On Dualities Related to Coupling [∗]

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Contents

Main Sources

- [M21] Mase, M., Polytope duality for families of K3 surfaces and coupling, *Bull.* Braz. Math. Soc., New Series, 52 (2021), 499–536.
- [M22] Mase, M., Lattice duality for coupling pairs admitting polytope duality with trivial toric contribution, Beiträge zur Algebra und Geome $trie/Continuous$ to Algebra and Geometry, 63 (2022), 533-559.
- [M23] Mase, M., Lattice duality for families of K3 surfaces and coupling, submitted.

1 Introduction

Coupling is introduced by Ebeling [E06] between weight systems with $(n + 1)$ integers. Focusing on $n = 3$, and we can consider weight systems that define simple K3 singularities. By Yonemura's classification [Y90], there are 95 such systems, let's call them $K3$ weight systems, exist. By an appropriate compactification, we get weight systems with 5 integers with which the weighted projective space is a toric Fano 3-fold. Parametrized by the complete anticanonical linear system, we obtain an example of $K3$ surfaces, called *weighted* $K3$ surfaces as a hypersurface in the Fano 3-fold. Thus, we expect to proceed to a study of K3 surfaces, by using toric geometry as well as a standard lattice theory.

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Strongly coupling pairs among $K3$ weight systems are all classified by [E06]. Moreover, it is investigated in [E06] that there is a relation between the coupling duality and Saito duality which concerns the reduced zeta function of some isolated hypersurface singularities, as is summarized here: denote by $\tilde{\zeta}_{C}^{*}(t)$ is the Saito dual rational function of $\tilde{\zeta}_C(t)$.

Theorem ([E06]). ¹ Let (a, b) be a coupling pair with magic square C. (i) The reduced zeta function associated to C has a formula:

$$
\tilde{\zeta}_C(t) = \prod_{J:\text{special}} (1 - t^{d/a_J})^{(-1)^{|J|+1} \cdot a_J \cdot |\det C_{IJ}|/d}.
$$

(ii) (Case $n = 3$, Corollary) If C is primitive, then, $\tilde{\zeta}_{c}(t) = \tilde{\zeta}_{c}(t)$ holds. \Box

We are motivated by a strong desire to understand a geometric structure of K3 surfaces, in particular, from a viewpoint of Picard lattices, an arithmetic characteristic. However, it is quite rough to investigate only the lattices. Thus, we would like to combine with some other objects. As is explained, there is an example of K3 surfaces associated to an IHS, for which, we can construct the Milnor lattice together with the Seifert form. In our study, we are intended to understand a relation between the Picard lattice of the families of weighted K3 surfaces, and the Milnor lattice of simple K3 singularity with the structure Seifert form.

Motivated by [E06], we are interested in giving another interpretation of coupling in terms of $K3$ surfaces. Indeed, there are many coupling pairs that are out of application of Ebeling's theorem, part (ii).

We consider the following two questions for coupling dual pairs of $K3$ weight systems formed of $(a_1, a_2, a_3; d)$ and $(b_1, b_2, b_3; h)$ together with the families \mathcal{F}_a and \mathcal{F}_b of weighted K3 surfaces.

Q.1 Are the pair of families \mathcal{F}_a and \mathcal{F}_b polytope-dual ?

Q.2 Does a polytope-dual pair extend to lattice-dual ?

The questions are partially affirmatively answered by the following theorems.

Main Theorem A ([M21]). Any strongly coupling pairs extend to the polytopedual of families except the cases where the projectivized weight systems are

- $(1, 3, 4, 7; 15)$ (self-dual), $(1, 3, 4, 4; 12)$ (self-dual), and
- the pair $((1, 1, 3, 5; 10), (3, 5, 11, 19; 38))$. \Box

Main Theorem B ([M23', M23]). For coupling dual pairs in [M21] except $\#$'s 24, 27, 31 and 39², the associated families are lattice dual. \Box

We give a sketch of the proof for Main Theorems A and B in §3 following a preliminary section where we discuss coupling duality, and polytope and lattice dualities associated to weight systems. We summarize our main theorems by giving Table 1 before Appendix, where we discuss Ebeling's Theorem.

¹See Appendix for notions used here.

²The numbering follows [E06].

2 Preliminary

Coupling duality

We collect necessary definition from [E06] concerning on coupling duality.

Definition 2.1. An n-tuple (w_1, \ldots, w_n) of integers is WELL-POSED if $0 <$ $w_1 \leq \cdots \leq w_n$ and $gcd(w_1, \cdots, w_n) = 1$, and for any distinct $(n-1)$ integers, $gcd(w_{i_1}, \cdots, w_{i_{n-1}}) = 1.$

We call a tuple $(w_1, \ldots, w_n; v)$ a WEIGHT SYSTEM if (w_1, \ldots, w_n) is wellposed and $v \in \mathbb{Z}_{>0}$.

