

The family of  $K3$  surfaces with a transcendental lattice  
 $U(2)^2 \times \langle -2 \rangle^4$  for a general member

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**0 Introduction**

Let us consider the lattice  $P = D_4^3 \oplus \langle -2 \rangle \oplus \langle 2 \rangle$  of rank 14. A  $K3$  surface  $S$  is called of type  $P$  when it satisfies  $P \subset \text{Pic}(S)$ , where  $\text{Pic}(S)$  stands for the Picard lattice of  $S$ . In this article we show the outline of the study on the period map for the family  $\mathcal{F}$  of  $K3$  surfaces of type  $P$ .

We work always on the ground field  $\mathbf{C}$ . Note that the lattice  $H^2(S, \mathbf{Z})$  is always isomorphic to  $L = U^3 \oplus (-E_8)^2$  and  $P^\perp \subset L$  is isomorphic to  $U(2)^2 \oplus \langle -2 \rangle^4$ .

In 1992 K. Matsumoto, T. Sasaki and M. Yoshida [7] studied the period mapping for a family of  $K3$  surfaces of type  $(3, 6)$ , that is the family of double covering surfaces over  $\mathbf{P}^2$  branching along six lines in general position, and Matsumoto [6] gave the description of the inverse mapping in terms of theta constants. It gives the modular map for the 4 dimensional Shimura variety in the Siegel upper half space  $\mathcal{S}_4$  derived from the family of 4 dimensional abelian varieties with generalized complex multiplication by  $\sqrt{-1}$  of type  $(2, 2)$ . So we call it MSY modular mapping. The second author showed an arithmetic application of MSY modular mapping in [11].

In the case of MSY modular map the corresponding  $K3$  surface is characterized by the lattice  $U(2)^2 \oplus \langle -2 \rangle^2$  and the moduli space is a 4-dimensional type  $IV$  domain. We suspect such fruitful results of the MSY map is the consequence of the eventual coincidence of two different bounded symmetric domains  $D_{IV}^4$  and  $I_{2,2}$ . There are a few (finite) such exceptional coincidences. The highest one is the (analytic) equivalence between  $D_{IV}^6$  and  $\mathbf{H}_{II}(4)$  ( in terms of Lie algebra  $so(2, 6; \mathbf{R}) \cong so(4, \mathbf{F})$ , where  $\mathbf{F}$  indicates the Hamilton quaternion field ) and it contains the above coincidence of MSY case. That is our situation.

The ring structure of the regular functions on the parameter space for  $\mathcal{F}$  is given by the article of Koike and Ochiai in this volume, their result is the consequence of the discussion motivated by the RIMS workshop.

**1 Realization as an algebraic surface**

We fix an abstract lattice  $L$  and its  $P$ -part  $D_4^3 \oplus \langle -2 \rangle \oplus \langle 2 \rangle$ . A  $K3$  surface  $S$  is called of exact type  $P$  when it satisfies  $P \cong \text{Pic}(S)$  via an isomorphism  $\varphi : H_2(S, \mathbf{Z}) \rightarrow L$ . That is a general member of  $\mathcal{F}$ . A surface  $S$  of exact type  $P$  is realized as a double covering surface over  $\mathbf{P}^1 \times \mathbf{P}^1$  branching along four bidegree  $(1, 1)$  curves  $H_1, H_2, H_3, H_4$  satisfying

- $J_1) H_k \quad (k = 1, 2, 3, 4)$  is irreducible,

$J_2$ )  $H_k \cap H_\ell$  consists of two different points,

$J_3$ ) for any different three indices  $i, j, k$  we have  $H_i \cap H_j \cap H_k = \emptyset$

under some nef condition stated in Theorem 1. Such a surface is given as the complete nonsingular model of the affine variety

$$S = S(x) : w^2 = \prod_{k=1}^4 (x_1^{(k)} st + x_2^{(k)} s + x_3^{(k)} t + x_4^{(k)}),$$

where we use the notation

$$x_k = \begin{pmatrix} x_1^{(k)} & x_2^{(k)} \\ x_3^{(k)} & x_4^{(k)} \end{pmatrix} \in M(2, \mathbf{C}).$$

So the curve  $H_k$  ( $k = 1, 2, 3, 4$ ) is given by

$$(s, 1) \begin{pmatrix} x_1^{(k)} & x_2^{(k)} \\ x_3^{(k)} & x_4^{(k)} \end{pmatrix} \begin{pmatrix} t \\ 1 \end{pmatrix} = 0.$$

