## ARTICLE IN PRESS

Allergology International xxx (xxxx) xxx



Contents lists available at ScienceDirect

# Allergology International



journal homepage: http://www.elsevier.com/locate/alit

**Original Article** 

## Increased blood eosinophils and airflow obstruction as new-onset asthma predictors in the elderly: The Nagahama study

Kenta Nishi <sup>a</sup>, Tadao Nagasaki <sup>a, b, c, \*</sup>, Hisako Matsumoto <sup>a, d</sup>, Tsuyoshi Oguma <sup>a</sup>, Satoru Terada <sup>a</sup>, Natsuko Nomura <sup>a</sup>, Mariko Kogo <sup>a</sup>, Noriyuki Tashima <sup>e</sup>, Hironobu Sunadome <sup>b</sup>, Kimihiko Murase <sup>a, b</sup>, Takeshi Matsumoto <sup>a, f</sup>, Takahisa Kawaguchi <sup>g</sup>, Yasuharu Tabara <sup>g, h</sup>, Fumihiko Matsuda <sup>g</sup>, Susumu Sato <sup>b</sup>, Kazuo Chin <sup>g, i</sup>, Toyohiro Hirai <sup>a</sup>

<sup>a</sup> Department of Respiratory Medicine, Kyoto University Graduate School of Medicine, Kyoto, Japan

<sup>b</sup> Department of Respiratory Care and Sleep Control Medicine, Kyoto University Graduate School of Medicine, Kyoto, Japan

<sup>c</sup> Department of Respiratory Medicine and Allergology, Kindai University Nara Hospital, Nara, Japan

<sup>d</sup> Department of Respiratory Medicine and Allergology, Kindai University Faculty of Medicine, Osaka, Japan

<sup>e</sup> Department of Respiratory Medicine, Kishiwada City Hospital, Osaka, Japan

<sup>f</sup> Department of Respiratory Medicine, Saiseikai-Noe Hospital, Osaka, Japan

<sup>g</sup> Center for Genomic Medicine, Kyoto University Graduate School of Medicine, Kyoto, Japan

<sup>h</sup> Graduate School of Public Health, Shizuoka Graduate University of Public Health, Shizuoka, Japan

<sup>1</sup> Department of Sleep Medicine and Respiratory Care, Division of Sleep Medicine, Nihon University School of Medicine, Tokyo, Japan

## ARTICLE INFO

Article history: Received 6 July 2023 Received in revised form 23 October 2023 Accepted 8 November 2023 Available online xxx

Keywords: Airflow obstruction Elderly Eosinophil New-onset asthma Sleep-disordered breathing

#### Abbreviations:

BMI, body mass index; CES-D, the Center for Epidemiological Studies-Depression; COPD, chronic obstructive pulmonary disease; FEV<sub>1</sub>, forced expiratory volume in 1 s; FSSG, frequency scale for the symptom of gastroesophageal reflux disease; FVC, forced vital capacity; GERD, gastroesophageal reflux disease; HDM, house dust mite; ODI, oxygen desaturation index; SDB, sleep-disordered breathing

## ABSTRACT

*Background:* Asthma in the elderly needs more attention in an aging society. However, it is likely to remain underdiagnosed and undertreated. This study aimed to clarify clinical characteristics of new-onset asthma in the elderly, describing the prevalence, predictive factors, and comorbidities after asthma diagnosis of new-onset asthma in the elderly in the general population.

*Methods:* This community-based prospective cohort study enrolled 9804 generally healthy participants (30–74 years old) in Nagahama City, and conducted a follow-up assessment after 5 years. Elderly participants were those aged  $\geq$ 65 years at baseline. Patients with new-onset asthma were defined as participants without asthma at baseline assessment and with asthma at the follow-up assessment.

*Results:* Among the 7948 participants analyzed in this study, 28 (1.4%) elderly and 130 (2.2%) non-elderly had new-onset asthma. Multiple logistic regression analysis revealed low forced expiratory volume in 1 s (FEV<sub>1</sub>)/forced vital capacity (FVC) and high blood eosinophil counts at baseline as predicting factors for new-onset asthma in the elderly. Additionally, subsequent incidence of new-onset asthma was higher in elderly participants with both predictors (high blood eosinophil counts and low FEV<sub>1</sub>/FVC at baseline) than those with none or one of the predictors before asthma diagnosis. Lastly, elderly patients with new-onset asthma had more frequent comorbidity of moderate to severe sleep disordered breathing than those non-elderly.

*Conclusions:* Eosinophilic inflammation and airflow obstruction may predict subsequent new-onset asthma after the age of 65 years. Revealing the characteristics of new-onset asthma in the elderly can aid in the prevention of underdiagnosed asthma.

© 2023 Japanese Society of Allergology. Published by Elsevier B.V. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

## Introduction

\* Corresponding author. Department of Respiratory Medicine and Allergology, Kindai University Nara Hospital 1248-1 Otoda-cho, Ikoma, Nara 630-0293, Japan. *E-mail address:* nagasaki@med.kindai.ac.jp (T. Nagasaki).

Peer review under responsibility of Japanese Society of Allergology.

