1 The Nuclear Field Shift Effect in Chemical Exchange Reactions

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20 Abstract

Mass-independent isotope fractionations found in laboratory-scale chemical exchange 21 experiments are reviewed. The classic theory of stable isotope fractionation in chemical 22 exchange reactions has been established by Bigeleisen, Mayer, and Urey in 1947. In 23 1996, the conventional mass-dependent theory was expanded by Bigeleisen to include a 24 mass-independent term named the nuclear field shift effect. The nuclear field shift is an 25 isotope shift in orbital electrons, which results from the isotopic difference in nuclear 26 size and shape. Since the revised theory was proposed, the mass-independent isotope 27 fractionation of various elements, (e.g., Ti, Cr, Zn, Sr, Mo, Ru, Cd, Sn, Te, Ba, Nd, Sm, 28 29 Gd, Yb, and U), found in chemical exchange systems has been successfully explained as the nuclear field shift effect. In this review article, from both theoretical and 30 experimental viewpoints, origins of mass-independent isotope effects are discussed. 31

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35 **1. Introduction**

This article presents an overview of the mass-independent isotope fractionation found in 36 laboratory scale chemical exchange experiments, whose origin is considered to be the 37 nuclear field shift effect. The fundamental theory of the chemical isotope effect was 38 39 established by Urey (1947) and Bigeleisen and Mayer (1947). From the theory, at a constant temperature, the isotope enrichment factor is proportional to the isotopic mass 40 difference δm and inversely proportional to the product of masses m and m' of two 41 isotopes. As long as four decades after the formulation of the mass-dependent theory, a 42 43 failure of the isotope effect in chemical exchange equilibria to follow the theory has not 44 been reported.

Mass-independent isotope fractionations were first observed for O and S (see a 45 review by Thiemens, 2006). The difference in the symmetry and the densities of states 46 47 of the activated isotopomers is a possible origin for these mass-independent isotope fractionations (Hathorn and Marcus, 1999). Alternative interpretations have been 48 discussed in review articles (Weston, 1999; Thiemens, 2006). For heavy elements, an 49 50 anomalous isotope effect in chemical exchange was found in an isotope enrichment of ²³⁵U in a redox reaction (Fujii et al., 1989a,b). Isotope enrichment factors for even 51 atomic mass isotopes, ²³⁴U, ²³⁶U, and ²³⁸U, showed a mass-dependent line, while that of 52 ²³⁵U deviated from that line. After that observation, the anomalous isotope enrichment 53 of odd atomic mass isotopes has been examined. In cation exchange chromatography, a 54 similar property has been found in ¹⁵⁷Gd enrichment (Chen et al., 1992), while 55 fractionations of Ca (Oi et al., 1993), Sr (Oi et al., 1992), and Ba (Kondoh et al., 1996) 56 isotopes showed the conventional mass-dependent trends. Nishizawa et al. (1993, 57 1994) have found different fractionation properties between odd and even atomic mass 58

isotopes of Zn (1993), Sr (1994), and Ba (1994) in a liquid-liquid extraction system
with a macrocyclic polyether. The effect was named "odd/even isotope effect"
(Nishizawa et al., 1994), but at that time, the origin of the odd/even isotope effect was
not clear.

A further investigation on the odd/even isotope effect has been carried out for 63 Sr isotopes (Nishizawa et al., 1995). In the study, a similarity between the odd/even 64 65 isotope effect and the odd-even staggering found in atomic spectra was observed. In 66 atomic spectra, lines of the odd isotopes do not lie midway between the adjacent even isotopes, but are shifted towards the isotope of lower atomic mass number. This effect, 67 which is known as the odd-even staggering (Stacy, 1966; Kurn, 1969; Heilig and 68 Steudel, 1978, King, 1984; Aufmuth et al., 1987), is attributable to their nuclear charge 69 radii. Odd neutron number nuclei often appear to be smaller than that estimated from 70 the adjacent even isotopes (see Fig. 3 in a review by Aufmuth et al., 1987). 71

72 In 1996, the Bigeleisen-Mayer equation was expanded by the original author (Bigeleisen, 1996a). The nuclear field shift effect, which is one of the terms added in the 73 expansion, is recognized as the origin of the mass-independent isotope fractionation. 74 75 The nuclear field shift is an isotope shift in orbital electrons (Kurn, 1969; Heilig and Steudel, 1978, King, 1984; Aufmuth et al., 1987). This results from the isotopic 76 difference in nuclear size and shape. Different isotopes have the same number of 77 protons, but they do not have the same distribution of protons in space. That is, the 78 79 nuclear charge distribution is affected by the number of neutrons in the nucleus. The nuclear charge distribution gives an electric field, and its isotopic difference shifts the 80 81 atomic energy levels (details will be explained in section, 4.1), also displacing the electronic molecular states. The nuclear field shift is not mass-dependent but is strongly 82

related to neutron configuration of a nuclear structure. The nuclear field shift effect is therefore a mass-independent isotope effect. The new theory gave a sufficient explanation of the anomalous isotope enrichment of U. Nomura et al. (1996) have independently come to the same conclusion. At the current stage, the Bigeleisen 1996 theory is the most reliable theory for studying the mass-independent isotope fractionation found in chemical exchange reactions.

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90 **2. Chemical exchange reaction**

The theory of chemical isotope effect has been derived for equilibrium reactions based upon the calculation of the isotopic reduced partition function (Urey, 1947; Bigeleisen and Mayer, 1947). The isotopic reduced partition function based on differences in vibrational frequencies of isotopically substituted molecules is an estimate of isotopic partitioning between a separated atom and the molecule.

96 Chemical exchange is known as a potential method for separating isotopes
97 (London, 1961). A chemical exchange reaction can be represented as two half-reactions,

$$AX + Y \neq AY + X, \quad \Delta G_1^{\circ} \tag{1}$$

98 or

$$A'X + Y \rightleftharpoons A'Y + X, \quad \Delta G_2^{\circ} \tag{2}$$

where A and A' are the heavy and light isotopes of the element A, and X and Y represent ligands. ΔG_1° and ΔG_2° are the standard free energies of the reactions. The difference between reactions 1 and 2 corresponds to an isotopic exchange reaction between AX and AY,

$$A'Y + AX \rightleftharpoons A'X + AY, \quad \Delta G^{\circ} = \Delta G_1^{\circ} - \Delta G_2^{\circ}$$
(3)

For example, isotopes of light elements, C (Hutchison et al., 1940), N (Urey et al., 104 1937), and S (Stewart and Cohen, 1940), were successfully separated by chemical 105 exchange in Urey's pioneering work. Even for heavy elements like U, isotope 106 enrichment by chemical exchange is feasible (see a review by Bigeleisen, 1992).

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The equilibrium constants of reactions 1 and 2 are,

$$K_{1} = \frac{\gamma_{AY}[AY] \gamma_{X}[X]}{\gamma_{AX}[AX] \gamma_{Y}[Y]}$$
(4)

108 and,

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$$K_{2} = \frac{\gamma_{A'Y}[A'Y] \gamma_{X}[X]}{\gamma_{A'X}[A'X] \gamma_{Y}[Y]}$$
(5)

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111 where γ means the activity coefficient. K_1/K_2 can be calculated as,

$$\frac{K_1}{K_2} = \frac{(\gamma_{AY}[AY])/(\gamma_{A'Y}[A'Y])}{(\gamma_{AX}[AX])/(\gamma_{A'X}[A'X])}$$
(6)

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This is identical with the equilibrium constant *K* of the isotopic exchange reaction 3. From the general thermodynamic relation $\Delta G^{\circ} = -RT \ln K$, a relation $\Delta G_1^{\circ} - \Delta G_2^{\circ} = -$ *RT* ln $K_1 - (-RT \ln K_2) = -RT \ln (K_1/K_2)$ can be obtained. Hence, the relation $K = K_1/K_2$ is thermochemically consistent.

Under the equilibrium of reaction 3, if the chemical species AX and A'X are separated from AY and A'Y, isotope fractionation can be evaluated. In the case, the equilibrium isotope separation factor α is defined as,

$$\alpha = \frac{([A]/[A'])_{Y}}{([A]/[A'])_{X}}$$
(7)

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where $([A]/[A'])_X$ means the isotopic composition corresponding to AX and A'X, while ([A]/[A'])_Y corresponds to AY and A'Y. ([A]/[A'])_X and ([A]/[A'])_Y may be the isotopic 123 compositions of two different equilibrated phases, *e.g.*, an aqueous phase and an organic 124 phase in solvent extraction, a resin phase and a liquid phase in chromatography, and so 125 on. Under an assumption that the activity coefficient is isotope-independent, that is, γ_{AX} 126 = $\gamma_{A'X}$ and $\gamma_{AY} = \gamma_{A'Y}$ (see Eq. 6), the isotope separation factor α is equal to the 127 equilibrium constant *K* of reaction 3.

