

## Amorphous-forming Regions of RF-Sputtered Films in the $B_2O_3$ - $Na_2O$ System

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The variation of amorphous regions of the sputtered films in the  $B_2O_3$ - $Na_2O$  system with sputtering power, sputtering time and substrate temperature has been investigated. The amorphous regions are  $B_2O_3=0\sim 78.8$  mol% ranges in the  $B_2O_3$ - $Na_2O$  system under a sputtering power 100 W, sputtering time 20 h and substrate temperature 90°C. Decreasing sputtering power from 100 W to 50 W, decreasing sputtering time from 20 h to 5 h expanded amorphous regions and increasing substrate temperature from 90°C to 250°C reduced the amorphous regions. These results were compared with the variation of the critical cooling rate for glass formation from the melt of the system.

KEY WORDS : Amorphous-Forming Region/ RF-Sputtering/ Film/ Critical Cooling Rate/  
 $B_2O_3$ - $Na_2O$  System

### 1. INTRODUCTION

It has been well recognized that amorphous substances are produced in various methods including sol-gel method, sputtering technique and CVD method other than the melt-quenching method. Boron trioxide (CVD)<sup>1)</sup> and silicon dioxide (sol-gel, sputtering and CVD) are the examples for such amorphous materials. Alumina is an example that can be transformed into an amorphous state by sputtering<sup>2)</sup> and sol-gel methods,<sup>3)</sup> but amorphous alumina has never been obtained by melt-quenching method despite the great effort utilizing the rapid quenching technique. MgO and CaO are the examples that can not be transformed into an amorphous state even by sputtering technique.<sup>4)</sup> In the present study amorphous regions and the thermal stability of the sputtered films are compared with those of the melt-quenched glasses in the  $B_2O_3$ - $Na_2O$  system.

### 2. EXPERIMENTAL

#### 2.1 Sputtering condition

Sputtering apparatus was ULVAC SH-100B model by NIHON SHINKU Co.Ltd. Chamber pressure was maintained at 0.6~0.7 Pa level with a sputtering gas of Ar and  $O_2$  mixture of  $Ar/O_2=3/1$ . The sputtering power was varied, 50 W or 100 W, in order to see the effect of power on the variation in amorphous regions. The sputtering condition is shown in Table 1. Substrates were selected from commercial glass of soda-lime-silica composition or

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Table 1. Sputtering condition.

Sputtering power	50 W, 100 W
Sputtering gas	Ar/O <sub>2</sub> =3/1
Sputtering pressure	0.6~0.7 Pa
Sputtering time	1~20 h
Targets	B <sub>2</sub> O <sub>3</sub> -Na <sub>2</sub> O glass or crystal SiO <sub>2</sub> glass for protective sputtering
Substrates	Al <sub>2</sub> O <sub>3</sub> for chemical analysis Soda-lime-silica glass for XRD and film thickness measurement
Substrate temperatures	90, 250°C

alumina according to the experimental objectives. Alumina substrate was used for the composition analysis of the B<sub>2</sub>O<sub>3</sub>-Na<sub>2</sub>O films. Commercial soda-lime-silica glass substrate was utilized for the determination of amorphous regions and measurements of film thickness in order to evaluate the deposition rate. Substrate temperature was 90°C or 250°C. The sputtering power was 50 W or 100 W and sputtering time ranged from 5 to 20 h.

## 2.2 Preparation of targets

Targets in the form of disk 4 mm thick and 60 mm in diameter were prepared by quenching the B<sub>2</sub>O<sub>3</sub>-Na<sub>2</sub>O melts of given compositions fused at 1,000°C for 1h. Silica glass plate or silica glass powder was used as target material for protective sputtering (see 2.3) over the sputtered films of hygroscopic, B<sub>2</sub>O<sub>3</sub> rich or Na<sub>2</sub>O rich compositions.

## 2.3 Protective sputtering

Hydration takes place immediately in the ambient atmosphere at the film surface of pure B<sub>2</sub>O<sub>3</sub> or Na<sub>2</sub>O rich (more than 50 mol% Na<sub>2</sub>O) compositions. To protect the sputtered films from hydration, SiO<sub>2</sub> film of 1 μm was deposited over the B<sub>2</sub>O<sub>3</sub>-Na<sub>2</sub>O films under the condition of sputtering power 50 W and sputtering time 25 h. It was proved that X-ray diffraction can detect crystalline phases in the film sample below the protective SiO<sub>2</sub> film. A possible disturbing effect such as diffusion of silica into the underlying film or reaction of silica with the film, was examined by EMA line probing along the cross section of the protectively sputtered sample. No hazardous result was perceived under the present condition.