Growing attention to asthma in the elderly is desirable because of its increased prevalence in the elderly in many developed countries. Asthma in the elderly has distinct characteristics.<sup>1</sup> First,

https://doi.org/10.1016/j.alit.2023.11.002

1323-8930/© 2023 Japanese Society of Allergology. Published by Elsevier B.V. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/ licenses/by-nc-nd/4.0/).

asthma in the elderly is likely underrecognized or underdiagnosed, especially when they have never been diagnosed with asthma.<sup>2</sup> Elderly patients with asthma tend to attribute their asthma symptoms to changes in aging and often delay their visits to a physician. Additionally, asthma in the elderly is easily confounded with other diseases such as heart failure and chronic obstructive pulmonary disease (COPD), because having multiple comorbidities and a smoking history is common in the elderly.<sup>3–5</sup> Furthermore, high mortality has been observed in elderly patients with asthma,<sup>6</sup> who remain underdiagnosed and undertreated. Therefore, the relevant characteristics of new-onset asthma in the elderly should be recognized.

Asthma is a heterogeneous disorder with various phenotypes. Age at asthma onset plays an important role in distinguishing these phenotypes. Early-onset asthma is characterized by the presence of atopy and symptoms concordant with airway inflammation and dysfunction.<sup>7</sup> Several phenotypes were identified in adult-onset asthma, including obese female predominant phenotype with a high symptom expression and active eosinophilic airway inflammation on the prevalence and clinical characteristics of new-onset asthma in the elderly is limited. Therefore, an approach to not only elderly patients who already have asthma but also new-onset asthma in the elderly is required. This study aimed to clarify the characteristics of new-onset asthma in the elderly.

## Methods

## Study design and participants

A community-based prospective cohort study, The Nagahama Prospective Genome Cohort for Comprehensive Human Bioscience (The Nagahama Study), recruited participants from generally healthy residents, aged 30–74 years, in Nagahama City, Shiga prefecture, Japan. A total of 9804 participants were enrolled from 2008 to 2010, i.e., the baseline assessment of the Nagahama Study. A follow-up assessment was conducted after 5 years from the baseline assessment from November 2013 to November 2015 on participants who had not waived their consent.<sup>10</sup> This study was approved by the Ethics Committee of Kyoto University Graduate School of Medicine and the Nagahama Municipal Review Board. All methods were performed following the principles of the Declaration of Helsinki. Written informed consent was obtained from all participants.

## Questionnaire and measurements

Clinical measurements were composed of self-reported questionnaires, pulmonary function tests, and blood sampling. Frequency scale for the symptom of gastroesophageal reflux disease (FSSG) score, which consisted of questions related to acid-reflux symptoms and dyspeptic symptoms,<sup>11</sup> and the Center for Epidemiological Studies-Depression (CES-D) score with negative and positive domains<sup>12</sup> were also evaluated. Asthma-related symptoms were assessed by questionnaires at the follow-up assessment for difficulty in activities of daily living due to respiratory symptoms, increased coughing or breathlessness, modified Medical Research Council Dyspnea (mMRC) scores, wheezing, nighttime or early morning cough or chest tightness, nocturnal arousal due to cough, nocturnal arousal due to chest tightness, sputum production, and sputum production on waking (Supplementary Table 1). Pulmonary function was measured by a computed spirometer with automated quality checks (baseline; SP-350 COPD; Fukuda Denshi, Tokyo, Japan). A different type of spirometer (SP-370) was used with a large number of participants at the follow-up assessment.<sup>13</sup>

Predicted normal values for FEV<sub>1</sub> were calculated according to the guidelines of the Japanese Respiratory Society.<sup>14</sup> Blood tests, including blood eosinophils, were conducted at both baseline and follow-up assessment, but serum total immunoglobulin E (IgE) and specific IgE for house dust mite (HDM), Japanese cedar pollen, grass pollen, weeds, mold, cat dander, and dog dander (ImmunoCAP® Specific IgE; Phadia, Tokyo, Japan) was measured at baseline assessment only. Perennial allergens were defined as HDM, weeds, molds, cat dander, or dog dander. Participants with specific IgE levels of 0.35 UA/ml or greater were considered to be sensitized to the allergen.<sup>15</sup> Pulse oximetry examination with actigraphy was also performed at the follow-up assessment.

## Definition of terms and diseases

Elderly participants were defined as those who were aged >65years. Asthma diagnosis was determined by using a self-reported questionnaire with the following question: "Have you ever experienced an asthmatic episode since becoming an adult?" Patients with new-onset asthma were defined as participants who answered "no" at baseline assessment and "yes" at follow-up assessment, as previously described.<sup>16</sup> New-onset asthma in the elderly is participants with new-onset asthma who were aged >65 years at baseline. Diagnosis of COPD, sinusitis, sleep apnea syndrome, hypertension, dyslipidemia, and diabetes mellitus was determined by a self-reported questionnaire at the baseline assessment. Participants were considered to have gastroesophageal reflux disease (GERD) if their total FSSG score was at least eight points at both baseline and follow-up assessments.<sup>11</sup> Allergic rhinitis was defined as having sensitization to some specific allergen in addition to rhinitis symptoms at baseline assessment. The presence of comorbidities was determined at follow-up assessment as hypertension, using antihypertensive agents or systolic blood pressure of  $\geq$ 140 mmHg or diastolic blood pressure of  $\geq$ 90 mmHg; dyslipidemia, using antihyperlipidemic agents or serum low density lipoprotein of  $\geq$ 140 mg/dL or serum high density lipoprotein of <40 mg/dL or serum triglyceride of  $\ge 150 \text{ mg/dL}$ ; diabetes mellitus, using oral antihyperglycemic agents and/or insulin or hemoglobin A1c of  $\geq$ 6.5%; depression, total CES-D score of  $\geq$ 16 points.<sup>12</sup> Supplementary Table 2 shows the definition of the diseases.