By considering the projection to the  $s$ -line we find that a general member  $S$  of  $\mathcal{F}$  is an elliptic fibred surface with 12 singular fibres of type  $I_2$  corresponding to the intersection points  $H_i \cap H_j$  ( $i \neq j$ ). Counting the Euler number we know that  $S(x)$  is a  $K3$  surface. Let  $E_{ij}^\pm$  be the exceptional curves obtained by the blow up processes at the intersections  $H_i \cap H_j$  ( $i \neq j, 1 \leq i, j \leq 4$ ). Let  $\pi$  be the projection  $S \rightarrow \mathbf{P}^1 \times \mathbf{P}^1$  and set

$$G_i = \frac{1}{2}(\pi^* H_i - \sum_{i \neq j} (E_{ij}^+ + E_{ij}^-)) \quad (i = 1, 2, 3, 4)$$

$$F_s = \pi^* \{t = 0\}, F_t = \pi^* \{s = 0\}.$$

**Lemma 1.1** *The sublattice in  $H_2(S(x), \mathbf{Z})$  generated by  $E_{ij}^+, E_{ij}^-, G_i, F_s, F_t$  is isomorphic to  $P$ . So  $S(x)$  is a  $K3$  surface of type  $P$ .*

According to the above Lemma we can define the elements  $e_{ij}^\pm, g_i, f_s, f_t$  in the abstract lattice  $L$  corresponding to the divisors with capital letters in  $H^2(S(x), \mathbf{Z})$  via an isomorphism  $\varphi : H_2(S(x), \mathbf{Z}) \rightarrow L$ .

**Theorem 1** *Let  $S$  be a  $K3$  surface of type  $P$  with an isomorphism  $\varphi$ , and suppose that  $\varphi^{-1}(f_s)$  and  $\varphi^{-1}(f_t)$  are nef divisors. Then  $S$  is realized as the form of  $S(x)$ .*

## 2 Period map

### 2.1 Marking of a $K3$ surface of type $P$

**Definition 2.1** *Let  $S$  be a  $K3$  surface of type  $P$  with an embedding  $P \rightarrow L$ . We call the triple  $(S, \varphi, P)$  a  $P$  marking of  $S$  if we have*

- (1)  $\varphi : H_2(S, \mathbf{Z}) \rightarrow L$  is an isometry of lattices,
- (2)  $\varphi^{-1}(f_s), \varphi^{-1}(f_t), \varphi^{-1}(e_{ij}^\pm), \varphi^{-1}(g_i)$  are effective divisors and  $\varphi^{-1}(f_s)$  and  $\varphi^{-1}(f_t)$  are nef.

Let  $O(L)$  be the group of isometries of the lattice  $L$ , and set  $O(L, P) = \{g \in O(L) : g(x) = x \text{ for } \forall x \in P\}$ . Putting  $T = P^\perp$  we set  $G = O(T)$  and  $G(2) = \{g \in G : g \equiv I \pmod{2}\}$ , where we defined  $O(T)$  as same as  $O(L)$ .

**Proposition 2.1** We have  $G(2) \cong O(L, P)$ .

**Definition 2.2** Let  $(S, \varphi, P)$  and  $(S', \varphi', P)$  be  $P$  markings of  $S$  and  $S'$ , respectively. An isomorphism  $\rho : S \rightarrow S'$  is called an isomorphism of these 2 markings when we have  $\varphi = \varphi' \circ \rho_*$ . We say 2 markings  $(S, \varphi, P)$  and  $(S', \varphi', P)$  are equivalent when we have a  $\gamma \in O(L, P)$  such that  $(S', \varphi', P)$  is isomorphic to  $(S, \gamma \circ \varphi, P)$ .

**Remark 2.1** Equivalent markings correspond to the same configuration of the branch locus with the order of  $H_1, \dots, H_4$  of the double covering surfaces. And this relation corresponds to the base change of the  $K3$  lattice which preserves the polarization  $P$ .

## 2.2 Parameter space

From the above argument we can take the configuration space for the family of 4 bidegree  $(1, 1)$  curves as a parameters space of our family of  $P$  marked  $K3$  surfaces. The presentation of  $H_k$  has an ambiguity of a constant factor. By considering the projective coordinate transformation of  $s$ -space and  $t$ -space our parameter space is given by

$$X = \left( PGL(2, \mathbf{C}) \setminus \{(x_1, \dots, x_4)\} = (GL(2, \mathbf{C}))^4 / PGL(2, \mathbf{C}) \right) / (\mathbf{C}^*)^4.$$

For further investigation we need a realization of  $X$  as a projective variety. That is suggested in the article of Koike and Ochiai on this same volume.

