switched to the ATF6 arm in vertebrates (Mori, 2009). KO of the ATF6 arm produces the most detrimental effect on the development of both medaka fish and mice compared with KO of the IRE1 or PERK arm (Mori, 2009; Ishikawa *et al.*, 2013; Ishikawa *et al.*, 2017). We thus envision that switching to the ATF6 arm simultaneously requires a compensation strategy for its absence to maintain the viability of embryos of medaka fish and mice. We consider that this strategy is found in the duplication of the ATF6 gene to the ATF6 $\alpha$  and ATF6 $\beta$  genes. Certain types of proteins synthesized in large amounts during embryonic development of medaka fish and mice, such as extracellular matrix proteins, perhaps cause physiological ER stress, which could be handled by various ER chaperones induced by ATF6 $\alpha$  and ATF6 $\beta$  in a redundant manner (Ishikawa *et al.*, 2013).

In contrast, the level of protein synthesis is expected to be elevated in tumor cells compared with MEFs. Accordingly, perhaps a variety of proteins become unfolded or misfolded during tumor growth in nude mice, just as in cells treated with tunicamycin. In this case, the loss of ATF6 $\alpha$  cannot be compensated for by its paralogue ATF6 $\beta$  but is compensated for by sustained activation of the IRE1 $\alpha$  and PERK arms, which trigger their own signaling cascades to cope with “heavy” ER stress. Namely, the living organism must adopt situation-dependent differential compensation for the loss of ATF6 $\alpha$  as a survival strategy under various stressful conditions.

## MATERIALS AND METHODS

[Request a protocol](#) through *Bio-protocol*.

## Statistics

Statistical analysis was conducted using Student’s *t* test for the immunoblotting data, with probability expressed as \**p* < 0.05, \*\**p* < 0.01, and \*\*\**p* < 0.001, and using Dunnett test for the quantitative RT-PCR and tumor growth data in nude mice, with probability expressed as \**p* < 0.05.

## Construction of plasmids

Recombinant DNA techniques were performed according to standard procedures (Sambrook *et al.*, 1989). The integrity of all constructed plasmids was confirmed by extensive sequencing analyses.

## Cell culture and transfection

HCT116 cells (CCL-247; ATCC, Table 1) were cultured in DMEM (4.5 g/l glucose) supplemented with 10% fetal bovine serum, 2 mM glutamine, and antibiotics (100 U/ml penicillin and 100  $\mu$ g/ml streptomycin) at 37°C in a humidified 5% CO<sub>2</sub>/95% air atmosphere. Cells were transfected with plasmid DNA using polyethylenimine MAX (Polysciences) unless indicated and then incubated at 37°C for an appropriate time to express the transfected gene.

