Verb Prefixes in the Tangut (Xixia) *Tiansheng Code*: Verbs for 'Send Document' and Their Prefixes*

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Summary

The Tiansheng Code is a code of the Xixia Kingdom published in the mid-to-late twelfth century, written in the Tangut language. This code laid down about 1,400 articles on such matters as punishment and administrative affairs. Many types of verbs and prefixes were used in this code. Nishida Tatsuo suggested based on other Tangut texts that there are two series of prefixes—one that originally functioned as directional markers and then developed to express the perfective aspect ("prefix series 1"), and another ("prefix series 2") that represents an "optative" meaning. In this paper, I examined the use of prefixes in this code to test his suggestion.

First, I researched three articles of this code that regulated the format of official documents sent between government departments. We find three variants of the verb meaning 'send document'. When government departments sent documents to higher-class departments, the verb \overline{R} and the prefix \overline{R} were used; when they sent documents to departments of the same class, the verb \overline{R} or \overline{R} and the prefix \overline{R} were used; when they sent documents to lower-class departments, the verb \overline{R} and the prefix \overline{R} were used. Despite referring to the same action 'send document,' specific verb stems take specific prefixes depending on the class of the government department. Each of these prefixes belongs to prefix series 2, but not all of them have the meaning optative—some mean 'ought' according to context.

Second, I researched whether the prefix i functioned as a directional marker in the same code. i was affixed to the verb 'to sign/mark by hand' and 'to stamp' in other articles. These actions move a seal or writing brush in a downward direction, suggesting that this prefix functioned as a downward-directional marker.

We can find another usage of the verb 藏 when taking another prefix in series 1 靉 in this code. In this case, the verb indicates that the action 'to declare' has ended; therefore, this prefix expresses the perfect aspect.

Key words: Tangut (Xixia), code, document, prefix, directional prefix

关键词:西夏文、法典、天盛律令、文书、方向前缀

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1. Introduction

The Revised and Newly Endorsed Code for the Designation of Reign Tiansheng (hereafter, The Tiansheng Code) was published in the Xixia kingdom between 1149–1169 CE. The code consists of 20 chapters, including about 1,400 articles written in the Tangut language. About 80% of the code was unearthed from the ruins of Khara-khoto by the Kozlov expedition at the beginning of the twentieth century. These texts are presently housed in the Russian Academy of Sciences' Institute of Oriental Manuscripts.

Scholars have identified many prefixes in *The Tiansheng Code*. For instance, Arakawa (2012) comprehensively researched the prefixes. Nishida (1989=2012: 488–491) suggested that there are two series of prefixes in the code—one that originally functioned as directional markers and then developed into an aspect marker to express the perfective aspect (hereafter, "prefix series 1"), and another ("prefix series 2") that represents an "optative" meaning. Prefix series 2 is derived from prefix series 1. Nishida also described how particular verb stems took specific prefixes in later texts.

This paper researches the usage of prefixes in Ch. 10, Articles 678–680 of *The Tiansheng Code*.¹ These articles regulate the format of official documents which were sent between different departments of the Xixia kingdom's government. The articles use several types of verbs to mean the same thing, 'send document' (Sato 2018), and use several different prefixes according to the specific verb in question. This paper examines how each verb is used and how each prefix functions. It then compares its findings with those of Nishida (1989). Tangut transcriptions are based on Arakawa (2014).

2. Three verbs for 'send document' and their prefixes

2.1 Article 678

The Tangut text of Article 678, "Imperial Secretariat and Bureau of Military Affairs send message (=document)," and its English translation are given below:

¹Articles were not numbered in the original text. In this paper, I use article numbers given by Кычанов 1987–89.

```
1
                  痖
                                 荻
                                             袑
                                                     膨
                                                                        癚
                                                                                  黏
                                                                                             獬
                                                                                                            貋
       訤
                                                               ²ryen ²du'
       <sup>1</sup>11:
                  <sup>2</sup>phyu
                                 1te:
                                            <sup>2</sup>ma:r <sup>1</sup>se
                                                                                  1'e:
                                                                                             <sup>2</sup>gu
                                                                                                            ?nwe?
                  high
                                                               council
       one
                                 rank
                                             secretary
                                                                                  self
                                                                                             mutually
                                                                                                            message
       櫈
                      鬋
                                  馟
                                                                   貋
                                             獬
                                                        郷
       <sup>2</sup>ryeqr'
                      1the'
                                 <sup>2</sup>daq
                                             1me:
                                                       <sup>2</sup>'a
                                                                   ?nwe?
       P2
                      send
                                 word
                                            end
                                                       CM
                                                                   message
```

'If the Imperial Secretariat and Bureau of Military Affairs located in the first class want to send a message to each other, at the end of the document, they should indicate the message'

```
2
       赯
                  瀦
                             毹
                                        織
                                                  猟
                                                             報
                                                                        氦
                                                                                   왥
                  ²'vu
       <sup>1</sup>byu
                            <sup>2</sup>leu
                                       <sup>2</sup>nI:
                                                  ¹'yu
                                                             <sup>1</sup>nI:
                                                                        1ke:
                                                                                   1'o
       CM
                  front
                             same
                                        reach
                                                  call
                                                             pl
                                                                        P2
                                                                                  join
       餐
                  離
                                    辦
                                                  淺
                                                                 龍
                                                                           痱
                                                                                       狷
                                                                 <sup>2</sup>ne:
       ¹wi:
                  <sup>2</sup>dzi:q'
                                    1khyu
                                                  1ldaq
                                                                           1tsI:
                                                                                      1chI:
       do
                  official title
                                    CM
                                                  hand
                                                                 P2
                                                                           mark
                                                                                      Dem
```

'of the report and request the presence of nobles and sign below the official name.'

```
预
                                                              龍
                                                                         虦
3
       胍
                 凲
                                  彩
                                                                                   餐
       1no"
                 ¹ra:r
                                  <sup>2</sup>ngwer
                                                1chya:
                                                              <sup>2</sup>ne:
                                                                         <sup>2</sup>hya
                                                                                   ¹wi:
       after
                                  рl
                                                CM
                                                              P2
                                                                         send
                 department
                                                                                   do
                                                                            艞
       獬
                                                       毅
                    繿
                               稲
                                         濺
                                                                  乵
                                                                                          溪
       1me:
                    <sup>2</sup>'a
                               ^{2}rar
                                         <sup>2</sup>ryeqr'
                                                       2'I:
                                                                  <sup>2</sup>var
                                                                            1khyu
                                                                                          1ldaq
                                         P2
                                                                            CM
      end
                    CM
                               pass
                                                       say
                                                                  date
                                                                                          hand
```

'If they want to send [the document] to other departments, they should write a message at the end of the document'

'and sign below the date.' (Техt: Кычанов 1987–89, vol. 3: 414–415)

This sentence is an article of law; therefore, they first write a condition and then write the action appropriate to respond to the condition. Here, a condition—if these departments want to send documents to the same class of department—is followed by an action—a signature should be written. Because the verb it if the' ('send document') does not end the action it describes, this sentence indicates and 'optative' condition as described by Nishida (1989). In addition, in this sentence the prefix is used before the verb it. This prefix belongs to prefix series 2 (Nishida 1989).

The Xixia kingdom's government departments were classified into six classes. The Imperial Secretariat and the Bureau of Military Affairs were located in the highest class. Thus, the second and third lines given above regulate instances when officials from the Imperial Secretariat and Bureau of Military Affairs send documents to other departments of a lower class. In such cases, the verb 熱疹 is used to mean 'send document'. The prefix before the verb 熱疹 is it ²ne:, and it also belongs to prefix series 2.

