

## Knots and links in spatial graphs

谷山公規  
Kouki Taniyama

〒 169-8050

東京都新宿区西早稲田 1-6-1

早稲田大学教育学部数学教室

Department of Mathematics, School of Education, Waseda University, 1-6-1

Nishi-Waseda, Shinjuku-ku, Tokyo, 169-8050, Japan

*e-mail address:* taniyama@waseda.jp

**Abstract** We study the relations of knots and links contained in a spatial graph.

This is an survey article on the results about knots and links contained in a spatial graph. We do not intend to cover all results in this topic. We only treat some of them here.

The set of knots and links contained in a spatial graph is a naive invariant of spatial graph. However it is of course not a complete invariant in general. For example Kinoshita's theta curve in Fig. 1 is not trivial but contains only trivial knots as the trivial theta curve. See for other such examples [5], [20] and [15].

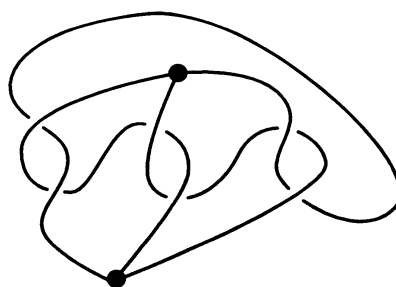


Fig. 1

Anyway we are interested in the set of knots and links contained in a spatial graph. In [6] it is shown that any given  $n(n-1)/2$  knot types are realized by an embedding of  $\theta_n$  at once. Here  $\theta_n$  denotes the graph on two vertices and  $n$  edges joining them. For example, suppose that trefoil knot, figure eight knot and  $(2,5)$ -torus knot are given. Then there is an embedding of  $\theta = \theta_3$  that contains all of them. See Fig. 2 for such an example.

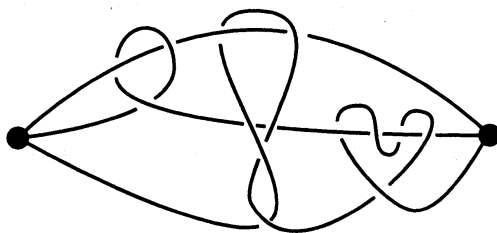


Fig. 2

Now we give a precise definition. Let  $G$  be a finite graph. We consider  $G$  as a topological space as well as a combinatorial object. Let  $\Gamma$  be a set of subgraphs of  $G$ . Suppose that for each  $H \in \Gamma$ , an embedding  $\phi_H : H \rightarrow R^3$  is given. Then we say that the set of embeddings  $\{\phi_H | H \in \Gamma\}$  is *realizable* if there is an embedding  $\varphi : G \rightarrow R^3$  such that the restriction map  $\varphi|_H$  is ambient isotopic to  $\phi_H$  for each  $H \in \Gamma$ . The fundamental problem is whether or not given  $\{\phi_H | H \in \Gamma\}$  is realizable.

Let  $f : G \rightarrow R^3$  be an embedding. Then the Wu invariant  $\mathcal{L}(f)$  of  $f$  is an element of an abelian group  $L(G)$  associated to  $G$ . See [16] for their definitions. Let  $H$  be a subgraph of  $G$ . Then there is a natural homomorphism  $h_H : L(G) \rightarrow L(H)$ . Let  $I_G$  be a subset of  $L(G)$  that is defined by  $I_G = \{\mathcal{L}(f) | f : G \rightarrow R^3 \text{ is an embedding}\}$ . Then the following is known in [17] as a necessary condition of realizability.

**Theorem 1.** *Suppose that  $\{\phi_H | H \in \Gamma\}$  is realizable. Then there is an element  $x \in I_G$  such that  $h_H(x) = \mathcal{L}(\phi_H)$  for each  $H \in \Gamma$ .*

From now on we only consider the case that  $\Gamma = \Gamma(G)$  is the set of all cycles of  $G$ . Here a *cycle* is a subgraph of  $G$  that is homeomorphic to a circle. A cycle on  $n$  vertices is called an  $n$ -cycle. Let  $\Gamma_n(G)$  be the set of all  $n$ -cycles of  $G$ . We say that a graph

$G$  is *adaptable* if any set of embeddings  $\{\phi_H | H \in \Gamma(G)\}$  is realizable. Then the result stated above is rephrased that  $\theta_n$  is adaptable. In [21] it is shown that  $K_4$  is adaptable. Here  $K_n$  denotes the complete graph on  $n$  vertices. Moreover in [22] it is shown that all proper subgraphs of  $K_5$  are adaptable. In [22] Yasuhara established a method of realization of knots and links in a spatial graph based on band description of knots. Now we are interested in whether or not  $K_5$  is adaptable. The answer is ‘No’. In fact we have the following theorem.

**Theorem 2.** *A set of embeddings  $\{\phi_H | H \in \Gamma(K_5)\}$  is realizable if and only if there is an integer  $m$  such that*

$$\sum_{H \in \Gamma_5(K_5)} a_2(\phi_H(H)) - \sum_{H \in \Gamma_4(K_5)} a_2(\phi_H(H)) = \frac{m(m-1)}{2}.$$

We note that the ‘only if’ part of Theorem 2 is shown in [8] and the ‘if’ part of Theorem 2 is shown in [19]. We refer the reader to [19], [12], [13] and [11] for related results.

Now we are interested in the existence of nontrivial knots and links in a large complete graph. The following theorem in [1] is a milestone in this area.

**Theorem 3.** (1) *For any embedding  $f : K_6 \rightarrow R^3$  the sum of the linking numbers of the links in  $f(K_6)$  is an odd number.*

(2) *For any embedding  $f : K_7 \rightarrow R^3$  the sum of the second coefficients of the Conway polynomials of the knots of 7-cycles in  $f(K_7)$  is an odd number.*

In [9] it is shown that for any knot  $J$  there is a natural number  $n$  such that every linear embedding of  $K_n$  into  $R^3$  contains a cycle that is ambient isotopic to  $J$ . See also [7] [10] etc. for related results.

In [3] it is shown that every embedding of  $K_{10}$  into  $R^3$  contains a 3-component nonsplittable link. In [4] it is shown that for any natural number  $n$  there is a graph  $G$  such that every embedding of  $G$  into  $R^3$  contains an  $n$ -component nonsplittable link.

In [2] it is shown that for any natural number  $n$  there is a natural number  $m$  such that every embedding of  $K_m$  contains a 2-component link whose absolute value of the linking number is greater than or equal to  $n$ . It is also shown in [2] that for any natural number  $n$  there is a natural number  $m$  such that every embedding of  $K_m$  contains a knot whose absolute value of the second coefficient of the Conway polynomial is greater than or equal to  $n$ . In the first result  $m$  is actually given by a polynomial of  $n$  whose degree is 2. In the second result  $m$  is actually given by a polynomial of  $n$  whose degree is 1. Recently the author and Shirai showed that in the first result  $m$  can be given by a polynomial of  $n$  whose degree is 1, and in the second result  $m$  can be given by a polynomial of  $n$  whose degree is  $1/2$ . See [14] for more details.

Let  $\sigma_{2n+3}^n$  be the  $n$ -skeleton of a  $(2n+3)$ -simplex. In [18] it is shown that for any embedding of  $\sigma_{2n+3}^n$  into the  $(2n+1)$ -sphere the sum of the linking numbers of the 2-component  $n$ -links contained in the embedding is an odd number. The case  $n=1$  is just Theorem 3 (1). Thus this result is a higher dimensional generalization of Theorem 3 (1).

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