

On the Structure of Hrushovski's Pseudoplanes Associated to Irrational Numbers

Hiroataka Kikyo

Graduate School of System Informatics

Kobe University

Abstract

Let α be an irrational number, and a/b a reduced fraction. Suppose $2/3 < \alpha < a/b < 3/4$ and b is sufficiently large. Let B be a canonical twig for a/b and A the set of all leaves in B . Let $p \in B$ be a good vertex of B over A . Let M be the generic structure for $(\mathbf{K}_f, <)$ where f is the Hrushovski's log-like function associated to α . Assume that B is a closed subset of M . Let D be the orbit of p over A in M . Then $M = \text{cl}(D)$. Actually, we can prove this only assuming $0 < \alpha < a/b < 1$.

1 Introduction

We show that Hrushovski's pseudoplanes associated irrational numbers introduced in his 1988 preprint [6] is a closure of an orbit of some point p over some finite set A . The "rank" of the type of p over A can be arbitrarily small positive real number. This statement is a weaker version of the monodimensionality introduced by D. Evans, Z. Ghadernezhad, and K. Tent [4].

In this paper, we assume that the irrational number α satisfies $2/3 < \alpha < 3/4$ instead of $1/2 < \alpha < 2/3$ assumed in Hrushovski's preprint [6]. With little modification, we can prove the same statement assuming $1/2 < \alpha < 2/3$, or even $0 < \alpha < 1$. We essentially use notation and terminology from Baldwin-Shi [2] and Wagner [15]. We also use some terminology from graph theory [3].

For a set X , $[X]^n$ denotes the set of all subsets of X of size n , and $|X|$ the cardinality of X .

We recall some of the basic notions in graph theory we use in this paper. These appear in [3]. Let G be a graph. $V(G)$ denotes the set of vertices of G . Vertices will be also called *points*. $E(G)$ is the set of edges of G . $E(G)$ is a subset of $[V(G)]^2$. $|G|$ denotes $|V(G)|$ and $e(G)$ denotes $|E(G)|$. The *degree* of a vertex v is the number of edges at v . A vertex of degree 1 is a *leaf*. G is a *path* $x_0x_1\dots x_k$ if $V(G) = \{x_0, x_1, \dots, x_k\}$ and $E(G) = \{x_0x_1, x_1x_2, \dots, x_{k-1}x_k\}$ where the x_i are all distinct. x_0 and x_k are *ends* of G . The number of edges of a path is its *length*. A path of length 0 is a single vertex. G is a *cycle* $x_0x_1\dots x_{k-1}x_0$ if $k \geq 3$, $V(G) = \{x_0, x_1, \dots, x_{k-1}\}$ and $E(G) = \{x_0x_1, x_1x_2, \dots, x_{k-2}x_{k-1}, x_{k-1}x_0\}$ where the x_i are all distinct. The number of edges of a cycle is its *length*. A non-empty graph G is *connected* if any two of its vertices are linked by a path in G . A *connected component* of a graph G is a maximal connected subgraph of G . A *forest* is a graph not containing any cycles. A *tree* is a connected forest.

To see a graph G as a structure in the model theoretic sense, it is a structure in language $\{E\}$ where E is a binary relation symbol. $V(G)$ will be the universe, and $E(G)$ will be the interpretation of E . The language $\{E\}$ will be called *the graph language*.

Suppose A is a graph. If $X \subseteq V(A)$, $A|X$ denotes the substructure B of A such that $V(B) = X$. If there is no ambiguity, X denotes $A|X$. We usually follow this convention. $B \subseteq A$ means that B is a substructure of A . A substructure of a graph is an induced subgraph in graph theory. $A|X$ is the same as $A[X]$ in Diestel's book [3].

Let A, B, C be graphs such that $A \subseteq C$ and $B \subseteq C$. AB denotes $C|(V(A) \cup V(B))$, $A \cap B$ denotes $C|(V(A) \cap V(B))$, and $A - B$ denotes $C|(V(A) - V(B))$. If $A \cap B = \emptyset$, $E(A, B)$ denotes the set of edges xy such that $x \in A$ and $y \in B$. We put $e(A, B) = |E(A, B)|$. $E(A, B)$ and $e(A, B)$ depend on the graph in which we are working.

Let D be a graph and A, B , and C substructures of D . We write $D = B \otimes_A C$ if $D = BC$, $B \cap C = A$, and $E(D) = E(B) \cup E(C)$. $E(D) = E(B) \cup E(C)$ means that there are no edges between $B - A$ and $C - A$. D is called a *free amalgam of B and C over A* . If A is empty, we write $D = B \otimes C$, and D is also called a *free amalgam of B and C* .

