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Three-dimensional assessment of interfractional cervical and uterine motions using daily magnetic resonance images to determine margins and timing of replanning

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Abstract

Purpose: This study was conducted to determine the margins and timing of replanning by assessing the daily interfractional cervical and uterine motions using magnetic resonance (MR) images.

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Methods: Eleven patients with cervical cancer, who underwent intensitymodulated radiotherapy (IMRT) in 23-25 fractions, were considered in this study. The daily and reference MR images were converted into three-dimensional (3D) shape models. Patient-specific anisotropic margins were calculated from the proximal 95% of vertices located outside the surface of the reference model. Population-based margins were defined as the 90th percentile values of the patient-specific margins. The expanded volume of interest (expVOI) for the cervix and uterus was generated by expanding the reference model based on the population-based margin to calculate the coverage for daily deformable mesh models. For comparison, expVOI_{conv} was generated using conventional margins: right (R), left (L), anterior (A), posterior (P), superior (S), and inferior (I) were (5, 5, 15, 15, 10, 10) and (10, 10, 20, 20, 15, 15) mm for the cervix and uterus, respectively. Subsequently, a replanning scenario was developed based on the cervical volume change. ExpVOI_{ini} and expVOI_{replan} were generated before and after replanning, respectively.

Results: Population-based margins were (R, L, A, P, S, I) of (7, 7, 11, 6, 11, 8) and (14, 13, 27, 19, 15, 21) mm for the cervix and uterus, respectively. The timing of replanning was found to be the 16th fraction, and the volume of expVOI_{replan} decreased by >30% compared to that of expVOI_{ini}. However, margins cannot be reduced to ensure equivalent coverage after replanning.

Conclusion: We determined the margins and timing of replanning through detailed daily analysis. The margins of the cervix were smaller than conventional margins in some directions, while the margins of the uterus were larger in almost all directions. A margin equivalent to that at the initial planning was required for replanning.

KEYWORDS

cervical cancer, daily MR images, interfractional variations, margin, replanning

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1 | INTRODUCTION

In recent years, intensity-modulated radiotherapy (IMRT) has been widely used to treat cervical cancer as it significantly reduces acute gastrointestinal and genitourinary (GU) toxicities and chronic GU toxicity in patients compared to three-dimensional (3D) conformal radiotherapy.^{1,2} However, interfractional variations, including tumor regression and target positional change, are the most important issues that must be addressed owing to the risks of tumor under-dosing and/or normal tissue over-dosing.^{3–16}

Although many researchers have studied such variations, there has been a lack of daily observation, individual assessment distinguishing the cervix and uterus with high-contrast medical images, and 3D evaluations.^{8–16} Several researchers have reported margins for cervical cancer without daily observations or individual assessments, and all of them used two-dimensional (2D) evaluations.⁸⁻¹² Bondar et al. designed a 3D model to predict the shape and position of the cervix and uterus. However, they did not perform daily imaging and did not assess the cervix or uterus separately.¹³ Although some studies revealed the effectiveness of replanning against tumor regression, they were still not based on daily MR images.14-16 Online adaptation systems have emerged in recent years. Although the effectiveness of target coverage with a smaller margin and OAR sparing has been proven.^{17,18} several human and time resources are required. Moreover, the ownership rate of online adaptation systems is low worldwide. Online adaptive radiotherapy with library plans is one approach for improving OAR sparing.^{19,20} However, such a system is not commercially available. Therefore, the appropriate margin and timing of replanning are important issues that need to be addressed for cervical radiotherapy. To address the issues, a precise assessment of interfractional movement is required.

This study introduced two completely different techniques. First, a 3D evaluation approach was developed to assess organ motions by applying a shape model to express variations in their shape.^{21,22} Second, daily MR images acquired from an MR-guided radiotherapy (MRgRT) system were used. The MRgRT system provided daily high-contrast images, thereby allowing independent motion analysis of the cervix and uterus. This study was aimed at determining the margins and timing of replanning assessing interfractional cervical and uterine motions three-dimensionally with daily MR images.

2 | MATERIALS AND METHODS

2.1 | Patients and data preparation

For this study, we considered 11 patients with cervical cancer who underwent MR-guided IMRT using the

ViewRay MRIdian (Viewray Inc., Oakwood Village, OH, USA) (Table 1). The pulse sequence used for volumetric imaging was a True Fast Imaging with Steady State Precession sequence. The slice thickness and pixel dimensions of the MR images were 3 mm and $1.5 \text{ mm} \times 1.5 \text{ mm}$, respectively. All patients were asked to urinate and defecate and were then asked to drink 300 mL of water 1 h before entering the treatment room. The daily MR images of each patient were acquired before beam delivery and co-registered to the planned MR images (reference) based on the bony structure. Thereafter, the uterus, cervix, rectum, and bladder were manually delineated by a single radiation oncologist. The contours were converted to mesh file formats using a commercially available system (ITEM Viewer Planning and Assistant System; ITEM Corporation, Osaka, Japan). Each mesh comprised a unique number of vertices and meshes. Therefore, the surfaces of all acquired meshes were resampled such that we acquired 400 vertices and 796 triangular meshes. As a result, we obtained a point-to-point correspondence. The details have been described elsewhere.^{21,22} This study was approved by the institutional review board (approval number: 2020-556).

2.2 | Calculation of displacement

Figure 1 shows the flowchart of this study. The daily displacements of the cervix and uterus were acquired as follows: 400 displacement vectors of each corresponding vertex were obtained between each daily shape model and the reference model. The mean displacement was computed by averaging over 400 displacement vectors, defined as the displacement of the day. The cervical volume change was calculated as the percentage of daily cervical volume compared to the reference cervical volume to acquire the trend of cervical volume change. Additionally, Pearson's correlation coefficients were calculated between the cervical volume change and displacement of the cervix or uterus.

2.3 | Computation of patient-specific anisotropic margins

The anisotropic margin was computed from the vertices of the daily shape models displaced outside the surface of the reference model (outside vertices). First, the origin was set at the centroid of the reference model, and the outside vertices (1st-23rd or 25th) were identified. Subsequently, a vector drawn from the surface of the reference model to the vertex was obtained for each outside vertex. Then, the vectors were decomposed along six directions around the origin: right (R), left (L), anterior (A), posterior (P), superior (S), and inferior (I). Lastly, we computed the patient-specific margins covering the proximal

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TABLE 1 Patient characteristics.

