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Comparative study of immunodeficient rat strains in engraftment of hiPSC-derived airway epithelia

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Abstract:	The airway epithelia (AE) play a role in the clearance of foreign substances through ciliary motility and mucus secreted. We developed an artificial trachea that is made of collagen sponges and polypropylene mesh for the regeneration of the tracheal defect, and it was used for a clinical study. Then, a model in which the luminal surface of an artificial trachea covered with a human-induced pluripotent stem cell-derived AE (hiPSC-AE) was transplanted into the tracheal defect of nude rats to promote epithelialization. In the future, this model was expected to be applied to research on infectious diseases and drug discovery as a trachea-humanized rat model. However, at present, sufficient engraftment has not been achieved to evaluate functional recovery in

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	<p>transplanted cells. Therefore, this study focused on immunosuppression in recipient rats. Nude rats lack T-cell function and are widely used for transplantation experiments; however, more severe immunosuppressed recipients are preferred for xenotransplantation. Several strains of immunodeficient rats were created as rats that exhibit more severe immunodeficiency until now. In this study, to establish a trachea-humanized rat model in which human AE function can be analyzed to improve engraftment efficiency, engraftment efficiency in nude rats and X-linked severe combined immunodeficiency (X-SCID) rats following hiPSC-AE transplantation was compared. In the analysis of the proportion of engrafted cells in total cells at the graft site, the engraftment efficiency of epithelial cells tended to be high in X-SCID rats, although no statistical difference was found between the two groups, whereas the engraftment efficiency of mesenchymal cells was higher in X-SCID rats. Furthermore, the number of immune cells that accumulated in the grafts showed that a pan T-cell marker, i.e., CD3-positive cells, did not differ between the two strains; however, CD45-positive cells and major histocompatibility complex (MHC) class II-positive cells significantly decreased in X-SCID rats. These results indicate that X-SCID rats are more useful for the transplantation of hiPSC-AE into the tracheae to generate trachea-humanized rat models.</p>



1 **Comparative study of immunodeficient rat strains in engraftment of hiPSC-derived airway**
2 **epithelia**

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4 Running title: Comparison of rat strains in hiPSC-AE engraftment

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39 18 **Keywords:** hiPSC, airway epithelial cell, transplantation, Trachea regeneration, immunodeficient rat

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1 **Abstract**

2 The airway epithelia (AE) play a role in the clearance of foreign substances through ciliary motility
3 and mucus secreted. We developed an artificial trachea that is made of collagen sponges and
4 polypropylene mesh for the regeneration of the tracheal defect, and it was used for a clinical study.
5 Then, a model in which the luminal surface of an artificial trachea covered with a human-induced
6 pluripotent stem cell-derived AE (hiPSC-AE) was transplanted into the tracheal defect of nude rats to
7 promote epithelialization. In the future, this model was expected to be applied to research on infectious
8 diseases and drug discovery as a trachea-humanized rat model. However, at present, sufficient
9 engraftment has not been achieved to evaluate functional recovery in transplanted cells. Therefore,
10 this study focused on immunosuppression in recipient rats. Nude rats lack T-cell function and are
11 widely used for transplantation experiments; however, more severe immunosuppressed recipients are
12 preferred for xenotransplantation. Several strains of immunodeficient rats were created as rats that
13 exhibit more severe immunodeficiency until now. In this study, to establish a trachea-humanized rat
14 model in which human AE function can be analyzed to improve engraftment efficiency, engraftment
15 efficiency in nude rats and X-linked severe combined immunodeficiency (X-SCID) rats following
16 hiPSC-AE transplantation was compared. In the analysis of the proportion of engrafted cells in total
17 cells at the graft site, the engraftment efficiency of epithelial cells tended to be high in X-SCID rats,
18 although no statistical difference was found between the two groups, whereas the engraftment
19 efficiency of mesenchymal cells was higher in X-SCID rats. Furthermore, the number of immune cells
20 that accumulated in the grafts showed that a pan T-cell marker, i.e., CD3-positive cells, did not differ
21 between the two strains; however, CD45-positive cells and major histocompatibility complex (MHC)
22 class II-positive cells significantly decreased in X-SCID rats. These results indicate that X-SCID rats
23 are more useful for the transplantation of hiPSC-AE into the tracheae to generate trachea-humanized
24 rat models.

25 **Impact statement**

1 The models to investigate the pathological mechanism and effect of medicines are important. In
2 the tracheae, since cells are constantly exposed to the airflow in respiration, replicating in vivo
3 conditions with cultured cells in vitro is difficult. Therefore, to establish a trachea-humanized rat, a
4 model in which hiPSC-AE were transplanted into the tracheal defect of nude rats was generated. To
5 use this model as a trachea-humanized model, higher engraftment efficiency is required. This study
6 indicated that X-linked severe combined immunodeficiency rats are superior to nude rats as
7 recipients. These results will contribute to the establishment of trachea-humanized rats.

8 9 **Introduction**

10 Since the first establishment in 2007,¹ various regenerative strategies using human-induced
11 pluripotent stem cells (hiPSCs) have been developed, and it has allowed the generation of cells that
12 constitute various tissues and organs from all cells in the human body. Several cell types such as retinal
13 pigment epithelial cells, dopaminergic progenitor cells, platelets, and cardiomyocytes have been
14 generated from hiPSCs and used in clinical research.²⁻⁵ Other cell types generated from hiPSCs were
15 transplanted into mice, rats, monkeys, and other animals in preclinical studies or basic research.

16 Regarding airway epithelia (AE), several induction methods from hiPSCs have been established by
17 some groups, and hiPSC-derived AE (hiPSC-AE) induced by these methods exhibit similar
18 morphology and function to biological AE.^{6,7} By using one of these induction protocols,⁷ we
19 performed a transplantation study using an artificial trachea covered with a hiPSC-AE sheet into the
20 tracheal defects of nude rats and monitored hiPSC-AE on the luminal surface of the trachea for up to
21 2 weeks after the transplantation.⁸ However, the proportion of surviving epithelial cells in all epithelial
22 cells in the transplanted area at 1 and 2 weeks after the transplantation were 8.5% and 14.3%,
23 respectively.⁸ In basic studies for cell transplantation treatment or in generating trachea-humanized
24 rats for the research of tracheal diseases, a high survival rate is necessary.

25 In xenotransplantation, one of the factors critical for the achievement of a high survival rate is the
26 regulation of immune response in recipient animals. Immunosuppressive agents or immunodeficient
27 animals are widely used to increase the survival rate of transplanted cells. In a previous study, nude

1 rats, which exhibit deficient T-cell function caused by the lack of normal thymuses due to the mutation
2 in the *Foxn1* gene,⁹ have been used as immunodeficient recipient animals.⁸ Recently, severe combined
3 immunodeficiency (SCID) rats were generated using CRISPR/Cas9 genome editing by causing in the
4 mutation of the interleukin-2 receptor gamma chain gene (*Il2rg*) in F344/Jcl rats. These rats had severe
5 thymic hypoplasia and a significantly lower white blood cell count due to a decrease in lymphocytes,
6 but red blood cells in peripheral blood were unaffected. In addition, flow cytometry analysis revealed
7 a markedly reduced number of T, B, and natural killer cells. Recently, rats with X-linked severe
8 combined immunodeficiency (X-SCID) were reported using the CRISPR/Cas9 genome editing
9 system.¹⁰ These rats have a mutation in the gene encoding the interleukin 2 receptor gamma (*Il2rg*),
10 which results in loss of function.¹⁰ *Il2rg* is a gene coding an essential component of the IL2 receptor
11 and was identified as the responsible gene for X-SCID.¹¹ X-SCID rats show immunodeficient
12 phenotypes characterized as a nearly complete lack of T, B, and natural killer (NK) cells.¹⁰ Therefore,
13 this X-SCID rats strain isare preferred for xenotransplantation.

14 In this study, we compared the efficiency of the engraftment in nude and X-SCID rats following
15 transplantation of hiPSC-AE to achieve a high survival rate with aims of establishing methods of cell
16 transplantation treatment and generating humanized rats for the research of tracheal diseases.

