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**Cancellation of Lattices and  
Approximation Properties of Division Algebras.**

By Aiichi YAMASAKI

# Cancellation of Lattices and Approximation Properties of Division Algebras.

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## 0. Introduction

Let  $R$  be a Dedekind domain with the quotient field  $K$ . Let  $\Lambda$  be an  $R$ -order. In this general setting, it is proved in [3] that Roiter-Jacobinski type Divisibility Theorem holds for  $\Lambda$ -lattices. As a consequence, for a  $\Lambda$ -lattice  $L$ , the following two cancellation properties are equivalent.

(c) If  $L'$  is a local direct summand of  $nL = L \oplus \cdots \oplus L$  for some  $n \geq 0$ , then  $L \oplus L' \simeq M \oplus L'$  implies  $L \simeq M$ .

(c') If  $L \oplus nL \simeq M \oplus nL$  for some  $n \geq 0$ , then  $L \simeq M$ .

As was pointed out in [3], putting  $\Gamma := \text{End}_\Lambda L$  and  $B := K\Gamma$ , there is an intimate connection between cancellation property and the approximation property of the group of Vaserstein  $\tilde{E}(\widehat{B})$  in the idele topology of  $\widehat{B}^\times$ , of which precise definitions will be recalled in §1.

Here we only indicate,  $\widehat{R} := \prod R_p$ , the direct product of  $p$ -adic completions over all

maximal ideals of  $R$ ,  $\widehat{M} := M \otimes_R \widehat{R}$  for any  $R$ -algebra  $M$ , and  $\widetilde{E}(C) := \langle (1 + xy)(1 + yx)^{-1} \mid x, y \in C, 1 + xy \in C^\times \rangle$  for any ring  $C \ni 1$ . Our first remark is

**Proposition 1** (proof in 1.5) *The property (c') for  $L$  is equivalent with the following property (c'') of  $\Gamma$*

$$(c'') \quad \widetilde{E}(\widehat{B}) \subset \widehat{\Gamma}^\times B^\times \text{ as subsets of } \widehat{B}^\times$$

## 0.1

We shall consider, for any finite dimensional  $K$ -algebra  $B$ , the following three *approximation properties over  $R$* , in the idele topology of  $\widehat{B}^\times$

(a) Strong approximation property:

$$\widetilde{E}(B) \text{ is dense in } \widetilde{E}(\widehat{B})$$

(a')  $B^\times$ -approximation property:

$$\widetilde{E}(\widehat{B}) \text{ is contained in the closure of } B^\times$$

(a'')  $\widehat{R}^\times B^\times$ -approximation property:

$$\widetilde{E}(\widehat{B}) \text{ is contained in the closure of } \widehat{R}^\times B^\times$$

There are the obvious implications (a)  $\Rightarrow$  (a')  $\Rightarrow$  (a''). Our second (rather obvious) remark is

**Proposition 2** (proof in 1.2) *The property (a'') for  $B$  is equivalent with the (validity of) property (c'') for any  $\Lambda$ -lattice  $L$  such that  $K\text{End}_\Lambda L \simeq B$ .*

In the following cases, the property (a) always holds.

- (1)  $B$  is commutative (since  $\widetilde{E}(B) = \widetilde{E}(\widehat{B}) = 1$ , by definitions).
- (2)  $R$  is semi-local (by the Chinese Remainder Theorem).
- (3)  $B = M_n(C)$  by some  $K$ -algebra  $C$  ( $n \geq 2$ ) (cf [3]).

## 0.2

We shall give the following reduction to division algebras.

**Theorem 1** (proof in 2.3) *Writing as  $B/J(B) = \bigoplus_{i=1}^m M_{n_i}(D_i)$ , with the Jacobson radical  $J(B)$  and the division algebras  $D_i$ , in such an ordering that  $n_i = 1$  ( $1 \leq i \leq r$ ) and  $n_i \geq 2$  ( $r < i \leq m$ ), we have*

(i) (a') for  $B \Leftrightarrow$  (a') for  $D_i (1 \leq i \leq r)$ .

(ii) (a) (resp. (a'')) for  $B \Rightarrow$  (a) (resp. (a'')) for  $D_i (1 \leq i \leq r)$ .

Thus the approximation properties of general  $B$  can be reduced, more or less, to that of non-commutative division algebras over non-semi-local  $R$ , and then under a reasonable restriction, to that of central division ones, by 1.6.

Since PF-fields are the most familiar and important source of non semi-local Dedekind domains, now we restrict our attention to central division algebras over PF-fields and recall some basic facts and known results.

### 0.3

Assume that  $K$  is a PF-field in the sense of Artin [1], Chap.12, and let  $D$  be a finite dimensional non-commutative central division algebra over  $K$ .

In particular, there is given a set of valuations  $\mathfrak{V}$  of  $K$ , satisfying the product formula  $\prod_{\mathfrak{v}} |x|_{\mathfrak{v}} = 1$  for any  $x \in K^{\times}$ . In fact  $K$  is either a number field or a function field (of one variable) over the constant field  $K_0 := \{x \in K \mid |x|_{\mathfrak{v}} \leq 1 \text{ for any } \mathfrak{v} \in \mathfrak{V}\}$ .  $K$  is called a global field if either it is a number field or a function field with  $\#K_0 < \infty$ .

(i) Let  $P$  be a proper non-empty subset of  $\mathfrak{V}$  consisting of non-archimedean valuations. Then  $R(P) := \{x \in K \mid |x|_{\mathfrak{p}} \leq 1 \text{ for any } \mathfrak{p} \in P\}$  is a Dedekind domain (with an additional requirement  $R(P) \supset K_0$ , if  $K$  is a function field) having  $K$  as its quotient field. Conversely, any such Dedekind domain  $R$  in  $K$  is obtained as  $R = R(P)$  by some  $P$ .

Consider the following condition (EC) for  $D$  over  $R = R(P)$ , which is known as Eichler's condition when  $K$  is a global field.