Pt [#]	Age (y.o.)	Pathology	ТММ	Stage	Chemo	Dose (Gy/fr)	Cx (cm ³) ^{a1}	Ut (cm ³) ^{a1}
1	63	Ad	T3bN1M0	IIIB	_	46/25	15.9	102.1
2	35	SCC	T3bN1M0	IIIB	CDDP	45/25	103.8	77.9
3	65	SCC	T1b1N0M0	IB	_	45/25	9.9	3.6
4	73	SCC	T3bN1M0	IIIB	-	46/23	15.5	27.2
5	76	SCC	T3bN1M0	IIIB	CDDP	45/25	103.5	78.9
6	67	SCC	T2bN1M0	IIB	CDDP	45/25	38.8	16.9
7	71	SCC	T2bN1M0	IIB	CBDCA	45/25	29.6	33.4
8	70	SCC	T2bN0M0	IIB	CDDP	45/25	20.3	13.6
9	47	SCC	T3bN1M0	IIIB	CDDP	45/25	46.9	82.9
10	88	SCC	T1b1N0M0	IB	_	45/25	13.7	21.7
11	44	SCC	T3bNxM0	IIIB	CBDCA	45/25	45.0	91.2

Abbreviations: Ad, adenocarcinoma; CBDCA, carboplatin; CDDP, cisplatin; Chemo, chemotherapy; Cx, cervix; Pt#, patients; SCC, squamous cell carcinoma; Ut, uterus. ^a1: Volume at treatment planning (cm³).



FIGURE 1 Schematic flow of this study.

95% of the outside vertices for all 11 patients based on the lengths of the decomposed vectors in each direction.

2.4 | Calculation of population-based margins and expanded VOI

The 90th percentile values of the patient-specific margins were defined as the population-based margins in each direction. First, the expanded volume of interest (expVOI) was generated by expanding the reference model depending on the population-based margins in each direction, which was regarded as a surrogate for a planning target volume. Next, the volume and coverage probabilities of the expVOI were calculated for all patients. The coverage was calculated as the ratio of the vertices of the daily shape models located within the expVOI to the outside vertices. For comparison, expVOI_{conv} was generated by adding conventional margins to the reference cervix and uterus, and the volume of expVOI_{conv} and the coverage probabilities were calculated. Conventional margins (R, L, A, P, S, I) of (5, 5, 15, 15, 10, 10) and (10, 10, 20, 20, 15, 15) mm were used for the cervix and uterus, respectively. The clinical target volume (CTV) margins suggested by Khan et al.¹² were used as conventional margins for the uterus and margins 5 mm smaller than conventional margins for the uterus were used as conventional margins for the cervix.

2.5 | Effect of replanning on expanded volume and coverage

A replanning scenario was developed based on the trend in cervical volume change. When the median cervical volume fell below 50% for the first time, the date was set as the new reference date (Xth fraction). The replan was simulated based on the shape of the cervix and uterus in the Xth fraction. Considering the time required for optimization and quality assurance, the second plan was assumed to start at the (X + 3)th fraction.

Two patient-specific margins were computed before and after replanning. Before replanning, patient-specific margins were computed to cover 95% of the outside vertices (1^{st} —[X + 2]th), whereas after replanning, they were computed to cover 95% of the outside vertices ([X + 3]th—23rd or 25th). Population-based margins were determined as the 90th percentile values of each patient-specific margin. Two expVOIs (expVOI_{ini} and expVOI_{replan}) optimized for each population-based margin were generated before and after replanning. In addition, volume and coverage probabilities were also calculated.

2.6 | Statistical analysis

Pearson's correlation analysis was performed to study the correlation between cervical volume change and the daily mean displacement of the cervix or uterus. A paired *t*-test was used to analyze the statistical difference in the margin before and after replanning, as well as the volume and coverage between expVOI_{conv} and expVOI or between expVOI_{ini} and expVOI_{replan}. The significance level was set at p < 0.05.

3 | RESULTS

Figure 2a shows the median and interquartile range of the cervical volume trend for 11 patients. The median cervical volume fell below 50% for the first time at the 16^{th} fraction. Figure 2b,c shows the cervical volume change and displacement of the cervix and uterus, respectively. Pearson's correlation coefficients between the cervical volume change and the displacement of the cervix or uterus were -0.48 and -0.32, respectively. After the 16^{th} fraction, the cervical volume was smaller than the reference volume, except for one fraction of one

patent. The correlations between the bladder or rectal volume change and displacement of the cervix or uterus are shown in Supplementary Materials.

Figure 3 shows margin sizes in the patient group. Statistical values, such as the 90th, 95th, and 100th percentile values, are shown under the legends of R, L, A, P, S, and I. 90th percentile values of patient-specific margins were used as the population-based margins. The populationbased margins in (R, L, A, P, S, I) were (7, 7, 11, 6, 11, 8), and (14, 13, 27, 19, 15, 21) mm for the cervix and uterus, respectively.

Figure 4a,b summarize each individual patient's volume and overall coverage for expVOI_{conv} and expVOI, respectively. For the cervix, the median volumes of expVOI_{conv} and expVOI were 116.4 (range, 56.8–284.9) and 96.9 (range, 47.6–248.8) cm³ (p < 0.05), respectively, whereas those of the overall coverage of expVOI_{conv} and expVOI were 98 (range, 92-100) and 97 (range, 94–100) % (p = 0.85), respectively. For the uterus, the median values of the volumes of expVOI_{conv} and expVOI were 197.6 (range, 72.7-398.7) and 251.7 (range, 104.6–491.5) cm³ (p < 0.05), whereas those of the overall coverage of expVOI_{conv} and expVOI were 97 (range, 76–100) and 99 (range, 79–100) % (p < 0.05), respectively. Figure 4c-f shows the fractional coverage of expVOI_{conv} and expVOI, respectively. The median values of the fractional coverage of expVOI conv and expVOI were 100 (range, 66-100) and 100 (range, 59-100) % (p = 0.65) for the cervix, respectively. These values were 85 (range, 16-100) [%] and 100 (range, 27-100) [%] (p < 0.05) for the uterus, respectively.