Definition 1.1. Let α be a real number such that $0 < \alpha < 1$.

- (1) For a finite graph A , we define a predimension function δ by $\delta(A) = |A| - e(A)\alpha$.
- (2) Let A and B be substructures of a common graph. Put $\delta(A/B) = \delta(AB) - \delta(B)$.

Definition 1.2. Let A and B be graphs with $A \subseteq B$, and suppose A is finite.

$A < B$ if whenever $A \subsetneq X \subseteq B$ with X finite then $\delta(A) < \delta(X)$.

We say that A is *closed* in B if $A < B$. We also say that B is a *strong* extension of A .

We say that A is *almost closed* in B , written $A <^- B$, if whenever $A \subsetneq X \subsetneq B$ with X finite then $\delta(A) < \delta(X)$.

Let \mathbf{K}_α be the class of all finite graphs A such that $\emptyset < A$.

Some facts about $<$ appear in [2, 15, 16]. Some proofs are given in [11].

Fact 1.3. Let A and B be disjoint substructures of a common graph. Then $\delta(A/B) = \delta(A) + e(A, B)$.

Fact 1.4. If $A < B \subseteq D$ and $C \subseteq D$ then $A \cap C < B \cap C$.

Fact 1.5. Let $D = B \otimes_A C$.

- (1) $\delta(D/A) = \delta(B/A) + \delta(C/A)$.
- (2) If $A < C$ then $B < D$.
- (3) If $A < B$ and $A < C$ then $A < D$.

Let B, C be graphs and $g : B \rightarrow C$ a graph embedding. g is a *closed embedding* of B into C if $g(B) < C$. Let A be a graph with $A \subseteq B$ and $A \subseteq C$. g is a *closed embedding over A* if g is a closed embedding and $g(x) = x$ for any $x \in A$.

In the rest of the paper, \mathbf{K} denotes a class of finite graphs closed under isomorphisms.

Definition 1.6. Let \mathbf{K} be a subclass of \mathbf{K}_α . $(\mathbf{K}, <)$ has the *amalgamation property* if for any finite graphs $A, B, C \in \mathbf{K}$, whenever $g_1 : A \rightarrow B$ and $g_2 : A \rightarrow C$ are closed embeddings then there is a graph $D \in \mathbf{K}$ and closed embeddings $h_1 : B \rightarrow D$ and $h_2 : C \rightarrow D$ such that $h_1 \circ g_1 = h_2 \circ g_2$.

\mathbf{K} has the *hereditary property* if for any finite graphs A, B , whenever $A \subseteq B \in \mathbf{K}$ then $A \in \mathbf{K}$.

\mathbf{K} is an *amalgamation class* if $\emptyset \in \mathbf{K}$ and \mathbf{K} has the hereditary property and the amalgamation property.

A countable graph M is a *generic structure* of $(\mathbf{K}, <)$ if the following conditions are satisfied:

- (1) If $A \subseteq M$ and A is finite then there exists a finite graph $B \subseteq M$ such that $A \subseteq B < M$.

- (2) If $A \subseteq M$ then $A \in \mathbf{K}$.
- (3) For any $A, B \in \mathbf{K}$, if $A < M$ and $A < B$ then there is a closed embedding of B into M over A .

Let A be a finite structure of M . There is a smallest B satisfying $A \subseteq B < M$, written $\text{cl}(A)$. The set $\text{cl}(A)$ is called the *closure* of A in M .

Fact 1.7 ([2, 15, 16]). *Let $(\mathbf{K}, <)$ be an amalgamation class. Then there is a generic structure of $(\mathbf{K}, <)$. Let M be a generic structure of $(\mathbf{K}, <)$. Then any isomorphism between finite closed substructures of M can be extended to an automorphism of M .*

Definition 1.8. Let \mathbf{K} be a subclass of \mathbf{K}_α . $(\mathbf{K}, <)$ has the *free amalgamation property* if whenever $D = B \otimes_A C$ with $B, C \in \mathbf{K}$, $A < B$ and $A < C$ then $D \in \mathbf{K}$.

By Fact 1.5 (2), we have the following.

Fact 1.9. *Let \mathbf{K} be a subclass of \mathbf{K}_α . If $(\mathbf{K}, <)$ has the free amalgamation property then it has the amalgamation property.*

Definition 1.10. Let \mathbb{R}^+ be the set of non-negative real numbers. Suppose $f : \mathbb{R}^+ \rightarrow \mathbb{R}^+$ is a strictly increasing concave (convex upward) unbounded function. Assume that $f(0) = 0$, and $f(1) \leq 1$. We assume that f is piecewise smooth. $f'_+(x)$ denotes the right-hand derivative at x . We have $f(x+h) \leq f(x) + f'_+(x)h$ for $h > 0$. Define \mathbf{K}_f as follows:

$$\mathbf{K}_f = \{A \in \mathbf{K}_\alpha \mid B \subseteq A \Rightarrow \delta(B) \geq f(|B|)\}.$$

Note that if \mathbf{K}_f is an amalgamation class then the generic structure of $(\mathbf{K}_f, <)$ has a countably categorical theory [16].