Actigraphy-modified ODI3% (acti-ODI3%) was used as an indicator for sleep-disordered breathing (SDB) at follow-up assessment. A 3% oxygen desaturation index (ODI3%) was calculated based on a  $\geq$ 3% decrease from baseline in oxygen saturation per hour. ODI3% was corrected by sleeping time determined by actigraphy. The SDB severity by acti-ODI3% levels was defined as follows: normal: <5 events/h; mild: 5–15 events/h; moderate: 15–30 events/h; and severe:  $\geq$ 30 events/h. A detailed description of SDB assessment was shown in the previous article.<sup>17</sup>

## Analyses and statistics

Statistical analyses were performed with JMP version Pro15 (SAS Institute, Tokyo, Japan). Logistic regression analyses were used to estimate the odds ratio of clinical indices for new-onset asthma in elderly and non-elderly participants. The chi-square test or Fisher's exact test for categorical variables and the Student *t*-test or Mann–Whitney *U* test for continuous variables were appropriately used to conduct comparisons between groups. Multivariable regression analyses for predictive factors of new-onset asthma were adjusted using a propensity score to control for each factor separately due to the relatively small number of new-onset asthma cases in the elderly. The propensity score was calculated for each factor and used as a covariate in a multivariable regression model.<sup>18</sup>

Lastly, we examined differences in symptoms and comorbidities between elderly and non-elderly patients with new-onset asthma by univariate or multivariable analysis using follow-up data. False discovery rate estimation was used for multiple testing corrections. *P*-values <0.05 were regarded as statistically significant.

## Results

## Participants' characteristics

Among the 9804 initial participants, 9402 had no asthma at baseline assessment. Of the 7948 participants analyzed after excluding those participants who were lost to follow-up, 158 (2.0%) had new-onset asthma at follow-up assessment. A total of 130 (2.2%) had new-onset asthma among participants aged 30–64 years at baseline assessment (non-elderly, n = 5904). Meanwhile, 28 (1.4%) had new-onset asthma at follow-up assessment among participants aged  $\geq 65$  years at baseline (elderly, n = 2044), which was lower frequency than in the non-elderly participants (P = 0.020) (Fig. 1).

## Predictive factors for new-onset asthma in the elderly

Table 1 shows the clinical characteristics of elderly and nonelderly participants with and without new-onset asthma at baseline assessment. Elderly patients with new-onset asthma had lower FEV<sub>1</sub> (% predicted) (P = 0.005) and FEV<sub>1</sub>/FVC (P = 0.0001) and higher blood eosinophil counts (P = 0.031) at baseline than elderly participants without new-onset asthma. Multiple logistic regression analysis using the propensity score to control for covariates revealed low FEV1/FVC and high blood eosinophil counts at baseline as predictive factors for new-onset asthma in the elderly (Table 2). The results of the significant predictive factors for newonset asthma in the elderly remained after excluding patients with non-eosinophilic COPD (FEV<sub>1</sub>/FVC of <70%, smoking history of  $\geq$ 10 pack-year, and blood eosinophil counts of <300/µL at baseline assessment; n = 164) from the elderly participants (Supplementary Table 3). Body mass index (BMI) at baseline was not significantly associated with new-onset asthma in the elderly.

The incidence rates of new-onset asthma in the elderly with and without blood eosinophil counts of  $\geq$ 300/µL or FEV<sub>1</sub>/FVC of <70% at

baseline are shown in Figure 2. The presence of either predictor, i.e., blood eosinophil counts of  $\geq 300/\mu$ L or FEV<sub>1</sub>/FVC of <70% at baseline, did not increase the odds of new-onset asthma in the elderly. On the other hand, elderly participants with both predictors had a significantly higher incidence of new-onset asthma (4/19, 21.1%) than those with one or none of the predictors, after adjustment for sex, and smoking status (Supplementary Table 4).

## Predictive factors for new-onset asthma in the non-elderly

Univariate analysis revealed that childhood asthma and perennial allergen sensitization were predictive factors for new-onset asthma in the non-elderly. Only childhood asthma was a significant predictive factor in the multivariable analysis (Supplementary Table 5). Most patients (81.8%) had a relapse before 65 years old among patients with a history of asthma remission in childhood and newly diagnosed asthma in adulthood (Supplementary Fig. 1).

## Comparison of symptoms and comorbidities between elderly and non-elderly patients with new-onset asthma after asthma diagnosis

Symptoms and comorbidities were compared between the elderly and the non-elderly patients with new-onset asthma to clarify their phenotypic differences. Elderly patients with new-onset asthma had a lower frequency of difficulty in activities of daily living, despite a higher frequency of dyspnea on exertion (mMRC scores), compared to non-elderly patients with new-onset asthma even after adjustment for sex, BMI, and smoking status. No significant differences were found in the frequencies of other symptoms, including sputum production or cough or chest tightness at nighttime or early morning, between elderly and non-elderly patients with new-onset asthma (Fig. 3).