The MR images acquired at the 16th fraction, where the median cervical volume fell below 50% for the first time (Figure 2a), were used as the reference for the second plan, and replanning was performed at the 19th fraction.

For the cervix, the population-based margins in (R, L, A, P, S, I) were (7, 6, 11, 6, 10, 6) and (9, 9, 11, 7, 9, 6) mm, whereas those for the uterus were (16, 12, 28, 15, 16, 17) and (13, 11, 17, 18, 13, 11) mm at the initial planning and replanning stages, respectively. No statistically significant difference was observed in the margin size between the initial and replanning stages (p = 0.11 for the cervix and 0.06 for the uterus). Figure 5 shows the volume and overall coverage of expVOI_{ini} and expVOI_{replan} in all patients. For the cervix, the median values of the volumes of expVOI_{ini} and expVOI_{replan} were 90.4 (range, 44.1-232.8) and 54.9 (range, 30.0-181.8) cm³ (p < 0.05), whereas those of the overall coverage of expVOI_{ini} and expVOI_{renlan} were 97 (range, 91-100) and 97 (range, 86-100) % (p = 0.45), respectively. For the uterus, the median values of the volumes of expVOI_{ini} and expVOI_{replan} were 234.8 (range, 97.5–464.8) and 155.6 (range, 80.4–369.9) cm³ (p < 0.05), whereas those of the overall coverage of expVOI_{ini} and expVOI_{replan} were 98 (range, 81–100) and 97 (range, 62–100) % (p = 0.32), respectively.



FIGURE 2 Volumetric data. (a) The trend of relative cervical volume change. Relative cervical volume change and displacement of the (b) cervix and (c) uterus. The solid lines denote regression lines.



FIGURE 3 Margin size in the patient group for the (a) cervix and (b) uterus. 90th, 95th, and 100th percentile values are shown at the bottom of the figure.



FIGURE 4 Each patient's volume and overall coverage of the expanded volume of interest (expVOI) and expVOI generated by adding conventional margins (expVOI_{conv}) for the (a) cervix and (b) uterus. The volumes of $expVOI_{conv}$ and expVOI are denoted by orange and blue bars, respectively, depending on the left axis. Coverage values of $expVOI_{conv}$ and expVOI are denoted by orange circles and blue squares, respectively, depending on the right axis. The 95% coverage is denoted by a dashed line. Fractional coverage values of $expVOI_{conv}$ and expVOI for the (c,d) cervix and (e,f) uterus are shown for all patients.

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FIGURE 5 The volume and overall coverage of the expanded volume of interest by adding for each population-based margin before (expVOI_{ini}) and after replanning (expVOI_{replan}) for the (a) cervix and (b) uterus. The volumes of expVOI_{ini} and expVOI_{replan} are denoted by brown and green bars, respectively, depending on the left axis. The coverage values of expVOI_{ini} and expVOI_{replan} are denoted by brown circles and green squares, respectively, depending on the right axis. The 95% coverage is denoted by a dashed line.

4 | DISCUSSION

We computed daily interfractional cervical and uterine motions individually in 3D space using MR images. Jadon et al. summarized several reports²³ and revealed that no researchers had conducted a 3D evaluation, and daily individual assessments or high-contrast images were lacking. Therefore, the advantage of our study is achieving the exact measurement. Next, we assessed the correlation between cervical volume change and the displacement of the cervix or uterus, which showed weak negative correlations. Furthermore, the volume of the cervix was smaller than that at treatment planning after the 16th fraction, except for one fraction of one patient (Figure 2b,c), which was supported by the clinical view and other reports that the volume shrinks as the treatment progresses.^{3,5,9,14} Therefore, we considered that the tumor was softened as treatment progressed, thereby facilitating the movement of the cervix and uterus (Figure 2b,c).

Table 2 summarizes the margins suggested by previous studies. We found that the margins suggested by other reports were based on a weekly assessment, lower contrast than MR images, or a 2D basis.7-12 Several investigators did not suggest margins in six directions as they were not suitable for assessing complex variations.^{8,10,11} Our margin for the cervix was smaller than the cervical or gross tumor volume (GTV) margins suggested by Collen et al. or van de Bunt et al. in all directions except for the S-I direction.^{7,9} Compared to conventional margins, our margin was 2-9 [mm] smaller in the A, P, and I directions. Conversely, our margin for the uterus was equal to or larger than the conventional margins and uterine or CTV margins reported by Collen et al., van de Bunt et al., and Khan et al., except for the margins in the L, P, and S directions.7,9,12 Because their 2D basis analysis with weekly or low-contrast images could not independently evaluate cervical and uterine variations, their variations might interfere with each other, resulting in over- and/or underestimation.

Figure 4a,c,d shows that expVOI for the cervix provided comparable coverage compared to expVOI conv, whereas the volume of expVOI decreased by 12%-19% in all patients. Alternatively, the coverage of expVOI was significantly higher than that of expVOIconv in the uterus (Figure 4b,e,f). The coverage of expVOI_{conv} decreased by 20% or less for three patients, and the daily variation in coverage was more notable than that in expVOI. For example, the coverage was 100% on one fraction but decreased to 18% on the next fraction for patient 2, as shown in Figure 4e. This indicated that interfractional variations were unpredictable, and hence, daily observation for interfractional movement would be required. We defined the population-based margins as the 90th percentile values of patient-specific margins. If 100th percentile values were adopted, the overall coverage and fractional coverage would improve. However, the 100th percentile values for the uterus were (17, 15, 28, 24, 36, 29) in (R, L, A, P, S, I) [mm], which would be too large for application in clinical practice. Therefore, our margins and coverage were clinically realistic.

