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**Compensation strategy of shoulder synergist muscles is not stereotypical in patients with rotator cuff repair**

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34 **Abstract**

35 Rotator cuff tear is a common shoulder injury that causes shoulder dysfunction and pain.  
36 Although surgical repair is the primary treatment for rotator cuff tear, it is well recognized that  
37 impaired force exertion of muscles connecting to the involved tendon and subsequent  
38 complemental change in the force exertion of synergist muscles persist even after repair. This  
39 study aimed to identify the compensation strategy of shoulder abductors by examining how  
40 synergist muscles respond to supraspinatus muscle force deficit in patients with rotator cuff  
41 repair. Muscle shear modulus, an index of muscle force, was assessed for supraspinatus,  
42 infraspinatus, upper trapezius, and middle deltoid muscles in repaired and contralateral control  
43 shoulders of 15 patients with unilateral tendon repair of the supraspinatus muscle using  
44 ultrasound shear wave elastography while the patients passively or actively held their arm in  
45 shoulder abduction. In the repaired shoulder, the shear modulus of the supraspinatus muscle  
46 declined, whereas that of other synergist muscles did not differ relative to that of the control.  
47 To find the association between the affected supraspinatus and each of the synergist muscles, a  
48 regression analysis was used to assess the shear moduli at the population level. However, no  
49 association was observed between them. At the individual level, there was a tendency of  
50 variation among patients with regard to a specific muscle whose shear modulus  
51 complementarily increased. These results suggest that the compensation strategy for  
52 supraspinatus muscle force deficit varies among individuals, being non-stereotypical in patients  
53 with rotator cuff injury.

54

55 **Keywords**

56 elastography; compensation; shear modulus; muscle force; rotator cuff

57

## 58 **Introduction**

59 Rotator cuff tear is a common shoulder injury that causes dysfunction and pain in the shoulder  
60 joint. The prevalence of rotator cuff tear increases with aging,<sup>1</sup> occurring in more than 40% of  
61 the population above the age of 60 years.<sup>2;3</sup> Surgical repair of the involved tendon is a common  
62 primary treatment for rotator cuff tear.<sup>4</sup> However, it is well recognized that impaired force  
63 exertion of the muscle connecting to the involved tendon persists even after repair. Additionally,  
64 synergist muscles undergo complementary changes owing to impaired force exertion.<sup>5-7</sup>  
65 Specifically, since the supraspinatus (SSP) muscle, one of the rotator cuff muscles, is most  
66 susceptible to tendon tear,<sup>8;9</sup> the deficit in SSP muscle force and the subsequent complementary  
67 change in the force exertion of synergist muscles (e.g., deltoid, upper trapezius, and remaining  
68 rotator cuff muscles) seem to be prominent in clinical settings.

69 Such complementary changes in synergist muscle behavior following agonist muscle  
70 contribution deficit are generally known as compensation strategies. To date, several methods  
71 have been proposed to investigate compensation strategies in shoulder muscles. For instance,  
72 electromyography has been used for patients after rotator cuff repair; however, the crucial  
73 activity of the SSP muscle cannot be measured directly due to its location beneath the upper  
74 trapezius muscle.<sup>10</sup> Nevertheless, using a needle/wire electrode could enable SSP muscle  
75 activity to be recorded,<sup>11;12</sup> but the normalization procedure and pain induced by needle/wire  
76 insertion could under- or overestimate the inherent capacity of the muscle. Biomechanical  
77 studies using cadavers have been used to investigate the effect of SSP tendon tear on other  
78 muscles.<sup>13-15</sup> However, these studies only clarified the mechanical effects and were not able to  
79 investigate the pain-induced changes in motor command sent to the muscles. A recent study  
80 attempted to identify the compensation strategy using a computational musculoskeletal  
81 model.<sup>16;17</sup> However, since this model was not developed as a subject-specific model for

82 patients with rotator cuff repair, it could not represent the inherent neuromuscular capacity of  
83 patients.

84 Currently, ultrasound shear wave elastography (SWE) is a promising technology for studying  
85 muscle mechanics. SWE can non-invasively quantify the muscle shear modulus,<sup>18</sup> an index of  
86 individual muscle force during active contraction.<sup>19; 20</sup> A few studies utilized SWE to examine  
87 the elasticity of the SSP muscle in patients with rotator cuff tear<sup>21</sup> and repair<sup>22</sup> but did not  
88 measure other synergist muscles. Although a recent study investigated synergist muscles in  
89 addition to the SSP muscle in patients with rotator cuff repair,<sup>23</sup> it did not focus on the  
90 compensation strategy between them.

91 In summary, no studies have investigated the complementary relationship between the SSP  
92 muscle and other synergist muscles in patients. Therefore, using SWE, this study aimed to  
93 identify the compensation strategy of shoulder abductors by examining how synergist muscles  
94 behave following force decline in the SSP muscle in patients with rotator cuff repair. We  
95 hypothesized that the middle deltoid muscle acts in a compensatory manner with regard to the  
96 SSP muscle, based on previous studies using cadavers<sup>13-15</sup> and musculoskeletal models.<sup>16; 17</sup>

97

## 98 **Methods**

### 99 **1. Participants**

100 Thirty-six patients who underwent unilateral rotator cuff repair between June 2019 and  
101 December 2020 using the McLaughlin procedure, an open surgical approach, were recruited  
102 from an orthopedic hospital to participate in this study. The inclusion criteria for the repaired  
103 shoulder were as follows: (i) postoperative period from 8 weeks to 1 year, (ii) supraspinatus  
104 tendon solo tear, (iii) small to medium tear before surgery, (iv) no retear, and (v) more than 90°  
105 abduction. The inclusion criteria for the contralateral control shoulder were as follows: (i) no

106 symptoms, (ii) no history of any orthopedic disease or surgery, and (iii) no current tear. Retear  
107 in the repaired shoulder and asymptomatic tear in the control were sonographically diagnosed  
108 by two examiners (J.U. and Y.U.) based on the criteria, and discontinuity, thinning,  
109 hypoechogenicity, and irregularity were judged as tears.<sup>24</sup> Following these norms, 10 patients  
110 who did not meet the criteria for repaired shoulders and 6 who did not meet the criteria for  
111 control shoulders were excluded. Additionally, five patients were excluded due to problems  
112 associated with ultrasound measurement and processing. Specifically, four were excluded  
113 owing to an identified non-trivial muscle contraction during the elastography measurements,  
114 and one was excluded owing to the several voids within the colormap under the elastographic  
115 image analysis. Consequently, data for 15 patients (age  $64 \pm 9$  years, height  $164 \pm 8$  cm; body  
116 mass,  $66 \pm 10$  kg, 10 males) were analyzed in this study. The shoulder characteristics, functions,  
117 and symptoms of patients are presented in Table 1. The study protocol was approved by the  
118 Ethics Committee of Kyoto University Graduate School and the Faculty of Medicine (R1277)  
119 and the Institutional Review Board of Nobuhara Hospital and Institute of Biomechanics (no.  
120 188). In addition, we explained the aim and procedures of the study to all the participants and  
121 obtained written informed consent.

