

## Brauer Group of $\mathbb{R}(X)$ and Eichler Type Theorem

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### Abstract

The Brauer group of  $\mathbb{R}(X)$ , the rational function field over the real field, is isomorphic to the continuous direct sum of  $\mathbb{Z}/2\mathbb{Z}$ . A central division algebra over  $\mathbb{R}(X)$  has strong approximation property for  $\mathbb{R}[X]$  if and only if it is trivial at the place not corresponding to a prime ideal of  $\mathbb{R}[X]$ . This is a generalization of Eichler theorem.

We discuss similar problems for algebraic function fields over  $\mathbb{R}$  and obtain partial solutions for some cases.

### 1. Brauer groups of $\mathbb{R}((X))$ and $\mathbb{R}(X)$ .

Let  $\mathbb{R}((X))$  be the field of formal power series over  $\mathbb{R}$ . It is a complete valuation field with the residue field  $\mathbb{R}$ . By J.P.Serre "Corps locaux" Chap 12, we have

$$Br(\mathbb{R}((X))) \simeq Gal(\mathbb{C}/\mathbb{R}) \times Br(\mathbb{R}) \simeq (\mathbb{Z}/2\mathbb{Z})^2.$$

( $Br(K)$  denotes the Brauer group of  $K$ ). We shall determine it more concretely.

Let  $D$  be a central division algebra over  $\mathbb{R}((X))$ . Since  $Br(\mathbb{C}((X)))$  is trivial,  $D$  splits over  $\mathbb{C}((X))$ , so that  $D$  contains a maximal subfield isomorphic to  $\mathbb{C}((X))$ . Thus we have

$$D = K + Ki + Kj + Kij, \quad K = \mathbb{R}((X)),$$

$$i^2 = -1, j^2 = f \in K^\times, ji = -ij.$$

We shall denote this  $D$  by  $\{-1, f\}$ .

Since  $\{-1, f\} \simeq \{-1, f'\} \iff ff'^{-1} \in N_{K(\sqrt{-1})/K}(K(\sqrt{-1})^\times) = (K^2 + K^2)^\times$ , we have  $Br(K) \simeq K^\times / (K^2 + K^2)^\times$ , whose complete representative system is given by  $\{1, -1, X, -X\}$  so that

$$Br(\mathbb{R}((X))) = \{\mathbb{R}((X)), \mathbb{H}((X)), \{-1, X\}, \{-1, -X\}\}.$$

Note that  $\mathbb{R}((X)) = \{-1, 1\}$  and  $\mathbb{H}((X)) = \{-1, -1\}$  where  $\mathbb{H}$  is the usual quaternion algebra over  $\mathbb{R}$ .  $\mathbb{H}((X))$  is unramified over  $\mathbb{R}((X))$ , while  $\{-1, X\}$  and  $\{-1, -X\}$  are ramified.

Next, we shall determine the Brauer group of  $\mathbb{R}(X)$ .

**Theorem 1** (1) Every central division algebra over  $\mathbb{R}(X)$  has the index  $\leq 2$ , hence if it is not trivial, it is a quaternion algebra over  $\mathbb{R}(X)$ ,

(2)  $Br(\mathbb{R}(X)) \simeq \mathbb{Z}/2\mathbb{Z} \times (\mathbb{Z}/2\mathbb{Z})_0^{\mathbb{R}} \simeq (\mathbb{Z}/2\mathbb{Z})_0^{\mathbb{R}} \coprod^{\{\text{sgn}\}}$ , where  $(\mathbb{Z}/2\mathbb{Z})_0^{\mathbb{R}}$  denotes the continuous direct sum of  $\mathbb{Z}/2\mathbb{Z}$ , namely the aggregation of all finite subsets of  $\mathbb{R}$  with the group operation:  $A \cdot B =$  the symmetric difference of  $A$  and  $B$ .

**Proof** Let  $D$  be a central division algebra over  $\mathbb{R}(X)$ . Then by the same reason as before,  $\mathbb{C}(X)$  is a splitting field of  $D$ . This proves (1), and some maximal subfield of  $D$  is isomorphic to  $\mathbb{C}(X)$ . Thus  $D$  is in the form of  $D = \{-1, f\}$  for some  $f \in K^\times$ ,  $K = \mathbb{R}(X)$ , and we have  $Br(\mathbb{R}(X)) \simeq K^\times / (K^2 + K^2)^\times$ .

If  $f = \varphi^2 + \psi^2$ , then  $f(a) \geq 0$  for  $\forall a \in \mathbb{R}$ . Conversely, if  $f(a) \geq 0$  for  $\forall a \in \mathbb{R}$ , then  $f$  is decomposed into the product  $f = \prod_i (X - a_i)^2 \prod_j (X - \alpha_j)(X - \bar{\alpha}_j)$ ,  $a_i \in \mathbb{R}, \alpha_j \in \mathbb{C} \setminus \mathbb{R}$ . Since  $(X - \alpha_j)(X - \bar{\alpha}_j) = N_{K(\sqrt{-1})/K}(X - \alpha_j)$ , we have  $f \in K^2 + K^2$ . Therefore, as a complete representative system of  $K^\times / (K^2 + K^2)^\times$ , we get  $\{\pm(X - a_1) \cdots (X - a_n) \mid a_i \in \mathbb{R}, \text{mutually distinct}\}$ .

For  $f = \pm(X - a_1) \cdots (X - a_n)$ ,  $D = \{-1, f\}$  is trivial at  $a$  such that  $f(a) > 0$ . It is ramified at  $a_i$  and at the non-prime place (which will be denoted by  $\infty$ ) if the degree of  $f$  is odd. Since  $\{-1, f_1\} \otimes_{\mathbb{R}(X)} \{-1, f_2\} \sim \{-1, f_1 f_2\}$ , the multiplication in  $Br(\mathbb{R}(X))$  corresponds to the symmetric difference of the sets of ramified places. Thus we have obtained the desired result (2).

**Remark** A discrete valuation is called real (or imaginary) if its residue field is  $\mathbb{R}$  (or  $\mathbb{C}$ ). The set of all real places will be denoted by  $RP(K)$ . For  $K = \mathbb{R}(X)$ , we have  $RP(K) = \mathbb{R} \coprod \{\infty\}$ .