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$$\varepsilon = \alpha - 1$$

(8)

The isotope enrichment factor ε is defined as,

129 Considering the magnitude of chemical isotope fractionation, an approximation, $\alpha - 1 \approx$ ln α (or $\varepsilon \approx \ln(1 + \varepsilon)$), can be applied. Here α and ε have been defined for a single stage 130 131 reaction. Since chromatography is a multistage process, α and ε values are usually extracted from measurements by using equations proposed by Spedding (1955), 132 Glueckauf (1955), or Kakihana and Kanzaki (1969). It should be noted that ε here is 133 134 different from that usually used in geochemistry (the use of ε to indicate a fractionation factor is not uncommon in environmental geochemistry). ε in Eq. 8 is as defined and 135 used for engineered isotope enrichment. One can see that $10^3 \varepsilon$ is similar to the delta 136 137 unit used in geochemistry.

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3. Bigeleisen-Mayer's (1947) theory of mass-dependent fractionation

Isotope effects in chemical exchange (reaction 3) caused by intramolecular vibration has
been clearly explained by Bigeleisen and Mayer (1947). A review prepared by Ishida
(2002) is helpful for understanding it. The equilibrium constant *K* in the isotope
exchange reaction 3 is,

$$K = \frac{\left(\frac{s}{s'}f\right)_{\mathbf{x}}}{\left(\frac{s}{s'}f\right)_{\mathbf{x}}}$$
(9)

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where *s* is the number of identical configurations obtained by fundamental geometric operations on each molecule such as symmetry or rotation (e.g., s = 1 for HCl, 2 for O₂, 12 for CH₄), and X and Y represent ligands. The number (s/s')f is called the "reduced partition function ratio." (s/s')f is generally expressed as follows via the Bigeleisen-Mayer second-order approximation,

$$\frac{s}{s'}f = 1 + \frac{1}{24} \left(\frac{\hbar}{kT}\right)^2 \frac{\delta m}{mm'} \left\langle \nabla^2 U \right\rangle$$
(10)

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where \hbar , k, and T are the Plank constant, the Boltzmann constant, and temperature, respectively. m and m' are masses of two isotopes A and A'. $\delta m/mm'$ represents (1/m' - 1/m). $\langle \nabla^2 U \rangle$ is the averaged Laplacian of the intramolecular potential. This approximation is only valid at relatively high temperature (Bigeleisen, 1947; Ishida, 2002). Consider a molecule, in which the central atom of mass m or m' is symmetrically surrounded by n identical atoms (ligands) of mass M. Equation 10 can be simply rewritten as,

$$\frac{s}{s'}f = 1 + \frac{1}{24} \left(\frac{\hbar}{kT}\right)^2 \frac{\delta m}{mm'} M v^2 n \tag{11}$$

where v is the vibrational frequency (totally symmetric frequency) of the molecule. Since the second term of right hand of Eq. 11 is much smaller than unity, similar approximation like $\ln (1 + \varepsilon) \approx \varepsilon$ can be applied. From Eqs. 8, 9, and 11, and the relation $\alpha \approx K$, the isotope enrichment factor can be written as,

$$\varepsilon = \alpha - 1 \approx \ln \alpha \approx \ln K$$

= $\ln \left(\frac{s}{s'}f\right)_{Y} - \ln \left(\frac{s}{s'}f\right)_{X}$
 $\approx \frac{1}{24} \left(\frac{\hbar}{kT}\right)^{2} \frac{\delta m}{mm'} \left(M_{Y}v_{Y}^{2}n_{Y} - M_{X}v_{X}^{2}n_{X}\right)$ (12)

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163 *M*, v, and *n* are known values, if the related chemical species are clearly identified. 164 Therefore, ε is a function of $\delta m/mm'$ and T^2 .

165 The Bigeleisen-Mayer theory may be understandable by using the vibrational 166 potential curve of a diatomic molecule (Fig. 1). The theory contains three assumptions 167 which must be accounted for:

168 (1) Although the Morse potential energy curve is more realistic (see Fig. 1), the 169 vibrational energy levels are usually approximated by the energy levels

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$$E_n = \left(n + \frac{1}{2}\right)h\nu$$
 $(n = 0, 1, ...)$ of a harmonic oscillator, in which $\nu = \frac{1}{2\pi}\sqrt{\frac{\kappa}{\mu}}$, with κ

being the force constant and μ the reduced mass of the molecule.

(2) Because it is acting between the electrons orbiting each atom of the molecule, the force constant κ of isotopologues is isotope-independent (for the definition of "isotopolog", see (Minkin, 1999). Hence, the harmonic potential curve is the same for all isotopologues, and only the permissible energy levels, including the zero point energy (n = 0) are isotope-dependent.

177 (3) The minimum value of the potential energy of isotopologues is isotope-independent.

178 It is convenient to assume δE° in Fig. 1 is zero.

These assumptions lead to correction terms. Especially, assumption (3) leads to a major correction. The assumption (3) means that no isotope fractionation occurs via the electronic partition function Q_e , but δE° (Fig. 1) has a significant value which changes Q_e . A correction term for the assumption (3) is the origin of mass-independent isotope effect named the nuclear field shift effect.

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185 **4. Bigeleisen's (1996) theory**

Bigeleisen (1996a) has improved the Bigeleisen-Mayer equation by adding correction terms. The correction terms mainly result from isotope shifts in electronic molecular energy states. Knowledge of isotope shifts in atomic and molecular spectra is helpful to understand the theory.

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191 *4.1. Isotope shifts in atomic spectra*

192 Evidence of energy quantization comes from the observation that the frequencies of 193 radiation absorbed or emitted by atoms and molecules depend on the isotope. The differences resulting from the substitution of an isotope by another are called "isotope 194 195 shifts". Isotope shifts are best known for atomic spectra, and therefore will be the main focus of this section. A number of detailed reviews (Breit, 1958, Stacy, 1966; Kurn, 196 1969; Heilig and Steudel, 1978, Aufmuth et al., 1987) and books (King, 1984; Fricke 197 198 and Heilig, 2004) covering various aspects of isotope shifts have appeared over the past 199 fifty years. In this section, only the basic principles will be reviewed.

Each atomic energy level is characterized by an eigenfunction of the Schrödinger equation. The associated eigenvalues define the angular momentum and the energy of this level. Mass differences between isotopes lead to shifts in the atomic energy level, because the orbital angular momentum remains the same. This isotope shift is called the mass shift. Since the nucleus is much heavier than an electron, it

205 moves relatively slowly and is usually approximately treated as stationary: this is the 206 Born-Oppenheimer approximation. For light nuclides, however, the movement of the 207 nucleus can no longer be neglected and its movement around the center of the gravity of the nucleus-electrons ensemble creates a kinetic momentum. Each orbital electron 208 209 receives an excess kinetic momentum via the movement of the nucleus. The kinetic momentum is a function of mass. The isotopic difference in the mass of the nucleus 210 211 results in the mass shift in atomic energy levels. One can regard the mass shift as a 212 correction to the Born-Oppenheimer approximation. The mass shift is the sum of the normal mass shift and the specific mass shift. The normal mass shift can be calculated 213 214 under the assumption that the electron wave functions are uncorrelated. The specific 215 mass shift considers the correlation between the electron wave functions. The mass shift is proportional to the isotopic mass difference δm and inversely proportional to the 216 product of masses *m* and *m*' of two isotopes ($\propto \delta m/mm'$). 217

218 Another isotope shift accounts for the deviation of nuclear charge distribution from a point-charge distribution. It is called the field shift and is important because the 219 220 atomic energy depends upon the size and shape of the electric charge distribution of the 221 nucleus. In general, the field shift is smaller than the mass shift for light elements, but for heavy elements, it is relatively large compared to the mass shift (see Fig. 1 reported 222 223 by Stern and Snavely, 1976). As mentioned above, the nuclear charge distribution gives rise to an electric field, and differences in nuclear charge radius associated with the 224 number of neutrons shift the atomic energy levels. Consider the electrostatic interaction 225 between the orbital electrons and the nucleus. Electrostatic potentials of nuclei are 226 shown in Fig. 2. Away from the nuclear region, the electric field of the nucleus can be 227 represented as a Coulomb potential identical for all isotopes. Within the nuclear region, 228

it is isotopically different. For example, since the wave functions of the *s*-electrons do not go to zero next to a finite size nucleus, the effect depends on the isotope. A larger nuclear charge distribution gives a smaller binding potential than a point-charge distribution. The field shift in an atomic energy level ($\delta E_{\rm fs}$) can be shown to be (King, 1984),

$$\delta E_{\rm fs} = \pi |\psi(0)|^2 \frac{a_0^3}{Z} f(Z) \,\delta < r^2 >$$
(13)

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where $|\Psi(0)|^2$ is the electron density at the nucleus. a_0 means the Bohr radius. f(Z) is a known function for each element of atomic number *Z*. $\delta < r^2 >$ means the isotopic difference in the mean-square charge radius (= $\langle r^2 \rangle_A - \langle r^2 \rangle_A$). From Eq. 13, it is clear that the field shift is proportional to $\delta < r^2 >$. Due to the systematics of neutron configuration, $\langle r^2 \rangle$ shows odd-even staggering (a "saw-tooth" figure), and the nucleus is particularly compact with magic number neutrons (20, 28, 50, 82, and 126) (see Fig. 3 in a review by Aufmuth et al., 1987).