122

## 123 **2. Protocol**

124 This study was designed as a cross-sectional case-control study. Ultrasound assessments were  
125 conducted in the repaired and contralateral control shoulders by two examiners (J.U. for  
126 elastography and M.Y. for B-mode). In the ultrasound assessment, elastographic images were  
127 acquired from the SSP, infraspinatus (ISP), upper trapezius (UT), and middle deltoid (MD)  
128 muscles of the repaired and control shoulders in abduction tasks. We selected these muscles  
129 because of their roles as capital shoulder abductors.<sup>25</sup> Additionally, B-mode images were also

130 acquired to assess muscle size and intramuscular fat, considering that these factors could  
131 influence the elastographic assessment of the muscle.<sup>26-29</sup>

132

### 133 **3. Ultrasound measurement**

#### 134 **3.1. Shear wave elastography**

135 Muscle shear modulus was measured via elastography during abduction tasks. The shear  
136 modulus can be used as an index of individual muscle force because it reportedly increases  
137 linearly with joint torque primarily generated by one muscle crossing a joint (i.e., the joint  
138 torque is approximately equal to the individual muscle force).<sup>19; 20</sup> We used a previously  
139 described measurement posture.<sup>21; 23; 30</sup> Briefly, while seated on stools, the patients passively or  
140 actively held their arms at 30° of shoulder abduction (Figure 1A and B). We selected this  
141 posture as previous studies have demonstrated that it is associated with high activation<sup>31</sup> and  
142 large moment arm of the SSP muscle,<sup>25</sup> causing it to contribute to shoulder abduction torque.  
143 In other words, this measurement posture should impose synergist muscles to contribute to  
144 torque exertion when SSP muscle force declines.

145 In the passive and active abductions, the shear moduli of the SSP, ISP, UT, and MD muscles  
146 were measured using an ultrasound system (Aixplorer v12.2; Hologic Supersonic Imagine, Aix-  
147 en-Provence, France) coupled with a linear transducer (2–10 MHz, SuperLiner SL10-2;  
148 Hologic Supersonic Imagine) in the SWE mode (mode: MSK muscle, opt: penetration,  
149 frequency: 1.7 Hz, smoothing level: five, persistence: off, opacity: 100%, gain: 90%, colormap  
150 scale: 0–300 kPa). Before image acquisition, the measurement site of each muscle was marked  
151 on the skin. The measurement sites included the middle of the line between the half point of the  
152 scapular spine and that of the clavicle for the SSP muscle; the intersection of the line between  
153 the half point of the scapular spine and the inferior angle and line between the half point of the

154 scapular medial border and greater tubercle of the humerus for the ISP muscle; middle of the  
155 seventh cervical vertebra and scapular acromion for the UT muscle; middle of the scapular  
156 acromion and deltoid tuberosity for the MD muscle. Owing to mechanical and functional  
157 significance, the posterior deep region and the middle portion were selected as the measurement regions  
158 for SSP and ISP, respectively.<sup>32; 33</sup>

159 For elastographic imaging, the probe was transversely placed on the muscle belly and then  
160 rotated parallel to the muscle fascicles by clearly identifying muscle fascicles on the B-mode  
161 image. The elastography region of interest (ROI; 10 mm × 10 mm) was set at similar locations  
162 across patients at approximately 2.5, 1.5, 1.0, and 1.5 cm below the skin for the SSP, ISP, UT,  
163 and MD muscles, respectively. Three consecutive images of each muscle were acquired during  
164 the steady state of the color map by a single probe positioning under careful monitoring. This  
165 consecutive image acquisition was conducted considering the possibility of muscle fatigue  
166 during active abduction. Image acquisition was conducted in the passive condition, followed  
167 by the active condition.

168

### 169 **3.2. B-mode**

170 Muscle size and intermuscular fat were investigated using B-mode ultrasound. The patients  
171 were seated on stools in a natural posture (Figure 1C). B-mode images were acquired for SSP,  
172 ISP, UT, and MD muscles using an ultrasound system (Noblus; Hitachi Aloka Medical Systems,  
173 Tokyo, Japan) with a linear transducer (L64, 5–18 MHz). The measurement parameters were  
174 set as a gain of 25 dB, dynamic range of 70, depth of 4.0 cm, and the shallowest focus across  
175 all patients. The measurement site of each muscle was the same as that in the elastography  
176 measurement. The probe was transversely placed on the measurement site to the muscle path,

177 and two images were obtained from each muscle upon muscle fascicle visualization. We  
178 repeatedly removed the probe from the shoulder to acquire the images.

179

## 180 **4. Image processing**

### 181 **4.1. Elastographic image**

182 The muscle shear modulus was calculated from the elastographic image. The elastographic  
183 images were processed using the Aixplorer software built into the ultrasound system. A circular  
184 Q-box with a 10 mm diameter was set in the center of the ROI of each image (Figure 1A and  
185 B). The size of the Q-box was reduced to accommodate only the muscle in cases of decreased  
186 muscle thickness. Next, the mean value of the shear modulus within the Q-box was calculated.  
187 The shear modulus was averaged across three images of each muscle and then used for the  
188 statistical analysis. Note that the software calculated Young's modulus ( $E$ ) by multiplying the  
189 shear modulus ( $G$ ) by the constant of 3 but this constant is incorrect due to the muscle's  
190 anisotropic property. Therefore, we divided Young's modulus by 3 to reverse the software's  
191 calculation and obtain the accurate value of shear modulus that is equal to a square of shear  
192 wave velocity ( $V_s^2$ ) multiplied by muscle density ( $\rho$ ) (i.e.,  $E \cong 3G = 3\rho V_s^2$ , where  $\rho$  is assumed  
193 as  $1.0 \text{ g/cm}^3$ ).<sup>34</sup> The intra-observer reliability for the muscle shear modulus is presented in Table  
194 2 as the intraclass correlation coefficient (ICC) using the model of case 1.