Then, we have  $Br(\mathbb{R}(X)) \simeq (\mathbb{Z}/2\mathbb{Z})_0^{RP(\mathbb{R}(X))}$ . The isomorphism is given as follows. Suppose that a central division algebra  $D$  over  $\mathbb{R}(X)$  corresponds to a finite subset  $A$  of  $RP(\mathbb{R}(X)) = \mathbb{R} \coprod \{\infty\}$ .  $D$  is ramified at every  $a \in A \setminus \{\infty\}$ , and at  $\infty$  if  $|A \setminus \{\infty\}|$  is odd. There are two  $D$ s which are ramified at no place. They are attributed to  $\mathbb{Z}/2\mathbb{Z}$  at  $\infty$ .

**Corollary**  $\mathbb{R}(X)$  satisfies Hasse's principle.

$\{-1, -1\}$  is unramified but non-trivial at every place. All other non-trivial  $\{-1, f\}$  are ramified at some places.

## 2. Brauer group of $\mathbb{R}(X, Y)$ .

Let  $K$  be a finite extension of  $\mathbb{R}(X)$ , namely an algebraic function field of one variable over  $\mathbb{R}$ . In other words,  $K = \mathbb{R}(X, Y)$ ,  $Y$  is algebraic over  $\mathbb{R}(X)$ .

If  $\sqrt{-1} \in K$ , then  $K$  is an algebraic function field of one variable over  $\mathbb{C}$ , so that  $Br(K)$  is trivial.

Hereafter we shall assume that  $\sqrt{-1} \notin K$ . Since  $Br(K(\sqrt{-1}))$  is trivial, a central division algebra  $D$  over  $K$ , splits over  $K(\sqrt{-1})$ . This implies that  $D$  is a quaternion algebra and  $D = \{-1, f\}$  for some  $f \in K^\times$ . From this we see that  $Br(K)$  has the exponent 2, and  $Br(K) \simeq K^\times / (K^2 + K^2)^\times$ .

A valuation on  $K$  which is trivial on  $\mathbb{R}^\times$  is called a place. The residue field of a place  $v$  is  $\mathbb{R}$  or  $\mathbb{C}$ , according to which  $v$  is called real or imaginary. (Note that this terminology

differs from the ones used for algebraic number fields).

For an imaginary place  $v$ ,  $D_v$  is trivial over  $K_v$ . For a real place  $v$ ,  $D_v$  is one of four algebras over  $K_v$ . The one is trivial, another one is an unramified quaternion, and the other two are ramified quaternions. See the results in §1.

Let  $RP(K)$  be the set of all real places. Since the place of  $K(\sqrt{-1}) = \mathbb{C}(X, Y)$  are in one-to-one correspondence with points of a compact Riemann surface  $\mathfrak{R}$ , and since a real place  $v$  of  $K$  does not decompose in  $K(\sqrt{-1})$ ,  $RP(K)$  is identified with a subset of  $\mathfrak{R}$ .

For a real place  $v$  of  $K$ , we have  $\exists \varphi \in K$ ,  $\text{ord}_v(\varphi) = 1$ . Then,  $\varphi(z)$  is a local coordinate in a neighbourhood of the corresponding  $z_v \in \mathfrak{R}$ . Since  $z \in RP(K)$  is equivalent to  $\varphi(z) \in \mathbb{R}$  in this neighbourhood,  $RP(K)$  is a one-dimensional real manifold. Since  $\mathfrak{R}$  is compact,  $RP(K)$  consists of  $\nu$  closed curves, where  $\nu$  is the number of connected components of  $RP(K)$ .

**Theorem 2** We have  $Br(K) \simeq (\mathbb{Z}/2\mathbb{Z})_0^{RP(K)}$ .

The isomorphism is given as follows: Fix a point  $z_i$  ( $1 \leq i \leq \nu$ ) from each connected component of  $RP(K)$ . Suppose that  $Br(K) \ni D$  corresponds to a finite subset  $A$  of  $RP(K)$ . Then,  $D$  is ramified at  $A \setminus \{z_1, \dots, z_\nu\}$  and possibly at  $z_i$ . The ramification at  $z_i$  is determined by the rule that  $D$  is ramified at even number of places on each connected component of  $RP(K)$ .

There are  $2^\nu$  different division algebras which are ramified at no real place. They are attributed to  $(\mathbb{Z}/2\mathbb{Z})^{\{z_1, \dots, z_\nu\}}$ .

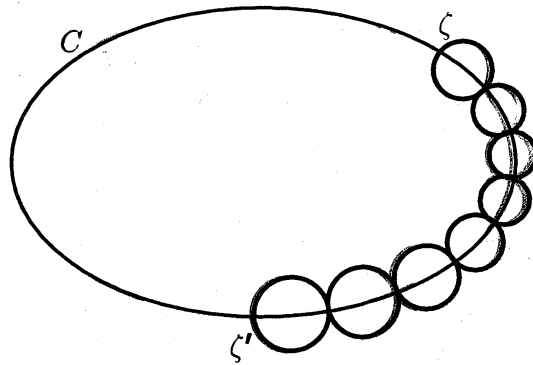
**Proof** Let  $Br_1(K)$  be the group of all division algebras which are ramified at no real place. Then,  $D = \{-1, f\} \in Br_1(K)$  is equivalent to that  $\text{ord}_z(f)$  is even for every  $z \in RP(K)$ , namely that  $f(z)$  has definite sign on each connected component of  $RP(K)$ .

As shown later, Hasse's principle holds for  $K = \mathbb{R}(X, Y)$ . Therefore,  $D = \{-1, f\}$  is trivial if and only if  $f$  is non-negative on  $RP(K)$ , so that we have  $|Br_1(K)| \leq 2^\nu$ . The equality holds if for any connected component  $C$  of  $RP(K)$ , there exists  $f \in K^\times$  such that  $f(z) \leq 0$  on  $C$  but  $f(z) \geq 0$  on  $RP(K) \setminus C$ . Since  $RP(K)$  is mapped homeomorphically into  $\mathbb{R}^4$  by  $z \mapsto (T_i(z))_{1 \leq i \leq 4}$ ,  $T_1(z) = \frac{X(z)}{X(z)^2+1}$ ,  $T_2(z) = \frac{1}{X(z)^2+1}$ ,  $T_3(z) = \frac{Y(z)}{Y(z)^2+1}$ ,  $T_4(z) = \frac{1}{Y(z)^2+1}$ , and since the function  $F$  defined by  $F(z) = -1$  on  $C$  and  $F(z) = 1$  on  $RP(K) \setminus C$  is continuous on  $RP(K)$ , the polynomial approximation theorem of Weierstrass assures

that there exists a polynomial  $P(T_i)$  such that  $P(T_i(z)) < 0$  on  $C$  but  $P(T_i(z)) > 0$  on  $RP(K) \setminus C$ . This completes the proof of  $Br_1(K) \simeq (\mathbb{Z}/2\mathbb{Z})^{\{z_1, \dots, z_\nu\}}$ .

