

On p -adic families of Hilbert cusp forms of finite slope

京大理 山上 敦士 (Atsushi Yamagami)
Department of Mathematics, Kyoto University

0. Introduction

Let p be an odd prime number. We fix an algebraic closure $\bar{\mathbb{Q}}$ of the field $\mathbb{Q}$ of rational numbers in the field $\mathbb{C}$ of complex numbers and an embedding $i_p : \mathbb{Q} \hookrightarrow \bar{\mathbb{Q}}_p$, where $\bar{\mathbb{Q}}_p$ is an algebraic closure of the field $\mathbb{Q}_p$ of p -adic numbers. We denote by i_∞ the fixed embedding $\mathbb{Q} \hookrightarrow \mathbb{C}$. Then we take the p -adic completion $\mathbb{C}_p$ of $\bar{\mathbb{Q}}_p$ and fix an isomorphism $\mathbb{C}_p \cong \mathbb{C}$ of fields which is compatible with the embeddings i_p and i_∞ . We denote by ord_p the normalized p -adic valuation in $\mathbb{C}_p$ so that $\text{ord}_p(p) = 1$ and by $|\cdot|$ the absolute value given by ord_p . In this section, we would like to see the author's motivation, which is a story over $\mathbb{Q}$, for working on p -adic families of Hilbert cusp forms of finite slope.

Let N be a positive integer prime to p and $k \geq 2$ an integer. We take a normalized cuspidal Hecke eigenform f of level Np and weight k whose Fourier expansion is given by $f(q) = \sum_{n \geq 1} a_n(f)q^n$ with $a_1(f) = 1$. Then we know that the Fourier coefficient a_n is the $T(n)$ -eigenvalue of f for each $n \geq 1$, where $T(n)$ is the Hecke operator at n . In particular, all $a_n(f)$'s belong to $\bar{\mathbb{Q}}$. We then put $\alpha := \text{ord}_p(i_p(a_p(f)))$ and call it the $T(p)$ -slope of f , which is a non-negative rational number in this case. Then it is known that if f satisfies some technical assumptions, then there exists a family $\{f_{k'}\}_{k' \in \mathcal{K}}$ of normalized cuspidal Hecke eigenforms $f_{k'}$ of weight k' and level Np having fixed $T(p)$ -slope α parametrized by an arithmetic progression $\mathcal{K}$ of radius p^m starting from k with some non-negative integer m . This fact has been proved in the case where $\alpha = 0$, i.e., *ordinary* case, by Hida [8] and [9], and his result has been generalized to the case where α is any non-negative rational number by Coleman [5] and [6].

The author [16, Main Theorem] used such families of finite $T(p)$ -slopes to prove Gouvêa's conjecture in the unobstructed case, which asserts that all deformations of the mod p Galois representation associated with f to complete Noetherian local rings are associated with Katz's generalized p -adic modular forms of tame level N (for the details of this conjecture, see [16]). The author would like to generalize this result to the case over totally real fields.

The author is a JSPS Postdoctoral Fellow in Department of Mathematics, Kyoto University.

Now let us recall Coleman's arguments in [6] to obtain p -adic families $\{f_{k'}\}_{k' \in \mathcal{K}}$ of eigenforms having fixed $T(p)$ -slope α as above. He constructed in [6, Section B4] the Banach module $S^\dagger(N)$ consisting of *families of overconvergent cusp forms* which is specialized to the Banach space $S_k^\dagger(N)$ of *overconvergent cusp forms* of weight k . One of the key points is that the Hecke operator $T(p)$ acts on these spaces *completely continuously*. The space $S_k^{\text{cl}}(Np)$ of classical cusp forms of weight k and level Np is included in $S_k^\dagger(N)$. For any non-negative rational number α , we denote by $S_k^\dagger(N)^\alpha$ (resp. $S_k^{\text{cl}}(Np)^\alpha$) the subspace of $S_k^\dagger(N)$ (resp. $S_k^{\text{cl}}(Np)$) generated by all generalized $T(p)$ -eigenspaces for all $T(p)$ -eigenvalues whose p -adic valuation are α . Coleman [5, Theorem 8.1] proved that if $k > \alpha + 1$, then

$$S_k^\dagger(N)^\alpha = S_k^{\text{cl}}(Np)^\alpha,$$

i.e., the classicality of overconvergent cusp forms of small $T(p)$ -slope, and that if $k \equiv k' \pmod{p^{m(\alpha)}}$ with some non-negative integer $m(\alpha)$ depending on α , then we have

$$\dim_{\mathbb{C}_p} S_k^\dagger(N)^\alpha = \dim_{\mathbb{C}_p} S_{k'}^\dagger(N)^\alpha,$$

i.e., the local constancy of $\dim_{\mathbb{C}_p} S_k^\dagger(N)^\alpha$ with respect to weights k (cf. [6, Theorem B3.4]). Then as an application of these facts, under some technical conditions, he constructed p -adic families $\{f_{k'}\}_{k' \in \mathcal{K}}$ as above by means of the duality theorems between then classical Hecke algebras and the spaces of classical cusp forms and the theory of newforms and oldforms (see [6, Corollary B5.7.1]).

