

1 **BMP signaling is required to form the anterior neural plate border in ascidian embryos**

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23 **Abstract**

24 Cranial neurogenic placodes have been considered vertebrate innovations. However,  
25 anterior neural plate border (ANB) cells of ascidian embryos share many properties with  
26 vertebrate neurogenic placodes; therefore, it is now believed that the last common ancestor of  
27 vertebrates and ascidians had embryonic structures similar to neurogenic placodes of  
28 vertebrate embryos. Because BMP signaling is important for specifying the placode region in  
29 vertebrate embryos, we examined whether BMP signaling is also involved in gene expression  
30 in the ANB region of ascidian embryos. Our data indicated that *Admp*, a divergent BMP  
31 family member, is mainly responsible for BMP signaling in the ANB region, and that two  
32 BMP-antagonists, *Noggin* and *Chordin*, restrict the domain, in which BMP signaling is  
33 activated, in the ANB region, but not in the neural plate. BMP signaling is required for  
34 expression of *Foxg* and *Six1/2* at the late gastrula stage, and also for expression of *Zf220*,  
35 which encodes a zinc finger transcription factor in late neurula embryos. Because *Zf220*  
36 negatively regulates *Foxg*, when we downregulated *Zf220* by inhibiting BMP signaling, *Foxg*  
37 was upregulated, resulting in one large palp instead of three palps (adhesive organs derived  
38 from ANB cells). Functions of BMP signaling in specification of the ANB region give further  
39 support to the hypothesis that ascidian ANB cells share an evolutionary origin with vertebrate  
40 cranial placodes.

41 **Keywords:** ascidian, *Ciona*, placode, anterior neural plate border

## 42 **Introduction**

43 Cranial neurogenic placodes in vertebrate embryos are ectodermal thickenings at the  
44 anterior border of the neural plate. These placodes give rise to cells in various organs in the  
45 vertebrate head, including the adenohypophysis, olfactory epithelium, lens, inner ear, and  
46 petrosal and nodose ganglia (Schlosser, 2014; Singh and Groves, 2016; Steventon et al.,  
47 2014). It has been proposed that acquisition of these placodes remodeled rostral structures in  
48 the vertebrate lineage (Gans and Northcutt, 1983).

49 Ascidians belong to the sister group of vertebrates (Delsuc et al., 2006; Putnam et al.,  
50 2008), and previous studies have shown that the anterior neural plate border (ANB) region of  
51 ascidian embryos shares an evolutionary origin with vertebrate placodes (Abitua et al., 2015;  
52 Cao et al., 2019; Horie et al., 2018; Ikeda et al., 2013; Liu and Satou, 2019, 2020; Manni et  
53 al., 2005; Manni et al., 2004; Mazet et al., 2005). At the gastrula stage, two rows of ANB cells  
54 (four cells in each row), which express *Foxc*, are formed between the neural plate and non-  
55 neural ectoderm (Ikeda et al., 2013; Wagner and Levine, 2012) (Figure 1A). At the neurula  
56 stage, ANB cells divide once to yield four rows, each of which contains four cells. Cells in the  
57 most posterior row express transcription factor genes, *Six1/2* and *Foxg* (Abitua et al., 2015;  
58 Liu and Satou, 2019). The most anterior row also expresses *Foxg*, but not *Six1/2*. The second  
59 and third rows express *Emx*, which is repressed by *Foxg* in the most anterior and posterior  
60 rows (Liu and Satou, 2019; Wagner et al., 2014). The MAPK pathway is specifically activated  
61 in the most anterior and posterior rows, because it is negatively regulated by *Ephrina.d* in the  
62 middle two rows. This MAPK pathway specifically activates *Foxg* in the first and fourth  
63 rows. After the next division, the first and second anterior rows both include eight cells, and  
64 *Zf220* begins to be expressed in four cells in each row. *Foxg* expression becomes rarely  
65 visible, but soon after it is reactivated in descendant cells of the most anterior row. Because  
66 *Zf220* negatively regulates *Foxg*, *Foxg* expression becomes restricted in four cells, which do  
67 not express *Zf220*, in the first row at the middle tailbud stage (Liu and Satou, 2019). Thus,  
68 several distinct cell populations are specified in the ANB region. Among them,  
69 *Foxg(+)/Six1/2(-)* cells gives rise to the protrusive parts of the palps, which are adhesive  
70 organs required for metamorphosis. *Foxg(-)* cells contribute to basal parts of the palps.

71 *Foxg(+)/Six1/2(+)* cells give rise to the oral siphon primordium (Abitua et al., 2015; Liu and  
72 Satou, 2019; Wagner et al., 2014).

73 A previous study showed that misexpression of *Bmp2/4* or a constitutively active form of  
74 a BMP receptor in ANB cells downregulates expression of *Six1/2* (Abitua et al., 2015).  
75 Therefore, BMP signaling may be involved in formation and patterning of the ANB region of  
76 ascidian embryos as in formation of the pre-placodal region of vertebrate embryos, in which  
77 BMP signaling is essential to form placodes (Ahrens and Schlosser, 2005; Brugmann et al.,  
78 2004; Esterberg and Fritz, 2009; Glavic et al., 2004; Kwon et al., 2010; Litsiou et al., 2005).  
79 In the present study, we examined how BMP signaling contributes to patterning of ANB cells  
80 in ascidian embryos to explore developmental mechanisms of the last common ancestor of  
81 vertebrates and ascidians.

## 82 **Materials and Methods**

### 83 **Animals and gene identifiers**

84 Adult *Ciona robusta* (also called *Ciona intestinalis* type A) were obtained from the  
85 National Bio-Resource Project for *Ciona intestinalis*. This animal is excluded from legislation  
86 regulating scientific research on animals in Japan. cDNA clones were obtained from our EST  
87 clone collection (Satou et al., 2005). Identifiers (Satou et al., 2022; Stolfi et al., 2015b) for  
88 genes examined in the present study are as follows: CG.KY21.Chr12.158 for *Foxc*, CG.  
89 KY21.Chr8.693 for *Foxg*, CG.KY21.Chr2.381 for *Admp*, CG.KY21.Chr4.346 for *Bmp2/4*,  
90 CG.KY21.Chr2.933 for *Bmp5/6/7/8*, CG.KY21.Chr12.737 for *Noggin*, CG.KY21.Chr6.382  
91 for *Chordin*, CG.KY21.Chr3.541 for *Six1/2*, and CG.KY21.Chr13.415 for *Zf220*.