Take weight systems $W_a := (a_1, a_2, \cdots, a_n; d)$ and $W_b := (b_1, b_2, \cdots, b_n; h)$.

Definition 2.2. A square matrix $C = (c_{ij})_{i,j=1}^n$ of size n is called a weighted magic square (associated to weight systems) if the following relations hold:

 $C^t(a_1 a_2 \cdots a_n) = {}^t(d d \cdots d)$ and $(b_1 b_2 \cdots b_n)C = (d d \cdots d).$

Let $C = (c_{ij})$ be the weighted magic square for W_a and W_b .

- **Definition 2.3.** (1) The magic square C is ALMOST PRIMITIVE if there exist integers a_0 and b_0 such that $|\text{det } C| = a_0 h = b_0 d$. If $a_0 = b_0 = 1$, C is primitive.
	- (2) A COUPLING PAIR is (W_a, W_b) together with an almost primitive C.
	- (3) The coupled pair (W_a, W_b) is said STRONGLY COUPLED if

$$
\forall j, \, \exists i : c_{ij} = 0, \text{ and } \forall i, \, \exists j : c_{ij} = 0. \quad \blacksquare
$$

Example 1. Let

$$
W_{\mathbf{a}} = (1, 2, 9; 18), \quad W_{\mathbf{b}} = (2, 3, 11; 24), \text{ and } C = \begin{pmatrix} 9 & 0 & 1 \\ 2 & 8 & 0 \\ 0 & 0 & 2 \end{pmatrix}.
$$

We have

 $C^{t}(1 \ 2 \ 9) = {t}(18 \ 18 \ 18), (2 \ 3 \ 11) C = (24 \ 24 \ 24), \text{ and}$ det $C = 144 = 18 \cdot 8 = 24 \cdot 6$, ∴ $\left| \det C \right| / 24 = 6$, $\left| \det C \right| / 18 = 8$.

Moreover, C has at least one entry 0 in every row and column. Therefore, the pair (W_a, W_b) together with C is strongly coupled.

In fact [Y90], the weight systems W_a and W_b are K3 weight systems, that is, general quasi-homogeneous polynomials $f(x, y, z)$, and $f'(x', y', z')$ of degree 18, and 24, resp., of weights

$$
wt(x, y, z) = (1, 2, 9), wt(x', y', z') = (2, 3, 11)
$$

determine simple K3 singularities. And thus, their "projectivizations", general quasi-homogeneous polynomials $F(W, X, Y, Z)$, and $F'(W', X', Y', Z')$ of degree 18, and 24, resp., of weights

$$
wt(W, X, Y, Z) = (6, 1, 2, 9), wt(W', X', Y', Z') = (8, 2, 3, 11)
$$

are birational to $K3$ surfaces. \blacksquare

Let (a, b) be a strongly coupling pair of K3 weight systems, and \mathcal{F}_a , \mathcal{F}_b the families of weighted $K3$ surfaces parametrized respectively by the complete anticanonical linear system $|-K_{\mathbb{P}(\boldsymbol{a})}|$, and $|-K_{\mathbb{P}(\boldsymbol{b})}|$. Here, the weighted projective spaces $\mathbb{P}(\boldsymbol{a})$ of weight \boldsymbol{a} and $\mathbb{P}(\boldsymbol{b})$ of weight \boldsymbol{b} are toric 3-folds that are determined by polytopes Δ_{a} , and Δ_{b} . And there is a correspondence between the linear system $|-K_{\mathbb{P}(\boldsymbol{a})}|$ (resp. $|-K_{\mathbb{P}(\boldsymbol{b})}|$) and the set of lattice points in the polytope $\Delta_{\boldsymbol{a}}$ (resp. $\Delta_{\boldsymbol{b}}$).

Polytope and lattice dualities

We first discuss polytope duality.

Definition 2.4 (Polytope duality). The families \mathcal{F}_a and \mathcal{F}_b are POLYTOPE DUAL if there exist reflexive polytopes Δ and Δ' such that

$$
\Delta \subset \Delta_{a}, \, \Delta' \subset \Delta_{b}, \, \text{and} \, \Delta' \simeq \Delta^{*}
$$

hold. Here, Δ^* is the polar dual of Δ . ■

Although it is "out of interest" for K3 surfaces, Definition 2.4 is motivated by Batyrev's theorem [B94].

