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Interface of the Local and Global: Reframing Understanding of the Hmong


The past 10 years have seen tremendous changes in the upland landscapes and livelihoods of mainland Southeast Asia. The transformations occurring across the region highlight the dynamism, creativity and adaptability of the populations that live there. Nonetheless, like many groups in upland mainland Southeast Asia, our understanding of the Hmong has been developed largely in the contexts of the opium economy and the politics of national integration.

Government efforts to deal with the “minority problem” (such as the panha chao kho in Thailand) led researchers concerned with social justice to respond with studies of the customary practices, local knowledge and adaptation mechanisms of these groups. While government policy trumpeted the negative impacts of these marginal communities on national interest, a community of researchers has struggled to understand and articulate the implications of government intervention on cultural integrity, economic well-being and the political relevance of the upland areas.

The Hmong have been given a central position in these discourses. This is particularly so in Thailand, where much work on the Hmong and their responses to development interventions has been conducted. Three recent studies concerning the Hmong provide an interesting view on the contemporary intersection between minority and majority worlds, and the adaptations and challenges coexisting in that space. Three important points emerge from these publications.

First, observing the social agency of minority groups as they interact with dominant society underscores a high degree of dynamism and fluidity. In the past, minority has more often than not meant marginal and monolithic. But this ignores the efforts of groups to adapt, adopt and resist influences, while providing a useful framework for observing the dynamic tensions involved with the integration processes brought on by globalized world systems.

Second, the upland minorities of the region have long been understood to be transboundary, in that their original geographic distribution and history of mobility have ignored the boundaries of the nation state. Approaches to research on transboundary groups have been challenged by the diversity of socio-political contexts across the region. New work is showing the value of a robust understanding of local adaptation to regional and global forces emerging from a diversity of disciplinary approaches based in the field.

Third, the dominance of outside observers in analysis of upland society is coming to an end. The role of ethnic minority scholars is gaining prominence. These researchers, observing social phenomena from a dual insider/outsider perspective, provide not only valuable insights, but have begun to give new political meaning and relevance to their analysis.
In *The Hmong of China* (2003), Nicholas Tapp explores “context, agency and the imaginary” in the world of the Chinese Hmong. Starting his work with the Hmong in Thailand in the 1980s, Tapp was initially struck by the tension between “China as a cultural source” and “China as an oppressor” within expressions of Hmong ethnicity. “Returning” to the original cultural context of Hmong culture, Tapp gives us the first ethno-graphic study of the Hmong (as opposed to other groups classified with the Hmong in the Chinese nationality term Miao) in China. Tapp presents richly detailed analysis of Hmong livelihoods, kinship structures and relations, ritual practice and relations with other sectors of Han society. Contemporary Hmong life in China demonstrates an articulation, reminiscent of his earlier account from Thailand, of the dynamic and creative tension between the reliance on Han culture as a source of cultural innovation and the homogenizing pressures of the dominant Han. Tapp uses “texts” — songs, legends and the language of rituals — as a window for interpreting the “imaginary” reality of contemporary village life.

One of Tapp’s thought-provoking arguments is that the Han-Hmong cultural relationship creates an inter-ethnic space characterized by creative “re-workings and re-fashionings,” where the critical agency of the Hmong is located. For example, the efforts of one local clan group to record its genealogy and preserve it in a Han-style ancestral hall and utilize social networks linking local and overseas Hmong, show how the Hmong wield influence over the dominant external social forces. Thus, in this analysis agency refers not to the ability of the Hmong to observe and evaluate the cultural discourse of their own “Hmong” identity, but emphasizes rather the processes through which Hmong social agency itself functions within the context of a larger and more powerful “rhetoric of civility” associated with the Chinese state. Indeed, Tapp concludes that agency is best understood as a continual resignification of culture within new contexts. Such a framework is helpful within the evolving contexts of a globalized world, because it recognizes the importance of social relations across ethnic borders and the resulting tension between local power to decide and global power to impose.

*Hmong/Miao in Asia* (2004) is a commendably explicit effort to address contemporary upland minority social issues in the context of an evolving transnational identity. To do this, the editors and authors have had to work past the flawed ethnic categories imposed by state authorities. The people known throughout Southeast Asia as the Hmong are one of three groups included in the Miao category used in China. As the editors explain in the introduction, the study of the Hmong and Miao has typically ignored the transboundary nature of these people, relying instead on single country, and more generally, nation-state based approaches.