## 2.3 Period domain

Let  $\Gamma_1, \dots, \Gamma_8$  be a fixed basis of  $T$  such that we have the intersection form

$$(\Gamma_i \cdot \Gamma_j) = A := U(2) \oplus (-2I_4).$$

For a  $P$  marking  $(S, \varphi, P)$  let  $\Omega$  be the holomorphic 2-form on  $S$  that is unique up to a constant factor. We define the period of  $(S, \varphi, P)$  by

$$\eta = \left[ \int_{\varphi^{-1}(\Gamma_1)} \Omega, \dots, \int_{\varphi^{-1}(\Gamma_8)} \Omega \right] \in \mathbf{P}^7.$$

The image of the period mapping for the family of  $P$  marked  $K3$  surfaces is open dense in the 6-dimensional domain given by

$$D^+ = \{ \eta = [\eta_1, \dots, \eta_8] \in \mathbf{P}^7 : {}^t \eta A \eta = 0, {}^t \bar{\eta} A \eta > 0, \Im(\eta_3 / \eta_1) > 0 \}.$$

We get this fact by using the Riemann-Hodge relation of the period and the Torelli theorem for  $K3$  surfaces. It is a bounded symmetric domain of type IV. We set

$$G^+ = \{ g \in G : g(D^+) = D^+ \}, \quad G(2)^+ = \{ g \in G(2) : g(D^+) = D^+ \}.$$

We can determine the modular group for the equivalence classes of the  $P$  marked surfaces. Namely

**Theorem 2** Let  $(S, \varphi, P)$  and  $(S', \varphi', P)$  be  $P$  markings of  $K3$  surfaces of type  $P$ . Let  $\eta$  and  $\eta'$  be the corresponding periods, respectively. Then these two markings are equivalent if and only if

$$g(\eta) = \eta'$$

for some  $g \in G(2)^+$

**Theorem 3** *The modular group  $G(2)^+$  is a reflection group.*

Here a transformation

$$R_v : \lambda \mapsto v - 2({}^t v A \lambda / {}^t v A v) v, \quad \lambda \in D^+, v \in \mathbf{Z}^8$$

is called a reflection with the root vector  $v$ .

## 2.4 Degenerate locus

## 3 Differential equation

We can determine the system of differential equations for the period with 16 variables  $x_{ij}^k$ . It becomes a holonomic system of rank 8. So our periods  $\int_{\varphi^{-1}(\Gamma_i)} \Omega$  ( $i = 1, \dots, 8$ ) make a basis of the space of solutions for this system defined on a domain  $X' = X - V$ , where  $V$  is the degenerating locus corresponding to the set of  $K3$  surfaces of type  $P$  which violate some condition of  $J_1, J_2, J_3$ .

So we can consider the monodromy group  $\mathcal{M}$  for this system.

**Proposition 3.1** *We have  $G(2)^+ \subset \mathcal{M}$ .*

**Remark 3.1** *We have possibly  $G(2)^+ = \mathcal{M}$ . But we cannot decide it at present, because Some monodromy transformation may cause an interchange of  $E_{ij}^\pm$ .*

**Remark 3.2** *The image of the degenerating locus  $V$  by the period map is consists of 4, 6, 16 hyperplanes (so in total 26 hyperplanes) in the period domain  $D^+$  which correspond to the violation of the condition  $J_1, J_2, J_3$ , respectively.*

## 4 Transfer of the period domain

The type II domain  $\mathbf{H}$  is defined by

$$\mathbf{H} = \mathbf{H}_{II} = \{Z \in M(4, \mathbf{C}) : J_4 Z = {}^t Z J_4, \quad \frac{1}{i}(Z - Z^*) > 0\},$$

where we use the notation

$$J_{2n} = \begin{pmatrix} O_n & E_n \\ -E_n & O_n \end{pmatrix}$$

and the member  $Z \in \mathbf{H}$  is described in the form

$$Z = \begin{pmatrix} a & b & 0 & s \\ c & d & -s & 0 \\ 0 & t & a & c \\ -t & 0 & b & d \end{pmatrix}.$$

We define the mapping  $\psi : \mathbf{H} \rightarrow \mathbf{P}^7$  by

$$\zeta = {}^t [z_1, z_2, z_3, z_4, z_5, z_6, z_7, z_8] = {}^t [1, -ad + bc - st, a, d, b, c, -s, t].$$

As a direct translation of the above lemma, we obtain :

**Proposition 4.1** *The image of  $\psi$  is determined in  $\mathbf{P}^7$  by the following three conditions:*

$$(1) \quad {}^t\zeta(U \oplus U \oplus U \oplus U)\zeta = 0.$$

$$(2) \quad \zeta^*(U \oplus U \oplus U \oplus U)\zeta > 0,$$

where  $\zeta^* = {}^t\bar{\zeta}$ .

$$(3) \quad \Im\left(\frac{z_3}{z_1}\right) > 0.$$

By straight forward calculation we have the following.