18 **Materials and methods**

19 hiPSC culture and AE induction

20 The hiPSC line 253G1,¹² obtained from RIKEN BioResource Research Center, was used for AE
21 induction as previously described.^{7,13} The cells were maintained on dishes coated with Geltrex
22 (Thermo Fisher Scientific, Waltham, MA, USA) in Essential 8 medium (Thermo Fisher Scientific).

23 The induction of AE from hiPSCs comprised six steps. In step 1, undifferentiated hiPSCs were
24 seeded on Geltrex-coated plates containing the RPMI1640 medium (Nacalai Tesque, Kyoto, Japan),
25 1 × B27 supplement (Thermo Fisher, Kanagawa, Japan), 50 U/mL of penicillin/streptomycin (Thermo
26 Fisher Scientific), 100 ng/mL of human activin A (R&D System, Minneapolis, MN, USA), 1 μM of
27 CHIR99021 (Axon Medchem, Groningen, Netherlands), 10 μM of Y27632 (FUJIFILM Wako Pure

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5 1 Chemical Corporation, Osaka, Japan) (days 0–1), and 0.25 mM (day 1) or 0.125 mM (days 2–4) of
6 2 sodium butyrate (FUJIFILM Wako) were used to induce the endoderm cells. From days 6–28 (steps
7 3 2–4), the basal medium was composed of DMEM/F-12 with GlutaMAX (Thermo Fisher Scientific),
8 4 1 × B27 supplement, 50 U/mL of penicillin/streptomycin, 0.05 mg/mL of L-ascorbic acid (FUJIFILM
9 5 Wako), and 0.4 mM of monothioglycerol (FUJIFILM Wako Pure Chemical Corporation). In step 2,
10 6 endoderm cells were differentiated into anterior foregut endoderm cells by culture in the basal medium
11 7 supplemented with 100 ng/mL of human recombinant noggin (Human Zyme, Chicago, IL, USA) and
12 8 10 μM of SB431542 (Selleck Chemicals, Houston, TX, USA) for 4 days (from days 6 to 9). In step 3
13 9 (from days 10 to 13), the cells were cultured in the basal medium supplemented with 20 ng/mL of
14 10 human recombinant BMP4 (Human Zyme), 2.5 μM of CHIR99021, and 0.1 μM of all-trans retinoic
15 11 acid (Sigma-Aldrich, St. Louis, MO, USA) to induce ventralized anterior foregut endoderm cells
16 12 (VAFECs) from anterior foregut endoderm cells. On day 14, VAFECs were purified by
17 13 magnetic-activated cell sorting using mouse anti-human CPM antibodies (FUJIFILM Wako Chemical
18 14 Corporation), which labels NKX2-1+ cells. CPM+ cells were embedded in the step 4 medium (basal
19 15 medium, 3.0 μM of CHIR99021, 100 ng/mL of FGF10 [FUJIFILM Wako Chemical Corporation], and
20 16 10 μM of Y27632) and growth factor-reduced Matrigel (Corning, Corning, NY, USA) at a ratio of 1:1
21 17 and maintained on a 12-well cell culture insert with PET membrane (Corning, #353292) for 14 days
22 18 (days 14 to 27). To generate proximal AE progenitor cell spheroids, on day 28, cells were cultured in
23 19 the step 5 medium consisting of PneumaCult-ALI Maintenance medium (STEMCELL Technologies,
24 20 Vancouver, Canada), 10 μM of Y27632, 4 μg/mL of heparin (Nacalai Tesque), 1 μM of hydrocortisone
25 21 (Sigma-Aldrich), and 10 μM of DAPT (FUJIFILM Wako Chemical Corporation). On day 42, single-
26 22 cell suspension was obtained from the spheroids by enzymic treatment and seeded on a cell culture
27 23 insert. In step 6, cells were seeded on culture inserts with collagen vitrigel membrane (ad-MED
28 24 vitrigel; Kanto Chemical, Tokyo, Japan) or atelocollagen vitrigel membrane and cultured in the ALI
29 25 condition for 14 days to lead AE differentiation in the form of a monolayer cell sheet. For
30 26 transplantation experiments, these hiPSC-AEs on vitrigel membranes were used as hiPSC-AE sheets.
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1 Preparation of the artificial trachea

2 The collagen (Nippon Meat Packers, Inc., Osaka, Japan) was dissolved in pure water (6 mg/mL),
3 adjusted to pH 7, and centrifuged to obtain the pellet. Then, the collagen pellet was frozen at -80°C
4 and lyophilized for 10 days. The dried collagen was then cut into small pieces, dissolved in ultrapure
5 water (106.4 mg/mL) while HCl was added, and adjusted to pH 3. The collagen solution was poured
6 into a mold, a polypropylene mesh coated with the collagen solution was put on, an equal volume of
7 the collagen solution was poured, and then it was frozen at -80°C overnight. After lyophilization for
8 1 week, collagen including polypropylene mesh was crosslinked by heating, sterilized by EOC gas,
9 and stored in a desiccator.

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11 Electron microscopy

12 Cells were fixed with a phosphate-buffered solution (PBS) with 4% paraformaldehyde (PFA) and
13 2% glutaraldehyde overnight at 4°C , incubated in 1% osmium tetroxide for 2 h, and dehydrated by
14 ascending concentrations of ethanol. For scanning electron microscopy (SEM), dehydrated cells were
15 dried using the critical point drying method and coated with a thin layer of platinum palladium. For
16 transmission electron microscopy (TEM), dehydrated cells were embedded in epoxy resin and DMP-
17 30. Thin sections were stained with uranyl acetate and lead citrate. The specimens were observed using
18 the scanning electron microscope S-4700 (Hitachi C., Tokyo, Japan) or the transmission electron
19 microscope H7650 (Hitachi).

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21 Rats

22 Wild-type rats (F344, CLEA Japan, Osaka, Japan), nude rats (F344/NJcl.Cg-*Foxn1*^{rmu}, CLEA Japan,
23 Osaka, Japan), and X-linked immunodeficient (X-SCID) rats (F344-*Il2rge*^{m1lexas}, NBRP No. 0883)
24 provided by the National BioResource Project Rats were used for the transplantation experiments.
25 Wild-type rats were 12–14 weeks old and weighed 200–305 g, nude rats were 8–11 weeks old and
26 weighed 180–240 g, and X-SCID rats were at 8–12 weeks old and weighed 180–240 g. All rats used
27 in this study were male. The animal experimental protocol was approved by the Animal Research

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5 1 Committee of the Graduate School of Medicine, Kyoto University (Med Kyo 23113).
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9 3 Transplantation

10 4 Transplantation study was performed using 6 wild-type rats (for positive control for immune cell
11 immunostaining), 18 nude rats (8 rats for comparison of engraftment efficiency and 10 for vitrigel
12 membrane examination), and 8 X-SCID rats. The transplantation experiments were performed under
13 anesthesia with intraperitoneal injection of a mixture of midazolam (2 mg/kg), butorphanol (2.5
14 mg/kg), and medetomidine (0.15 mg/kg). The trachea was exposed through a midline neck skin
15 incision and separation of the bilateral sternohyoid and sternothyroid muscles. A square tracheal defect
16 was created in each rat by incision with a scalpel. The defect size was approximately 2.5 mm (two
17 tracheal rings) in length and 2 mm in width. The grafts that contained the artificial trachea and were
18 covered in the luminal side with hiPSC-AE sheet were placed over the tracheal defects. Then, the
19 sternohyoid and sternothyroid muscles and incised skin were sutured. All rats were euthanized with
20 carbon dioxide 2 weeks after transplantation, and the tracheae were collected and used for subsequent
21 histological examinations.
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39 17 Immunofluorescent staining