Take any  $f \in K^\times$ . If  $\text{ord}_{z_0}(f)$  is odd for  $z_0 \in RP(K)$ , then  $f(z)$  changes its sign when  $z$  crosses  $z_0$ . Since a connected component  $C$  of  $RP(K)$  is a closed curve,  $f(z)$  must change its sign even times on  $C$ , therefore  $D = \{-1, f\}$  is ramified at even number of places on  $C$ .

Now, we shall show that for any two points  $\zeta$  and  $\zeta'$  on  $C$ , there exists  $f \in K^\times$  such that  $D = \{-1, f\}$  is ramified at  $\zeta$  and  $\zeta'$ , but not ramified at other real places. Again we shall map  $RP(K)$  into  $\mathbb{R}^4$  by  $z \mapsto (T_i(z))_{1 \leq i \leq 4}$ . Since  $C$  is a closed analytic curve, there are  $\zeta = \zeta_0, \zeta_1, \dots, \zeta_n = \zeta'$  ( $\zeta_i \in C$ ) and small spheres  $S_j : \sum_{i=1}^4 (T_i - a_{ij})^2 = r_j^2$  such that  $S_j \cap RP(K) = \{\zeta_{j-1}, \zeta_j\}$ .



Then,  $f = \prod_{j=1}^n \left\{ \sum_{i=1}^4 (T_i(z) - a_{ij})^2 - r_j^2 \right\}$  satisfies  $\text{ord}_\zeta(f) = \text{ord}_{\zeta'}(f) = 1$ ,  $\text{ord}_{\zeta_i}(f) = 2$  ( $1 \leq i \leq n-1$ ), and  $\text{ord}_z(f) = 0$  for  $z \in RP(K) \setminus \{\zeta_i\}$ . This  $f$  is the desired element of  $K^\times$ .

Thus we have proved  $Br(K)/Br_1(K) \simeq (\mathbb{Z}/2\mathbb{Z})_0^{RP(K) \setminus \{z_1, \dots, z_\nu\}}$ , so combining with the result for  $Br_1(K)$ , we get  $Br(K) \simeq (\mathbb{Z}/2\mathbb{Z})_0^{RP(K)}$ .

**Remark**  $K$  satisfies Hasse's principle as a result of the following lemma.

Let  $\square K$  be the set of all sums of squares,  $\square K = \{\sum x_i^2 | x_i \in K\}$ .

**Lemma** Let  $K = \mathbb{R}(X, Y)$  be an algebraic function field over  $\mathbb{R}$ .

(1) For  $f \in K^\times$ ,  $f \in \square K$  if and only if  $f(z) \geq 0$  for  $\forall z \in RP(K)$ . Especially, if  $RP(K) = \emptyset$  then  $\square K = K$ .

(2) Every element of  $\square K$  can be written as a sum of two squares.

We shall omit the proof here, and refer to [6], Th.3.2, Chap.3 and Th.2.1, Chap.4.

**Corollary**  $K = \mathbb{R}(X, Y)$  satisfies Hasse's principle.

**Proof**  $D = \{-1, f\}$  is locally trivial if and only if  $f(z) \geq 0$  for  $\forall z \in RP(K)$ , which is equivalent to  $f \in K^2 + K^2 = N_{K(\sqrt{-1})/K}(K(\sqrt{-1})^\times)$ , hence  $D = \{-1, f\}$  is trivial.

### 3. Approximation in idele groups.

Let  $R$  be a Dedekind domain, and  $K$  be its quotient field. Every prime ideal  $p$  of  $R$  defines the  $p$ -adic valuation on  $K$ . This is called a prime valuation. Besides  $p$ -adic valuations, we often consider some others, which are called non-prime valuations. For instance, all valuations trivial on  $k^\times$  when  $K$  is an algebraic function field over the constant field  $k$ .

We define the adèle ring  $R_{\mathbb{A}}$  of  $R$  by  $R_{\mathbb{A}} = \prod_p R_p$ , where  $p$  runs over all prime valuations and  $R_p$  denotes the completion of  $R$  at the place  $p$ . Also we define the adèle ring  $K_{\mathbb{A}}$  of  $K$  by  $K_{\mathbb{A}} = K \otimes_R R_{\mathbb{A}} \simeq \bigcup_S (\prod_{p \in S} K_p \times \prod_{p \notin S} R_p)$  where  $S$  runs over all finite set of prime valuations. The idele group  $K_{\mathbb{A}}^\times$  is defined as the group of invertible elements of  $K_{\mathbb{A}}$ . It is written in the form of  $K_{\mathbb{A}}^\times = \bigcup_S (\prod_{p \in S} K_p^\times \times \prod_{p \notin S} R_p^\times)$ .

The fundamental system of neighbourhoods of 0 in  $K_{\mathbb{A}}$  is given by  $\{V(S, n)\}$ , where

$$V(S, n) = \prod_{p \in S} p^n R_p \times \prod_{p \notin S} R_p.$$

Similarly, the fundamental system of neighbourhoods of 1 in  $K_{\mathbb{A}}^\times$  is given by  $\{U(S, n)\}$ ,

where

$$U(S, n) = \prod_{p \in S} (1 + p^n R_p) \times \prod_{p \notin S} R_p^\times.$$

Let  $D$  be a central division algebra over  $K$ . A finitely generated  $R$ -submodule of  $D$  is called an  $R$ -lattice, and if it spans  $D$  as a  $K$ -vector space, it is called a full  $R$ -lattice. An  $R$ -lattice is called an  $R$ -order, if it is a subring including 1 (= the unit element of  $D$ ).