**Theorem 4** *The image  $\psi(\mathbf{H})$  is transformed to the type IV domain  $D_{IV}^+$  by the map :*

$$\eta = P\zeta$$

with

$$P = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{-i}{1-i} & \frac{1}{1-i} & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{-i}{1+i} & \frac{-1}{1+i} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \frac{-i}{1-i} & \frac{1}{1-i} \\ 0 & 0 & 0 & 0 & 0 & 0 & \frac{-i}{1+i} & \frac{-1}{1+i} \end{pmatrix}. \quad (4.1)$$

So the composite mapping  $\delta = P \circ \psi$  gives the isomorphism

$$\delta : \mathbf{H} \cong D_{IV}.$$

**Remark 4.1** *The analytic equivalence of the domains  $D_{IV}^+$  and  $\mathbf{H}$  is well known. But we are wishing to find the transfer which preserves the modular groups each other.*

#### 4.1 the Quaternion half space

Let  $\mathbf{F}$  be the Hamilton quaternion  $\mathbf{R}$ -algebra generated by  $\{e_1, e_2, e_3, e_4\}$  with

$$e_1 = 1, \quad e_2e_3 = e_4, \quad e_i^2 = -1.$$

Then the ring of integers in  $\mathbf{F}$  is given by

$$\mathcal{O}(\mathbf{F}) = \mathbf{Z}e_0 + \mathbf{Z}e_1 + \mathbf{Z}e_2 + \mathbf{Z}e_3,$$

where  $e_0 = \frac{1}{2}(e_1 + e_2 + e_3 + e_4)$ .

**Proposition 4.2** *The mapping*

$$\varphi : M(n, \mathbf{F}) \rightarrow M(2n, \mathbf{C})$$

defined by

$$\varphi\left(\sum_i A_i e_i\right) = \begin{pmatrix} A_1 e_1 + A_2 e_2 & A_3 e_1 + A_4 e_2 \\ -A_3 e_1 + A_4 e_2 & A_1 e_1 - A_2 e_2 \end{pmatrix}$$

is an injective homomorphism of  $\mathbf{R}$ -algebra.

**Definition 4.1** We set

$$\text{Sym}(2, \mathbf{F}) = \{X \in M(2, \mathbf{F}) : {}^t \bar{X} = X\},$$

$$\text{Pos}(2, \mathbf{F}) = \{X \in \text{Sym}(2, \mathbf{F}) : X > 0\}.$$

Note that we have

$$X \in \text{Sym}(2, \mathbf{F}) \iff \varphi(X) \in \text{Sym}(4, \mathbf{C}),$$

$$X \in \text{Pos}(2, \mathbf{F}) \iff \varphi(X) \in \text{Pos}(4, \mathbf{C})$$

and it holds also

$$X \in \text{Pos}(2, \mathbf{F}) \iff X = g^* g, \quad \exists g \in \text{GL}(2, \mathbf{F}).$$

**Definition 4.2** The quaternion half space is defined by

$$\mathbf{H}(n, \mathbf{F}) = \{X + \sqrt{-1}Y : X \in \text{Sym}(n, \mathbf{F}), Y \in \text{Pos}(n, \mathbf{F})\}.$$

**Remark 4.2** (1) We can define the half spaces using  $\mathbf{R}$  and  $\mathbf{C}$  instead of  $\mathbf{F}$ . The half space  $\mathbf{H}(n, \mathbf{R})$  is a Siegel half space, and  $\mathbf{H}(n, \mathbf{C})$  is the bounded symmetric space of type I.

(2) Two spaces  $\mathbf{H}(2, \mathbf{F})$  and  $\varphi(\mathbf{H}(2, \mathbf{F})) \subset \mathbf{H}(4, \mathbf{C})$  are isomorphic as complex manifolds via the correspondence  $\varphi$ .

**Proposition 4.3** For an element  $Z \in \mathbf{H}$  we have a decomposition  $Z = X + \sqrt{-1}Y$  with

$$X = \frac{1}{2}(Z + {}^t \bar{Z}), Y = \frac{1}{2\sqrt{-1}}(Z - {}^t \bar{Z}),$$

and so  $\mathbf{H}$  is naturally embedded in the half space  $\mathbf{H}(4, \mathbf{C})$ .