### 92 **Functional assays**

93 Dorsomorphin, an inhibitor of BMP signaling (Wako, #044-33751), was applied to  
94 embryos at a concentration of 50  $\mu$ M. The upstream sequence of *Foxc* [nucleotide positions  
95 1,011,273 to 1,013,312 on chromosome 12 of the HT version of the assembly (Satou et al.,  
96 2019)], which directs expression in ANB cells (Liu and Satou, 2019; Wagner and Levine,  
97 2012), was used for misexpression of *Noggin* and *Chordin*. To mark ANB cells, the same  
98 upstream sequence was used to direct *GFP* expression. These constructs were introduced into  
99 fertilized eggs by electroporation. An antisense morpholino oligonucleotide against *Admp*,  
100 which was used previously (Imai et al., 2012; Imai et al., 2006; Waki et al., 2015) (5'-  
101 TATCGTGTAGTTTGCTTTCTATATA-3'), was introduced into eggs by microinjection. All  
102 functional assays were performed at least twice using different batches of embryos.

### 103 ***In situ* hybridization and immunostaining**

104 For whole-mount *in situ* hybridization, digoxigenin (DIG)-RNA probes were synthesized  
105 by *in vitro* transcription with T7 RNA polymerase. Embryos were fixed in 4%  
106 paraformaldehyde in 0.1 M MOPS-NaOH (pH 7.5) and 0.5 M NaCl at 4°C overnight and then  
107 stored in 80% ethanol. After washing with a phosphate-buffered saline wash containing 0.1%  
108 tween 20 (PBST), embryos were treated with 2  $\mu$ g/mL Proteinase K for 30 min at 37°C,  
109 washed again with PBST, and fixed with 4% paraformaldehyde for 1 h at room temperature.

110 Embryos were then incubated in 6x saline sodium citrate buffer (SSC), 50% formamide, 5x  
111 Denhardt's solution, 100 µg/mL yeast tRNA, and 0.1% tween 20 for 1 h at 50°C. After this  
112 pre-hybridization step, specific RNA probes were added and incubated for 48 h at 50°C.  
113 Embryos were treated with RNase A, and incubated in 0.5x SSC, 50% formamide, and 0.1%  
114 tween 20 for 15 min at 50°C twice. Embryos were further incubated in 0.5% blocking reagent  
115 (Roche) in PBST for 30 min, and then in 1:2000 alkaline-phosphatase-conjugated anti-DIG  
116 antibody (Roche). For chromogenic detection, embryos were further washed with 0.1 M  
117 NaCl, 50 mM MgCl<sub>2</sub>, and 0.1 M Tris-HCl (pH 9.5). Then NBT and BCIP were used for  
118 detection. For fluorescent detection, we used the TSA plus system (Perkin Elmer,  
119 #NEL753001KT).

120 For immunostaining, embryos were fixed with 3.7% formaldehyde, and treated with 3%  
121 H<sub>2</sub>O<sub>2</sub> for 30 min to quench endogenous peroxidase activity. Then they were incubated  
122 overnight with an anti-phosphorylated-Smad1 antibody (1:1000, Abcam, #ab97689) in Can-  
123 Get-Signal-Immunostain Solution B (TOYOBO, #NKB-601). The signal was visualized with  
124 a TSA Kit (Invitrogen, #T30953) using HRP-conjugated goat anti-rabbit IgG and Alexa Fluor  
125 555 tyramide. GFP protein was similarly detected with an antibody against GFP (1:300, MBL,  
126 #M048-3). Fluorescence intensities were quantified with ImageJ software (Schneider et al.,  
127 2012), and represented as relative intensities, which were calculated by dividing sums of  
128 pSmad1/5/9 signals of four ANB cells with sums of DAPI signals of the same cells.

## 129 **Results**

### 130 **BMP signaling is activated in ANB cells**

131 To understand exactly how BMP signaling influences patterning of ANB cells, we first  
132 examined whether BMP signaling is activated in ANB cells by an antibody against  
133 phosphorylated Smad1, which was previously used to detect phosphorylated Smad1/5/9  
134 (pSmad1/5/9) in ascidian embryos (Waki, Imai, & Satou, 2015). To mark ANB cells, we  
135 introduced a reporter construct containing a fusion gene of *GFP* and the *Foxc* upstream  
136 regulator sequence (*Foxc>GFP*). At the gastrula stage, immunostaining signals for  
137 pSmad1/5/9 were detected in all ANB cells marked with GFP, although the medial two cells  
138 in the anterior row (a9.40 cells) showed much stronger signals than the other ANB cells  
139 (Figure 1B). At the early neurula stage, these cells divide along the anterior-posterior axis to  
140 make four rows. The central cells of the anterior two rows (a10.79 and a10.80, which are  
141 daughter cells of a9.40) showed stronger signals than the remaining ANB cells (Figure 1C).

### 142 ***Admp* is expressed in ANB cells**

143 Next, we tried to identify a BMP ligand responsible for activation of BMP signaling in  
144 the ANB region. In the ascidian genome, four BMP ligand genes are encoded (Hino et al.,  
145 2003). Among them, *Bmp3* is not expressed at the gastrula or neurula stages (Imai et al.,  
146 2004), and *Bmp2/4* is activated under control of *Admp* (Imai et al., 2012; Waki et al., 2015).  
147 Therefore, we determined precise identities of cells that expressed the remaining two ligand  
148 genes, *Admp* and *Bmp5/6/7/8*, by *in situ* hybridization. *Admp* was expressed in all ANB cells  
149 that express *Foxc* at the late gastrula stage (Figure 2AB). Expression of *Admp* was also  
150 observed in all ANB cells of early neurula embryos, in which the most anterior and posterior  
151 rows of ANB cells are marked by *Foxg* expression (Figure 2CD). On the other hand,  
152 *Bmp5/6/7/8* began to be expressed weakly in the most anterior row of ANB cells at the early  
153 neurula stage (Figure 2EF). This gene was also expressed in an anterior row of neural plate.  
154 There was one row of cells between the posterior row of ANB cells and the row of the neural  
155 plate cells with *Bmp5/6/7/8* expression. Expression of *Bmp5/6/7/8* in the anterior ANB cells  
156 was transient, and disappeared by the middle neurula stage (Figure 2G).

157 Because *Admp*, but not *Bmp5/6/7/8*, was expressed in ANB cells of late gastrula  
158 embryos, we reasoned that *Admp* is mainly responsible for activation of BMP signaling in the  
159 ANB region. To confirm this hypothesis, we injected an antisense morpholino oligonucleotide  
160 against *Admp*. Signal intensity of immunostaining for pSmad1/5/9 was greatly reduced in  
161 *Admp* morphant embryos, indicating a primary role of *Admp* in activating BMP signaling in  
162 this region (Figure 2H–J). Because *Admp* is expressed in early embryos (Imai et al., 2004;  
163 Imai et al., 2006; Oda-Ishii et al., 2016; Pasini et al., 2006; Tokuoka et al., 2021), we cannot  
164 completely rule out the possibility that *Admp* expressed in early embryos indirectly regulates  
165 BMP signaling in the ANB cells. It is also possible that *Admp* expressed in cells other than  
166 ANB cells activate BMP signaling in the ANB region, because *Admp* is a secreted molecule.  
167 However, the knockdown result and the observation that *Admp* was expressed in all ANB  
168 cells agreed with the above hypothesis that *Admp* is mainly responsible for activation of BMP  
169 signaling in the ANB region.