The aim of this article is to generalize Coleman's arguments above to the case over totally real fields. Namely, we shall define in Section 1.1 the spaces $S_{(n,v)}^{\text{cl}}(G; \Gamma_1(N); \mathbb{C}_p)$ of classical Hilbert cusp forms which are interpolated by the Banach module $S(G; \Gamma_1(N))$ of " p -adic Hilbert cusp forms" defined in Section 1.2. Then in Section 2.1 we shall define the Hecke operator $T(\pi)$ which acts on them completely continuously, and prove in Section 2.2 the classicality of p -adic Hilbert cusp forms of small $T(\pi)$ -slope and in Section 2.3 the local constancy of dimensions of submodules having fixed $T(\pi)$ -slope α . The method which we shall use is based on works of Buzzard [3] on "eigenvariety machine," and of Chenevier [4] dealing with automorphic forms on any twisted form of GL_n over $\mathbb{Q}$ which is compact at infinity modulo center.

Acknowledgement. The author is grateful to Professor Morishita for giving him an opportunity to give a talk in the conference "Algebraic Number Theory and Related Topics" at RIMS in Kyoto.

1. Classical and p -adic automorphic forms

In this section, we define spaces of classical automorphic forms and p -adic ones on the algebraic groups defined by the unit groups of totally definite quaternion algebras over totally real fields. In this article, we assume that p is an odd prime number for simplicity, although the case of $p = 2$ can be also done as well.

1.1. Classical automorphic forms

Let F be a totally real field of degree g and O its ring of integers. Let $\mathfrak{p}_1, \dots, \mathfrak{p}_r$ be all prime ideals of F above p . Then the set I of all embeddings $\sigma : F \hookrightarrow \bar{\mathbb{Q}}$ has the partition $I = \bigsqcup_{i=1}^r I_i$, where I_i is the subset of I consisting of embeddings σ such that the completion of $i_p(F^\sigma)$ in $\mathbb{C}_p$ coincides with the $\mathfrak{p}_i^\sigma$ -adic completion $F_{\mathfrak{p}_i^\sigma}^\sigma$ of F^σ .

In this article, we shall formulate “modular forms” as “automorphic forms” on adelic groups on quaternion algebras defined over F . Let B be a totally definite quaternion algebra over F . We fix a maximal order R of B and a finite Galois extension K_0 over $\mathbb{Q}$ containing F for which there is an isomorphism

$$B \otimes_{\mathbb{Q}} K_0 \cong M_2(K_0)^I$$

such that we have $R \otimes_{\mathbb{Z}} O_0 \cong M_2(O_0)^I$, where $M_2(A)$ with some ring A stands for the ring of 2×2 matrices with coefficients in A and $\mathbb{Z}$ and O_0 are the rings of integers in $\mathbb{Q}$ and K_0 , respectively. Then we may assume that for a prime ideal $\mathfrak{l}$ at which B is unramified, this isomorphism induces an isomorphism

$$B \otimes_F F_{\mathfrak{l}} \cong M_2(F_{\mathfrak{l}})$$

such that we have $R \otimes_O O_{\mathfrak{l}} \cong M_2(O_{\mathfrak{l}})$, where $O_{\mathfrak{l}}$ is the $\mathfrak{l}$ -adic completion of O . We fix this isomorphism in this article. Let G be the algebraic group defined over $\mathbb{Q}$ given by

$$G(A) := (B \otimes_{\mathbb{Q}} A)^{\times}$$

for $\mathbb{Q}$ -algebras A . Let $\mathbb{A}$ be the adèle ring of $\mathbb{Q}$ and $\mathbb{A}_f$ its finite part. We denote by K the p -adic completion of $i_p(K_0)$ in $\mathbb{C}_p$ whose ring of integers is denoted by $\mathcal{O}$. For $\gamma \in G(\mathbb{A}_f)$, under the natural identification

$$F \otimes_{\mathbb{Q}} \mathbb{Q}_p = \prod_{i=1}^r F_{\mathfrak{p}_i},$$

we then take the σ -projection $\gamma_{\sigma} \in \mathrm{GL}_2(K)$ of the p -part $\gamma_p = (\gamma_i)_{i=1}^r \in G(\mathbb{Q}_p) = \prod_{i=1}^r (B \otimes_F F_{\mathfrak{p}_i})^{\times}$ of γ as the image in $\mathrm{GL}_2(K)$ of γ_i under the projection σ with the subscript i determined by the condition that $\sigma \in I_i$ for each $\sigma \in I$.

Let N be an integral ideal of F at which B is unramified. We put $\hat{R} := R \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}$, where $\hat{\mathbb{Z}} := \prod_{l:\text{prime}} \mathbb{Z}_l$ with the rings $\mathbb{Z}_l$ of l -adic integers. We then define an open compact subgroup

$$\Gamma_1(N) := \{x \in \hat{R}^\times \text{ with } x_N = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a-1, c, d-1 \in NO_N\}$$

of $\hat{R}^\times$, where x_N is the N -part of x and $O_N := \prod_{l \mid N:\text{prime}} O_l$. By the approximation theorem, there exist $t_1, \dots, t_h \in G(\mathbb{A})$ for some positive integer h such that $(t_i)_N = 1$ and $(t_i)_\infty = 1$ for each $i = 1, \dots, h$ and

