# In vivo structural analysis of articular cartilage using diffusion tensor MRI

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# Summary

*In vitro* structure analysis of articular cartilage has recently been performed using diffusion tensor imaging (DTI) based on NMR imaging. We performed intravital imaging of human articular cartilage in vivo. Ultra-high-speed echo planar imaging (EPI) was optimized to provide images both *in vivo* and *in vitro*. While these images were obtained rapidly, and the resolution was lower than NMR, DTI of the structure of the articular cartilage was achieved. The structure was found to be different between images obtained *in vivo* vs. those obtained *in vitro*. The *in vivo* structural condition of the articular cartilage was elucidated.

#### Abstract

Purpose: The articular cartilage is a small tissue with matrix structure of three layers between which the orientation of collagen fiber differs. A diffusion weighted twice-refocused spin echo EPI sequence was optimized for the articular cartilage and the structure of the three layers of human articular cartilage was imaged *in vivo* from diffusion tensor images.

Materials and Methods: The subjects imaged were 5 specimens of swine femur head after removal of the flesh around the knee joint, 5 specimens of swine articular cartilage with flesh present and the knee cartilage of five adult male volunteers. Based on diffusion-weighted images in 6 directions, the mean diffusivity (MD) and the fractional anisotropy (FA) values were calculated.

Results: Diffusion tensor images of the articular cartilage were obtained by sequence optimization. The MD and FA value of the specimens (each of five examples) under different conditions were estimated. Although the articular cartilage is a small tissue, the matrix structure of each layer in the articular cartilage was obtained by SE-EPI sequence with GRAPPA. Swine articular cartilage samples exhibited different MD and FA values of the articular cartilage in the synovial fluid and saline. In human articular cartilage the load of the body weight on the knee had an effect on the FA value of the surface layer of the articular cartilage.

Conclusion: This method can be used to create images of the articular cartilage structure, not only *in vitro* but also *in vivo*. Therefore, it is suggested that this method supports the elucidation of the *in vivo* structure and function of the knee joint and might be applied to clinical practice.

#### Introduction

Diffusion-weighted imaging (DWI) allows for noninvasive measurement of Brownian motion of water molecules *in vivo*, and is different from magnetic resonance imaging (MRI) (T1- and T2-weighted images). Using information of Brownian motion of water molecules, microscopic cellular structure can be imaged[1, 2]. Water molecules *in vivo* are prevented from free diffusion by the cellular membrane and fibers, i.e., the directions that are easy and difficult to diffuse are found and diffusion anisotropy has been studied *in vivo* [3-8]. Diffusion tensor imaging (DTI) is a method of examining and expressing diffusion anisotropy [9-11]. Many researchers have studied DTI in imaging of the central nervous system [12-15].

In this study, the structure of the fibrous structure of the articular cartilage was analyzed using DTI. The articular cartilage contains no blood or lymph vessels or nerves and comprises rough chondrocytes and a rich cartilage matrix. To be specific, it is composed of 60-80% water and 20-40% matrix with a small volume of chondrocytes. Regarding the biological structure, the articular cartilage is a hyaline cartilage composed of three layers, the surface, middle and deep parts, and maintains lubrication by water contained in the matrix and by the different degrees and directions of collagen fiber orientation of each layer. The matrix is composed of collagen and proteoglycan, which is a high-molecular-weight polymer of proteoglycan and amino sugar connected with hyaluronic acid. Proteoglycan retains a large volume of water, consequently [16]. In contrast, collagen is a structural protein that plays a role in maintaining and building up the form of cartilage, functioning as the matrix structure of the articular cartilage. The structure of cartilage

is comprised of three layers, the surface, middle and deep regions [16-20]. The orientation and density of collagen differ between layers. The synovial fluid containing the matrix structure, which is assembled from collagens with different orientation and density, and proteoglycan gel (polymer), which retains a large volume of water, thick hyaluronan and chondroitin sulfate, functions as a shock absorber and lubricant, which are characteristics of the articular cartilage.