A graph X is *normal to f* if $\delta(X) \geq f(|X|)$. A graph A belongs to \mathbf{K}_f if and only if U is normal to f for any substructure U of A .

2 Hrushovski's Log-like Functions

Definition 2.1. Let α be a positive real number. x is called a *best approximation of α strictly from above with a denominator at most n* if x is a smallest rational number $r = k/d > \alpha$ with $d \leq n$ where k and d are positive integers.

Definition 2.2 ([6]). Let α be a positive real number. We define x_n, e_n, k_n, d_n for integers $n \geq 1$ by induction as follows: Put $x_1 = 2$ and $e_1 = 1$. Assume that x_n and e_n are defined. Let r_n be the best approximation of α strictly from above with a denominator at most e_n . Let k_n/d_n be the reduced fraction satisfying $k_n/d_n = r_n$. Finally, let $x_{n+1} = x_n + k_n$, and $e_{n+1} = e_n + d_n$.

Let $a_0 = (0, 0)$, and $a_n = (x_n, x_n - e_n\alpha)$ for $n \geq 1$. Let f_α be a function from \mathbb{R}^+ to \mathbb{R}^+ whose graph on interval $[x_n, x_{n+1}]$ with $n \geq 0$ is a line segment connecting a_n and a_{n+1} . We call f_α a *Hrushovski's log-like function associated to α* .

Fact 2.3 ([6]). Let f_α be a Hrushovski's log-like function and $\{x_i\}, \{e_i\}, \{k_i\}, \{d_i\}$ sequences in the definition of f_α .

Suppose C is an extension of B by x points and z edges, $|B| \geq x_n$ and $x/z \geq k_n/d_n$ for some n , and B is normal to f_α . Then C is normal to f_α .

Fact 2.4 ([6]). Let $D = B \otimes_A C$. If $\delta(A) < \delta(B)$, $\delta(A) < \delta(C)$, and A, B, C are normal to f_α then D is normal to f_α .

Fact 2.5 ([6]). Let α be a real number with $0 < \alpha < 1$. Then f_α is strictly increasing and concave, and $(\mathbf{K}_{f_\alpha}, <)$ has the free amalgamation property. Therefore, there is a generic structure of $(\mathbf{K}_{f_\alpha}, <)$. Any one point structure is closed in any structure in \mathbf{K}_{f_α} . If α is rational then f_α is unbounded.

The following is easy.

Lemma 2.6. Let $C = A \otimes_p B$ where p is a single vertex and $A, B \in \mathbf{K}_f$. Then $C \in \mathbf{K}_f$. Any finite forests belong to \mathbf{K}_f .

Lemma 2.7. Suppose $2/3 < \alpha < 3/4$.

- (1) The first several terms of the sequences defining f_α are given by the following chart with (k_5, d_5) being either $(3, 4)$ or $(5, 7)$:

x_i	2	3	4	5	8	...
e_i	1	2	3	4	8	...
k_i	1	1	1	3	k_5	...
d_i	1	1	1	4	d_5	...

- (2) Suppose C is an extension of B by x points and z edges, $5 \leq |B|$, $3/4 \leq x/z$, and B is normal to f_α . Then C is normal to f_α .

- (3) Suppose C is an extension of B by x points and z edges, $5 \leq |B|$, $z \leq (4/7)|B|$, $\alpha < x/z$, and B is normal to f_α . Then C is normal to f_α .

Proof. (1) is straightforward. (2) holds by Fact 2.3 and (1).

(3) Choose i satisfying $x_i \leq |B| < x_{i+1}$. Since $x_4 = 5 \leq |B|$, we have $4 \leq x_i$. Then $x_i - 1 \leq e_i$ and $k_i/d_i \leq 3/4$. Also, we have $d_i \leq e_i$. So, $|B| < x_{i+1} = x_i + k_i = x_i + (k_i/d_i)d_i \leq (e_i + 1) + (3/4)e_i = (7/4)e_i + 1$. Hence, $|B| \leq (7/4)e_i$ and thus $z \leq (4/7)|B| \leq e_i$. By the choice of k_i/d_i , we have $k_i/d_i \leq x/z$. Since $x_i \leq |B|$, C is normal to f_α by Fact 2.3. \square

3 Special Structures

Definition 3.1. Let h/k and h'/k' be reduced fractions of non-negative integers. $(h+h')/(k+k')$ is called a *mediant* of h/k and h'/k' . We say that $(h/k, h'/k')$ is a *Farey pair* if $h'k - hk' = 1$. Note that $0 \leq h/k < h'/k'$.