## 2.4 Compositional analysis of sputtered films by WDX analysis

Chemical composition of the sputtered films was analyzed by use of WDX (X-650 model by HITACHI Co. Ltd.) using melt-quenched B<sub>2</sub>O<sub>3</sub>-Na<sub>2</sub>O glasses as the reference. The concentration of B<sub>2</sub>O<sub>3</sub> and Na<sub>2</sub>O components were determined by volumetric and flame photometric method, respectively.

## 2.5 Amorphous regions and crystallization behavior of the sputtered films

The determination of amorphous regions was made by the X-ray diffraction method.

# 3. RESULTS AND DISCUSSION

## 3.1 Chemical analysis of sputtered films

Figure 1 indicates the chemical composition (Na<sub>2</sub>O content) by WDX analysis of the B<sub>2</sub>O<sub>3</sub>-Na<sub>2</sub>O films under different power 50 W or 100 W and sputtering time 1~5 h. The Na<sub>2</sub>O

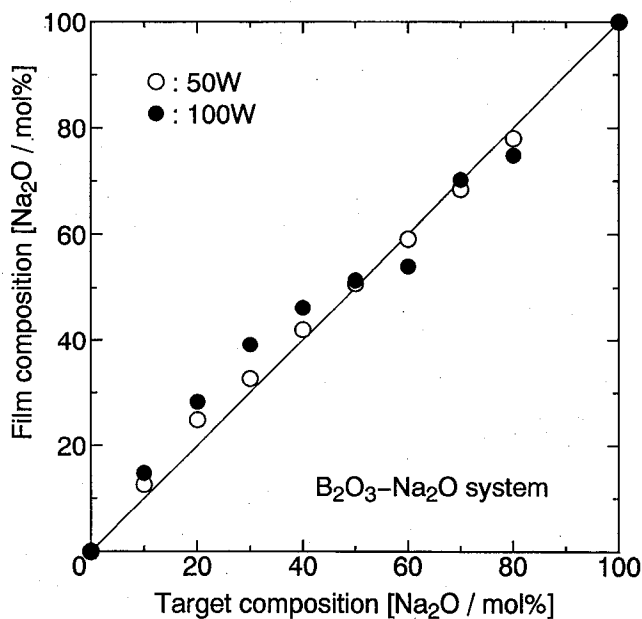


Fig. 1. Comparison of the film compositions ( $Na_2O$  content for example) with the target compositions in the  $B_2O_3$ - $Na_2O$  system under the sputtering power 50 W (○) and 100 W (●).

content in sputtered films tend to be rich compared with that in the target for the  $Na_2O=0\sim 50$  mol% range. Maximum compositional deviation between the targets and the sputtered films amounts to 9% with sputtering power 100 W and to 5% with sputtering power 50 W. The fact that no deviation is observed at  $Na_2O=50$  mol% suggests that particle with composition of the ratio  $B_2O_3 : Na_2O=1:1$  is preferentially formed by sputtering process. According to the reports<sup>5-7)</sup>  $MBO_2$  composition ( $M=Li, Na, K, Rb$ ) exhibits the highest vapor pressure among the alkali borate melts.

### 3.2 Deposition rate in the $B_2O_3$ - $Na_2O$ system

The average deposition rate was calculated from the film thickness and the sputtering time. The deposition rate for  $B_2O_3$ - $Na_2O$  system is shown in Fig. 2. Figure 2 indicates a maximum deposition rate observed at  $Na_2O=50$  mol% composition. The maximum of deposition rate at  $Na_2O=50$  mol% can be ascribed to the high vapor pressure of the  $MBO_2$  species as mentioned above.<sup>5-7)</sup> As the sputtering power is doubled, from 50 W to 100 W, deposition rate increases nearly 3 times as much for all compositions.