The adèle ring  $D_{\mathbf{A}}$  of  $D$  is defined by  $D_{\mathbf{A}} = D \otimes_K K_{\mathbf{A}}$ . It is written in the form of  $D_{\mathbf{A}} = \bigcup_S (\prod_{p \in S} D_p \times \prod_{p \notin S} \Gamma_p)$ , where  $\Gamma$  is a full  $R$ -order of  $D$  and  $\Gamma_p = \Gamma \otimes_R R_p$ . The idele group  $D_{\mathbf{A}}^\times$  is defined similarly. The fundamental system of neighbourhoods of 1 in  $D_{\mathbf{A}}^\times$  is given by

$$U(S, n) = \prod_{p \in S} (1 + p^n \Gamma_p) \times \prod_{p \notin S} \Gamma_p^\times.$$

$D$  is diagonally imbedded into  $D_{\mathbf{A}}$ , and  $D^\times$  is diagonally imbedded into  $D_{\mathbf{A}}^\times$ .  $D$  is dense in  $D_{\mathbf{A}}$  (by chinese remainder theorem), but  $D^\times$  is not dense in  $D_{\mathbf{A}}^\times$ . But  $D^\times$  may be dense in some subgroup of  $D_{\mathbf{A}}^\times$ .

Let  $\mathfrak{N}_{D/K}$  be the reduced norm  $D \rightarrow K$ .  $\mathfrak{N}_{D/K}$  maps  $D^\times$  homomorphically into  $K^\times$ . We shall denote its kernel by  $D^{(1)}$ .  $\mathfrak{N}_{D/K}$  is uniquely extended as a  $K_{\mathbf{A}}$ -valued polynomial function on  $D_{\mathbf{A}}$ . This extension is denoted by the same symbol  $\mathfrak{N}_{D/K}$ , and its kernel in  $D_{\mathbf{A}}^\times$  is denoted by  $D_{\mathbf{A}}^{(1)}$ .

Eichler theorem ascertains that for global fields,  $D^{(1)}$  is dense in  $D_{\mathbf{A}}^{(1)}$  (in the topology of  $D_{\mathbf{A}}^\times$ ) if and only if  $D_v$  is not a division algebra for some non-prime  $v$ .

For global fields, we have also  $D^{(1)} = [D^\times, D^\times]$ , the commutator group of  $D^\times$ . But for a general  $K$ , this relation does not hold (For instance, Platonov [3]).

For a general  $K$ , in the connection with the cancellation problem of  $\Gamma$ , it seems natural to consider  $[D^\times, D^\times]$  rather than  $D^{(1)}$ . Thus we define the strong approximation property as follows: A central division algebra  $D$  is said to have strong approximation property if  $[D^\times, D^\times]$  is dense in  $[D_{\mathbf{A}}^\times, D_{\mathbf{A}}^\times]$ . To find a necessary and sufficient condition for strong approximation property is a generalization of Eichler theorem to a general case.

In the connection with the cancellation problem of  $\Gamma$ , we consider a little weaker approximation property.  $D$  is said to have  $D^\times$ -approximation, if the closure of  $D^\times$  (in the

topology of  $D_{\mathbb{A}}^{\times}$ ) contains  $[D_{\mathbb{A}}^{\times}, D_{\mathbb{A}}^{\times}]$ .  $D$  is said to have  $R_{\mathbb{A}}^{\times}D^{\times}$ -approximation, if the closure of  $R_{\mathbb{A}}^{\times}D^{\times}$  contains  $[D_{\mathbb{A}}^{\times}, D_{\mathbb{A}}^{\times}]$ . (Both of  $D^{\times}$  and  $R_{\mathbb{A}}^{\times}$  are contained in  $D_{\mathbb{A}}^{\times}$ , so  $R_{\mathbb{A}}^{\times}D^{\times} \subset D_{\mathbb{A}}^{\times}$ .) The last and weakest approximation property is necessary and sufficient for the cancellation of every full  $R$ -order  $\Gamma$  of  $D$  (namely  $\Gamma \oplus \Gamma \simeq L \oplus \Gamma$  implies  $\Gamma \simeq L$ , the isomorphism being as  $\Gamma$ -lattices).

#### 4. Eichler theorem for $\mathbb{R}(X)$ .

In §1 we have seen that  $D = \{-1, f\}$  is trivial at the non-prime place  $\infty$  if and only if  $f$  is monic of even degree.

**Theorem 3** If  $D_{\infty}$  is not trivial, then  $D^{\times}$  is discrete in  $D_{\mathbb{A}}^{\times}$  and  $R_{\mathbb{A}}^{\times}D^{\times}$  is closed in  $D_{\mathbb{A}}^{\times}$ .

**Corollary** If  $D_{\infty}$  is not trivial, then  $R_{\mathbb{A}}^{\times}D^{\times}$ -approximation property does not hold.

**Proof of Corollary** It suffices to show  $[D_{\mathbb{A}}^{\times}, D_{\mathbb{A}}^{\times}] \not\subset R_{\mathbb{A}}^{\times}D^{\times}$ . For a real place  $a$ , we shall identify  $D_a^{\times}$  with the subgroup  $D_a^{\times} \times \prod_{p \neq a} (1)_p$  of  $D_{\mathbb{A}}^{\times}$ . It is clear that  $[D_{\mathbb{A}}^{\times}, D_{\mathbb{A}}^{\times}] \cap D_a^{\times} = [D_a^{\times}, D_a^{\times}]$ . Since  $D_a$  is a quaternion (or a matrix) algebra over  $K_a$ , we have  $[D_a^{\times}, D_a^{\times}] = D_a^{(1)}$ , so that  $[D_{\mathbb{A}}^{\times}, D_{\mathbb{A}}^{\times}] \not\subset K_a^{\times}$ .

On the other hand, if  $x = (x_p) \in R_{\mathbb{A}}^{\times}D^{\times} \cap D_a^{\times}$ , then we have  $\exists d \in D^{\times}, \forall p$  (prime place),  $\exists r_p \in R_p^{\times}, x_p = r_p d$ . For  $p \neq a$ , we have  $x_p = 1$  so that  $d = r_p^{-1} \in R_p^{\times} \subset K_p^{\times}$ , so that  $d \in D^{\times} \cap K_p^{\times} = K^{\times}$ , hence  $x_a = r_a d \in R_a^{\times}K^{\times} \subset K_a^{\times}$ . This assures  $R_{\mathbb{A}}^{\times}D^{\times} \cap D_a^{\times} \subset K_a^{\times}$  so that  $[D_{\mathbb{A}}^{\times}, D_{\mathbb{A}}^{\times}] \not\subset R_{\mathbb{A}}^{\times}D^{\times}$ .

