

## **Prediction of one-year change in knee extension strength by neuromuscular properties in older adults**

### **Running title**

One-year strength change explained by neuromuscular properties

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1    **ABSTRACT**

2    Improving muscle strength and preventing muscle weakness are important for older adults. The change in  
3    strength can be effectively explained by skeletal muscle mass and neural factors. Neural factors are important  
4    for older adults because the variation of neural components is greater in older than in young adults, and any decline  
5    in strength cannot solely be explained by a decrease in skeletal muscle mass. The purpose of the present study  
6    was to investigate whether skeletal muscle mass or motor unit firing properties could explain the change in muscle  
7    strength after one year. Thirty-eight older adults (75.0±4.7 years, 156.6±7.7 cm, 55.5±9.4 kg, 26 women)  
8    performed maximum voluntary knee extension and their skeletal muscle mass was measured using a  
9    bioimpedance device. During a submaximal contraction task, high-density surface electromyography was  
10    recorded and the signals were decomposed into individual motor unit firing. As an index of motor unit firing  
11    properties, the slope and y-intercept (MU intercept) were calculated from the regression line between recruitment  
12    thresholds and firing rates in each participant. After one year, their maximum knee extension torque was  
13    evaluated again. A stepwise multiple regression linear model with sex and age as covariates indicated that MU  
14    intercept was a significant explanation with a negative association for the one-year change in muscle strength ( $\beta=-$   
15    0.493,  $p=0.004$ ), but not skeletal muscle mass ( $p = 0.364$ ). The results suggest that neural components might be  
16    predictors of increasing and decreasing muscle strength rather than skeletal muscle mass.

17

18    **KEYWORDS**

19    Aging, Motor unit, Central nervous system, Skeletal muscle mass, High-density surface electromyography

20 **INTRODUCTION**

21

22 With aging, muscle atrophy and muscle weakness are ongoing and lead to poor muscle functions, reduced  
23 performance, and impaired activities of daily living. The variability of muscle functions among older adults is  
24 typically wider [1, 2]; thus, it is important to prevent loss or maintain muscle functions with a consideration of  
25 individual characteristics. The variability is caused by neural mechanisms in aging muscle [3, 4]. Since  
26 neuromuscular mechanisms with such variability are basic factors that influence muscle strength change, it is  
27 possible that basic factors of neuromuscular properties could determine a longitudinal change in muscle strength.

28

29 Generally, skeletal muscle mass loss is focused on aging muscular physiology, such as sarcopenia [5-7]. Muscle  
30 size decreases with aging, which is associated with a reduction in the number of muscle fibers [8], and also with  
31 neuromuscular remodeling, as characterized by a loss of motor neurons and instability of neuromuscular junction  
32 transmission [4, 9]. Whereas skeletal muscle mass, some of the main contributors to muscle force generation are  
33 neural factors in older individuals. Manini, Hong [10] pointed out that the decline of muscle strength in older  
34 individuals cannot solely be explained by the decline in muscle mass, suggesting that the reduction in neural  
35 factors also contributes to muscle weakness. Even in community-dwelling older adults who live independently  
36 and without any support, aspects of neural degeneration accompany declines in skeletal muscle mass [11].  
37 Immobilization and skeletal muscle disuse induce degenerations of motor unit firing properties [12, 13], and with  
38 aging, neural component degeneration, such as denervation and reinnervation, is observed in older individuals in

39 early stages [14, 15]. Additionally, neural components may show plasticity by changing after low-intensity  
40 training [16] and reportedly adapt in the early phase of resistance training [17-19], suggesting that focusing on  
41 neural components is important when considering the variability of motor functions among older adults, and  
42 neural components are also essential components to maintain and improve physical functions in older adults.