**Remark 4.3** We can examine the equality  $\mathbf{H} = \varphi(\mathbf{H}(2, \mathbf{F}))$  by direct calculation.

Set

$$\text{Sp}(2n, \mathbf{F}) = \{g \in \text{GL}(2n, \mathbf{F}) : g^* J_{2n} g = J_{2n}\}$$

and we define  $\text{Sp}(2n, \mathbf{C})$  by putting  $\mathbf{C}$  instead of  $\mathbf{F}$ . The following is wellknown:

**Proposition 4.4** The group  $\text{Sp}(4, \mathbf{F})$  is generated by

$$J_4, \begin{pmatrix} {}^t \bar{W} & O \\ O & W^{-1} \end{pmatrix}, \begin{pmatrix} I & S \\ O & I \end{pmatrix}, \quad \text{where } W \in \text{GL}(2, \mathbf{F}) \text{ and } S^* = S.$$

So we obtain :

**Proposition 4.5**

$$\text{Image}(\Phi) = \langle J_8, \begin{pmatrix} A & O \\ O & {}^t \bar{A}^{-1} \end{pmatrix}, \begin{pmatrix} I & S \\ O & I \end{pmatrix} \rangle$$

with

$$A = \begin{pmatrix} A_1 & A_2 \\ -\bar{A}_2 & \bar{A}_1 \end{pmatrix}, S^* = S.$$

**Proposition 4.6** ([4] p.55)

The group  $\text{Sp}(4, \mathbf{F}) \cap \text{GL}(4, \mathcal{O}(\mathbf{F}))$  is generated by

$$J_4, \begin{pmatrix} I & S \\ O & I \end{pmatrix}, \begin{pmatrix} U & O \\ O & (U^*)^{-1} \end{pmatrix}$$

where  $S \in \text{Sym}(2, \mathcal{O}(\mathbf{F}))$ ,  $U \in \text{GL}(2, \mathcal{O}(\mathbf{F}))$ .

**Proposition 4.7** The group  $\text{Sp}(2n, \mathbf{F})$  is a subgroup of  $\text{Aut}(H(n, \mathbf{F}))$  via the action

$$Z \mapsto (AZ + B)(CD + D)^{-1}$$

for an element

$$M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}(n, \mathbf{F}).$$

**Proposition 4.8** ([4] p.50)

We have

$$\text{Aut}(H(2, \mathbf{F})) = \text{Sp}(4, \mathbf{F}) \cdot \langle \Pi \rangle,$$

and we have

$$\text{Aut}(H(n, \mathbf{F})) = \text{Sp}(2, \mathbf{F})$$

for  $n \geq 3$ . Where  $\Pi$  indicates the transposition as an element of  $M(2, \mathbf{F})$ , and  $\cdot$  means the semi direct product.

**Remark 4.4** The transposition  $\Pi$  acts as an automorphism of  $H(n, \mathbf{F})$  only for  $n = 2$ . If we have  $n \geq 3$ , it does not preserve the positivity condition  $-\sqrt{-1}(Z - Z^*) > 0$ .

## 4.2 Relation between $G^+(\mathbf{Z})$ and $\Gamma(\mathbf{H})$

**Definition 4.3** Set

$$H = \begin{pmatrix} O & iE_4 \\ -iE_4 & O \end{pmatrix}, \quad S = \begin{pmatrix} O & J_4 \\ -J_4 & O \end{pmatrix}, \quad L = \begin{pmatrix} J_4 & O \\ O & -J_4 \end{pmatrix}, \quad J_{2m} = \begin{pmatrix} O & I_m \\ -I_m & O \end{pmatrix}$$

$$\text{SO}^*(8, \mathbf{C}) = \{g \in \text{GL}(8, \mathbf{C}) : g^*Hg = H, \quad {}^t gSg = S\}.$$

And set

$$\Gamma(\mathbf{H}) = \text{SO}^*(8, \mathbf{Z}[i]) \cdot \langle \iota \rangle,$$

where  $\iota$  indicates the involution

$$Z = \begin{pmatrix} a & b & 0 & s \\ c & d & -s & 0 \\ 0 & t & a & c \\ -t & 0 & b & d \end{pmatrix} \mapsto Z' = \begin{pmatrix} a & b & 0 & t \\ c & d & -t & 0 \\ 0 & s & a & c \\ -s & 0 & b & d \end{pmatrix}.$$

We can easily examine that  $\text{SO}^*(8)$  is a subgroup of  $\text{Sp}(8, \mathbf{C})$ . We obtain the following by checking the conditions for  $\text{SO}^*(8)$ .

**Proposition 4.9** We have a injective homomorphism of  $\mathbf{R}$ -algebra  $\Phi : \text{Sp}(4, \mathbf{F}) \rightarrow \text{Sp}(8, \mathbf{C})$  by putting

$$\Phi : \begin{pmatrix} A & B \\ C & D \end{pmatrix} \mapsto \begin{pmatrix} \varphi(A) & \varphi(B) \\ \varphi(C) & \varphi(D) \end{pmatrix},$$

and the image is contained in  $\text{SO}^*(8)$ .

**Remark 4.5** (1) Let  $\mathcal{O}'$  denote the order  $\mathbf{Z}e_1 + \mathbf{Z}e_2 + \mathbf{Z}e_3 + \mathbf{Z}e_4$  of  $\mathbf{F}$ . Then we have

$$\mathrm{SO}^*(8, \mathbf{Z}[i]) \cong \mathrm{Sp}(4, \mathcal{O}')$$

via the mapping  $\varphi$ .