It is important to note that of the 20 con-
tributing authors, 5 are Hmong researchers themselves—3 Lao Hmong based in the west, 1 Thai Hmong and 1 Vietnamese Hmong. It is also interesting that this publication foreshadows the emergence of a talented community of young Hmong/Miao researchers who have grown up in the Southeast Asian region, largely in the post-Cold War world. This book has set the stage for the growth of influential Hmong/Miao commentary on the challenges and opportunities faced by minority groups.

The potential of a new generation of Hmong researchers is demonstrated by Lilao Bouapao in *Rural Development in Lao PDR* (2005). This work follows in a tradition of examining Hmong adaptation and response to development interventions, mostly in context of crop replacement and consolidation of the Thai nation-state’s control over upland areas and peoples. Lilao has focused his attention on national and international development interventions in a village, his own native village, in Laos. The analysis focuses on four development interventions and their impacts on the livelihoods and environment of the local Hmong. He finds that there is an acute lack of environmental and social considerations in the management of development projects. Lilao identifies and elaborates the gap between past field-level experiences of development intervention and the current practices of development. Especially important in his account is the lack of understanding of local history and social processes among agents of rural development, and the failure to recognize local people as the holders of valuable knowledge regarding development options. Lilao presents national and multilateral development policy makers with conclusions about the importance of local participation and consultation within the decision making processes of rural development.

Representation of Hmong throughout the levels of the Lao government is far better than in other countries in the region, and the Hmong also comprise a larger proportion of the total Lao national population than in neighboring countries. Nonetheless, the situation of the Hmong within Laos is still made tense by the history of conflict and the strength of Hmong kinship linkages with overseas Hmong. This makes the critique of development by a Hmong scholar all the more interesting and important. This book is a valuable contribution to the literature on development in Laos, and provides recommendations that should be heeded by development agencies. This work may also be a significant step in the development of a research community with strong linkages to, and stakes in, the reality of the local-national-global interface in social development.

These three recent publications represent three different types of scholarship. All three are welcome contributions to their fields. In addition to the information and insights that they each provide, considered together they suggest positive and creative new directions with relevance for not only scholars interested in Hmong and minority issues, but also those struggling to understand and explain the tensions, contradictions and creations in a world of intensifying interlinkages.

(Nathan Badenoch • Unit for Social and Environmental Research, Chiang Mai University)


Catholics comprise 3% of the Indonesian population, forming the third largest religious community after Muslims (87%) and Protestants (6%). In post-colonial Indonesia, Catholics, have wielded influence out of proportion to their modest numbers. In great part, this is thanks to
Catholic institutions (like their Protestant counterparts) having pioneered the introduction of modern education not just to the Christian minority but also to the non-Christian majority. Karel Steenbrink’s Catholics in Indonesia offers a rich portrait of the roots of a community (or, better, a far-flung network of diverse communities) that has played an important role in the making of modern Indonesia.

In researching Indonesian Catholic history, Steenbrink, a major authority on Indonesian Islam and for many years coordinator of cooperation between Leiden University and Islamic institutes of higher learning in Indonesia, is returning in a sense to his own academic roots: he wrote his master’s thesis for the Catholic University of Nijmegen on the Chinese Catholics of Bangka. A former seminarian, he is in some sense an “insider” to the world of the Catholic clergy who provide the bulk of his data, while at the same time his study of Islam and collaboration with Muslim intellectuals give him a wider perspective.

Although not himself seeking to offer any “grand theory” on the historical phenomenon of conversion to world religions or on the evolution of history in general, Steenbrink does hope that his study of the growth of Catholicism in late colonial Indonesia will offer insights useful to those inquiries. His own background enables him to spot weaknesses in generalizations such as Lamin Sanneh’s thesis (p. 230) that differences between Christian and Islamic missionizing are attributable to the two religions’ different attitudes to the translatability of scripture. Steenbrink queries (though more gently than in my paraphrase) whether Sanneh misses the fact that understandings of the person of Christ are more central to defining Christianity than is its scriptural tradition per se (and so Islam’s attitude to the Qur’an is more properly to be compared to Christianity’s attitude to Christ rather than to the Bible).