The following lemma is well-known.

Lemma 3.2. Let $(h/k, h'/k')$ be a Farey pair and u, v positive integers.

- (1) If $h/k < u/v < h'/k'$ then $k+k' \leq v$.
- (2) Let h''/k'' be the mediant of h/k and h'/k' . Then $(h/k, h''/k'')$ and $(h''/k'', h'/k')$ are Farey pairs.

Definition 3.3. Let u/v be a reduced fraction of positive integers. A graph W is called a *general twig* for u/v if the number of edges of W is v , the number of non-leaf vertices of W is u , and the set of all leaves of W is almost closed in W with respect to $\delta_{u/v}$. A general twig W for u/v is called a *twig* for u/v if there is a path $P = p_0 \cdots p_k$ in W such that p_0 is a leaf of W , p_k is a non-leaf vertex of W , and the paths from leaves of W other than p_0 to P are independent paths. The path P is called the *main path* of the twig W , p_0 the *left end* of the main path of W , and p_k the *right end* of the main path of W . Note that the left end of the main path of a twig is a leaf of the twig, and the right end of the main path is a non-leaf vertex of the twig. A twig is a twig for some reduced fraction.

Lemma 3.4. Let $(h/k, h'/k')$ be a Farey pair, A a general twig for h'/k' and B a general twig for h/k . Suppose $D = A \otimes_c B$ where c is a non-leaf vertex of A as well as a leaf of B . Then D is a general twig for $(h+h')/(k+k')$.

Proof. First of all, it is clear that the number of all edges in D is $k + k'$. Since vertex c is a leaf in B as well as a non-leaf vertex in A , the number of all non-leaf vertices in D is $h + h'$.

Let F be the set of all leaves of D , X a proper substructure of D with $F \subsetneq X$. Put $X_A = X \cap A$ and $X_B = X \cap B$. Then $X = X_A \otimes X_B$ if $c \notin X$ and $X = X_A \otimes_c X_B$ if $c \in X$. Let u be the number of all non-leaf vertices of A in X , v the number of all edges of A in X , u' the number of all non-leaf vertices of B in X , v' the number of all edges of B in X . Since c is a non-leaf vertex in A as well as a leaf in B , the number of non-leaf vertices of D in X is $u + u'$ and the number of edges of D in X is $v + v'$. So, $\delta(X/F) = (u + u') - (v + v')\alpha$ where $\alpha = (h + h')/(k + k')$. We have $h/k < h'/k' \leq u/v$ because A is a general twig for h'/k' , and we also have $h/k \leq u'/v'$ because B is a general twig for h/k . Hence, $h/k < (u + u')/(v + v')$. Since the number of all edges in D is $k + k'$, X is a proper substructure of D , and D is connected, we have $v + v' < k + k'$. Note that h/k and $(h + h')/(k + k')$ form a Farey pair by Lemma 3.2 (2). Hence, we have $(h + h')/(k + k') \leq (u + u')/(v + v')$ by Lemma 3.2 (1). Since $v + v' < k + k'$, we cannot have $(u + u')/(v + v') = (h + h')/(k + k')$. \square

Lemma 3.5. (1) A path of length 4 is a general twig for $3/4$. It can be considered as a twig for $3/4$ having a main path of length 2 and a uniform height 2. This twig will be called a 2-twig for $3/4$.

(2) A path of length 3 is a general twig for $2/3$. It can be considered as a twig for $2/3$ having a main path of length 1 and a uniform height 2. This twig will be called a 1-twig for $2/3$.

Definition 3.6. Two twigs are said to be *isomorphic* twigs if there is a graph isomorphism between them which preserves the main paths. A graph W is called a *concatenation* of two twigs W_1 and W_2 if $W = W'_1 \otimes_c W'_2$ where W'_1 is a twig isomorphic to W_1 , W'_2 is a twig isomorphic to W_2 , and c is the left end of the main path of W'_1 as well as the right end of the main path of W'_2 . A graph $W = W_1 \otimes_{p_1} W_2 \otimes_{p_2} \cdots \otimes_{p_{k-1}} W_k$ is called a *chain of twigs* if each W_i is a twig and each p_i is a right end of the main path of W_i as well as the right end of the main path of W_{i+1} for $i = 1, \dots, k - 1$. $W_1 \otimes_{p_1} W_2 \otimes_{p_2} \cdots \otimes_{p_{j-1}} W_j$ with $j \leq k$ will be called a *left prefix* of W . W is said to be a chain of twigs satisfying certain property if each W_i has the property. For example, W is a chain of twigs for $2/3$ if each W_i is a twig for $2/3$. Let p_0 be the right end of the main path of W_1 and p_k the left end of the main path of W_k . The path from p_0 to p_k in W is called the main path of W , p_0 the left end of the main path of W , p_k the right end of the main path of W . Note