It is known that a change in the shape of a nucleus can also give rise to a field shift, even if the actual volume of the nuclear charge distribution does not change. $\delta < r^2 >$ obtained from isotope shifts includes information of both the nuclear size and the nuclear shape. For a non-spherical charge distribution, the second-degree deformation is accounted for by a quadrupole term with axial symmetry, and the mean-square charge radius becomes (Heilig and Steudel, 1978),

$$< r^{2} > \approx \frac{3}{5} r_{0} \left(1 + \frac{5}{4\pi} < \beta^{2} > \right)$$
 (14)

where r_0 is the equilibrium charge radius of the drop (liquid-drop model) and $\langle \beta^2 \rangle$ the

mean-square quadrupole deformation parameter. This parameter can be obtained from the reduced nuclear transition probability of nuclear $0^+ \rightarrow 2^+$ transition, $B(E2, 0^+ \rightarrow 2^+)$.

$$<\beta^{2}> = B(E2, 0^{+} \rightarrow 2^{+}) \left(\frac{3Zr^{2}}{4\pi}\right)^{-2}$$
 (15)

with $r = 1.2 A^{1/3}$ fm, where Z and A are the element number and the mass number, respectively. For the neutron-rich even isotopes of Nd, Sm, and Gd, the $\langle \beta^2 \rangle$ values show drastic changes with the atomic mass number, which suggests that, in these cases, the changes in the nuclear shape have more effect on $\langle r^2 \rangle$ than change in the nuclear size (King, 1984) (for example, ¹⁵⁰Sm, ¹⁵²Sm, and ¹⁵⁴Sm).

The total angular momentum must be conserved during a reaction. Protons, 256 neutrons, and electrons are fermions with spins of $\pm \frac{1}{2}$. For nuclides with even 257 numbers of protons and neutrons, *i.e.*, with integer nuclear spin, there is no spin effect. 258 259 In other cases, and in particular for nuclides of odd masses, the spin of unpaired protons and neutrons interact with the orbital momentum of the electrons (Herzberg, 1944). The 260 total angular momentum F is the resultant of the coupling of the nuclear spin I with the 261 total angular momentum of electrons J. The corresponding quantum number F can take 262 values, 263

$$F = J + I, J + I - 1, J + I - 2, \cdots, |J - I|$$
(16)

This gives 2J + 1 or 2I + 1 different values depending on whether (J < I) or (J > I). Consequently, a small energy difference between states with different *F* exists. Since the magnetic moment of the nucleus is smaller (by approximately a factor of 2,000) than that of the electron, the energy differences are very small. The spectrum shift due to the coupling between *J* and *I* is referred to as the "hyperfine structure" or "hyperfine 269 splitting."

In a magnetic field, a space quantization of *F* takes place. The quantum number M_F of the component of the angular momentum in the field direction can take,

$$M_F = F, F - 1, F - 2, \dots, -F$$
(17)

The 2F + 1 values of M_F correspond to states of different energies in a magnetic field. In the absence of the magnetic field, these energy levels are degenerated to be unity. Under a strong magnetic field, these levels are split. This effect is called the Zeeman effect (for example, Fig. VI, 12 in the book by Kuhn, 1969). In general, a field of 20,000 G is needed to produce a splitting of about 1 cm⁻¹ (Atkins, 1998).

A space quantization of total angular momentum *F* also takes place in electric fields and the 2F + 1 levels are also split. All splitting levels are doublets $(\pm M_F)$ except for $M_F = 0$ (singlet). This effect is called the Stark effect (Herzberg, 1944; Atkins, 1998).

Except for strong electromagnetic fields, the hyperfine splitting shifts due to the *I-J* coupling can be predicted. In order to calculate the hyperfine structure, the centroid of the energy levels must be calculated. If the energy reference level is set to be zero, the condition is,

$$\Sigma N_i \Delta E_i = 0 \tag{18}$$

where N_i is the occupation number of *i*th hyperfine splitting level and ΔE_i is the energy difference from the energy gravity. The value of N_i for each hyperfine splitting level can then be calculated as,

$$N_{i} = \frac{2F_{i} + 1}{\sum (2F + 1)}$$
(19)

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4.2. Outline of the Bigeleisen's (1996) theory of nuclear field shift effect 289 290 Bigeleisen (1996a) expanded his original mass-dependent theory of reduced partition functions (Bigeleisen and Mayer, 1947), and therefore of isotope separation factor α , 291 292 by adding correction terms. The isotope enrichment factor ε ($\approx \ln \alpha$) is, $\ln \alpha = \ln \alpha_0 + \ln K_{anh} + \ln K_{BOELE} + \ln K_{hf} + \ln K_{fs}$ (20)293 where $\ln \alpha_0$ is the Bigeleisen-Mayer equation (Eq. 12). The correction terms are 294 • the anharmonic vibration correction (K_{anh}) • the correction to the Born-Oppenheimer approximation (K_{BOELE}) 295 • the nuclear spin effect (K_{hf}) , and 296 • the nuclear field shift effect $(K_{\rm fs})$. 297 The anharmonic correction is in the order of 1% of ln α_0 even for ${}^{2}H/{}^{1}H$ exchange 298 reactions (Bigeleisen, 1996a). Even if the potential energy curve (Fig. 1) is treated as 299 the Morse type or the typical parabola type, the difference is insignificant. 300 All the correction terms K_{BOELE} , K_{fs} , and K_{hf} , originate from the isotopic 301 302 displacement of the molecular energy state. They are attributable to the mass shift, the field shift, and the hyperfine splitting shift, respectively. In other words, they are 303 attributable to the isotopic differences in the nuclear mass, the nuclear size and shape, 304 and the nuclear spin, respectively. In Fig. 1, the isotope separation factor due to δE° 305 306 corresponds to these terms and can be shown to be a ratio of electronic partition functions, 307

$$\alpha(\delta E^{\circ}) = \frac{\left(Q_{e} / Q_{e}'\right)_{Y}}{\left(Q_{e} / Q_{e}'\right)_{X}} = \frac{e^{-\delta E_{Y}^{\circ} / kT}}{e^{-\delta E_{X}^{\circ} / kT}}$$
(21)

308 The isotope enrichment factor is,

$$\varepsilon(\delta E^{\circ}) \approx \ln \alpha(\delta E^{\circ}) = \frac{1}{kT} \left(\delta E_X^{\circ} - \delta E_Y^{\circ} \right)$$
⁽²²⁾



The correction to the Born-Oppenheimer approximation has been investigated by Kleinman and Wolfsberg (1973 and 1974a,b). The K_{BOELE} value has been estimated for a reaction involving uranium isotopologues, in which it was concluded that the correction due to this term was negligible (Bigeleisen, 1996a). Considering the predominance of mass shift in isotope shifts, K_{BOELE} for lighter elements should be more important. The effect is however mass-dependent. The correction term K_{hf} for uranium has been calculated to be negligibly small (Bigeleisen, 1996a).

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322 4.3. Comments on the nuclear spin effect

Let us now re-assess the reasons why Bigeleisen (1996a) concluded the nuclear spin effect be negligible. The data used are the hyperfine splitting shifts obtained from the electron magnetic resonance analysis of a 235 U(III) complex and are shown in Fig. 3. The ΔE_i values were equated to the energy differences of hyperfine splitting levels of 235 U with respect to the ground energy level of the 238 U(III) complex. As discussed in the previous section, the Stark effect must be considered for the case of a strong electromagnetic field. In this case, the occupation number of each state is not the usual 330 case of Eq. 19, but,

$$N_i = \frac{g_i}{\sum g}$$
(23)

where g_i is the degeneracy (2 for doublet and 1 for singlet) of the *i*th hyperfine splitting level. If the mean weighted value of the hyperfine splitting levels is defined as the reference level, the following relation is obtained,

$$\Sigma g_{i}(\Delta E_{i} - \delta \Delta E) = 0$$
(24)

where $\delta\Delta E$ means the difference of the energy gravity from the ²³⁸U ground energy state. This equation is similar to Eq. 18. Since ΔE_i here is the energy difference from the ²³⁸U ground energy state, $\delta\Delta E$ must be subtracted from ΔE_i . The literature value of $\delta\Delta E$ (Table 2, Bigeleisen, 1996a) is only of 0.003 cm⁻¹, *i.e.*, about 10 times smaller than the largest ΔE_i (see Table 2, Bigeleisen, 1996a)). The partition function of the nuclear spin effect was defined by Bigeleisen (1996a) as,

$$Q_{\rm ns} g = \sum g_i e^{\frac{\Delta E_i}{kT}}$$
(25)

Since the $exp(-\Delta E_i/kT)$ value is very close to unity, the following approximation $exp(-\Delta E_i/kT) \approx 1 - \Delta E_i/kT$ holds, and so Eq. 25 may then be rewritten as,

$$Q_{\rm ns} g \approx \sum g_i \left(1 - \frac{\Delta E_i}{kT} \right)$$
 (26)

The $\Delta E_i/kT$ value is much smaller than the magnitude of *g*. This equation suggests that the $\Delta E_i/kT$ term, which accounts for the nuclear spin effect, only changes *g* by a factor very close to unity. Equation 26 can now be rewritten,

$$Q_{\rm ns} g \approx \sum g_i - \frac{1}{kT} \sum g_i \Delta E_i$$
(27)

In Eq. 24, if $\delta \Delta E \ll \Delta E_i$, the approximation $\Sigma g_i \Delta E_i \approx 0$ holds and changes Eq. 27 into $Q_{ns} g \approx \Sigma g_i$, which indicates that, within the approximation of this calculation, the nuclear spin effect can be neglected.