(EC) There is at least one  $\mathfrak{v} \in \mathfrak{V} \setminus P$ , such that the completion  $D_{\mathfrak{v}} = D \otimes_K K_{\mathfrak{v}}$  is not a division algebra.

(ii) If  $K$  is a global field, by Wang-Platonov Theorem (cf. [6]),  $[D^{\times}, D^{\times}] = \tilde{E}(D) =$  the kernel of the reduced norm. Hence the well known Eichler-Kneser Strong Approximation Theorem [2],[4] (and its analog due independently to Morita [8] and Swan [9], when  $K$  is a function field with  $\#K_0 < \infty$ ) implies

(SAT) (a) for  $D$  over  $R(P) \Leftrightarrow$  (EC) for  $D$  over  $R(P)$ .

## 0.4

Apart from global fields, we shall prove;

**Theorem 2** (proof in 3.4) *For any PF-field  $K$ ,*

*(a'') for  $D$  over  $R(P) \Rightarrow$  (EC) for  $D$  over  $R(P)$ .*

All in all, the most optimistic speculation would be “(a)  $\Leftrightarrow$  (a')  $\Leftrightarrow$  (a'')  $\Leftrightarrow$  (EC)” for any central division algebras over any PF-fields. In this direction we can extend our previous result [11] as,

**Theorem 3** (proof in 4.4) *When  $K$  is an algebraic function field of one variable over the reals,*

*(EC) for  $D$  over  $R(P) \Rightarrow$  (a) for  $D$  over  $R(P)$ .*

## 1. Idele Topology

Let  $R$  be a Dedekind domain with the quotient field  $K$ . A finitely generated  $R$ -module  $L$  is called an  $R$ -lattice, if it is torsion free (or equivalently projective) over  $R$ , then  $K \otimes_R L$  is a finite dimensional  $K$ -vector space and by the natural embedding  $L \rightarrow K \otimes_R L$ , one can identify as  $K \otimes_R L = KL$ . An  $R$ -algebra  $\Lambda$  is called an  $R$ -order if it is an  $R$ -lattice, then  $K\Lambda = K \otimes_R \Lambda$  is a finite dimensional  $K$ -algebra. When a finite dimensional  $K$ -algebra  $B$  is given, we call that  $\Gamma$  is an  $R$ -order of  $B$ , if  $\Gamma$  is an  $R$ -order and  $B = K\Gamma$ .

For a maximal ideal  $p$  of  $R$ , let  $R_p$  always denote the  $p$ -adic completion of  $R$ . Let  $\widehat{R} := \prod R_p$ , the product over all maximal ideals of  $R$ . By the diagonal embedding  $R \rightarrow \widehat{R}$ ,  $\widehat{R}$  is an  $R$ -algebra which is faithfully flat as an  $R$ -module. For any  $R$ -module  $M$ , put

$$M_p := M \otimes_R R_p, \quad \widehat{M} := M \otimes_R \widehat{R}.$$

We shall be concerned with only the following two special cases.

1)  $\Gamma$  is an  $R$ -order: Then, since  $\Gamma$  is finitely generated projective  $R$ -module,  $\widehat{\Gamma} := \Gamma \otimes_R \prod R_p \simeq \prod (\Gamma \otimes_R R_p) = \prod \Gamma_p$ .

2)  $B$  is a finite dimensional  $K$ -algebra: Then  $\widehat{B} := B \otimes_R \widehat{R} \simeq B \otimes_K K \otimes_R \widehat{R} \simeq B \otimes_K \widehat{K}$ , and since  $\widehat{R}$  is faithfully flat over  $R$ , one may canonically view as  $\widehat{B} \supset \widehat{\Gamma}, B$  and  $B \cap \widehat{\Gamma} = \Gamma$ . Moreover, there is a natural identification  $\widehat{B} \simeq \varinjlim \widehat{\Gamma}/r (r \in R \setminus \{0\}) \simeq \prod' B_p$  (w.r.t  $\Gamma_p$ ), where the last term denote the restricted direct product i.e.  $\prod' B_p$  (w.r.t.  $\Gamma_p$ ) :=  $\{x = (x_p) \in \prod B_p | x_p \in \Gamma_p \text{ for almost all } p\}$ . The *adele topology* on  $\widehat{B}$  is defined as the unique topology which induces on  $\widehat{\Gamma}$  the direct product of  $p$ -adic topology  $\prod \Gamma_p$ , for one (hence any)  $R$ -order  $\Gamma$  of  $B$ . The name comes from the fact that  $\widehat{K}$  with this topology is called the (restricted) adele ring of  $K$ .

The *idele topology* in  $\widehat{B}^\times$  is defined as the unique topology which induces on  $\widehat{\Gamma}^\times$  the direct product of  $p$ -adic topology  $\prod \Gamma_p^\times$ , for one (hence any)  $R$ -order  $\Gamma$  of  $B$ . The following explicit description of the idele topology will be useful for us.

### 1.1

For any  $R$ -order  $\Gamma$  of  $B$  and non-zero  $r \in R$ , put

$$(0) \quad \begin{cases} U_p(\Gamma, r) := \Gamma_p^\times \cap (1 + r\Gamma_p) = \begin{cases} \Gamma_p^\times & \text{if } r \in R_p^\times \\ 1 + r\Gamma_p & \text{if } r \in pR_p. \end{cases} \\ U(\Gamma, r) := \prod_p U_p(\Gamma, r) = \widehat{\Gamma}^\times \cap (1 + r\widehat{\Gamma}), \\ \Gamma(r) := R + r\Gamma, \text{ which is an } R\text{-order of } B \text{ again.} \end{cases}$$

By definitions, we have

(1)  $\{U(\Gamma, r) | r \in R \setminus \{0\}\}$  is a fundamental system of neighbourhoods of 1 in  $\widehat{B}^\times$  in the idele topology (for any one fixed  $\Gamma$ ).