that the paths from leaves of W other than p_0 to P are independent paths. We say that a chain of twigs has a *uniform height* n if the distance from any leaves other than the left end of the main path is n .

Lemma 3.7. *Let $(h/k, h'/k')$ be a Farey pair, W a twig for h/k , and W' a twig for h'/k' . Let u/v be a reduced fraction with $h/k < u/v < h'/k'$. Then there is a twig for u/v which is also a chain of twigs isomorphic to W or W' .*

Proof. We prove the lemma by induction on $v - (k + k')$. Let W'' be a concatenation of W and W' . Let h''/k'' be the mediant of h/k and h'/k' .

Suppose $u/v = h''/k''$. Then W'' is a twig for u/v by Lemma 3.4. We have the lemma in this case.

Suppose $u/v \neq h''/k''$. Then $h/k < u/v < h''/k''$ or $h''/k'' < u/v < h'/k'$.

Case $h/k < u/v < h''/k''$. Since $k'' = k + k' > k'$, we have $v - (k + k'') < v - (k + k')$. By induction hypothesis, there is a twig W''' for u/v which is also a chain of twigs isomorphic to W or W'' . Since W'' is a concatenation of W and W' , W''' is also a chain of twigs isomorphic to W or W' .

Case $h''/k'' < u/v < h'/k'$. The proof for this case is similar to the proof for the previous case. \square

Definition 3.8. Let a/b be a reduced fraction with $2/3 < a/b < 3/4$. A twig for a/b is called a *canonical* twig if it is a chain of twigs isomorphic to a 2-twig for $4/3$ or a 1-twig for $2/3$. Canonical twigs exist for any such a/b .

4 Almost Monodimensionality

In this section, there are many cases that we want to show some structures are normal to f . Note that any trees are normal to f and any single vertex is closed in structures normal to f . Also, the free amalgamation property holds for the class of structures normal to f . So, if a structure is normal to f then any extension by a tree over a single vertex is also normal to f .

Definition 4.1. Let B be a graph and A a substructure of B . A substructure X of B is said to be *smooth* over A if any leaves of X belong to A .

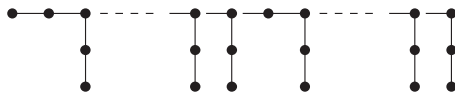
Definition 4.2. Let B be a graph and A a substructure of B , and $p \in B$. $d_B^c(p/A)$ denotes the smallest value of $\delta_\alpha(X/A)$ where $A \subseteq X \subseteq B$ and there is a path from p to A in X .

Definition 4.3. Let B be a graph, A a substructure of B , and β a real number. B is called a $3/4$ -extension of A if $x = |B| - |A|$ and $z = e(B) - e(A)$ then $x/z \geq 3/4$.

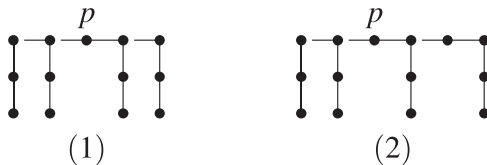
Definition 4.4. Suppose $A < B$. $p \in B$ is called a *good vertex* of B over A if $p \in B - A$ and whenever $p \in X \subset B$ with $X \cap A \neq \emptyset$ then either $7 \leq |X - A|$ or $X \otimes_p pp_1p_2p_3$ is a $3/4$ -extension of $X'p_3$ for some $X' \subseteq X$ with $X \cap A \subseteq X'$. Here, $pp_1p_2p_3$ is a path of length 3 with ends p and p_3 .

Proposition 4.5. Let α be an irrational number, and a/b a reduced fraction. Suppose $2/3 < \alpha < a/b < 3/4$ and b is sufficiently large. Let B be a canonical twig for a/b and A the set of all leaves in B . Then there is a good vertex of B over A whose distance from A is 3.