$$(1) \quad G(\mathbb{A}) = \bigsqcup_{i=1}^h G(\mathbb{Q})t_i\Gamma_1(N)G(\mathbb{R})_+,$$

where $G(\mathbb{R})_+$ is the connected component of $G(\mathbb{R})$ with the identity. We fix the decomposition (1) in this article and put $\Gamma_i := (t_i^{-1}G(\mathbb{Q})t_i) \cap \Gamma_1(N)G(\mathbb{R})_+$ for each $i = 1, \dots, h$, which is a discrete subgroup of $G(\mathbb{R})_+$ (cf. [10, Section 2]). Since we assume that B is totally definite, we see that the quotient subgroup $\Gamma_i/\Gamma_i \cap (F \otimes_{\mathbb{Q}} \mathbb{R})^\times$ of $G(\mathbb{R})_+/G(\mathbb{R})_+ \cap (F \otimes_{\mathbb{Q}} \mathbb{R})^\times$ is finite for each $i = 1, \dots, h$.

Let $\mathbb{Z}[I]$ be the free $\mathbb{Z}$ -module generated by I . We define an equivalence relation $\sim$ in $\mathbb{Z}[I]$ as follows: for $a, b \in \mathbb{Z}[I]$, $a \sim b$ if and only if $a - b \in \mathbb{Z}t_0$, where $t_0 := \sum_{\sigma \in I} \sigma$. We then put

$$W^{\text{cl}} := \{(n, v) \in \mathbb{Z}[I] \times \mathbb{Z}[I] \mid n + 2v \sim 0, n > 0\},$$

where we mean by $n > 0$ that n is *positive*, i.e., all coefficients n_σ of n are positive integers. We call W^{cl} the set of *classical weights*. For $(n, v) \in W^{\text{cl}}$ and any $\mathcal{O}$ -algebra A , we denote by $L(n, v; A)$ the left $\text{GL}_2(\mathcal{O})^I$ -module consisting of polynomials P of $2g$ -parameters $(X_\sigma, Y_\sigma)_{\sigma \in I}$ with coefficients in A which are homogeneous of degree n_σ for each variable (X_σ, Y_σ) , on which $\gamma = (\gamma_\sigma)_{\sigma \in I} \in \text{GL}_2(\mathcal{O})^I$ acts by

$$(2) \quad \gamma \cdot P := \det(\gamma)^v P(((X_\sigma, Y_\sigma)^t \gamma_\sigma^t)_{\sigma \in I}).$$

Here we define $\det(\gamma)^v := \prod_{\sigma \in I} \det(\gamma_\sigma)^{v_\sigma}$ and for a 2×2 matrix $x = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, we put $x^t := \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$.

Definition 1.1. For $(n, v) \in W^{\text{cl}}$ and an $\mathcal{O}$ -algebra A , we put

$$S_{(n,v)}^{\text{cl}}(G; \Gamma_1(N); A) := \{f : G(\mathbb{Q}) \backslash G(\mathbb{A}_f) \rightarrow L(n, v; A) : \text{function} \mid \\ f(xu) = u^{-1} \cdot f(x) \text{ for } u \in \Gamma_1(N), x \in G(\mathbb{A}_f)\},$$

which we call the space of *classical automorphic forms of level $\Gamma_1(N)$ and weight (n, v) on G (defined over A)*.

Remark 1.1. In the case where we regard $A = \mathbb{C}$ as an $\mathcal{O}$ -algebra via the fixed isomorphism $\mathbb{C}_p \xrightarrow{\sim} \mathbb{C}$ and B is unramified at all finite places of F (hence g must be even by Hasse principle (cf. [15, XIII, Sections 3 and 6])), it is known that $S_{(n,v)}^{\text{cl}}(G; \Gamma_1(N); \mathbb{C})$ are isomorphic to the spaces of classical holomorphic Hilbert cusp forms of weight $(n_\sigma + 2)_{\sigma \in I}$ and level N by a result of Jacquet-Langlands and Shimizu (cf. [10, Theorem 2.1]).

1.2. p -Adic automorphic forms

We fix a classical weight $(n, v) \in W^{\text{cl}}$. Let N be an integral ideal of F which is not prime to p and unramified in B . We now take *arbitrarily* $s(\leq r)$ prime ideals above p which divide N . We may denote them by $\mathfrak{p}_1, \dots, \mathfrak{p}_s$. We then put $I' := \sqcup_{i=1}^s I_i \subset I$ and denote the cardinality of I' by $g'(\leq g)$. We fix a prime element π_i of the $\mathfrak{p}_i$ -adic completion $F_{\mathfrak{p}_i}$ of F at $\mathfrak{p}_i$ for each $i = 1, \dots, s$. We then denote by $\begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix}$ the element of $G(\mathbb{A}_f)$ whose $\mathfrak{p}_i$ -part is the diagonal matrix $\begin{pmatrix} 1 & 0 \\ 0 & \pi_i \end{pmatrix}$ for each $i = 1, \dots, s$ and other parts are trivial. In the following, for an element $\gamma \in \Gamma_1(N)$, we write its σ -projection as

$$\gamma_\sigma = \begin{pmatrix} 1 + \pi_i^\sigma a_\sigma & b_\sigma \\ \pi_i^\sigma c_\sigma & 1 + \pi_i^\sigma d_\sigma \end{pmatrix}$$