195

### 196 **4.2. B-mode image**

197 The muscle thickness and echo intensity were calculated from B-mode images. The echo  
198 intensity fairly reflects the intramuscular fat, as shown by comparison with magnetic resonance  
199 imaging<sup>35</sup> and computed tomography.<sup>36</sup> These measures were used to quantify muscle size



200 and intermuscular fat, as previously reported.<sup>37,38</sup> The B-mode images were exported from the  
201 ultrasound system to a personal computer as tagged image format files and processed using the  
202 ImageJ software (National Institutes of Health, Bethesda, MD, USA). For muscle thickness, the  
203 distance between the upper and lower fasciae or between the fascia and bone was measured at  
204 the center of each measured image. For echo intensity, the mean value of all pixel intensities  
205 within the ROI was calculated from each measured image scaled from 0 (black) to 255 (white).  
206 The ROI was formed as a rectangular box and set to include as much muscle as possible while  
207 avoiding muscle fasciae and the bone (Figure 1C). The intra-observer reliabilities for muscle  
208 thickness and echo intensity are presented in Table 2 as ICCs using the model of case 1.

209

## 210 **5. Statistics**

211 All statistical analyses were performed in Matlab R2019b (MathWorks, Natick, MA, USA) and  
212 Spyder version 5 (Python 3.8.12) on Anaconda 4.10.3 (Anaconda, Austin, TX, USA). Data  
213 normality was assessed using a Shapiro–Wilk test. The shoulder range of motions, muscle  
214 thickness, and echo intensity were compared between repaired and control shoulders using  
215 Welch’s *t*-test. When normality was violated, a Mann–Whitney U test was alternatively used.

216 We used a linear mixed-effects (LME) model to evaluate the difference in shear modulus of the  
217 SSP muscle and complementary changes in synergists such as UT, ISP, and MD muscles in the  
218 repaired shoulder. This model specified *shoulder side* (repaired and control), *contraction*  
219 *condition* (passive and active), and their interaction as fixed effects, the individual shoulder as  
220 a random effect, and the shear modulus of each muscle as the dependent variable. Additionally,  
221 to ensure whether the total amount of force exerted by SSP and synergist muscles are equivalent  
222 between repaired and control shoulders, the pooled active shear modulus of all muscles was  
223 compared between repaired and control shoulders using the LME model. Moreover, since

224 muscle size and intramuscular fat could influence the shear modulus,<sup>26; 28; 29</sup> another LME  
225 model was constructed by adding muscle thickness and echo intensity as covariates to eliminate  
226 potential biases in determining the shear modulus. An analysis of variance (ANOVA) was used  
227 to test model significance, and the Tukey HSD post-hoc test was performed when significant  
228 main effects were observed.

229 To analyze the compensation strategy, we performed population- and individual-level analyses.  
230 For the population-level analysis, a simple linear regression analysis was used to determine  
231 associations between the SSP and each synergist muscle in the repaired shoulder. The  
232 dependent and independent variables were the active shear moduli of the SSP and those of each  
233 synergist muscle, respectively. For the individual-level analysis, the ratio of the active shear  
234 modulus of the repaired shoulder relative to that of the control was calculated for each muscle.  
235 A ratio > 1 suggested that the given muscle exerted more force than that of the control shoulder  
236 and complementarily contributed to force exertion. Subsequently, the patients were categorized  
237 into subgroups based on the highest ratio to identify the synergist muscle that executed the  
238 abduction task. Specific patterns in subgroups were observed in terms of pain, the Western  
239 Ontario Rotator Cuff (WORC) score, and postoperative day. The level of significance was set  
240 at  $p < 0.05$ .

241

## 242 **Results**

### 243 **1. Patient characteristics**

244 The shoulder characteristics, functions, and symptoms are presented in Table 1. All range of  
245 motions of the repaired shoulder were significantly reduced relative to those of the control  
246 shoulder. Sixty percent of the repaired shoulder was the dominant limb. The muscle thickness  
247 and echo intensity are shown in Table 3. Neither the muscle thicknesses nor the echo intensities

248 of all muscles differed between repaired and control shoulders, except for the significantly  
249 higher echo intensity of the MD muscle.

250

## 251 **2. Shear modulus of shoulder abductors**

252 The shear modulus of each muscle during passive and active conditions is shown in Figure 2,  
253 and all statistical values of ANOVAs are listed in Table 4.

254 Regarding the SSP muscle, the LME models revealed no significant interaction between  
255 *shoulder side* and *contraction condition* and significant main effects of *shoulder side* and  
256 *contraction condition*. The shear modulus was significantly increased in the active condition  
257 compared with that in the passive condition ( $p < 0.001$ ,  $d = 3.27$ ). With regard to *shoulder side*,  
258 the shear modulus was significantly lower in the repaired shoulder than in the control shoulder  
259 ( $p = 0.004$ ,  $d = 1.16$ ). The LME model with covariates also revealed no significant interaction  
260 between *shoulder side* and *contraction condition* and significant main effects of *shoulder side*  
261 and *contraction condition*. These results indicated that the shear modulus of the SSP muscle  
262 increased during active abduction but decreased in the repaired shoulder and was unaffected by  
263 muscle thickness and echo intensity.

264 For synergist muscles, the LME models indicated that the shear moduli of UT, ISP, and MD  
265 muscles significantly varied depending on *contraction condition*, increasing during active  
266 abduction (UT:  $p < 0.001$ ,  $d = 2.94$ ; ISP:  $p < 0.001$ ,  $d = 2.13$ ; MD:  $p < 0.001$ ,  $d = 4.01$ ). However,  
267 the interaction and *shoulder side* main effects were not significant. The inclusion of muscle  
268 thickness and echo intensity as covariates did not alter the interaction and main effects of  
269 *shoulder side* and *contraction condition*.