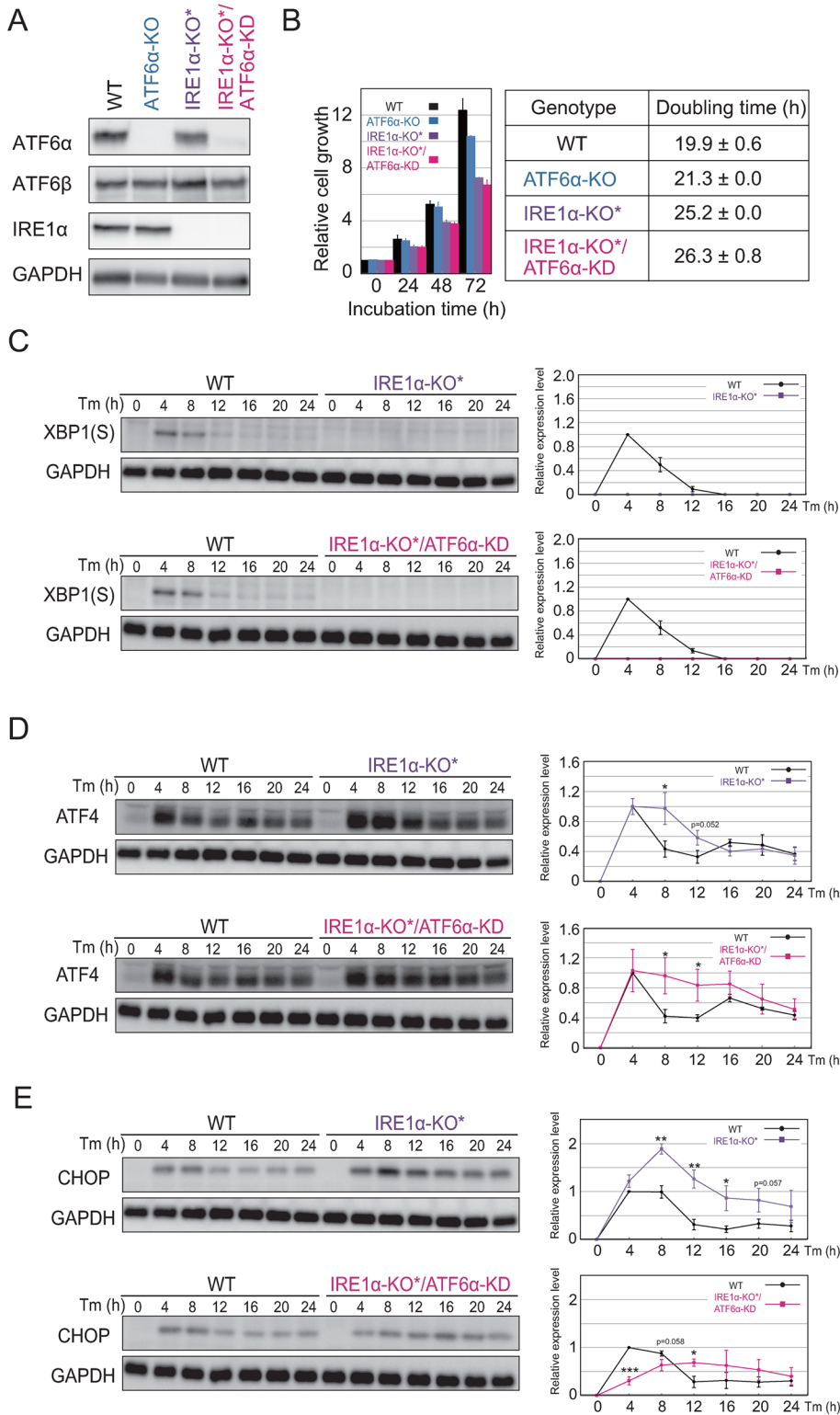
## Construction of IRE1 $\alpha$ targeting vector

The 1.5-kb fragment of the IRE1 $\alpha$  gene used for the 3’-arm was amplified by PCR from HCT116 cell genomic DNA using the primers 5’-AGCGTCAGCAGCAGCAGCAG-3’ and 5’-ATTCGCCCTATAGTGAGTCG-3’ and then inserted between the NotI and the HindIII sites of the DT-A-pA/loxP/PGK-Puro-pA/loxP vector (Ninagawa *et al.*, 2014) to create the DT-A-pA/loxP/PGK-Puro-pA/loxP-3’-arm (IRE1 $\alpha$ ). The 1.5-kb fragment of the IRE1 $\alpha$  gene used for the 5’-arm was similarly amplified using the primers 5’-CGCGCCTTAAGTCGACAAGC-3’ and 5’-CTGTCCTC-CACCCCACGCTC-3’ and then inserted between the XbaI and the XhoI sites of DT-A-pA/loxP/PGK-Puro-pA/loxP-3’-arm (IRE1 $\alpha$ ) to create pKO-IRE1 $\alpha$ -puromycin.

## Construction of IRE1 $\alpha$ -KO\* and IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells

pKO-IRE1 $\alpha$ -puromycin as well as TALEN 5’ and 3’ target vectors for IRE1 $\alpha$  (Supplemental Figure S2A) were transfected into HCT116 WT





**FIGURE 6:** Effect of KD of ATF6 $\alpha$  with simultaneous deletion of IRE1 $\alpha$  on induction of XBP1(S), ATF4, and CHOP. (A) Cell lysates were prepared from HCT116 cells of the indicated genotypes and analyzed by immunoblotting using anti-ATF6 $\alpha$ , anti-ATF6 $\beta$ , anti-IRE1 $\alpha$ , and anti-GAPDH antibodies. The puromycin-resistant gene was removed from IRE1 $\alpha$ -KO cells to produce IRE1 $\alpha$ -KO\* cells. (B) Growth of HCT116 cells of the indicated genotypes was determined by counting cell number every 24 h, and their doubling times were determined ( $n = 3$ ). (C) Immunoblotting using anti-XBP1 antibody was conducted to determine the level of XBP1(S) relative to that of GAPDH ( $n = 3$ ), as in Figure 1D. (D) Immunoblotting using anti-ATF4 antibody was conducted to determine the level of ATF4 relative to that of GAPDH ( $n = 3$ ), as in Figure 1D.