Proof. Note that for any reduced fractions a'/b' with $2/3 < a'/b' < 3/4$, the canonical twig for a'/b' begins from the left end with a twig for $3/4$ and ends with a twig for $2/3$ at the right end. Since b is sufficiently large, the canonical twigs B for a/b look like the following:



Hence, there is a substructure of B which is isomorphic to one of the following pictures:



Let us assume that there is a substructure of B isomorphic to (1) above. Choose a vertex p as indicated in the figure. We show that p is a good vertex of B over A .

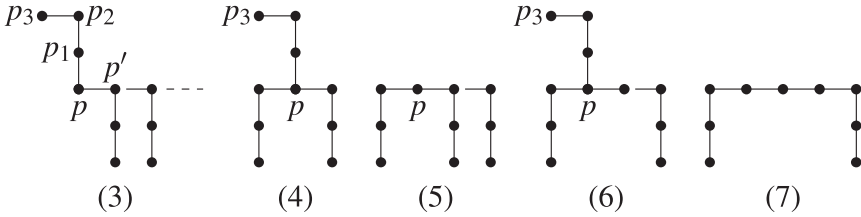
Let X be a smooth and connected substructure of B over pA with $p \in X$ and $X \cap A \neq \emptyset$. Suppose that X does not contain a vertex in B adjacent to p . Then X contains the other vertex in B adjacent to p , say p' . Then $X \otimes_p pp_1p_2p_3 = (X - p) \otimes_{p'} p'p_1p_2p_3$. Therefore, it is a $3/4$ -extension of $(X - p)p_3$. See (3) in the figure below.

Now, suppose that X contains both vertices adjacent to p . If X contains at least 5 vertices from the main path of B , then X contains at least 2 more paths from the

main path of B to A . Each such path has length 2 and thus contains an inner vertex. Hence $X - A$ contains at least 7 vertices. See (7) in the figure below.

If X contains exactly 3 vertices from the main path of B , then $X \otimes_p pp_1p_2p_3$ looks like (4) in the figure below. It is an extension of $(X \cap A)p_3$ by 7 vertices and 9 edges. Since $7/9 > 3/4$, it is a $3/4$ -extension of $(X \cap A)p_3$.

If X contains exactly 4 vertices from the main path of B , (a) X is isomorphic to (5) or (b) $X \otimes_p pp_1p_2p_3$ is isomorphic to (6) in the figure below. In the case (a), $X - A$ contains 7 vertices. In the case (b), $X \otimes_p pp_1p_2p_3$ is an extension of $(X \cap A)p_3$ by 8 vertices and 10 edges. Since $8/10 = 4/5 > 3/4$, it is a $3/4$ -extension of $(X \cap A)p_3$.

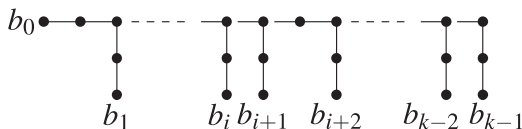


We have shown that vertex p is a good vertex of B over A when we choose p as in (1). When we choose p as in (2), we can show that p is a good vertex of B over A similarly. □

Lemma 4.6. *Let α be an irrational number with $2/3 < \alpha < 3/4$, u/v a reduced fraction with $u/v < \alpha$ such that whenever $u/v < u'/v' < \alpha$ then $v < v'$. Let $f = f_\alpha$ be the Hrushovski's log-like function associated to α . Assume that $B \in \mathbf{K}_f$ with $A < B$ and there is a good vertex b of B over A , W is a canonical twig for u/v , C the set of all leaves of W , and $k = |C|$. Let $D = (B_0 \otimes_A B_1 \otimes_A B_2 \otimes_A \dots \otimes_A B_{k-1}) \otimes_C W$ where $C = \{b_0, b_1, \dots, b_{k-1}\}$, B_i is isomorphic to B over A and $b_i \in B_i$ is the isomorphic image of b for each $i = 0, \dots, k - 1$. Then for sufficiently large v , D belongs to \mathbf{K}_f , and there is a good vertex p of D over A such that $d_D^c(p/A) > d_B^c(b/A) + \min\{d_B^c(b/A), 3(1 - \alpha)\}$.*

Proof. We show that D belongs to \mathbf{K}_f by choosing v sufficiently large. It is straightforward to prove other statements.

The b_i are the leaves of W . We can assume that b_0 is the left end of the main path of W , and b_1, b_2, \dots, b_{k-1} are ordered from left to right respecting the order of vertices in the main path of W connected to b_i by a path of length 2 in W .



For j with $1 \leq j \leq k$, let $D_j = (B_0 \otimes_A B_1 \otimes_A B_2 \otimes_A \dots \otimes_A B_j) \otimes_{C_j} W_j$ where $C_j = \{b_0, b_1, \dots, b_j\}$, and W_j is the left prefix of W with the right most leaf b_j . Note that $D = D_{k-1}$.