According to the definition by Bigeleisen (1996a), the magnitude of the nuclear 348 spin effect (ln K_{hf}) should decrease with decreasing $\delta\Delta E$. A very small nuclear spin 349 effect of 235 U therefore only reflects that the value of $\delta\Delta E$ used was very small. The 350 magnitude of $\delta \Delta E$ is therefore much more important than the number and spacing of the 351 hyperfine splitting levels. $\delta \Delta E$ refers to the same quantity as δE° in both Eqs. 21 and 22 352 (and Fig. 1). Since δE° corresponds to the energy term in K_{BOELE} and K_{fs} , ln K_{hf} may 353 actually not reflect the nuclear spin effect only. The definition and treatment of the 354 nuclear spin effect in the Bigeleisen 1996 theory is therefore still problematic. 355

The possible origin of the mass-independent isotope effect has been identified to be the nuclear field shift. The final equation in Bigeleisen's (1996a) theory is hence obtained by adding Eq. 22 (corresponding to the field shift) to Eq. 12.

$$\ln \alpha = \frac{h}{kT} v_{\rm fs} a + \frac{1}{24} \left(\frac{\hbar}{kT}\right)^2 \frac{\delta m}{mm'} b$$
(28)

where a is the field shift scaling factor and b is the scaling factor for the conventional mass effect (strictly, the mean Laplacian of the intramolecular potential). The first term is the mass-independent term named the nuclear field shift effect.

Though three assumptions have been adopted in the Bigeleisen-Mayer theory (see section, 3), the consequences of assumptions (1) (harmonicity) and (3) (minimum of potential energy) were clarified as the correction terms in the Bigeleisen's (1996) theory. For the assumption (2), later, it has been identified as a second-order correction to the nuclear field shift (Bigeleisen, 1998).

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368 *4.4. Second-order correction to the nuclear field shift effect.*

A breakdown of the assumption (2) due to wavefunctions of bonding electrons being disturbed by the nuclear charge distribution has been pointed out by Nishizawa et al. (1995). This idea has come from the studies on field shifts in molecular spectra (Tiemann et al., 1982; Schlembach and Tiemann, 1982). In the Bigeleisen 1996 theory, the nuclear field shift effect in the electronic partition function has been focused, but not in the vibrational partition function.

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The vibrational frequency of the molecule can be simply written,

$$v = \frac{1}{2\pi} \sqrt{\frac{\kappa}{\mu}}$$
(29)

where κ and μ are the force constant and the reduced mass, respectively. In the classic theory, only the isotopic difference in μ has been treated based on the assumption that κ is isotope-independent (see Assumption (2) in Chapter 3). Due to the field shift, κ should have an isotopic difference $\delta\kappa$, which is proportional to $\delta < r^2 >$. This has been theoretically and experimentally proven by Schlembach and Tiemann (1982). If $\delta\kappa$ is introduced, the logarithm of Eq. 11 can be rewritten as (Fujii et al, 2000, 2001a),

$$\ln\left(\frac{s}{s'}\right)f = \frac{1}{24}\left(\frac{\hbar}{kT}\right)^2 \frac{\delta m}{mm'} Mv^2 n + \frac{1}{24}\left(\frac{\hbar}{kT}\right)^2 \left(\frac{1}{m} + \frac{1}{M}\right)\delta\kappa n \tag{30}$$

The question is whether the magnitude of $\delta \kappa$ is significant or not. Bigeleisen (1998) has estimated the nuclear field shift effect in the vibrational partition function for a Pb isotopologue. The magnitude was 0.3% of the $\ln(s/s')f$, and hence, the effect has been concluded to be only a second-order correction to the Bigeleisen-Mayer equation. First-principles calculations, which allow for the isotopic difference in the nuclear volume, result in a very small difference in the bond lengths of Hg isotopologues (Schauble, 2007). The results support the view that these isotopic effects can be treated as a second-order correction to the nuclear field shift effect (Bigeleisen, 1998).

391

392 *4.5. Experimental and theoretical approaches*

There are two effective ways to examine experimental results by employing Eq. 28. The first way is graphically shown in Fig. 4. This evaluation method needs isotopes whose $\langle r^2 \rangle$'s have mass-independent characteristics. Prominent mass-independent properties are shown in the plots of $\delta \langle r^2 \rangle vs \delta m/mm'$ for Ca, Cr, Ba, and Ce (Figs. 5 b, d, m, and n), whereas the relation for some elements (for example, Fe, Fig. 5e) shows a mass-dependent trend.

399

At a constant temperature, Eq. 28 can be simplified as,

400

$$\ln \alpha = \delta \langle r^2 \rangle A + \frac{\delta m}{mm'} B \tag{31}$$

where *A* and *B* are scaling factors for the nuclear field shift effect and the mass effect, respectively. The isotope enrichment factors obtained experimentally are plotted as a function of $\delta m/mm'$. If the plots are linear, the nuclear field shift cannot be separated from other mass-dependent fractionation effects, which often reflects that $\delta < r^2 >$ varies linearly with $\delta m/mm'$ (see Fig. 5e). Deviation from linearity indicates, however, a mass-independent component which is most easily accounted for by the nuclear field shift effect. If the element has three or more isotopes, the scaling factors *A* and *B* can be

408 analyzed by fitting Eq. 31 to the experimental results. Because Eq. 31 can be arbitrarily fitted to any three isotope systems, unambiguous demonstration of a nuclear field shift 409 410 effect requires four or more isotopes (at least three even atomic mass isotopes and one odd atomic mass isotope). Deviation of experimental values from the predicted values at 411 412 odd atomic mass isotopes may signal a nuclear spin effect. The case for nuclear field shift isotope effects can be strengthened if the fit succeeds in reproducing two 413 414 characteristics that coexist in some elements with a number of neutrons or protons close 415 to those of a full-shell (magic numbers), the odd-even staggering and the non-linear variation of the $\delta < r^2 >$ of even isotopes with respect to $\delta m/mm'$. Elements that best fulfill 416 these conditions may be Ca, Cr, Ba, and so on, which have isotopes with magic number 417 of neutron. 418

After separating the mass-dependent and the mass-independent components, 419 420 the validity of the magnitude of each component can be checked. The isotope 421 enrichment factor of the conventional isotope effect can be calculated by employing the 422 Bigeleisen-Mayer equation (1947). The interatomic vibrational frequencies required for the calculation can be obtained from spectrophotometry (Raman and IR) or from 423 electronic structure calculations. K_{BOELE} for some isotopologues can be estimated from 424 literature data (Kleinman and Wolfsberg, 1973 and 1974a,b). A simplified calculation 425 employed for uranium (Bigeleisen, 1996a), may also be effective to predict the 426 magnitude of K_{BOELE} . In recent quantum chemical calculations, magnitudes of the 427 428 nuclear field shift effect have been estimated for some simple molecules or ions (Schauble, 2007; Abe et al., 2008a,b). 429

430 The second way is graphically shown in Fig. 6. At various temperatures, Eq. 28431 may be simplified as,

21

$$\ln \alpha = \frac{1}{T}C + \frac{1}{T^2}D \tag{32}$$

where C and D are scaling factors. The C/T term represents the nuclear field shift effect 432 and the D/T^2 term the conventional mass effect. The nuclear field shift fractionation is 433 largest at low temperatures, but tends to dominate the total fractionation at high 434 temperature. In cases where mass-dependent and nuclear-volume fractionation act in 435 opposite directions, there will be a maximum (or minimum) in the total fractionation 436 factor at some finite nonzero temperature (see Fig. 6). This evaluation method has been 437 applied by Nomura et al. (1996) and Bigeleisen (1996b). This method works for two or 438 more isotope systems. Whether the $\langle r^2 \rangle$'s of isotopes have a mass-independent trend or 439 not, the method can be used effectively. 440

However, this evaluation method involves some difficulties. From the 441 442 experimental standpoint, experimental temperature range is typically very limited. The curve is thus analyzed with data within a limited 1/T region. The extraction further 443 presumes that the coordination number and/or complexation affinities of the relevant 444 element or ion should stay the same over the sampled range of temperature. A difficulty 445 of this evaluation method was pointed out in a study on Ba isotope fractionation 446 (Shibahara et al., 2002a). An issue is that the correction term corresponding to a 447 departure from the Born-Oppenheimer approximation, $\ln K_{\text{BOELE}}$, also varies as 1/T. If 448 this effect cannot be neglected, the C/T term includes both mass-dependent and 449 450 mass-independent components. At low temperatures and/or when the vibrational energy is high compared to the thermal energy, the isotope enrichment factor becomes 451 proportional to 1/T, not to $1/T^2$ (see a review by Ishida, 2002). This also interferes with 452 453 the C/T term. Furthermore, mass-independent fractionation seems to be more 454 pronounced within a specific temperature range (Kotaka et al., 1992). One can select
455 the evaluation methods on the basis of the advantages and disadvantages for each of
456 them.