(2) We expect that the isomorphism  $\delta$  induces injective isomorphisms

$$(\delta^{-1})^* : \Gamma(\mathbf{H}) \rightarrow G^+$$

and

$$\delta^*(G^+(2)) \subset \Gamma(\mathbf{H}).$$

But to get them, it is necessary to proceed more detailed argument on the discrete groups on  $D^+$  and  $\mathbf{H}$ . We don't have these results still now.

### 4.3 Embedding of $\mathbf{H}$ into the Siegel upper space $\mathcal{S}_8$

We use the following notation:

$$\begin{aligned} \mathcal{S}_8 &= \{\Omega \in GL(8, \mathbf{C}) : {}^t\Omega = \Omega, \Im(\Omega) > 0\}, \\ K &= \begin{pmatrix} O & J_4 \\ J_4 & O \end{pmatrix}, L = \begin{pmatrix} J_4 & O \\ O & -J_4 \end{pmatrix}, \quad J_{2m} = \begin{pmatrix} O & I_m \\ -I_m & O \end{pmatrix} \\ \mathcal{S}_8(q) &= \{\Omega \in \mathcal{S}_8 : \Omega J_8 = J_8 \Omega, \Omega K = K \Omega, \Omega L = L \Omega\}. \end{aligned}$$

Note that  $\{I_8, J_8, K, L\}$  make the basis of the Hamilton quaternionic field.

**Proposition 4.10** The domain  $\mathbf{H}_{II}$  is embedded in  $\mathcal{S}_8$  by the mapping

$$\rho : Z \mapsto \frac{1}{2} \begin{pmatrix} Z + {}^t Z & i(Z - {}^t Z) \\ -i(Z - {}^t Z) & Z + {}^t Z \end{pmatrix}$$

It induces the biholomorphic equivalence between  $\mathbf{H}$  and  $\mathcal{S}_8(q)$ .

The group  $\mathrm{SO}^*(8)$  has an injective embedding into  $\mathrm{Sp}(16, \mathbf{R})$  by the mapping  $\lambda$ :

$$Z = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \mapsto \begin{pmatrix} \Re(A) & -\Im(A) & \Re(B) & -\Im(B) \\ \Im(A) & \Re(A) & \Im(B) & \Re(B) \\ \Re(C) & -\Im(C) & \Re(D) & -\Im(D) \\ \Im(C) & \Re(C) & \Im(D) & \Re(D) \end{pmatrix}.$$

For an element  $g \in \mathrm{SO}^*(8)$  we have  $\rho^{-1} \circ g \circ \rho = \lambda(g)$ .

**Proposition 4.11** Put

$$J_{II} = \begin{pmatrix} O & O & J_4 & O \\ O & O & O & -J_4 \\ -J_4 & O & O & O \\ O & J_4 & O & O \end{pmatrix}, \quad \tilde{J}_{16} = J_8 \oplus J_8.$$

Then it holds

$${}^t\lambda(g)J_{II}\lambda(g) = J_{II}, \quad {}^t\lambda(g)\tilde{J}_{16}\lambda(g) = \tilde{J}_{16}$$



for every  $g \in \mathrm{SO}^*(8)$ . If we put

$$\mathrm{Sp}(q) = \{\gamma \in \mathrm{Sp}(16, \mathbf{R}) : \gamma J_{\mathrm{II}} \gamma = J_{\mathrm{II}}, \quad \gamma \tilde{J}_{16} = \tilde{J}_{16} \gamma\}$$

the mapping  $\lambda$  induces the isomorphism

$$\mathrm{SO}^*(8) \cong \mathrm{Sp}(q).$$

Especially the mapping  $\rho$  induces an isomorphism

$$\mathrm{SO}^*(8, \mathbf{Z}[i]) \cong \mathrm{Sp}(q) \cap \mathrm{M}(16, \mathbf{Z}).$$

Let  $\Omega$  be a point on  $\mathcal{S}_8$ , and set  $\Lambda_\Omega = \Lambda = \mathbf{Z}^8 + \mathbf{Z}^8 \Omega$ . Let  $V_\Omega$  denote the abelian variety  $\mathbf{C}^8/\Lambda_\Omega$ . So we regard  $\mathcal{S}_8$  as the coarse moduli space of principally polarized abelian varieties  $V_\Omega$ . Note that  $I_{16}, J_8 \oplus J_8, K \oplus K$  and  $L \oplus L$  belong to  $\mathrm{Sp}(16, \mathbf{Z})$ . We can check that  $I_{16}, J_8 \oplus J_8, K \oplus K$  and  $L \oplus L$  are contained in the algebra of endomorphisms of  $\Lambda$  provided  $\Omega \in \mathcal{S}_8(q)$ . Let  $\langle I_{16}, J_8 \oplus J_8, K \oplus K, L \oplus L \rangle$  be a  $\mathbf{Q}$ -algebra generated by  $I_{16}, J_8 \oplus J_8, K \oplus K, L \oplus L$ . Then we have