270 Considering the decrease in the shear modulus of the SSP muscle, combined with unaltered  
271 synergist muscles during active abduction, an overall reduced shear modulus of all muscles was

272 expectable in the repaired shoulder. Notably, however, the LME did not indicate significant  
273 effects of the *shoulder side*, indicating that the pooled active shear moduli of all muscles did  
274 not vary between repaired and control shoulders. Furthermore, the inclusion of muscle  
275 thickness and echo intensity as covariates did not alter the results.

276

### 277 **3. Compensation strategy among synergist muscles**

278 The population-level linear regression analysis to determine the compensation strategy among  
279 synergist muscles did not reveal any significant predictor variables; therefore, none of the  
280 synergist muscles could explain the active shear modulus of the SSP muscle (UT:  $R^2 = 0.01$ ,  $p$   
281  $= 0.707$ ; ISP:  $R^2 = 0.01$ ,  $p = 0.673$ ; MD:  $R^2 = 0.06$ ,  $p = 0.387$ ) (Figure 3).

282 The ratio of active shear modulus between the repaired and control shoulders at the individual  
283 level is shown in Figure 4A. The ratio of the SSP muscle was  $< 1$  in almost all patients, whereas  
284 that of at least one synergist muscle was  $> 1$  in all patients, except for two (patients #8 and #13).  
285 The order of the ratios among all muscles is shown in Figure 4B. The patients were categorized  
286 into three groups based on the highest ratios; three, five, and seven patients presented the  
287 highest ratios for UT, ISP, and MD muscles, respectively. These results indicate that the specific  
288 muscle acting to compensate for the decrease in the shear modulus of SSP muscle varies among  
289 patients. Moreover, pain, the WORC score, and postoperative day were subjectively compared  
290 among the subgroups (Figure 4C). The patients with the highest ISP muscle ratio might  
291 experience slight shoulder pain and have a bipolarized WORC score, whereas those with the  
292 highest MD muscle ratio might present with light or severe shoulder pain and high or low  
293 WORC scores.

294

### 295 **Discussion**

296 This study aimed to identify the compensation strategy of shoulder abductors in patients with  
297 supraspinatus tendon repair. We hypothesized that the MD muscle acts complementarily to the  
298 SSP muscle. Contrary to our hypothesis, we found no complementary relationship between SSP  
299 and MD muscles nor any synergist muscle complementarity at the population level. However,  
300 the specific muscles acting to compensate for the force deficit in the SSP muscle varied among  
301 the patients at the individual level. These results suggest a variable non-stereotypical  
302 compensation strategy among patients with rotator cuff repair.

303 Previous studies have used cadavers and computational models to determine the compensation  
304 strategy following rotator cuff tear and repair.<sup>13-16</sup> Our findings are inconsistent with those of  
305 previous studies that showed specific muscle(s) working in a compensatory role. Cadaveric  
306 studies have been used to investigate the influence of supraspinatus tendon tear and its repair  
307 on other shoulder muscles;<sup>13-15</sup> they found that the deltoid muscle acts complementarily in  
308 abduction movement. In addition, a computational study simulated the alteration of shoulder  
309 muscle force distribution after rotator cuff tear by modeling attenuated supraspinatus muscle  
310 force and observed compensation by the remaining rotator cuff and surrounding intact muscles  
311 (e.g., middle and posterior deltoid and latissimus dorsi) for the loss of muscle force to maintain  
312 joint mechanical integrity.<sup>16</sup> These studies provided quantitative evidence for the compensation  
313 strategy; however, the methodological approaches (e.g., cadavers and nominal musculoskeletal  
314 models) used in these studies do not truly reflect the conditions in a living patient. Thus, the  
315 inconsistencies between these studies and our results could be attributed to the methodological  
316 differences.

317 Our results are counterintuitive in light of the optimal control theory, which states that behaviors  
318 are achieved by minimizing the cost function.<sup>39</sup> In the present study, the cost function would be  
319 muscle force or activity. Considering the muscle moment arm and physiological cross-sectional  
320 area that determine force generation, the shear modulus of the MD muscle may theoretically

321 increase because both its moment arm and physiological cross-sectional area could be greater  
322 than those of other synergist muscles,<sup>25; 40</sup> although the present results do not provide strong  
323 support for this possibility because the moment arm and physiological cross-sectional area  
324 could not be assessed in this study. However, while some patients exhibited increased MD  
325 muscle shear modulus, others showed increase in the shear moduli of ISP and UT muscles.  
326 Although shoulder pain and the WORC score were slightly associated with favorably increased  
327 muscle shear moduli, patient characteristics could not fully explain the results. One possible  
328 factor in determining the compensatory strategy is the heuristics of individual patients, since  
329 there may be no rules determining specific compensatory muscles, which could vary in  
330 individual patients. In this context, we demonstrated that muscle coordination is habitual rather  
331 than optimal when one agonist muscle force is lost.<sup>41</sup> Empirical research has also reported that  
332 induced acute back pain leads to non-stereotypical but variant muscle activation patterns among  
333 participants.<sup>42</sup> Therefore, the inconsistent changes in muscle shear modulus at the population  
334 level may be attributed to the heuristics of individual patients.

335 Our results are consistent with those of previous studies that used elastography to investigate  
336 the mechanical properties of muscles during contraction following rotator cuff repair. For the  
337 SSP muscle, Sakaki, et al.<sup>23</sup> reported that the shear modulus of the anterior deep region was  
338 lower in the repaired shoulder than in the contralateral unaffected shoulder during contraction  
339 6 months post-surgery, although a regional difference in shear modulus change was observed.  
340 Furthermore, Ishikawa, et al.<sup>43</sup> reported that the muscle strain ratio of patients with repair was  
341 higher (i.e., muscle was less stiff) than that of the control during abduction 6 weeks post-surgery  
342 but recovered with postoperative duration; these authors also evaluated synergist muscles. It  
343 has been reported that there is no difference in the shear moduli of UT and MD muscles between  
344 repaired and contralateral unaffected shoulders during abduction throughout pre- and post-  
345 operative duration<sup>23</sup> and between the patient and control in MD muscle strain ratio 3 months

346 after surgery.<sup>43</sup> Another study described the mechanical properties of the SSP muscle under  
347 passive conditions; however, other synergist muscles have not been studied in this regard.  
348 Itoigawa, et al.<sup>22</sup> showed that the shear modulus decreased in the first few months and then  
349 progressively improved 4 months after surgery. Our findings are consistent with these previous  
350 studies, confirming the validity of our task setting and ultrasound measurements.