170 ***Chordin* and *Noggin* are expressed in cells lateral and posterior to the adjacent ANB**  
171 **region**

172 The expression pattern of *Admp* was not perfectly consistent with the pattern of  
173 pSmad1/5/9 signals (compare Figure 1 to Figure 2). Therefore, we examined expression  
174 patterns of genes encoding secreted BMP-antagonists, which can act non-cell-autonomously.  
175 At the late gastrula stage, *Chordin* was expressed on both sides of the neural plate, as  
176 previously reported (Abitua et al., 2015; Hudson and Yasuo, 2005). At this stage, the most  
177 anterior cells with *Chordin* expression were adjacent to the lateral ANB cells (Figure 3A).  
178 *Noggin* was expressed in the neurula plate and the expression domain was adjacent to the  
179 posterior row of ANB cells (Figure 3B). At the early neurula stage, the lateral and posterior  
180 boundaries of the ANB region are still flanked with cells expressing *Chordin* and *Noggin*,  
181 respectively (Figure 3C D). Thus, expression patterns of *Chordin* and *Noggin* in the ANB  
182 region account for the immunostaining pattern for pSmad1/5/9. Indeed, overexpression of  
183 *Chordin* and *Noggin* in the ANB region using the *Foxc* regulatory region weakened the level  
184 of pSmad1/5/9 staining (Figure 3EF). Note that the pSmad1/5/9 staining was also weakened  
185 in cells that do not express *Foxc* because *Chordin* and *Noggin* are secreted antagonists.

## 186 **Expression of *Foxg* and *Six1/2* in the ANB region requires BMP signaling**

187 To examine whether BMP signaling is necessary for gene expression in the ANB region,  
188 we misexpressed *Noggin* in ANB cells using the upstream regulatory sequence of *Foxc*  
189 (*Foxc>Noggin*). *Foxg* expression was clearly downregulated in the posterior row of 82% of  
190 these experimental embryos, and also in the anterior row of 7% of embryos (Figure 4AB).  
191 Next, embryos introduced with the *Foxc>Noggin* construct were treated with dorsomorphin,  
192 which is an inhibitor of BMP signaling and has been used in this animal (Ohta and Satou,  
193 2013; Waki et al., 2015), from the early gastrula stage. Because DNA constructs introduced  
194 by electroporation may not be expressed in all ANB cells, we expected BMP signaling levels  
195 to be further reduced by dorsomorphin treatment. All of these embryos lost *Foxg* expression  
196 in the posterior row, and 31% of these embryos additionally lost *Foxg* expression in the  
197 anterior row (Figure 4B). These results indicate that BMP signaling is required for *Foxg*  
198 expression in the ANB region, although *Foxg* expression is more sensitive to dorsomorphin in  
199 the posterior row than in the anterior row.

200 Next, we examined *Six1/2* expression in the above two types of experimental embryo. In  
201 93% of embryos electroporated with *Foxc>Noggin*, *Six1/2* expression was reduced (Figure  
202 4CD). Notably, 18% of embryos lost *Six1/2* expression completely in the ANB region,  
203 indicating that BMP signaling is required for *Six1/2* expression in the ANB region. On the  
204 other hand, in the experiment in which we additionally treated embryos with dorsomorphin,  
205 *Six1/2* expression was lost in only 63%. We did not find any embryos that completely lost  
206 *Six1/2* expression in the ANB region, raising the possibility that *Six1/2* is also expressed in  
207 cells with a very low level or absence of BMP signaling.

208 To confirm this hypothesis, we injected various concentrations of *Foxc>Noggin* into  
209 eggs. While less than 10% of these embryos lost *Six1/2* expression in the most posterior cells  
210 at low concentrations (1 or 5 ng/ $\mu$ L), 24 to 31% of embryos lost *Six1/2* expression at high  
211 concentrations (10, 15, or 20 ng/ $\mu$ L) (Figure 4E). The percentage of embryos that lost *Six1/2*  
212 expression is slightly lower at 20 ng/ $\mu$ L than at 10 or 15 ng/ $\mu$ L. These observations are  
213 consistent with the hypothesis that BMP signaling is necessary for *Six1/2* expression in the

214 posterior row, but a very low level or absence of BMP signaling can also induce *Six1/2*  
215 expression.

216 Next, we counted embryos with ectopic expression in the same specimens. While ectopic  
217 expression was hardly observed at low concentrations, it was observed in almost all embryos  
218 at 15 and 20 ng/ $\mu$ L (Figure 4FG). This observation is consistent with the hypothesis that a  
219 very low level or lack of BMP signaling can induce *Six1/2* expression.

### 220 **BMP signaling activity is required for proper palp formation**

221 Larval palps are derived from the anterior three rows of ANB cells at the neurula stage.  
222 The most anterior row gives rise to protrusive structures and the middle two rows give rise to  
223 basal cells surrounding the protrusions (Cao et al., 2019; Ikeda et al., 2013; Liu & Satou,  
224 2019; Wagner & Levine, 2012; Wagner et al., 2014). Normal larvae have three protrusions  
225 (two are seen in Figure 5A). Larvae with the misexpression construct of *Noggin* developed  
226 one large protrusion (Figure 5B), suggesting that BMP signaling is involved in palp  
227 formation.

228 We previously reported that larvae in which *Foxg* is misexpressed in all ANB cells also  
229 develop one large palp (Liu and Satou, 2019). Therefore, we examined whether  
230 misexpression of *Noggin* induced ectopic *Foxg* expression. In normal development, *Foxg*  
231 expression becomes rarely visible at the early tailbud stage, but soon after it is reactivated in  
232 three clusters of cells, which are descendants of the most anterior row cells (Figure 5C), each  
233 of which gives rise to a palp protrusion, as previously shown (Liu and Satou, 2019). On the  
234 other hand, in embryos with the *Noggin* misexpression construct, *Foxg* was expressed  
235 ectopically in gaps between these clusters, and the *Foxg* expression domain became a single  
236 arc (Figure 5D). Similarly, in tailbud embryos treated with dorsomorphin from the middle  
237 neurula stage, at the time when *Foxg* begins to be expressed in the ANB region, *Foxg* was  
238 expressed ectopically in a single arc, while it was normally expressed in control embryos  
239 treated with DMSO (Figure 5E, F).