The book is part of a projected two-volume survey of the history of Catholicism in the Netherlands East Indies covering the years 1808–1942. After two centuries when the VOC had prohibited Catholic clergy from entering its territories, it was the arrival of the Enlightenment in the form of Louis Bonaparte’s Governor General Herman Willem Daendels that—under the principle of freedom of religion—re-opened the colony to Catholic priests (Daendels was himself a Catholic, a fact noted by Steenbrink but not commonly encountered in conventional histories). The inauguration of the Ethical Policy in 1901 marks the break between the two volumes. To help achieve its new goal of educating the indigenous, the colonial government sponsored a massive expansion of Christian missionary activity, resulting in an explosion in the indigenous Christian population (in the case of Catholics, a near 18-fold jump from 27,000 in 1900 to 480,000 in 1940). The communities established, often tenuously, in the nineteenth century would develop under greatly transformed circumstances during the twentieth century.

In Volume One, after briefly discussing the issue of Indonesian conversions to world religions in general, Steenbrink takes the reader on a tour of the archipelago: Java (Europeans and Eurasians)—Bangka (Chinese)—Borneo (Chinese and Dayaks)—Sumatra (Bataks)—Flores (a chapter each on the east and the center of the island)—Sumba—West Timor—Kendari and the Kai Islands—Minahasa—the Moluccas—Java (Javanese converts).

He begins with the Church’s attempts to cater to the spiritual needs of the European and Eurasian Catholics in the cities and towns of Java (a large proportion consisted of soldiers in the colonial army) as well as the material ones of such marginal groups as mixed-race orphans. He documents the hierarchy’s conflicts with a state
from which it welcomed money (priests received government salaries) but not interference. However, his focus does not remain long on the Europeans and Eurasians, for the dynamic sector of the Church and its future belonged to the indigenous population. Indeed, the European-Eurasian population did not exhibit the intensified religiosity that characterized both the colony’s indigenous peoples, whether Muslim, Protestant, or Catholic, and (from 1870 to 1940) metropolitan Dutch society.

Steenbrink highlights the key roles played by laypersons in promoting Catholicism in the archipelago. This is no mere attempt to bring to light an “underground” history but rather recognizes the plain reality that lay leaders took initiative because clergy and other religious were very thin on the ground. This lay vanguard, as it were, of Catholicization included a wide range of characters: a Scottish-Malay clerk in Semarang who without Church authorization dispensed the sacraments from a house chapel; a Chinese physician from British Penang who founded a Catholic community among his countrymen in Bangka; a German (and Protestant!) entrepreneur on Kai Kecil who asked the bishop of Batavia to send priests to evangelize the local population.

The most successful lay leaders were the local rulers in Flores (who admittedly were rulers and were not starting from scratch, building on an indigenized Catholicism with Portuguese roots). Perhaps the most fascinating chapters in the book for the general reader are the two drama-filled ones on Flores: court intrigue; petty warfare; the spectacles of Ibero-Florinese piety; pagan highlanders coming to attend Catholic feasts; Catholic rulers maintaining traditional shrines for the pagan highlanders; and even a prophetess who inspired the supreme pagan priest in Larantuka to convert. The history Steenbrink presents here might well be fruitfully compared with that of the Catholicized royal elite of early modern Kongo (northern Angola) studied by John K. Thornton (The Kongolese Saint Anthony). The Florinese rajas were allyng with the European Catholic hierarchy not only to increase their power over their own subjects but also to preserve as much autonomy as possible in the face of an increasingly intrusive Dutch colonial regime.

Catholics in Indonesia rests on a massive body of archival research; Steenbrink exploited the resources of five ecclesiastical archives, including that of the Jesuit Provincial in Semarang. Half of the volume consists of a selection of documents (in the original Dutch or in a few cases Latin or French, but each helpfully prefaced by a short English description). In many cases, a reader can go directly via a footnote from a point in Steenbrink’s text to an excerpt of the source, although the compilation includes more than just material relevant to his narrative (for instance, we find two Malay catechisms, one in the dialect of Malay local to eastern Flores). Furthering its utility as a reference, Steenbrink adds appendices, one giving statistics for Catholic schools in the colony in 1903, others listing priests, Jesuit brothers, nuns, and Protestant clergy mentioned in the text accompanied with information on their career trajectories.