Now, let X be a substructure of D . Our aim is to show that X is normal to f . By Fact 2.4 (the free amalgamation property for the structures normal to f), we can assume that $X \cap A \neq \emptyset$, X is smooth over A , and $X \cap W$ is connected.

Put $Y_j = (X \cap B_0) \otimes_{X \cap A} \dots \otimes_{X \cap A} (X \cap B_j)$. Then $Y_j \in \mathbf{K}_f$ for any j . In particular, $|Y_{k'}| > 7k'$. Also, the number of all edges in $W_{k'}$ is at most $4k'$ and $C_{k'} < W_{k'}$. By Lemma 2.7 (3), $X \cap D_{k'} = Y_{k'} \otimes_{C_{k'}} W_{k'}$ is normal to f .

Now, consider $X \cap D_{k'+1}$. There are two cases for $W_{k'+1}$: $W_{k'+1} = W_{k'} \otimes_p P_{k'+1}$ where $P_{k'+1}$ is a path of length 4 or a path of length 3 with ends $p \in W_{k'}$ and $b_{k'+1}$. We have $D_{k'+1} = (D_{k'} \otimes_A B_{k'+1}) \otimes_{p, b_{k'+1}} P$.

If the length is 4, then $X \cap D_{k'+1}$ is a 3/4-extension of $(X \cap D_{k'}) \otimes_{X \cap A} (X \cap B_{k'+1})$, which is normal to f . Hence, $X \cap D_{k'+1}$ is also normal to f by Lemma 2.7 (2). If the length is 3, then $X \cap D_{k'+1}$ is a 3/4-extension of $(X \cap D_{k'}) \otimes_{X \cap A} X'$ for some X' with $X \cap A \subseteq X' \subsetneq X \cap B_{k'+1}$ because $b_{k'+1}$ is a good vertex of $B_{k'+1}$ over A . $X \cap D_{k'} \otimes_{X \cap A} X'$ is normal to f by Fact 2.4, so is $X \cap D_{k'+1}$ by Lemma 2.7 (2). Repeating the similar arguments, we see that $X \cap D_{k-1}$ is normal to f .

The essential remaining case is the case where $W \subseteq X$ and $|X \cap B_j| \geq 7$ for all j . Since v is sufficiently large, We can assume $0 > \delta_\alpha(W/C) > -\delta_\alpha(B/A)$. We can also assume that k is very large. Then $X \cap D$ is normal to f . \square

Now, we prove the main theorem.

Theorem 4.7. *Let α be an irrational number, and a/b a reduced fraction. Suppose $2/3 < \alpha < a/b < 3/4$ and b is sufficiently large. Let B be a canonical twig for a/b and A the set of all leaves in B . Let $p \in B$ be a good vertex of B over A . Let M be the generic structure for $(\mathbf{K}_f, <)$ where f is the Hrushovski's log-like function associated to α . Assume that B is a closed subset of M . Let D be the orbit of p over A in M . Then $M = \text{cl}(D)$.*

Proof. We first claim that any points in M independent from A over the empty set belong to $\text{cl}(D)$.

Note that a good vertex of B over A exists by Proposition 4.5. Let $B_1 < M$ be the embedded image of D obtained by Lemma 4.6 from B . Then $B_1 \subseteq \text{cl}(D, A)$,

$A < B_1$, there is a good vertex p_1 of B_1 over A . Repeating this process, we get $A < B_1 < B_2 < \dots < B_j < M$ for any natural number j , and a good vertex p_i of B_i over A for each $i \leq j$. Each p_{i+1} for i belongs to $\text{cl}(\text{Orb}(p_i/A))$. Therefore, each p_{i+1} for i belongs to $\text{cl}(\text{Orb}(p/A))$.

Let $\varepsilon = \min\{d_{B_i}^c(p/A), 3(1 - \alpha)\}$. By the structures of B_i , $d_{B_1}^c(p_1/A) > 2\varepsilon$, $d_{B_2}^c(p_2/A) > 3\varepsilon$, and so on. We have $d_{B_j}^c(p_j/A) > (j+1)\varepsilon$. For sufficiently large j , we have $d_{B_j}^c(p_j/A) > 1$. Therefore, there is j such that $d(p_j/A) = 1 = d(p_j)$ and $p_j \in \text{cl}(D)$. Suppose x is not adjacent to vertices in A and $xA < M$. Since $p_jA < M$ and xA is isomorphic to p_jA , there is an automorphism of M which sends x to p_j and fixes A pointwise. Hence, x belong to $\text{cl}(D)$ also because D is invariant under the automorphisms fixing A pointwise. We have shown the first claim.