**Proof of Theorem 3**  $D = \{-1, f\}$  means that

$$D = K + Ki + Kj + Kij$$

$$i^2 = -1, j^2 = f, ji = -ij.$$

Then  $\Gamma = R + Ri + Rj + Rij$  is a full  $R$ -order of  $D$  ( $K = \mathbb{R}(X)$ ,  $R = \mathbb{R}[X]$ ).

A fundamental neighbourhood of 1 in  $D_{\mathbb{A}}^{\times}$  is  $U(g) = \prod_p (1 + g\Gamma_p) \cap \prod_p \Gamma_p^{\times}$  for  $g \in R$  and we have  $U(g) \cap D^{\times} = (1 + g\Gamma) \cap \Gamma^{\times}$ , so the first half of Theorem 3 is proved if



$$(1 + g\Gamma) \cap \Gamma^\times = (1).$$

Suppose that  $d = \varphi_1 + \varphi_2 i + \varphi_3 j + \varphi_4 ij \in (1 + g\Gamma) \cap \Gamma^\times$ ,  $\varphi_i \in R$ . This means that  $\varphi_1 \equiv 1 \pmod{g}$ ,  $\varphi_i \equiv 0 \pmod{g}$  for  $i \geq 2$ , and  $\mathfrak{N}_{D/K}(d) = \varphi_1^2 + \varphi_2^2 - f(\varphi_3^2 + \varphi_4^2) \in R^\times = \mathbb{R}^\times$ . If  $g \in R \setminus R^\times$ , substituting a zero of  $g$ , we see that  $\mathfrak{N}_{D/K}(d) = 1$ .

Since each  $\varphi_i^2$  has, if not zero, a positive coefficient of the highest degree term, such terms of  $\varphi_1^2$  and  $\varphi_2^2$  (resp.  $\varphi_3^2$  and  $\varphi_4^2$ ) do not cancel.

From  $\varphi_1^2 + \varphi_2^2 - 1 = f(\varphi_3^2 + \varphi_4^2)$ , if  $f$  is of odd degree, both hand sides should be zero. This implies that  $\varphi_3 = \varphi_4 = 0$  and  $\varphi_1, \varphi_2 \in \mathbb{R}$ , which implies  $\varphi_2 = 0$  and  $\varphi_1 = 1$  because  $\varphi_2$  is a multiple of  $g$ .

If  $f$  is of even degree with a negative coefficient of the highest degree term, then the highest degree terms of  $\varphi_1^2 + \varphi_2^2 - f(\varphi_3^2 + \varphi_4^2)$  do not cancel, so that we have  $\forall i, \varphi_i \in \mathbb{R}$ . This again implies  $\varphi_i = 0$  for  $i \geq 2$ , and so  $\varphi_1 = 1$ .

Thus the first half of Theorem 3 has been proved. Similar discussions show that  $(R + g\Gamma)^\times = R^\times$ , if  $g \in R \setminus R^\times$ .

$\Gamma_g = R + g\Gamma$  is a full  $R$ -order of  $D$ , and  $(\Gamma_g)_\mathbb{A}^\times = \prod_p (R_p + g\Gamma_p)^\times$  is an open subgroup of  $D_\mathbb{A}^\times$ , so  $(\Gamma_g)_\mathbb{A}^\times D^\times$  is open and closed, hence  $\bigcap_g (\Gamma_g)_\mathbb{A}^\times D^\times$  is a closed subgroup of  $D_\mathbb{A}^\times$ , containing  $R_\mathbb{A}^\times D^\times$ .

We shall show the inverse inclusion. Take any  $x \in \bigcap_g (\Gamma_g)_\mathbb{A}^\times D^\times$ , then  $\forall g, \exists \gamma_g \in (\Gamma_g)_\mathbb{A}^\times, \exists d_g \in D^\times, x = \gamma_g d_g$ . Since  $(\Gamma_g)_\mathbb{A}^\times \cap D^\times = (R + g\Gamma)^\times = R^\times$ ,  $d_g$  is determined modulo  $R^\times$ , so if  $g_1$  is a multiple of  $g$ , then  $d_{g_1}$  differs from  $d_g$  only modulo  $R^\times$ . This implies that we can choose  $d_g$  independently of  $g$ , thus  $\exists d \in D^\times, xd^{-1} \in \bigcap_g (\Gamma_g)_\mathbb{A}^\times$ .

But we have  $R_\mathbb{A}^\times = \bigcap_g (\Gamma_g)_\mathbb{A}^\times$ , because  $\forall p, \bigcap_g (R_p + g\Gamma_p)^\times = R_p^\times$ . Thus the proof of the second half of Theorem 3 is completed.

**Theorem 4** If  $D_\infty$  is trivial, hence if  $f$  is monic of even degree, then  $[D^\times, D^\times] = D^{(1)}$  is dense in  $[D_\mathbb{A}^\times, D_\mathbb{A}^\times]$ .

This theorem is divided into the following two parts.

**Theorem 4.1** If  $f$  is monic of even degree, then for  $g, h \in R$  such that  $(h, gf) = 1$ , we

have

$$(1 + g\Gamma) \cap (i + h\Gamma) \cap \Gamma^\times \neq \phi.$$

**Theorem 4.2** The conclusion part of Theorem 4.1 is equivalent to strong approximation property.

**Proof of Theorem 4.1** It suffices to show the existence of  $\varphi_i \in R, 1 \leq i \leq 4$  such that

$$\begin{aligned} \varphi_1 &\equiv 1 \pmod{g}, & \varphi_i &\equiv 0 \pmod{g}, & 2 \leq i \leq 4 \\ \varphi_2 &\equiv 1 \pmod{h}, & \varphi_i &\equiv 0 \pmod{h}, & i = 1, 3, 4, \text{ and} \end{aligned}$$

$$(1) \quad \varphi_1^2 + \varphi_2^2 - f(\varphi_3^2 + \varphi_4^2) = 1.$$

Put  $\varphi_1 = 1 + g^2 f u_1, \varphi_2 = g^2 f u_2, \varphi_i = g u_i (i = 3, 4)$ , then the required congruence modulo  $g$  is automatically satisfied. Substituting them into (1) and dividing both sides by  $g^2 f$ , we get

$$(2) \quad 2u_1 + g^2 f u_1^2 + g^2 f u_2^2 - (u_3^2 + u_4^2) = 0.$$

Since  $(h^2, g^2 f) = 1, g^2 f$  is invertible in  $R/h^2 R$ , so there exist  $\psi, \psi' \in R$  such that

$$g^2 f \psi = 1 + h^2 \psi'.$$

Put  $u_1 = -\psi + h^2 v_1, u_2 = \psi + h^2 v_2, u_i = h v_i (i = 3, 4)$ , then the required congruence modulo  $h$  is automatically satisfied. Substituting them into (2), we get

$$-2\psi + 2h^2 v_1 + g^2 f \{2\psi^2 + 2h^2 \psi(v_2 - v_1) + h^4(v_1^2 + v_2^2)\} = h^2(v_3^2 + v_4^2).$$