The synovial fluid containing the matrix structure that is assembled from collagens with different orientation and density, and proteoglycan gel (polymer) that retains a large volume of water, functions as a shock absorber and lubricant, which are the main characteristics of the articular cartilage. Therefore, in imaging of the articular cartilage, it is important to image the condition of the matrix structure. This structural anisotropy of each layer is an important factor that defines the function of the cartilage. Approaches towards regenerative treatment of the articular cartilage have recently been made for patients with osteoarthritis of the knee[21]. As such, tissues without a cartilage layer structure may be treated, however, it is necessary to diagnose the morphology of the articular cartilage by imaging and assess the structure of each layer. Therefore, estimation of the structural anisotropy, which is deeply involved in the function of the cartilage, is essential for functional evaluation of regenerative treatment for defect(s) of the articular cartilage.

Recent studies have examined diffusion of the articular cartilage using MRI [22-25]. In addition, basic *in vitro* studies on DTI in articular cartilage by nuclear magnetic resonance (NMR) analysis have also been performed [26-29]. We analyzed the structure of the articular cartilage of swine knee joint *in vitro* and that of normal human articular cartilage of the knee joint using

optimized SE-EPI diffusion sequence, ultrafast imaging [6, 30].

#### **Materials and Methods**

#### MR imaging

In an *in vitro* study using the cartilage of an animal knee joint, which was provided from an abbatoir, a resected part of the swine knee joint from approximately 150 mm below to 150 mm above the knee was imaged up to 24 hours after resection. (a) The flesh around the knee joint was removed and five specimens of femur heads alone soaked in saline were imaged. (b) Five specimens of the knee joint surrounded by the intact flesh post-resection were imaged. In a study of the cartilage of human knee joints, (c) five healthy adult male volunteers laid on the MRI bed after standing for about ten minutes and the cartilage of the knee joint was imaged 3 minutes later. The cartilage of knee joint was imaged again after the subjects continued to lie in bed for 60 minutes.

MRI with a homemade four-channel flexarray receiving-coil was conducted using Sonata 1.5T (Siemens, Erlangen, Germany). To avoid artifact due to movement, the DWI sequence was optimized using SE-EPI (spin echo EPI) ultrafast imaging sequence. To reduce distortion of the image due to eddy currents generated by a motion probing gradient (MPG) of the DWI, a diffusion weighted twice-refocused spin echo EPI sequence was used [31].

The width of the articular cartilage was approximately 3-5 mm. Consequently, the acquisition matrix of the data in the direction encoding frequency and phase was increased to obtain a high-resolution image. However, an increase in the acquisition matrix prolongs the echo reading time and enhances image distortion. Therefore, using an integrated parallel imaging (iPAT) method

the echo reading time was shortened and image distortion was reduced [32].

Images were taken using a DWI b factor of 300-1000 sec/mm<sup>2</sup>. In the above range, a sufficient diffusion contrast was obtained in human articular cartilage at 600 sec/mm<sup>2</sup>, therefore, 600 sec/mm<sup>2</sup> was used as the DWI b factor. The sagittal section was imaged under the following conditions: TR: 2200ms, TE: 73ms, Field of view (FOV): 192\*192, Matrix: 192\*19, Averages: 23, Scan time: 6min 48s, MPG: 6 axes, b factor: 600s/mm<sup>2</sup>, Thickness: 5 mm, iPAT: GeneRalized Autocalibrating Partially Parallel Acquisitions (GRAPPA) Acceleration Factor PE (phase encoding) 2 and Reference Lines PE (phase encoding) 25. Herein, the six directions of MPG are (x,y,z) = (1,0,1), (-1,0,1), (0,1,1), (0,-1,1), (1,1,0) and (-1,1,0).