Choose a reduced fraction u/v with $u/v < \alpha$ which is a good approximation of α from below. Using twigs for u/v , make a big tree W such that there is a root x of W such that for all the leaves y of W , yx is not an edge of W , and $yx < W$.

Now, let $x \in M$. Consider $\text{cl}(xA)$. Consider $W \otimes_x \text{cl}(xA) > \text{cl}(xA)$. We can embed $W \otimes_x \text{cl}(xA)$ into M over $\text{cl}(xA)$ as a closed structure. Let y be a leaf of W . Suppose $yA \subseteq X \subseteq W \otimes_x \text{cl}(xA)$. If $x \notin X$, then $X = (X \cap W) \otimes (X \cap \text{cl}(xA))$. In this case, $y < (X \cap W)$ and $A < X \cap \text{cl}(xA)$. Hence, $\delta(yA) < \delta(X)$ unless $yA = X$. Suppose $x \in X$. $X = (X \cap W) \otimes_x (X \cap \text{cl}(xA))$. We have $\delta(yx) < \delta(X \cap W)$ unless $X \cap W = yx$. Also, we have $\delta(A) < \delta(X \cap \text{cl}(xA))$ since $A < M$ and $A \subsetneq X \cap \text{cl}(xA)$.

Suppose $yx \subsetneq X \cap W$. We have

$$\delta(X) = \delta(X \cap W) + \delta(X \cap \text{cl}(xA)) - 1 > \delta(yx) - 1 + \delta(A) = 1 + \delta(A).$$

Therefore, yA is closed in $W \otimes_x \text{cl}(xA)$, and thus $yA < M$. This shows that all the leaves of W belong to $\text{cl}(D)$. So, x belongs to $\text{cl}(D)$. \square

Acknowledgments

The work is supported by the Research Institute for Mathematical Sciences, an International Joint Usage/Research Center located in Kyoto University.

References

- [1] J.T. Baldwin and S. Shelah, Randomness and semigenericity, Trans. Am. Math. Soc. **349**, 1359–1376 (1997).

- [2] J.T. Baldwin and N. Shi, Stable generic structures, *Ann. Pure Appl. Log.* **79**, 1–35 (1996).
- [3] R. Diestel, *Graph Theory*, Fourth Edition, Springer, New York (2010).
- [4] D. Evans, Z. Ghadernezhad, and K. Tent, Simplicity of the automorphism groups of some Hrushovski constructions, *Ann. Pure Appl. Logic* **167**, 22–48 (2016).
- [5] G.H. Hardy, and E.M. Wright, *An Introduction to the Theory of Numbers*, Fifth Edition, Oxford University Press, Oxford (1979).
- [6] E. Hrushovski, A stable \aleph_0 -categorical pseudoplane, preprint (1988).
- [7] E. Hrushovski, A new strongly minimal set, *Ann. Pure Appl. Log.* **62**, 147–166 (1993).
- [8] K. Ikeda, H. Kikyo, Model complete generic structures, in *the Proceedings of the 13th Asian Logic Conference*, World Scientific, 114–123 (2015).
- [9] H. Kikyo, Model complete generic graphs I, *RIMS Kokyuroku* **1938**, 15–25 (2015).
- [10] H. Kikyo, Balanced Zero-Sum Sequences and Minimal Intrinsic Extensions, *RIMS Kokyuroku* **2079**, Balanced zero-sum sequences and minimal intrinsic extensions (2018).
- [11] H. Kikyo, Model Completeness of Generic Graphs in Rational Cases, *Archive for Mathematical Logic* **57** (7-8), 769–794 (2018).
- [12] H. Kikyo, Model completeness of the theory of Hrushovski’s pseudoplane associated to $5/8$, *RIMS Kokyuroku* **2084**, 39–47 (2018).
- [13] H. Kikyo, On the automorphism group of a Hrushovski’s pseudoplane associated to $5/8$, *RIMS Kokyuroku* **2119**, 75–86 (2019).
- [14] H. Kikyo, S. Okabe, On automorphism groups of Hrushovski’s pseudoplanes in rational cases, in preparation.
- [15] F.O. Wagner, Relational structures and dimensions, in *Automorphisms of first-order structures*, Clarendon Press, Oxford, 153–181 (1994).

[16] F.O. Wagner, *Simple Theories*, Kluwer, Dordrecht (2000).

Graduate School of System Informatics

Kobe University

1-1 Rokkodai, Nada, Kobe 657-8501

JAPAN

kikyo@kobe-u.ac.jp

神戸大学大学院システム情報学研究科 桔梗 宏孝