2.2 Article 679

The Tangut text and accompanying English translation of Article 679, "Sending documents to the Department of *Jinglueshi*," are as follows:

1	訤	蒭	蕳	貒	凲		鏕	貛	慤
	¹ II: one	¹ ke: Jing	² leu lue	: ¹syl		r ertment	¹ta: TM	² phyu high	¹te:
	胤		帰	₹	蒎	篘	萷	豬	豧
	¹ra:r	ent	²'u CM	¹ke: P2	² dur	¹ke: Jing	² leu:	¹'e: self	² gu mutually

^{&#}x27;If the Department of *Jinglueshi* wants to send documents to first-class departments, or the Department of *Jinglueshi* wants to send documents to each other,'

2	麗	櫈	髲	煅	桀	櫈	毅	離
	¹shyo lead	²ryeqr' P2	1the' send	¹kuq then	•	²ryeqr' P2	²¹I: say	² dzi:q' official title
	潊	淺	熊	痛	屛	怭	萞	
	¹khyu CM	¹ ldaq hand	² ne:	¹tsI: mark	¹chI: Dem	¹no" after	¹ ryur every	

'they should tell their request at the back of the document and sign below the official name. If they want to send to'

3	凲		预	龍	豼	嫠	貓		絍	緘
	¹ra:r departm		¹chya: CM	² ne:	² hya send	¹wi:	¹ me:		²¹a CM	² rar pass
	篘	왥	犁	潊	淺		龍	痛		
	¹ke: P2	¹'o join	² yar date	¹khyu CM	¹ ldad		² ne:	¹tsI: mark		

'other departments, they should write their message at the end of the document and sign below the date.' (Техт: Кычанов 1987–89, vol. 3: 415)

The Department of *Jinglueshi* was a second-class government department. Thus, when officials from this department wanted to send documents to departments of a higher class, such as the Imperial Secretariat and Bureau of Military Affairs, the code uses the verb 祓 with the prefix 緣 'ke: to mean 'send document'. In addition, when officials from this department wanted to send documents to each other, they used the verb 麗麗 and the prefix 梲 to mean 'send document', and when they wanted to send documents to lower-class government departments, they used the verb ಒ and the prefix ் to mean 'send document'. Each of these verbs does not finish the action it describes. Instead, they indicate an 'optative' condition. Again, the prefix 緣 belongs to prefix series 2 (Nishida 1989).

2.3 Article 680

The Tangut text and the accompanying English translation of Article 680, "sending documents to various departments," are as follows:

1	訤	純	稱	粮	貓	滁	報	薪	芤
	¹II: one	¹cyI' next	² gu middle	¹khyu low	¹ me:'	² tseu next		¹'e: self	²ni: pl
	麣	雕	霐	狶	亃	氦	蒎		
	¹su CM	¹ra:r departm	¹te: nent rank	² khwe large		¹ke: P2	² dur report		

'If the third-, fourth-, fifth-, and sixth-class departments want to send documents to upper-class departments,'

'and to the same class departments, they should tell their request on the back of the document, and sign below'

'the official name. If they want to send documents to one lower-class department, they should write [??], and if they want send them to over two lower-class departments,'

4	貓	艞	粮	頯	猦		篘	绎	纀
	² bi:	¹ta: TM,		¹ dzyen divination	² di:	: ument	¹ke: P2	¹'o join	² kon official
	猦		潊	淺	龍	痛	篜	淵	紁
	² di:	ent	¹khyu CM	¹ ldaq hand	² ne:	¹tsI:	¹tha:	¹kha CM	² mi' supreme

'they should write [??], and sign below the word "government". But [when on the] emperor's'

5	馢	統	凲		貓	Ē	氚	豻		
	¹ dzyu order	¹ta: TM	¹ra: depa	r artment	² bi:	² be:	² ldeu should	¹ me:	-	
	龍	魏	<u></u>	薒	豯	糇	唨		貓	繗
	² ne:	² hya send	¹wi:	¹te: rank	¹ka even	¹ldi:q also	¹ra:r departm	ient	² seu small	² khwe large

'order[s], they send documents regardless of the class. If they want to send to the same class department,'

6	紭	瓶	報	艞	譱		熬		淺	龍
	² do CM	¹ jenq act	¹nI: pl	¹ta: TM	² dzi:q		¹khy CM	yu	¹ ldaq hand	² ne:
	雜	幾	飛		藜	ド		繈	餟	
	¹tsI: mark	¹ kyi regulation	¹dzo brea	1	¹ zenq when	¹tsy rank	rer2	¹je: have	² bo penalty	

