

## Gap Structure of the Spin-Triplet Superconductor $\text{Sr}_2\text{RuO}_4$ Determined from the Field-Orientation Dependence of the Specific Heat

K. Deguchi,<sup>1</sup> Z. Q. Mao,<sup>1,\*</sup> H. Yaguchi,<sup>1</sup> and Y. Maeno<sup>1,2</sup>

<sup>1</sup>*Department of Physics, Graduate School of Science, Kyoto University, Kyoto 606-8502, Japan*

<sup>2</sup>*Kyoto University International Innovation Center, Kyoto 606-8501, Japan*

(Received 12 September 2003; published 29 January 2004)

We report the field-orientation dependent specific heat of the spin-triplet superconductor  $\text{Sr}_2\text{RuO}_4$  under the magnetic field aligned parallel to the  $\text{RuO}_2$  planes with high accuracy. Below about 0.3 K, striking fourfold oscillations of the density of states reflecting the superconducting gap structure have been resolved for the first time. We also obtained strong evidence of multiband superconductivity and concluded that the superconducting gap in the active band, responsible for the superconducting instability, is modulated with a minimum along the [100] direction.

DOI: 10.1103/PhysRevLett.92.047002

PACS numbers: 74.70.Pq, 74.25.Bt, 74.25.Dw, 74.25.Op

Since the discovery of its superconductivity [1], the layered ruthenate  $\text{Sr}_2\text{RuO}_4$  has attracted keen interest in the physics community [2]. The superconductivity of  $\text{Sr}_2\text{RuO}_4$  has pronounced unconventional features such as the invariance of the spin susceptibility across its superconducting (SC) transition temperature  $T_c$  [3,4], appearance of spontaneous internal field [5], evidence for two-component order parameter [6], and absence of a Hebel-Slichter peak [7]. These features are coherently understood in terms of spin-triplet superconductivity with the vector order parameter  $\mathbf{d}(\mathbf{k}) = \hat{z}\Delta_0(k_x + ik_y)$ , representing the spin state  $S_z = 0$  and the orbital wave function with  $L_z = +1$ , called a chiral  $p$ -wave state.

The above vector order parameter leads to the gap  $\Delta(\mathbf{k}) = \Delta_0(k_x^2 + k_y^2)^{1/2}$ , which is isotropic because of the quasi-two-dimensionality of the Fermi surface consisting of three cylindrical sheets [8]. However, a number of experimental results [9–13] revealed the power-law temperature dependence of quasiparticle (QP) excitations, which suggest lines of nodes or nodelike structures in the SC gap. There have been many theoretical attempts (anisotropic  $p$ -wave or  $f$ -wave states) to resolve this controversy [14–19]. Although all these models suggest a substantial gap anisotropy, magnetothermal conductivity measurements with the applied field rotated within the  $\text{RuO}_2$  plane down to 0.35 K revealed little anisotropy [20,21]. To explain those experimental facts as well as the mechanism of the spin-triplet superconductivity, several theories [22,23], taking the orbital dependent superconductivity into account [24], have been proposed. In these models, there are active and passive bands to the superconductivity: the SC instability originates from the active band with a large gap amplitude; pair hopping across active to passive bands leads to a small gap in the passive bands. The gap structure with horizontal lines of nodes [22] or strong in-plane anisotropy [23] in the passive bands was proposed.

In order to identify the mechanism of the spin-triplet superconductivity, the determination of the gap structure

in the active band is currently of prime importance. The field-orientation dependent specific heat is a direct measure of the QP density of states (DOS) and thus a powerful probe of the SC gap structure [25–28]. In this Letter, we report high precision experiments of the specific heat as a function of the angle between the crystallographic axes and the magnetic field  $\mathbf{H}$  within the  $\text{RuO}_2$  plane. We reveal that the SC state of  $\text{Sr}_2\text{RuO}_4$  has a band-dependent gap and that the gap of its active SC band has strong in-plane anisotropy with a minimum along the [100] direction, as illustrated in Fig. 1.