Since  $-2\psi + 2g^2 f \psi^2 = -2\psi(1 - g^2 f \psi) = 2h^2 \psi \psi'$ , we have

$$(3) \quad 2\psi \psi' + 2(1 - g^2 f \psi)v_1 + 2g^2 f \psi v_2 + g^2 f h^2(v_1^2 + v_2^2) = v_3^2 + v_4^2.$$

Put  $v_1 = (1 - g^2 f \psi)w$  and  $v_2 = g^2 f \psi w$ , then we get

$$(4) \quad 2\psi \psi' + \{(1 - g^2 f \psi)^2 + (g^2 f \psi)^2\}(2w + g^2 f h^2 w^2) = v_3^2 + v_4^2.$$

A polynomial  $P \in R = \mathbb{R}[X]$  belongs to  $R^2 + R^2$ , if and only if  $P(a) \geq 0$  for  $\forall a \in \mathbb{R}$ , as shown in the proof of Theorem 1. So it suffices to show that the left hand side of (4) is everywhere non-negative for some  $w \in R$ .

Put  $2\psi\psi' = F$  and  $g^2fh^2 = G$ , then  $(1 - g^2f\psi)^2 + (g^2f\psi)^2 = 1 - 2g^2f\psi(1 - g^2f\psi) = 1 + 2g^2f\psi h^2\psi' = 1 + FG$ , so we have

$$(5) \quad F + (1 + FG)(2w + Gw^2) \geq 0.$$

The above calculation also shows  $1 + FG \geq \frac{1}{2}$ , namely  $FG \geq -\frac{1}{2}$ . Since  $f$  is monic of even degree, we have  $\lim_{t \rightarrow \pm\infty} G(t) = \infty$  so that  $\exists M > 0, \forall t \in \mathbb{R}, G(t) \geq -M$ . Since  $\{t \mid G(t) \leq 0\}$  is compact,  $F$  is bounded there, so  $\exists N > 0, |F(t)| \leq N$  for  $G(t) \leq 0$ .

The left hand side of (5) is zero for

$$w = \frac{1}{G} \left\{ -1 \pm (1 + FG)^{-\frac{1}{2}} \right\}.$$

Since  $(1+t)^{-\frac{1}{2}} \leq 1 - \frac{t}{2} + \frac{3}{\sqrt{2}}t^2$  for  $t \geq -\frac{1}{2}$ , if we set  $w = -\frac{F}{2} + \frac{3}{\sqrt{2}}F^2G$ , then (5) is satisfied for  $G \geq 0$ . Let  $P$  be an everywhere positive polynomial of two variables  $s$  and  $t$ , then  $w = -\frac{F}{2} + \frac{3}{\sqrt{2}}F^2G + P(G, FG)$  satisfies (5) for  $G \geq 0$ .

The condition (5) is satisfied also for  $G < 0$ , if

$$(6) \quad -1 \leq -\frac{t}{2} + \frac{3}{\sqrt{2}}t^2 + sP(s, t) \leq -1 + (1+t)^{-\frac{1}{2}}$$

on  $\Delta = \{(s, t) \mid -M \leq s \leq 0, t \geq -\frac{1}{2}, |t| \leq N|s|\}$ . The condition (6) is satisfied if

$$\varepsilon \geq \frac{1}{s} \left\{ 1 - (1+t)^{-\frac{1}{2}} - \frac{t}{2} + \frac{3}{\sqrt{2}}t^2 \right\} + P(s, t) \geq 0$$

on  $\Delta$ , where  $\varepsilon \leq (1 + NM)^{-\frac{1}{2}}/M$ . Since  $\alpha(s, t) = \frac{1}{s} \left\{ 1 - (1+t)^{-\frac{1}{2}} - \frac{t}{2} + \frac{3}{\sqrt{2}}t^2 \right\}$  is non-positive and continuous on  $\Delta$  (it is continuous at  $(0, 0)$  because of  $|t| \leq N|s|$ ), such a polynomial  $P(s, t)$  exists by virtue of polynomial approximation theorem of Weierstrass.  $P(s, t)$  can be assumed everywhere positive, because we can put  $P = Q^2 + \frac{\varepsilon}{2}$ ,  $Q$  being an approximating polynomial of  $\sqrt{|\alpha(s, t)|}$ . Thus Theorem 4.1 has been proved.

**Proof of Theorem 4.2** Let  $H$  be the closure of  $[D^\times, D^\times] = D^{(1)}$  in  $D_{\mathbb{A}}^\times$ . Let  $p_0$  be a prime place where  $D$  is unramified, and let  $i_{p_0} = (1, \dots, 1, i, 1, \dots) \in D_{\mathbb{A}}^\times$  be the element of  $D_{\mathbb{A}}^\times$  whose  $p_0$ -coordinate is  $i$ , while other coordinates are 1.

The proof is completed by the following steps, which are slight modifications of ones given in [1] §51.

**Step 1** The conclusion part of Theorem 4.1 is equivalent to that  $\forall p_0$  (where  $D_{p_0}$  is unramified),  $i_{p_0} \in H$  (note that  $i_{p_0} \in D_{p_0}^{(1)} = [D_{p_0}^\times, D_{p_0}^\times] \subset [D_{\mathbb{A}}^\times, D_{\mathbb{A}}^\times]$ ).

**Step 2** Identify  $D_{p_0}^{(1)}$  with a subgroup  $D_{p_0}^{(1)} \times \prod_{p \neq p_0} (1)_p$  of  $D_{\mathbb{A}}^\times$ , then  $H \cap D_{p_0}^{(1)}$  is a closed normal subgroup of  $D_{p_0}^{(1)}$ .