### 3.3 Amorphous regions determined by X-ray diffraction

#### (i) Effect of protective $SiO_2$ sputtering against hydration

X-ray diffraction patterns of the as-deposited film (without protective  $SiO_2$  sputtering) in the  $B_2O_3$ - $Na_2O$  system on the commercial glass substrate are illustrated in Fig. 3. Precipitated phases are hydrated  $H_3BO_3$  crystal at  $Na_2O=0$  mol% composition,  $B_2O_3 \cdot Na_2O \cdot H_2O$  crystal at  $Na_2O=46.2\sim 78.8$  mol% compositions and sodium carbonate  $NaCO_3$  at  $Na_2O>78.8$  mol% composition.

It was speculated that the hydrated crystalline phases  $H_3BO_3$  and  $B_2O_3 \cdot Na_2O \cdot H_2O$  had

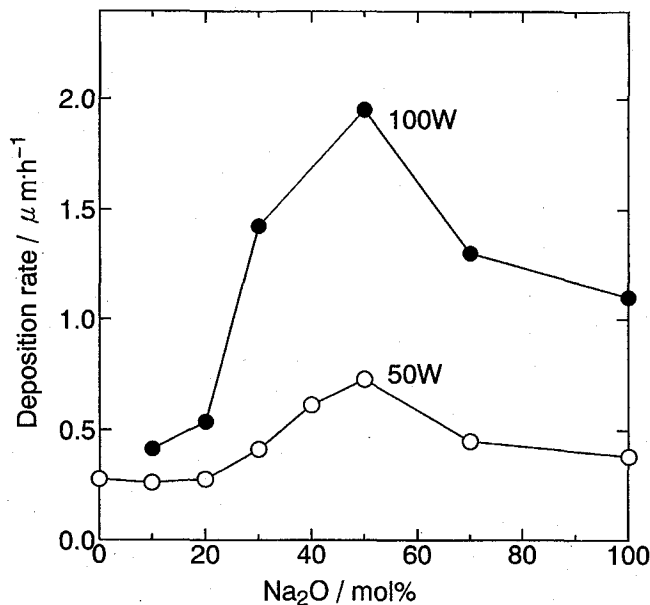


Fig. 2. Deposition rate of the  $B_2O_3$ - $Na_2O$  system under sputtering power 50 W (○) and 100 W (●).

come from the hydration of the sputtered films during the XRD experiments in the humid circumstance. The hydration of  $B_2O_3$  film was confirmed by measuring the growth of hydrated  $H_3BO_3$  crystal by X-ray diffraction as a function of exposing time.

Fig. 4 indicates the increase of the X-ray diffraction intensity due to the formation of  $H_3BO_3$  crystals when as-deposited  $B_2O_3$  film is exposed to humid air. Hydration of  $B_2O_3$  film becomes apparent after 6 min and the growth of the hydrated  $H_3BO_3$  layer with time is clearly demonstrated. It is also shown that by a protective  $SiO_2$  sputtering (film thickness  $1 \mu m$ ) over as-deposited  $B_2O_3$  film under the sputtering power 100 W and substrate temperature  $90^\circ C$ , no hydration occurs in the humid atmosphere even after 20 min.

Figure 5 shows the X-ray diffraction of the protectively sputtered  $B_2O_3$ - $Na_2O$  films. As compared with the non-protective sputtering (Fig. 3), hydrated compounds ( $H_3BO_3$  and  $B_2O_3 \cdot Na_2O \cdot H_2O$ ) did not precipitate. However,  $NaCO_3$  was still observed in the  $Na_2O = 90 \sim 100$  mol% compositions. With protective  $SiO_2$  sputtering amorphous region was in the range of  $Na_2O = 0 \sim 78.8$  mol%. Ota and Soga<sup>8)</sup> observed that substantial amount of undecomposed  $NaCO_3$  is retained in the  $Na_2O$  rich borate melts even after a long heating at  $1,000^\circ C$  or higher temperatures. Crystalline  $NaCO_3$  presumably came from undecomposed sodium carbonate retained in the target. The effect of protective  $SiO_2$  sputtering against hydration on the change of amorphous region is shown in Fig. 6.

(ii) Effect of substrate temperature and sputtering power

Ota *et al.*<sup>3)</sup> observed in the  $SiO_2$ - $MgO$  system that the amorphous region in the sputtered films decreases with increasing sputtering power and increasing sputtering time and increasing substrate temperature. So it was anticipated that the amorphous region should decrease in the  $B_2O_3$ - $Na_2O$  system as the substrate temperature increases.