with some $a_\sigma, b_\sigma, c_\sigma, d_\sigma \in \mathcal{O}$ for each $\sigma \in I$ with i such that $\sigma \in I_i$. Then we have

$$(3) \quad (X_\sigma, Y_\sigma)^t \gamma_\sigma^{-1} = ((1 + \pi_i^\sigma d_\sigma)X_\sigma - b_\sigma Y_\sigma, Y_\sigma + \pi_i^\sigma (a_\sigma Y_\sigma - c_\sigma X_\sigma))$$

for all $\sigma \in I'$ with i such that $\sigma \in I_i$, and

$$(4) \quad (X_\sigma, Y_\sigma)^t \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix}_\sigma^{-1} = \begin{cases} (\pi_i^\sigma X_\sigma, Y_\sigma) & (\sigma \in I_i \subset I'), \\ (X_\sigma, Y_\sigma) & (\sigma \in I \setminus I'). \end{cases}$$

For any elements $\gamma = \gamma_1 \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \gamma_2$ with $\gamma_1, \gamma_2 \in \Gamma_1(N)$ of the double coset $\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$, using actions (3) and (4), we define a K -endomorphism $[\gamma]_{(n,v)}$ on $L(n, v; K)$ with normalization of the $\det^v$ -part by

$$(5) \quad [\gamma]_{(n,v)} \cdot P := \prod_{\tau \in I \setminus I'} \det(\gamma_\tau)^{v_\tau} \prod_{\sigma \in I'} \det(\gamma_{1\sigma} \gamma_{2\sigma})^{v_\sigma} \\ \times P(((X_\tau, Y_\tau)^t \gamma_\tau^{-1})_{\tau \in I}).$$

Let $K\langle x_\sigma | \sigma \in I' \rangle$ be the strictly convergent power series ring of g' -variables $(x_\sigma)_{\sigma \in I'}$ with coefficients in K , which is the subring of the formal power series ring $K[[x_\sigma | \sigma \in I']]$ consisting of power series $P(x) = \sum_{(i_\sigma)_{\sigma \in I'} \in \mathbb{Z}_{\geq 0}^{I'}} a_{(i_\sigma)_{\sigma \in I'}} \prod_{\sigma \in I'} x_\sigma^{i_\sigma}$ such that $|a_{(i_\sigma)_{\sigma \in I'}}| \rightarrow 0$ as $\sum_{\sigma \in I'} i_\sigma \rightarrow \infty$. This is an orthonormalizable K -Banach algebra with sup norm $|\cdot|$ with respect to coefficients in K (for the notion in the p -adic Banach theory, see [6, Chapter A]). We can take the set $\{\prod_{\sigma \in I'} x_\sigma^{i_\sigma} | i_\sigma \geq 0, \sigma \in I'\}$ as an orthonormal basis of $K\langle x_\sigma | \sigma \in I' \rangle$. We define actions on the variables $(x_\sigma)_{\sigma \in I'}$ of the σ -projections of $\gamma \in \Gamma_1(N)$ and $\begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix}_\sigma$ for $\sigma \in I'$ as follows:

$$(6) \gamma_\sigma \cdot x_\sigma := \frac{-b_\sigma + (1 + \pi_i^\sigma d_\sigma)x_\sigma}{1 + \pi_i^\sigma(a_\sigma - c_\sigma x_\sigma)} \quad \text{and} \quad \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix}_\sigma \cdot x_\sigma := \pi_i^\sigma x_\sigma$$

with i such that $\sigma \in I_i$. Note that the denominator $1 + \pi_i^\sigma(a_\sigma - c_\sigma x_\sigma)$ in the action (6) is a unit in $\mathcal{O}\langle x_\sigma \rangle$. Then by [6, Lemma A1.6], we see that elements in the double coset $\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$ give completely continuous K -endomorphisms on $K\langle x_\sigma | \sigma \in I' \rangle$ whose operator norms are at most 1. Here the operator norm $|L|$ of a continuous endomorphism L on a Banach module M is defined by

$$|L| := \sup_{0 \neq m \in M} \frac{|L(m)|}{|m|}.$$

Now we define a Banach module S over the strictly convergent power series ring $K\langle \xi_\sigma | \sigma \in I' \rangle$ of g' -variables $(\xi_\sigma)_{\sigma \in I'}$ as follows: S is the set of polynomials P of $2(g - g')$ -parameters $(X_\tau, Y_\tau)_{\tau \in I \setminus I'}$ with coefficients in $K\langle \xi_\sigma, x_\sigma | \sigma \in I' \rangle$ which are homogeneous of degree n_τ for each variable (X_τ, Y_τ) . We can take the set

$$\{(\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau}) \prod_{\sigma \in I'} x_\sigma^{m_\sigma} | a_\tau + b_\tau = n_\tau \text{ with } a_\tau, b_\tau \geq 0, m_\sigma \geq 0\}$$

as an orthonormal basis of S over $K\langle \xi_\sigma | \sigma \in I' \rangle$. Let $e(\mathfrak{p}_i)$ be the ramification index of the prime ideal $\mathfrak{p}_i$ in $F/\mathbb{Q}$. In order to define an action of $\Gamma_1(N)$ on S , we assume the condition that