- **Theorem 2.1** ([B94]). (1) The followings are equivalent: (i) A polytope Δ is reflexive. (ii) The toric variety \mathbb{P}_{Δ} is Fano.
	- (2) The families \mathcal{F}_{Δ} and $\mathcal{F}_{\Delta'}$ of Calabi-Yau varieties with $\Delta' \simeq \Delta^*$ are mirror pair in the sense that there is a duality between their Hodge diamonds. \square

Note that if the pair (Δ, Δ') of polytopes gives a polytope duality extending a strongly coupling pair (a, b) , we have subfamilies of K3 surfaces $\mathcal{F}_{\Delta} \subset \mathcal{F}_{a}$, and $\mathcal{F}_{\Delta'} \subset \mathcal{F}_{\boldsymbol{b}}$.

We open a discussion on lattice duality by formulating the Picard lattice of a family \mathcal{F}_{Δ} of K3 surfaces associated to a reflexive polytope Δ .

Definition 2.5 (toric contribution). The TORIC CONTRIBUTION is the value defined by

$$
L_0(\Delta):=\sum_{\Gamma\in\Delta^{[1]}} l^*(\Gamma)l^*(\Gamma^*),
$$

where $\Delta^{[1]}$ is the set of all edges in Δ , and $l^*(\Gamma)$ is the number of all inner lattice points in an edge Γ .

Definition 2.6 (Picard lattice of a family). We denote by Pic (Δ) the Picard lattice of the family \mathcal{F}_{Δ} , and Pic $(\Delta)_{tor}$ a sublattice of Pic (Δ) that is generated by the divisors which do not contribute the toric contribution. \blacksquare

Definition 2.7 (Lattice duality). The families \mathcal{F}_{Δ} and $\mathcal{F}_{\Delta'}$ are LATTICE DUAL if the isometry

$$
Pic\,(\Delta')^{\perp}_{\Lambda_{K3}}\simeq U\oplus Pic\,(\Delta)_{tor}
$$

holds true. Here, $\Lambda_{K3} := U^{\oplus 3} \oplus E_8^{\oplus 2}$ is the K3 lattice.

Definition 2.7 is motivated by "Dolgachev-Nikulin mirror" by Dolgachev [D96]: for (primitive) sublattices M and M' of Λ_{K3} , two families of M -/M'-polarized K3 surfaces are mirror if the isometry $M_{\Lambda_{K3}}^{\perp} \simeq U \oplus M'$ holds. In particular, extending the study in case of rank-one lattice $M = \langle 2 \rangle$ by [D96], we are interested in more general primitive sublattices of Λ_{K3} .

3 Sketch of the Proof of Main Theorems

Main Theorem A

Step 1. If the isomorphism $\Delta_{a} \simeq \Delta_{b}^{*}$ holds, then, we may take $\Delta = \Delta_{a}$ and $\Delta' = \Delta_b$, and stop here.

Step 2. Otherwise, we try to check a subpolytope Δ of $\Delta_{\mathbf{a}}$ satisfies the conditions (1) Δ is reflexive, and (2) the polar dual $\Delta^* \simeq \exists \Delta' \subset \Delta_b$.

Main Theorem B

Step 1. Let $X := \mathbb{P}_{\Delta}$. To find a lattice $\langle D_1|_{-K_X}, D_2|_{-K_X}, \ldots, D_r|_{-K_X} \rangle_{\mathbb{Z}}$ generated by the restrictions to $-K_X$ of linearly-independent toric divisors D_1, D_2, \ldots, D_r with or without divisors that contribute the toric contribution. We then compute the intersection numbers $D_i|_{-K_X}, D_j|_{-K_X}$, which can be achieved by a general theory of toric geometry.

Step 2. To prove the primitivity in Λ_{K3} of the lattice obtained in Step 1.

Case 1. If the discriminant group is of prime order, then, the claim is verified. Case 2. Otherwise, use the following criterion by Nikulin:

Corollary 3.1 ([N80, Corollary 1.12.3]). Let L be an even unimodular lattice of signature (l_+, l_-) , and K be a sublattice with signature (t_+, t_-) . The lattice K is a primitive sublattice of L if

(1) $l_{+} - l_{-} \equiv 0 \mod 8$, (2) $l_{-} - t_{-} \geq 0$ and $l_{+} - t_{+} \geq 0$, and (3) rk L – rk K > $\mathbf{l}(A_K)$, where $\mathbf{l}(A_K)$ is the length of the discriminant group A_K of K. \Box

Step 3. To prove the isometry Pic $(\Delta')_{\Lambda_{K3}}^{\perp} \simeq U \oplus \text{Pic}(\Delta)_{tor}$.

Case 1. If Pic $(\Delta)_{tor}$ and Pic (Δ') are well-known lattices such as of type ADE, or "star-shaped" $L_{p,q,r}''$, then, its orthogonal complement in Λ_{K3} is known.