(1')  $\{r\widehat{\Gamma} | r \in R \setminus \{0\}\}$  is a fundamental system of neighbourhoods of 0 in  $\widehat{B}$  in the adele topology.

Let  $H$  be a subgroup of  $\widehat{B}^\times$  and  $\overline{H}$  will denote the closure of  $H$  in  $\widehat{B}^\times$

(2) If  $H \cap (1 + r\widehat{\Gamma}) \subset \widehat{\Gamma}^\times$  for some  $\Gamma$  and  $r \in R \setminus \{0\}$ , (in particular if  $H \cap \widehat{\Gamma} \subset \widehat{\Gamma}^\times$ ), then the idele topology of  $\widehat{B}^\times$  and the adele topology of  $\widehat{B}$  induce the same topology on  $H$ . Indeed,  $H \cap U(\Gamma, rr') = H \cap (1 + rr'\widehat{\Gamma})$  for any  $r' \in R \setminus \{0\}$ .

Since  $\Gamma(r)_p^\times = R_p^\times U_p(\Gamma, r)$ , we have

$$(3) \quad \widehat{R}^\times U(\Gamma, r) = \widehat{\Gamma(r)}^\times$$

$$(4) \quad \text{If } \widehat{R}^\times \subset \overline{H}, \text{ then } HU(\Gamma, r) \supset \widehat{R}^\times \text{ so that } \overline{H} = \bigcap_{r \neq 0} H\widehat{\Gamma}(r)^\times = \bigcap_{r \neq 0} \widehat{\Gamma}(r)^\times H.$$

## 1.2 Proof of Proposition 2 §0.

For any  $R$ -order  $\Gamma$  of  $B$ , put  $\Lambda = \Gamma^{op}$ , the opposite ring of  $\Gamma$  and  $L := \Gamma$ . then  $\text{End}_\Lambda L = \Gamma$ . Hence the condition (c'') for any  $L$  such that  $K\text{End}_\Lambda L \simeq B$  is equivalent with the condition  $\widetilde{E}(\widehat{B}) \subset \widehat{\Gamma}^\times B^\times$  for any  $\Gamma$ . But we have  $\bigcap_{\Gamma} \widehat{\Gamma}^\times B^\times = \overline{\widehat{R}^\times B^\times}$ . since  $\widehat{\Gamma}^\times B^\times$  is closed and contains  $\widehat{R}^\times B^\times$ , so  $\overline{\widehat{R}^\times B^\times} \subset \bigcap_{\Gamma} \widehat{\Gamma}^\times B^\times \subset \bigcap_{r \neq 0} \widehat{\Gamma}(r)^\times B^\times$  while we have  $\overline{\widehat{R}^\times B^\times} = \bigcap_r \widehat{\Gamma}(r)^\times B^\times$ , by (4).

## 1.3 Results of Vaserstein.

Let  $A$  be a ring with 1, and  $E_n(A)$  be the elementary subgroup of  $GL_n(A) := M_n(A)^\times$ . By the usual embedding  $x \mapsto \begin{pmatrix} x & 0 \\ 0 & 1_{n-1} \end{pmatrix}$ , we consider as  $A^\times = GL_1(A) \subset GL_n(A)$  ( $n \geq 2$ ). Let  $\widetilde{E}(A)$  be the group of Vaserstein, i.e. the subgroup of  $A^\times$  given by the generators as

$$\widetilde{E}(A) := \langle (1 + xy)(1 + yx)^{-1} \mid x, y \in A, 1 + xy \in A^\times \rangle$$

The commutator subgroup  $[A^\times, A^\times]$  is always contained in  $\widetilde{E}(A)$ . Further, if  $A$  is local,  $\widetilde{E}(A) = [A^\times, A^\times]$ .

If  $A$  is semi-local, the well known Lemma of Bass and the fundamental results of Vaserstein ([10], Th.3.6) state:

$$(5) \quad GL_n(A) = A^\times E_n(A) \quad (n \geq 2).$$

$$(6) \quad A^\times \cap E_n(A) = \widetilde{E}(A) \quad (n \geq 2).$$

## 1.4

**Lemma** *Let  $B$  be a finite dimensional  $K$ -algebra and  $\Gamma$  be an  $R$ -order of  $B$ . Then the equality (5) of Bass (resp. (6) of Vaserstein) holds for  $A = \widehat{B}$  or  $\widehat{\Gamma}$ . (where  $\widehat{B}$  or  $\widehat{\Gamma}$  is*



*not semi-local if  $R$  is not semi-local.*)

**Proof** In the proof of [10] Th.3.6 (a), where semi-locality of  $A$  is assumed, it is in fact proved that

(i) If the ring  $A$  satisfies the following condition (5'), then (5) holds.

(5') For any finitely generated left ideal  $L$  and  $x \in A$ ,

$$Ax + L = A \Rightarrow (x + L) \cap A^\times \neq \emptyset.$$

(ii) If  $A$  satisfies (5') and moreover the following (6'), then (6) holds.

(6')  $Ax_1 + Ax_2 = A \Rightarrow \forall y \in A, \exists v, q, u \in A$  such that  $x_1 + vx_2 \in A^\times, 1 - yqv \in A^\times, x_1 + u(x_2 + yx_1) \in A^\times, x_1 + u(x_2 + yqx_1) \in A^\times$

Now, let  $A = \prod' A_p$  (w.r.t  $C_p$ ) be the restricted direct product of  $A_p$  with respect to its subring  $C_p$ , over some index  $p$ 's. If each  $A_p, C_p$  satisfies (5') and (6'), it is easy to see that  $A$  itself satisfies (5') and (6'). This applies for  $\widehat{B}$  or  $\widehat{\Gamma}$ , since  $B_p$  and  $\Gamma_p$  are semi-local.

## 1.5 Proof of Proposition 1 §0.

As is well known (cf [3] §2 and §3), the property (c') is equivalent with the following

(c''')  $\widehat{B}^\times \cap GL_n(B)GL_n(\widehat{\Gamma}) = B^\times \widehat{\Gamma}^\times$  for any  $n \geq 2$ .