$$(\text{ram}) \quad e(\mathfrak{p}_i) < p - 1 \quad \text{for each } i = 1, \dots, s$$

is satisfied in the following. We see that $j_\sigma(\gamma_\sigma)$ for elements γ of $\Gamma_1(N)$ and $\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$, and $\det(\gamma_\sigma)$ for $\gamma \in \Gamma_1(N)$ are of the form $1 + \pi_i^\sigma a$ with some $a \in \mathcal{O}$ for each $\sigma \in I'$ with i such that $\sigma \in I_i$. Then

we can define their powers with any element s in $\mathbb{C}_p$ (resp. $\mathbb{C}_p\langle\xi_\sigma\rangle$) such that $|s| \leq 1$ by a convergent power series as

$$(7) \quad (1 + \pi_i^\sigma a)^s := 1 + \sum_{k \geq 1} \frac{s(s-1) \cdots (s-k+1)}{k!} (\pi_i^\sigma)^k a^k$$

in $\mathcal{O}_{\mathbb{C}_p}$ (resp. $\mathcal{O}_{\mathbb{C}_p}\langle\xi_\sigma\rangle$) because of the assumption (ram) (cf. [4, Lemme 3.6.1]). Here we denote by $\mathcal{O}_{\mathbb{C}_p}$ the ring of p -adic integers in $\mathbb{C}_p$, i.e., the subring of $\mathbb{C}_p$ consisting of elements s such that $|s| \leq 1$. We then define an action $[\gamma]$ of $\gamma \in \Gamma_1(N)$ on S as

$$(8) \quad [\gamma] \cdot P := \prod_{\tau \in I \setminus I'} \det(\gamma_\tau)^{v_\tau} \left(\prod_{\sigma \in I'} j_\sigma(\gamma_\sigma)^{\xi_\sigma} \det(\gamma_\sigma)^{\frac{\mu(n,v) - \xi_\sigma}{2}} \right) \\ \times P(((X_\tau, Y_\tau)^t \gamma_\tau^\iota)_{\tau \in I \setminus I'}; (\xi_\sigma, \gamma_\sigma \cdot x_\sigma)_{\sigma \in I'}).$$

As for $\gamma = \gamma_1 \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \gamma_2 \in \Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$ with $\gamma_1, \gamma_2 \in \Gamma_1(N)$, we define a $K\langle\xi_\sigma | \sigma \in I'\rangle$ -endomorphism on S as

$$(9) \quad [\gamma] \cdot P := \prod_{\tau \in I \setminus I'} \det(\gamma_\tau)^{v_\tau} \left(\prod_{\sigma \in I'} j_\sigma(\gamma_\sigma)^{\xi_\sigma} \det(\gamma_{1\sigma} \gamma_{2\sigma})^{\frac{\mu(n,v) - \xi_\sigma}{2}} \right) \\ \times P(((X_\tau, Y_\tau)^t \gamma_\tau^\iota)_{\tau \in I \setminus I'}; (\xi_\sigma, \gamma_\sigma \cdot x_\sigma)_{\sigma \in I'}),$$

which is completely continuous with operator norm ≤ 1 .

Definition 1.2. We denote by $\mathcal{W}_{(n,v)}$ the g' -dimensional closed affinoid ball over K of radius 1 around $(n_\sigma)_{\sigma \in I'}$. Then the set $\mathcal{W}_{(n,v)}(\mathbb{C}_p)$ of its $\mathbb{C}_p$ -valued points coincides with $\mathcal{O}_{\mathbb{C}_p}^{I'}$ and $K\langle\xi_\sigma | \sigma \in I'\rangle$ is the affinoid algebra associated to $\mathcal{W}_{(n,v)}$. (For the details of affinoid algebras and affinoid varieties, see [1, Part B and Chapter 7] and [6, Section A5].) We call it the space of the I' -parts of p -adic weights associated to (n, v) . We then associate $(t_\sigma := \frac{\mu(n,v) - s_\sigma}{2})_{\sigma \in I'}$ to any point $(s_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$, and put the p -adic weight (s, t) as

$$s := \sum_{\sigma \in I'} s_\sigma \sigma + \sum_{\tau \in I \setminus I'} n_\tau \tau \quad \text{and} \\ t := \frac{\mu(n,v)t_0 - s}{2} = \sum_{\sigma \in I'} t_\sigma \sigma + \sum_{\tau \in I \setminus I'} v_\tau \tau.$$

Further, we denote by $W_{(n,v)}^{\text{cl}}$ the subset of $\mathcal{W}_{(n,v)}(\mathbb{C}_p)$ consisting of elements $(n'_\sigma)_{\sigma \in I'}$ whose components are positive integers of the same parity as $\mu(n, v)$ for all $\sigma \in I'$. We call it the set of the I' -parts of classical weights associated to (n, v) . For $(n'_\sigma)_{\sigma \in I'} \in W_{(n,v)}^{\text{cl}}$, we put $(v'_\sigma := \frac{\mu(n,v) - n'_\sigma}{2})_{\sigma \in I'}$ and define (n', v') as well as (s, t) . By the definition

of $W_{(n,v)}^{\text{cl}}$, we see that v'_σ are also integers for all $\sigma \in I'$ and that $n' + 2v' = \mu(n, v)t_0$.