Figure 7 indicates the X-ray diffraction patterns of the protectively sputtered films in the

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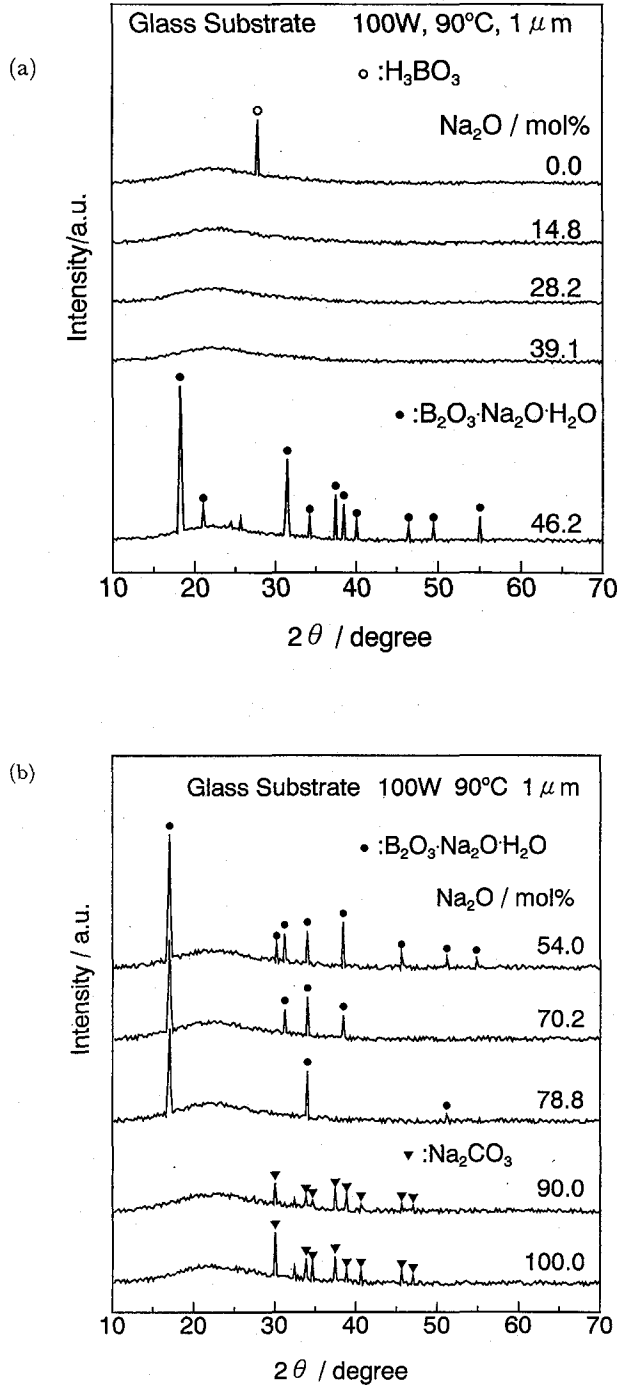


Fig. 3. X-ray diffraction patterns of as-deposited films 1 μm thick in the  $B_2O_3$ - $Na_2O$  system sputtered over the soda-lime-silica glass substrate (without protective  $SiO_2$  sputtering) under the sputtering power 100 W and substrate temperature 90°C.

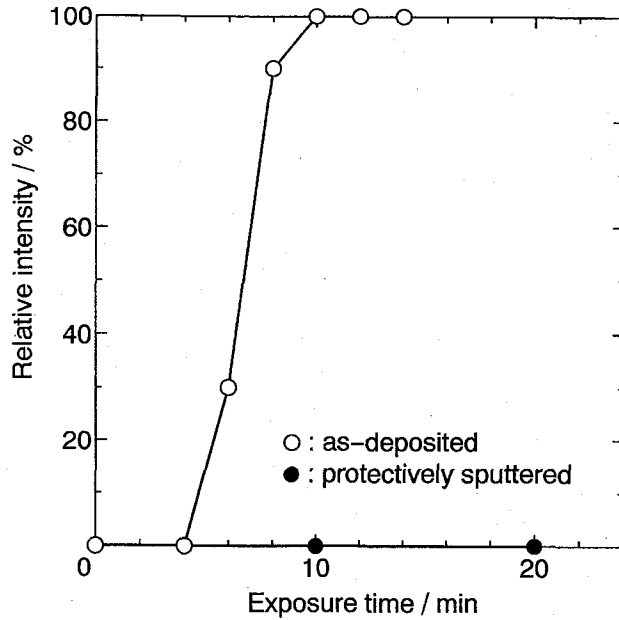


Fig. 4. Change of the X-ray diffraction intensity due to  $H_3BO_3$  formation plotted against air-to-sample exposing time with (●) or without (○) protective  $SiO_2$  sputtering of as-deposited  $B_2O_3$  film.

