

## Logarithmic truth-table reductions and minimum sizes of forcing conditions (preliminary draft)

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### Abstract

In our former works, for a given concept of reduction, we study the following hypothesis: “For a random oracle  $A$ , with probability one, the degree of the one-query tautologies with respect to  $A$  is strictly higher than the degree of  $A$ .” In our former works, the following three results are shown: (1) the hypothesis for polynomial-time Turing reduction is equivalent to the assertion that the probabilistic complexity class  $R$  is not equal to  $NP$ , (2) the hypothesis for polynomial-time truth-table reduction implies that  $P$  is not  $NP$ , (3) (to appear in Arch. Math. Logic) the hypothesis holds for polynomial-time bounded-truth-table reduction. In this note, we show that the hypothesis holds for  $(\log n)^{O(1)}$ -question truth-table-reduction (without polynomial-time bound). As applications of this result, we show a lower bound and an upper bound of forcing complexity (i.e., the minimum size of forcing condition that forces a given formula) of the one-query tautologies with respect to a random oracle. We show that if  $A$  is a random oracle then with probability one, the forcing complexity of the one-query tautology with respect to  $A$  is greater than polynomial of  $\log |F|$ , and it is at most  $O(|F|^2)$ , where  $|F|$  denotes the length of a formula.

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## 1 Preface

In our former works [Su98, Su99, Su00, Su01, Su02, Su05], by extending the work of Ambos-Spies [Am86] and related works, we consider the relationships with the canonical product measure of Cantor space and complexity of one-query tautologies. A formula  $F$  of the relativized propositional calculus is called a *one-query formula* if  $F$  has exactly one occurrence of a query symbol. For example,

$$(q_0 \Leftrightarrow \xi^3(q_1, q_2, q_3)) \Rightarrow (q_1 \Rightarrow q_0)$$

is a one-query formula, where  $q_0, q_1, q_2, q_3$  are usual propositional variables. We assume that each propositional variable takes the value 0 or 1 (0 denotes false and 1 denotes true). And,  $\xi^3$  in the above formula is a query symbol. For a given oracle  $A$ , a function  $A^3$  is defined as follows, where  $\lambda$  is the empty string, and the query symbol  $\xi^3$  is interpreted as the function  $A^3$ .

$$\begin{aligned} A^3(000) &= A(\lambda), & A^3(001) &= A(0), & A^3(010) &= A(1), & A^3(011) &= A(00), \\ A^3(100) &= A(01), & A^3(101) &= A(10), & A^3(110) &= A(11), & A^3(111) &= A(000). \end{aligned}$$

Thus, more informally, the following holds for each  $j = 0, 1, \dots, 2^3 - 1$ , where the order of strings is defined as the canonical length-lexicographic order.

$$A^3(\text{ the } (j+1)\text{st 3-bit string}) = A(\text{ the } (j+1)\text{st string}).$$

For each  $n$ , an  $n$ -ary Boolean function  $A^n$  is defined in the same way, and an interpretation of the query symbol  $\xi^n$  is defined in the same way. For an oracle  $A$ , the concept of a *tautology with respect to  $A$*  is defined in a natural way. If a one-query formula  $F$  is a tautology with respect to  $A$ , then we say  $F$  is a *one-query tautology with respect to  $A$* . The set of all one-query tautologies with respect to  $A$  is denoted by  $1\text{TAUT}^A$ .

In [Su02], for a given concept  $\leq_\alpha$  of reduction, we study the following hypothesis, where  $1\text{TAUT}^X$  denotes the set of all one-query tautologies with respect to an oracle  $X$ .

**One-query-jump hypothesis for  $\leq_\alpha$ :** The class  $\{X : 1\text{TAUT}^X \leq_\alpha X\}$  has measure zero.

For a given reduction  $\leq_\alpha$ , we denote the corresponding one-query-jump hypothesis by  $[\leq_\alpha]$ .

In [Su98], it is shown that the one query-jump hypothesis for p-T reduction is equivalent to “ $R \neq NP$ .”

And, in [Su02], it is shown that the one query-jump hypothesis for p-tt reduction implies “ $P \neq NP$ .”

In [Su05], we show that the one query-jump hypothesis for p-btt reduction holds, where p-btt denotes polynomial-time bounded-truth-table reduction. The

anonymous referee of [Su05] noticed that the one query-jump hypothesis holds for bounded-truth-table reduction without polynomial-time bound, and Kumabe independently noticed the same result. The referee's proof, which may be found in [Su05], uses some concepts of resource-bounded generic oracles in [AM97]. Kumabe's proof is more simple.

In §3 of this note, we introduce Kumabe's proof of the above result. In §4, we extend the result, and show that the one query-jump hypothesis holds for  $(\log n)^{O(1)}$ -question tt-reduction (without polynomial-time bound). In §5, as applications of the result in §4, we show a lower bound and an upper bound of forcing complexity (i.e., the minimum size of forcing condition that forces a given formula) of the one-query tautologies with respect to a random oracle. We show that if  $A$  is a random oracle then with probability one, the forcing complexity of the one-query tautologies with respect to  $A$  is greater than  $(\log |F|)^{O(1)}$ , and it is at most  $O(|F|^2)$ .