The elderly had more comorbidities of hypertension and moderate to severe SDB and had less comorbidity of GERD than the nonelderly in univariate analysis among patients with new-onset asthma (Table 3). Multivariable analysis for differentiating newonset asthma in the elderly from that in the non-elderly revealed significantly more comorbidity of moderate to severe SDB in patients with new-onset asthma in the elderly than those in the nonelderly after adjustment for sex, BMI and smoking status (Table 4).



Fig. 1. Flow diagram of baseline and follow-up assessments. Among the 7948 participants analyzed in this study, 1.4% of the elderly and 2.2% of the non-elderly had new-onset asthma before follow-up assessment.

#### 4

# **ARTICLE IN PRESS**

## K. Nishi et al. / Allergology International xxx (xxxx) xxx

#### Table 1 Participants

Participants' characteristics at baseline assessment.

	Elderly participants ( $n = 2044$ )		Non-elderly participants ( $n = 5904$ )	
	with new-onset asthma <sup>§</sup> $(n = 28)$	without new-onset asthma <sup>§</sup> $(n = 2016)$	with new-onset asthma <sup>§</sup> $(n = 130)$	without new-onset asthma <sup>§</sup> ( $n = 5774$ )
Age (years) <sup>†</sup>	67.0 (66.0–71.5)	69.0 (67.0–71.0)	45.5 (36.0–58.0)	51.0 (38.0-59.0)
Sex (female, %)	57.1	56.3	76.2	71.8
BMI (kg/m <sup>2</sup> ) <sup>‡</sup>	22.5 (2.9)	22.8 (2.9)	21.9 (3.4)	22.1 (3.3)
Smoking (current/ex/never, %)	3.6/32.1/64.3	8.9/26.3/64.8	15.4/22.3/62.3	14.9/17.8/67.3
Spirometry				
FEV <sub>1</sub> (% predicted) <sup>‡</sup>	92.0 (26.8)	101.0 (16.7)	99.7 (14.5)	103.6 (15.4)
FEV <sub>1</sub> /FVC (%) <sup>‡</sup>	75.5 (10.2)	80.4 (6.6)	82.7 (6.3)	83.2 (6.1)
Blood tests				
Blood eosinophils (/µL) <sup>†</sup>	172.6 (92.1-293.3)	117.0 (70.2–182.0)	120.2 (69.0-214.5)	118.4 (69.7-198.0)
Blood neutrophils (/μL) <sup>†</sup>	3122.5 (2711.2-4099.1)	3200.0 (2599.0-3883.6)	3289.5 (2595.9-4176.8)	3192.8 (2540.5-3983.5)
Serum total IgE (IU/mL) <sup>†</sup>	75.9 (22.3–295.5)	59.0 (24.7-155.0)	81.0 (34.5-224.5)	64.1 (27.1–163.0)
High-sensitivity CRP (ng/mL) <sup>†</sup>	592.5 (144.8-1257.5)	396.0 (203.0-811.0)	266.5 (154.3-546.8)	266.0 (132.0-589.8)
Sensitization to perennial allergen (%)	21.4	21.3	47.7	37.6
Comorbidities (%)				
Allergic rhinitis	21.4	13.7	40.0	35.1
Sinusitis	14.3	10.1	13.8	9.9
COPD	3.6	1.4	6.9	0.5
Sleep apnea syndrome	7.1	7.1	6.2	4.6
Childhood asthma	3.6	1.0	7.7	2.2
Hypertension	46.4	37.3	13.1	13.9
Dyslipidemia	25.0	17.3	10.0	10.7
Diabetes mellitus	7.1	10.3	2.3	3.5
GERD	17.9	17.9	36.2	23.5

BMI, body mass index; FEV<sub>1</sub>, forced expiratory volume in 1 s; FVC, forced vital capacity; CRP, C-reactive protein; COPD, chronic obstructive pulmonary disease; GERD, gastroesophageal reflux disease.

<sup>†</sup> Median (interquartile range, IQR)

‡ Mean (SD).

<sup>§</sup> Participants with new-onset asthma were determined regardless of whether they had childhood asthma or not.

#### Table 2

Factors associated with new-onset asthma<sup>†</sup> in elderly participants.

	Univariate analysis		Multivariable analysis	
	OR (95% CI)	P value	Adjusted OR (95% CI)	P value
FEV <sub>1</sub> /FVC (<70%)	5.40 (2.25-12.95)	0.0002	5.63 (2.24–14.18)	0.0002
Blood eosinophil counts ( $\geq$ 300/µL)	3.67 (1.53-8.80)	0.004	3.19 (1.29-7.87)	0.012
Sensitization to perennial allergen (yes)	1.01 (0.41-2.50)	0.98	0.69 (0.26-1.83)	0.45
Allergic rhinitis (yes)	1.71 (0.69-4.26)	0.25	1.89 (0.73-4.93)	0.19
Childhood asthma (yes)	3.70 (0.48-28.54)	0.21	3.53 (0.46-27.30)	0.23
BMI ( $\geq 25 \text{ kg/m}^2$ )	0.82 (0.31–2.17)	0.69	0.85 (0.32–2.26)	0.74

OR, odds ratio; CI, confidence interval; FEV<sub>1</sub>, forced expiratory volume in 1 s; FVC, forced vital capacity; BMI, body mass index. Multivariable analysis was adjusted using a propensity score to control for each factor separately. Covariates for adjustment included sex, and smoking history (yes).