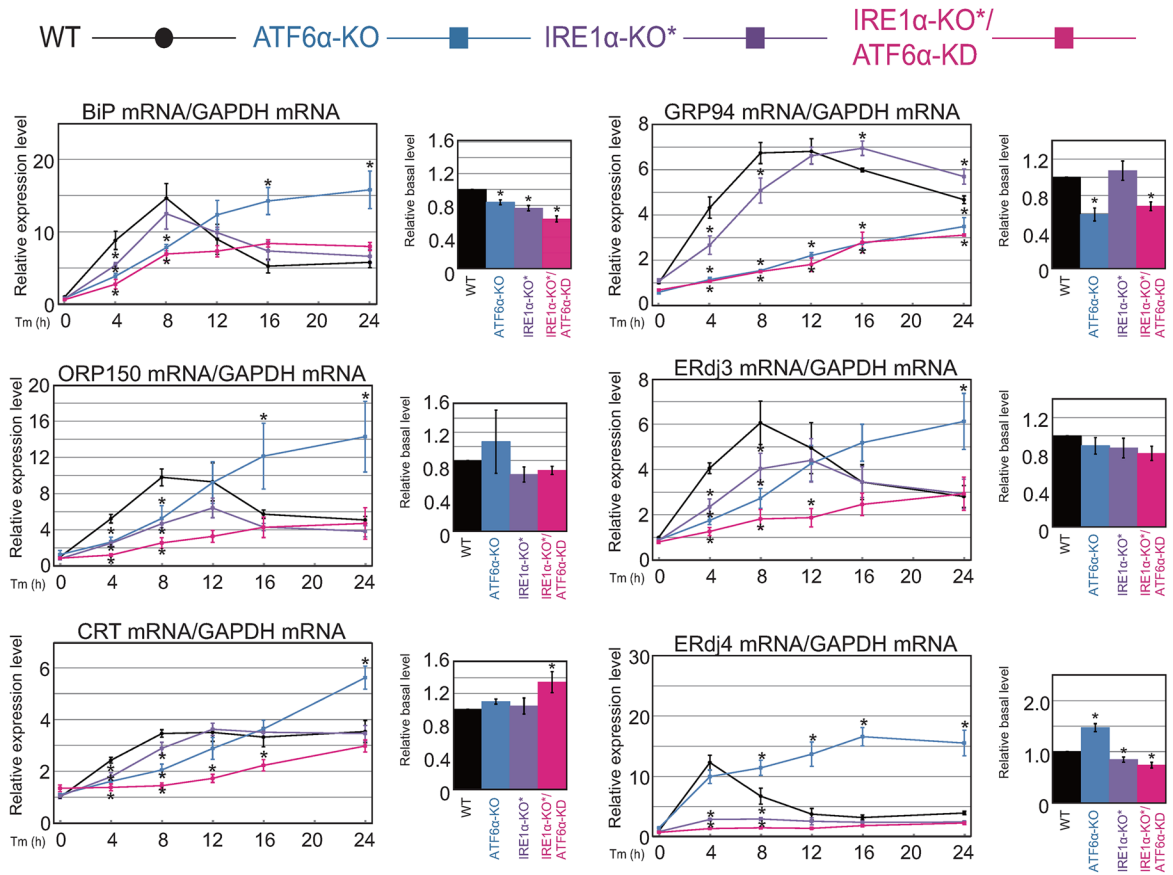
cells using Lipofectamine LTX (Invitrogen). Transfected cells were selected in DMEM containing puromycin (0.5  $\mu$ g/ml). Puromycin-resistant colonies were isolated and the IRE1 $\alpha$ -KO cell line was identified by immunoblotting and genomic PCR (Supplemental Figure S2B).

Because a puromycin-resistant gene was used to select IRE1 $\alpha$ -KO and ATF6 $\alpha$ -KO cells (Supplemental Figures S1A and 2A), the puromycin-resistant gene was removed from the IRE1 $\alpha$ -KO cell line by transfection of pANMerCreMer-hyg (Kikuchi *et al.*, 2013) using Lipofectamine LTX, followed by treatment with 200  $\mu$ M tamoxifen (Merck) to create the IRE1 $\alpha$ -KO\* cell line; the removal of this puromycin-resistant gene in IRE1 $\alpha$ -KO\* cells was confirmed by genomic PCR (Supplemental Figure S2D).