By 1.4, we have

$$1) \quad GL_n(B) = B^\times E_n(B) \quad 2) \quad GL_n(\widehat{\Gamma}) = E_n(\widehat{\Gamma})\widehat{\Gamma}^\times$$

Since  $E_n(B)$  is dense in  $E_n(\widehat{B})$  in the idele topology of  $\widehat{B}^\times$  (cf [3] 1.2.1)

$$3) \quad E_n(B)GL_n(\widehat{\Gamma}) = E_n(\widehat{B})GL_n(\widehat{\Gamma}).$$

Using 1), 3), 2) in this order, we have:  $GL_n(B)GL_n(\widehat{\Gamma}) = B^\times E_n(B)GL_n(\widehat{\Gamma}) = B^\times E_n(\widehat{B})GL_n(\widehat{\Gamma}) = B^\times E_n(\widehat{B})E_n(\widehat{\Gamma})\widehat{\Gamma}^\times = B^\times E_n(\widehat{B})\widehat{\Gamma}^\times$

Hence, the left hand side of (c''') =  $\widehat{B}^\times \cap B^\times E_n(\widehat{B})\widehat{\Gamma}^\times = B^\times (\widehat{B}^\times \cap E_n(\widehat{B}))\widehat{\Gamma}^\times = B^\times \widetilde{E}(\widehat{B})\widehat{\Gamma}^\times$ , the last equality by 1.4 again. This implies that (c''') is equivalent with (c'').

## 1.6 Change of the base field.

Let  $K'$  be a finite extension field of  $K$  contained in the center of  $B$ . and let  $R'$  be the integral closure of  $R$  in  $K'$  Then  $R'$  is a Dedekind domain with the quotient  $K'$  and  $B$

is a finite dimensional  $K'$ -algebra. Assume the following condition

(f)  $R'$  is a finitely generated  $R$ -module.

Then there are canonical isomorphism  $\widehat{R}' \simeq R' \otimes_R \widehat{R}$  and  $K' \otimes_{R'} \widehat{R}' \simeq K' \otimes_R \widehat{R}$  (cf. [7] Th.1 and Prop.4 Chap. II §3), so that  $B \otimes_{R'} \widehat{R}' \simeq B \otimes_R \widehat{R}$  including the topology. Hence the approximation property (a) (resp. (a')) of  $B$  over  $R$  is equivalent with that of  $B$  over  $R'$  and (a'') over  $R$  implies that over  $R'$

(i) For a residually separable algebra  $B$  (i.e.  $B/J(B)$  is separable) the  $B^\times$ - approximation problem is reduced, by Theorem 1, to that of a central division algebra.

(ii) If  $K$  is a PF-field, the condition (f) always holds (cf. [5] Th.72), so that we get the reduction to a central division algebra even for residually inseparable case.

## 2. Reduction to a Division Algebra.

Let  $B$  be a finite dimensional  $K$ -algebra with the Jacobson radical  $J = J(B)$ ,  $\varphi : B \rightarrow B' := B/J$  be the canonical  $K$ -morphism and  $\Gamma' := \varphi(\Gamma)$ . Then  $\Gamma'$  is an  $R$ -order in  $B'$ , and  $\varphi$  induces the following surjective morphisms:  $\varphi_0 : \Gamma \rightarrow \Gamma'$ ,  $\widehat{\varphi} := \varphi \otimes 1 : \widehat{B} = B \otimes \widehat{R} \rightarrow B' \otimes \widehat{R} = \widehat{B}'$  and  $\widehat{\varphi}_0 := \varphi_0 \otimes 1 : \widehat{\Gamma} = \Gamma \otimes \widehat{R} \rightarrow \Gamma' \otimes \widehat{R} = \widehat{\Gamma}'$

Since  $\widehat{R}$  is faithfully flat over  $R$ ,

1)  $\text{Ker}\varphi_0 = \Gamma \cap J \subset J(\Gamma)$ ,  $\text{Ker}\widehat{\varphi} = J \otimes \widehat{R} = \widehat{J} \subset J(\widehat{B})$ ,  $\text{Ker}\widehat{\varphi}_0 = \widehat{\Gamma} \cap \widehat{J} \subset J(\widehat{\Gamma})$ .

2) Viewing as  $\widehat{B} \supset \widehat{\Gamma}, B$  and  $\widehat{\Gamma} \cap B = \Gamma$ ,  $\varphi_0, \widehat{\varphi}_0, \varphi$  is the restriction of  $\widehat{\varphi}$  to  $\Gamma, \widehat{\Gamma}, B$  respectively.

By 1),  $1 + \widehat{J} \subset \widehat{B}^\times$  so that  $\widehat{\varphi}$  induces the exact sequence of groups:

3)  $1 \rightarrow 1 + \widehat{J} \rightarrow \widehat{B}^\times \rightarrow \widehat{B}'^\times \rightarrow 1$ , and  $\widehat{\varphi}^{-1}(\widehat{B}'^\times) = \widehat{B}^\times$

Consequently, we have

4)  $\widehat{\varphi}(\widetilde{E}(\widehat{B})) = \widetilde{E}(\widehat{B}')$ .

By the same reasoning, we have

5)  $\widehat{\varphi}(\widetilde{E}(B)) = \widetilde{E}(B')$ .

Also we have

- 6)  $\widehat{\Gamma}^\times = \widehat{\varphi}_0^{-1}(\widehat{\Gamma}'^\times)$ , which in turn implies  
7)  $\widehat{\varphi}(U(\Gamma, r)) = U(\Gamma', r)$ , in the notation of 1.1.