40 18 Induced cells were fixed with 4% PFA for 15 min at room temperature (RT). After washing with
41 PBS, cells were permeabilized with 0.2% Triton for 5 min at RT and were incubated with 1% bovine
42 serum albumin (BSA) in PBS for 10 min at RT. Then, cells were incubated with primary antibodies
43 (Table 1) overnight at 4°C, washed with PBS, and incubated with Alexa Fluor-conjugated secondary
44 antibodies for 1 h at RT. Nuclei were labeled with 4',6-diamidino-2-phenylindole (DAPI; Thermo
45 Fisher Scientific). The tracheae transplanted with hiPSC-AE including the sternohyoid and
46 sternothyroid muscles, and section of cell sheet were immersed in 4% PFA for 1 day. Subsequently,
47 they were immersed in 10%, 20%, and 30% sucrose for 8 h each and embedded in an optimal cutting
48 temperature compound (Sakura Finetek Japan, Tokyo, Japan). The embedded tissues were sliced into
49 10 µm-thick sections. From each rat, 10-20 sections at 100-µm intervals were used for staining. After
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1 drying, the sections were incubated with PBS for 5 min at RT and were permeabilized with 0.2%
2 Triton for 5 min at RT. After blocking with 1% BSA in PBS, the sections were incubated with primary
3 antibodies (Table 1), washed with PBS, incubated with Alexa Fluor-conjugated secondary antibodies,
4 Alexa Fluor-conjugated phalloidin (sc-363797, Santa Cruz, Dallas, TX, USA), and DAPI for 1 h at
5 RT, washed with PBS, and mounted using Fluoromount-G® Anti-Fade (Southern Biotechnology
6 Associates Inc., Birmingham, AL, USA). Specimens were observed, and images were obtained using
7 a BIOREVO BZ-9000 fluorescence microscope (KEYENCE, Osaka, Japan). For the analyses of
8 mesenchymal cells, binary images were generated from immunofluorescent images of Human Nuclear
9 Antigen (HNA)-positive areas and grafted areas-

10 11 Statistical analysis

12 The survival rate of hiPSC-derived epithelia, area of hiPSC-derived mesenchymal cells, and number
13 of each immune cell in the graft were compared between the two groups of X-SCID and nude rats
14 using the Mann–Whitney U test. Data are expressed as median (range, minimum–maximum value),
15 and p-values of <0.05 were considered statistically significant.

16 17 Results

18 Preparation of grafts using artificial tracheae and hiPSC-AE sheets

19 After hiPSC-AE induction from 253G1 line iPSCs on collagen vitrigel membrane, ~~ciliated-cell~~
20 ~~marker~~-acetylated-alpha-tubulin (AcTub)-~~expressing-positive ciliated~~ cells were observed in an
21 ~~epithelial marker, i.e.,~~ the E-cadherin-~~expressing-positive~~ epithelia (Fig. 1A). In the vertical sections
22 of cell sheets, cytokeratin5 (KRT5)-positive basal cells and Mucin5AC (MUC5AC)-positive goblet
23 cells were also observed (Fig. 1B, C) Cilia-like structures were also observed by ~~SEM-TEM~~ (Fig.
24 1DB) and ~~transmission electron microscopy~~SEM (Fig. 1C1E). In TEM images, which are composed
25 of nine doublet microtubules arranged in a circle around two central singlet microtubules with dynein
26 arms known as a specific motif in motile cilia (Fig. 1C1ED, inset). These observations revealed that
27 hiPSC-AE were induced from the 253G1 cell line, as reported previously. A cross-sectional SEM

1 image of the artificial trachea showed that a polypropylene mesh was embedded in the middle of the
2 collagen sponge (Fig. 4D1F). These results suggest that the hiPSC-AE sheets and artificial trachea
3 were prepared as in previous studies.

4 Transplantation of hiPSC-AE into immunodeficient rats

5 A schema of the transplantation is shown in Fig. 2A. An artificial trachea covered with an hiPSC-
6 AE sheet was transplanted into the tracheal defect. Cell survival rates were calculated using cross-
7 sections of tracheae including the transplanted areas 2 weeks after transplantation (Fig. 2B). The
8 proportion of the hiPSC-derived epithelia in total epithelia at the transplanted area was calculated from
9 two epithelial areas with a width of 350 μm at the center and one side of the tracheal defect area (Fig.
10 2B, two yellow squares). The proportion of hiPSC-derived mesenchymal cells was calculated from
11 the HNA-positive and grafted areas (Fig. 2B, area surrounded by the yellow dotted line).

12 Comparison of cell survival rates in nude and X-SCID rats

13 HNA-positive hiPSC-derived epithelial cells were confirmed in five out of eight animals 2 weeks
14 after transplantation in both nude and X-SCID rats (Fig. 3A). Some HNA-positive cells also expressed
15 AcTub (Fig. 3Ac, f). The proportions of HNA-positive epithelia (Fig. 3Ab, e) in DAPI-positive
16 epithelia (Fig. 3Ac, f) were examined to identify the engraftment efficiency in the epithelia. The
17 median rates of the HNA-positive epithelia in the DAPI-positive epithelia in the grafted area were
18 1.6% (0.38%–4.38%) in nude rats ($n = 5$) and 2.27% (1.36%–9.04%) in X-SCID rats ($n = 5$),
19 respectively (Fig. 3B). As a result, the engraftment efficiency of the epithelia tended to be high in X-
20 SCID rats, although no statistical difference was found between the two groups ($p = 0.35$). On the
21 contrary, HNA-positive hiPSC-derived mesenchymal cells were confirmed in all transplanted animals
22 2 weeks after transplantation in both nude and X-SCID rats (Fig. 4Ae, fA). The proportions of HNA-
23 positive areas (Fig. 4ABa, c, d, f) in DAPI-positive areas (Fig. 4ABb, c, e, f) in the grafted area were
24 examined to identify the engraftment efficiency in mesenchymal cells. The median rates of HNA-
25 positive areas in the grafted areas were 0.63% (0.11%–3.32%) in nude ($n = 8$) and 3.6% (0.77%–

1 11.12%) in X-SCID (n = 8) rats. The engraftment efficiency of mesenchymal cells was statistically
2 significantly higher in X-SCID rats (p = 0.02) (Fig. 4BC).

3 4 Immune responses in nude and X-SCID rats after transplantation

5 Furthermore, the immune cell accumulation in the transplanted areas was also compared. Wild-type
6 rats transplanted artificial tracheae covered with collagen vitrigel sheets without hiPSC-AE were used
7 as positive controls for immunostaining. The number of three types of immune cells, CD3-positive
8 cells, CD45-positive cells, and MHC class II-positive cells, was examined (Fig. 5A). The number of
9 each marker-positive cell in grafted areas was counted. The median counts of pan T-cell marker CD3-
10 positive cells in nude and X-SCID rats were 3.33 (0.14–13.67) and 4.12 (0.7–13.63), respectively
11 (nude rats, n = 8, X-SCID rats, n = 8) and did not differ between the two groups (p = 0.674) (Fig. 5B).
12 In CD45-positive cells and MHC class II-positive cells including leukocytes other than T cells, the
13 median counts of CD45-positive cells in nude and X-SCID rats were 73.26 (40.56–116.67) and 33.13
14 (15.45–76.75), respectively (nude rats, n = 8, X-SCID rats, n = 8), and the median counts of MHC
15 class II-positive cells in nude and X-SCID rats were 64.75 (44.55–90.28) and 37.93 (22.6–73),
16 respectively (nude rats, n = 8, X-SCID rats, n = 8) and significantly decreased in X-SCID rats (p =
17 0.005, CD45-positive cells; p = 0.009, MHC class II-positive cells) (Fig. 5B).

18 19 **Discussion**

20 The regeneration of AE in otorhinolaryngological tissues by hiPSC-AE transplantation has been
21 studied; however, no studies have compared recipient rat strains in hiPSC-AE transplantation.
22 Therefore, in this study, we compared engraftment efficiency and examined immune responses
23 between nude and X-SCID rats to improve epithelialization by hiPSC-AE transplantation.