In this study, the timing of replanning was universally picked at the 16th fraction (approximately 30 Gy) instead of personalized time points based on individual volume shrinkage, which was similar to that reported by several investigators.^{5,9,14} It is crucial to closely monitor each individual volume change and trigger personalized adaptive planning decisions; however, this is beyond the scope of this study. Interestingly, although the volume of expVOI_{replan} was smaller than that of expVOI_{ini} for both the cervix and uterus, no significant differences were observed between the coverage of expVOI_{ini} and expVOI_{replan}. The median volume reduction of expVOI_{replan} was 37 (range, 11-56) and 30 (range, 8-50) % for the cervix and uterus at the 16th fraction, respectively. Nevertheless, the margin size should not be reduced for the cervix and uterus. As shown in Figure 2b,c, the displacement of the cervix and uterus increased as the treatment progressed. Therefore, it is likely that a margin

TABLE 2 Comparison of the measurement method and margin reported by other studies and our study.

	Number	Imaging	Measurement			Margin [mm]		
Authors	of patients	frequency	Modality	Assessment	Target	R-L	A-P	S-I
Collen et al. ⁷	10	Daily	MVCT	2D	Cervix	8–9	17–12	15–9
					Uterus	13–13	19–19	20–19
Chan et al. ⁸	20	Weekly	MRI	2D	Cervical os	10–15 (all directions)		
					Uterine funds	10–40 (all directions)		
					Uterine canal	10–12.5 (all directions)		
van de Bunt et al. ⁹	20	Weekly	MRI	2D	GTV	12–11	12–14	4–8
					CTV	12–16	24–17	11–8
Wang et al. ¹⁰	8	Biweekly (1, 3, and 5 weeks)	СТ	2D	Cervix	9	10	19
					Uterus	14	32	20
Taylor et al. ¹¹	33	2 days	MRI	2D	CTV	7	15	15
Khan et al. ¹²	50	Daily	CBCT	2D	CTV	10–10	20–20	10–10
Our study	11	Daily	MRI	3D	Cervix	7–7	11–6	11–8
					Uterus	14–13	27–19	15–21

Abbreviations: 2D, two-dimensional; 3D, three-dimensional; A, anterior; CBCT, cone-beam computed tomography; CT, computed tomography; CTV, clinical target volume; GTV, gross tumor volume; I, inferior.; L, left; MRI, magnetic resonance imaging; MVCT, megavoltage computed tomography; P, posterior; R, right; S, superior.

equivalent to that at the initial planning was required for replanning.

Nonetheless, this study has some limitations. First, delineation was conducted by a single radiation oncologist on the MR images with fixed imaging protocols. As several investigators indicated.^{24,25} these factors may cause the results to change. Second, the margins and coverage were calculated based on the same patient group. Initially, margins should have been applied to a different patient group for the coverage calculation; however, it was impossible owing to the small number of cases, although a total of 273 datasets were used. Additionally, it was challenging to decide a particular confidence level and an appropriate number of patients for margin calculation. As shown in Table 2, while other studies considered various numbers of patients, none of them defined the confidence level and appropriate number of patients. Therefore, 90th percentile values of patient-specific margins were defined as population-based margins instead of confidence level. Lastly, because the intrafractional variations were not assessed, it is unclear whether the margins determined in this study compensated for intrafractional variations.²⁶ Intrafractional variations can also be assessed with delineated organ data acquired during beam delivery.

5 | CONCLUSION

We determined the margins and the timing of replanning through detailed daily analysis using MR images. The

margins of the cervix were smaller than conventional margins and the margins suggested by other studies in some direction, while the margins of the uterus were larger in almost all directions. The timing of replanning was determined to be the 16th fraction, and the volume of expVOI_{replan} decreased. However, the margin equivalent to that at the initial planning was required for replanning.

AUTHOR CONTRIBUTIONS

Yukako Kishigami and Mitsuhiro Nakamura planned the study. Yukako Kishigami performed the statistical analysis and drafted the manuscript. Yukako Kishigami, Mitsuhiro Nakamura, Megumi Nakao, and Hiroyuki Okamoto conceived the study and participated in its design and coordination. Megumi Nakao, Hiroyuki Okamoto, Ayaka Takahashi, and Hiroshi Igaki helped draft the manuscript. All authors read and approved the final manuscript.

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CONFLICT OF INTEREST STATEMENT

The authors declare no conflicts of interest.

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are not available.

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SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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1	Organ-contour-driven auto-matching algorithm in image-guided radiotherapy
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21	Conflict of interests:	We have no	financial	relationshi	ns to disclose.
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22

23	Author contribution statement: YK and MN planned the study. YK performed the statistical analysis
24	and drafted the manuscript. HO, AT, HI, SM, KK, and HI helped draft the manuscript. All authors read
25	and approved the final manuscript.
26	
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28

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33

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35 Abstract

36 Purpose: This study aimed to demonstrate the potential clinical applicability of an organ-contour 37 driven auto-matching algorithm in image-guided radiotherapy.

Methods: This study included eleven consecutive patients with cervical cancer who underwent 38 radiotherapy in 23 or 25 fractions. Daily and reference magnetic resonance images were converted into 39 40 mesh models. A weight-based algorithm was implemented to optimize the distance between the mesh model vertices and surface of the reference model during the positioning process. Within the cost 41 42 function, weight parameters were employed to prioritize specific organs for positioning. In this study, three scenarios with different weight parameters were prepared. The optimal translation and rotation 43 44 values for the cervix and uterus were determined based on the calculated translations alone or in 45 combination with rotations, with a rotation limit of $\pm 3^{\circ}$. Subsequently, the coverage probabilities of 46 the following two planning target volumes (PTV), an isotropic 5 mm and anisotropic margins derived 47 from a previous study, were evaluated.

Results: The percentage of translations exceeding 10 mm varied from 9 to 18% depending on the scenario. For small PTV sizes, more than 80% of all fractions had a coverage of 80% or higher. In contrast, for large PTV sizes, more than 90% of all fractions had a coverage of 95% or higher. The difference between the median coverage with translational positioning alone and that with both translational and rotational positioning was 1% or less.

53 Conclusion: This algorithm facilitates quantitative positioning by utilizing a cost function that

prioritizes organs for positioning. Consequently, consistent displacement values were algorithmically
generated. This study also revealed that the impact of rotational corrections, limited to $\pm 3^{\circ}$, on PTV
coverage was minimal.

- **Keywords:** organ-contour-driven auto-matching; inter-observer variability; soft-tissue.