## 2.1

**Lemma** *Let  $H$  be a subgroup of  $\widehat{B}^\times$  and  $\overline{H}$  be its closure in  $\widehat{B}^\times$*

- (i)  $\widetilde{E}(\widehat{B}) \subset \overline{H} \Rightarrow \widetilde{E}(\widehat{B}') \subset \overline{\widehat{\varphi}(H)}$   
(ii) *If  $1 + \widehat{J} \subset \overline{H}$ , then the converse implication ( $\Leftarrow$ ) also holds.*  
(iii)  $1 + \widehat{J} \subset \overline{B}^\times$

**Proof** (i) and (ii):  $(\widetilde{E}(\widehat{B}) \subset \overline{H}) \stackrel{(1) \& 1}{\iff} (\widetilde{E}(\widehat{B}) \subset HU(\Gamma, r) \text{ for any } r \in R \setminus \{0\})$   
 $\stackrel{4) \& 7)}{\implies} (\widetilde{E}(\widehat{B}') \subset \widehat{\varphi}(H)U(\Gamma', r)) \text{ for any } r \in R \setminus \{0\} \stackrel{3), 4) \& 7)}{\implies} (\widetilde{E}(\widehat{B}) \subset (1 + \widehat{J})HU(\Gamma, r)$   
 $(= HU(\Gamma, r) \text{ if } \overline{H} \supset 1 + \widehat{J})) \text{ for any } r \in R \setminus \{0\}.$

(iii) Since any element of  $\widehat{J}$  is nilpotent,  $(1 + \widehat{J}) \cap (1 + r\widehat{\Gamma}) = 1 + (\widehat{J} \cap r\widehat{\Gamma}) \subset \widehat{\Gamma}^\times$ , hence by (2) 1.1, the idele topology on  $1 + \widehat{J}$  is induced from the adèle topology. Since  $J$  is dense in  $\widehat{J}$  in the adèle topology,  $1 + J$  is dense in  $1 + \widehat{J}$  in the idele topology so that  $1 + \widehat{J} \subset (1 + J)U(\Gamma, r) \subset B^\times U(\Gamma, r)$  for any  $r \in R \setminus \{0\}$ .

## 2.2

**Lemma** *Let  $B = \bigoplus_{i=1}^m B_i$  be the ring direct sum of finite dimensional  $K$ -algebras.*

*Then we have the following implications.*

- (i) (a) (resp. (a')) for  $B \Leftrightarrow$  (a) (resp. (a')) for any  $B_i (1 \leq i \leq m)$ .  
(ii) (a'') for  $B \Rightarrow$  (a'') for any  $B_i (1 \leq i \leq m)$ .

**Proof** Let  $\Gamma_i$  be an  $R$ -order of  $B_i$ , then  $\Gamma := \bigoplus \Gamma_i$  is an  $R$ -order of  $B$ . By the canonical isomorphism  $\widehat{B} = B \otimes \widehat{R} \simeq \bigoplus (B_i \otimes \widehat{R}) = \bigoplus \widehat{B}_i$ ,  $\widehat{B}^\times \simeq \prod \widehat{B}_i^\times$ ,  $\widehat{\Gamma}^\times \simeq \prod \widehat{\Gamma}_i^\times$ ,  $U(\Gamma, r) \simeq \prod U(\Gamma_i, r)$ ,  $\widetilde{E}(B) \simeq \prod \widetilde{E}(B_i)$  and  $\widetilde{E}(\widehat{B}) \simeq \prod \widetilde{E}(\widehat{B}_i)$ , the claims are completely obvious.

## 2.3 Proof of Theorem 1 §0.

Put  $B_i = M_{n_i}(D_i)$ ,  $n_i = 1 (1 \leq i \leq r)$ ,  $n_i \geq 2 (r < i \leq m)$ . Recall that (a) holds for

$B_i (r < i \leq m)$  ((3) of 0.1) and apply 2.1 and 2.2, then we get the following implications which obviously prove Theorem 1.

$$(a) \text{ for } B \Rightarrow (a) \text{ for } B' \Leftrightarrow (a) \text{ for } D_i (1 \leq i \leq r)$$

$$(a') \text{ for } B \Leftrightarrow (a') \text{ for } B' \Leftrightarrow (a') \text{ for } D_i (1 \leq i \leq r)$$

$$(a'') \text{ for } B \Leftrightarrow (a'') \text{ for } B' \Rightarrow (a'') \text{ for } D_i (1 \leq i \leq r).$$

### 3. $(a'') \Rightarrow (\text{EC})$ for a PF-field.

Let  $K$  be a PF-field in the sense of [1],  $D$  be a central division  $K$ -algebra of dimension  $n^2$ ,  $[D : K] = n^2$ . Let  $D_v := D \otimes_v K_v$  be the completion at  $v \in \mathfrak{V}$ . Let  $\mathfrak{N} : D \rightarrow K$  be the reduced norm and  $\mathfrak{N}_v : D_v \rightarrow K_v$  be its extension.

If  $D_v$  is a division algebra,  $D_v \ni x \mapsto |\mathfrak{N}_v x|_v^{1/n}$  defines a norm of  $D_v$  as a  $K_v$ -vector space. While for any basis  $\{\epsilon_i | 1 \leq i \leq n^2\}$  of  $D$  over  $K$ , writing  $x = \sum \xi_i \epsilon_i \in D_v$ ,  $x \mapsto \text{Max}_i |\xi_i|_v$  is also a norm of  $D_v$ . Hence there is a constant  $c_v > 0$  such that

$$(1) \quad \text{Max}_i |\xi_i|_v \leq c_v |\mathfrak{N}_v x|_v^{1/n} \quad (x = \sum \xi_i \epsilon_i).$$

For almost all  $v$ , we have:  $v$  is non-archimedean;  $\{\sum \xi_i \epsilon_i | |\xi_i|_v \leq 1\}$  is a maximal order of  $D_v$ ;  $|\det \text{Tr}(\epsilon_i \epsilon_j)|_v = 1$ . Hence for almost all  $v$  such that  $D_v$  is a division algebra,  $D_v/K_v$  is unramified and  $|\mathfrak{N}_v x|_v^{1/n} = \text{Max}_i |\xi_i|_v$ . Thus we can choose  $c_v$  as

$$(1') \quad c_v = 1 \text{ for almost all } v \text{ such that } D_v \text{ is a division algebra.}$$

Let  $R$  be a Dedekind domain with the quotient field  $K$ , so that it has the form  $R = R(P) := \{\xi \in K | |\xi|_p \leq 1 \text{ for any } p \in P\}$  by some non-empty proper subset  $P$  consisting of non-archimedean valuation of  $\mathfrak{V}$ . For a fixed  $R$ , we can obviously choose a basis  $\{\epsilon_i | 1 \leq i \leq n^2\}$  satisfying

$$(2) \quad \Gamma := \sum_{i=1}^{n^2} R \epsilon_i \text{ is an } R\text{-order of } D. \text{ and } \epsilon_1 = 1.$$

Then  $\Gamma(r) := R + r\Gamma$  is also an  $R$ -order for any  $r (\neq 0) \in R$ .