**Step 3** If  $D$  is unramified at  $p_0$ , then  $i_{p_0} \in H$  implies  $D_{p_0}^{(1)} \subset H$ .

If  $D_{p_0}$  is a matrix algebra, the assertion is a result of simplicity of  $PSL(2, K_{p_0})$ . If  $D_{p_0}$  is an unramified quaternion algebra, since  $x = a + bi + cj + dij \in D_{p_0}^{(1)}$  satisfies  $x^2 - 2ax + 1 = 0$ , the condition  $x \in H$  depends only on  $a$ . (Here we identify  $x \in D_{p_0}^{(1)}$  with  $x_{p_0} = (1, \dots, 1, x, 1, \dots) \in D_{\mathbb{A}}^\times$ .)

Take any  $x = a + bi + cj + dij \in D_{p_0}^{(1)}$ . Since  $b^2 + c^2 + d^2$  has a root in  $K_{p_0}$ , we have  $\exists e \in K_{p_0}, b^2 + c^2 + d^2 = e^2$ . If  $i \in H$ , then  $-ai + ej \in H$ , therefore  $i(-ai + ej) = a + eij \in H$ , hence  $x \in H$ . This means  $D_{p_0}^{(1)} \subset H$ .

**Step 4** Assume the conclusion part of Theorem 4.1. For a finite set  $S$  of prime places, we have  $\prod_{p \in S} D_p^{(1)} \times \prod_{p \notin S} (1)_p \subset H$ .

If  $D$  is unramified on  $S$ , the assertion is a consequence of Step 3.

Let  $S_0$  be the set of all prime places where  $D$  is ramified. The assertion for  $S = S_0$  follows from the fact that  $D^{(1)}$  is dense in  $\prod_{p \in S_0} D_p^{(1)}$  in the product topology of  $D_p^\times$ .

**Step 5**  $\bigcup_S \left( \prod_{p \in S} D_p^{(1)} \times \prod_{p \notin S} (1)_p \right)$  is dense in  $[D_{\mathbb{A}}^\times, D_{\mathbb{A}}^\times]$ .

Combining the five assertions above, we complete the proof of Theorem 4.2.

## 5. Eichler theorem for $\mathbb{R}(X, Y)$ .

For an algebraic function field  $K = \mathbb{R}(X, Y)$ , we shall fix a set  $P$  of valuations (which are trivial on  $\mathbb{R}^\times$ ). A valuation  $v \in P$  is called a prime place and  $v \notin P$  is called a non-

prime place. We assume that there exists a non-prime place. Then,  $R_P = \{x \in K \mid \forall v \in P, v(x) \leq 1\}$  is a Dedekind domain and  $K$  is its quotient field. A prime ideal of  $R_P$  is given by  $p_v = \{x \in R_P \mid v(x) < 1\}$  for  $v \in P$ .

The adèle ring and the idele group are constructed using prime places only. We shall write  $R$  instead of  $R_P$ .

We consider the following property(E):

(E) A central division algebra  $D$  over  $K$  has strong approximation property, if  $D$  is trivial at some non-prime place.

The converse of the property(E) holds always as shown below.

**Theorem 5** If a central division algebra  $D$  is non-trivial at every non-prime place, then  $D$  does not have  $R_{\mathbb{A}}^{\times} D^{\times}$ -approximation property.

**Remark** Before proving this theorem, we shall mention about the product formula. The formula is expressed as follows using  $\text{ord}_v$ ;  $v(x) = \theta^{\text{ord}_v(x)}$  ( $0 < \theta < 1$ ).

$$\forall x \in K^{\times}, \sum_{v:\text{real}} \text{ord}_v(x) + 2 \sum_{v:\text{imag.}} \text{ord}_v(x) = 0,$$

where the sum is taken over all places, prime or not.

**Proof** Similar discussions as the proof of Theorem 3 show that it suffices to prove that

$$(R + g\Gamma)^{\times} = R^{\times} \text{ for } g \in R \setminus R^{\times}.$$

Let  $D = \{-1, f\}$ ,  $f \in R$ . The assumption of Theorem 5 means that all non-prime places are real and that for every non-prime place  $v$ ,  $\text{ord}_v(f)$  is odd or  $\text{ord}_v(f)$  is even with a negative coefficient of the lowest degree term with respect to the prime element  $\pi_v$ .

Suppose that  $\varphi_1 + \varphi_2 i + \varphi_3 j + \varphi_4 ij \in (R + g\Gamma)^{\times}$ , then  $\varphi_1 \in R$ ,  $\varphi_i \in gR$  ( $2 \leq i \leq 4$ ), and  $\varphi_1^2 + \varphi_2^2 - f(\varphi_3^2 + \varphi_4^2) \in R^{\times}$ . Put  $\varphi = \varphi_1^2 + \varphi_2^2 - f(\varphi_3^2 + \varphi_4^2)$ , then  $\varphi \in R^{\times}$  implies  $\text{ord}_v(\varphi) = 0$  for every prime place  $v$ . As for a non-prime place  $v$ , the assumption on  $f$  implies that the lowest degree terms do not cancel, so that  $\text{ord}_v(\varphi) = \text{Min}(2\text{ord}_v(\varphi_1), 2\text{ord}_v(\varphi_2), \text{ord}_v(f) + 2\text{ord}_v(\varphi_3), \text{ord}_v(f) + 2\text{ord}_v(\varphi_4))$ , if  $\varphi_i \neq 0$ .

Combining this with the product formula, we have

$$\sum_{\text{non-prime}} \text{ord}_v(\varphi_i) \geq 0 \quad (i = 1, 2),$$

$$\sum_{\text{non-prime}} \text{ord}_v(\varphi_i) \geq \frac{1}{2} \sum_{\text{prime}} \alpha_v \text{ord}_v(f) \quad (i = 3, 4)$$

where  $\alpha_v = 1$  for a real  $v$  and  $\alpha_v = 2$  for an imaginary  $v$ . Since  $\varphi_i \in R$  and  $f \in R$ , we have  $\text{ord}_v(\varphi_i) \geq 0$  and  $\text{ord}_v(f) \geq 0$  for a prime place  $v$ , hence again from the product formula, we must have  $\text{ord}_v(\varphi_i) = 0$  for every prime place  $v$ . This means  $\varphi_i \in R^\times$ . For  $i \geq 2$ , this contradicts with  $\varphi_i \in gR$ , so we must have  $\varphi_i = 0$ , which in turn implies  $\varphi_1 \in R^\times$ . This completes the proof of  $(R + g\Gamma)^\times = R^\times$ .

**Remark** Property(E) depends not only on  $K$ , but also on  $R$ , or equivalently on the choice of non-prime places. However:

**Theorem 6** (1) Suppose that property(E) holds whenever  $R$  has only one non-prime place, then it holds for any  $R$ .