24 Xenotransplantation using immunodeficient animals is essential for the analysis of pathogenetic
25 mechanisms and the development of cell transplantation therapies using human iPS cell-derived cells.
26 As recipient animals for transplantation studies, NSG mouse (NOD.Cg-Prkdc^{scid} Il2rg^{tm1Wjl}) and NOG
27 mouse (NOD.Cg-Prkdc^{scid} Il2rg^{tm1Sug}), which are deficient in T, B, and NK cells, innate immune

1 response, and others were established.²¹ As in this study, for studies requiring animals than larger
2 mice, immunodeficient rats such as SCID,²² X-SCID,^{10,23} and FSG rats,²² were also established.
3 Furthermore, immunodeficient rabbits,²⁴ immunodeficient pigs,²⁵ and immunodeficient marmosets²⁶
4 were also reported as larger immunodeficient animals and are useful for preclinical studies.

5 Innate immune responses by NK cells, macrophages, and neutrophils are involved in graft rejection.
6 These cells intricately interact with each other. By binding the immunocomplex of porcine cells and
7 natural antibodies of human or non-human primates, NK cells and macrophages were activated, and
8 antibody-dependent cellular cytotoxicity was induced against porcine cells. In addition, macrophages
9 and NK cells were activated by the incompatibility between the SLA1 and NK receptors. The binding
10 of damage-associated pattern molecules from dead cells to toll-like receptors, receptors for advanced
11 glycation end-products, and macrophage-inducible C-type lectins activates macrophages, resulting in
12 the induction of activation signals and release of various pro-inflammatory cytokines from
13 macrophages. Then, released cytokines activate neutrophils and promote cell death. Furthermore,
14 macrophages are activated by neutrophil extracellular traps from neutrophils. NK cells were activated
15 by macrophages, and macrophages and neutrophils were activated by interferon- γ from NK cells.¹⁸
16 Compared with nude rats lacking only T cells, X-SCID rats lacking T, B, and NK cells indicated that
17 immune rejection in xenografts by these cells is suppressed in X-SCID rats, resulting in more efficient
18 engraftment. In the NOG mouse mentioned above, a decrease in complement activity and macrophage
19 dysfunction caused by a partial loss of innate immune function derived from the NOD lineage is
20 confirmed, and they widely are used in xenotransplantation studies.²⁰ If a strain with such
21 characteristics could be produced in rats, it would be useful for xenotransplantation.

22 Nude rats that arose spontaneously have been the most commonly used immunodeficient rats. The
23 nude rats have a nonsense mutation (1429C > T) in the *Foxn1* gene²⁷ and exhibit an abnormal thymus
24 rudiment. As a result, nude rats had depleted thymus-dependent areas in the spleen, mesentery, and
25 popliteal lymph nodes and are characterized primarily by thymus-dependent immunodeficiency (T-
26 cell dysfunction).²⁸ T cells are nearly depleted, whereas B and NK cells are normal in nude rats. For
27 xenotransplantation, extremely immunodeficient rats were required. The development of genome-

1 editing techniques made it possible to generate mutant rats easily, and several immunodeficient rats
2 were generated. In 2010, X-SCID rats were generated by the *Il2rg* locus, which is the gene responsible
3 for X-SCID in humans and mice.²³ The mutation of genes that encode IL2RG, which plays an
4 important role as a common gamma chain in signaling by interleukins (ILs) such as IL-2, IL-4, IL-7,
5 IL-9, IL-15, and IL-21, leads to the lack of mature lymphocytes.²⁹ These rats showed abnormal
6 lymphoid development, and T, B, and NK cells were markedly diminished, although some cells were
7 present.²³ Moreover, rats that lack either the *Prkdc* gene (SCID) or the *Prkdc* and *Il2rg* (FSG) genes
8 were generated using zinc-finger nucleases,²² and rats were knocked out the *Il2rg* gene (X-SCID)
9 alone or together with the recombination activating gene 2 (*Rag2*) (*Il2rg* and *Rag2* dKO) using the
10 CRISPR/Cas9 genome-editing system.¹⁰ T cells were absent from SCID rats, and B cells were absent
11 from SCID and FSG rats. NK cells were present in the SCID but were mostly diminished in FSG
12 rats.²² In *Il2rg*-single knockout (sKO) and *Il2rg/Rag2*-double knockout (dKO) rats, the B and NK cell
13 counts were markedly reduced in both knockout rats. By contrast, T-cell counts were markedly
14 reduced, although some cells were present in only sKO rats.¹⁰ In addition, *Il2rg*-sKO and *Il2rg/Rag2*-
15 dKO rats do not exhibit growth retardation or gametogenesis defects, unlike SCID or FSG rats.
16 Therefore, in this study, X-SCID (*Il2rg*-sKO) rats were donated from the National BioResource
17 Project-Rat at Tokyo University (<https://www.ims.u-tokyo.ac.jp/animal-genetics/scid/>).

18 In some tissues, the effectiveness of the transplantation of human pluripotent stem cell (hPSC)-
19 derived cells between nude and X-SCID rats was compared. In the transplantation of hPSC-derived
20 dopaminergic (DA) neurons, no statistical differences were noted in MHC class II expression, B-cell
21 and T-cell accumulation, and survival of grafted hPSC-derived DA neurons.³⁰ A study of transplanting
22 hiPSC-derived MSCs into the thyroid cartilage also showed no significant difference in engraftment
23 of hiPSC-cartilage tissues between X-SCID and nude rats although these results were not obtained
24 from a single study.^{31,32} In our results, hiPSC-AE showed a ~~non-significant trend~~ ~~trend toward higher~~
25 ~~engraftment efficiency~~ in X-SCID rats, and hiPSC-derived mesenchymal cells showed higher
26 engraftment efficiency in X-SCID rats, showing statistical significance. These results suggest that
27 immunosuppression of recipient rats affects the engraftment efficiency in hiPSC-AE and hiPSC-

1 derived mesenchymal cells. Epithelial cells are subjected to stimuli such as airflow in respiration after
2 transplantation, their engraftment efficiency was low and varied widely, and no statistically significant
3 difference was obtained in this study. In the future, a significant difference may be confirmed by
4 increasing the number of experiments. However, as mesenchymal cells in the mesenchymal tissue free
5 from ambient stimuli show higher engraftment potency, they may have shown statistically significant
6 differences. In the present study, mesenchymal cells are non-objective cells of differentiation from
7 hiPSCs and transplantation; however, the results of this study are important to the transplantation of
8 hiPSC-derived mesenchymal cells for the establishment of trachea-humanized rats in the future.

9 The immunosuppression of the two strains of recipient rats was also investigated. T cells are
10 considered nearly non-existent in both nude and X-SCID rats, as expected, and the number of pan T-
11 cell marker CD3-positive cells that accumulated in the graft area showed no difference in both strains.
12 CD45, known as a leukocyte common antigen, is expressed in most nucleated cells with hematopoietic
13 origin, and MHC class II molecules are expressed on macrophages, dendritic cells, activated T cells,
14 and B cells. The abundance of CD45-positive and MHC class II-positive cells accumulating in the
15 graft area was significantly lower in X-SCID rats, which lack T, B, and NK cells. These results suggest
16 the possibility that the engraftment efficiency of hiPSC-derived cells may have been increased using
17 X-SCID rats with extremely suppressed immune responses. In a study in which the same AE cells
18 were transplanted into the middle ear, engraftment on the luminal surface failed in nude rats, whereas
19 it succeeded in X-SCID rats.³³ Since brain and cartilage have immune privilege,^{34,35} the engraftment
20 efficiency in hiPSC-derived cell transplantation into these tissues was not different³⁰⁻³²; however, the
21 airway epithelium may show differences in immunosuppression and consequently in engraftment
22 efficiency.