$$\mathbf{F}_{\mathbf{Q}} \cong \langle I_{16}, J_8 \oplus J_8, K \oplus K, L \oplus L \rangle \subset \mathrm{End}(V_\Omega) \quad \text{for } \Omega \in \mathcal{S}_8(q),$$

where  $\mathbf{F}_{\mathbf{Q}}$  indicates the Hamilton quaternion algebra over  $\mathbf{Q}$ .

**Proposition 4.12** *The space  $\mathcal{S}_8(q)$  is the coarse moduli space for the family of 8-dimensional abelian variety  $V$  with the property*

$$\mathbf{F}_{\mathbf{Q}} \cong \langle I_{16}, J_8 \oplus J_8, K \oplus K, L \oplus L \rangle \subset \mathrm{End}(V_\Omega).$$

In this sense we can call  $\mathcal{S}_8(q)$  the Shimura variety for the Hamilton quaternion endomorphism algebra  $\mathbf{F}_{\mathbf{Q}}$ .

## 5 Corresponding Kuga-Satake varieties

We use the method developed in [12] and [10]. The detailed calculation and argument are exposed in [2] also.

Let us consider the lattice  $T$  defined by the intersection matrix  $A = U(2) \oplus U(2) \oplus (-2I_4)$  and  $V_k = T \otimes k$  ( $k = \mathbf{R}$  or  $\mathbf{Q}$ ). Let  $Q(x)$  denote the quadratic form on  $T$  and at the same time on  $V_k$ . Let  $Tens(T)$  and  $Tens(V_k)$  be the corresponding tensor algebras. And we let  $Tens^+(T)$  and  $Tens^+(V_k)$  denote the subalgebras composed of the parts with even degree in  $Tens(T)$  and  $Tens(V_k)$ , respectively. We consider the two sided ideal  $I$  in  $Tens^+(V_k)$  generated by elements  $x \otimes x - Q(x)$  for  $x \in V_k$ , and the ideal  $I_{\mathbf{Z}}$  in  $Tens(T)$  is defined by the same manner. The corresponding even Clifford algebra is defined by

$$C^+(V_k, Q) = Tens^+(V_k)/I.$$

By the same manner, we define

$$C^+(T, Q) = Tens^+(T)/I_{\mathbf{Z}}.$$

We note that  $C^+(V_{\mathbf{R}}, Q)$  is a 128 dimensional real vector space and  $C^+(T, Q)$  is a lattice in it. So we obtain a real torus

$$\mathcal{T}_{\mathbf{R}} = C^+(V_{\mathbf{R}}, Q)/C^+(T, Q).$$

Let  $\mathbf{F}$  denote the quaternion algebra

$$\mathbf{Q} \oplus \mathbf{Q}i \oplus \mathbf{Q}j \oplus \mathbf{Q}ij$$

with  $i^2 = j^2 = -1$ . By some routine calculations of the Clifford algebra we obtain the following.

**Proposition 5.1** *We have an isomorphism of algebras  $C^+(V_{\mathbf{Q}}, \mathbf{Q}) \cong M(4, \mathbf{F}) \oplus M(4, \mathbf{F})$ .*

Let a complex vector  $\underline{\eta} = (\eta_1, \dots, \eta_8)$  be a representative of a point  $\eta = [\eta_1, \dots, \eta_8] \in D^+$ . So it has an ambiguity of the multiplication by a non zero complex number. Put  $\underline{\eta} = s+it$  ( $s, t \in \mathbf{R}^8$ ). If we impose the condition  $(st)^2 = -1$  in  $C^+(V_{\mathbf{R}}, \mathbf{Q})$ , the representative is uniquely determined up to a multiplication by a complex unit. We denote it by

$$\underline{\eta} = m_1(\eta) + im_2(\eta).$$

Put

$$m(\eta) = m_1(\eta)m_2(\eta).$$

It is uniquely determined by  $\eta$  without any ambiguity. According to the imposed condition, the element  $m(\eta) \in C^+(V_{\mathbf{R}}, \mathbf{Q})$  defines a complex structure on  $C^+(V_{\mathbf{R}}, \mathbf{Q})$  by the left action. It induces a complex structure on the real torus  $\mathcal{T}_{\mathbf{R}}$  also.