Single crystals of  $\text{Sr}_2\text{RuO}_4$  were grown by a floating-zone method in an infrared image furnace [29]. After specific-heat measurements on two crystals to confirm the reproducibility of salient characteristics such as a double SC transition [30], the sample with  $T_c = 1.48$  K, close to the estimated value for an impurity and defect-free specimen ( $T_{c0} = 1.50$  K) [31], was chosen for detailed study. This crystal was cut and cleaved from the

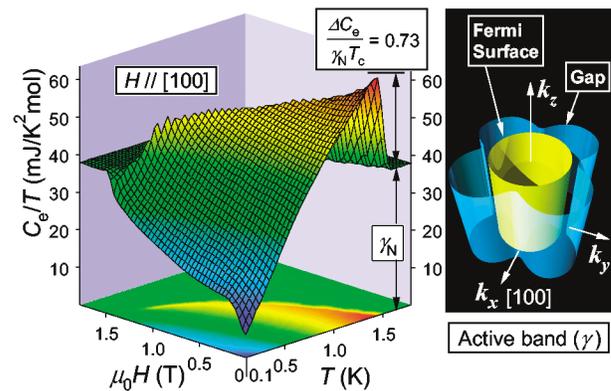


FIG. 1 (color). Left: electronic specific heat divided by temperature  $C_e/T$  for  $\mathbf{H} \parallel [100]$ , as a function of field strength and temperature. A contour plot is shown on the bottom  $H$ - $T$  plane, with the same color scale as the 3D plot. Right: superconducting gap structure for the active band  $\gamma$  deduced from the present study, corresponding to  $\mathbf{d}(\mathbf{k}) = \hat{z}\Delta_0(\sin ak_x + i \sin ak_y)$ .

single crystalline rod, to a size of  $2.8 \times 4.8 \text{ mm}^2$  in the  $a$ - $b$  plane and  $0.50 \text{ mm}$  along the  $c$  axis. The side of the crystal was intentionally misaligned from the  $[110]$  axis by  $16^\circ$ . The field-orientation dependence of the specific heat was measured by a relaxation method with a dilution refrigerator. Since a slight field misalignment causes two-fold anisotropy of the specific heat due to the large  $H_{c2}$  anisotropy ( $H_{c2||ab}/H_{c2||c} \approx 20$ ) [30], the rotation of the field  $\mathbf{H}$  within the  $\text{RuO}_2$  plane with high accuracy is very important. For this experiment, we built a measurement system consisting of two orthogonally arranged SC magnets [32] to control the polar angle of the field  $\mathbf{H}$ . The two SC magnets are installed in a Dewar seating on a mechanical rotating stage to control the azimuthal angle. With the dilution refrigerator fixed, we can rotate the field  $\mathbf{H}$  continuously within the  $\text{RuO}_2$  plane with a misalignment no greater than  $0.01^\circ$  from the plane.

The electronic specific heat  $C_e$  under the in-plane magnetic fields was obtained after subtraction of the phonon contribution with a Debye temperature of  $410 \text{ K}$ . The left panel of Fig. 1 shows  $C_e/T$  for the  $[100]$  field direction, as a function of field and temperature. The figure is constructed from data involving 13 temperature sweeps and 11 field sweeps. At low temperatures in zero field, power-law temperature dependence of  $C_e/T \propto T$  was observed, corresponding to the QPs excited from the line nodes or nodelike structure in the gap.

Now we focus on the field dependence of  $C_e/T$  at low temperature shown in Figs. 1 and 2(a).  $C_e/T$  increases sharply up to about  $0.15 \text{ T}$  and then gradually for higher fields. This unusual shoulder is naturally explained by the presence of two kinds of gaps [9]. On the basis of the different orbital characters of the three Fermi surfaces ( $\alpha$ ,  $\beta$ , and  $\gamma$ ) [8], the gap amplitudes  $\Delta_{\alpha\beta}$  and  $\Delta_\gamma$  are expected to be significantly different [24]. The normalized DOS of those bands are  $\frac{N_{\alpha\beta}}{N_{\text{total}}} = 0.43$  and  $\frac{N_\gamma}{N_{\text{total}}} = 0.57$  [2]. Since the position of the shoulder in  $C_e/T$  corresponds well with the partial DOS of the  $\alpha$  and  $\beta$  bands, we conclude that the active band which has a robust SC gap in fields is the  $\gamma$  band, mainly derived from the in-plane  $d_{xy}$  orbital of Ru  $4d$  electrons. Figures 2(a) and 2(b) show the field and temperature dependence of  $C_e/T$  under the in-plane magnetic fields  $\mathbf{H} \parallel [100]$  and  $\mathbf{H} \parallel [110]$  and indicate the existence of a slight in-plane anisotropy.