23 The engraftment efficiency did not increase as expected in this study. This may suggest that other
24 factors should be considered in addition to the strain of the recipient animals. A possible factor is the
25 use of collagen vitrigel membrane³⁶ as a scaffold. In a previous study and the present study, we used
26 commercially available bovine collagen vitrigel membranes for the preparation of cell sheets.⁸ At this
27 time, we also used porcine atelocollagen vitrigel membranes with low antigenicity and compared the

1 engraftment efficiency in hiPSC-AE transplantation in nude rats (Fig. S1); however, no differences
2 were observed. The median percentages of the porcine atelocollagen vitrigel membrane group and the
3 bovine collagen vitrigel membrane group were 2.16% (0.09%–10.16%) and 1.78% (0.21%–5.32%)
4 (porcine atelocollagen vitrigel membrane, n = 5; bovine collagen vitrigel membrane, n = 5),
5 respectively. Various other factors such as the treatment with growth factors and small molecules for
6 the promotion of engraftment or differentiation stage of the transplanted cells are also conceivable.
7 For clinical studies, to avoid the immune response by the depletion of antigen expression in
8 transplanted cells, HLA depletion has been considered.^{37,38} Therefore, genome-edited hiPSCs lacking
9 proteins involving immune response to xenotransplantation may be useful, as reported in
10 xenotransplantation using non-human primates and pigs.³⁹ Furthermore, our previous study indicated
11 that transplanted hiPSC-AE formed a polarized thicker epithelia with an AcTub-positive cilia-like
12 structure in the apical surface at 1 week after transplantation, without significant differences in the
13 proportion of surviving cells in the epithelia of the transplanted site at 1 and 2 weeks (Okuyama et al.,
14 2019); thus, we defined our experimental period as 2 weeks. However, to observe surviving cells and
15 immune cell responses, long-term evaluation should also be conducted in future research.

16 In conclusion, to establish a trachea-humanized rat model by improving engraftment efficiency, we
17 compared the engraftment efficiency and immune response in nude and X-SCID rats transplanted with
18 hiPSC-AE into tracheae. The engraftment efficiency of epithelial cells tended to be high in X-SCID
19 rats, although no statistical difference was found, whereas the engraftment efficiency of mesenchymal
20 cells was higher than that in X-SCID rats. Furthermore, the number of immune cells accumulated in
21 the grafted area showed that pan T-cell marker CD3-positive cells did not differ between the two
22 strains; however, the abundance of CD45-positive and MHC class II-positive cells significantly
23 decreased in X-SCID rats. These results indicate that X-SCID rats are more useful for the
24 transplantation of hiPSC-AE into tracheae to generate trachea-humanized rat models.

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1 Center for Anatomical Studies, Graduate School of Medicine, Kyoto University.

2

3 **Author contributions**

4 Y. Kishimoto, H. Ohnishi, and K. Omori designed the study. Y. Hayashi and H. Ohnishi prepared
5 hiPSC-derived AEs and graft. Y. Hayashi, H. Okuyama and M. Kitano performed the transplantation.
6 Y. Hayashi, H. Ohnishi, M. Kitano, M. Yoshimatsu, F. Kuwata, T. Tada, and K. Mizuno performed
7 the histological and data analyses. T. Takezawa prepared culture inserts with atelocollagen vitrigel
8 membrane. Y. Hayashi, H. Ohnishi, and Y. Kishimoto wrote the main manuscript and create the
9 figures and table. All authors approved the final manuscript.

10

11 **Conflict of interest**

12 The authors declare no competing interests.

13

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17 Surgery, Graduate School of Medicine, Kyoto University.

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Figure legends

Fig. 1. Preparation of graft. (A) Immunofluorescent staining of apical surfaces of induced cells on day 56. The expressions of E-cadherin (green) and AcTub (red) were observed. Scale bar = 50 μm . (B, C) Immunofluorescent staining of a vertical section of an induced cell sheet on day 56. KRT5 (B) and MUC5AC (C) expression were observed. Scale bars = 50 μm . (D) A vertical section of induced cells observed by transmission electron microscopy on day 56. The inset shows the “9 + 2” structure in cilia-like protrusions, which is the specific structure of motile cilia. Scale bar = 10 μm . ~~Cilia-like protrusions on the apical surface of induced cells were observed by scanning electron microscopy on day 56. Scale bar = 30 μm .~~ (E) Cilia-like protrusions on the apical surface of induced cells were observed by scanning electron microscopy on day 56. Scale bar = 30 μm . ~~A vertical section of induced cells observed by transmission electron microscopy on day 56. The inset shows the “9 + 2” structure in cilia-like protrusions, which is the specific structure of motile cilia. Scale bar = 10 μm .~~ (F) An artificial trachea used as a scaffold. Stumps of polypropylene mesh are found between the collagen sponge. Scale bar = 300 μm . AcTub, acetylated α -tubulin; KRT5, cytokeratin5; MUC5AC, Mucin5AC (MUC5AC); DAPI, 4',6 - diamidino-2-phenylindole.

Fig. 2. Transplantation of an artificial trachea covered with an hiPSC-AE sheet. (A) Schema of the experimental design. (B) Axial section of a nude rat trachea including the transplanted area. The proportion of hiPSC-derived epithelia in the total epithelia at the transplanted area was calculated from two epithelial areas with a width of 350 μm at the center and one side of the tracheal defect area (two yellow squares). The proportion of hiPSC-derived mesenchymal cell was calculated from the HNA-positive area and grafted area (area surrounded by a yellow dotted line). hiPSC-AE, human iPSC-derived airway epithelia; HNA, human nuclear antigen; DAPI, 4',6-diamidino-2-phenylindole.

Fig. 3. Survived cells in the tracheal epithelia. (A) Immunofluorescent staining images of the transplanted area including the surviving transplanted cells in a nude rat (a–c) and X-SCID rat (d–f). Some hiPSC-derived HNA-positive cells (b, e) were positive for the ciliated cell marker AcTub (c, f).