#### Analysis of diffusion tensor imaging (DTI)

Using DW images (b0 image) at b factor of 0 sec/mm<sup>2</sup> and those of six directions in MPG, diffusivity at six directions (apparent diffusion constant: ADC) was estimated. DTI (1) was estimated with the above diffusivity [15].

$$\mathbf{D} = \begin{pmatrix} D_{xx} & D_{xy} & D_{xz} \\ D_{yx} & D_{yy} & D_{yz} \\ D_{zx} & D_{zy} & D_{zz} \end{pmatrix}$$
(1)

Next, DTI (1) was triply diagonalized using Householder transformation. Furthermore, using

the QL(Q: orthogonal Matrix, L : Lower Triangular Matrix) method, eigenvalues ( $\lambda 1$ ,  $\lambda 2$ ,  $\lambda 3$ ) (2) and eigenvectors (*e*1, *e*2, *e*3) (3) were calculated from the Q and L matrices. Eigenvalues ( $\lambda 1$ ,  $\lambda 2$ ,  $\lambda 3$ ) correspond to the diffusion intensity.

$$\mathbf{PDP}^{T} = \begin{pmatrix} \lambda_{1} & 0 & 0 \\ 0 & \lambda_{2} & 0 \\ 0 & 0 & \lambda_{3} \end{pmatrix} \quad \lambda_{1} > \lambda_{2} > \lambda_{3} \qquad (2) \qquad \mathbf{P} = \begin{pmatrix} e_{1}^{T} \\ e_{2}^{T} \\ e_{3}^{T} \end{pmatrix} \qquad (3)$$

The eigenvector e1 corresponding to the eigenvector  $\lambda 1$  represents a vector of the direction in which diffusion is maximum. To be specific, this e1 vector indicates the direction most easily diffusible in the calculated pixels. Using these eigenvalues, the mean diffusivity (MD) (4) was calculated [15].

$$MD = \overline{\lambda} = \frac{\lambda_1 + \lambda_2 + \lambda_3}{3} \tag{4}$$

Using these eigenvalues, fractional anisotropy (FA), a value indicating the degree of water diffusion, was estimated. The equation to calculate the FA is shown below (5) [15].

$$FA = \sqrt{\frac{3}{2}} \sqrt{\frac{(\lambda_1 - \overline{\lambda})^2 + (\lambda_2 - \overline{\lambda})^2 + (\lambda_3 - \overline{\lambda})^2}{\lambda_1^2 + \lambda_2^2 + \lambda_3^2}}$$
(5)

#### Data analysis

The image of the MR data of two kinds  $\{(a), (b)\}$  of swine articular cartilages and two kinds  $\{(c-3), (c-60)\}$  of human articular cartilage was analyzed. (a) 5 swine articular cartilages after removal of flesh, (b) 5 swine articular cartilage with flesh intact, (c) five adult male volunteers, i.e., (c-3) human articular cartilage after 3-minute bed rest, and (c-60) human articular cartilage after 60-minute bed rest. And based on the calculation of (1) - (5), MD and FA values were analyzed by a specific calculation program that was created. For each DWI image, the anterior sagittal section was measured and the MD and FA values between the surface of the articular cartilage and the subchondral bone interface were calculated. The measurement between the surface of the articular cartilage and the MD and FA values between the surface of the articular cartilage and the MD and FA values were calculated. The measurement between the surface of the articular cartilage and the MD and FA values between the surface of the articular cartilage and the MD and FA values between the surface of the articular cartilage and the MD and FA values between the surface of the articular cartilage and the MD and FA values between the surface of the articular cartilage and the MD and FA values were calculated. The measurement between the surface of the articular cartilage and the MD and FA values were calculated using bilinear transformation of 4 vicinal pixels. The measurements were performed manually and the measurement points are shown in Figure 1.

#### Results

Figure.1 presents each of seven DWI images (b factor =0 and b =  $600 \text{ s/ mm}^2$ ) of (a), (b) and (c-3). Each typical MD map and FA maps were shown in Figure 2. The mean MD and FA values of 5 samples at 9 points between the surface and deep layer of the articular cartilage of the front portion of the knee (Measurement point in Figure. 1) of (a), (b) and (c-3) and their standard deviations are shown in Figure 3 and Table 1 and Table 2, respectively. Similarly, the mean MD and FA values of (c-3) and (c-60) and their standard deviations of 5 samples are shown in Figure 4 and Table 1 and Table 2, respectively. In Figures 3 and 4, the vertical axes indicate MD=0.0 as brightness=0 and MD maximum as brightness=255, whereas, the horizontal axes indicate the depth from the surface in proportion to the cartilage surface of 0 and the subchondral bone of 100. Similarly, the vertical axes indicate FA=0.0 as brightness=0 and FA=1.0 as brightness=255, while the horizontal axes indicate the depth from the surface in proportion to the cartilage surface of 0 and the subchondral bone of 100. The data representing 10% of the articular cartilage surface are on the critical pixels and the partial volume effect can occur; therefore, examination within this region was excluded from this study.