In the mixed state, the QP energy spectrum is affected by the Doppler shift  $\delta\omega = \hbar\mathbf{k} \cdot \mathbf{v}_s$ , where  $\mathbf{v}_s$  is the superfluid velocity around the vortices and  $\hbar\mathbf{k}$  is the QP momentum. This energy shift gives rise to a finite DOS at the Fermi level in the case of  $\delta\omega \geq \Delta(\mathbf{k})$  [33]. Since  $\mathbf{v}_s \perp \mathbf{H}$ ,  $\delta\omega = 0$  for  $\mathbf{k} \parallel \mathbf{H}$ . Thus the generation of nodal QPs is suppressed for  $\mathbf{H} \parallel$  nodal directions and yields minima in  $C_e/T$  [25–27].

Figure 3 shows the field-orientation dependence of the specific heat. The absence of a twofold oscillatory component in the raw data guarantees that the in-plane field alignment is accurate during the azimuthal-angle rotation. Thus  $C_e(T, H, \phi)$  can be decomposed into

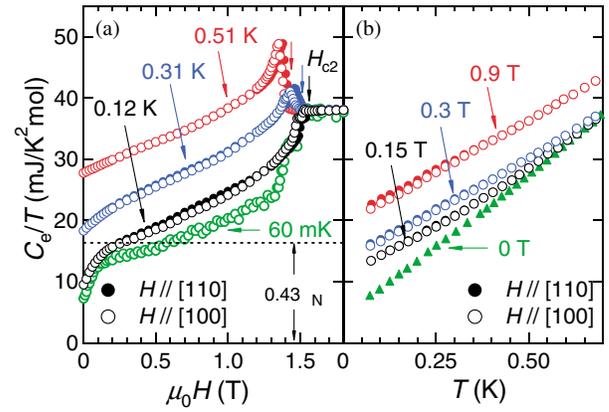


FIG. 2 (color). (a),(b) Field and temperature dependence of  $C_e/T$  of  $\text{Sr}_2\text{RuO}_4$  in magnetic fields parallel to the  $[100]$  (open circles) and  $[110]$  (closed circles) directions.

$\phi$ -independent and fourfold oscillatory terms, where the in-plane azimuthal field angle  $\phi$  is defined from the  $[100]$  direction:  $C_e(T, H, \phi) = C_0(T, H) + C_4(T, H, \phi)$ .  $C_4(T, H, \phi)/C_N$  is the normalized angular variation term, where  $C_N$  is the electronic specific heat in the normal state:  $C_N = \gamma_N T$  with  $\gamma_N = 37.8 \text{ mJ/K}^2 \text{ mol}$ . There is no discernible angular variation in the normal

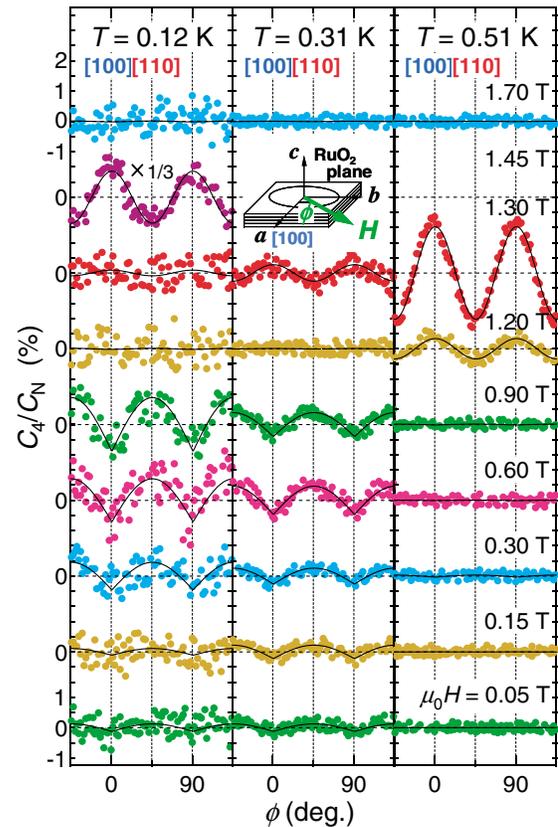


FIG. 3 (color). The in-plane field-orientation dependence of a normalized fourfold component of the specific heat at several fields and temperatures. Only  $1.45 \text{ T}$  data are reduced to  $1/3$ . The solid lines are fits with  $f_4(\phi)$  given in the text.