Case 2. We know at least the invariants of the lattices: the signature and the discriminant number, and that the lattice is hyperbolic. Here we use the following criterion of Nikulin's:

Corollary 3.2 ([N80, Corollary 1.6.2]). The lattices K and K' are orthogonal $(in L), i.e., K^L \simeq K'$ if and only if $-q_K \simeq q_{K'}$ for the discriminant forms. \Box

4 Conclusion, Further Study, and a Table

We have seen that coupling duality for $K3$ weight systems extends to polytope duality and lattice duality in some cases. Therefore, we obtained meanings of the coupling in terms of K3 surfaces; interpretations in classical mirror symmetry, and in "Dolgachev-Nikulin mirror symmetry".

We prospect to see a meaning of coupling duality in terms of Seifert form on the Milnor lattice of the simple $K3$ singularity, in particular, zeta functions, in case Ebeling's theorem does not apply.

Remark 1. we obtained a numerical relation between the Seifert form for 3 dimensional IHS and the Picard lattices of weighted K3 surfaces in [M23'].

Here is the summarizing table of our main theorems.

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Table 1: Polytope/Lattice duality associated to strongly coupling pairs

Remark 2. In Table 1, we denote by $L(r,\delta)$ and $L'_{(r,\delta)}$ the even positive-definite lattice of rank r and of discriminant δ .

Appendix

We review Ebeling's two results in subsection 1.1: a formula for the reduced zeta functions for a 3-dimensional IHS, and an interpretation of coupling in terms of Saito dual function.

For a 3-dimensional IHS defined by a quasi-homogeneous polynomial f , the Milnor lattice is the reduced homology group $\tilde{H}_*(\mathfrak{F})$ of the Milnor fibre

$$
\mathfrak{F} := \{ (x_1, x_2, x_3) \in \mathbb{C}^3 | f(x_1, x_2, x_3) = 1 \},\
$$

which admits a monodromy transformation induced by the natural \mathbb{C}^* -action

$$
\theta: \mathfrak{F} \to \mathfrak{F}; (x_1, x_2, x_3) \mapsto (e^{2\pi i a_1/d} x_1, e^{2\pi i a_2/d} x_2, e^{2\pi i a_3/d} x_3),
$$

together with the induced homomorphism θ_* on the Milnor lattice $\tilde{H}_*(\mathfrak{F})$.

The reduced zeta function of θ is defined by

$$
\tilde{\zeta}_C(t) := \prod_{p \geq 0} (\det\left(id - t\theta_*|_{\tilde{H}_p(\mathfrak{F})}\right))^{(-1)^p}.
$$

In the following, we define a notion "special" subset, the matrix C_{IJ} , and an integer a_J ³.

A subset $J \subset \{1,2,3\}$ is special if there exists a subset $I \subset \{1,2,3\}$ such that $|I| = |J|$, and for all $i \in I$ and $j \in \{1, 2, 3\} \setminus J$, the (i, j) -th entry c_{ij} of C is zero. Always \emptyset and $\{1, 2, 3\}$ are special. Let J be a special set. For $I \subset \{1, 2, 3\}$, define a matrix C_{IJ} by

$$
C_{IJ} := (c_{ij})_{i \in I}^{j \in J}, \quad C_{\emptyset} := (1).
$$

Define an integer a_J by $a_J := \gcd(a_j | j \in J)$. In particular, $a_{\emptyset} := d$.

³See Theorem, part (i) in subsection 1.1.

We review the definition of Saito dual rational function 4 . In general, for a rational function $\psi(t) = \prod_{l|h} (1-t^l)^{\alpha_l}, (\alpha_l \in \mathbb{Z})$, define the SAITO DUAL RATIONAL FUNCTION $\psi^*(t)$ by

$$
\psi^*(t) = \prod_{m|h} (1 - t^m)^{\alpha_{h/m}}.
$$

Example 2. Consider a strongly coupled pair considered in Example 1:

 $W_a = (1, 2, 9; 18), \quad W_b = (2, 3, 11; 24).$

By Ebeling's formula, the reduced zeta functions $\tilde{\zeta}_C(t)$ and $\tilde{\zeta}_C(t)$ are given by

$$
\tilde{\zeta}_C(t) = (1 - t)^{-1} \cdot (1 - t^2) \cdot (1 - t^{18})^7,
$$

$$
\tilde{\zeta}_C(t) = (1 - t)^{-1} \cdot (1 - t^8) \cdot (1 - t^{24})^3.
$$

The Saito dual $\tilde{\zeta}_{C}^{*}(t)$ of the reduced zeta function $\tilde{\zeta}_{C}(t)$ is given by

$$
\tilde{\zeta}_{tC}^*(t) = (1 - t^{24}) \cdot (1 - t^3)^{-1} \cdot (1 - t)^{-3}.
$$

This is an example that cannot apply Ebeling's Theorem, part (ii) .

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⁴See Theorem, part (ii) in subsection 1.1.