351 Our comparison method considered the potential biases in the determination of muscle shear  
352 modulus. Previous studies indicated that muscle size and intramuscular fat influence the muscle  
353 shear modulus.<sup>26-29</sup> For instance, Dresner, et al.<sup>27</sup> demonstrated that the possibility of  
354 considerable variation in the individual slope of the shear modulus during isometric contraction  
355 was dependent upon muscle size and Pinel, et al.<sup>28</sup> showed that the age-related higher levels of  
356 intramuscular fat was associated with lower shear at the muscle length beyond its slack angle.  
357 Considering these facts, we included muscle thickness and echo intensity as covariates in the  
358 statistical model; nevertheless, our primary findings did not change. Therefore, although muscle  
359 size and composition may affect the shear modulus in some cases, they did not significantly  
360 affect our results.

361 Generally, muscle atrophy and fatty infiltration persist even after rotator cuff repair.<sup>44; 45</sup>  
362 Contrary to those of previous studies, our results showed that muscle thickness and echo  
363 intensity did not differ between repaired and control shoulders except for the significantly  
364 higher echo intensity of the MD muscle. There are some reasons explaining this discrepancy.  
365 As the tear size in our patients was limited to small and medium, there is a possibility that the  
366 extent of atrophy and fatty infiltration was minimal. A previous study reported that patients  
367 with partial-thickness tears did not demonstrate SSP muscle atrophy.<sup>46</sup> In terms of measurement  
368 technology, the ultrasound assessment could not detect muscle degenerations because the  
369 accuracy of ultrasound is slightly inferior to that of magnetic resonance imaging.<sup>47; 48</sup>

370 Additionally, the slight possibility of atrophy and fatty infiltration progress in the control  
371 shoulder could not be fully ignored. Regardless of these issues, our findings remain meaningful.

372 In interpreting our findings, the following should be noted. First, the intra-observer reliability  
373 of the elastography measurement is expected to be overestimated because using three  
374 consecutive images obtained by single probe positioning for the ICC calculation would make  
375 the inherent variability small among the images. Second, the present study design is not ideal  
376 because the cross-sectional design cannot identify time-dependent changes in compensation  
377 strategy. To comprehensively understand the compensation strategy, a prospective study  
378 throughout the different stages of rotator cuff tear and repair is essential. Third, whether the  
379 compensation strategy persists across various shoulder motions remains unknown because this  
380 study only focused on a single measurement posture and previous studies have demonstrated  
381 that depending upon the shoulder angle, the shear moduli of shoulder muscles vary during  
382 isometric contraction.<sup>31; 49</sup> Finally, a rule of compensation strategy and its individual difference  
383 could not be referred to as we did not conduct a thorough investigation that all possible muscles  
384 were evaluated. However, this study could at least demonstrate that the compensation strategy  
385 of shoulder abductors is not stereotypical.

386 In conclusion, this study identified the compensation strategy of shoulder abductors by  
387 examining synergist muscle response to muscle force deficit in the supraspinatus muscle in  
388 patients with small to medium rotator cuff repair. We observed no complementary relationship  
389 between the SSP and synergist muscles at the population level. Instead, we noted that the  
390 specific muscles acting to compensate for the SSP muscle varied among patients at an  
391 individual level. Our findings suggest that the compensation strategy for SSP muscle force  
392 deficit is non-stereotypical and varies among patients after small to medium rotator cuff repair.  
393 These results highlight the necessity of providing personalized rehabilitation programs  
394 following rotator cuff repair.



395

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398

399 **Ethical Publication Statement**

400 We confirm that we have read the Journal's position on issues involved in ethical publication  
401 and affirm that this report is consistent with those guidelines.

402

403 **Disclosure of Conflicts of Interest**

404 None of the authors have any conflict of interest to disclose.

405

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533

534 **Figure 1. Ultrasound measurement and image processing.** (A) Passive abduction. A  
535 physiotherapist (S.N. or K.K.) supported the arm of the patient at 30° of shoulder abduction  
536 with a fully extended elbow joint, natural wrist posture, and thumb pointing upward in a fully  
537 relaxed manner. In cases where the patients were evaluated as not relaxed by assessment of  
538 physiotherapist and identification of muscle fiber motion via ultrasound, the patients were  
539 instructed not to activate muscles as much as possible. The yellow dash lines in the image  
540 delineate the muscle boundary. The colormap within the region of interest represents that red  
541 and blue are high and low values of Young's modulus, respectively. (B) Active abduction. The  
542 patients voluntarily abducted their shoulder at 30° and maintained this posture with other joints  
543 in the same position as the passive abduction. In the case where compensatory movements such  
544 as excessive lateral flexion of the trunk and scapular elevation were identified, we instructed  
545 them to correct the posture. The shoulder joint angle was secured using a goniometer. (C)  
546 Natural posture. Shoulder abduction at approximately 0°, full elbow extension, and neutral wrist  
547 and hand postures. We instructed them to be relaxed as much as possible. The white arrow line  
548 represents muscle thickness, and the white rectangular box represents the region of interest for  
549 echo intensity analysis. SSP, supraspinatus muscle; UT, upper trapezius muscle; ISP,  
550 infraspinatus muscle; MD, middle deltoid muscle.

551

552 **Figure 2. Shear modulus of repaired and control shoulders during abduction tasks.** (A)  
553 Supraspinatus, (B) upper trapezius, (C) infraspinatus, and (D) middle deltoid muscles are  
554 displayed. Red and gray colors represent repaired and control shoulders, respectively. Circle  
555 and triangle plots represent passive and active abductions, respectively. The filled plot and error  
556 bar express the mean and standard deviation, respectively. Empty plots indicate data from  
557 individual patients. Asterisk and dagger indicate significant main effect of contraction condition  
558 ( $p < 0.001$ ) and shoulder side ( $p < 0.05$ ), respectively. Details regarding the statistical values of  
559 ANOVAs are listed in Table 4. SSP, supraspinatus muscle; UT, upper trapezius muscle; ISP,  
560 infraspinatus muscle; MD, middle deltoid muscle.