#### 3.1

**Lemma** Assume that  $D$  does not satisfy the Eichler's condition (EC) over  $R = R(P)$ , i.e. the following  $\neg(\text{EC})$  is satisfied.

$\neg(\text{EC})$ :  $D_v$  is a division algebra for any  $v \in \mathfrak{V} \setminus P$

(i) Let  $\{e_i\}$  be a basis of  $D$  satisfying (2), then there is a positive constant  $c$  depending only on  $\{e_i\}$  but not on  $r(\neq 0) \in R$  such that

$$\prod_P |r|_p < c \Rightarrow \Gamma(r)^\times = R^\times$$

(ii)  $\widehat{R}^\times D^\times$  is closed in  $\widehat{D}^\times$

**Proof** (i) It suffices to take  $c := \prod_{\mathfrak{V} \setminus P} c_v^{-1}$  (which is well defined by (1')). Indeed, if  $\Gamma(r)^\times \neq R^\times$ , there is some  $x = \sum \xi_i e_i \in \Gamma(r)^\times$  with  $\xi := \xi_i \neq 0$  for some  $i \geq 2$ . At  $p \in P$ , since  $x \in \Gamma(r)^\times$  so that  $|\mathfrak{N}_p x|_p = 1$ , we have

$$(3) \quad |\xi|_p \leq |r|_p = |r|_p |\mathfrak{N}x|_p^{1/n}$$

Using the product formula, (1) at  $v \in \mathfrak{V} \setminus P$  and (3) at  $p \in P$ , the product formula again, in this order, we get

$$\begin{aligned} 1 &= \prod_{\mathfrak{V}} |\xi|_v = \prod_{\mathfrak{V} \setminus P} |\xi|_v \times \prod_P |\xi|_p \leq \prod_{\mathfrak{V} \setminus P} c_v |\mathfrak{N}x|_v^{1/n} \times \prod_P |r|_p |\mathfrak{N}x|_p^{1/n} \\ &= \prod_{\mathfrak{V} \setminus P} c_v \times \prod_P |r|_p = c^{-1} \prod_P |r|_p. \end{aligned}$$

(ii) Put  $R(c) := \{r \in R \setminus \{0\} \mid \prod_P |r|_p < c\}$ . If  $r \in R(c)$ , by (i), we have  $\widehat{\Gamma}(r)^\times \cap D^\times = \Gamma(r)^\times = R^\times$ . This obviously implies

$$(4) \quad \bigcap_{r \in R(c)} (D^\times \widehat{\Gamma}(r)^\times) = D^\times \left( \bigcap_{r \in R(c)} \widehat{\Gamma}(r)^\times \right).$$

Then together with (4) 1.1, we have

$$\overline{D^\times \widehat{R}^\times} = \bigcap_{r \neq 0} (D^\times \widehat{\Gamma}(r)^\times) \subset \bigcap_{r \in R(c)} (D^\times \widehat{\Gamma}(r)^\times) = D^\times \left( \bigcap_{r \in R(c)} \widehat{\Gamma}(r)^\times \right) = D^\times \widehat{R}^\times \subset \overline{D^\times \widehat{R}^\times}$$

### 3.2

As usual, we consider  $D_p^\times$  as the subgroup of  $\widehat{D}^\times$  consisting of the elements  $x = (x_p) \in \widehat{D}^\times$  such that  $x_q = 1$  for  $q \in P \setminus \{p\}$ . Under this convention, the following is obvious.

$$(5) \quad \#P \geq 2 \Rightarrow \widehat{R}^\times D^\times \cap D_p^\times \subset K_p^\times$$

If  $\#P < \infty$ , then  $R$  is semi-local and  $\overline{D^\times} = \widehat{D}^\times$ , hence 3.1 implies

$$(6) \quad 2 \leq \#P < \infty \Rightarrow (\text{EC}).$$

Indeed:  $\neg(\text{EC})$  implies  $\overline{\widehat{R}^\times D^\times} = \widehat{R}^\times D^\times$  so that  $\widehat{D}^\times \subset \widehat{R}^\times D^\times$  hence  $D_p^\times \subset D_p^\times \cap \widehat{R}^\times D^\times \subset K_p^\times$  a contradiction to the assumption that  $D$  is non-commutative.

### 3.3

**Lemma** *Let  $D$  be a central division algebra over a PF-field  $K$ . Then  $D_v$  is not a division algebra for infinitely many  $v \in \mathfrak{V}$ .*

**Proof** If  $\mathfrak{V}$  contains at least one archimedean valuation (i.e. if  $K$  is a number field), as is well known, much stronger results are known. Assume that  $\mathfrak{V}$  consists of non-archimedean valuations. If  $\#\{v \in \mathfrak{V} \mid D_v \text{ is not a division algebra}\} < \infty$ , then obviously we can choose a subset  $P$  of  $\mathfrak{V}$  such that  $2 \leq \#P < \infty$  and  $\neg(\text{EC})$ , a contradiction with (6) 3.2.