457

458 **5. Kinetic effects**

Isotope fractionation may result from isotope-dependent reaction rates (*e.g.*, formation and decomposition reactions by thermally, photochemically, or electronically activated reactions). The symmetry and the densities of states of the activated isotopologues may be different (Hathorn and Marcus, 1999). Alternative interpretations have been discussed in review articles (Weston, 1999; Thiemens, 2006).

Let us first briefly present a mechanism that involves nuclear field shift in reaction kinetics. For an isotope exchange reaction (such as the reaction 3), let us assume that there exists a transition state which controls the reaction rate and write the reaction;

$$AX + Y \neq (AXY)^{\neq} \rightarrow AY + X$$
(33)

in which the suffix \neq stands for the transition state. The potential curve of this reaction can be shown as Fig. 7. The isotopic ratio of reaction rate constants can be written,

$$\frac{k}{k'} = \frac{P}{P'} \frac{\left(Q/Q'\right)_{\neq}}{\left(Q/Q'\right)_{\chi}}$$
(34)

in which *P* stands for the transmission coefficient. This is a correction coefficient in the transition state theory, which corrects the one-dimensional model. The partition function in Eq. 34 includes the electronic partition function as well as that for the equilibrium system. As shown in Fig. 7, δE° causes an isotope effect, in which the nuclear field shift effect exists and is predominant for heavy elements. If the magnitude of mass-independent isotope fractionation found in a kinetic system is comparable with that of the equilibrium system, and if its mass-independent property is similar to the $\delta < r^2 >$ trend, then it might be affected by the nuclear field shift. If a reaction is brought to its end before equilibrium, the isotope fractionation may be affected by the kinetic isotope effect which then would also include the nuclear field shift.

The nuclear spin effect in radical reactions is known as the kinetic isotope 480 481 effect (Lawler and Evans, 1971; Buchachenko, 1977) and is referred to as the "magnetic isotope effect." It reflects a magnetic field effect on the spin of excited molecules or 482 483 radical pairs, e.g., photolysis of dibenzyl ketone. The rates of reaction between radical 484 pairs are changed, not by the difference in isotopic mass, but by the hyperfine interaction between electronic and nuclear spins. The strongest magnetic isotope effects 485 are expected for light elements like C and O, but the magnitude decreases with the 486 atomic number. This is because the spin-orbit interaction of heavier atoms, which 487 enhances the spin conversion of radical pairs, is insensitive to external magnetic field. 488 489 Nonetheless, magnetic isotope effects have also been described for heavier elements, *i.e.*, S (Step et al., 1992), Ge (Wakasa et al., 1993, 1998), Hg (Bergquist and Blum, 490 2007), and U (Rykov, 1992; Buchachenko, 1995). Since radical reactions are often the 491 hallmark of irreversible reactions, the magnetic isotope effect is different from the 492 493 nuclear spin effect in equilibrium systems. If an odd/even isotope effect is found in an equilibrium system with a radical reaction, the possibility of a magnetic isotope effect 494 495 nevertheless should be evaluated. The detailed mechanism of the magnetic isotope effects in radical reactions have been reviewed elsewhere (Turro, 1983; Khudyakov et 496 al., 1993; Buchachenko, 1995, 2000, 2009; Salikhov, 1996). 497

498

24

499 6. Mass-independent isotope fractionations found in laboratory-scale chemical 500 exchange experiments

In this section, we summarize measured mass-independent isotope fractionations 501 element by element. We focus on the first evaluation method, described in section 4.5 502 and involving a plot of fractionation factors vs $\delta < r^2 >$. For this reason, we focus on 503 elements which have four or more isotopes. We assume that the isotope exchange 504 reactions in ligand exchange systems and redox systems are equilibrium processes, 505 neglecting kinetic effects (the isotopic equilibrium has been demonstrated in pioneering 506 studies, Jepson and Cairns, 1979; Nishizawa et al., 1984). Mass-independent isotope 507 508 fractionations found in laboratory-scale chemical exchange experiments are listed in Table 1. Relevant nuclear data can be found in reviews by Aufmuth et al. (1987) King 509 (1984), and Fricke and Heilig (2004). 510

511

512 6.1. Sulfur

Sulfur is the lightest of the elements which have four naturally occurring isotopes (³²S, 513 ³³S, ³⁴S, and ³⁶S). Mass-independent isotope fractionation of S has been studied in both 514 terrestrial and extra terrestrial samples (Farguhar et al., 2000, Thiemens, 2006). 515 Photochemical reactions as an origin of the mass-independent isotope fractionation have 516 been discussed. Mass-independent isotope fractionation of ³³S has also been found 517 (Watanabe et al., 2007, 2009) in recent laboratory experiments (a kinetic redox reaction). 518 519 On the other hand, mass-independent isotope fractionations have not yet been found in equilibrium chemical exchange systems. Because S is a moderately light element, the 520 521 contribution of the nuclear field shift effect may be much smaller than the mass effect 522 (Rai and Thiemens, 2007). According to quantum chemical calculations (Schauble,

523 2007), the nuclear field shift effect of S has been estimated to be ~0.02‰ for an even 524 atomic mass isotope pair, ${}^{32}S{-}{}^{36}S$. Unfortunately, $\langle r^2 \rangle$ is not yet known for ${}^{33}S$ (Fricke 525 and Heilig, 2004) (Fig. 5a). A possibility of the odd-even staggering in S isotope 526 fractionation still remains, but it may be very small (Schauble, 2007).

527

528 *6.2. Calcium*

Calcium is the lightest element known to have very clear mass-independent features in its nuclear charge radii. ⁴⁰Ca (the most abundant) and ⁴⁸Ca are doubly magic, *i.e.*, they have full shells of both neutrons and protons (Fig. 5b). Therefore, the $\langle r^2 \rangle$'s of these two isotopes are the smallest of all stable Ca isotopes. Calcium may therefore be one of the best choices to investigate the nuclear field shift effect in very light elements.

Though there are several reports on chemical isotope fractionation of Ca (for 534 example, see a review by Heumann, 1985), most of the data concern two isotopes only 535 (⁴⁰Ca and ⁴⁴Ca). Other early reports deal with three isotopes (⁴⁰Ca, ⁴⁴Ca, and ⁴⁸Ca) 536 (Heumann and Schiefer, 1980,1982; Jepson and Shockey, 1984), but the results show 537 the typical mass-dependent pattern. In a three isotope system, a mass-independent 538 539 isotope fractionation can be seen in some samples (e.g., sample numbers 18 to 25, Heumann et al., 1982). Because only three isotopes were analyzed, the nuclear field 540 shift effect cannot be unambiguously established. 541

Fujii et al. (1985) and Oi et al. (1993) have studied Ca isotope fractionations with five isotopes (⁴⁰Ca, ⁴²Ca, ⁴³Ca, ⁴⁴Ca, and ⁴⁸Ca). Though the results were discussed in the context of mass-dependent fractionation, the isotope enrichment factors show some mass-independent isotope effects. In addition to odd-even staggering (Fig. 8), a mass-independent fractionation pattern is observed at even atomic masses. We reanalyzed these data by employing Eq. 31 (Fig. 8). Our calculations reproduce the observed results well. Even though the precision of the experimental data makes the discussion of mass-independent isotope fractionation tentative, the nuclear field shift effect accounts well for the apparent mass-independent component.

551

552 *6.3. Titanium*

Titanium has five naturally occurring isotopes (46 Ti, 47 Ti, 48 Ti, 49 Ti, and 50 Ti). The $< r^2 >$'s 553 of Ti show significant odd-even staggering. Ti isotopes of Ti(III) or Ti(IV) were 554 555 fractionated by a liquid-liquid extraction system using a crown ether (Fujii et al., 1998a) 556 (Fig. 9). A difference of isotope fractionation factors between Ti(III) and Ti(VI) systems may be due to the lack of 3d electrons of Ti(IV), while Ti(III) possesses a single 3d557 electron. Isotope enrichment factors show odd-even staggering (Fig 5c). The pattern of 558 mass-independent isotope fractionation is largely consistent with the nuclear field shift. 559 Isotope effects have also been found for odd atomic mass isotopes (⁴⁷Ti and ⁴⁹Ti). In 560 addition to nuclear spin effects, the degeneracy of the hyperfine structure of the 561 electronic partition function was considered by the authors. 562

563

564 6.4. Chromium

565 Chromium has four stable isotopes (50 Cr, 52 Cr, 53 Cr, and 54 Cr). 52 Cr has a closed nuclear 566 shell with 28 neutrons, which makes the nucleus of 52 Cr very compact and stable. The 567 < $\langle r^2 \rangle$ pattern for Cr isotopes is shown in Fig. 5d. If the mass effect is minimized by 568 changing experimental conditions, the isotope enrichment factor should show same 569 trend.