 $^\dagger$  Participants with new-onset asthma were determined regardless of whether they had childhood asthma or not.

## Discussion

This large-scale epidemiological study revealed new-onset asthma in 1.4% of the elderly and 2.2% of the non-elderly participants during the study period. To our knowledge, this is the first study to demonstrate the predictors of new-onset asthma in the elderly, high blood eosinophil counts and airflow obstruction. In addition, both eosinophilia and airflow obstruction increased the odds of subsequent new-onset asthma in the elderly. Notably, the elderly had less difficulty in activities of daily living, despite a higher frequency of dyspnea on exertion (mMRC scores), than the non-elderly among patients with new-onset asthma. Lastly, elderly patients with new-onset asthma had a higher prevalence of moderate to severe SDB than non-elderly patients with new-onset asthma.

This study revealed that high blood eosinophil counts and airflow obstruction were predictive factors for new-onset asthma in the elderly, and that the combination of both increased the odds of new-onset asthma in the elderly. The importance of eosinophilic inflammation in the pathogenesis of asthma is wellrecognized. This study suggested that elderly patients with newonset asthma was also driven by eosinophilic inflammation. Previous studies noted the association between eosinophilic inflammation and asthmatic pathophysiology in the elderly. Busse PJ et al. reported that elderly patients with asthma treated with inhaled corticosteroids had higher sputum eosinophils along with neutrophils than younger patients.<sup>19</sup> The Normative Aging Study presented that airway hyperresponsiveness was associated with increased peripheral blood eosinophil counts in males with a mean age of 60 years and without an asthma diagnosis.<sup>20</sup> Next, airflow obstruction in patients with new-onset asthma in the elderly might reflect mucus plugs as well as airway wall remodeling due to untreated eosinophilic inflammation,<sup>21–23</sup> although these mechanisms were not examined in the present study. The elderly have decreased mucociliary clearance.<sup>24</sup> Increased eosinophilic inflammation and structural changes in the airway may have a role in the pathophysiology of asthma in the elderly.

While obesity has been reported to be associated with asthma onset, <sup>25,26</sup> in this study, BMI at baseline had no significant impact

## ARTICLE IN PRESS

K. Nishi et al. / Allergology International xxx (xxxx) xxx



**Fig. 2.** Incidence rates of new-onset asthma in the elderly with and without blood eosinophil counts of  $\geq$ 300/µL or FEV<sub>1</sub>/FVC of <70% at baseline. \*False discovery rate-adjusted *P* value < 0.05 versus all other groups. FEV<sub>1</sub>, forced expiratory volume in 1 s; FVC, forced vital capacity.

on new-onset asthma in the elderly. In our previous report using the Nagahama Study population,<sup>27</sup> a positive correlation was observed between blood eosinophil counts and BMI in the overall population, whereas a negative correlation was observed in the population with high eosinophil counts. Therefore, BMI may not have a significant impact on new-onset asthma in elderly patients who are likely to have high blood eosinophil counts.

Our findings indicated that the elderly had less difficulty in activities of daily living than the non-elderly, among patients with new-onset asthma, despite a higher frequency of dyspnea on exertion (mMRC scores). The mechanism of the discrepancy between these symptoms is unknown. However, elderly patients with new-onset asthma may limit their daily activities due to dyspnea on exertion, as is observed in patients with COPD.<sup>28</sup>



Fig. 3. Comparisons of asthma-related symptoms at follow-up assessment between elderly and non-elderly patients with new-onset asthma.

## K. Nishi et al. / Allergology International xxx (xxxx) xxx

### Table 3

Comparison of comorbidities after asthma diagnosis between the elderly and the non-elderly, among patients with new-onset asthma.

	Patients with new-onset asthma ( $n = 158$ )		
	Non-elderly $(n = 130)$	Elderly $(n = 28)$	P value
Age (years) <sup>†</sup>	50.5 (41.0-63.0)	72.5 (71.0-76.5)	< 0.0001
Sex (female, %)	76.2	57.1	0.040
BMI (kg/m <sup>2</sup> ) <sup>‡</sup>	22.2 (3.4)	22.3 (3.0)	0.89
Smoking	13.1/23.1/63.8	7.1/28.6/64.3	0.62
(current/ex/never, %)			
Sinusitis (%)	18.5	21.4	0.79
COPD (%)	6.9	17.9	0.076
Moderate to severe SDB (%) <sup>§</sup>	7.3	38.9	0.001
Hypertension (%)	28.5	67.9	0.0001
Dyslipidemia (%)	46.2	60.7	0.16
Diabetes mellitus (%)	3.9	7.1	0.61
Depression (%)	42.3	50.0	0.46
GERD (%)	36.9	10.7	0.007

Data at follow-up assessment.

BMI, body mass index; COPD, chronic obstructive pulmonary disease; SDB, sleepdisordered breathing; GERD, gastroesophageal reflux disease.

<sup>†</sup> Median (interquartile range, IQR).

<sup>‡</sup> Mean (SD).