### 3.4 Proof of Theorem 2

We shall prove:

$$\neg(\text{EC}) \Rightarrow [\widehat{D}^\times, \widehat{D}^\times] \not\subset \overline{\widehat{R}^\times D^\times}$$

Suppose not, then  $[\widehat{D}^\times, \widehat{D}^\times] \subset \widehat{R}^\times D^\times$  by 3.1, so that  $[D_p^\times, D_p^\times] = D_p^\times \cap [\widehat{D}^\times, \widehat{D}^\times] \subset D_p^\times \cap \widehat{R}^\times D^\times \subset K_p^\times$  for any  $p \in P$ . It is a contradiction, since if  $x, y$  do not commute in  $D_p^\times$ , then one of  $[x, y]$  and  $[x, 1 + y]$  does not belong to  $K_p^\times$ .

## 4. (EC) $\Rightarrow$ (a) for a Real Coefficient Case.

We shall derive our Theorem 3 from our previous result [11], where it is proved only

for a special case of  $K = \mathbb{R}(X)$ . For this purpose, we prepare a few lemmas, which are of quite general nature, but regrettably, effectively applicable only for a very restricted situation like in Theorem 3, so that we state them only for PF-fields.

#### 4.1

Let  $D$  be a central division algebra over a PF-field  $K$  and  $R = R(P)$  as in 0.3. For a fixed  $p_0 \in P$ , as usual, we identify  $D_{p_0}^\times$  as the (closed normal) subgroup of  $\widehat{D}^\times$ , consisting of elements  $x = (x_p) \in \widehat{D}^\times \subset \prod D_p^\times$  with  $x_p = 1$  for  $p \neq p_0$ . Then  $\{\widetilde{E}(D_p) | p \in P\}$  generates a dense subgroup of  $\widetilde{E}(\widehat{D})$  in  $\widehat{D}^\times$  (cf. [2] §51). Hence a closed subgroup  $H$  of  $\widehat{D}^\times$  contains  $\widetilde{E}(\widehat{D})$  if and only if it contains  $\widetilde{E}(D_p) = [D_p^\times, D_p^\times]$  for all  $p \in P$ . By the Chinese Remainder Theorem, 'all' can be replaced by 'almost all'. In particular we have:

$$(1) \quad (a) \text{ for } D \text{ over } R \Leftrightarrow [D_p^\times, D_p^\times] \subset \overline{\widetilde{E}(D)} \text{ for almost all } p,$$

and the corresponding (1') (resp. (1'')) for (a') (resp. (a'')).

Let  $K'$  be a finite extension field of  $K$ , and let  $P'$  be the set of all (non-equivalent) valuations of  $K'$  lying over  $P$ ,  $P' = \{p' | p' \supset p, p \in P\}$ . The integral closure  $R'$  of  $R$  in  $K'$  is given by  $R' = \{0\} \cup \{x \in K'^\times | |x|_{p'} \leq 1 \text{ for any } p' \in P'\}$ .

Put  $D' := D \otimes_K K'$ . By 1.6,  $\widehat{D}' := D' \otimes_{R'} \widehat{R}' \simeq D' \otimes_R \widehat{R} \supset D \otimes_R \widehat{R} = \widehat{D}$  as topological rings, and

$$(2) \quad \widehat{D}'^\times \supset \widehat{D}^\times, \widehat{D}'^\times \supset \prod_{p' \supset p} D_{p'}'^\times \simeq D_p'^\times \supset D_p^\times \text{ as topological groups.}$$

In the following  $\overline{(\quad)}$  denotes the closure in  $\widehat{D}'^\times$ .

Let consider the following condition (\*).

$$(*) \quad \text{For almost all } p \in P \quad p' \supset p \Rightarrow [D_{p'}'^\times, [D_p^\times, D_p^\times]] = [D_{p'}'^\times, D_{p'}'^\times].$$

**Lemma** *Assume that the condition (\*) holds. Then*

$$(a'') \text{ for } D \text{ over } R \Rightarrow (a) \text{ for } D' \text{ over } R'$$

**Proof** By the Chinese Remainder Theorem,  $D'^\times$  is dense in  $\prod_{p' \supset p} D_{p'}'^\times$ . Hence, by

$$(2), [D_{p'}'^\times, [D_p^\times, D_p^\times]] \subset \overline{[D'^\times, [D_p^\times, D_p^\times]]}, \text{ so that the assumption } (*) \text{ implies}$$

$$(3) \quad [D_{p'}'^\times, D_{p'}'^\times] \subset \overline{[D'^\times, [D_p^\times, D_p^\times]]} \text{ for almost all } p \in P$$

On the other hand we have

(a'') for  $D$  over  $R \xrightarrow{(1'')} [D_p^\times, D_p^\times] \subset \widehat{R^\times D^\times}$  for almost all  $p \in P \Rightarrow [D'^\times, [D_p^\times, D_p^\times]] \subset [D'^\times, \widehat{R^\times D^\times}] \subset \overline{[D'^\times, D^\times]} \subset \overline{[D'^\times, D'^\times]} = \widetilde{E}(D')$ .

Hence by (3), we have  $[D_{p'}'^\times, D_{p'}'^\times] \subset \widetilde{E}(D')$  for almost all  $p$ , which is equivalent with ((a) for  $D'$  over  $R'$ ) by (1).

## 4.2

Now assume that the constant field  $K_0 = \mathbb{R}$ , i.e.  $K$  is an algebraic function field of one variable over the reals.

Recall from [11] that  $Br(K) \simeq K^\times / \mathfrak{N}(K(\sqrt{-1})^\times) = K^\times / (K^2 + K^2) \cap K^\times$ , so that any central division algebra  $D$  over  $K$  is a quaternion algebra of the form  $D \simeq \{-1, f\}$  with  $f \in K^\times$ .  $D$  is trivial if and only if  $f \in K^2 + K^2$ .