240 At the tailbud stage, *Zf220* represses *Foxg* in cells between the clusters where *Foxg* is  
241 normally expressed (Liu and Satou, 2019). We therefore hypothesized that *Zf220* might be

242 downregulated in embryos in which BMP signaling was inhibited. In normal embryos, *Zf220*  
243 was expressed in cells between the clusters of cells with *Foxg* expression (Figure 5G), as  
244 previously shown (Liu & Satou, 2019). On the other hand, expression of *Zf220* in the  
245 intervening cells was downregulated in almost all (94%) embryos treated with dorsomorphin  
246 from the gastrula stage (Figure 5H). Thus, BMP activity is required for *Zf220* expression in  
247 these intervening cells. *Zf220* is also expressed in the both ends of the anterior rows, and this  
248 expression was also affected in 52% of the embryos (Figure 5I). Intervening cells that lost  
249 *Zf220* are derived from a10.80 in the most anterior row and a10.79 in the second row, and  
250 these parental cells showed strong pSmad1/5/9 signals at the early neurula stage (Figure  
251 1DE). On the other hand, flanking cells, in which *Zf220* expression was less affected, are  
252 derived from a10.72 in the most anterior row and a10.71 in the second row, and these parental  
253 cells showed weak pSmad1/5/9 signals at the early neurula stage (Figure 1DE). Thus,  
254 expression of *Zf220* in medial cells is under control of BMP signaling, and also weakly  
255 regulated by BMP signaling in the flanking cells.

## 256 Discussion

257 At the late gastrula and early neurula stages, BMP signaling is activated in ANB cells.  
258 Our data indicate that *Admp* activates BMP signaling in the ANB region, and that *Chordin*  
259 and *Noggin* restrict the region with BMP signaling activity to the ANB region and prevent it  
260 from expanding to the neural plate. Because *Bmp2/4* is activated under control of *Admp* (Imai  
261 et al., 2012; Waki et al., 2015), it is possible that *Bmp2/4* also acts in this region. Although  
262 these factors create a graded pattern of BMP signaling, the difference in intensity of BMP  
263 signaling within the ANB region is not important for *Foxg* and *Six1/2* expression at the late  
264 gastrula stage, because a low level of BMP signaling is sufficient for expression of these  
265 genes. In addition, since *Foxg* expression is restricted to the most anterior and posterior rows  
266 of the ANB region by the action of the MAPK signaling, BMP signaling may not be required  
267 for positional information, and may have a permissive role.

268 Our results showed that *Six1/2* expression in the ANB region normally requires BMP  
269 signaling, because reduction of BMP signaling levels by misexpression of *Noggin* resulted in  
270 loss of *Six1/2* expression. However, because *Six1/2* expression was seen in embryos in which  
271 BMP signaling levels were further reduced by additional treatment with dorsomorphin, it is  
272 likely that *Six1/2* expression is also induced at a very low level or even an absence of BMP  
273 signaling. This is consistent with expression of *Six1/2* in cells flanking the ANB region. In  
274 these flanking cells, *Chordin* is expressed and pSmad1/5/8 was scarcely detected. In addition,  
275 because a previous study showed that overexpression of *Bmp2/4* suppressed *Six1/2* expression  
276 (Abitua et al., 2015), it is likely that *Six1/2* expression is induced at modest, or very low (or  
277 negligible) levels of BMP signaling, and is suppressed at a high level or between the modest  
278 and very low levels.

279 At the late neurula stage, *Zf220* begins to be expressed in descendants of cells that show  
280 strong signals for pSmad1/5/9, and *Zf220* expression in these descendants is under control of  
281 BMP signaling. Although it remains to be clarified how *Zf220* is expressed in only some of  
282 these descendant cells, it is possible that BMP signaling has an instructive role in activating  
283 *Zf220* in these cells. *Zf220* is also expressed in descendants of cells that showed weak signals  
284 for pSmad1/5/9. However, in these cells, *Zf220* expression was less sensitive to

285 downregulation of BMP signaling; therefore, regulation of *Zf220* in these cells differs from  
286 that in medial cells.

287 Thus, BMP signaling positively regulates gene expression in the ANB region, which is  
288 thought to share an evolutionary origin with vertebrate cranial placodes (Abitua et al., 2015;  
289 Graham and Shimeld, 2013; Ikeda et al., 2013; Ikeda and Satou, 2017; Liu and Satou, 2019;  
290 Manni et al., 2005; Manni et al., 2004; Mazet et al., 2005; Wagner and Levine, 2012). In  
291 vertebrate embryos, it has been proposed that ectodermal cells are induced by BMP, giving  
292 rise to epidermis, pre-placodal ectoderm, neural crest, and neural plate at different BMP  
293 concentrations, although specification of pre-placodal ectoderm and neural crest have  
294 different requirements for additional signaling molecules and for BMP signaling at different  
295 times (Ahrens and Schlosser, 2005; Brugmann and Moody, 2005; Glavic et al., 2004; Kwon et  
296 al., 2010; Steventon et al., 2014; Tribulo et al., 2003). Thus, ANB cells of ascidians and pre-  
297 placodal cells of vertebrates commonly use BMP signals for specification.

298 In ascidian embryos, there are cell populations that arguably share their evolutionary  
299 origins with vertebrate neural crest cells (Abitua et al., 2012; Stolfi et al., 2015a; Waki et al.,  
300 2015). It has not been determined whether BMP signaling is involved in specification of these  
301 neural-crest-like cells in ascidian embryos. However, in ascidian embryos, cell lineages of  
302 these neural-crest-like cells are clearly distinct from the cell lineage of the ANB. This means  
303 that there is no cell population that has potential to become both ANB cells and neural-crest-  
304 like cells in ascidian embryos; therefore, it is unlikely that a gradient of BMP signaling is  
305 required in this animal to specify a cell population that has bipotential to give rise to these two  
306 cell populations. Nevertheless, the requirement of BMP signaling for gene expression in the  
307 ANB region of ascidian embryos further supports the hypothesis that ANB cells share an  
308 evolutionary origin with vertebrate cranial placodes.

309

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315 **Author contribution**

316 BL and XR performed experiments. BL and YS drafted the original manuscript. BL, XR, and  
317 YS reviewed the manuscript draft, revised it, and approved the final version.