 $^{\$}$  Actigraphy-modified 3% oxygen desaturation index  $\geq$ 15 events/hour.

Moreover, a higher prevalence of comorbidities, such as moderate to severe SDB, was found in the elderly than in the nonelderly among patients with new-onset asthma. SDB, mainly obstructive sleep apnea (OSA), is caused by upper airway obstruction. Old age is known to be one of the risk factors for OSA/ SDB.<sup>29,30</sup> Mucosal swelling or produced mucus in association with elevated airway inflammation and subsequently increased upstream resistance may favor SDB development in elderly patients with asthma.<sup>31</sup> Attenuated ventilatory response<sup>32</sup> may also aggravate airway obstruction during sleep by hampering the expectoration of sputum in elderly patients with asthma. Moreover, OSA was associated with poor asthma control, reduced lung function, and severe asthma in the elderly among patients with asthma.<sup>33</sup> It is important to screen for SDB in elderly patients with new-onset asthma because SDB is common among elderly patients with new-onset asthma and is an aggravating factor of asthma.

Our findings demonstrated childhood asthma as a predicting factor for new-onset asthma in the non-elderly. Childhood asthma can go into remission, while not a few young aged patients relapse asthma.<sup>34,35</sup> Subclinical airway eosinophilic inflammation and airway hyperresponsiveness were reported to be present in adolescents with clinical remission of asthma,<sup>36,37</sup> which may lead to asthma relapse in young adults. Over 80% of a relapse of asthma was

#### Table 4

Multivariable analysis for differentiating new-onset asthma in the elderly from that in the non-elderly (data at follow-up assessment).

	New-onset asthma in the elderly/non-elderly		
	Adjusted OR	95% CI	P value*
Moderate to severe SDB <sup>†</sup>	5.82	1.52-22.25	0.030
GERD	0.27	0.05-1.33	0.16
Hypertension	2.43	0.78-7.56	0.12

OR, odds ratio; CI, confidence interval; SDB, sleep-disordered breathing; GERD, gastroesophageal reflux disease.

Multivariable analysis was adjusted by sex, body mass index ( $\geq$ 25 kg/m<sup>2</sup>), and smoking history (yes).

\* After multiple testing correction via false discovery rate estimation.

 $^\dagger$  Actigraphy-modified 3% oxygen desaturation index  ${\geq}15$  events/hour.

observed before the age of 65 years among adults with a history of remitted childhood asthma. Future studies of monitoring airway inflammation are required for the management of patients with asthma in remission.

This study has several limitations. First, asthma diagnosis was based on a self-reported questionnaire, rather than an objective test. A self-reported diagnosis can potentially overestimate the prevalence of asthma. In addition, the follow-up period of this study (5 years) may not be sufficient to detect new-onset asthma. However, the prevalence of new-onset asthma in this study (4.0 per 1000 person-years) is similar to that in previous population-based reports with a follow-up period of 9-10 years (4.4-4.5 per 1000 person-years).<sup>38,39</sup> Second, new-onset asthma in participants aged 75 years or older at baseline was not assessed. Further studies are required in this population. Lastly, differentiating asthma from COPD, particularly in the elderly, is difficult. As this study was based on a self-reported questionnaire, we could not completely exclude the possibility that patients with new-onset asthma overlapped with COPD. Patients with COPD exhibiting eosinophilic inflammation are treated similarly to those with asthma such as inhaled corticosteroids. Therefore, a sensitivity analysis, which excluded participants with non-eosinophilic COPD from the elderly, was conducted. This analysis did not change the findings of the predictive factors for new-onset asthma in the elderly. Conversely, this large community-based study had the strength of having little selection bias. Additionally, this longitudinal study with data before asthma diagnosis can provide insights that could lead to early disease detection and intervention.

In conclusion, this study revealed that individuals with characteristics of eosinophilic inflammation or airflow obstruction are at risk for new-onset asthma after the age of 65 years. The current study findings also revealed that airflow obstruction accompanied by high blood eosinophil levels might predict subsequent newonset asthma in the elderly. Furthermore, the prevalence of comorbid SDB was higher in elderly patients with new-onset asthma than in non-elderly patients with new-onset asthma. The study findings characterize the specific phenotype of new-onset asthma related to inflammation, lung function, and comorbidities in the elderly.

## Acknowledgements

We are incredibly grateful to the Nagahama City Office and nonprofit organization Zeroji Club for their help in conducting the Nagahama Study.

This study was funded by a university grant; a Grant-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports, Science and Technology in Japan (25293141, 26670313, 26293198, 17H04182, 17H04126, 17H04123, 18K18450, 20H03690, 21K16117, 23K07638); and grants from the Center of Innovation Program and the Global University Project from the Japan Science and Technology Agency, Japan Agency for Medical Research and Development (AMED), under grant numbers dk0207006, dk0207027, ek0109070, ek0109283, ek0109196, ek0109348, kk0205008, ek0210066, ek0210096, ek0210116, ek0210150, le0110005, and wm0425018. This research was also supported by grants from the Japanese Ministry of Education, Culture, Sports, Science and Technology; the Intractable Respiratory Diseases and Pulmonary Hypertension Research Group from the Ministry of Health, Labour and Welfare of Japan (H29-intractable diseases-general-027 and JPMH20FC1027); the Special Health Check-Up Research Group from the Ministry of Health, Labor, and Welfare of Japan (R3-ComprehensiveResearch on Life-Style Related Disease including Cardiovascular Diseases and Diabetes Mellitus-21FA1004); the Takeda Medical Research Foundation; the Mitsubishi Foundation; the Daiwa Securities Health