43

44 The motor unit is the basic functional unit of the neuromuscular system that produces force and facilitates  
45 movement [20]. Varying the number of motor units recruited and firing rate determines muscle contraction and  
46 strength. A non-invasive method to assess motor unit firing is high-density surface electromyography  
47 (HDsEMG). A specific analysis of HDsEMG signals can identify motor unit firing properties [21, 22]. This  
48 method has recently been used to identify individual differences in neural components of older populations [11,  
49 23] and it may be applicable to predict motor functions in the future.

50

51 Longitudinal research is important to clarify the mechanism of neuromuscular degeneration associated with aging.  
52 The purpose of the present study was to investigate whether neuromuscular properties, including skeletal muscle  
53 mass and motor unit firing properties, could explain the change in muscle strength after one year in older adults.  
54 The hypothesis was that motor unit firing properties could explain the one-year change in muscle strength. Such  
55 knowledge would be useful for the prevention of a decline in the physical function or sarcopenia in the early stage  
56 in healthy older adults, and also useful for proposing program prescriptions of physical training for older  
57 populations.

58

59

## 60 **METHODS**

61

### 62 **Participants**

63 Physical test measurements were held in May of 2022 and 2023. At baseline and one-year follow-up physical  
64 test measurement, 51 and 71 community-dwelling older adults took part in. Thirty-eight participants of them  
65 took part in both measurements (Fig. 1). The analyzed participants were 12 men and 26 women, and their age  
66 was  $75.0 \pm 4.7$  years, height was  $156.6 \pm 7.7$  cm, and body mass was  $55.5 \pm 9.4$  kg at the baseline. According to a  
67 previous study that evaluated proportional hazards regression analysis using simulation techniques [24], it appears  
68 most prudent for the value of events per variable to be 10. Therefore, a sample size of 30 participants is  
69 considered sufficient. They received an explanation of the experimental procedures prior to providing informed  
70 written consent. This study was approved by the Research Ethics Committee of Chukyo University and  
71 conducted in accordance with the Declaration of Helsinki.

72

### 73 **Procedure**

74 In May 2022, knee extension strength, skeletal muscle mass, and motor unit firing properties were measured. At  
75 the one-year follow-up, only knee extension strength was measured again.

76

77 **Knee extension strength**

78 A custom-made chair with a dynamometer (Takei Scientific Instruments Co., Ltd., Niigata, Japan) and force  
79 transducer (LU-100KSE; Kyowa Electronic Instruments, Aichi, Japan) was used to measure knee extension  
80 strength. The participants were seated on the chair with their hip and knee angles at 90 degrees, and performed  
81 maximum voluntary isometric contraction (MVC) of knee extension twice following a familiarization session  
82 consisting of 50 to 90% of maximum voluntary contraction several times. The greater value was used for further  
83 analysis. Knee extension strength was defined as multiplying the force value and arm length, which was  
84 determined as the distance between the knee joint axis and force transducer.

85

86 **Skeletal muscle mass**

87 Appendicular lean mass was estimated using a bioelectrical impedance analysis device (Inbody 430, Inbody Japan  
88 Inc., Tokyo, Japan). The device assesses the resistance and reactance of arms, the trunk, and legs with a  
89 segmental multifrequency approach (5, 50, and 250 kHz). Their feet and hands were cleaned with an alcohol  
90 swab, and were wiped to be dry. After they were seated with being relaxed, they were instructed to stand on and  
91 grip the electrodes of the device and to be relaxed during measuring bioimpedance. Since muscle strength and  
92 motor unit firing properties were assessed for the right lower limb, skeletal muscle mass of the right lower limb  
93 was calculated and used for further analysis.