59 Introduction

Image-guided radiotherapy (IGRT) has become indispensable in the field of external beam 60 radiotherapy owing to its effectiveness in compensating for patient positioning errors [1,2]. Among 61 various image guidance functionalities, cone beam computed tomography (CBCT) and magnetic 62 resonance (MR) imaging are the mainstream image guidance functionalities in IGRT, enabling soft-63 64 tissue matching [3–5]. Several investigators have demonstrated that daily soft-tissue matching resulted in smaller planning target volume (PTV) margins, outperforming bone- or skin-based matching 65 methods [6,7]. These findings highlight the effectiveness of soft-tissue matching as a valuable 66 approach for compensating for daily variations in target position. 67

However, soft-tissue matching faces several difficulties. Previous studies have consistently 68 69 identified inter- and intra-observer variability as great issues in soft-tissue matching [3,8,9]. Hirose et 70 al. emphasized the importance of considering inter-observer variability when determining the clinical 71 target volume for PTV margins [3]. Sasaki et al. demonstrated substantial inter-observer variability and increased time requirements for soft-tissue matching in pancreatic cancer, particularly among 72 trainees with limited experience in IGRT [8]. Furthermore, Zhang et al. conducted an analysis of the 73 74 impact of inter-observer variability, underscoring its greater influence on organs at risk (OARs) than 75 on the target in prostate cancer [9].

Current radiotherapy systems are unable to overcome the well-acknowledged challenges
 associated with soft-tissue matching. To address these issues, automation must be implemented. In this

78	study, we focused on utilizing organ contours to achieve automation. Several auto-segmentation
79	techniques have been introduced in the field of radiotherapy to assist in the delineation of targets and
80	OARs [10,11]. Furthermore, deep learning-based auto-segmentation has demonstrated its utility in
81	planning image and daily image segmentation [12]. While the current segmentation accuracy is
82	imperfect, ongoing technological advancements are expected to result in improved accuracy and the
83	eventual achievement of highly precise auto-segmentation capabilities for daily images [11,13].
84	The primary objective of this study is to demonstrate the potential clinical applicability of an
85	organ-contour-driven auto-matching algorithm in IGRT, laying the groundwork for a future in which
86	daily contouring will become a practical reality. The implementation of auto-matching technology is
87	expected to enhance throughput and revitalize the field of radiotherapy.

89 Materials and methods

90 Patients and data preparation

For the algorithm development, eleven consecutive patients with cervical cancer who underwent MRguided intensity-modulated radiotherapy using the ViewRay MRIdian system (ViewRay Inc., Oakwood, OH, USA) were included. The treatment was administered in 23 or 25 fractions. Detailed patient information is presented in **Table 1**. In this study, volumetric imaging was performed using true-fast imaging with a steady-state precession sequence. A total of 273 datasets were analyzed. The acquired MR scans had a slice thickness of 3 mm and pixel dimensions of 1.5 mm × 1.5 mm. Daily

97	MR images were co-registered with the planned MR images using the pelvic bones as a reference to
98	determine the original position of the cervix and uterus. A single radiation oncologist manually
99	delineated the contours of the cervix and uterus on the daily MR images. These contours were
100	converted into the mesh file format using a commercially available system (ITEM Viewer Planning
101	and Assistant System, ITEM Corporation, Osaka, Japan). Subsequently, the reference and daily mesh
102	models represented by the vertices and triangular meshes were generated. The study protocol was
103	approved by the institutional review board (approval number: 2020-556).

105 *Cost function*

106 In this study, the vertices of the mesh models generated from organ contours were used for auto-107 matching. For each treatment fraction, the vertices of the daily cervical and uterine mesh models were 108 categorized into two groups: vertices located outside the reference model (referred to as "outside 109 vertices") and vertices situated within the reference model (referred to as "inside vertices"). This study 110 involved obtaining the distances between the outside or inside vertices and the surface of the reference model. These distances were denoted as "d" for the outside vertices and "D" for the inside vertices. 111 The cost of organ A was defined as $\text{Cost}_{A} = W_{\text{out}} \sum d_{i}^{2}/i + W_{\text{in}} \sum D_{t}^{2}/t$. The number of outside and 112 inside vertices were denoted as i and t, respectively. A weight Wout was applied to the outside vertices, 113 114 encouraging them to move closer to the reference position and align with the reference model. Similarly, a weight Win was applied to the inside vertices to promote their alignment with the reference 115

116	position. The cost function used in this study was the sum of the costs associated with the cervix and
117	uterus. In this study, three scenarios with different weight parameters were prepared.
118	
119	Scenario A
120	All weights, W_{out} and W_{in} for the cervix (W_{out_cervix} and W_{in_cervix}) and W_{out} and W_{in} for the uterus
121	(W_{out_uterus} and W_{in_uterus}), were set to one.
122	
123	Scenario B
124	A higher weight was assigned to the outside vertices of the cervix and uterus to bring them closer to
125	the reference position. The weights were set as follows: $(W_{out_cervix}, W_{in_cervix}, W_{out_uterus}, W_{in_uterus}) = (10, 10, 10, 10, 10, 10, 10, 10, 10, 10, $
126	5, 10, 5).
127	
128	Scenario C
129	The highest weight was assigned to W _{out_cervix} , and the weights were prioritized in the following order:
130	$(W_{out_cervix}, W_{in_cervix}, W_{out_uterus}, W_{in_uterus}) = (10, 5, 2, 0.5)$. The reason why different weights were used
131	for the cervix and uterus was to focus on the alignment of the cervix to the reference position [14].
132	
133	Scenario A represented the condition in which no additional weight was employed. This scenario
134	served as the reference to assess whether the inclusion of weight improved positioning or not. When

135	selecting the weights, it was crucial to consider the relative size of the weight sets as the specific weight
136	values themselves were less significant.

138 **Optimization of cost function**

In this study, the concept of dichotomy was used to determine the optimal translation and rotation values. Before the initial optimization, the original cost was calculated based on the original position determined through co-registration, using the pelvic bones as a reference. During each optimization, the calculation was performed for translational positioning only. Once translational positioning was completed, the calculation was then performed for rotational positioning, focusing solely on the rotations (Figure 1).