In Figure 3 the absolute MD values at (a), (b) and (c-60) differed; however, graphs at these points showed a similar tendency. In the three samples, FA values from the deep to the middle layers were decreased; in contrast, the values were high at (b) and (c-60) from the middle to the surface layers. At the points of (a), (b) and (c-60) of the surface of the articular cartilage, MD values were slightly decreased and FA values were increased in saline, but not at the point of (a). The MD and FA values

of the articular cartilage at the points of (a) and (b) were different between the synovial fluid and saline., In sample (a) of this study, which Q6 was studied using articular cartilage soaked in saline, which is similar to *in vitro* studies using spin echo [26-28], the results were similar to the results of a NMR study by Meder *et al.* [27]. The MD value was the highest at the surface of the articular cartilage and decreased gradually in the middle and deep layers. On the other hand, the FA value was the highest in the deep layer of the articular cartilage and decreased gradually in the middle and decreased gradually in the middle layer and surface. Using DWI sequence ultrafast imaging by parallel imaging, the structure of articular cartilage could be imaged. Although the articular cartilage is a small tissue, it could be imaged by DWI sequence ultrafast imaging technique.

At the points of (c-3) and (c-60) of human articular cartilage, MD values at (c-60) of the surface were lower than those at (c-3) (p<0.05) while FA values were virtually similar (Figure 3). These results show that the load of the body weight on the knee had an effect on the MD value of the articular cartilage, i.e., the extent of diffusion.

In Figure 4 at points (c-3) and (c-60) of human articular cartilage, the MD values at (c-3) were similar to those at (c-60) while the FA values were almost similar in the deep layer but slightly higher in the middle and surface layers. A possible cause of the difference in the FA values between the images on the same section, 3 and 60 minutes after the volunteers laid on the bed from the standing position and their knees were fixed, is that the weighted cartilage of the knee joint was gradually released as time passed.

#### Discussion

The articular cartilage is composed of hyaline cartilage and the width range is approximately 3-5 mm. T2 relaxation time ranged 20-60 ms [33, 34]. The articular cartilage is composed of 60-80% synovial fluid and 20-40% matrix with a small volume of chondrocytes. The synovial fluid consists of mainly thick hyaluronan and chondroitin sulfate.

The articular cartilage of sample (a) was placed in saline while that of sample (b) was placed in the synovial fluid. The reason the MD values at (a) were higher than those at (b) in Figure. 3 is likely because saline is more diffusible than the gelatinous synovial fluid. The FA values at (a) from the deep to the surface layers were monotonically decreased, whereas the FA values at (b) decreased from the deep to middle layers and conversely increased from the middle to surface layers. This result was also caused by differences in the status around the articular cartilage between the synovial fluid and saline. In the synovial fluid, the water component contained both the synovial fluid (gel) and proteoglycan in equilibrium within the articular cartilage, whereas, in saline, the water component flowed in the articular cartilage more than that in the synovial fluid. Consequently, the surface of the articular cartilage was swollen, resulting in decreased FA values. Based on the fact that the difference between MD values at (a) and (b) of the surface was larger than that of the deep region, it is likely that the surface of the articular cartilage was swollen.

Figure. 4 indicates that the weight loaded on the knees was released over time. The differences in FA values from the middle to surface layers depend on the structure of the articular cartilage. Specifically, collagen fibers from the middle to deep layers are oriented parallel to the weight

direction and are virtually unaffected by the weight, on the other hand, in the middle layer, collagen fibers are oriented in an oblique direction to the weight direction and are slightly affected by the weight. Furthermore, in the surface layer, collagen fibers are oriented orthogonally to the weight direction, and are consequently, significantly affected by the weight. Therefore, the structure of collagen fibers in the middle and surface layers, which are easily affected by the weight, became more dense due to the weight, which led to the increased FA values.