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321 **Data availability**

322 All data generated or analyzed during this study are included in this published article.

323 **Competing interests**

324 The authors declare no competing interests.

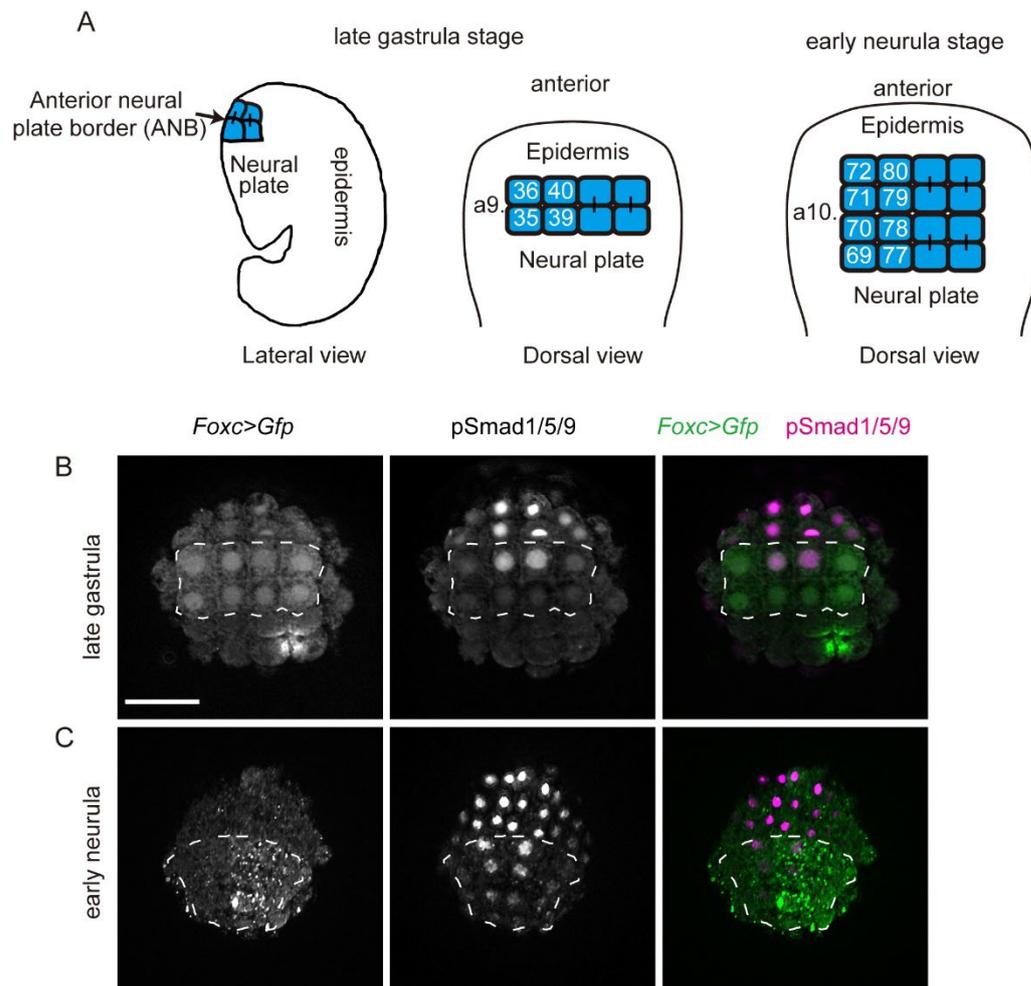
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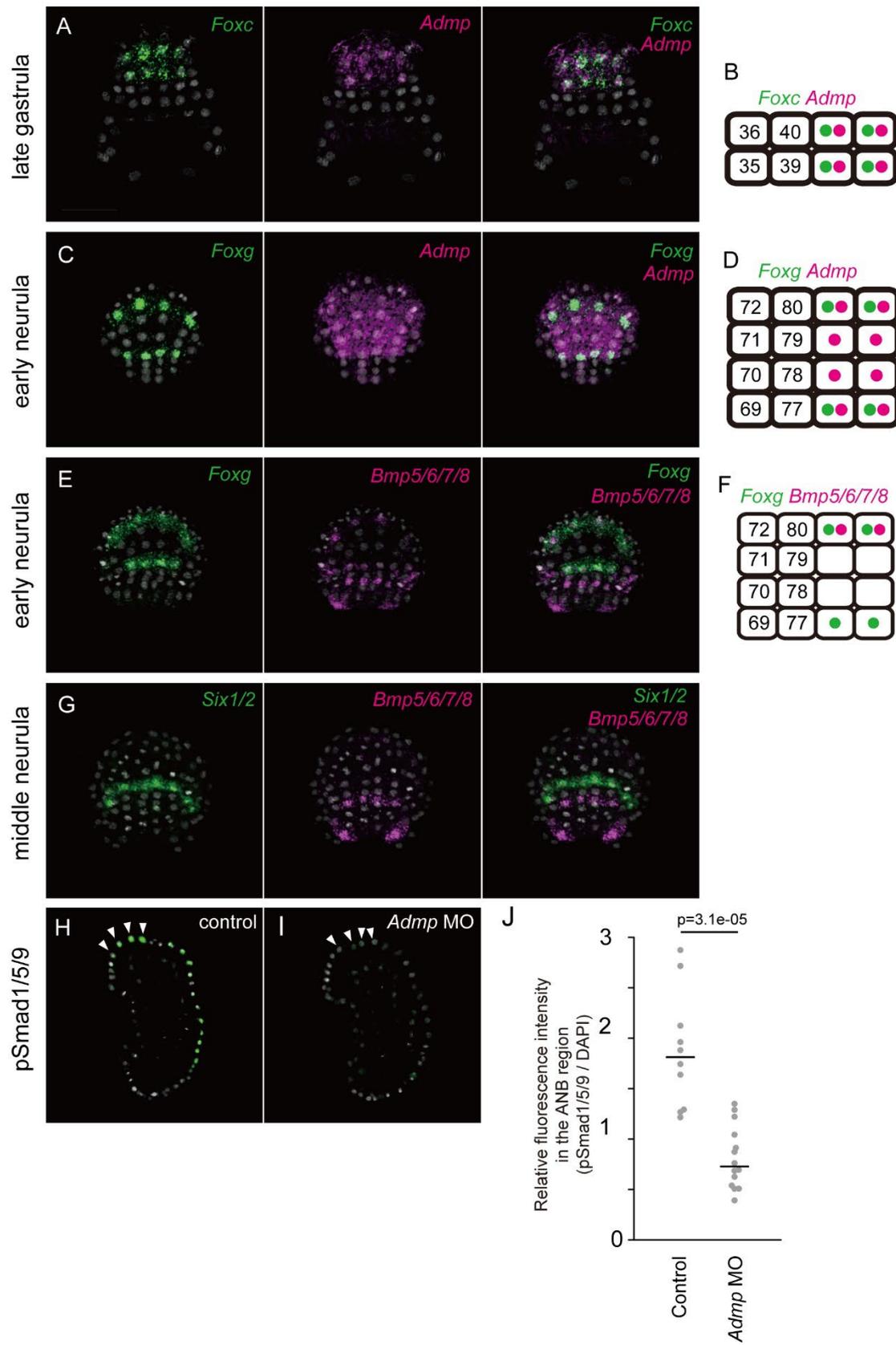