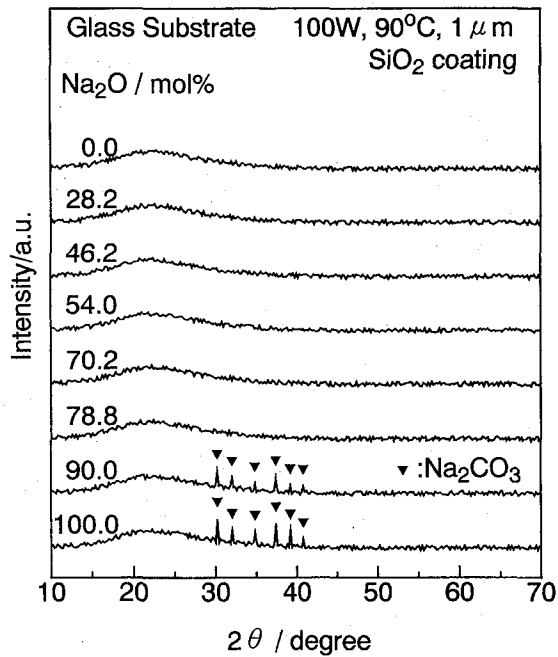


Fig. 5. X-ray diffraction patterns of protectively sputtered films in the  $B_2O_3$ - $Na_2O$  system on the soda-lime-silica glass substrate under the sputtering power 100 W and substrate temperature 90°C.

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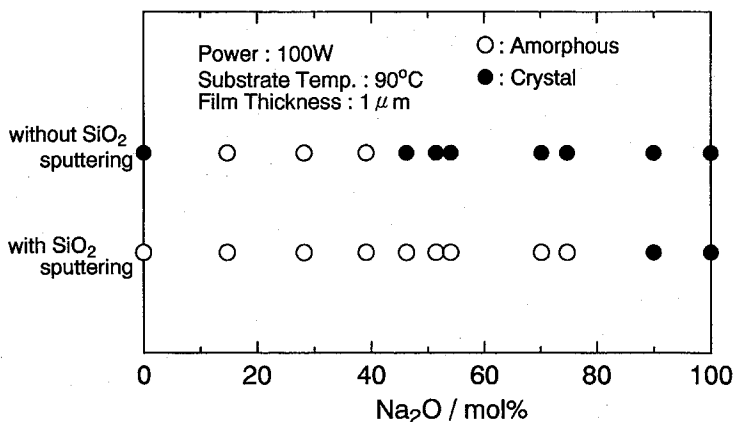


Fig. 6. Comparison of amorphous region of sputtered films in the  $B_2O_3$ - $Na_2O$  system on the glass substrate with or without  $SiO_2$  protective sputtering under the condition of sputtering power 100 W and substrate temperature 90°C.

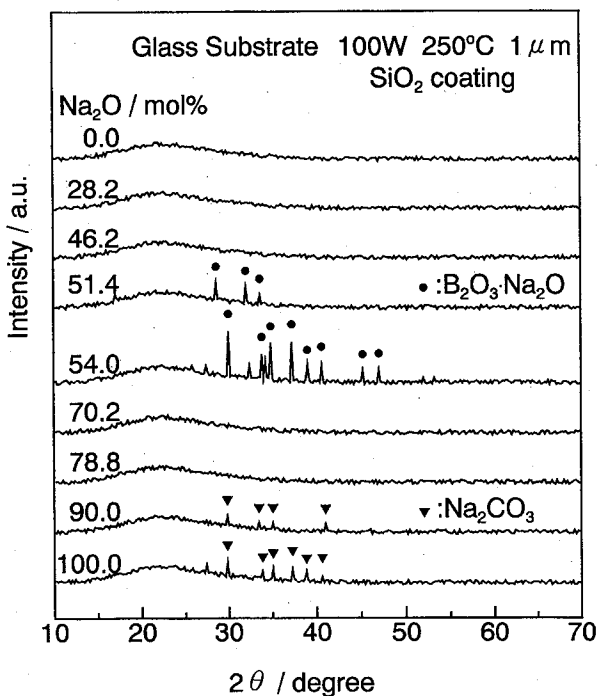


Fig. 7. X-ray diffraction patterns of protectively sputtered films in the  $B_2O_3$ - $Na_2O$  system on the soda-lime-silica glass substrate under the sputtering power 100 W and substrate temperature 250°C.