The three of authors had a meeting at July 22–23, 2004, at the office of T.S. in Osaka Prefecture University. This note is a research memo on the meeting, and is an extension of [Su05].

## 2 Notation

Most of our notation is the same as that of [Su02] and [Su05], and almost all undefined notions may be found in these papers. An article by Kawanishi and Suzuki [KS05] in this volume of *Sūrikaisekikenkyūsho Kōkyūroku* contains basic definitions on the relativized propositional calculus and Dowd-type generic oracles. The journal version of [Su02] may be purchased at Science Direct.

<http://www.sciencedirect.com/science/journals>

$\omega$  stands for  $\{0, 1, 2, 3, \dots\}$ , while  $\mathbb{N}$  stands for  $\{1, 2, 3, \dots\}$ . In some textbooks, the complexity class R is denoted by RP. For the detail of the class R, see for example [BDG88].

The definition of polynomial-time truth-table reduction and its variant may be found in [LLS75].

## 3 Bounded truth table reduction

In this section, we show the following.

**Proposition 1** *The Lebesgue measure of the set*

$$\{X : 1\text{TAUT}^X \leq_{\text{btt}} X\}$$

*is zero. In other words, one-query jump hypothesis [Su02, Su05] for btt-reduction (without polynomial-time bound) holds.*

Sketch of proof (due to Kumabe):

For each oracle  $X$ , let  $L^X := \bigcup_n \{(u, v, w) \in \{0, 1\}^n : |u| = |v| = |w| = n \text{ and } X^n(u) = X^n(v) = X^n(w)\}$ . It is easy to see that  $L^X \leq_m^p \text{1TAUT}^X$ .

Suppose that  $f$  is a recursive function such that for each string  $x$ , it holds that  $f(x)$  is of the form  $(\varphi_x, s_{x,1}, s_{x,2})$ , where  $\varphi_x$  is a function from  $\{0, 1\}^2$  to  $\{0, 1\}$ , and  $s_{x,1}, s_{x,2}$  are strings.

It is enough to show the following class has measure zero.

$$\{X : L^X \text{ is 2tt-reducible to } X \text{ via } f\}$$

For each forcing condition  $S$ , there exists strings  $x^{(1)}, x^{(2)}, x^{(3)}, x^{(4)}, x^{(5)}$  and a forcing condition  $T$  such that

(1)  $\text{dom } T = \text{dom } S \cup \{x^{(1)}, x^{(2)}, x^{(3)}, x^{(4)}, x^{(5)}\}$ , and

(2) for any oracle  $X$  extending  $T$ , it holds that  $L^X$  is not 2tt-reducible to  $X$  via  $f$ .

Therefore, the class  $\{X : L^X \text{ is 2tt-reducible to } X \text{ via } f\}$  has measure zero.  $\square$

#### 4 $(\log n)^{O(1)}$ -question tt-reduction

**Theorem 2** *The Lebesgue measure of the following set is zero.*

$$\{X : \text{1TAUT}^X \leq_{(\log n)^{O(1)\text{-tt}}} X\}$$

*In other words, one-query jump hypothesis for  $(\log n)^{O(1)}$ -tt-reduction (without polynomial-time bound) holds.*

#### 5 Lower and upper bounds to forcing complexity

**Theorem 3** *Let  $\mathcal{D}_{\log}$  be the class of all oracles  $D$  such that there exists a positive integer  $c$  ( $c$  may depend on  $D$ ) of the following property. For any  $F \in \text{1TAUT}^D$ , there exists a forcing condition  $S \sqsubseteq D$  such that  $S$  forces  $F$  to be a tautology and*

$$|\text{dom } S| \leq (\log |F|)^c.$$

*Then  $\mathcal{D}_{\log}$  has measure zero.*

**Question:** Is  $\mathcal{D}_{\log}$  empty?

**Theorem 4** *Let  $\mathcal{D}_{\text{quad}}$  be the class of all oracles  $D$  such that there exists a positive integer  $c$  ( $c$  may depend on  $D$ ) of the following property. For any  $F \in \text{1TAUT}^D$ , there exists a forcing condition  $S \sqsubseteq D$  such that  $S$  forces  $F$  to be a tautology and*

$$|\text{dom } S| \leq c|F|^2 + c,$$

*where  $|F|$  denotes the length of the binary code of  $F$ .*

*Then  $\mathcal{D}_{\text{quad}}$  has measure one.*

**Question:** Let  $\mathcal{D}_{\text{linear}}$  be the class defined similarly to  $\mathcal{D}_{\text{quad}}$  by using a linear formula  $c|F| + c$  instead of a quadratic  $c|F|^2 + c$ . Then, is  $\mathcal{D}_{\text{linear}}$  empty? If non-empty, does it have positive measure?

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