state ( $\mu_0 H = 1.7 \text{ T} > \mu_0 H_{c2}$ ); possibilities of angular variation originating from experimental setup or other extrinsic contributions are excluded.

For fields near  $H_{c2}$  ( $1.2 \text{ T} \leq \mu_0 H \leq 1.45 \text{ T}$ ), a sinusoidal fourfold angular variation is observed:  $C_4(\phi) \propto f_4(\phi) = -\cos 4\phi$ . This is consistent with the in-plane sinusoidal anisotropy of  $H_{c2}$  with the maximum in the [110] direction [21,34]:  $C_4 = \frac{H_{c2} \parallel [110] - H_{c2} \parallel [100]}{H_{c2} \parallel [110] + H_{c2} \parallel [100]} \frac{dC_e}{dH} H \cos 4\phi$ . Since  $H_{c2}$  decreases with increasing  $T$ , the oscillation amplitude at 1.3 T increases strongly at 0.51 K. For  $\mu_0 H < 1.2 \text{ T}$ , however, a nonsinusoidal fourfold angular variation approximated as  $C_4(\phi) \propto f_4(\phi) = 2|\sin 2\phi| - 1$  is observed. Importantly, a phase inversion in  $C_4(\phi)$  occurs across about  $\mu_0 H = 1.2 \text{ T}$ :  $C_4(\phi)$  takes minima at  $\phi = \frac{\pi}{2}n$  ( $\phi = \frac{\pi}{4} + \frac{\pi}{2}n$ ,  $n$ : integer) for  $\mu_0 H < 1.2 \text{ T}$  ( $\mu_0 H \geq 1.2 \text{ T}$ ), and thus the angular variation for  $\mu_0 H < 1.2 \text{ T}$  cannot be due to the in-plane  $H_{c2}$  anisotropy. Therefore we conclude that the nonsinusoidal fourfold oscillations originate from the SC gap structure. This result does not contradict the previous measurements of the magnetothermal conductivity down to 0.35 K [20,21], which reported little in-plane anisotropy, because these clear oscillations emerge only at lower  $T$  ( $T/T_c \leq 0.2$ ).

For the field range  $0.15 \text{ T} < \mu_0 H < 1.2 \text{ T}$ , where the QPs in the active band  $\gamma$  are the dominant source of in-plane anisotropy, we first deduce the existence of a node or gap minimum along the [100] direction, because  $C_e$  takes a minimum. In addition, we found that the fourfold oscillations have a nonsinusoidal form, approximated as  $C_4(\phi) \propto 2|\sin 2\phi| - 1$ , since cusplike features are clearly seen at the minima ( $\phi = \frac{\pi}{2}n$ ). Strong  $k_z$  dependence of the gap function would enhance the QP excitations even if  $\mathbf{H}$  is parallel to the nodal direction, so that the cusplike features would have been strongly suppressed [25].

Most of the proposed gap structures can be classified into four groups as summarized in Table I. #1 and #2 provide the direction of the gap minima consistent with our observation. To distinguish between #1 with gap minima and #2 with nodes, we examine the specific-heat jump  $\Delta C_e / \gamma_N T_c$  at  $T_c$  in zero field. The jump originates mainly from the active band with large  $\Delta$  because of  $\Delta C_e / \gamma_N T_c \propto \partial \Delta^2 / \partial T|_{T_c}$ . We estimate the contribution of  $\Delta C_e / \gamma_N T_c$  from the active band for the gap structures #1 and #2:  $\Delta C_e / \gamma_N T_c = (1.22 \text{ to } 1.07) \times 0.57 = 0.70$  to  $0.61$  with the gap minimum ( $\Delta_{\min} / \Delta_{\max} = 1/2$  to  $1/4$ ) [16], while  $\Delta C_e / \gamma_N T_c = 0.75 \times 0.57 = 0.42$  with the

TABLE I. The classified order parameters with the typical gap structures for  $\text{Sr}_2\text{RuO}_4$ .