In this study, Steenbrink presents evidence that complicates our picture of both the colonial encounter and of religious conversion. Not all Europeans served the interests of the colonial government all the time, and not all Westernization disempowered the indigenous people. Steenbrink’s perspective allows him to portray Dutch colonial officials, partaking of both Catholic and Enlightenment values, as just as much bearers of “complicated religious identities” as syncretistic “natives.”

(Andrew L. Abalahin • Department of History, San Diego State University)

フィリピンのマイノリティ（少数民族）といえば、多様な非キリスト教徒を指す。彼らは人口の1割を占めるにすぎないが、同国を初めて訪れる観光客でも、「バジャウ（サマの通称）」には出会うかもしれない。船着場で海に落とされたコインを素通りで拾いあげるボーヤーマンを観せる子どもたち。市場で、イヤリングを持って物販する母・娘。そんな彼らを思わす目を追っていると障のフィリピン人が耳元でききやく。彼らは「バジャウ」なのだ。

本書は、周辺から「一番貧しい人たち」という眼差しを受けながら、ダバオという地方都市の「貧困」を生きるサマに焦点があてられている。研究目的は、「貧困の実体的解釈」である。1997年8月より、著者は調査地に出入りしたりして彼らの貧困のなかに身をおくうちに、「おやすみされていた仮説ではないとらえにくい分析テーマ－－エスニック・アイデンティティ」がその理解を助ける鍵概念になる想法をつけていく。

本書のすばらしさは、「このテーマを断ち切らずに、現場の人が手が行う行為や語りで示唆してくれる『世の中の見方』を手から手に研究を進めたい」（p.2）そう考えた著者が労を惜しまず施したエッセイで厳密な調査と、その豊かな一次データの集積にある。8年間にもわたる調査の結果から、フィリピンのマイノリティ研究や、既存の経済学の「貧困研究」への方法論に貴重な貢献がなされている点も注目に値する。その研究成果が評価され、本書は第2回国際大学アジア太平洋研究賞を受賞した。

フィリピンの少数民族は、近代化や国内外国家の成立、そして経済のグローバリゼーションなどの結果、「エスニック・アイデンティティ」の著しい変容を迫られる。ダバオ市のサマの社会変容は他の少数民族と違うのか。著者はまず、フィリピンの少数民族サマに関する先行研究を参考にし、比較することから、調査対象となったサマの「エスニック・アイデンティティ」変容の特徴を浮き彫りにしていく。

移住前からは大きく異なる生活空間で、多数派社会に組み込まれ、固有の生活様式や文化を喪失していく。この点で、サマはEder [1987]が研究対象としたバタックと同様である。実際、著者も、少数民族が「適応困難」を迫られる側面をあげたEderの考え方に強く共感している。しかし、Ederがバタックと同様に「民族絶滅の途上」にある、と描いたのに対して、著者はサマ社会を「生活様式の変化を迫られる過程でこそ、従来とは異なる民族意識が絶えず生成、変化」していると分析する。


著者が対象とした社会では、「バジャウ」という主に海サマに対する自覚・他称であったアイデンティティが、陸サマまで拡大している。しかし、アイデンティティ・ポリティクスは展開されない。なぜなのか。先行研究との比較で、著者の視点は「エスニック・アイデンティティ」が動員される内外の条件の違いに向けられる。外部条件としては、現在のサマ社会には、アエラのような「外部からの注目やエスニック・アイデンティティの覚醒に結びつく」とされるような出来事はないとされる。内部条件としては、それを作る政治的まとまりもなければ、指導者もいない。資源は、市場経済の縁縁でわずかな交換を成り立たせるほどもあっけない。外的な要因からも内的な要因からも「周辺」の位置づけを余儀なくされながら、絶えず生成変化していこうと「エスニック・アイデンティティ」とは何なのか。著者はこの実態に向き合いながら、貧困の度合い
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こそが、ダバオ市のサマの「エスニック・アイデンティティ」に係るしていることを導入していく。複雑多様で一見混乱としても現状のなかで、「地域社会の生物」と言えるように、著者が構築したデータ収集方法とその内容は、敬服に値する。