For $(s_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$, we denote by $K_{(s,t)}$ the p -adic completion in $\mathbb{C}_p$ of the fraction field of $K\langle \xi_\sigma | \sigma \in I' \rangle / (\xi_\sigma - s_\sigma | \sigma \in I')$. We denote by $S_{(s,t)}$ the specialized orthonormalizable $K_{(s,t)}$ -Banach space $S \otimes_{K\langle \xi_\sigma | \sigma \in I' \rangle} K_{(s,t)}$. Then we denote by $[\gamma]_{(s,t)}$ the specialized $K_{(s,t)}$ -endomorphism $[\gamma] \otimes K_{(s,t)}$ on $S_{(s,t)}$ for elements γ of $\Gamma_1(N)$ and $\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$.

Definition 1.3. (1) Assume the condition (ram). We define the space of p -adic automorphic forms of level $\Gamma_1(N)$ on G (with coefficients in K) as

$$S(G; \Gamma_1(N)) := \{f : G(\mathbb{Q}) \backslash G(\mathbb{A}_f) \rightarrow S : \text{function} | \\ f(xu) = [u^{-1}] \cdot f(x), u \in \Gamma_1(N), x \in G(\mathbb{A}_f)\}.$$

We then have a K -isomorphism

$$(10) \quad S(G; \Gamma_1(N)) \xrightarrow{\sim} \bigoplus_{i=1}^h S^{\Gamma_i}, \quad f \mapsto (f(t_1), \dots, f(t_h)),$$

where $t_1, \dots, t_h \in G(\mathbb{A})$ are the fixed representatives of the decomposition (1). Here each S^{Γ_i} is the submodule of the orthonormalizable $K\langle \xi_\sigma | \sigma \in I' \rangle$ -module S consisting of elements fixed under the action of $\Gamma_i = (t_i^{-1}G(\mathbb{Q})t_i) \cap \Gamma_1(N)G(\mathbb{R})_+$. Since Γ_i acts on S via the finite quotient group $\Gamma_i/\Gamma_i \cap (F \otimes_{\mathbb{Q}} \mathbb{R})^\times$ because of the assumption $n + 2v \sim 0$, we then see that S^{Γ_i} satisfies the property (Pr) of [3, Section 2] for each $i = 1, \dots, h$. We now define a norm in $S(G; \Gamma_1(N))$ via this isomorphism as

$$|f| := \sup_{1 \leq i \leq h} |f(t_i)|.$$

Therefore, $S(G; \Gamma_1(N))$ can be regarded as a $K\langle \xi_\sigma | \sigma \in I' \rangle$ -Banach module with the norm $|\cdot|$ which satisfies the property (Pr) of [3, Section 2].

(2) Let $(s_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$. Assume the condition (ram) in the case where $(s_\sigma)_{\sigma \in I'} \notin W_{(n,v)}^{\text{cl}}$. We define the space of p -adic automorphic forms of weight (s, t) and level $\Gamma_1(N)$ on G (defined over $K_{(s,t)}$) as

$$S_{(s,t)}(G; \Gamma_1(N)) := \{f : G(\mathbb{Q}) \backslash G(\mathbb{A}_f) \rightarrow S_{(s,t)} : \text{function} | \\ f(xu) = [u^{-1}]_{(s,t)} \cdot f(x), u \in \Gamma_1(N), x \in G(\mathbb{A}_f)\}.$$

Then we have an isomorphism

$$(11) \quad S_{(s,t)}(G; \Gamma_1(N)) \xrightarrow{\sim} \bigoplus_{i=1}^h S_{(s,t)}^{\Gamma_i}, \quad f \mapsto (f(t_1), \dots, f(t_h))$$

of $K_{(s,t)}$ -Banach spaces satisfying the property (Pr) of [3, Section 2], where we define a norm in $S_{(s,t)}(G; \Gamma_1(N))$ as

$$|f| := \sup_{1 \leq i \leq h} |f(t_i)|.$$

Putting $x_\sigma = \frac{X_\sigma}{Y_\sigma}$ for each $\sigma \in I'$, we then see easily the following

Lemma 1.1. *For any $(n'_\sigma)_{\sigma \in I'} \in W_{(n,v)}^{\text{cl}}$, we have a natural K -inclusion*

$$\begin{aligned} L(n', v'; K) &\hookrightarrow S_{(n', v')}, \\ P((X_\tau, Y_\tau)_{\tau \in I}) &\mapsto P((X_\tau, Y_\tau)_{\tau \in I \setminus I'}; (x_\sigma, 1)_{\sigma \in I'}) \end{aligned}$$

which is compatible with $[\gamma]_{(n', v')}$ for all γ in $\Gamma_1(N)$ and the double coset $\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$ on these spaces. Thus we have an inclusion

$$S_{(n', v')}^{\text{cl}}(G; \Gamma_1(N); K) \hookrightarrow S_{(n', v')}(G; \Gamma_1(N))$$

of K -Banach spaces satisfying the property (Pr) of [3, Section 2].

2. p -Adic automorphic forms of small $T(\pi)$ -slope

Let the notation be as in Section 1.2. In this section, we shall introduce the Hecke operator $T(\pi)$ on the spaces of p -adic automorphic forms. Then we shall investigate some properties of p -adic automorphic forms having small $T(\pi)$ -slope.