#	$d(\mathbf{k})$	Direction of node or $\Delta_{\min}$	Ref.
1	$\hat{z}\Delta_0(\sin ak_x + i \sin ak_y)$	[100] tiny gap	[16]
2	$\hat{z}\Delta_0 k_x k_y (k_x + i k_y)$	[100] nodes	[17]
3	$\hat{z}\Delta_0 (k_x^2 - k_y^2)(k_x + i k_y)$	[110] nodes	[18]
4	$\begin{cases} \hat{z}\Delta_0 (k_x + i k_y) \cos ck_z \\ \hat{z}\Delta_0 k_z (k_x + i k_y)^2 \end{cases}$	Horizontal nodes	[19]

line nodes [17]. From the experimental result  $\Delta C_e / \gamma_N T_c = 0.73$  in Fig. 1 and the estimated additional contribution from the passive bands  $\Delta C_e / \gamma_N T_c \sim 0.04$  [23],  $d(\mathbf{k}) = \hat{z}\Delta_0(\sin ak_x + i \sin ak_y)$  with the gap minimum is promising for the active band.

To facilitate a comparison with theories, although they are presently available only for line-node gaps [26,27], we decomposed  $C_e$  into two parts:  $C_0(T, 0)$  due to the thermally excited QPs and  $\Delta C_0(T, H)$  due to the field induced QPs, consisting of an isotropic component and a fourfold anisotropic component  $A_4(T, H)$ :  $C_e(T, H, \phi) = C_0(T, 0) + \Delta C_0(T, H)[1 + A_4(T, H)f_4(\phi)]$ ,  $A_4(T, H)f_4(\phi) = \frac{C_4(T, H, \phi)}{\Delta C_0(T, H)}$ , where  $f_4$  was defined previously for low- and high-field ranges. Figures 4(a) and 4(b) show the field and temperature dependence of  $A_4$ . The field dependence of  $A_4$  with a maximum of 4% anisotropy at 0.31 K shows a monotone decrease from the delocalized-QP dominant region at low fields to the  $H_{c2}$ -anisotropy dominant region at high fields. The temperature dependence of  $A_4$  with 3% anisotropy at 0.9 T shows a smooth decrease with increasing temperature. These results are in semiquantitative agreement with recent theories [26,27] which predict 4% to 1.5% anisotropy from gap structures with vertical line nodes.

In contrast to the theoretical prediction [27], however, at combined low fields ( $\mu_0 H \leq 0.15 \text{ T}$ ) and low temperatures ( $T \leq 0.3 \text{ K}$ ) where QPs on both the active and passive bands are important for the anisotropy,  $A_4$  rapidly decreases. This steep reduction of  $A_4$  is primarily attributable to the nonzero gap minima  $\Delta_{\min}$  of the active  $\gamma$  band (#1). In fact, at the lowest temperatures the fourfold oscillations are suppressed below a threshold field of about 0.3 T, which should correspond to  $\delta\omega = \Delta_{\min}$ . However, even at 0.15 T below the threshold, increasing temperature leads to an increase in  $A_4$  only up to about 0.25 K [Fig. 4(b)]. This behavior is at least in part explained by including contributions of both field and

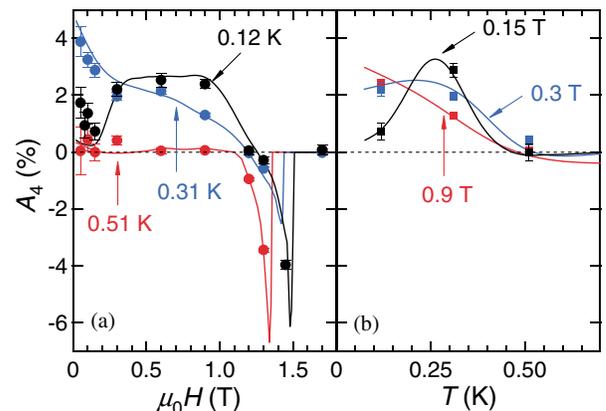


FIG. 4 (color). (a),(b) Field and temperature dependence of the fourfold anisotropy  $A_4$  in the specific heat. The points are evaluated from the fitting to the oscillatory data in Fig. 3, while the lines from the difference in  $C_e$  between  $\mathbf{H} \parallel [110]$  and  $\mathbf{H} \parallel [100]$  in Fig. 2. Two methods yield consistent results.

temperature in the QP excitations, but a more quantitative theoretical analysis is needed to examine this possibility.