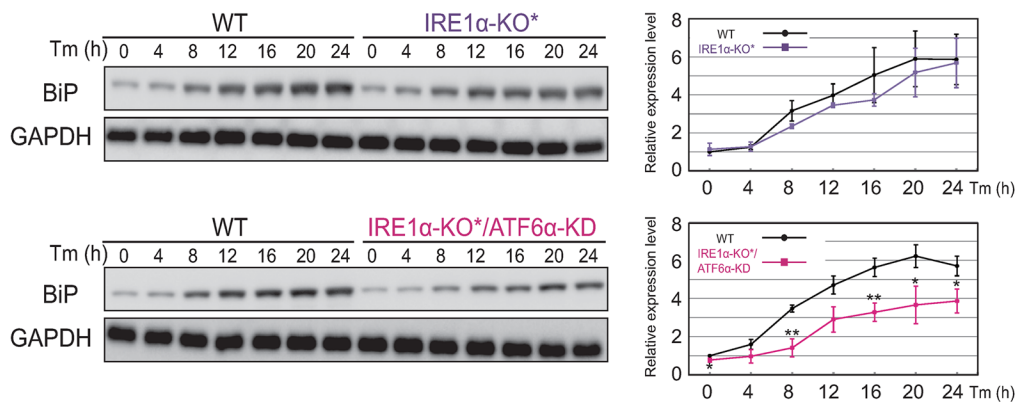
Subsequently, pKO-ATF6 $\alpha$ -puromycin as well as TALEN 5' and 3' target vectors for ATF6 $\alpha$  were transfected into IRE1 $\alpha$ -KO\* cells using Lipofectamine LTX. Transfected cells were selected in DMEM containing puromycin (0.5  $\mu$ g/ml). Puromycin-resistant colonies were isolated and the IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cell lines (#26 and #41) were identified by immunoblotting and genomic PCR (Supplemental Figure S2, E and F). Genomic PCR produced the two bands designated [Puro-] and [deletion] from the ATF6 $\alpha$  locus in IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells (Supplemental Figure S2F). Sequencing revealed that the band [Puro-] in IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells lacked two nucleotides downstream of the initiation codon, resulting in the production of no functional protein, whereas the band [deletion] in IRE1 $\alpha$ -KO\*/ATF6 $\alpha$ -KD cells lacked 1039 nucleotides, including the entire exon 1, resulting in the production of ATF6 $\alpha$  of 620 aa (Supplemental Figure S3A) because of translation starting from the ATG codon present in exon 3 (Supplemental Figure S3, A and B). The shorter ATF6 $\alpha$  (aa51-670) was N-glycosylated because it produced after tunicamycin treatment migrated faster during SDS-PAGE [Supplemental Figure S3, Ca] and because it was sensitive to digestion with endoglycosidase H (Endo H) [Supplemental Figure S3, Cb], demonstrating that the shorter ATF6 $\alpha$  is present in the ER with correct orientation. Shorter ATF6 $\alpha$ (N) produced from shorter ATF6 $\alpha$ (P) after

(E) Immunoblotting using anti-CHOP antibody was conducted to determine the level of CHOP relative to that of GAPDH ( $n = 3$ ), as in Figure 1D.