145

146 Translational positioning

- At the first optimization of translation, the entire cervix and uterus were shifted by the distance
 between the centroid of the daily mesh model and reference model.
- 149 The Mth translational value (Mth translation; $M \ge 2$) was defined as half the distance of the (M-1)th
- 150 translation. If Mth translation was less than 1 mm, which represents the minimum requirement of
- 151 the translational accuracy of the couch [15], Mth and subsequent translation was set to 1 mm.
- 152 Following each translation, the cost was calculated and compared with that before translation. If
- 153 the cost did not decrease, the cervix and uterus were returned to the position before translation.

154	• If the cost did not decrease after translation of 1 mm, the translational positioning process was
155	completed. At this point, the total amount of translation from the original position was calculated.
156	Subsequently, the rotational positioning phase commenced.
157	
158	Rotational positioning
159	• At the first optimization of rotation, the entire cervix and uterus were rotated by 45°.
160	• The M th rotational value (M \ge 2) was defined as half the rotational angle from the (M-1) th rotation.
161	If M th rotational angle was less than 0.5°, which represents the minimum requirement of the
162	rotational accuracy of the couch [15], M^{th} and subsequent rotational angle was set to 0.5°.
163	• Following each rotation, the cost was calculated and compared with that before rotation. If the
164	cost did not decrease, the cervix and uterus were returned to the position before rotation.
165	• If the cost did not decrease after rotation of 0.5° , the rotation positioning process was completed.
166	At this point, the total rotation from the position where translational positioning was completed
167	was then calculated.
168	The flow of optimization was illustrated in Figure 2.
169	
170	Calculation of PTV coverage after optimization
171	In addition to the primary focus on developing the algorithm, we secondarily explored its impact on
172	PTV coverage. Following each optimization for the three weight sets, the positions of the cervix and
173	uterus were adjusted based on the displacements calculated from either translational positioning alone 10

174	or both translational and rotational positioning. Subsequently, the coverage probabilities of the PTV
175	were assessed for all eleven patients. For rotational positioning, the rotation limit was set to 3°, which
176	corresponds to the allowable couch rotation tolerance at our institution. The coverage was assessed by
177	determining the ratio of vertices from the daily cervical and uterine shape models located within the
178	PTV to the total number of vertices outside the PTV. The PTV margins used in this study were as
179	follows: (1) an isotropic 5 mm (PTV_{iso}) and (2) anisotropic (right (R), left (L), anterior (A), posterior
180	(P), superior (S), inferior (I)) margins of (5, 5, 15, 15, 10, 10) mm for the cervix and (10, 10, 20, 20,
181	15, 15) mm for the uterus (PTV_{aniso}). The anisotropic margins of the uterus were derived from a
182	previous study [16]. For the cervix, the margins were defined as 5 mm smaller than the uterine margins.
183	
184	Statistical analysis

185 To evaluate the statistical differences in translation and rotation among scenarios A, B, and C, a paired 186 *t*-test was utilized. Bonferroni correction was applied for multiple comparisons. Additionally, the PTV coverage with both translational positioning alone and combined translational and rotational 187 positioning was also analyzed using *t*-tests. The significance level was set at 0.05. The calculation was 188 189 done by Microsoft Excel 2019.

190

Experiment environment 191

All processes in this study were carried out on a desktop computer equipped with a graphics processing 192

unit (CPU, Intel (R) with 3.00 GHz; RAM, 256 GB; GPU, NVIDIA RTX A5000) running CUDA 11.4
and Python 3.8.10.

195

196 **Results**

197 The amount of translational and rotational corrections

198 The percentage of translations exceeding 10 mm varied depending on the scenario (Figure 3). The 199 posterior and superior directions exhibited higher frequencies of these occurrences. In scenarios A and 200 B, translations exceeding 10 mm were observed in 14% of all fractions in the posterior direction, 201 whereas in the superior direction, the corresponding percentages were 18%. In scenario C, translations 202 exceeding 10 mm accounted for 11 and 9% of all fractions in the posterior and superior directions, 203 respectively. In contrast, translations exceeding 10 mm were only required in 0.4% of all fractions (one 204 out of 273 fractions) for the right and left directions in scenarios A and B. Conversely, no fractions in 205 scenario C exhibited translations exceeding 10 mm. Significant differences were observed in the 206 anterior between scenarios A and C and superior directions between scenarios B and C and between 207 scenarios A and C (p<0.05), although larger translational corrections were required in scenarios A and 208 B than in scenario C. However, no significant differences were observed in translations in any of the 209 six directions between scenarios A and B.

In terms of rotation, a consistent trend was observed across all scenarios (Figure 4). The largest rotation was observed in the pitch axis, with median values (interquartile range) of -3.8 (-14.0–

212	5.6), -3.8 (-11.2-5.6), and -0.7 (-10.8-5.5) for scenarios A, B, and C, respectively. Conversely, the
213	median and interquartile ranges for the yaw and roll axes were zero in all scenarios.
214	
215	PTV coverage
216	Figure 5 shows the results of PTV coverage for scenarios A, B, and C. The PTV_{iso} had a coverage of
217	80% or higher for more than 80% of all fractions. In contrast, PTV_{aniso} had a coverage of 95% or higher
218	for more than 90% of all fractions. While significant differences were observed (p <0.05), the difference
219	between the median coverage with translational positioning alone and that with both translational and
220	rotational positioning was 1% or less.
221	Among scenarios A, B, and C, scenarios A and B exhibited higher coverage for both PTV_{iso}
222	and PTV _{aniso} than that of scenario C. However, a few outliers with lower coverage were observed for
223	PTV_{iso} . In all scenarios, 8–16% of all fractions exhibited less than 80% coverage for PTV_{iso} , regardless
224	of rotational correction. Conversely, less than 1% of all fractions had less than 80% coverage for
225	PTV _{aniso} .
226	
227	Discussion
228	Inter-observer variability
229	As shown in supplementary materials, regarding translation, the standard deviation exceeded 5 mm for

230 4% of the entire dataset, regardless of the direction. With respect to rotation, the standard deviation

exceeded 3° for 6% of the entire dataset, regardless of the axis. This study also observed inter-observer
variability, consistent with findings from previous studies [3,8,9,17–19]. These findings emphasize the
need for auto-matching techniques to minimize inter-observer variability and achieve consistent softtissue matching.