452

453 **Figure 1. BMP signaling is activated in ANB cells.** (A) Schematic illustration of the anterior  
 454 border of the neural plate in ascidian late gastrula and early neurula embryos. Because  
 455 embryos are bilaterally symmetrical, names of individual cells are indicated only in the left  
 456 half, and are prefixed with IDs shown next to the illustration, e.g., the left upper cell of the  
 457 late gastrula embryo is called a9.36. Vertical bars indicate sister cell relationships. (B, C)  
 458 Immunostaining of (B) a late gastrula embryo and (C) an early neurula embryo to detect  
 459 phosphorylated Smad1/5/9 (pSmad1/5/9). ANB cells were marked by *Foxc>GFP* expression,  
 460 detected with an anti-GFP antibody. Note that GFP was detected only on the right side  
 461 because of mosaic incorporation. Right images are overlaid in pseudocolor. The brightness  
 462 and contrast of these photographs were adjusted linearly. ANB cells are enclosed by broken  
 463 lines. Note that strong signals are observed in the anterior medial ANB cells and relatively  
 464 weak signals are also observed in the remaining ANB cells. Dorsal views are shown. The  
 465 scale bar in (B) represents 50  $\mu$ m.

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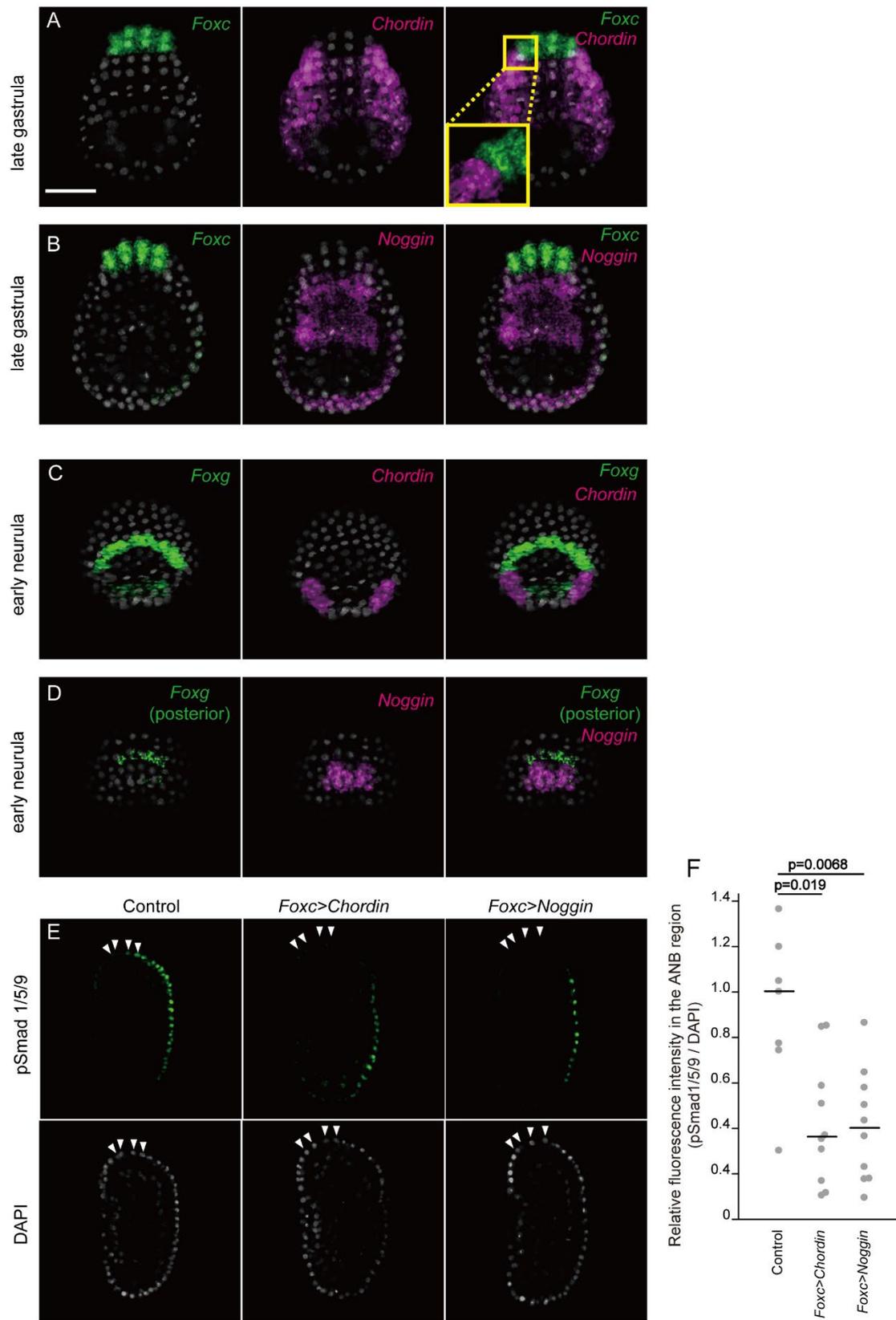
Liu et al., Figure 2



468 **Figure 2. *Admp* and *BMP5/6/7/8* are expressed in ANB cells.** (A-G) *In situ* hybridization  
469 for (A,C) *Admp* (magenta) expression at (A) the late gastrula stage and (C) early neurula  
470 stage, and for (E, G) *Bmp5/6/7/8* (magenta) expression at (E) the early neurula stage and (G)  
471 the middle neurula stage. *Foxc* in (A) marks ANB cells (green). *Foxg* in (C) and (E) marks the  
472 most anterior and posterior rows of the ANB region (green). *Six1/2* marks the most posterior  
473 row of the ANB (green), although this gene is also expressed in the two flanking cells on both  
474 sides of the ANB. The results shown in (A), (C), and (E) are illustrated in (B), (D), and (F),  
475 respectively. Dorsal views are shown. (H, I) Optical slices of pSmad1/5/9 immunostaining of  
476 (H) an unperturbed control early neurula embryo and (I) an early neurula embryo injected  
477 with the *Admp* MO (green). Nuclei are stained with DAPI (gray). ANB cells are marked by  
478 arrowheads. Photographs are pseudocolored, and lateral views are shown. (J) Quantification  
479 of fluorescence intensities of signals for pSmad1/5/9 in the ANB region of control  
480 unperturbed embryos and *Admp* morphants. Each dot represents relative signal intensities of a  
481 line of four medial ANB cells, and bars represent median values. Relative intensities were  
482 calculated by dividing sums of pSmad1/5/9 signals of four ANB cells with sums of DAPI  
483 signals of the same cells. As we used tyramide signal amplification, signal levels might not be  
484 amplified linearly. However, a Wilcoxon's rank sum test indicated that signal levels are  
485 significantly different between the control and experimental specimens. The scale bar in (A)  
486 represents 50  $\mu\text{m}$ .