570

Isotope fractionation was first investigated by solvent extraction using a crown

ether (Kawashiro et al., 1998). Observed isotope enrichment factors follow the nuclear 571 charge radii. Subsequent studies explored different experimental and analytical 572 conditions (Fujii et al., 2002). MC-TIMS techniques employing a total evaporation 573 method seem to be able to minimize instrumental bias (Fujii et al., 2006a). Fujii et al. 574 (2007, 2008a) also used MC-ICP-MS, which has been shown yield reliable, 575 high-precision Cr isotope compositions (Yin et al., 2007; Moynier et al., 2007a), but a 576 reliable estimate of ⁵⁴Cr fractionation was not provided. Isotope enrichment factors are 577 not strictly mass-dependent and are strongly suggestive of a substantial nuclear field 578 579 shift effect. Fujii et al. (2008b) repeated extractions, and calculated the isotopic mass 580 balance between the two phases to strengthen the evidence of mass-independent isotope 581 effects (Fig. 10).

Isotope fractionation of Cr was also investigated at high temperatures (723~1023K) by contacting a molten salt (CrCl₃) and a liquid metal, a redox couple Cr⁰-Cr(III). The magnitude of isotope fractionation in this experiment was under the detection limit of MC-TIMS (Fujii et al, 2006a), but could be identified by MC-ICP-MS (Fujii et al., 2007). The mass-independent isotope fractionation associated with this high temperature redox reaction is consistent with a nuclear field shift effect. This would be correlated with the presence or absence of a 4*s* electron in the redox system.

589

590 6.5 Iron

There is no evidence of the mass-independent isotope fractionation of Fe (54 Fe, 56 Fe, ⁵⁷Fe, and 58 Fe) (see a review by Dauphas and Rouxel, 2006). A simple explanation is that $\langle r^2 \rangle$ is nearly linearly with mass (Fricke and Heilig, 2004) (see Fig. 5e). Even with a high precision analysis (Fujii et al., 2006b), the method based on Eq. 31 cannot identify any mass-independent isotope effects (Fig. 11). The method based on Eq. 32
might be more suitable for Fe isotopes, but the relevant data are still missing.

597

598 6.6. Nickel

Nickel has five naturally occurring isotopes (58 Ni, 60 Ni, 61 Ni, 62 Ni, and 64 Ni). The $< r^2 >$'s of Ni also show only subtle odd-even staggering (Fig. 5f). Ni isotopes were fractionated by a liquid-liquid extraction system by Nishizawa et al. (1997). Isotope enrichment factors show an odd-even staggering trend, but the experimental errors are quite large. These experiments should be re-examined in view of the recent high precision Ni isotopic data acquired by MC-ICP-MS (Dauphas et al., 2008; Moynier et al., 2007b, Quitté et al., 2007; Bizzarro et al. 2007; Regelous et al., 2008).

606

607 6.7. Zinc

Zinc has five naturally occurring isotopes (⁶⁴Zn, ⁶⁶Zn, ⁶⁷Zn, ⁶⁸Zn, and ⁷⁰Zn). Their 608 charge radii show a clear odd-even staggering (Fig. 5g). Mass-independent isotope 609 fractionation was first observed for ⁶⁷Zn in a chemical exchange reaction using crown 610 611 ether (Nishizawa et al., 1993). These early isotopic analyses were performed on an ICP quadrupole mass spectrometer at rather low precision, but were later confirmed on 612 TIMS by Nishizawa et al. (1996, 1998a). The most recent investigations used 613 MC-ICP-MS (Nishizawa et al, 1998b; Fujii et al., 2001a). Because of an isotopic 614 interference of the ⁶⁴Ni⁺ beam, presumably emitted by the sampler and skimmer cones 615 of the mass spectrometer, ⁶⁴Zn is neglected in these studies. A clear odd-even staggering 616 was observed (Fig. 12). 617

618

Chromatographic experiments have also been run on crown ether resins (Ban et

al., 2002; Zhang et al., 2006; Fukuda et al., 2006). The isotope enrichment factors are
mass-dependent within experimental errors, but odd-even staggering is permitted by the
results (0.3-0.6‰ deviation from the mass-dependent line, Zhang et al., 2006).

622

623 *6.8. Strontium*

Strontium has four naturally occurring isotopes (⁸⁴Sr, ⁸⁶Sr, ⁸⁷Sr, and ⁸⁸Sr). Strontium 624 isotope fractionation by chemical exchange reactions has been investigated by various 625 research groups (Oi, et al., 1992; Nishizawa et al., 1994; 1995; Ban, et al., 2001; 626 Shibahara et al., 2002b,c, 2003, 2006). On cation-exchange resins, the isotope 627 628 separation factor per unit mass difference seems to be extremely small, ~1.000001 (Oi 629 et al., 1992). Chromatographic systems in which macrocyclic compounds (crown ether and cryptand) are employed as the stationary phase improve the isotope separation 630 factor by 100 times or more (Ban, et al., 2001; Shibahara et al., 2002b, 2003, 2006). 631 Solvent extraction systems using a macrocyclic compound as an extractant also give 632 large isotope separation factors (Nishizawa et al., 1994; 1995; Shibahara et al., 2002c). 633

634 Nishizawa et al. (1995) observed odd/even isotope staggering and a correlation 635 between ε and $\langle r^2 \rangle$ (Fig. 13). The correlation is consistent with a nuclear field shift 636 effect. A subsequent investigation (Shibahara et al., 2002c) supported it.

The mass-independent fractionation of Sr isotopes has also been examined by liquid chromatography with a cryptand stationary phase (Shibahara et al., 2002b, 2003, 2006). Isotopic abundances were analyzed by ICP-QMS, which makes the discussion of mass-independent isotope fractionation less certain. Ban et al. (2001) reported mass-dependent isotope fractionation of Sr by liquid chromatography with a crown ether as the stationary phase. A hint of mass-independent fractionation, however, can be 643 found in a sample (for example, sample D23).

644

645 *6.9. Zirconium*

Though mass-independent fractionations have been found in a liquid-liquid extraction system (Fujii et al., 1998b), their profile did not show a simple dependence on $\langle r^2 \rangle$ (Fig. 5i).

649

650 *6.10. Molybdenum*

Molybdenum has seven naturally occurring isotopes, five even (⁹²Mo, ⁹⁴Mo, ⁹⁶Mo, ⁹⁸Mo, 651 and ¹⁰⁰Mo) and two odd (⁹⁵Mo and ⁹⁷Mo). Molybdenum isotopes were fractionated by 652 liquid-liquid extraction (Fujii et al, 2006c). The aqueous phase was Mo(VI) in HCl and 653 the organic phase was a dichloroethane solution containing a crown ether. Isotopic 654 analyses were performed by MC-ICP-MS with a precision of <100 ppm. Odd-even 655 staggering correlated with $\langle r^2 \rangle$ (Fig. 5j) was observed. Mass-independent isotope 656 fractionation was most conclusive in 5.4 M HCl (Fig. 14). The contributions of the 657 nuclear field shift effect and the mass effect were evaluated with Eq. 31. Figure 15a 658 659 shows the dependence of these contributions on HCl concentration. Mass-dependent effects are predominant in concentrated acids, whereas the nuclear field shift effect was 660 most visible at HCl molalities < 6.5M. 661

662

663 *6.11. Ruthenium*

Ruthenium has seven naturally occurring isotopes, five even (96 Ru, 98 Ru, 100 Ru, 102 Ru, and 104 Ru) and two odd (99 Ru and 101 Ru). Ruthenium isotopes were fractionated under conditions similar to those described for Mo (Fujii et al., 2006c). Odd-even staggering 667 correlated with $\langle r^2 \rangle$ (Fig. 5k) can again be observed. ⁹⁶Ru and ⁹⁸Ru are neglected of the 668 discussion because of their small abundances. Mass-independent isotope fractionation 669 was found at high HCl molarity (Fig. 16). As with Mo, the relative contributions of the 670 nuclear field shift and mass effects (Fig. 15b) depend on HCl concentration.

671

672 *6.12. Tellurium*

Tellurium has eight naturally occurring isotopes, six even (120 Te, 122 Te, 124 Te, 126 Te, 128 Te, and 130 Te) and two odd (123 Te and 125 Te). Tellurium isotopes were fractionated under the same condition as Mo and Ru (Moynier et al, 2008). Odd-even staggering was observed to correlate with $< r^2 >$ (Fig. 51). This suggests that fractionation of the odd atomic mass isotope 125 Te deviates from the mass-dependent trend defined by the even atomic isotopes (Fig. 17), and therefore should not be used for normalization (Fehr et al., 2006).