'they should sign below the official title. If they break this regulation, a person with rank should offer'

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稱
7
                     剢
                               觚
                                                           裕
                                                                      骸
                                                                                蓩
       祉
                               <sup>1</sup>myeqr' <sup>2</sup>dzwo:
                                                           2'aq
       1ryear'
                     ^{2}gI:
                                                                      1soq
                                                                                1rI:r
       horse
                     one
                               soldier
                                                                      three
                                                                                beat with stick
```

'a horse as penalty, and a person who does not have rank should be beaten 13 times with a stick.' (Техt: Кычанов 1987–89, vol. 3: 415–416)

This article regulates the format of documents sent by third-, fourth-, fifth-, or sixth-class departments to other departments. When these departments send documents to departments of a higher class, the code uses the verb 液 and the prefix 缀 to mean 'send document'. When these departments want to send documents to departments of the same class, the code uses the verb 瓦酸 and the prefix 煅 to mean 'send document'. When these departments send documents to departments of a lower class, the code uses the verb 独豫 and the prefix it to mean 'send document'. The use of verbs and prefixes in this article as they are in Article 689. None of the verbs end the action they describe, and every prefix has an optative meaning.

The last part of Article 680 quoted above regulates the format of documents sent at the orders of the emperor. When this is the case, the code uses the verb 邀豫 and the prefix it to mean 'send document'. Because the Xixia emperor was placed higher than any other government departments, the code uses the verb 邀豫 here. However, in this case, the prefix it (which is part of prefix series 2) does not mean 'optative' but 'ought'.

The foregoing has concluded that each of the three articles examined above uses a different verb to mean 'send document'. In short, when Xixia government departments sent documents to higher-class departments, the verb 藏 and prefix 氮 were used; when they sent documents to departments of the same class, the verb 瓦股 or 股 and the prefix 概 were used; when they sent documents to lower-class departments, the verb 鄰裔 and the prefix it were used. Each of these prefixes belongs to prefix series 2, as mentioned by Nishida (1989); but not all of them have the meaning optative—some mean ought.

3. Function of prefixes

In short, *The Tiansheng Code* uses different verbs to mean 'send document' to differentiate between processes for sending documents between different government departments. It also uses different prefixes in accordance with the verb chosen. Nishida (1989) suggested that a specific prefix would be affixed to a particular verb stem in later texts. In this section I study the prefixes in and in order to determine whether they originally functioned as directional markers.

3.1 Use of the prefix i 2ne:

The prefix it was used before the verb ോ 物 when sending documents to higher-class departments. This prefix is also used alongside the verb 没 it to sign/mark by hand'. It is also used in Chapter 6, Article 347 of *The Tiansheng Code*, titled "deadline for submitting soldier list and delay for submitting list":

蘈	豼	淺	龍	
¹ga:	²¹u:	² swi:	² ne:	² tyenq
army	head	lead	P2	stamp

^{&#}x27;Army section commander? should stamp [army list].'

(Text: Кычанов 1987–89, vol. 2: 608, ll. 1–2)