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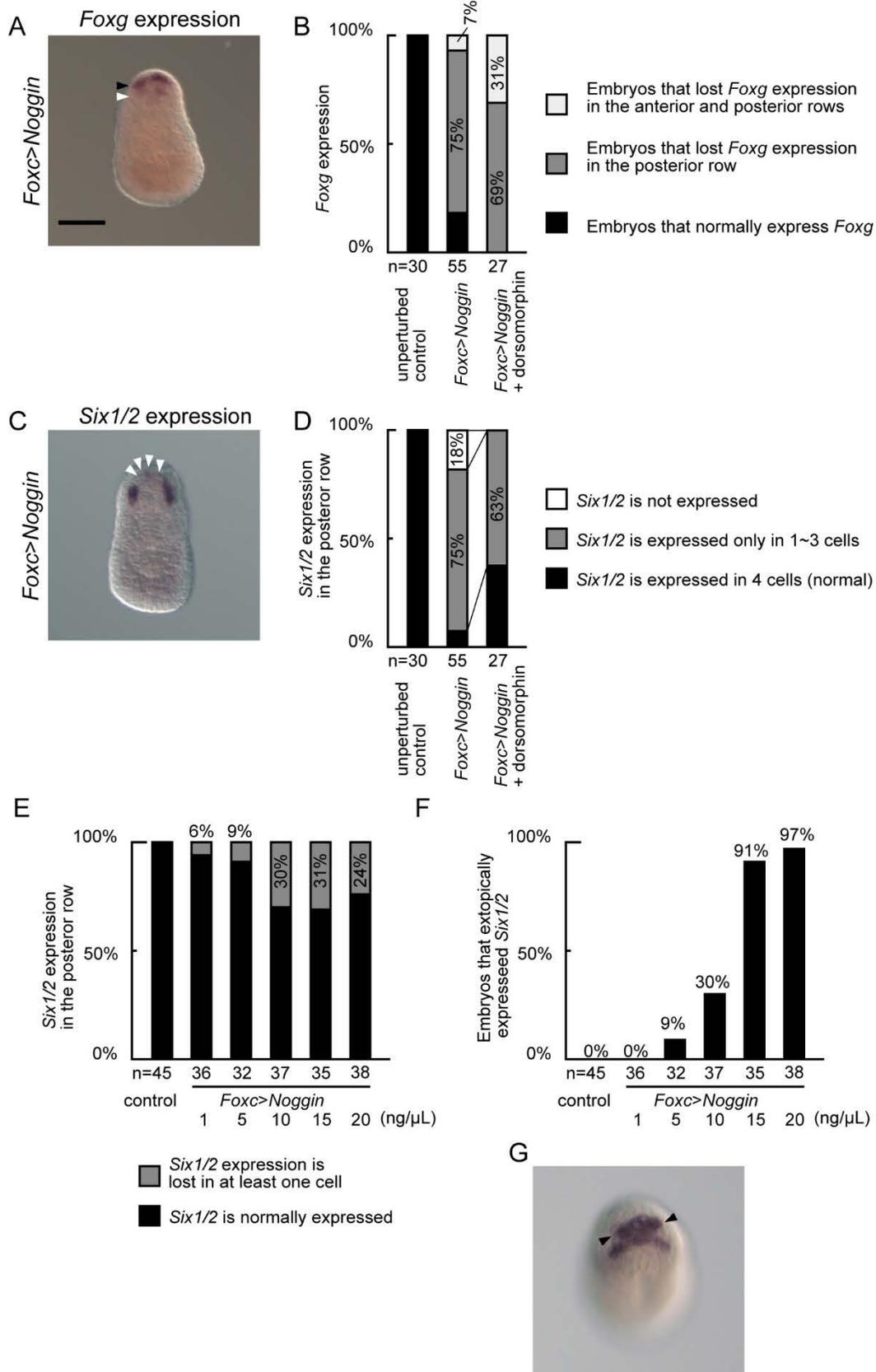
Liu et al., Figure 3



489 **Figure 3. *Chordin* and *Noggin* are expressed in cells next to the ANB cells.** (A-D) *In situ*  
490 hybridization for (A) *Foxc* (green) and *Chordin* (magenta), (B) *Foxc* (green) and *Noggin*  
491 (magenta) at late gastrula stage, and for (C) *Foxg* (green) and *Chordin* (magenta), and (D)  
492 *Foxg* (green) and *Noggin* (magenta) at the early neurula stage. Nuclei were stained with DAPI  
493 (gray). Photographs are pseudocolored Z-projected image stacks. Dorsal views are shown.  
494 Note that the anterior row of the ANB is not visible in (D). (E) Optical slices of pSmad1/5/9  
495 immunostaining of an unperturbed control early neurula embryo and early neurula embryos  
496 injected with *Foxc>Chordin* or *Foxc>Noggin*. ANB cells are marked with arrowheads.  
497 Lateral views are shown. The scale bar in (A) represents 50  $\mu\text{m}$ . (F) Quantification of  
498 fluorescence intensities of signals for pSmad1/5/9 in the ANB region of unperturbed control  
499 embryos and embryos injected with *Foxc>Chordin* or *Foxc>Noggin*. Each dot represents  
500 relative signal intensities of a line of four medial ANB cells, and bars represent median  
501 values. Relative intensities were calculated by dividing sums of pSmad1/5/9 signals of four  
502 ANB cells with sums of DAPI signals of the same cells. As we used tyramide signal  
503 amplification, signal levels might not be amplified linearly. However, Wilcoxon's rank sum  
504 tests indicated that signal levels are significantly different between the control and  
505 experimental specimens.