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5 Phalloidin shows the outline of the cells in tracheae, and DAPI was used as a counterstain. Scale bar
6 = 100 μm . Yellow arrowheads show the hiPSC-derived ciliated cells. (B) Comparison of the
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8 proportion of the HNA-positive epithelia in the total epithelia in nude and X-SCID ($p = 0.347$, Mann–
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10 Whitney U test) rats (nude rats, $n = 5$; X-SCID rats, $n = 5$). DAPI, 4',6-diamidino-2-phenylindole;
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12 hiPSC-AE, human iPSC-derived airway epithelia; HNA, human nuclear antigen; X-SCID, X-linked
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14 severe combined immunodeficiency.
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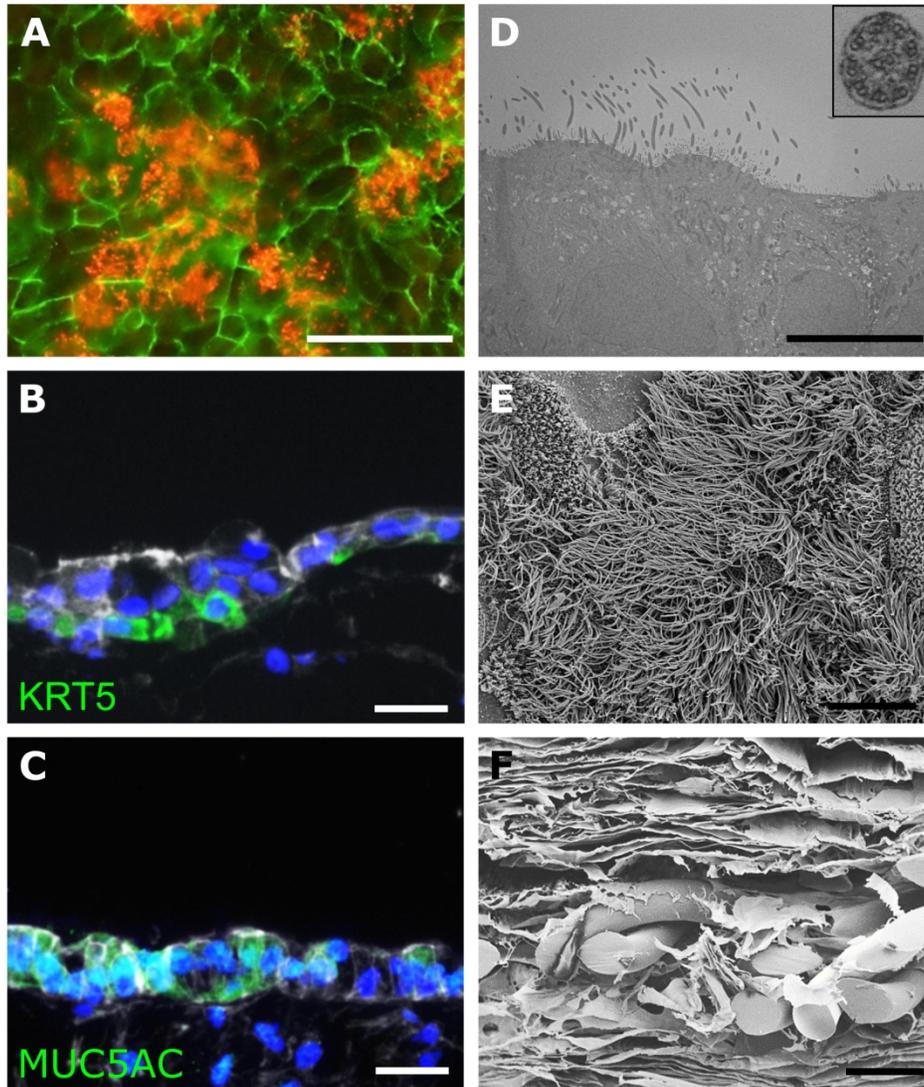
20 **Fig. 4.** Survived cells in the mesenchymal tissues in tracheae. (A) Shema of the area used for the
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22 calculation of the proportion of HNA-positive cells in the grafted area. (B) Immunofluorescent
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24 staining images of the transplanted area including the surviving transplanted cells in a nude rat (a–c)
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26 and X-SCID rat (d–f). In the grafts, hiPSC-derived HNA-positive areas (a, d) are observed. Phalloidin
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28 shows the outline of the cells in tracheae (b, e). Merged images. (c, f) Scale bar = 500 μm . (C)
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30 Comparison of the proportion of HNA-positive areas in grafts in nude and X-SCID ($p = 0.021$, Mann–
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32 Whitney U test) rats (nude rats, $n = 8$; X-SCID rats, $n = 8$). DAPI, 4',6-diamidino-2-phenylindole;
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34 HNA, human nuclear antigen; X-SCID, X-linked severe combined immunodeficiency.
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38 **Fig. 5.** Immune response in the transplantation site. (A) Representative images of the three types of
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40 immune cells in wild-type, nude, and X-SCID rats. Scale bar = 20 μm . (B) Comparison of the numbers
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42 of the three types of immune cells in grafts in nude and X-SCID rats (CD3, CD45, and MHC class II,
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44 $p = 0.674$, 0.005, and 0.009, Mann–Whitney U test) (nude rats, $n = 8$; X-SCID rats, $n = 8$). WT, wild-
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46 type rats; nude, nude rats; X-SCID, X-linked severe combined immunodeficiency rats.
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Table 1. Antibodies used in this study

Antibody	Manufacturer (Catalog No.)	dilution
Anti Acetylated Tubulin antibody	Sigma (A-10042)	1:1000
Anti-E-cadherin antibody	TAKARA (ECCD2)	1:2000
<u>Anti Keratin 5 antibody</u>	<u>BioLegend, 905501</u>	<u>1:1000</u>
<u>Anti MUC5AC antibody</u>	<u>Bioss Antibodies Inc., bs-7166R</u>	<u>1:1000</u>
Anti-human nuclei antigen antibody	Millipore (MAB1281)	1:1000
Anti-CD3 antibody	Abcam (ab5690)	1:1000
Anti-CD45 antibody	BD biosciences (550566)	1:1000
Anti-MHC class II antibody	Abcam (ab23990)	1:200

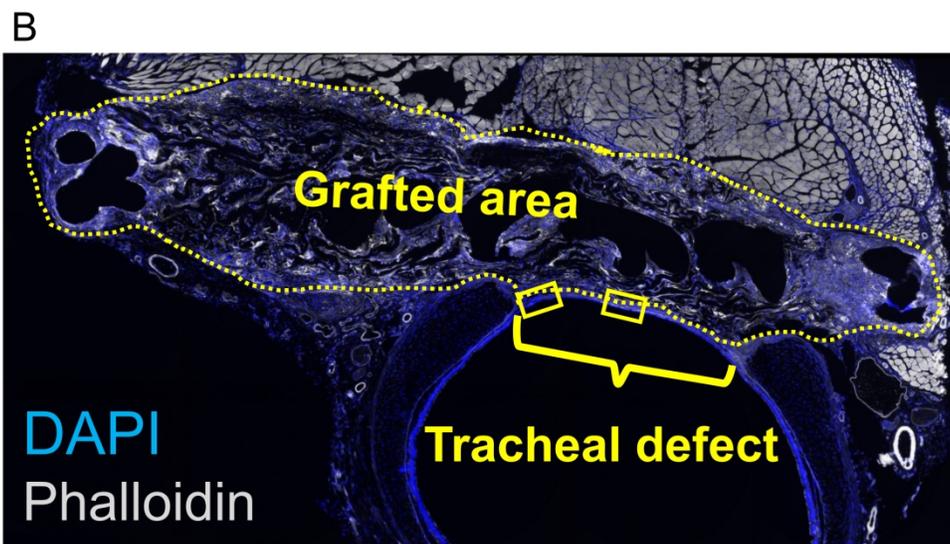
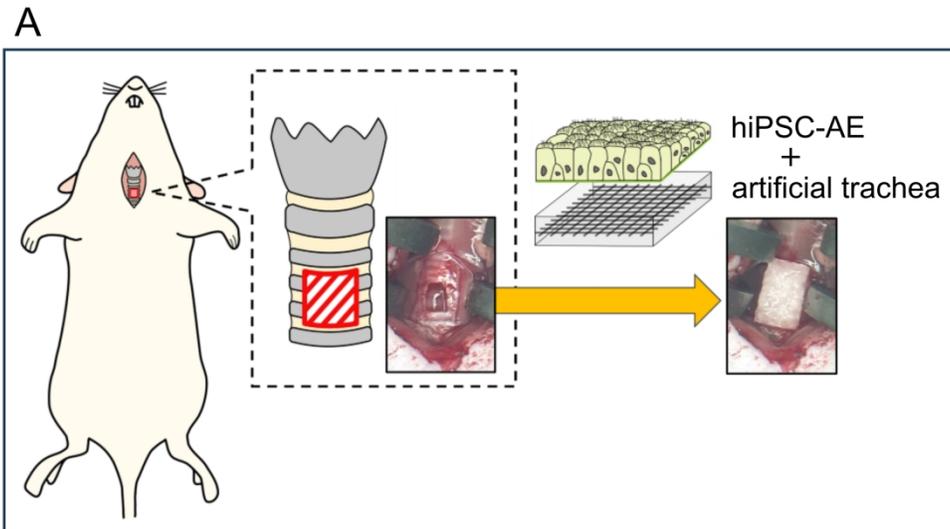
Figure.1



Preparation of graft. (A) Immunofluorescent staining of apical surfaces of induced cells on day 56. The expressions of E-cadherin (green) and AcTub (red) were observed. Scale bar = 50 μm . (B, C) Immunofluorescent staining of a vertical section of an induced cell sheet on day 56. KRT5 (B) and MUC5AC (C) expression were observed. Scale bars = 50 μm . (D) A vertical section of induced cells observed by transmission electron microscopy on day 56. The inset shows the "9 + 2" structure in cilia-like protrusions, which is the specific structure of motile cilia. Scale bar = 10 μm . (E) Cilia-like protrusions on the apical surface of induced cells were observed by scanning electron microscopy on day 56. Scale bar = 30 μm . (F) An artificial trachea used as a scaffold. Stumps of polypropylene mesh are found between the collagen sponge. Scale bar = 300 μm . AcTub, acetylated α -tubulin; KRT5, cytokeratin5; MUC5AC, Mucin5AC; DAPI, 4',6 - diamidino-2-phenylindole.