Here, 'stamp' refers to someone person moving a seal in a downward direction, and 'sign' refers to someone moving a writing brush in a downward direction. According to Li (2008: 863, no. 5474), the fundamental meaning of the verb 激 here is 'fall'.

These examples show us that the prefix it is connected to the verb which expresses the act of moving something in a downward direction. As Nishida (1989) mentioned, this prefix also functioned as a downward directional marker.

3.2 Use of the prefix 篘 ¹ke:

3.3 Prefix 数 'kI:: another prefix connecting to verb n

If we look elsewhere in the text, we can find the use of another prefix 變 before the verb 藏. For example, Ch. 5, Article 303, "Not to register person, horse, and armor to list, and hide them from inspection" says as follows:

1	訤	蘈	鄗	鶔		毯	氚	慤	貘
	¹ II:	¹ ga: regular	¹tsyen army	¹py auxi	an liary	¹'o army	¹ hu emergency	¹ te: volunteer	² kon official
	剎	尤	緁	報	脉	頦	茂	襭	
	¹ ryeqr'	¹gwI shell	: ²zi:r	¹nI: pl	²je: list	² me		² byu call	

'Government horses and armors of combatants, noncombatants, and transporters should be registered with their names'

'and inspect[ed]. If combatants and noncombatants newly request government horses and armor,'

3	菔	形	氚	報	朓	紕	賤	麥	籠
		¹ lhi: servitude							¹ dyu have
	艞	敽	穮	蔵	形	瀧	綘		
	¹ta: TM	_	•		¹ lhi: servitude	•		e	

^{&#}x27;and if they did not register goods that should be registered,'

4	葴	形	预	篘	愿	葴	形	豻
	¹ dzI: penal	¹ lhi: servitude	¹chya: CM	¹ke: P2	² zar inspect	¹ dzI: penal	¹ lhi: servitude	¹ me: not exist
	綘	努 :	绊	澇	预	篘	郦	舵
	1ku therefor	¹tshe:	•	² nyon report	¹chya: CM	¹ke: P2	² zar inspect	¹ mi: Neg

'they should be registered, and afterwards should be inspected.'

5	愿	茂	薞	蘈		移	鶔	發	邆
	² zar inspect	² dzu:'	¹ zenq when	¹ga: egula		¹tsyen army	¹ pyan auxiliary	l'O army	¹cyu work
	刊	鄉	荄	菠	蔵	郦		飨	類
	¹ lenq serve	¹ twuq place	¹kI: P1	² dur report	¹dzI:		1	² wI:	°C?

'If they had been hidden without inspection when combatants and noncombatants had declared to the officer and afterwards'

6	Ř	織 茂		廖	廖 黻		舵	丽	
	² dzu:'	¹ta: TM	² dzu:'	² myeo who	qr'	¹ldi:q also	¹ mi: Neg	² zar inspect	
	廖	報	糌	隵	糃	緱	蔵	蒑	酸
	² myeqr' who	¹nI: pl	¹'a? equa	² tyenq lly	¹'a? one	¹keu: year	¹dzI: penal		² me:

'were removed and hidden, they receive one year of penal servitude, and when they had hidden them without removal'

7	類	蘒	痲	茂	鏕	簃		瀦	絍
	[?] C?	¹tha: Dem	¹ she: according	² dzu:'	¹ta:	¹che TM	u:	² tseu sixth	² 'a CM
	鬷	稛	脉	瓶	隵	葴	形		夼
	¹kho:n strong	??? obtai	²je: n list	²'a CM	¹ mi: Neg	¹ dzI: penal	¹ lhi: servit	ude	¹'e: CM

'from [the] list yet, they get judgement along the regulation'

(Text: Кычанов 1987–89, vol. 2: 565–566)

In Xixia, all men over 15 years of age were conscripted into the army. Many of them did not have officer status. This article regulates the punishment of combatants and non-combatants who did not declare their government-supplied horses and armor. Here, in the fifth line, the verb 'declare'—perhaps by official document—ends the action it describes. According to Nishida (1989) the prefix 👸 belongs to prefix series 1 and is an aspect marker expressing the perfect aspect, and in this article, the prefix 👸 expresses the perfect aspect.

4. Conclusion

In this paper, I studied prefixes included in the twelfth-century text, *The Tiansheng Code*. Like Nishia (1989), I suggest that specific verb stems take specific prefixes in later texts. I also suggest that the prefix $\frac{1}{2}$ indicated the perfect aspect, that prefixes included in prefix series 2 not only designated an 'optative' condition but also an 'ought' condition, and that some of these prefixes might have functioned as directional markers.

[&]quot;Conscripted person were not registered' in Ch. 6. ...'

Abbreviations

CM case marker P2 prefix series 2

Dem demonstrative pl plural

Neg negative prefix TM topic marker

P1 prefix series 1

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