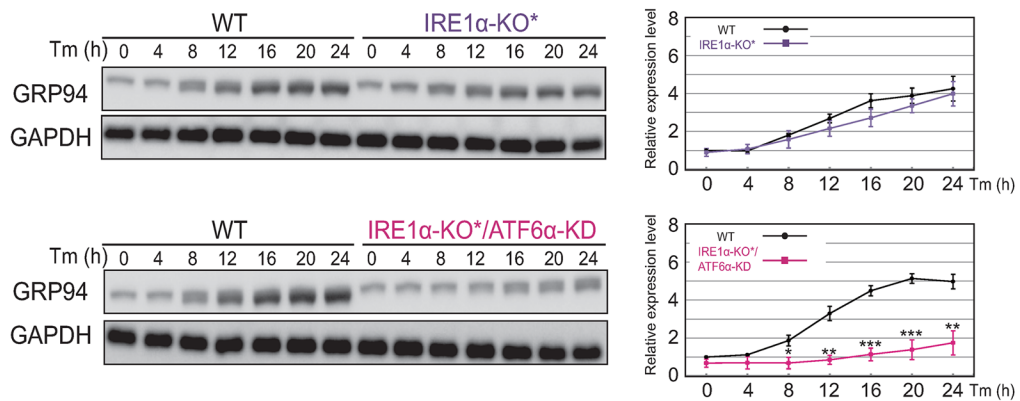
A

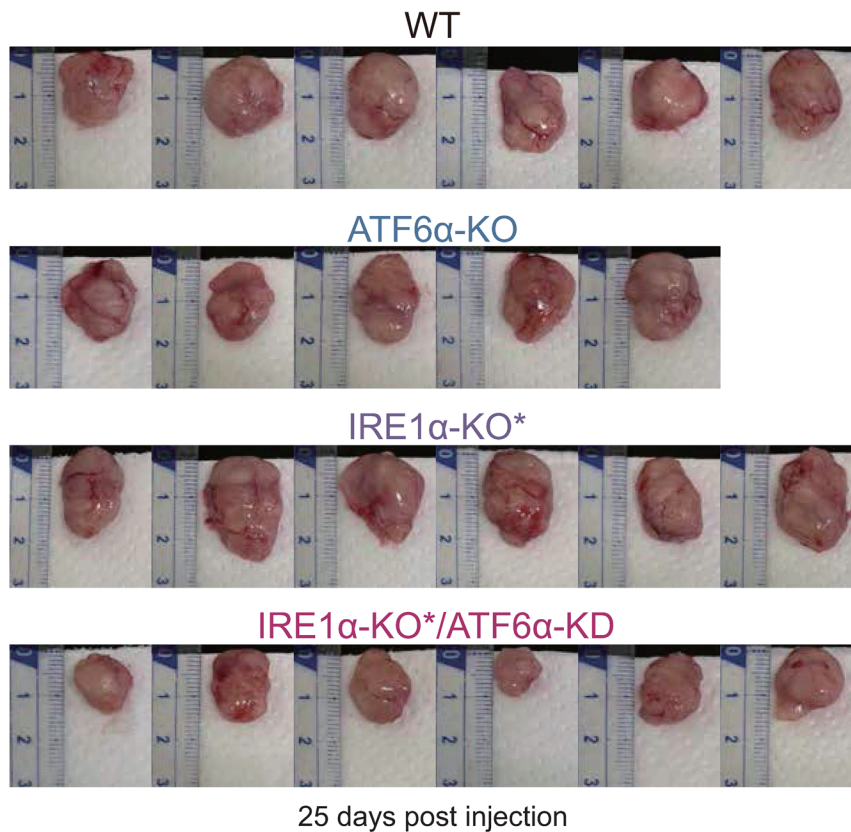
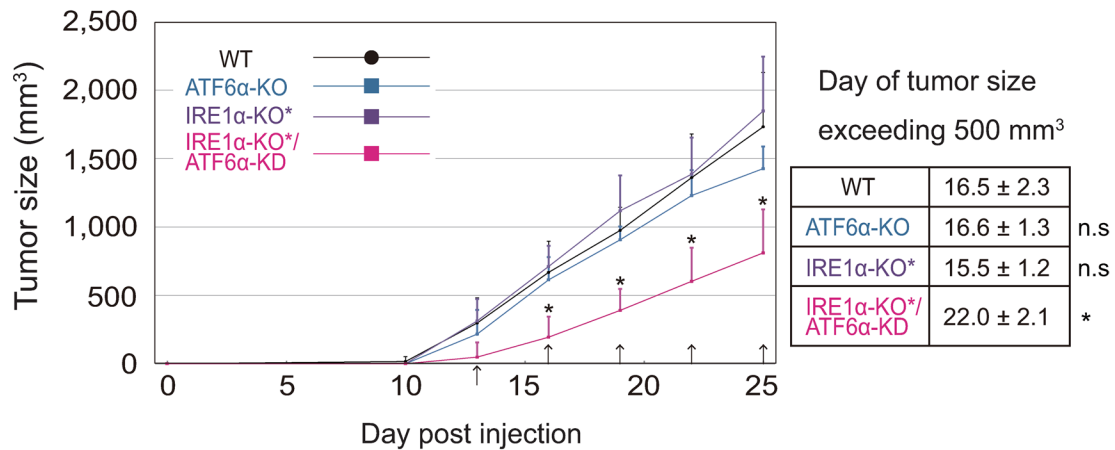


B



C





**FIGURE 8:** Effect of KD of ATF6α with simultaneous deletion of IRE1α on tumor growth in nude mice. Tumor sizes were measured on the days indicated by arrows after injection of HCT116 cells of the indicated genotypes into nude mice. The day on which tumor size exceeded 500 mm<sup>3</sup> was determined and is shown in Table (*n* = 6, except *n* = 5 for ATF6α-KO). Tumors formed 25 d postinjection were photographed.

tunicamycin treatment of IRE1α-KO\*/ATF6α-KD cells was below the level of detection by immunoblotting [Supplemental Figure S3Ca].