680

681 *6.13. Barium*

Barium has seven naturally occurring isotopes, five even (¹³⁰Ba, ¹³²Ba, ¹³⁴Ba, ¹³⁶Ba, and 682 ¹³⁸Ba) and two odd (¹³⁵Ba and ¹³⁷Ba). ¹³⁰Ba and ¹³²Ba are less abundant isotopes. $< r^2 >$ 683 values of Ba isotopes show prominent odd-even staggering (Fig. 18a is reproduced from 684 Fig. 5m). There have been several studies of isotope fractionation of Ba in chemical 685 exchange reactions. These data (Nishizawa et al., 1994; Kondoh et al., 1996; Chang et 686 al., 1996; Shibahara et al., 2002a; Ban, 2002) are shown in Figs. 18b-f. In each system, 687 odd atomic mass isotopes are less fractionated than adjacent even atomic mass isotopes, 688 consistent with a nuclear field shift effect. 689

690

691 *6.14. Lanthanides (cerium, neodymium, samarium, gadolinium, and ytterbium)*

Cerium has no stable odd atomic mass isotopes (¹³⁶Ce, ¹³⁸Ce, ¹⁴⁰Ce, and ¹⁴²Ce). Thus 692 odd-even staggering cannot be documented. ¹⁴⁰Ce possesses a magic number of neutron, 693 82. The variation of $\langle r^2 \rangle$ with isotopic mass is shown in Fig. 5n. Zhang et al. (2005) 694 reported an unusual fractionation of Ce isotopes on a cation-exchange column (Fig. 19), 695 but admitted uncertainty about possible Ba isobaric interferences at masses 136 and 138. 696 Ce is a heavy element, the $\langle r^2 \rangle$ of the four isotopes varies and their nuclear spins are all 697 698 zero. Hence, Ce is an ideal candidate to investigate the nuclear field shift effect. Achieving high precision for isotopic analysis is hampered by the small abundance of 699 ¹³⁶Ce and ¹³⁸Ce, and the potential isobaric interferences of ¹³⁶Ba and ¹³⁸Ba (and ¹³⁸La). 700

A strong increase of isotope shifts (Brix and Kopfermann, 1958) and $\langle r^2 \rangle$ 701 (Kuhn, 1969) has been pointed out starting around neutron number 90 (Figs. 5o-q). 702 Isotope pairs with neutrons 88 and 90 include ¹⁴⁸Nd-¹⁵⁰Nd, ¹⁵⁰Sm-¹⁵²Sm, and 703 ¹⁵²Gd-¹⁵⁴Gd. For example, it has long been known that the three even atomic mass 704 isotopes ¹⁵⁰Sm, ¹⁵²Sm, and ¹⁵⁴Sm do not give equidistant lines in atomic spectra (Schüler, 705 and Schmidt, 1934; Herzberg, 1944) and this gave rise to the suggestion that the nuclear 706 707 shape needed to be taken into account to explain isotopic shifts (Brix and Kopfermann, 1949). Nuclear deformation around neutron number 90 is discussed in the literature 708 (Stacy, 1966; Kurn, 1969; King, 1984). In a series of separation studies of Nd, Sm, and 709 Gd isotopes using iquid-liquid extraction (Fujii et al., 1998c, 1999, 2000), isotope 710 711 fractionation has been found to be strongly mass-independent for these isotope pairs (Fig. 20a and Fig. 21a). This effect was only tested for even atomic mass isotopes 712 whose nuclear spins are zero, and hence, the finding strengthens confidence in the 713 importance of the nuclear field shift effect. 714

The separation of Sm isotopes has also been investigated by equilibrating amalgam with aqueous solutions (Dembiński et al., 1998, 2001). Fractionation patterns are consistent with nuclear field shift effects. An odd-even staggering of Sm isotope fractionation was also found in crown ether systems (Fig. 20a) (Fujii et al., 1998c). In contrast, odd-even staggering cannot be identified in the amalgam experiments of Dembiński et al. (2001) (Fig. 20b). However, ɛ's of even atomic mass isotopes (¹⁴⁸Sm, ¹⁵⁰Sm, ¹⁵²Sm, and ¹⁵⁴Sm) do not follow a mass-dependent line (Fig 20b).

Odd-even staggering in Yb isotope fractionation was observed in the experiments of Dembiński (1998, 2001). The odd-even staggering was also reported previously by Chen et al. (1992) for ¹⁵⁷Gd and later by Ismail et al. (2000) for ¹⁵⁵Gd and ¹⁵⁷Gd (Fig. 21b) who attributed the staggering to nuclear field shift effects.

726

727 6.15. Hafnium

The separation of Hf isotopes has been investigated in liquid-liquid extraction systems with various extractants (Fujii et al., 2001b). No isotope fractionation was observed among even isotopes (178 Hf and 180 Hf), but an effect was observed for the isotopes 177 Hf and 179 Hf. It was suggested by the authors that the effect may result from the difference of nuclear spins, *I*=7/2 for 177 Hf and 9/2 for 179 Hf.

733

734 6.16. Actinides (Uranium)

The history of the uranium isotope separation by chemical exchange has been reviewed elsewhere (Bigeleisen, 1992, 2006; Ishida and Fujii, 2006). The nuclear field shift effect was found in the unusual isotope enrichment of ²³⁵U in a redox system (Fujii et al., 1989a,b). Their results are shown in Fig. 22 (for comparison, $< r^2 >$ profile is shown in

Fig. 5t). This finding prompted an update of the classic theory (Urey, 1947; Bigeleisen 739 and Mayer, 1947) by Bigeleisen (1996a) and Nomura et al. (1996). The relative 740 importance of quadrupole terms in the nuclear field shift of these isotopes was found by 741 Knyazev (1999, 2001) to be as large as 20 percent of the mean effect of nuclear size and 742 743 shape. The contribution of Bigleisen's (1996) theory to the uranium isotope separation was reviewed by Mioduski (1999). In the most recent studies on ab initio molecular 744 orbital calculation (Abe at al., 2008a,b), the nuclear field shift effect found by Fujii et al. 745 746 (1989a,b) was reproduced.

747

In the latest studies, the nuclear field shift effects of Cd (Fujii et al., 2009) and Sn
(Moynier et al., 2009) have been found.

750

751 **7. Summary**

Over a decade has passed since Bigeleisen formulated his theory of the nuclear field 752 shift effect. With the advent of very precise mass spectrometers and the development of 753 754 computer simulations, experimental evidence of the ubiquity of the nuclear field shift is 755 increasing while at the same time the theoretical understanding of this process is becoming stronger. The nuclear field shift effect is a suitable explanation of 756 mass-independent isotope fractionation in many equilibrium systems. By further 757 758 exploring the concepts laid out by Bigeleisen and their consequences, a better understanding of mass-independent isotope fractionation should be achieved. 759

760

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35

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 Technol. 43, 415-418.
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	Reaction	Method	Isotopic analysis	Reference
Ca	Ligand exchange	Liquid chromatography	TIMS	Heumann et al., 1982
	Ligand exchange	Liquid membrane	TIMS	Fujii et al., 1985
	Ligand exchange	Liquid chromatography	TIMS	Oi et al., 1993
Ti	Ligand exchange	Solvent extraction	MC-TIMS	Fujii et al., 1998a
Cr	Ligand exchange	Solvent extraction	TIMS	Kawashiro et al., 1998
	Ligand exchange	Solvent extraction	MC-TIMS	Fujii et al., 2002
	Ligand exchange	Solvent extraction	MC-ICP-MS	Fujii et al., 2007
	Redox	Pyrometallurgical extraction	MC-ICP-MS	Fujii et al., 2007
	Ligand exchange	Solvent extraction	MC-ICP-MS	Fujii et al., 2008a
	Ligand exchange	Repeated extraction	MC-TIMS	Fujii et al., 2008b
Ni	Ligand exchange	Solvent extraction	TIMS	Nishizawa et al., 1997
Zn	Ligand exchange	Solvent extraction	ICP-QMS	Nishizawa et al., 1993
	Ligand exchange	Solvent extraction	TIMS	Nishizawa et al., 1996
	Ligand exchange	Liquid membrane	TIMS	Nishizawa et al., 1998a
	Ligand exchange	Liquid chromatography	MC-ICP-MS	Nishizawa et al., 1998b
	Ligand exchange	Liquid chromatography	MC-ICP-MS	Fujii et al., 2001a
	Ligand exchange	Liquid chromatography	ICP-QMS	Zhang et al., 2006
Sr	Ligand exchange	Solvent extraction	TIMS	Nishizawa et al., 1994
	Ligand exchange	Solvent extraction	TIMS	Nishizawa et al., 1995
	Ligand exchange	Liquid chromatography	TIMS	Ban et al., 2001
	Ligand exchange	Liquid chromatography	ICP-QMS	Shibahara et al., 2002b
	Ligand exchange	Solvent extraction	TIMS	Shibahara et al., 2002c
	Ligand exchange	Liquid chromatography	ICP-QMS	Shibahara et al., 2003
	Ligand exchange	Liquid chromatography	ICP-QMS	Shibahara et al., 2006
Mo	Ligand exchange	Solvent extraction	MC-ICP-MS	Fujii et al., 2006c
Ru	Ligand exchange	Solvent extraction	MC-ICP-MS	Fujii et al., 2006c
Те	Ligand exchange	Solvent extraction	MC-ICP-MS	Moynier et al., 2008
Ва	Ligand exchange	Solvent extraction	TIMS	Nishizawa et al., 1994
	Ligand exchange	Liquid chromatography	TIMS	Kondoh et al., 1996
	Redox	Electrolytic reduction	TIMS	Chang et al, 1996
	Ligand exchange	Solvent extraction	TIMS	Shibahara et al., 2002a
	Ligand exchange	Liquid chromatography	TIMS	Ban., 2002
Nd	Ligand exchange	Solvent extraction	MC-TIMS	Fujii et al., 2000

1077Table 1 Mass-independent isotope fractionations found in laboratory-scale chemical exchange1078experiments which suggest the nuclear field shift effect.