(2) For the rational function field  $K = \mathbb{R}(X)$ , property(E) holds for any  $R$ .

**Proof of (1)** Let  $P(R)$  be the set of all prime places for the Dedekind domain  $R$ . Then  $P(R') \subset P(R)$  implies  $R \subset R'$ . We shall denote the idele group of  $D$  with respect to  $R$  by  $D_{\mathbb{A}}^\times(R)$ . Then  $P(R) = P(R') \amalg P(R_1)$  implies that  $D_{\mathbb{A}}^\times(R)$  is the product topological group of  $D_{\mathbb{A}}^\times(R')$  and  $D_{\mathbb{A}}^\times(R_1)$ , because of  $D_{\mathbb{A}}^\times(R) = \bigcup_S \left( \prod_{v \in S} D_v^\times \times \prod_{v \in P(R) \setminus S} \Gamma_v^\times \right)$  where  $S$  runs over all finite subsets of  $P(R)$ .

$D^\times$  is imbedded diagonally in  $D_{\mathbb{A}}^\times$ , and strong approximation property means precisely that the image  $i_R(D^{(1)})$  is dense in  $[D_{\mathbb{A}}^\times(R), D_{\mathbb{A}}^\times(R)]$ .

If  $P(R') \subset P(R)$ , then the projection  $D_{\mathbb{A}}^\times(R) \rightarrow D_{\mathbb{A}}^\times(R')$  maps  $i_R(D^{(1)})$  onto  $i_{R'}(D^{(1)})$  and  $[D_{\mathbb{A}}^\times(R), D_{\mathbb{A}}^\times(R)]$  onto  $[D_{\mathbb{A}}^\times(R'), D_{\mathbb{A}}^\times(R')]$ . Therefore, if  $i_R(D^{(1)})$  is dense in  $[D_{\mathbb{A}}^\times(R), D_{\mathbb{A}}^\times(R)]$ , then  $i_{R'}(D^{(1)})$  is dense in  $[D_{\mathbb{A}}^\times(R'), D_{\mathbb{A}}^\times(R')]$ .

Now suppose that  $D$  is trivial at some non-prime place  $v$  of a given  $R$ . Let  $P_0$  be the set of all places other than  $v$ , and suppose that property(E) holds for  $R_0$  corresponding to  $P_0$ , then  $i_{R_0}(D^{(1)})$  is dense in  $[D_{\mathbb{A}}^\times(R_0), D_{\mathbb{A}}^\times(R_0)]$ , hence  $i_R(D^{(1)})$  is dense in  $[D_{\mathbb{A}}^\times(R), D_{\mathbb{A}}^\times(R)]$ , so property(E) holds for  $R$ .

**Remark** The proof of Theorem 4.2 does work for a general algebraic function field  $K = \mathbb{R}(X, Y)$  and its Dedekind domain  $R$ . So, strong approximation property holds for  $D = \{-1, f\}$ , if  $(1 + g\Gamma) \cap (i + h\Gamma) \cap \Gamma^\times \neq \phi$  for  $\forall g, h \in R$  such that  $(gf, h) = 1$ .

Also the proof of Theorem 4.1 works partially. For  $\psi, \psi' \in R$  such that  $g^2 f \psi = 1 + h^2 \psi'$ , put  $F = 2\psi\psi'$  and  $G = g^2 f h^2$ . Then, we have  $(1 + g\Gamma) \cap (i + h\Gamma) \cap \Gamma^\times \neq \emptyset$  if  $\exists w \in R$ ,  $F + (1 + FG)(2w + Gw^2) \in R^2 + R^2$ .

Suppose that  $R$  has only one non-prime place  $v$ , then  $f \in R$  means that  $f$  does not have a pole other than  $v$ . If  $v$  is real and  $D_v$  is trivial, then  $\text{ord}_v(f)$  is even and  $f(z)$  is positive near  $v$ . Since  $RP(K)$  is compact, this implies that  $f$ , hence  $G$ , is bounded from below on  $RP(K)$ , and that  $F$  is bounded on  $\{z \in RP(K) | G(z) \leq 0\}$ . If  $v$  is imaginary, then both  $F$  and  $G$  are bounded on  $RP(K)$ . So, similar discussions as the proof of Theorem 4.1 show that  $\exists w \in R$ ,  $F + (1 + FG)(2w + Gw^2) \geq 0$  on  $RP(K)$ .

The proof for general  $K$  fails only because the condition " $\varphi \in R$  and  $\varphi \geq 0$  on  $RP(K)$ " does not imply  $\varphi \in R^2 + R^2$ . Since Hasse's principle is satisfied,  $\varphi \in K^2 + K^2$  is assured, but  $\varphi \in R^2 + R^2$  is not concluded. We shall give a counter example for an elliptic function field  $K = \mathbb{R}(X, Y), Y^2 = (X - a)(X - b)(X - c)$ . If  $\alpha \in \mathbb{R}$  is smaller than  $\text{Min}(a, b, c)$ , then we have  $X - \alpha > 0$  on  $RP(K)$ .  $X - \alpha$  has a double pole at the non-prime place  $v$ , while an element of  $R^2 + R^2 = N_{K(\sqrt{-1})/K}(R + \sqrt{-1}R)$  should have  $\text{ord}_v \leq -4$ .

### **Proof of Theorem 6 (2)**

Let  $K = \mathbb{R}(X)$  and suppose that  $R$  has only one non-prime place  $v$ .

If  $R \neq \mathbb{R}[X]$ , then  $v$  corresponds to an irreducible polynomial  $p$ , and  $\varphi \in R$  is equivalent to  $\varphi = g/p^\nu$ ,  $g \in \mathbb{R}[X]$  and  $\deg g \leq \nu \deg p$ . Here we can assume that  $\nu$  is even. Then  $\varphi \geq 0$  on  $RP(K)$  implies  $g \geq 0$  on  $RP(K)$ , so  $g$  is of even degree and can be written as  $g = g_1^2 + g_2^2$ ,  $g_i \in \mathbb{R}[X]$ ,  $\deg g_i \leq \frac{1}{2} \deg g$ . Therefore  $\varphi = (g_1/p^{\nu/2})^2 + (g_2/p^{\nu/2})^2$  and  $\deg g_i \leq \frac{\nu}{2} \deg p$ , so that  $\varphi \in R^2 + R^2$ .

From the remark above, this completes the proof of Theorem 6 (2).

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