$B_2O_3$ - $Na_2O$  system with substrate temperature 250°C, and sputtering power 100W. Crystalline  $B_2O_3 \cdot Na_2O$  precipitated in the  $Na_2O=51.4\sim 54$  mol% composition range and  $Na_2CO_3$  precipitated in the  $Na_2O=90\sim 100$  mol% compositions. Under this condition amorphous

regions are in fact  $\text{Na}_2\text{O}=0\sim 46.2\text{ mol\%}$  and  $70.2\sim 78.8\text{ mol\%}$  composition ranges.

Figure 8a shows the effect of substrate temperature. The amorphous regions are compared in the protectively sputtered  $\text{B}_2\text{O}_3\text{-Na}_2\text{O}$  films prepared under different substrate temperature  $90$  or  $250^\circ\text{C}$  and sputtering power  $100\text{ W}$ . Figure 8b shows the effect of sputtering power. The amorphous region of the protectively sputtered  $\text{B}_2\text{O}_3\text{-Na}_2\text{O}$  films increases with decreasing sputtering power from  $100\text{ W}$  to  $50\text{ W}$  under substrate temperature  $250^\circ\text{C}$ . The crystal region observed at the  $\text{Na}_2\text{O}=51.4\sim 54\text{ mol\%}$  composition range under the sputtering power  $100\text{ W}$  disappeared and the amorphous region was extended to  $\text{Na}_2\text{O}=0\sim 78.8\text{ mol\%}$  range, which is the same amorphous limit observed under substrate temperature  $90^\circ\text{C}$  and sputtering power  $100\text{ W}$  (Fig. 8a).