We call a valuation  $v \in \mathfrak{V}$  real (resp. imaginary) if the residue field is isomorphic to  $\mathbb{R}$  (resp.  $\mathbb{C}$ ).  $K(\sqrt{-1})$  is an algebraic function field of one variable over  $\mathbb{C}$ , so the corresponding  $\mathfrak{V}'$  is identified with the Riemann surface  $\mathfrak{X}$ , and  $K(\sqrt{-1})$  with the field of all meromorphic functions on  $\mathfrak{X}$ . Since a real valuation  $v$  of  $K$  does not decompose on  $K(\sqrt{-1})$ , the set  $RP(K)$  of all real valuations can be embedded in  $\mathfrak{X}$  as a finite disjoint union of closed curves. Then we have

$$K = \{\varphi \in K(\sqrt{-1}) \mid \varphi(z) \in \mathbb{R} \text{ for } z \in RP(K)\}.$$

Furthermore, as shown in [11],

$$K^2 + K^2 = \{f \in K \mid f(z) \geq 0 \text{ for } z \in RP(K)\},$$

so  $\{-1, f\}$  is trivial for such  $f$ .

Let  $P$  be a non-empty proper subset of  $\mathfrak{V}$ .

**Lemma** *If  $D$  satisfies (EC) over  $R(P)$ . then  $D$  can be written as  $D = D_0 \circlearrowright_{\mathbb{R}(g)} K$ , where  $g \in R(P) \setminus \mathbb{R}$  and  $D_0$  is a central division  $\mathbb{R}(g)$ -algebra satisfying (a) over  $\mathbb{R}[g]$ .*

**Proof** (EC) for  $D$  means that  $D_{v_0}$  is trivial for some  $v_0 \in \mathfrak{V} \setminus P$ . From Riemann-Roch Theorem, for any  $f \in K^\times$  we can find  $h \in K^\times$  such that  $g := h^2 f$  has the unique



pole at  $v_0$ . Therefore  $D$  can be written as  $D = \{-1, g\}$ , where  $g \in R(P)$  and has the unique pole at  $v_0$ .

Since  $D_{v_0}$  is trivial, we have either (i)  $v_0$  is imaginary or (ii)  $v_0$  is real and  $g$  is positive around  $v_0$ . In any case,  $g$  is bounded from below on  $RP(K)$ , since  $g$  has no pole other than  $v_0$ . So,  $g + c \in K^2 + K^2$  for some  $c \in \mathbb{R}$ , hence  $D = \{-1, g\} = \{-1, g(g + c)\} \simeq D_0 \otimes_{\mathbb{R}(g)} K$  where  $D_0 = \{-1, g(g + c)\}$  over  $\mathbb{R}(g)$  which satisfies (EC) over  $\mathbb{R}[g]$  since  $X(X + c)$  is monic and quadratic. From our previous result [11],  $D_0$  satisfies (a) over  $\mathbb{R}[g]$ .

### 4.3

**Lemma** *If  $K$  is an algebraic function field of one variable over  $\mathbb{R}$ , then the condition (\*) in 4.1 is satisfied for any  $D$ .*

**Proof** Note that  $D_p$  is unramified for almost all  $p \in P$ . If  $D_p$  is trivial, then  $D_p^\times = GL(2, K_p)$  and  $[D_p^\times, D_p^\times] = SL(2, K_p)$ . In this case  $[D_{p'}^{\prime \times}, [D_p^\times, D_p^\times]] = [GL(2, K_{p'}'), SL(2, K_p)]$  is a normal subgroup of  $SL(2, K_{p'}')$  not contained in its center, so it must coincide with  $SL(2, K_{p'}')$ .

If  $D_p$  is an unramified quaternion algebra, then  $p$  is real so that  $-1 \notin K_p^2$  and  $K_p^2 + K_p^2 = K_p^2$ . Thus the reduced norm  $\mathfrak{N}_p: D_p^\times \rightarrow K_p^\times$  maps  $D_p^\times$  onto  $K_p^{\times 2}$  with the kernel  $[D_p^\times, D_p^\times]$ . This implies  $D_p^\times = K_p^\times [D_p^\times, D_p^\times]$ , so that  $[D_{p'}^{\prime \times}, [D_p^\times, D_p^\times]] = [D_{p'}^{\prime \times}, D_p^\times] \supset [D_p^\times, D_p^\times]$ , hence the left hand side is a normal subgroup of  $[D_{p'}^{\prime \times}, D_{p'}^{\prime \times}]$  containing  $i \in [D_p^\times, D_p^\times]$ , and as such it coincides with  $[D_{p'}^{\prime \times}, D_{p'}^{\prime \times}]$ . (Proof for  $D_{p'}' \simeq \{-1, -1\}$  is as follows: Let  $N$  be a normal subgroup of  $[D_{p'}^{\prime \times}, D_{p'}^{\prime \times}]$  containing  $i$ , then  $\{x \in D_{p'}' | x^2 + 1 = 0\} \subset N$  since such  $x$  is conjugate with  $i$  by Skolem-Noether Theorem. So for any  $a \in K_{p'}'$ , such that  $1 - a^2 \in K_{p'}'^2$ , we have  $-ai + bj \in N$  (with  $a^2 + b^2 = 1$ ), hence  $y := i(-ai + bj) = a + bij \in N$  which satisfies  $y^2 - 2ay + 1 = 0$ . Thus, again from Skolem-Noether Theorem, every  $y \in [D_{p'}^{\prime \times}, D_{p'}^{\prime \times}]$  belongs to  $N$ ).

### 4.4 Proof of Theorem 3 §0

Assume that  $D$  satisfies (EC) over  $R(P)$ . Applying Lemmas 4.1 and 4.3 to the result of Lemma 4.2 (regarding  $\mathbb{R}(g)$  as  $K$  and  $K$  as  $K'$ ), we see that  $D$  satisfies (a) over  $\mathbb{R}[g]_K$ .

the integral closure of  $\mathbb{R}[g]$  in  $K$ . Since  $g \in R(P)$ , we have  $R(P) \supset \mathbb{R}[g]_K$  so that (a) over  $\mathbb{R}[g]_K$  implies (a) over  $R(P)$ .

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