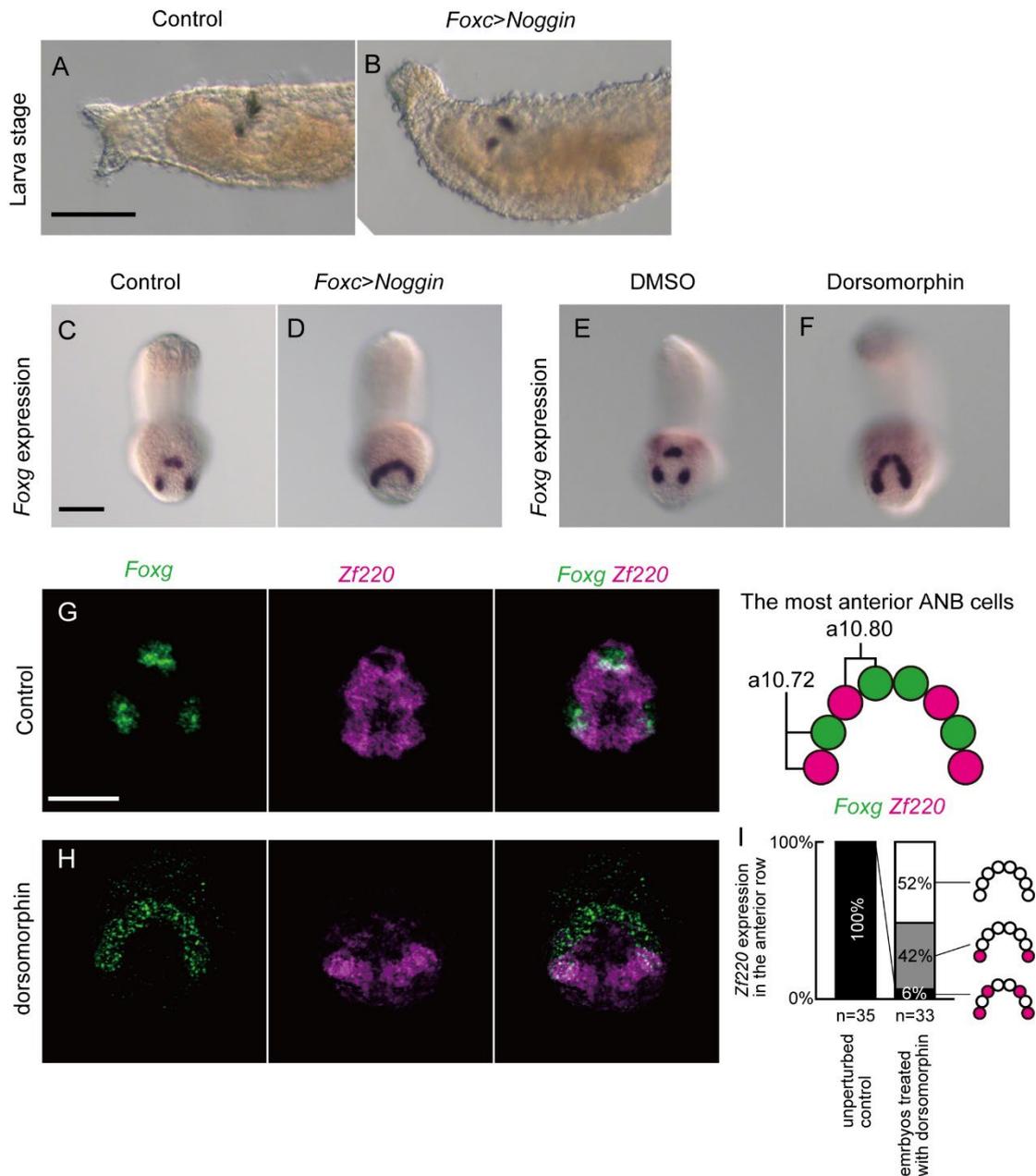
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Liu et al., Figure 4



508 **Figure 4. Suppression of BMP signaling activity affects expression of *Foxg* and *Six1/2*.**  
509 (A) A dorsal view of *in situ* hybridization for *Foxg* in an embryo in which *Foxc>Noggin* was  
510 introduced by electroporation. Expression of *Foxg* in the posterior row (white arrowhead) was  
511 lost, while expression in the anterior row was not affected in this embryo (black arrowhead).  
512 (B) Percentages of embryos that normally expressed *Foxg*, or lost *Foxg* expression. (C) A  
513 dorsal view of *in situ* hybridization for *Six1/2* in an embryo in which *Foxc>Noggin* was  
514 introduced by electroporation. Expression of *Six1/2* in the ANB region was lost or greatly  
515 reduced (white arrowheads). (D) Percentages of embryos that normally expressed or lost  
516 *Six1/2* expression in the posterior row of the ANB region of normal and embryos  
517 electroporated with *Foxc>Noggin*. (E) Percentages of embryos that normally expressed or  
518 lost *Six1/2* expression in one or more ANB cells in embryos injected with *Foxc>Noggin* at  
519 different concentrations. (F) Percentages of embryos that ectopically expressed *Six1/2* in  
520 embryos injected with *Foxc>Noggin* at different concentrations. (G) A dorsal view of an  
521 embryo in which *Foxc>Noggin* was injected at 20 ng/ $\mu$ L, expressed *Six1/2* ectopically  
522 (arrowheads). The scale bar in (A) represents 50  $\mu$ m.

523



524

525 **Figure 5. BMP signaling activity is required for proper palp formation.** (A) Morphology  
 526 of the trunk of larvae developed from (A) a control unperturbed egg and (B) an egg  
 527 electroporated with the *Foxc>Noggin* construct. Two of three palp protrusions are visible in  
 528 this larva. We examined 16 control larvae and 24 experimental larvae, and all of them  
 529 exhibited phenotypes represented by these photographs. (C-F) *In situ* hybridization for *Foxg*  
 530 in (C) a control unperturbed embryo, (D) an embryo electroporated with the *Foxc>Noggin*  
 531 construct, (E) a control DMSO-treated embryo, and (F) an embryo treated with 50  $\mu$ M

532 dorsomorphin at the middle tailbud stage. We examined 45 embryos electroporated with  
533 *Foxc>Noggin*, and 101 embryos treated with dorsomorphin. Among them, 93% and 75% of  
534 embryos exhibited the phenotypes represented in (D) and (F), respectively. (G, H) Double  
535 fluorescence *in situ* hybridization for *Foxg* (green) and *Zf220* (magenta) in (G) a control  
536 embryo, (H) an embryo treated with 50  $\mu$ M dorsomorphin. In (H), the experimental embryo  
537 lost *Zf220* in the central region, and ectopically expressed *Foxg*. The expression pattern of  
538 *Foxg* and *Zf220* in the most anterior row of normal embryos are depicted on the right of (G).  
539 These most-anterior-row cells are daughters of a10.72 and a10.80 as illustrated. Note that  
540 *Zf220* is also expressed in the second row (Liu and Satou, 2019), which are not depicted.  
541 Photographs from C to H are anterior views, and the dorsal side of the trunk is down. (I)  
542 Percentages of embryos that normally expressed *Zf220* (black), lost *Zf220* expression in the  
543 central cells (gray), and lost it in both of the central and flanking cells (white) in the anterior  
544 row of unperturbed control embryos and embryos treated with dorsomorphin. We examined  
545 *Zf220* expression by non-fluorescence *in situ* hybridization in addition to double fluorescence  
546 *in situ* hybridization shown in (G) and (H). The scale bars in (A), (C), and (G) represent 50  
547  $\mu$ m.