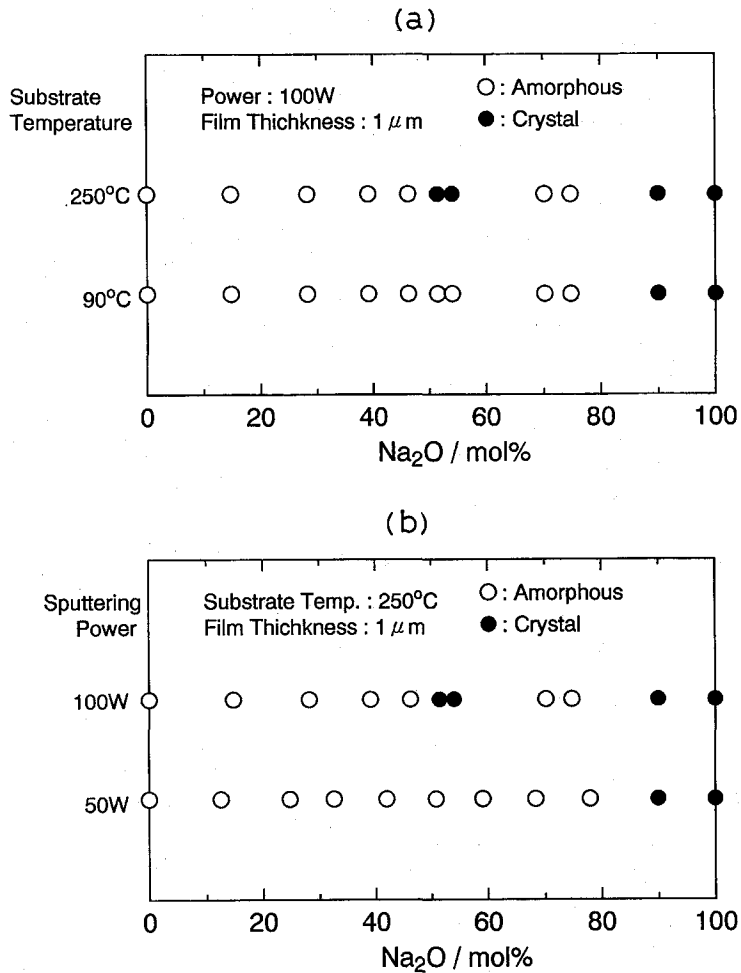


Fig. 8. Comparisons of amorphous regions of the protectively sputtered  $\text{B}_2\text{O}_3\text{-Na}_2\text{O}$  films under the sputtering power  $100\text{ W}$ , sputtering time  $20\text{ h}$  and substrate temperature  $90^\circ\text{C}$  and  $250^\circ\text{C}$  (a), and under sputtering power  $100\text{ W}$  and  $50\text{ W}$ , sputtering time  $20\text{ h}$  and substrate temperature  $250^\circ\text{C}$  (b).



### 3.4 Comparison of amorphous regions by the sputtering and the melt-quenched method

Sputtering method may be regarded as a modified melt-quenching method wherein sputtered molecules (ions) solidify on the substrate via quasi-liquid phase with estimated quenching rate  $>10^{12}$  °C/s.<sup>9)</sup> So the amorphous regions in the sputtering and melt-quenching methods may show a similarity. Figure 9 represents the glass-forming regions obtained under various cooling rates in the  $B_2O_3$ - $Na_2O$  system.<sup>10,11)</sup> It is clear from Fig. 8 and Fig. 9 that apparent similarity is seen between the amorphous-forming region by sputtering and by melt-quenching methods. Increasing substrate temperature may decrease the quenching rate of the sputtered molecules on the substrate. Increasing sputtering power will increase the thermal energy of the sputtered molecules, thus reduce the quenching rate of the sputtered molecules. Increasing sputtering time gives a similar effect as increasing power or increasing substrate temperature, but the true reason is not certain at the moment. One explanation is that the substrate temperature shifts toward higher temperature as the film grows thick and another explanation is a possible micro-structural change of the sputtered film as the film grows thick. After all, increasing substrate temperature, increasing sputtering power or increasing sputtering time affect the amorphous regions of sputtered films in a similar way as the decreasing cooling rate does for the glass-forming regions by the melt-quenched method.

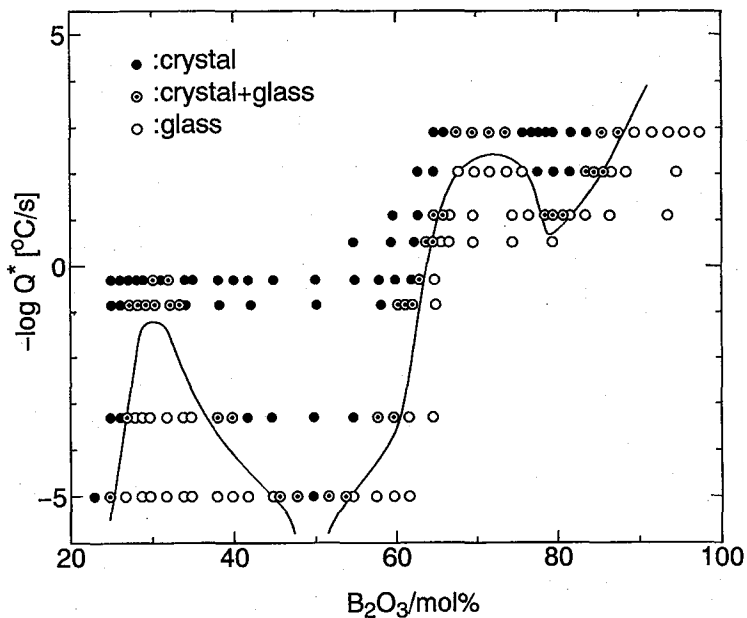


Fig. 9. Glass-forming regions by the melt-quenching method for various cooling rates in the  $B_2O_3$ - $Na_2O$  system. The lines indicate the critical cooling rate  $Q^*$ .

## 4. CONCLUSION

The amorphous region in the sputtered films of the  $B_2O_3$ - $Na_2O$  system was determined under various sputtering conditions. The compositional range of the amorphous regions was found considerably larger than that of the melt-quenched glasses of the same system. This result

is reasonable if sputtering method is regarded as a modified melt-quenching technique of ultra-high quenching rate. The amorphous regions were reduced with increasing sputtering power, substrate temperature and sputtering time.

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