561

562 **Figure 3. Linear regression analysis between the supraspinatus and other synergist**  
563 **muscles.** The active shear moduli of the supraspinatus and each synergist muscle are specified  
564 as independent and dependent variables. There was no significant association between the  
565 supraspinatus and (A) upper trapezius, (B) infraspinatus, and (C) middle deltoid muscles. n.s.,  
566 not statistically significant; SSP, supraspinatus muscle; UT, upper trapezius muscle; ISP,  
567 infraspinatus muscle; MD, middle deltoid muscle.

568

569 **Figure 4. Individual analysis for the compensation strategy.** (A) The ratio of shear modulus  
570 between repaired and control shoulders of each patient during active abduction is displayed as  
571 a bicolor table. In the table, rows and columns represent each patient (from 1–15) and muscle  
572 (SSP, UT, ISP, and MD), respectively. Red and blue cells represent the high and low shear  
573 moduli, respectively, of the repaired shoulder relative to that of the control shoulder. In other  
574 words, the ratio of one means that the shear active moduli are equal between repaired and  
575 control shoulders. Patients #1, 2, 3, 4, 7, 8, 10, 14, and 15 underwent rotator cuff repair on their  
576 dominant limb. (B) The ratio of shear modulus is expressed as the rank-order table. Rows and  
577 columns are the same as in panel A. Fourth ordered cell filled in red color represents the highest  
578 ratio of shear modulus among all muscles. (C) Shoulder pain (top), Western Ontario Rotator  
579 Cuff Index (middle), and postoperative day (bottom) are presented in subgroups of the upper  
580 trapezius, infraspinatus, and middle deltoid muscles. The dot represents the data from each

581 patient. SSP, supraspinatus muscle; UT, upper trapezius muscle; ISP, infraspinatus muscle; MD,  
582 middle deltoid muscle. WORC, Western Ontario Rotator Cuff Index; POD, postoperative day.  
583

584 **Table 1. Shoulder characteristics, function, and symptoms**

	Repaired	Control	Statistic value
ROM, flexion (°)	138 ± 19	159 ± 12 *	p = 0.001, r = 0.85
ROM, abduction (°)	139 ± 22	165 ± 10 *	p < 0.001, r = 0.93
ROM, external rotation (°)	42 ± 24	68 ± 13 *	p < 0.001, d = 1.29
Dominant limb (%)	60	40	—
Postoperative day (days)	112 ± 62	—	—
Tear type (n)	partial-thickness 2, full-thickness 13	—	—
Tear size (n)	small 10, medium 5	—	—
WORC (points)	902 ± 326	—	—
Pain (mm)	32 ± 28	—	—

585 Data are shown as mean ± SD. The *p*- and Cohen's *d* or *r* values are presented as statistical  
 586 values and their effect sizes, respectively. The asterisk represents a significant difference  
 587 between repaired and control shoulders. Shoulder pain was evaluated on a visual analog scale  
 588 using the first item in the WORC physical symptoms. SSP, supraspinatus muscle; ISP,  
 589 infraspinatus muscle; ROM, range of motion, WORC, Western Ontario Rotator Cuff Index.

590

591

**Table 2. Intra-observer reliability for elastography and B-mode measurements**

		ICC <sub>1,k</sub> (95%CI)			
Contraction condition	Shoulder side	Elastography measurement			
		SSP	UT	ISP	MD
Passive condition	Repair	0.95 (0.88 – 0.98)	0.99 (0.99 – 0.99)	0.91 (0.78 – 0.97)	0.99 (0.97 – 0.99)
	Control	0.95 (0.88 – 0.98)	0.99 (0.98 – 0.99)	0.95 (0.88 – 0.98)	0.99 (0.98 – 0.99)
Active condition	Repair	0.86 (0.67 – 0.95)	0.99 (0.98 – 0.99)	0.97 (0.94 – 0.99)	0.94 (0.87 – 0.98)
	Control	0.97 (0.94 – 0.99)	0.99 (0.98 – 0.99)	0.98 (0.94 – 0.99)	0.95 (0.88 – 0.98)
		B-mode measurement			
	Shoulder side	SSP	UT	ISP	MD
Muscle thickness	Repair	0.98 (0.96 – 0.99)	0.85 (0.59 – 0.95)	0.99 (0.97 – 0.99)	0.99 (0.98 – 0.99)
	Control	0.99 (0.99 – 0.99)	0.99 (0.97 – 0.99)	0.99 (0.98 – 0.99)	0.82 (0.47 – 0.94)
Echo intensity	Repair	0.99 (0.98 – 0.99)	0.99 (0.98 – 0.99)	0.99 (0.97 – 0.99)	0.99 (0.98 – 0.99)
	Control	0.99 (0.99 – 0.99)	0.99 (0.98 – 0.99)	0.99 (0.99 – 0.99)	0.99 (0.99 – 0.99)

593 Data represent ICC<sub>1,k</sub> and its 95% confidence interval. The ICC<sub>1,k</sub> was calculated using the  
594 model for case 1, where  $k$  is the number of repetitive measurements. These ICCs show the  
595 reliabilities of two and three repetitive measurements for elastography and B-mode  
596 measurements, respectively. ICC, intraclass correlation coefficient; 95% CI, 95% confidence  
597 interval; SSP, supraspinatus muscle; UT, upper trapezius muscle; ISP, infraspinatus muscle;  
598 middle deltoid muscle.

599

600



601 **Table 3. Comparison of muscle thickness and echo intensity between repaired and control**  
 602 **shoulders**

Muscle	Muscle thickness (mm)		Statistic value
	Repaired	Control	
SSP	18.0 ± 2.8	16.6 ± 3.2	p = 0.186, d = 0.45
UT	13.9 ± 2.9	13.3 ± 2.8	p = 0.347, d = 0.20
ISP	15.1 ± 3.5	15.2 ± 3.6	p = 0.921, d = 0.03
MD	19.6 ± 4.7	20.0 ± 3.6	p = 1.000, r < 0.01

Muscle	Echo intensity (a.u.)		Statistic value
	Repaired	Control	
SSP	57.9 ± 11.9	53.5 ± 16.0	p = 0.263, d = 0.31
UT	71.4 ± 16.9	68.2 ± 15.9	p = 0.116, d = 0.19
ISP	61.7 ± 20.2	61.8 ± 19.0	p = 0.981, d < 0.01
MD	69.7 ± 16.9	64.0 ± 13.9	p = 0.044, d = 0.36 *

603 Data are shown as mean ± SD. The *p*- and Cohen's *d* or *r* values are presented as statistical  
 604 values and their effect sizes, respectively. The asterisk represents a significant difference  
 605 between repaired and control shoulders. SSP, supraspinatus muscle; UT, upper trapezius  
 606 muscle; ISP, infraspinatus muscle; MD, middle deltoid muscle.