Foundation; the Sumitomo Foundation; the Research Foundation for Healthy Ageing; and the Health, Labour and Welfare Sciences Research Grants, and Research on Regional Medical Care (H28-iryoippan-016, H30-iryo-ippan-009). The Department of Respiratory Care and Sleep Control Medicine is funded by grants from Philips Japan, Teijin Pharma, Fukuda Denshi, Fukuda Lifetec Keiji, and ResMed provided to Kyoto University. The Department of Sleep Medicine and Respiratory Care, Division of Respiratory Medicine, Nihon University is funded by grants from Philips, Fukuda Denshi, Fukuda Lifetec Tokyo, and ResMed provided to Nihon University. This was not an industry-supported study.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.alit.2023.11.002.

## Conflict of interest

The authors have no conflict of interest to declare.

## Authors' contributions

KN analyzed and interpreted the data and wrote the draft. TN analyzed and interpreted the data, wrote and edited the manuscript. HM conceived and designed the study, collected, analyzed and interpreted the data, and edited the manuscript. ST, NN, MK, and NT analyzed the data. TO, HS, KM, TM and SS collected the data and revised the work critically. TK, YT, FM contributed to the design of the Nagahama cohort study, recruited participants, acquired the funding, and critically revised the manuscript. KC and TH provided overall supervision and critically revised the manuscript.

## References

- Inoue H, Niimi A, Takeda T, Matsumoto H, Ito I, Matsuoka H, et al. Pathophysiological characteristics of asthma in the elderly: a comprehensive study. *Ann Allergy Asthma Immunol* 2014;113:527–33.
- Enright PL, McClelland RL, Newman AB, Gottlieb DJ, Lebowitz MD. Underdiagnosis and undertreatment of asthma in the elderly. Cardiovascular Health study research group. *Chest* 1999;**116**:603–13.
- Gibson PG, McDonald VM, Marks GB. Asthma in older adults. Lancet (London, England) 2010;376:803–13.
- Kim YK, Kim SH, Tak YJ, Jee YK, Lee BJ, Kim SH, et al. High prevalence of current asthma and active smoking effect among the elderly. *Clin Exp Allergy* 2002;**32**: 1706–12.
- Dunn RM, Busse PJ, Wechsler ME. Asthma in the elderly and late-onset adult asthma. Allergy 2018;73:284–94.
- Tsai CL, Lee WY, Hanania NA, Camargo Jr CA. Age-related differences in clinical outcomes for acute asthma in the United States, 2006-2008. J Allergy Clin Immunol 2012;129:1252–8. e1.
- Haldar P, Pavord ID, Shaw DE, Berry MA, Thomas M, Brightling CE, et al. Cluster analysis and clinical asthma phenotypes. *Am J Respir Crit Care Med* 2008;**178**: 218–24.
- Moore WC, Meyers DA, Wenzel SE, Teague WG, Li H, Li X, et al. Identification of asthma phenotypes using cluster analysis in the Severe Asthma Research Program. Am J Respir Crit Care Med 2010;181:315–23.
- Nagasaki T, Matsumoto H, Kanemitsu Y, Izuhara K, Tohda Y, Kita H, et al. Integrating longitudinal information on pulmonary function and inflammation using asthma phenotypes. J Allergy Clin Immunol 2014;133:1474–7. 7.e1-2.
- Matsumoto H, Izuhara Y, Niimi A, Tabara Y, Nagasaki T, Kanemitsu Y, et al. Risks and cough-aggravating factors in prolonged cough. Epidemiological observations from the Nagahama cohort study. Ann Am Thorac Soc 2017;14:698-705.
- Kusano M, Hosaka H, Kawada A, Kuribayashi S, Shimoyama Y, Kawamura O, et al. Development and evaluation of a modified Frequency Scale for the Symptoms of Gastroesophageal Reflux Disease to distinguish functional dyspepsia from non-erosive reflux disease. J Gastroenterol Hepatol 2012;27: 1187–91.
- Shima S, Shikano T, Kitamura T, Asai M. New self-rating scales for depression Seishinigaku [Psychiatry] 1985;27:717–23.
- Kogo M, Sato S, Muro S, Matsumoto H, Nomura N, Tashima N, et al. Development of airflow limitation, dyspnoea, and both in the general population: the Nagahama study. *Sci Rep* 2022;**12**:20060.