2.1. The Hecke operator $T(\pi)$

In this subsection, we assume the condition (ram), i.e., $e(\mathfrak{p}_i) < p - 1$ for all $i = 1, \dots, s$, unless we deal with the I' -parts of classical weights in $W_{(n,v)}^{\text{cl}}$. In order to define the Hecke operator $T(\pi)$, we decompose the double coset $\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$ in a disjoint union of right cosets as

$$\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N) = \bigsqcup_{i=1}^l \zeta_i \Gamma_1(N).$$

For $f \in S(G; \Gamma_1(N))$ (resp. $S_{(s,t)}(G; \Gamma_1(N))$ for $(s_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$), we put

$$(12) \quad (f|T(\pi))(x) := \sum_{i=1}^l [\zeta_i] \cdot f(x\zeta_i) \quad (\text{resp.} \quad \sum_{i=1}^l [\zeta_i]_{(s,t)} \cdot f(x\zeta_i))$$

for $x \in G(\mathbb{Q}) \backslash G(\mathbb{A}_f)$. Note that this definition is independent of choices of representatives $\{\zeta_i\}$ and $f|T(\pi)$ is also an element of $S(G; \Gamma_1(N))$ (resp. $S_{(s,t)}(G; \Gamma_1(N))$) (cf. [10, Section 2]).

Proposition 2.1. *Assume the condition (ram) unless $(s_\sigma)_{\sigma \in I'} \in W_{(n,v)}^{\text{cl}}$. The Hecke operator $T(\pi)$ is completely continuous on $S(G; \Gamma_1(N))$ and $S_{(s,t)}(G; \Gamma_1(N))$ for any $(s_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$ with operator norm ≤ 1 .*

Proof. We shall prove the proposition for $S(G; \Gamma_1(N))$, because we can prove in the case of $S_{(s,t)}(G; \Gamma_1(N))$ as well. To see the complete continuity of $T(\pi)$, we calculate the action of $T(\pi)$ on $\bigoplus_{j=1}^h S^{\Gamma_j}$ via the isomorphism (10) by means of the decomposition

$$\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N) = \bigsqcup_{i=1}^l \zeta_i \Gamma_1(N).$$

For $f \in S(G; \Gamma_1(N))$, the image of $f|T(\pi)$ under the isomorphism (10) is

$$\begin{aligned} & ((f|T(\pi))(t_1), \dots, (f|T(\pi))(t_h)) \\ &= \sum_{i=1}^l ([\zeta_i] \cdot f(t_1 \zeta_i), \dots, [\zeta_i] \cdot f(t_h \zeta_i)). \end{aligned}$$

We fix $1 \leq i \leq l$. For each $j = 1, \dots, h$, there exist $1 \leq \sigma_i(j) \leq h$ and $u_i(j) \in \Gamma_1(N)$ such that

$$t_j \zeta_i = t_{\sigma_i(j)} u_i(j)$$

in $G(\mathbb{Q}) \backslash G(\mathbb{A}_f)$. Then we see that

$$f(t_j \zeta_i) = f(t_{\sigma_i(j)} u_i(j)) = [u_i(j)^{-1}] \cdot f(t_{\sigma_i(j)})$$

by the definition of automorphic forms of level $\Gamma_1(N)$. Therefore we see that

$$\begin{aligned} & ((f|T(\pi))(t_1), \dots, (f|T(\pi))(t_h)) \\ &= \sum_{i=1}^l ([\zeta_i u_i(1)^{-1}] \cdot f(t_{\sigma_i(1)}), \dots, [\zeta_i u_i(h)^{-1}] \cdot f(t_{\sigma_i(h)})). \end{aligned}$$

Thus the proposition is proven, because the endomorphisms $[\cdot]$ given by the double coset $\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$ on S are completely continuous with operator norm ≤ 1 . $\square$

We denote by $K\langle \xi_\sigma | \sigma \in I' \rangle \{\{X\}\}$ the subring of the formal power series ring $K\langle \xi_\sigma | \sigma \in I' \rangle \llbracket X \rrbracket$ consisting of power series $\sum_{i \geq 0} c_i X^i$ such that

$$|c_i| M^i \rightarrow 0 \quad \text{as} \quad i \rightarrow \infty$$

for all $M \in \mathbb{R}$. By Proposition 2.1 and the arguments in [3, Section 2] dealing with Banach modules satisfying the property (Pr), we have the following

Proposition 2.2. *Assume the condition (ram). We have the characteristic power series*

$$\begin{aligned} P((\xi_\sigma)_{\sigma \in I'}, X) &:= \det(1 - XT(\pi)|_{S(G; \Gamma_1(N))}) \\ &= 1 + \sum_{i \geq 1} c_i X^i \in K\langle \xi_\sigma | \sigma \in I' \rangle\{\{X\}\} \end{aligned}$$

of $T(\pi)$ on $S(G; \Gamma_1(N))$ with $|c_i| \leq 1$. Furthermore, for any $(s_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$, we see that

$$P((s_\sigma)_{\sigma \in I'}, X) = 1 + \sum_{i \geq 1} c_i ((s_\sigma)_{\sigma \in I'}) X^i \in K_{(s,t)}\{\{X\}\}$$

is the characteristic power series of $T(\pi)$ on $S_{(s,t)}(G; \Gamma_1(N))$.