94

95 **Motor unit firing properties**

96 The participants were seated on the same chair as for evaluation of knee extension strength. Submaximal  
97 isometric ramp-up contraction to 50% of MVC, consisting of a 17-sec increasing phase and 10-sec sustained phase,  
98 was performed. The exerted force and target level were shown on a monitor in real-time. During the  
99 submaximal ramp-up contraction, HDsEMG was recorded from the vastus lateralis using an EMG acquisition  
100 device (Sessantaquattro, OT Bioelettronica, Torino, Italy) with a force signal. A 64-channel electrode grid  
101 (GR08MM1305, OT Bioelettronica) was attached on the skin over the vastus lateralis with a bi-adhesive sheet  
102 (KITAD064, OT Bioelettronica) and conductive paste (Elefix Z-181BE, Nihonkohden, Tokyo, Japan). After the  
103 skin had been cleaned with alcohol, the grid was attached along the line between the head of the greater trochanter  
104 and superior and lateral edge of the patella, and the center of the grid was placed at the midpoint of the line. A  
105 reference electrode (WS2, OT Bioelettronica) was attached on the tibial tuberosity. Monopolar EMG signals  
106 were filtered with a bandpass filter from 10 to 500 Hz, amplified by a factor of 150, and sampled at 2,000 Hz.  
107 Recorded EMG signals were transferred to analysis software (MATLAB R2019a, MathWorks GK, MA, USA),  
108 and the signals were differentiated between adjacent electrodes in the longitudinal direction. Thus, 59-channel  
109 differentiated signals were decomposed and individual motor units were identified by the Convolution Kernel  
110 Compensation (CKC) technique using Decomposition of Motor Unit Surface EMG (DEMUSE) software (ver. 6;  
111 The University of Maribor, Slovenia) [25, 26]. A single experimental investigator (TH) manually inspected all  
112 decomposition results. Any physiologically irregular motor unit discharge (less than 4 Hz or more than 50 Hz)  
113 and motor units showing a coefficient of variation of the firing rate over 30% were discarded [27-29].  
114 Recruitment thresholds of motor units were determined from the force level when the motor units first discharged.

115 The median firing rate of individual motor units at 45-50% of MVC was calculated from the interspike intervals,  
116 and the regression line between recruitment thresholds and firing rates was described. The slope (MU slope) and  
117 y-intercept (MU intercept) were calculated from the regression line (Fig. 2). To ensure the motor unit firing  
118 property with individual participants, data of participants from whom 5 or more motor units were detected were  
119 analyzed and used for further analysis [30]. Normally, the motor unit firing rate increases as the recruitment  
120 threshold decreases. Thus, we calculated MU intercept to normalize the firing rate depending on the recruitment  
121 threshold [30, 31].

122

### 123 **Statistical analysis**

124 All statistical analyses were performed using Statistical Package for the Social Sciences ver. 28.0, IBM Japan Inc.,  
125 Japan). Significance was set at  $p < 0.05$ .

126 To identify the relationship at the baseline between knee extension strength and neuromuscular properties as  
127 skeletal muscle mass, MU slope and MU intercept, a stepwise multiple linear regression model with variables  
128 adjusted for age and sex was used with independent variables of skeletal muscle mass of the right lower limb, MU  
129 slope, and MU intercept.

130 Knee extension torque at the one-year follow-up measurement was divided by that at the baseline, and the  
131 percentage change in knee extension strength was calculated. Partial correlation coefficients with sex as a  
132 covariate were performed to identify whether knee extension strength change was correlated with skeletal muscle  
133 mass, MU slope, or MU intercept at the baseline. Additionally, a stepwise multiple linear regression model with

134 variables adjusted for age and sex was used to identify the most important individual neuromuscular parameters  
135 (skeletal muscle mass of the right lower limb, MU slope, and MU intercept) in determining knee extension strength  
136 change with consideration of the interrelationships among independent variables.

137 A bubble plot was constructed to show the relationships among the change in knee extension strength, skeletal  
138 muscle mass, and MU intercept. Skeletal muscle mass and MU intercept were normalized with a consideration  
139 of sex differences by calculating standard scores, as shown below, in each sex:

140 
$$\text{Standard score} = 50 + 10 \times (\text{individual value} - \text{mean value}) / \text{standard deviation}$$

141

142

## 143 **RESULTS**

144

145 Eight participants (1 man and 7 woman) were excluded from analyses using the MU slope or MU intercept because  
146 fewer than 5 motor units could be detected. The mean and standard deviation of the number of detected motor

147 units in each participant were  $12.0 \pm 9.3$  among the participants in whom more than 5 motor units were detected.