235

236 Validity of the calculated translations by the algorithm

Although co-registration based on the pelvic bones was performed, translations exceeding 10 mm were still observed in specific directions across all weight sets. This highlights the inherent variability of the cervix and uterus, as discussed in previous studies [16,20–22]. Translations exceeding 10 mm were more frequently observed in the posterior and superior directions, whereas smaller translations were observed in the right and left directions, consistent with previous findings [23,24]. Thus, the translations calculated using the algorithm were considered valid.

243

244 Clinical significance of the displacement calculated by the algorithm

First, we discuss the findings regarding the correction of the translational component. Among the three scenarios used, scenarios A and B placed greater emphasis on correcting the position of the uterus than scenario C. As a result, adjustments were more evident in the anterior, posterior, and superior directions. This observation can be attributed to the fact that the uterus exhibits greater mobility than the cervix [24]

250	Next, regarding the correction of the rotational components, a pitch of 3° or higher was
251	necessary in several fractions (Figure 4). However, our hospital imposes a limit of 3° for couch rotation
252	for safety reasons. Consequently, adequate rotational corrections may be unattainable in certain clinical
253	scenarios. Conversely, minimal rotational movement of the cervix and uterus was observed along the
254	yaw and roll axes, with a median value of 0. This highlights the importance of incorporating anisotropic
255	margins to compensate for the pitch rotation. Scenario C, which prioritized the cervix over the uterus,
256	yielded the lowest median pitch value. This indicates that addressing uncertainties related to the uterus
257	requires substantial attention to correct pitch rotations.
258	
259	Impact of the algorithm on PTV coverage
260	In this study, the impact of the developed algorithm was secondarily evaluated in terms of PTV
261	coverage. In scenarios A and B, we aimed to position the cervix and uterus equally within the PTV.
262	However, some outliers were observed (Figure 5), indicating that the uterus had different shapes

than the uterus (in this context), or further expand the margin.

263

264

265

266

268 The impact of rotational corrections limited to $\pm 3^{\circ}$ on PTV coverage was minimal for PTV_{aniso}

compared to the reference position and was largely located outside the PTV (Figure 6). Priority was

given to align the uterus closer to the reference position, but as a result, the cervix position deviated

from the reference position. Thus, when the uterus has a different shape or position than the reference,

it may be necessary to prioritize the alignment of a target with higher malignancy, the cervix rather

(Figure 5). As mentioned previously, rotational corrections of 3° or more were deemed critical in certain fractions, implying that corrections below 3° may have a negligible effect. Furthermore, PTV_{iso} exhibited instances of insufficient coverage, even after correcting for rotational components. Therefore, precise margin settings are crucial to compensate for the rotational components of cervical cancer.

273

274 Importance of organ-driven auto-matching

Our approach algorithmically yields consistent displacement values. The integration of auto-matching 275 276 technology is pivotal for mitigating inter-observer variability. Additionally, it offers the potential to 277 minimize the risk of irradiating incorrect areas due to misidentification. This aspect becomes 278 particularly critical in cases such as spinal stereotactic body radiation therapy, where structures 279 resembling the target can complicate the visual identification of the precise irradiation area. These 280 challenges increase the possibility of erroneous irradiation of incorrect targets. The utilization of the 281 organ-contour-driven auto-matching algorithm presents a valuable solution for mitigating the risk of mis-irradiation caused by misrecognition. This advanced approach elevates the safety standards of 282 radiotherapy and contributes to its progress. By diminishing inter-observer variability and enhancing 283 284 the precision of target identification, auto-matching technology ensures treatments that are more 285 accurate and dependable. Ultimately, this brings benefits to both patients and healthcare professionals, 286 fostering improved radiotherapy outcomes.

287

288 Limitations

289 In this study, there were four limitations that warranted careful consideration. Firstly, the algorithm 290 relies on organ contours for its function. Although auto-contouring technology has made great progress 291 in recent years and has become feasible [11,13], its accuracy is imperfect. However, as mentioned above, it is anticipated that this challenge will be overcome in the near future and that the algorithm 292 293 can be applied in conjunction with advanced contouring technology to reduce inter-observer variability and minimize mis-irradiation. Furthermore, the emergence of real-time auto-segmentation offers 294 295 promising prospects for further enhancing the efficacy of this algorithm. The integration of real-time 296 auto-segmentation has the potential to improve the overall performance and reliability of the algorithm, 297 contributing to more precise and accurate patient positioning during radiotherapy. Secondly, the 298 absence of ground truth makes it uncertain whether the algorithm is producing accurate results. 299 Nevertheless, this mirrors the situation encountered in clinical practice. Although some outliers were 300 observed (Figure 5), it was determined that they resulted from considerable changes in the position of 301 the uterus. However, in all other cases, visual evaluation confirmed that the daily cervix and uterus are consistently more centrally located within the PTV, indicating no major issues. Third, the weight sets 302 303 presented in this study were arbitrarily chosen, and it is uncertain whether they are suitable for 304 matching with other diseases. When applied clinically, it is preferable for users to determine weight 305 sets based on their facility's background and treatment policies, considering the specific disease. Finally, the algorithm-based soft-tissue matching calculation typically consumed around 10 min. Two 306

307	primary factors significantly influenced the calculation time. One was the number of vertices in the
308	mesh model for which, we did not set an upper limit in this study. Therefore, implementing an upper
309	limit on the number of vertices would enhance efficiency and reduce calculation time. The other factor
310	was the computational environment. However, with advancements in computer technology, the
311	calculation time is expected to decrease significantly.
312	
313	Conclusion
314	We proposed the organ-contour-driven auto-matching algorithm and demonstrated its potential clinical
315	applicability in IGRT. This algorithm facilitates quantitative positioning by utilizing a cost function
316	that prioritizes organs for positioning. Consequently, consistent displacement values were
317	algorithmically generated. Additionally, we also found that the impact of rotational corrections, limited
318	to $\pm 3^{\circ}$, on PTV coverage was minimal when the PTV margins were large enough to compensate for
319	the variability of cervix and uterus.