- 14. Kubota M, Kobayashi H, Quanjer PH, Omori H, Tatsumi K, Kanazawa M, et al. Reference values for spirometry, including vital capacity, in Japanese adults calculated with the LMS method and compared with previous values. *Respir Investig* 2014;52:242–50.
- Izuhara Y, Matsumoto H, Nagasaki T, Kanemitsu Y, Murase K, Ito I, et al. Mouth breathing, another risk factor for asthma: the Nagahama Study. Allergy 2016;71:1031-6.
- Tashima N, Matsumoto H, Nishi K, Terada S, Kogo M, Nomura N, et al. Evaluation of elevated plasma fatty acids as relevant factors for adult-onset asthma: the Nagahama Study. *Allergol Int* 2023. https://doi.org/10.1016/ j.alit.2023.04.005.
- Matsumoto T, Murase K, Tabara Y, Minami T, Kanai O, Takeyama H, et al. Sleep disordered breathing and metabolic comorbidities across sex and menopausal status in East Asians: the Nagahama Study. *Eur Respir J* 2020;**56**:1902251.
- Devasia RA, Blackman A, Gebretsadik T, Griffin M, Shintani A, May C, et al. Fluoroquinolone resistance in Mycobacterium tuberculosis: the effect of duration and timing of fluoroquinolone exposure. *Am J Respir Crit Care Med* 2009;**180**:365–70.
- Busse PJ, Birmingham JM, Calatroni A, Manzi J, Goryachokovsky A, Fontela G, et al. Effect of aging on sputum inflammation and asthma control. J Allergy Clin Immunol 2017;139:1808–18. e6.
- Annema JT, Sparrow D, O'Connor GT, Rijcken B, Koeter GH, Postma DS, et al. Chronic respiratory symptoms and airway responsiveness to methacholine are associated with eosinophilia in older men: the Normative Aging Study. *Eur Respir J* 1995;8:62–9.
- Hancox RJ, Pavord ID, Sears MR. Associations between blood eosinophils and decline in lung function among adults with and without asthma. *Eur Respir J* 2018;51:1702536.
- Backman H, Lindberg A, Hedman L, Stridsman C, Jansson SA, Sandstrom T, et al. FEV1 decline in relation to blood eosinophils and neutrophils in a populationbased asthma cohort. World Allergy Organ J 2020;13:100110.
- Dunican EM, Elicker BM, Gierada DS, Nagle SK, Schiebler ML, Newell JD, et al. Mucus plugs in patients with asthma linked to eosinophilia and airflow obstruction. J Clin Invest 2018;128:997–1009.
- 24. Bailey KL. Aging diminishes mucociliary clearance of the lung. *Adv Geriatr Med Res* 2022;4:e220005.
- Dixon AE, Poynter ME. Mechanisms of asthma in obesity. Pleiotropic aspects of obesity produce distinct asthma phenotypes. Am J Respir Cel Mol Biol 2016;54: 601–8.
- Miethe S, Karsonova A, Karaulov A, Renz H. Obesity and asthma. J Allergy Clin Immunol 2020;146:685–93.
- Sunadome H, Matsumoto H, Izuhara Y, Nagasaki T, Kanemitsu Y, Ishiyama Y, et al. Correlation between eosinophil count, its genetic background and body mass index: the Nagahama Study. *Allergol Int* 2020;69:46–52.
- Miravitlles M, Ribera A. Understanding the impact of symptoms on the burden of COPD. Respir Res 2017;18:67.
- 29. Eikermann M, Jordan AS, Chamberlin NL, Gautam S, Wellman A, Lo YL, et al. The influence of aging on pharyngeal collapsibility during sleep. *Chest* 2007;**131**:1702–9.
- **30.** Malhotra A, Huang Y, Fogel R, Lazic S, Pillar G, Jakab M, et al. Aging influences on pharyngeal anatomy and physiology: the predisposition to pharyngeal collapse. *Am J Med* 2006;**119**:72. e9-14.
- **31.** Owens RL, Macrea MM, Teodorescu M. The overlaps of asthma or COPD with OSA: a focused review. *Respirology* 2017;**22**:1073–83.
- Janssens JP, Pache JC, Nicod LP. Physiological changes in respiratory function associated with ageing. *Eur Respir J* 1999;13:197–205.
- Teodorescu M, Polomis DA, Gangnon RE, Fedie JE, Consens FB, Chervin RD, et al. Asthma control and its relationship with obstructive sleep apnea (OSA) in older adults. Sleep Disord 2013;2013:251567.
- 34. Tai A, Tran H, Roberts M, Clarke N, Gibson AM, Vidmar S, et al. Outcomes of childhood asthma to the age of 50 years. J Allergy Clin Immunol 2014;133: 1572–8. e3.
- Wu TJ, Wu CF, Lee YL, Hsiue TR, Guo YL. Asthma incidence, remission, relapse and persistence: a population-based study in southern Taiwan. *Respir Res* 2014;15:135.
- Komatsu Y, Fujimoto K, Yasuo M, Urushihata K, Hanaoka M, Koizumi T, et al. Airway hyper-responsiveness in young adults with asthma that remitted either during or before adolescence. *Respirology* 2009;14:217–23.
- 37. van den Toorn LM, Overbeek SE, de Jongste JC, Leman K, Hoogsteden HC, Prins JB. Airway inflammation is present during clinical remission of atopic asthma. Am J Respir Crit Care Med 2001;164:2107–13.
- Anto JM, Sunyer J, Basagana X, Garcia-Esteban R, Cerveri I, de Marco R, et al. Risk factors of new-onset asthma in adults: a population-based international cohort study. Allergy 2010;65:1021–30.
- **39.** Raisanen P, Backman H, Hedman L, Andersson M, Stridsman C, Kankaanranta H, et al. High but stable incidence of adult-onset asthma in northern Sweden over the last decades. *ERJ Open Res* 2021;**7**:00262-2021.