148 Finally, 30 participants were used for analyses using motor unit data, whereas 38 participants data were used for  
149 only correlation analysis between the change in knee extension strength and skeletal muscle mass at baseline.

150

151 Table 1 shows stepwise multiple regression analysis for knee extension strength at the baseline, indicating a  
152 significant explanation of skeletal muscle mass of the right lower limb ( $p = 0.011$ ), but not MU slope ( $p = 0.605$ )

153 nor MU intercept ( $p = 0.082$ ).

154

155 Figure 3 shows the results of partial correlation analyses. There was a significant negative correlation between  
156 knee extension strength change and MU intercept ( $p = 0.005$ ), but no significant correlation between the change  
157 in knee extension strength and skeletal muscle mass ( $p = 0.995$ ) nor MU slope ( $p = 0.479$ ).

158

159 Multiple regression analysis indicated that MU intercept was significantly correlated with knee extension strength  
160 change ( $p = 0.004$ ), but neither skeletal muscle mass ( $p = 0.364$ ) nor MU slope ( $p = 0.871$ ) was selected as a  
161 significant variable (Table 1). Figure 4 shows bubble plots indicating the change in knee extension strength (size  
162 of bubble), standard score of skeletal muscle mass of the bright lower limb on the x-axis, and standard score of  
163 MU intercept on the y-axis.

164

165

## 166 **DISCUSSION**

167

168 The present study investigated whether the one-year change in muscle strength could be explained by  
169 neuromuscular properties at the baseline in community-dwelling older adults with a longitudinal study design.  
170 The results indicated that MU intercept significantly explained the change in knee extension strength (Fig. 3 and  
171 Table 2) although skeletal muscle mass was related to knee extension strength at the baseline on cross-sectional

172 analysis (Table 1). These results suggest that the motor unit firing rate may be an important variable related to  
173 the one-year change in muscle strength. This is the first study, to our best knowledge, to report that the one-year  
174 longitudinal change in muscle strength could be explained by baseline motor unit firing properties, and not  
175 baseline skeletal muscle mass. As previous studies pointed out the importance of neural properties [32-34], our  
176 results support the importance of neural properties in the muscle strength of older adults.

177

178 At the baseline, knee extension strength was only related to skeletal muscle mass, not motor unit firing properties  
179 (Table 1). Of course, muscle mass is important to explain muscle strength in community-dwelling older adults  
180 [35, 36]. With aging, the neuromuscular system degenerates [3, 4], and its variability among older adults was  
181 greater than that in young adults [1, 2]. Therefore, motor unit firing properties were not selected as significant  
182 to explain muscle strength at the baseline (Table 1). While the greater variability of neural properties among  
183 older individuals may not relate to muscle strength based on cross-sectional analysis (Table 1), MU intercept was  
184 a significant contributor to the one-year change in muscle strength in the longitudinal analysis (Table 2 and Fig.  
185 3).

186

187 The one-year change in muscle strength was explained by MU intercept at the baseline in community-dwelling  
188 older adults in the present study (Table 2). In a previous longitudinal study investigating changes in muscle  
189 strength and muscle mass for about 10 years [37], muscle mass change influenced the magnitude of muscle  
190 strength over time. Previous studies [10, 32-34, 37, 38] also reported that the decline of strength could not be

191 explained solely by muscle mass, suggesting that other factors, including neural factors, may contribute to the  
192 strength change. Indeed, nervous system degeneration assessed by voluntary activation or motor corticospinal  
193 excitability contributed to muscle weakness in older adults [32]. Since neural factors can exhibit plasticity [16,  
194 39, 40], neural excitability might increase after one year in individuals with a low MU intercept, and MU intercept  
195 was selected as an explanatory variable to determine the one-year change in muscle strength by stepwise  
196 regression analysis in the present results.