実際には、「調査期間を通じて「価値処理を含む分析の枠組みが拡大されて」（p.325）、計画的ではなかった面もあるという。しかし、揺られてながらも、「貯金の実体的解釈」という観点にあわせて一切できることも少なく、調査方法や内容項目の一つ一つが熱心される。厳密に繰りあげられていく。結果、付録に収められた豊かな一次データは、貧困を生きる民族集団の日常の経済的行為を理解する「厚いデータ」となって、読み手を圧倒する。ここに、「開発経済学における貧困研究と人類学的民族誌の手法を横断し」（p.325）しようとした著者の試みが達成されたことかえる。両分野をつなぐ新しい研究手法の手本として、大いに参考になることができるよう。

こうした調査の積み重ねから、著者は「パジュウ」というアイデンティティが一定方向に変化しているのではなく、サマ社会内部で多方向に生成されるアイデンティティの総体であることを理解していく。多方向に膨らみながら、まとまりのない「エスニック・アイデンティティ」。この実態が「パジュウ」「サマ」というアイデンティティを個々に自己同定し、別の他者に向けって発信する、5つのある社会的定位のグループの紹介で示される。この5つのグループは、「社会的平等の調査」の結果、被調査者の見解を重視して抽出されたものだ。つづいて5～9章まで、それぞれ1章ずつ割れていてだいに易読性に記述される。

本書の半分の部分が割かれて、5つのグループを代表する家族が厚く記述されるのに理由がある。そこで本書のもう一つのねらい「貧困者を主体としてとらえることも必要性」が込められているとみるのは、深読みだろうか。第3章では、貧困の度合が「エスニック・アイデンティティ」の操作範囲に関連していることが示されるのと同時に、サマが、貧困者という「不満」に尊敬を傷つけられるが、周囲を位置づけられる内部の条件下で「自尊心」を保ち、生活維持のために試行錯誤をこなしながら、主体的に暮らしている様子が伝わる。

他者との関係や貧困の度合により形成される「エスニック・アイデンティティ」。そして「自尊心」や「尊敬」に、経済活動における主体的選択の一つ一つに影響する。本書は、人類学や地域研究にとっても示唆深いか、なおも既存の経済学の貧困研究への問題提起を出発点としている。経済学では、「エスニックといった固定的なレッテル（あるいはラベル）という実体論的アプローチをとる」ことが一般的で、「エスニック・アイデンティティ」は往々にして経済的な変数に対する説明変数をとるされる。これに対し、著者の研究は、「エスニック・アイデンティティ」を被説明変数としてもとれなず意義を有する実証したといえるだろう。しかしながら、別の問題もある。

この点に関して、経済学と人類学や地域研究とのあいだに広がる相互のギャップのことをある。エスニックが「他者との関係性のなかで常に生成される」というアプローチは、いまや人類学や地域研究では常識である。経済学を背景とする著者や人学のエスニックの関係論的観点に賛したが、人類学や地域研究者は、経済学のエスニックの固定的見解にショックを受けるはずだ。本書のアプローチが経済学にどのようにフィードバックされていくのかが楽しみである。同時に、本書に刺激され、経済学と人類学や地域研究をつなぐ、優れた仕事が生まれることを期待する。

さて、構成は「フィールドワークという調査プロセスそのもの」という本書、は「クリスチャン・パジュウとして新しく生きる？」というタイトルの章で、その実証研究部分がしみぐくまれる。この章では、キリスト教の受容にとどまらず、外部から投入される資源がサマの暮らしぶりや、「エスニック・アイデンティティ」にどのように影響を与えているか、というテーマに焦点があてられている。

キリスト教の受容は、5つのグループのうち第3グループを中心に、第5グループの巻き込みながら、海サマ・コミュニティに新しい指導者としての牧師の台頭と権威構造の変化を引き起こし、サマの自己同定を肯定的なものに転じた。こうした動きは、文化戦略や「アイデンティティ・ポリティクス」の契機となるだろうか。著者は、その契機には
社会変容をみるときに、時間軸をどう設定するのか。この問題に対しても、著者は自覚的である。本書の随所に、その記述が、著者の観察期間という時間軸に留まるものである、との断り書きがある。だからこそ、定点観測様式への意欲をみせるのかもしれない。このすばらしい研究に、続編がつめられていくことを、期待する。

（石井正子・京都大学地域研究統合情報センター）

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