Sm	Ligand exchange	Solvent extraction	MC-TIMS	Fujii et al., 1998c
	Redox	Electrolytic reduction	MC-TIMS	Dembiński et al, 2001
Gd	Ligand exchange	Liquid chromatography	TIMS	Chen et al., 1992
	Ligand exchange	Solvent extraction	MC-TIMS	Fujii et al., 1999
	Ligand exchange	Liquid chromatography	TIMS	Ismail et al., 2000
Yb	Redox	Reduction extraction	MC-TIMS	Dembiński et al, 1998
	Redox	Reduction extraction	MC-TIMS	Dembiński et al, 2001
U	Redox	Liquid chromatography	TIMS	Fujii et al., 1989a,b

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TIMS: Thermal ionization mass spectrometry ICP-QMS: Inductively coupled plasma quadrupole mass spectrometry MC-TIMS: Multicollector thermal ionization mass spectrometry MC-ICP-MS: Multicollector inductively coupled plasma mass spectrometry

1084 Figure captions

1085 Figure 1 Vibrational potential curves of isotopologues.

1086 Figure 2 Electrostatic potentials of nuclei. (a) The Coulomb potential for a point nucleus.

1087 (b) The electrostatic potential for a nucleus of the same charge with a finite size of 1088 charge distribution. (c) The electrostatic potential for another nucleus with the same

1089 charge but a larger size of charge distribution.

Figure 3 Hyperfine splitting levels of a ²³⁵U(III) complex. The ground state energy is set
to be zero (see Table 2 in (Bigeleisen, 1996a)).

Figure 4 Applicability of the Bigleiesen's (1996) theory to experimental results at a constant temperature. The nuclear spin of the even isotopes is set to be zero, while odd isotopes have non-zero spin.

- Figure 5 Changes in mean-square nuclear charge radii. Data and errors are from (Aufmuth, 1987) unless otherwise indicated. Isotopic differences are relative to the most abundant isotope. a) S (Angeli, 2004), b) Ca, c) Ti (Fricke and Heilig, 2004), d) Cr, e) Fe, f) Ni. g) Zn (only relative values of $\delta < r^{2} >$ are available (Aufmuth, 1987)), h) Sr, i) Zr, j) Mo, k) Ru, l) Te (Fricke and Heilig, 2004), m) Ba, n) Ce, o) Nd, p) Sm, q) Gd, r) Yb, s) Hf, t) U.
- Figure 6 Temperature dependence of isotope fractionation predicted by Bigleiesen's(1996) theory at various temperatures.
- Figure 7 Vibrational potential curves of isotopologues (including transition state) in a chemical reaction.
- Figure 8 Isotope enrichment factors of Ca. (a) Isotope fractionation of Ca at a distance *x* compared to that in original solution (cation exchange membrane system, 298 K (Fujii et al., 1985)). (b) Isotope fractionation of Ca between eluent and resin (cation exchange chromatography, 298K (acetate) (Oi et al., 1993)).
- Figure 9 Isotope enrichment factors of Ti. Ti isotopes were fractionated by using a solvent extraction technique with a crown ether, (organic solution)/(HCl solution) (Fujii et al., 1998a). Errors are 2σ analytical errors. a) Ti(III), b) Ti(IV).
- Figure 10 Isotope enrichment factors of Cr. Cr isotopes were fractionated by using a solvent extraction technique with a crown ether, (organic solution)/(HCl solution).
- 1114 Mass-dependent lines (dotted lines) were drawn for an isotope pair, 50 Cr- 52 Cr. Errors are

1115 2σ analytical errors. a) isotope fractionation of Cr in organic phase compared to that in 1116 an original solution (Fujii et al., 2007). The isotope enrichment factor for ⁵⁴Cr was an 1117 estimated value (Fujii et al., 2007, 2008a). b) isotope fractionation of Cr in aqueous 1118 phase compared to that in an original solution (Fujii et al., 2008b).

Figure 11 Isotope enrichment factors of Fe. Fe isotopes were fractionated by using a solvent extraction technique with a crown ether, (organic solution)/(HCl solution). In the extraction reaction, only a very small deviation from the mass-dependent property was observed. The reported $\varepsilon(L)$ data (Fujii et al, 2006b) are plotted showing 2σ analytical errors. A mass-dependent line (dotted line) is shown for the isotope pair, ⁵⁴Fe-⁵⁶Fe. The isotope enrichment factor for ⁵⁸Fe was estimated by using Eq. 31.

Figure 12 Isotope enrichment factors of Zn. Zn isotopes were fractionated by liquid chromatography (Nishizawa et al., 1998b). A cryptand polymer was used as the stationary phase, and the liquid phase was a mixture of water-acetone-hydrochloric acid. Isotope fractionation of Zn between eluent (sample number 12) and resin was shown. The error bars are smaller than the data symbols.

Figure 13 Isotope enrichment factors and changes in mean-square radius in Sr. Open marks showed the result of Nishizawa et al. (1995) (isotope fractionation of Sr between organic phase and aqueous phase). The analytical errors of isotope ratio are reported to be less than 0.1% (1 σ). The $\delta < r^2 >$ values and their errors are reproduced from (Aufmuth et al., 1987).

Figure 14 Isotope enrichment factors of Mo. Mo isotopes were fractionated using a solvent extraction technique with a crown ether, (organic solution)/(HCl solution). Enrichment factors obtained at 5.4 M HCl (Fujii et al. 2006c) are shown. 2σ analytical errors are also shown (detailed error evaluation method can be seen in (Fujii et al. 2006c)).

Figure 15 Dependence of nuclear field shift effect and mass-dependent fractionation of Mo and Ru. Relative contributions of nuclear field shift effect and mass-dependent fractionation to isotope enrichment factors of the isotope pairs ¹⁰⁰Mo-⁹⁵Mo and ¹⁰⁴Ru-⁹⁹Ru were evaluated with Eq. 31 in this article.

Figure 16 Isotope enrichment factors for Ru. Ru isotopes were fractionated using a solvent extraction technique with a crown ether, (organic solution)/(HCl solution). Enrichment factors obtained at 8.2 M HCl (Fujii et al. 2006c) are shown. The error bars are smaller than the data symbols. (details of the error evaluation method are in (Fujii et 1148 al. 2006c)).

Figure 17 Isotope enrichment factors for Te. Te isotopes were fractionated using a solvent extraction technique with a crown ether, (organic solution)/(HCl solution). The enrichment factors obtained at 5.62 M HCl (Moynier et al. 2008) are shown. Errors are 2σ analytical errors. ¹²⁰Te and ¹²³Te are not shown.

- Figure 18 Changes in mean-square charge radius and reported isotope enrichment 1153 factors for Ba. (a) $\delta < r^2 >$: Reproduced from Fig. 5(m). (b) ε values found in liquid-liquid 1154 extraction (Nishizawa et al., 1994). 2σ errors are shown. (c) ϵ values found in cation 1155 exchange chromatography with barium acetate (Kondoh et al., 1996). Analytical errors 1156 1157 are not shown. (d) ε values found in an amalgam/aqueous solution system (Chang et al., 1996). Errors are 2σ . (e) ε values found in a liquid-liquid extraction system (Shibahara 1158 et al., 2002a). Errors are 2σ . (f) ε values found in ligand exchange chromatography with 1159 a crown ether (Run B-1) (Ban, 2002). Errors are 2σ . 1160
- Figure 19 Isotope enrichment factors for Ce in liquid chromatography. Isotope fractionation was measured between Ce(III)-malate and Ce ions on a cation-exchange resin (Zhang et al., 2005).
- Figure 20 Isotope enrichment factors for Sm. a) Sm isotopes were fractionated using solvent extraction with a crown ether, (organic solution)/(HCl solution). Enrichment factors at 1 M HCl (Fujii et al., 1998c) are shown. Errors are 2σ . ¹⁴⁴Sm was not used for discussion. b) Isotope fractionation of Sm in a (Sm(III) acetate/Sm in amalgam) was studied (Dembiński et al., 2001). 2σ analytical errors are shown.
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Figure 21 Isotope enrichment factors for Gd. a) Gd isotopes were fractionated using solvent extraction with a crown ether, (organic solution)/(HCl solution). Enrichment factors obtained at 2 M HCl (Fujii et al., 1999) are shown. Errors are 2σ . ¹⁵⁵Gd and ¹⁵⁷Gd were not used for discussion. b) Gd isotopes were fractionated in liquid chromatography. Isotope fractionation was measured between Gd(III)-EDTA and Gd ions on a cation-exchange resin (Ismail et al., 2000). Errors are 2σ .

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Figure 22 Isotope enrichment factors for U. U isotopes were fractionated in liquid chromatography. Isotope fractionation in an U(IV)-U(VI) reaction was studied ((Fujii et al., 1989a,b)). The error bars are smaller than the data symbols. Isotope enrichment factors are referred in (Bigeleisen, 1996a).











(diagonal nuclear motion correction)






