Let  $\{\varepsilon_1, \dots, \varepsilon_8\}$  be a basis of  $T$  with the intersection matrix  $U(2) \oplus U(2) \oplus (-2I_4)$ , and let  $\{e_1, \dots, e_8\}$  be a orthonormal basis of  $V$  given by

$$(e_1, \dots, e_8) = (\varepsilon_1, \dots, \varepsilon_8) \left( \begin{array}{cccc} \frac{1}{2} & \frac{1}{2} & 0 & 0 \\ 0 & 0 & \frac{1}{2} & -\frac{1}{2} \\ \frac{1}{2} & -\frac{1}{2} & 0 & 0 \\ 0 & 0 & \frac{1}{2} & -\frac{1}{2} \end{array} \right) \oplus (I_4).$$

Then the corresponding intersection matrix takes the form  $I_2 \oplus (-I_2) \oplus (-2I_4)$ .

Let  $\iota$  be an involution on  $C^+(V, \mathbf{Q})$  induced from the transformation

$$\iota : e_{i_1} \otimes e_{i_2} \otimes \dots \otimes e_{i_k} \mapsto e_{i_k} \otimes \dots \otimes e_{i_2} \otimes e_{i_1}$$

for the basis. Set  $\alpha = 4e_2e_1$ . According to the method in [St] we know that

$$E(x, y) = \text{tr}(\alpha x^t y)$$

determines a Riemann form. So the triple  $(\mathcal{T}_{\mathbf{R}}, m(\eta), E(x, y))$  determines an abelian variety. We denote it by  $A^+(\eta)$ , that is so called the Kuga-Satake variety attached to the  $K3$  surface corresponding to the period  $\eta$ . In this way we can construct a family of abelian varieties

$$\mathcal{A}^+ = \{A^+(\eta) : \eta \in D^+\}$$

induced from the lattice  $T$  parameterized by the domain  $D^+$ . We can construct the "conjugate family"

$$\mathcal{A}^- = \{A^-(\eta) : \eta \in D^-\}$$

parameterized by

$$D^- = \{\eta = [\eta_1, \dots, \eta_8] : {}^t\eta A \eta = 0, {}^t\bar{\eta} A \eta > 0, \Im(\eta_3/\eta_1) < 0\}$$

by the same procedure with the Riemann form  $E^-(x, y) = -\text{tr}(\alpha x^t y)$ . The right action of  $C^+(V_{\mathbf{Q}}, \mathbf{Q})$  on  $C^+(V_{\mathbf{R}}, \mathbf{Q})$  commutes with the left action of  $m(\eta)$ . So we have

$$C^+(T_{\mathbf{Q}}) \subset \text{End}(A^{\pm}(\eta)) \otimes \mathbf{Q}$$

for any  $A^{\pm}(\eta)$ . For a general member  $\eta \in D^+$ , the endomorphism ring is given by

$$\text{End}_{\mathbf{Q}}(A(\eta)) = \text{End}(A(\eta)) \otimes \mathbf{Q} \cong C^+(V_{\mathbf{Q}}).$$

According to Proposition 6.1 we obtain :

**Theorem 5** For a general member  $\eta \in \mathcal{D}^+$ ,  $A^+(\eta)$  is isogenous to a product of abelian varieties  $(A_1(\eta) \times A_2(\eta))^4$  where  $A_1(\eta)$  and  $A_2(\eta)$  are 8-dimensional simple abelian varieties with  $\text{End}_{\mathbf{Q}}(A_i(\eta)) = \mathbf{F}_{\mathbf{Q}}$  ( $i = 1, 2$ ).

**Remark 5.1** Here we describe the relation between  $A_1(\eta)$  and  $A_2(\eta)$ . Now we define the linear involution  $*$  on  $V_{\mathbf{R}}$  by

$$e_1^* = -e_1 \quad \text{and} \quad e_i^* = e_i \quad (i = 2, \dots, 8).$$

It can be extended on  $C^+(V_{\mathbf{R}}, \mathbf{Q})$  as an automorphism of algebra. We define an involution  $\sigma$  on  $\mathcal{D}$  :

$$\sigma : \mathcal{D} \longrightarrow \mathcal{D}, \quad (\eta_{\infty}, \dots, \eta_{\mathcal{N}}) \mapsto (-\eta_{\mathcal{E}}, -\eta_{\infty}, \eta_{\mathcal{B}}, \dots, \eta_{\mathcal{N}}).$$

So we have  $\mathcal{D}_+^{\sigma} = \mathcal{D}_-$ . It is easy to check that we have

$$A_2(\eta) \sim A_1(\eta^{\sigma}), \quad A_1(\eta) \sim A_2(\eta^{\sigma}),$$

where  $\sim$  indicates the isogenous relation.

E. Freitag and C. F. Hermann [1] study a similar family of lattice K3 surfaces from a different view point. We think that it should be clarified the exact relation between their family and our  $\mathcal{F}$ .

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