Let us finally discuss the roles of the passive bands in the oscillations in  $C_e$ . While the present experimental study has resolved the directions of gap minima in the  $\gamma$  band, there still remain two types of possibilities for the passive bands  $\alpha$  and  $\beta$  to account for the power-law QP excitations at low temperatures: (i) horizontal line nodes (#4 in Table I) [22] and (ii) vertical gap minima along the [110] directions [23]. The gaps (i) in the passive bands will not contribute to any oscillatory component whether they are fully developed or filled with QPs induced by  $H$  and/or  $T$ . Thus in this case the rather complex  $H$  and  $T$  dependence of  $A_4$  needs to be accounted for solely by the gap structure of the active band. On the other hand, the gaps (ii) will give rise to fourfold oscillations which are out of phase with those originating from the active band, so that the oscillations will be additionally suppressed. Since Fig. 4(b) shows that  $A_4$  at 0.15 T decreases steeply with decreasing temperature in the temperature range where QP excitations strongly reflect the gap structure of the passive bands, the observed steep reduction of  $A_4$  may be a consequence of an additional compensation by the passive bands.

In conclusion, we have for the first time revealed the in-plane anisotropy in the SC gap of the spin-triplet superconductor  $\text{Sr}_2\text{RuO}_4$  from the field-orientation dependence of the specific heat at low temperatures. We identified the multiband superconductivity with the active band  $\gamma$ , which has a modulated SC gap with a minimum along the [100] direction with little interlayer dispersion. This gap structure is in good correspondence with the  $p$ -wave order parameter  $\mathbf{d}(\mathbf{k}) = \hat{z}\Delta_0(\sin ak_x + i \sin ak_y)$ . For the required nodal structure of the passive bands, the present results may contain decisive information and call for a more quantitative theoretical work to enable the full assignment of the gap structures in all bands.

We thank N. Kikugawa, K. Machida, T. Nomura, H. Ikeda, Kosaku Yamada, T. Ohmi, M. Sigrüst, K. Ishida, A. P. Mackenzie, S. A. Grigera, K. Maki, and T. Ishiguro for stimulating discussions and comments. This work was in part supported by the Grants-in-Aid for Scientific Research from JSPS and MEXT of Japan, by a CREST grant from JST, and by the 21COE program ‘‘Center for Diversity and Universality in Physics’’ from MEXT of Japan. K. D. has been supported by JSPS.

---

\*Present address: Physics Department, Tulane University, 2001 Percival Stern, New Orleans, LA 70118, USA.

- [1] Y. Maeno *et al.*, Nature (London) **372**, 532 (1994).  
 [2] A. P. Mackenzie and Y. Maeno, Rev. Mod. Phys. **75**, 657 (2003), and references therein.