Similar results were obtained from a study by de Visser *et al.*, i.e., NMR imaging with weighted articular cartilage samples [29].

As shown in Figure 2, the difference between (a) and (b) is due to the difference between the synovial fluid and saline.

The synovial fluid and the general structure of the articular cartilage functions well to absorb shock. Therefore, further studies should be performed to elucidate the relationship between the structure of the articular cartilage and the synovial fluid.

In the synovial fluid, protons are captured by proteoglycan and motion is limited. On the other hand, in saline, proton motion is not suppressed and protons can easily infiltrate into collagen fibers in the articular cartilage. Consequently, in (a), the MD value was the highest at the surface of articular cartilage and decreased gradually in the middle and deep layers, and the FA value was the highest in the deep layer of articular cartilage and decreased gradually in the middle and decreased gradually in the middle layer and surface. These results suggest that in absorbing shock, the surface of articular cartilage functions most effectively as a shock absorber because water influx and efflux is easiest at the surface, and

thus, the density of the articular structure is easily altered.

In this study, the matrix structure image of each layer in the articular cartilage was obtained *in vivo* by ultrafast imaging using weighted twice-refocused spin echo EPI diffusion, and it was shown that the synovial fluid and the surface of the articular cartilage layer structure played an important role in the knee articulation, which required both motor function and shock-absorbing function. In human imaging, it is necessary to consider the effect of blood flow. The diffusion image exhibited the effects of blood vessel movement and the influence of synovial fluid, and they generated artifacts. At a b factor of approximately 500 sec/mm<sup>2</sup> and less, clear diffusion images were difficult to obtain due to blood vessel movement and the effect of the synovial fluid. By contrast, at a b factor of approximately 900 sec/mm<sup>2</sup> and more, the S/N signal-to-noise ratio

in the image was not suitable. Consequently, the optimal b factor ranged from 600-700 sec/mm<sup>2</sup>. Further establishment of this method in future could be applied to the clinical diagnosis of knee osteoarthritis and cartilage regeneration therapy [35].

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### Figure



Figure 1. Diffusion weighted images by optimized twice refocused spin echo EPI diffusion sequence: (a) swine articular cartilage after removal of the flesh, (b) swine articular cartilage with the flesh intact, and (c-3) human articular cartilage after 3-minute bed rest. Diffusion-weighted images using MPG at six directions at b=0 s/mm<sup>2</sup> (A) and b=600 s/mm<sup>2</sup> (B-G). Six directions of MPG are (x,y,z) = B (1,0,1), C (-1,0,1), D (0,1,1), E (0,-1,1), F (1,1,0) and G(-1,1,0).



Figure 2. Typical MD maps (a), (b) and (c-3) and FA maps are shown in Figure 2.



Figure 3. (A) (c-60): Human articular cartilage after 60 minutes of bed rest. The mean MD values and their standard deviations in the region between the surface and deep layer of the articular cartilage of (a), (b) and (c-60) are shown. The vertical axis indicates MD=0.0 as brightness=0 and MD maximum as brightness=255, on the other hand, the horizontal axis indicates the depth from the surface in proportion to the cartilage surface (0) and the subchondral bone interface (100). The

absolute MD values at (a), (b) and (c-60) differed; however, graphs at these points showed a similar tendency.

(B) The mean FA values and their standard deviations in the region between the surface and deep layer of the articular cartilage of (a), (b) and (c-60) are shown. The vertical axis indicates FA=0.0 as brightness=0 and FA=1.0 as brightness=255, on the other hand, the horizontal axis indicates the depth from the surface in proportion to the cartilage surface (0) and the subchondral bone interface (100). The FA value of (a) was the highest in the deep layer of articular cartilage and decreased gradually in the middle layer and surface. Similar to Figure 3-(A), (b) and (c-60), in contrast to (a), the FA value was higher from the middle to the surface layers of articular cartilage.