607

608

**Table 4. Statistical values of ANOVA for the LME model for each muscle**

ANOVA for LME model									
Muscle	Interaction			Main effect					
				Shoulder side			Contraction condition		
	F	p	$\eta^2_p$	F	p	$\eta^2_p$	F	p	$\eta^2_p$
SSP	1.67	0.206	0.03	9.54	0.005	0.15	75.00	< 0.001	0.59
UT	< 0.01	0.983	< 0.01	0.92	0.345	0.02	60.45	< 0.001	0.63
ISP	0.04	0.837	< 0.01	0.12	0.736	< 0.01	31.63	< 0.001	0.40
MD	3.07	0.090	0.06	1.27	0.270	0.02	112.55	< 0.001	0.69
ALL		-		0.27	0.601	< 0.01		-	

ANOVA for LME model with covariates									
Muscle	Interaction			main effect					
				Shoulder side			Contraction condition		
	F	p	$\eta^2_p$	F	p	$\eta^2_p$	F	p	$\eta^2_p$
SSP	2.09	0.154	0.04	13.95	< 0.001	0.21	93.90	< 0.001	0.63
UT	< 0.01	0.983	< 0.01	1.65	0.210	0.05	60.45	< 0.001	0.64
ISP	0.04	0.837	< 0.01	0.11	0.748	< 0.01	31.63	< 0.001	0.41
MD	3.07	0.091	0.05	1.11	0.302	0.02	112.55	< 0.001	0.70
ALL		-		1.11	0.293	< 0.01		-	

610 The  $F$ - and  $p$ -values and  $\eta^2_p$  are presented as statistical values and their effect sizes, respectively.  
611 ANOVA, analysis of variance; LME model, linear mixed effect model; SSP, supraspinatus  
612 muscle; UT, upper trapezius muscle; ISP, infraspinatus muscle; MD, middle deltoid muscle,  
613 ALL; all muscles.

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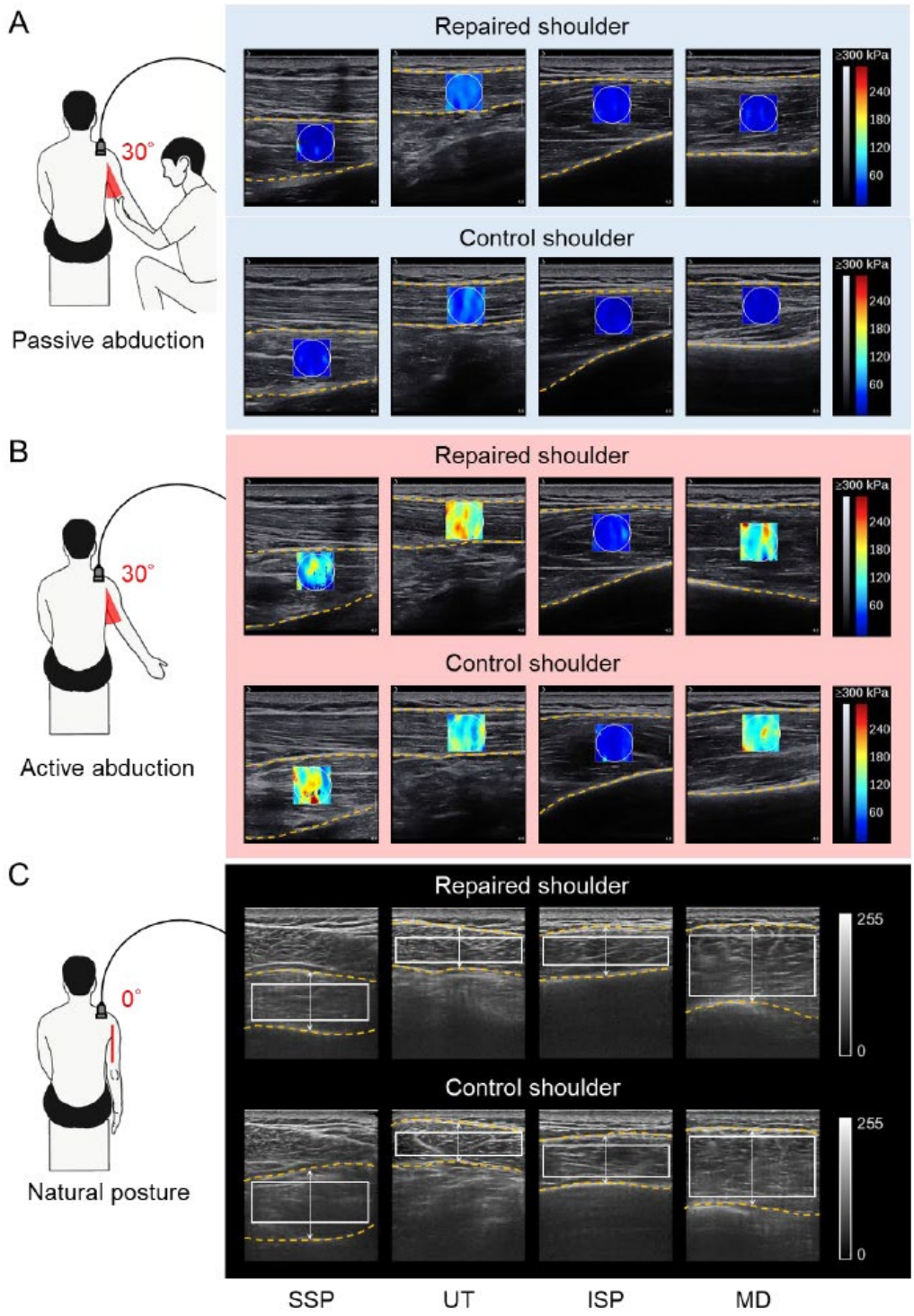