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- **Figure legends**
- **Figure 1**. Original position (a), the position after translational positioning (b), and the position after
- 391 rotational positioning (c). The daily cervix, daily uterus, and PTV are represented by the red, pink, and
- 392 blue structures, respectively.
- 393 **Figure 2**. Flow of optimization.
- **Figure 3**. Translations for scenario A (a), B (b), and C (c) calculated by the optimization for all fractions.
- 395 The red dashed line represents a translation of 10 mm.
- **Figure 4**. Rotations for scenario A (a), B (b), and C (c) calculated by the optimization for all fractions.
- 397 The red dashed lines represent a rotation of $\pm 3^{\circ}$.
- 398 Figure 5. Results of PTV coverage for scenario A (a), B (b), and C (c) are presented. The coverage of
- 399 PTV_{iso} and PTV_{aniso} is indicated by a blue and red rectangle, respectively. Within each rectangle, the
- 400 left side represents the coverage of translational positioning only (denoted as "Trans only"), and the
- 401 right side represents that of both translational and rotational positioning (denoted as "Trans and rot").
- 402 Figure 6. Comparison of the uterus position after translational positioning for a case with similar
- 403 shapes (a) and with large different shapes (b), compared to the reference shapes. The daily cervix, daily
- 404 uterus, and PTV are represented by the red, pink, and blue structures, respectively.

Table	1.]	Patient	charac	teristic	s
Table	1.1	Patient	charac	teristic	

Pt#	Age (y.o.)	Pathology	TNM	Stage	Chemo	Dose (Gy/fr)	Cx (cm ³)*1	Ut (cm ³)*1
1	63	Ad	T3bN1M0	IIIB	-	46/25	15.9	102.1
2	35	SCC	T3bN1M0	IIIB	CDDP	45/25	103.8	77.9
3	65	SCC	T1b1N0M0	IB	-	45/25	9.9	3.6
4	73	SCC	T3bN1M0	IIIB	-	46/23	15.5	27.2
5	76	SCC	T3bN1M0	IIIB	CDDP	45/25	103.5	78.9
6	67	SCC	T2bN1M0	IIB	CDDP	45/25	38.8	16.9
7	71	SCC	T2bN1M0	IIB	CBDCA	45/25	29.6	33.4
8	70	SCC	T2bN0M0	IIB	CDDP	45/25	20.3	13.6
9	47	SCC	T3bN1M0	IIIB	CDDP	45/25	46.9	82.9
10	88	SCC	T1b1N0M0	IB	-	45/25	13.7	21.7
11	44	SCC	T3bNxM0	IIIB	CBDCA	45/25	45.0	91.2

Abbreviations: Pt# = patients; Ad = adenocarcinoma; SCC = squamous cell carcinoma; Chemo = chemotherapy; CDDP = cisplatin; CBDCA = carboplatin; Cx = cervix; Ut = uterus.

*1: Volume at treatment planning (cm³)



Figure 1. Original position (a), the position after translational positioning (b), and the position after rotational positioning (c). The daily cervix,

daily uterus, and PTV are represented by the red, pink, and blue structures, respectively.



Figure 2. Flow of optimization.



Figure 3. Translations for scenario A (a), B (b), and C (c) calculated by the optimization for all fractions. The red dashed line represents a translation of 10 mm.



Figure 4. Rotations for scenario A (a), B (b), and C (c) calculated by the optimization for all fractions. The red dashed lines represent a rotation of $\pm 3^{\circ}$



Figure 5. Results of PTV coverage for scenario A (a), B (b), and C (c) are presented. The coverage of PTV_{iso} and PTV_{aniso} is indicated by a blue and red rectangle, respectively. Within each rectangle, the left side represents the coverage of translational positioning only (denoted as "Trans only"), and the right side represents that of both translational and rotational positioning (denoted as "Trans and rot").



Figure 6. Comparison of the uterus position after translational positioning for a case with similar shapes (a) and with large different shapes (b), compared to the reference shapes. The daily cervix, daily uterus, and PTV are represented by the red, pink, and blue structures, respectively.

1 Supplementary materials

2

3 Materials and methods

4 Assessment of inter-observer variability

As previously mentioned, inter-observer variability was identified in previous studies [1-3]. In this 5 6 preliminary study, we further investigated inter-observer variability that might arise when performing soft-tissue matching with our facility's personnel and equipment. The soft tissue matching was 7 8 conducted by three medical physicists and two radiation therapists. The three physicists had different 9 levels of experience with 18, 8, and 3 years of experience, respectively. Similarly, the two radiation 10 therapists had varying levels of experience with 13 and 3 years of experience, respectively. Soft-tissue 11 matching was performed on six patients with pancreatic cancer, totaling 29 fractions. Planning CT 12 (pCT) scans were acquired under breath-holding conditions using a 64-slice CT scanner (SOMATOM 13 Definition AS; Siemens Healthineers, Erlangen, Germany). The CT scans were acquired at 120 kV, with a slice thickness and slice interval of 2.0 mm and field of view of 500 mm. Daily CBCT images 14 15 were acquired using a Varian Ethos system (Varian Medical Systems, Palo Alto, CA, USA). The CBCT 16 scans were performed at 125 kV with a slice thickness of 2.0 mm and scan diameter of 492 mm. The pCT and daily CBCT images were aligned based on the bony structures, and the participants were 17 18 instructed to align the gross tumor volume (GTV) by performing translational and rotational adjustments of the daily CBCT images on the pCT source images. No movement limitations were 19

20	imposed, and only the contour of the GTV delineated on the pCT was displayed. Inter-observer
21	variability was defined as the standard deviation of each subject's positioning, calculated for each
22	fraction of translation and rotation.
23	
24	Results
25	Assessment of inter-observer variability
26	Supplementary Figure 1 illustrates the results of inter-observer variability for translation and rotation.
27	For translation, the standard deviation exceeded 2, 3, and 5 mm for 13, 5, and 4% of the entire dataset,
28	respectively, regardless of the direction. For rotation, the standard deviation exceeded 2 and 3° for 13
29	and 6% of the entire dataset, respectively, regardless of the axis.
30	
31	References
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42 Supplementary Figure 1. The standard deviation results for translation ((a)-(c)) and rotation ((d)-(f)) representing inter-observer variability.