#### Immunoblotting

HCT116 cells were washed with ice-cold phosphate-buffered saline (PBS) and lysed in 300 μl of 1% NP-40 buffer (50 mM Tris/HCl,

**FIGURE 7:** Effect of KD of ATF6α with simultaneous deletion of IRE1α on induction of ER chaperones and co-chaperones. (A) Quantitative RT-PCR was conducted to determine the levels of BiP mRNA, GRP94 mRNA, ORP150 mRNA, CRT mRNA, ERdj3 mRNA, and ERdj4 mRNA relative to that of GAPDH mRNA (*n* = 3), as in Figure 1C. (B) Immunoblotting using anti-KDEL antibody was conducted to determine the level of BiP relative to that of GAPDH (*n* = 3), as in Figure 1D. (C) Immunoblotting using anti-KDEL antibody was conducted to determine the level of GRP94 relative to that of GAPDH (*n* = 3), as in Figure 1D.

pH 7.5, containing 1% NP-40, 150 mM NaCl, protease inhibitor cocktail [Nacalai Tesque], 10  $\mu$ M MG132 and 10 mM N-ethylmaleimide). Lysates were clarified by centrifugation at 14,000 rpm for 10 min at 4°C. Cleared lysates were mixed with 2 $\times$  Laemmli's SDS sample buffer with 200 mM dithiothreitol and boiled for 5 ~ 10 min. Prepared cell lysates were subjected to SDS-PAGE followed by immunoblotting, which was carried out according to the standard procedure (Sambrook *et al.*, 1989) using Western Blotting Luminol Reagent (Santa Cruz Biotechnology) and antibodies described in Table 1. Chemiluminescence was detected using an LAS-3000mini LuminolImage analyzer (Fujifilm). ATF6 $\alpha$  and ATF6 $\beta$  were detected with rabbit anti-ATF6 $\alpha$  (Haze *et al.*, 1999) and anti-ATF6 $\beta$  (Haze *et al.*, 2001) polyclonal antibodies, respectively. Phos-tag gels were obtained from Fujifilm (#199-17391), and samples were run in running buffer (Tris base 0.5 M, MOPS 0.5 M, 0.5% SDS, NaHSO<sub>3</sub> 0.5 M, pH 7.8) at 30 mA for 180 min at 4°C.

### Genomic PCR

HCT116 cells were washed with ice-cold PBS, suspended in alkaline lysis reagent A (25 mM NaOH and 0.2 mM EDTA), boiled for 10 min, and then mixed with an equivalent volume of alkaline lysis reagent B (40 mM Tris/HCl, pH 8.0). Homologous recombination in HCT116 cells was confirmed by genomic PCR using a pair of primers described in Supplemental File S1.

### Quantitative RT-PCR

Total RNA prepared from cultured cells (~5  $\times$  10<sup>6</sup> cells) by the acid guanidinium/phenol/chloroform method using ISOGEN (Nippon Gene) was converted to cDNA using Moloney murine leukemia virus reverse transcription (Invitrogen) and random primers and then subjected to quantitative RT-PCR analysis using the SYBR Green method (Applied Biosystems) and a pair of primers described in Supplemental File S1.

### Transplantation into nude mice

HCT116 cells of various genotypes (5  $\times$  10<sup>6</sup> cells in 50  $\mu$ l PBS) were subcutaneously transplanted into the right hind legs of athymic nude mice (BALB/c nu/nu; Japan SLC, Inc., Hamamatsu, Japan). The length (R) and breadth (r) of tumor were measured using an electronic caliper (AS ONE, 4-484-01), and the volume (V) of tumor was calculated as  $V = R \times r \times r / 2$ . The animal experiments were approved by the Animal Research Committee of Kyoto University and performed according to guidelines governing animal care in Japan.

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