197

198 The negative association of the present results indicated that a greater MU intercept could lead to a decline in  
199 muscle strength after one year (Fig. 3 and Table 2). The assessment of motor unit firing properties in the present  
200 study was conducted during a submaximal 50% of MVC task. Lower neural excitability during the same relative  
201 force production could be interpreted as greater efficiency of neural input to muscle exertion output during  
202 submaximal force production [41], involved in the control of synergistic muscles [42] and MU firing  
203 synchronization [43]. Although a greater MU intercept means greater neural input to muscle [30, 44], the  
204 phenomenon of a lower MU firing rate during submaximal contraction might indicate an effective strategy to  
205 promote force exertion. There was no significant change between knee extension torque at the baseline and  
206 follow-up (according to Wilcoxon signed-ranked test;  $p = 0.245$ ), meaning that some participants showed  
207 improved muscle strength and others showed reduced strength, like bubble colors indicating the various situations  
208 in Fig. 4. Previous studies reported a decline in strength with advancing age [37, 38, 45, 46], while one study  
209 reported no change in 8 years [47], and another reported that not all participants showed a decline in muscle

210 strength after 10 years [37]. In the present study, one year might be too short a period to observe significant age-  
211 related decline, and some older adults rather showed the improvement of knee extension strength. Regarding the  
212 negative correlation (Fig. 3 and Table 2), lower neural input to muscle at the baseline could lead to the  
213 improvement of muscle strength, whereas greater neural input could lead to the attenuation of muscle strength  
214 following one year of conducting daily activities. Considering the interpretation as greater efficiency of neural  
215 input during submaximal force production [41], older individuals who exhibited an effective force production  
216 strategy with lower neural excitability may show future improvement of muscle strength. We did not assess  
217 motor unit firing properties at the follow-up, which is a limitation of the present study, but older individuals with  
218 a lower MU intercept might have capacities for neural excitability and muscle strength developments. Since  
219 older adults show trainability regarding neural components [10, 18, 34], MU intercept may be a good predictor of  
220 one-year change in muscle strength.

221

222 As presented in Fig. 4, individuals showing a decline of strength (blue circles) tend to be scattered in the area  
223 where both standard scores of the MU intercept and skeletal muscle mass exceeded mean values, while individuals  
224 with improved strength (red circles) tend to be scattered in the area where both scores or especially MU intercept  
225 score were below mean values. The bubble plot suggests the individual characteristics and trainability for the  
226 change in muscle strength could be dependent on the chart area. When considering the determination of muscle  
227 strength, the muscle contractile property is also a factor [48] and this is related to MU firing properties [49].  
228 Depending on degeneration of the muscle contractile property with aging, MU firing is altered [9, 50]. We

229 measured only skeletal muscle mass estimated by bioelectrical impedance analysis, and not contractile properties.

230 In the upper and right areas of Fig. 4, with both showing high scores, a decline in muscle strength of all older

231 individuals was observed. Even if an older adult has greater skeletal muscle mass, greater neural input could

232 prevent any increase in muscle strength because of the muscle contractile properties of the individual. Focusing

233 on an individual with the lowest score of skeletal muscle mass but high score of MU intercept, or another

234 individual with the highest score of skeletal muscle mass but low score of MU intercept, the two individuals would

235 show reduced muscle strength after one year (Fig. 4). Such traits could be signs of declining muscle strength.

236 This is of interest but the sample size is small; therefore, further study is needed to investigate such signs of

237 declining muscle strength. In order to consider the details of relationships among muscle strength, skeletal

238 muscle mass, and motor unit firing properties, large sample sizes and longer investigation periods are needed in

239 future studies.

240

241 A limitation of the present study was that hydration status and water consumption were not strictly controlled.

242 These variables can influence the bioimpedance measurements. Another limitation was that we did not measure

243 both motor unit firing properties and skeletal muscle mass changes at follow-up. The changes could be useful

244 assumptions for muscle strength change. We did not investigate their physical activities or whether their lives

245 had changed in one year, which is also a limitation of the present study. Habitual physical activities may be key

246 to explaining the relationship between a change in muscle strength and neural excitability at the baseline. In

247 future study, large sample size and long duration could be investigated to evaluate more detail physiological age-

248 related changes.