Figure.4.

Figure 4. (A) The mean MD values and their standard deviations of (c-3) and (c-60) are shown. The vertical axis indicates MD=0.0 as brightness=0 and MD maximum as brightness=255, on the other hand, the horizontal axis indicates the depth from the surface in proportion to the cartilage surface (0) and the subchondral bone interface (100). At the points of (c-3) and (c-60) of human articular cartilage, the MD values at (c-3) were similar to those at (c-60).

(B) The mean FA values and their standard deviations of (c-3) and (c-60) are shown. The vertical axis indicates FA=0.0 as brightness=0 and FA=1.0 as brightness=255, on the other hand, the horizontal axis indicates the depth from the surface in proportion to the cartilage surface (0) and the subchondral bone interface (100). At the points of (c-3) and (c-60) of human articular cartilage, the FA values were almost similar in the deep layer but slightly higher in the middle and surface

Table 1. The mean MD values of 5 samples at 9 point between the surface and deep layer of the articular cartilage of (a), (b), (c-3) and (c-60) and their standard deviations were shown.

Table.1.										
	Fractional Anisotropy									
Case	Normalized depth (Distance from articular surface)									
	1 0%	20%	30%	40%	50%	60%	70%	80%	90%	
а	0.070±0.020	$0.089 \pm 0.009$	$0.133 \pm 0.016$	0.173±0.016	$0.223 \pm 0.024$	$0.277 \pm 0.035$	$0.326 \pm 0.027$	0.391 ± 0.035	$0.460 \pm 0.049$	
b	$0.315 \pm 0.043$	$0.196 \pm 0.015$	$0.115 \pm 0.021$	$0.109 \pm 0.039$	$0.146 \pm 0.046$	$0.196 \pm 0.073$	$0.263 \pm 0.094$	$0.326 \pm 0.072$	$0.387 \pm 0.068$	
c-3	$0.253 \pm 0.063$	$0.197 \pm 0.045$	$0.147 \pm 0.057$	$0.110 \pm 0.045$	$0.084 \pm 0.026$	$0.127 \pm 0.035$	0.164±0.048	$0.235 \pm 0.052$	$0.309 \pm 0.048$	
c-60	$0.227 \pm 0.045$	0.175±0.023	0.121 ±0.027	0.086±0.023	0.075±0.016	$0.123 \pm 0.030$	0.170±0.054	0.224±0.038	0.310±0.049	

Table.1.

Values are means  $\pm$  SD

Table 2. The mean FA values of 5 samples at 9 point between the surface and deep layer of the articular cartilage of (a), (b), (c-3) and (c-60) and their standard deviations were shown.

Table.2.											
	Mean Diffusivity (10 <sup>-3</sup> mm²/s)										
Case Normalized depth (Distance from articular surface)											
	1 0%	20%	30%	40%	50%	60%	70%	80%	90%		
а	$2.338 \pm 0.281$	$2.120 \pm 0.288$	1.964±0.220	$1.822 \pm 0.162$	$1.637 \pm 0.098$	$1.467 \pm 0.060$	$1.320 \pm 0.063$	1.191±0.060	$1.063 \pm 0.113$		
b	1.485±0.174	1.494±0.146	1.341 ±0.121	$1.249 \pm 0.125$	1.143±0.121	1.090±0.087	$1.056 \pm 0.097$	$0.966 \pm 0.123$	0.871 ±0.115		
c-3	1.722±0.056	$1.837 \pm 0.125$	1.821±0.152	$1.729 \pm 0.099$	$1.635 \pm 0.080$	$1.562 \pm 0.099$	$1.524 \pm 0.116$	$1.477 \pm 0.146$	$1.357 \pm 0.102$		
c-60	1.835±0.119	1.844±0.118	$1.807 \pm 0.128$	1.724±0.146	1.670±0.142	$1.579 \pm 0.177$	$1.518 \pm 0.200$	1.424±0.212	1.297±0.156		
Values are means + SD											