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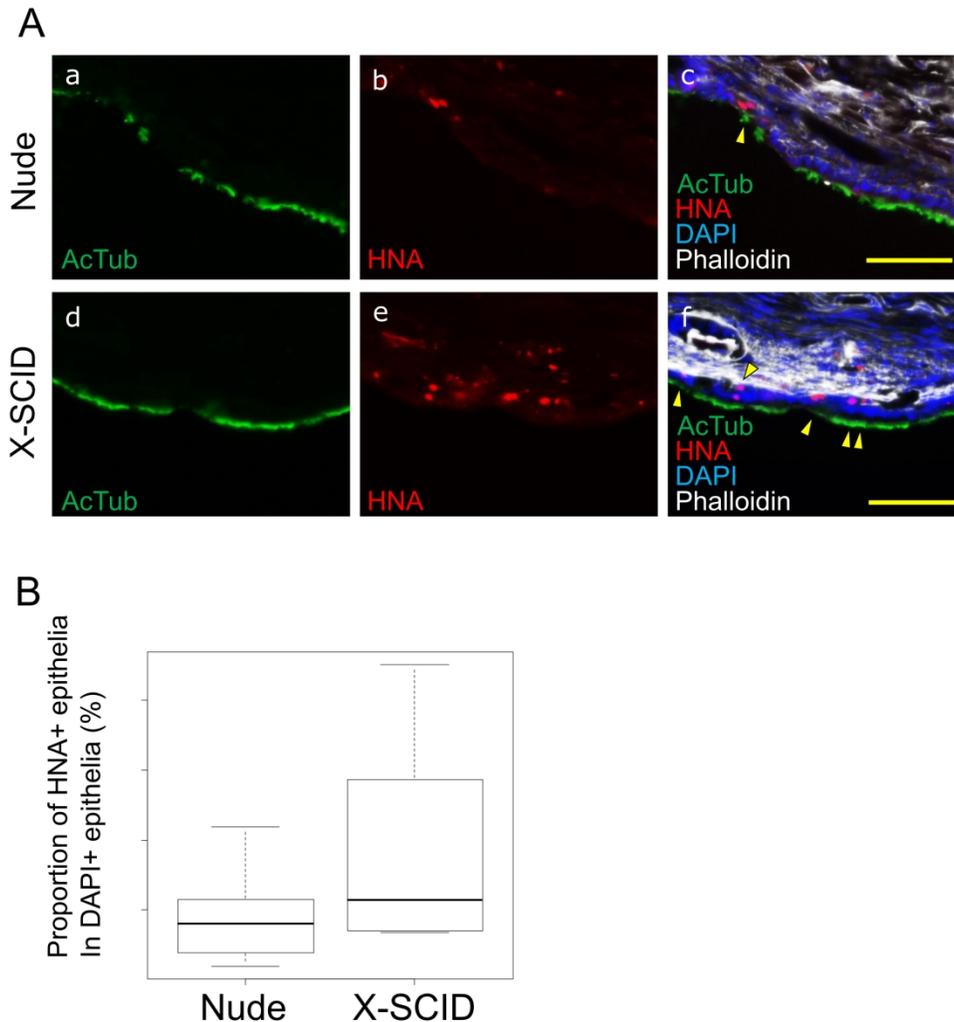
Figure.2



Transplantation of an artificial trachea covered with an hiPSC-AE sheet. (A) Schema of the experimental design. (B) Axial section of a nude rat trachea including the transplanted area. hiPSC-AE, human iPSC-derived airway epithelia. The proportion of hiPSC-derived epithelia in the total epithelia at the transplanted area was calculated from two epithelial areas with a width of 350 μ m at the center and one side of the tracheal defect area (two yellow squares). The proportion of hiPSC-derived mesenchymal cell was calculated from the HNA-positive area and grafted area (area surrounded by a yellow dotted line). DAPI, 4',6-diamidino-2-phenylindole.

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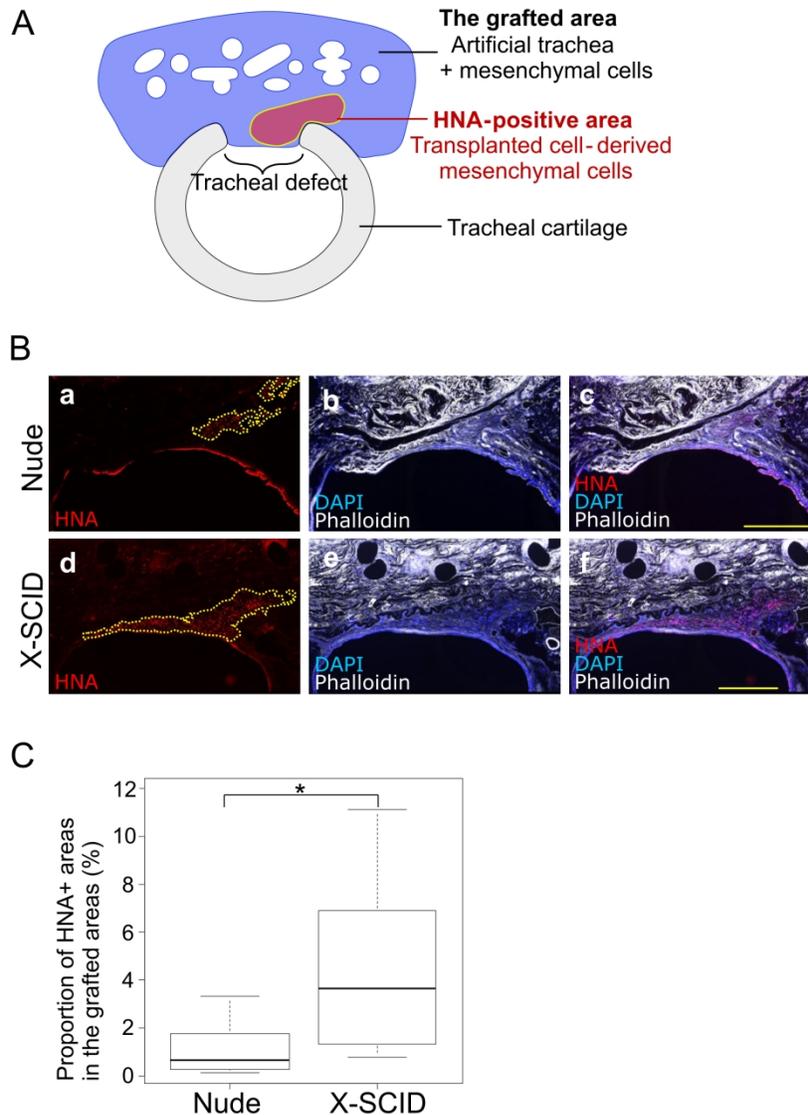
Figure.3



Survived cells in the tracheal epithelia. (A) Immunofluorescent staining images of the transplanted area including the surviving transplanted cells in a nude rat (a–c) and X-SCID rat (d–f). Some hiPSC-derived HNA-positive cells (b, e) were positive for the ciliated cell marker AcTub (c, f). Phalloidin shows the outline of the cells in tracheae, and DAPI was used as a counterstain. Scale bar = 100 μ m. Yellow arrowheads show the hiPSC-derived ciliated cells. (B) Comparison of the proportion of the HNA-positive epithelia in the total epithelia in nude and X-SCID ($p = 0.347$, Mann–Whitney U test) rats (nude rats, $n = 5$; X-SCID rats, $n = 5$). DAPI, 4',6-diamidino-2-phenylindole; hiPSC-AE, human iPSC-derived airway epithelia; HNA, human nuclear antigen; X-SCID, X-linked severe combined immunodeficiency.