249

250 In conclusion, the present study investigated neuromuscular properties to assess any one-year change in knee  
251 extension strength in community-dwelling older adults, and found that neural excitability assessed by MU  
252 intercept was a significant factor explaining one-year change in muscle strength with a negative association. Our  
253 findings support the previous conclusion that neural components are important for older adults [4, 10, 32], and  
254 suggest that neural components might be useful as predictors of future changes in muscle strength that cannot be  
255 solely explained by changes in skeletal muscle mass. This knowledge may be useful for the prevention of muscle  
256 weakness and decline of physical function in the early stage in healthy older adults, and also useful for proposing  
257 physical training programs with an emphasis on the neural components.

258

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263 tool.

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266

267 **Statements and Declarations**

268 None

269

270 **Competing Interests**

271 None

272

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378

379

380 Table 1. Multiple regression analysis of knee extension strength at baseline

Dependent variable: Knee extension strength at baseline							
Model: $R^2 = 0.608$ , adjusted $R^2 = 0.563$							
Independent variables	B	Standard error	95%CI		Standardized $\beta$ -coefficients	t	p
			Lower	Upper			
Skeletal muscle mass	12.057	4.419	2.974	21.140	0.565	2.728	0.011
Age	-0.098	0.881	-1.908	0.912	-0.014	-0.111	0.912
Sex	-16.056	13.332	-43.461	11.349	-0.249	-1.204	0.239

381 Excluded variables: MU slope, MU intercept

382 Forced entry variables: Age, Sex

383 MU slope and MU intercept were calculated from the regression line between recruitment thresholds and firing  
384 rates of motor units.

385

386

387 Table 2. Multiple regression analysis of one-year change in muscle strength

Dependent variable: Change rate of knee extension strength							
Model: $R^2 = 0.376$ , adjusted $R^2 = 0.304$							
Independent variables	B	Standard error	95%CI		Standardized $\beta$ -coefficients	t	p
			Lower	Upper			
MU intercept	-2.286	0.721	-3.768	-0.803	-0.493	-3.17	0.004
Age	0.492	0.359	-0.245	1.229	0.213	1.373	0.181
Sex	-5.862	3.243	-12.527	0.803	-0.281	-1.808	0.082

388 Excluded variables: Skeletal muscle mass of right lower limb, MU slope

389 Forced entry variables: Age, Sex

390

391 Figure 1

392 A timeline of the study

393

394 Figure 2

395 A: Representative motor unit firing data from one participant. Blue lines represent individual motor unit firing  
396 rates during ramp-up contraction to 50% of maximum voluntary contraction (MVC). The recruitment threshold  
397 was defined as the force level when the motor unit fired first. The firing rate was calculated as the median value  
398 at 40-50% of MVC. B: Regression lines described from the recruitment threshold and firing rate. From the  
399 regression lines, slope (MU slope) and y-intercept (MU intercept) were calculated and used for further analyses.

400

401

402 Figure 3

403 Partial correlations between change in knee extension strength after one year and skeletal muscle mass of right  
404 lower limb, MU slope, and MU intercept, with sex as a covariate. MU slope and MU intercept were defined as  
405 regression lines of motor unit recruitment threshold and firing rate.

406

407

408 Figure 4

409 Bubble plot showing one-year change in muscle strength and baseline motor unit firing rate and skeletal muscle  
410 mass. The size of the bubbles indicates the individual change in knee extension strength; red bubbles mean  
411 improvements of strength, whereas blue bubbles mean declines of strength. The x- and y-axis represent standard  
412 scores for the motor unit firing rate and skeletal muscle mass in the right lower limb, respectively, which were  
413 calculated in each sex.

414