Figure 1

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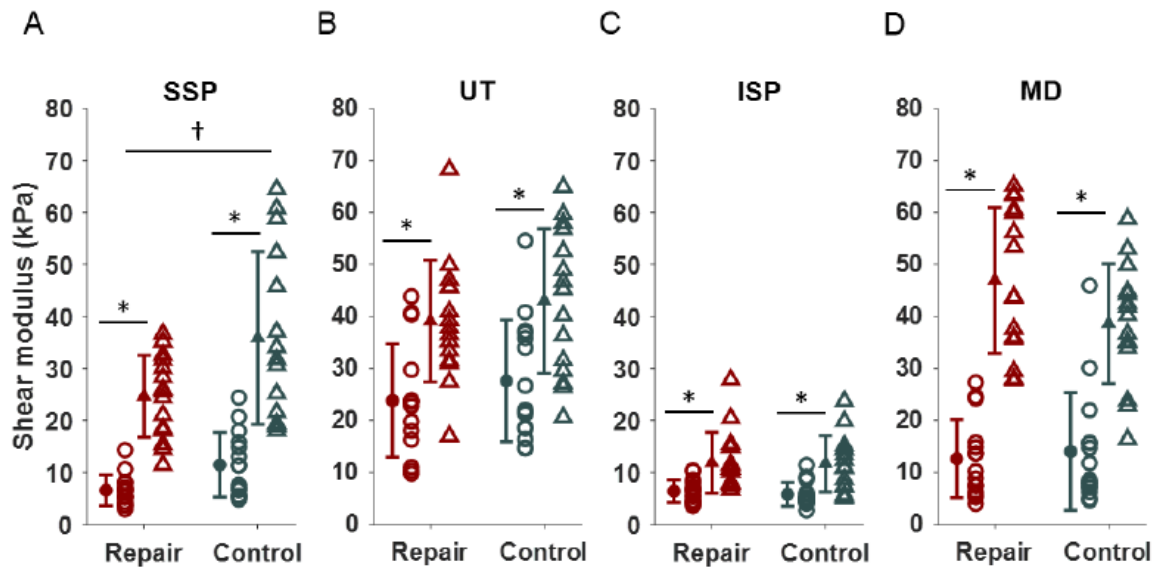


Figure 2

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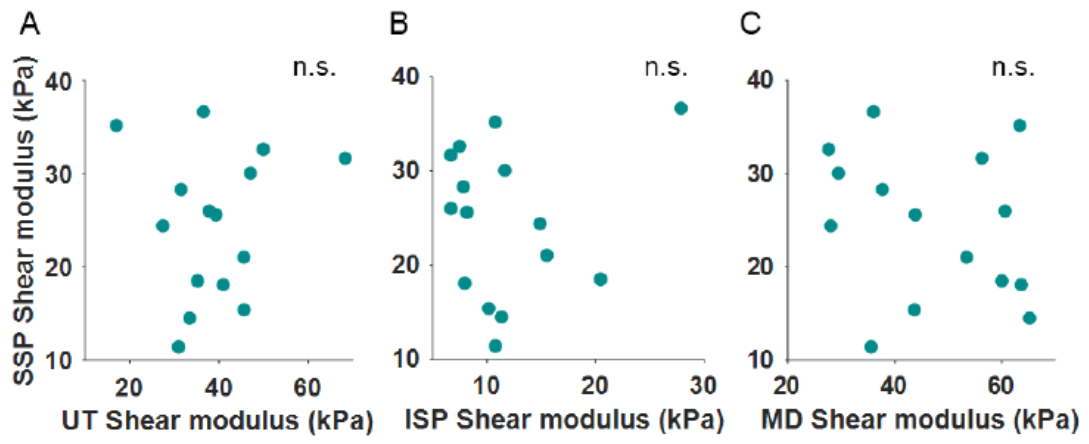


Figure 3

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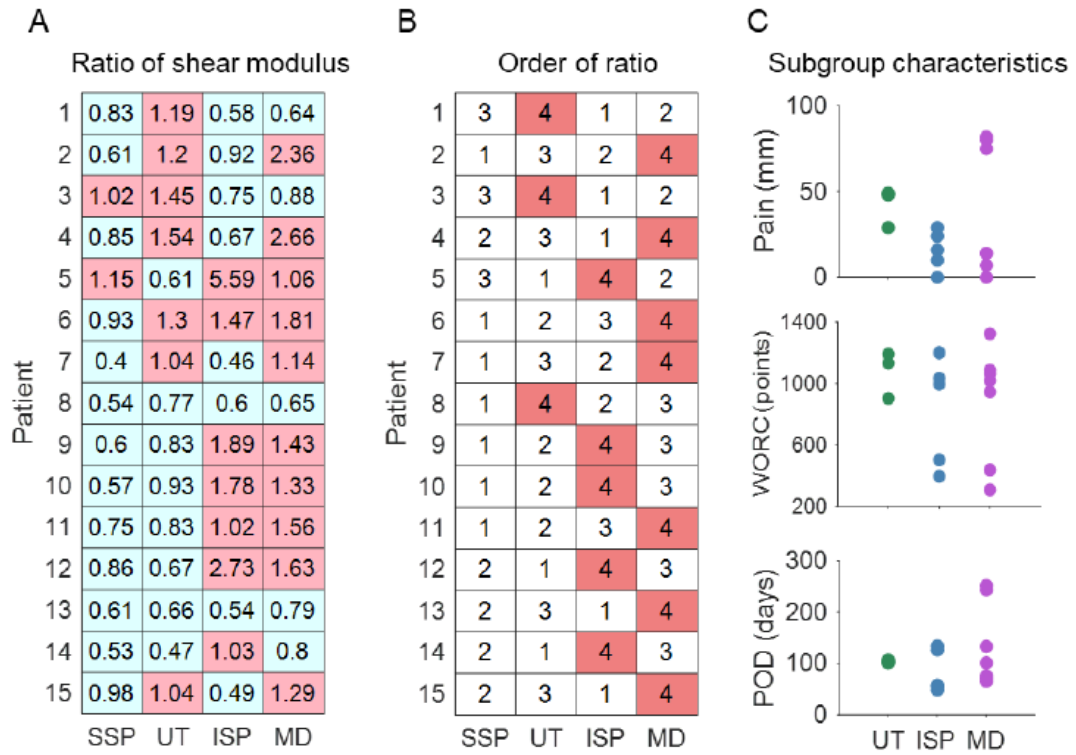


Figure 4