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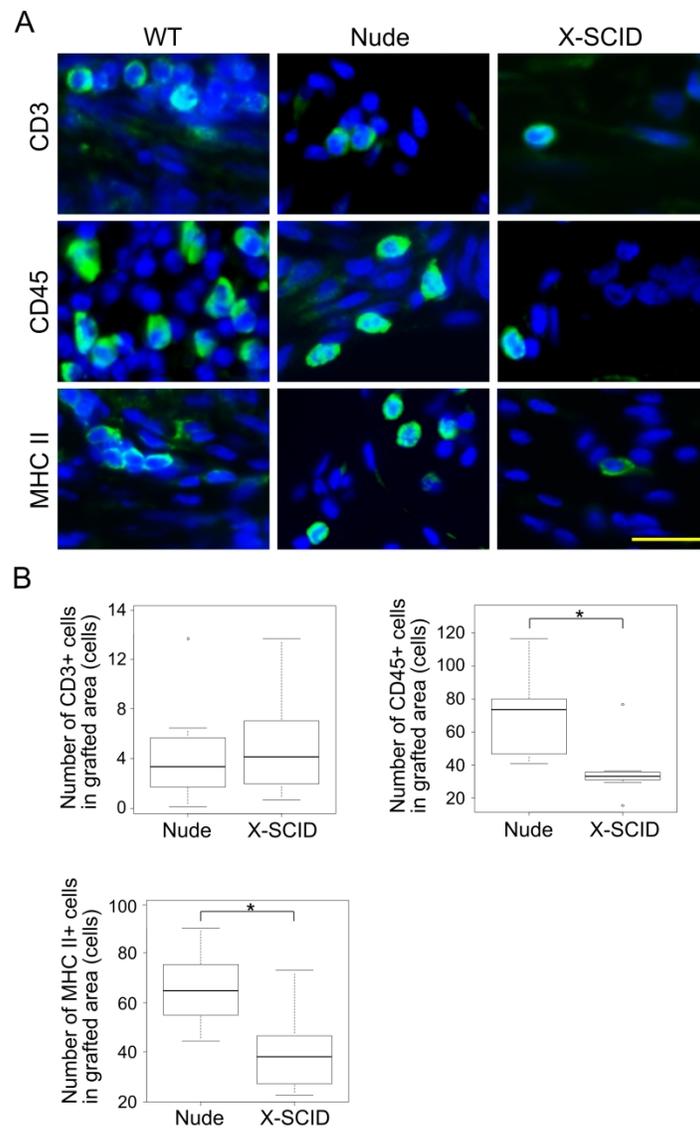
Figure.4



Survived cells in the mesenchymal tissues in tracheae. (A) Shema of the area used for the calculation of the proportion of HNA-positive cells in the grafted area. (B) Immunofluorescent staining images of the transplanted area including the surviving transplanted cells in a nude rat (a–c) and X-SCID rat (d–f). In the grafts, hiPSC-derived HNA-positive areas (a, d) are observed. Phalloidin shows the outline of the cells in tracheae (b, e). Merged images. (c, f) Scale bar = 500 μ m. (C) Comparison of the proportion of HNA-positive areas in grafts in nude and X-SCID ($p = 0.021$, Mann–Whitney U test) rats (nude rats, $n = 8$; X-SCID rats, $n = 8$). DAPI, 4',6-diamidino-2-phenylindole; HNA, human nuclear antigen; X-SCID, X-linked severe combined immunodeficiency.

532x746mm (118 x 118 DPI)

Figure.5



Immune response in the transplantation site. (A) Representative images of the three types of immune cells in wild-type, nude, and X-SCID rats. Scale bar = 20 μ m. (B) Comparison of the numbers of the three types of immune cells in grafts in nude and X-SCID rats (CD3, CD45, and MHC class II, $p = 0.674$, 0.005 , and 0.009 , Mann-Whitney U test) (nude rats, $n = 8$; X-SCID rats, $n = 8$). WT, wild-type rats; nude, nude rats; X-SCID, X-linked severe combined immunodeficiency rats.

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Supplemental material

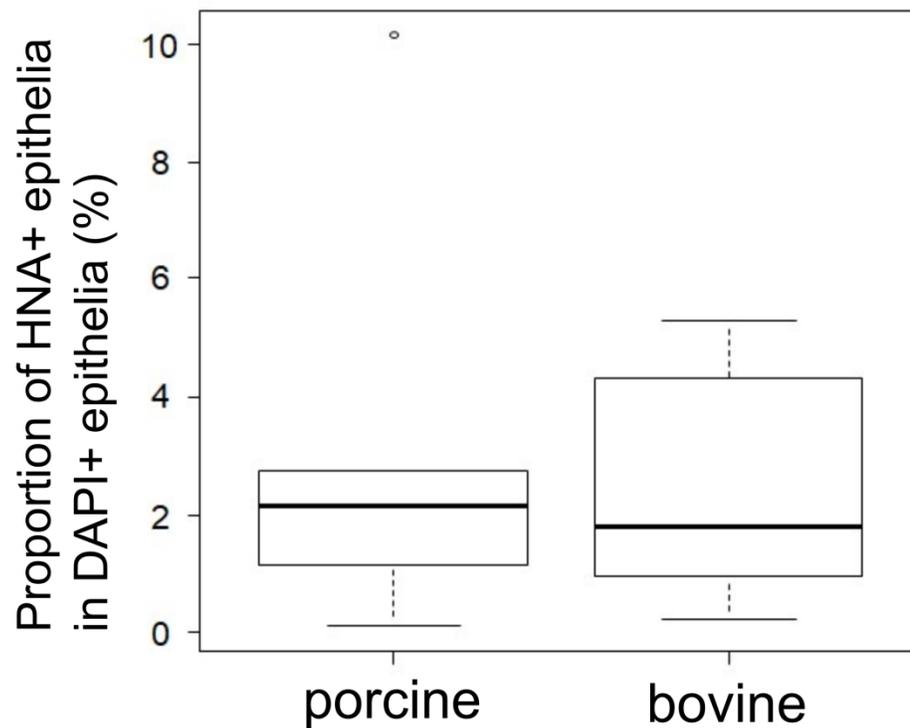
Supplementary materials and methods

The 0.5% atelocollagen solution was prepared by uniformly mixing equal volumes of 1.0% acidic solution of porcine-derived collagen formulated for regenerative medicine (Kanto Chemical) and serum-free DMEM containing 20 mM N-2-hydroxyethylpiperazine-N'-2-ethanesulfonic acid, 100 U/mL penicillin, and 100 µg/mL streptomycin (Thermo Fisher Scientific). A collagen vitrigel membrane containing 2.2-mg collagen/cm² unit area was fabricated by gelation, vitrification, and rehydration with PBS, as previously described.^{40,41} Then, the membrane was converted into an atelocollagen xerogel membrane by revitrification on a separable sheet. The xerogel membrane was pasted onto the bottom-side edge of a plastic cylinder (inner–outer diameter of 11–15 mm) and a length of 15 mm, and a couple of hangers were connected to its top edge of the cylinder. These cell culture inserts with a porcine atelocollagen xerogel membrane, which could be easily converted into an atelocollagen vitrigel membrane by rehydration, were used to prepare hiPSC-AE sheets.

Supplementary figure legend

Supplementary Fig. S1. Comparison of the proportion of HNA-positive epithelia in the total epithelia in the grafted area in the porcine atelocollagen vitrigel membrane group and the bovine collagen vitrigel membrane group ($p = 1$, Mann–Whitney U test). The median percentages of the porcine atelocollagen vitrigel membrane group and the bovine collagen vitrigel membrane group were 2.16% (0.09%–10.16%) and 1.78% (0.21%–5.32%) (porcine atelocollagen vitrigel membrane, $n = 5$; bovine collagen vitrigel membrane, $n = 5$), respectively. DAPI, 4',6-diamidino-2-phenylindole; HNA, human nuclear antigen.

Figure.S1



Comparison of the proportion of HNA-positive epithelia in the total epithelia in the grafted area in the porcine atelocollagen vitrigel membrane group and the bovine collagen vitrigel membrane group ($p = 1$, Mann-Whitney U test). The median percentages of the porcine atelocollagen vitrigel membrane group and the bovine collagen vitrigel membrane group were 2.16% (0.09%–10.16%) and 1.78% (0.21%–5.32%) (porcine atelocollagen vitrigel membrane, $n = 5$; bovine collagen vitrigel membrane, $n = 5$), respectively. DAPI, 4',6-diamidino-2-phenylindole; HNA, human nuclear antigen.

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