- [3] K. Ishida *et al.*, Nature (London) **396**, 658 (1998); K. Ishida *et al.*, Phys. Rev. B **63**, 060507(R) (2001).  
 [4] J. A. Duffy *et al.*, Phys. Rev. Lett. **85**, 5412 (2000).  
 [5] G. M. Luke *et al.*, Nature (London) **394**, 558 (1998).  
 [6] P. G. Kealey *et al.*, Phys. Rev. Lett. **84**, 6094 (2000).  
 [7] K. Ishida *et al.*, Phys. Rev. B **56**, R505 (1997).  
 [8] A. P. Mackenzie *et al.*, Phys. Rev. Lett. **76**, 3786 (1996); C. Bergemann *et al.*, *ibid.* **84**, 2662 (2000).  
 [9] S. NishiZaki *et al.*, J. Phys. Soc. Jpn. **69**, 572 (2000).  
 [10] K. Ishida *et al.*, Phys. Rev. Lett. **84**, 5387 (2000).  
 [11] I. Bonalde *et al.*, Phys. Rev. Lett. **85**, 4775 (2000).  
 [12] M. A. Tanatar *et al.*, Phys. Rev. B **63**, 064505 (2001); M. Suzuki *et al.*, Phys. Rev. Lett. **88**, 227004 (2002).  
 [13] C. Lupien *et al.*, Phys. Rev. Lett. **86**, 5986 (2001).  
 [14] T. M. Rice and M. Sigrüst, J. Phys. Condens. Matter **7**, L643 (1995); T. Nomura and K. Yamada, J. Phys. Soc. Jpn. **69**, 3678 (2000).  
 [15] T. Kuwabara and M. Ogata, Phys. Rev. Lett. **85**, 4586 (2000); M. Sato and M. Kohmoto, J. Phys. Soc. Jpn. **69**, 3505 (2000); K. Kuroki *et al.*, Phys. Rev. B **63**, 060506(R) (2001); T. Takimoto, *ibid.* **62**, R14641 (2000).  
 [16] K. Miyake and O. Narikiyo, Phys. Rev. Lett. **83**, 1423 (1999).  
 [17] M. J. Graf and A. V. Balatsky, Phys. Rev. B **62**, 9697 (2000).  
 [18] T. Dahm *et al.*, cond-mat/0006301; W. C. Wu and R. Joynt, Phys. Rev. B **64**, 100507(R) (2001); I. Eremin *et al.*, Europhys. Lett. **58**, 871 (2002).  
 [19] Y. Hasegawa *et al.*, J. Phys. Soc. Jpn. **69**, 336 (2000); Y. Hasegawa and M. Yakiyama, J. Phys. Soc. Jpn. **72**, 1318 (2003); H. Won and K. Maki, Europhys. Lett. **52**, 427 (2000).  
 [20] M. A. Tanatar *et al.*, Phys. Rev. Lett. **86**, 2649 (2001).  
 [21] K. Izawa *et al.*, Phys. Rev. Lett. **86**, 2653 (2001).  
 [22] M. E. Zhitomirsky and T. M. Rice, Phys. Rev. Lett. **87**, 057001 (2001); J. F. Annett *et al.*, Phys. Rev. B **66**, 134514 (2002); S. Koikegami *et al.*, *ibid.* **67**, 134517 (2003).  
 [23] T. Nomura and K. Yamada, J. Phys. Soc. Jpn. **71**, 404 (2002); Y. Yanase and M. Ogata, *ibid.* **72**, 673 (2003); Y. Yanase *et al.*, Phys. Rep. **387**, 1 (2003).  
 [24] D. F. Agterberg *et al.*, Phys. Rev. Lett. **78**, 3374 (1997).  
 [25] I. Vekhter *et al.*, Phys. Rev. B **59**, R9023 (1999).  
 [26] H. Won and K. Maki, Europhys. Lett. **56**, 729 (2001); H. Won and K. Maki, cond-mat/0004105.  
 [27] P. Miranović *et al.*, Phys. Rev. B **68**, 052501 (2003).  
 [28] T. Park *et al.*, Phys. Rev. Lett. **90**, 177001 (2003).  
 [29] Z. Q. Mao *et al.*, Mater. Res. Bull. **35**, 1813 (2000).  
 [30] K. Deguchi *et al.*, J. Phys. Soc. Jpn. **71**, 2839 (2002); H. Yaguchi *et al.*, Phys. Rev. B **66**, 214514 (2002).  
 [31] A. P. Mackenzie *et al.*, Phys. Rev. Lett. **80**, 161 (1998); Z. Q. Mao *et al.*, Phys. Rev. B **60**, 610 (1999).  
 [32] Cryomagnetics, Inc., ‘‘Vector Magnet’’ allowing a horizontal field of up to 5 T and a vertical field of up to 3 T. The details of the apparatus is described in K. Deguchi, T. Ishiguro, and Y. Maeno, cond-mat/0311587.  
 [33] G. E. Volovik, JETP Lett. **58**, 469 (1993).  
 [34] Z. Q. Mao *et al.*, Phys. Rev. Lett. **84**, 991 (2000).