Let α be a non-negative rational number. For $(s_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$, let $S_{(s,t)}(G; \Gamma_1(N))_{\mathbb{C}_p}^\alpha$ be the $\mathbb{C}_p$ -subspace of $S_{(s,t)}(G; \Gamma_1(N)) \otimes_{K_{(s,t)}} \mathbb{C}_p$ generated by all generalized $T(\pi)$ -eigenspaces for all eigenvalues λ such that $\text{ord}_p(\lambda) = \alpha$. In the following subsections, we shall investigate p -adic automorphic forms which have small $T(\pi)$ -slope.

2.2. Classicality of p -adic automorphic forms

In Lemma 1.1 without the condition (ram), we have seen that the spaces of classical automorphic forms are included in the ones of p -adic automorphic forms. Now we shall see that p -adic automorphic forms of small $T(\pi)$ -slope are classical. Namely,

Theorem 2.3. *Let $\alpha \in \mathbb{Q}_{\geq 0}$ and $(n'_\sigma)_{\sigma \in I'} \in W_{(n,v)}^{\text{cl}}$. If the condition*

$$\alpha < \nu_{n'} := \min_{1 \leq i \leq s} \left\{ \frac{1}{e(\mathfrak{p}_i)} (\min_{\sigma \in I_i} \{n'_\sigma\} + 1) \right\}$$

is satisfied, then we have (without the condition (ram))

$$S_{(n',v')}(G; \Gamma_1(N))_{\mathbb{C}_p}^\alpha = S_{(n',v')}^{\text{cl}}(G; \Gamma_1(N); \mathbb{C}_p)^\alpha.$$

Proof. By the isomorphism (11) in Section 1, we see that the $\mathbb{C}_p$ -Banach quotient space $(S_{(n',v')}(G; \Gamma_1(N)) \otimes_K \mathbb{C}_p) / S_{(n',v')}^{\text{cl}}(G; \Gamma_1(N); \mathbb{C}_p)$ is isomorphic to a direct summand of the direct sum of h -copies of the orthonormalizable $\mathbb{C}_p$ -Banach quotient space $S_{(n',v')} \otimes_K \mathbb{C}_p / L(n', v'; \mathbb{C}_p)$

whose orthonormal basis is

$$\{(\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau}) \prod_{\sigma \in I'} x_\sigma^{m_\sigma} | a_\tau + b_\tau = n_\tau \text{ with } a_\tau, b_\tau \geq 0, m_\sigma \geq 0 \\ \text{and } m_\sigma > n'_\sigma \text{ for some } \sigma\}.$$

By the actions (3), (4) and (6) on the variables X_τ, Y_τ and x_σ in Section 1.2, we then see easily that

$$|T(\pi)| \leq p^{-\nu_{n'}}$$

on $(S_{(n',v')} \otimes_K \mathbb{C}_p / L(n', v'; \mathbb{C}_p))^h$. Hence we see that if $\alpha < \nu_{n'}$, then the image of any generalized $T(\pi)$ -eigenvector of slope α is 0 in the quotient space $(S_{(n',v')}(G; \Gamma_1(N)) \otimes_K \mathbb{C}_p) / S_{(n',v')}^{\text{cl}}(G; \Gamma_1(N); \mathbb{C}_p)$. So we have

$$S_{(n',v')}(G; \Gamma_1(N))_{\mathbb{C}_p}^\alpha = S_{(n',v')}^{\text{cl}}(G; \Gamma_1(N); \mathbb{C}_p)^\alpha.$$

□

Remark 2.1. It is known that the spaces of definite quaternionic automorphic forms over $\mathbb{Q}$ defined by means of homogeneous polynomials of degree n are isomorphic to the spaces of elliptic cusp forms of weight $k = n + 2$ by Jacquet-Langlands' theorem (cf. [2, Theorem 2]). Coleman [5, Theorem 6.1 and Theorem 8.1] showed that p -adic overconvergent modular forms of weight k and U_p -slope α are classical if $\alpha < k - 1 (= n + 1)$. Since $s = 1$ and $e(p) = 1$ in the case of $F = \mathbb{Q}$, Theorem 2.3 is a generalization of the result of Coleman to the case over totally real fields.

2.3. The local constancy of $\dim_{\mathbb{C}_p} S_{(s,t)}(G; \Gamma_1(N))_{\mathbb{C}_p}^\alpha$

We assume the condition (ram), i.e., $e(\mathfrak{p}_i) < p - 1$ for all $i = 1, \dots, s$. Let $\alpha \in \mathbb{Q}_{\geq 0}$. In this subsection, we shall give an explicit description of $m(\alpha)$ such that if $(s_\sigma)_{\sigma \in I'}, (s'_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$ satisfy that $|s_\sigma - s'_\sigma| \leq p^{-m(\alpha)}$ for all $\sigma \in I'$, then we have

$$\dim_{\mathbb{C}_p} S_{(s,t)}(G; \Gamma_1(N))_{\mathbb{C}_p}^\alpha = \dim_{\mathbb{C}_p} S_{(s',t')}(G; \Gamma_1(N))_{\mathbb{C}_p}^\alpha$$

by applying Chenevier's argument in [4, Section 5] to our case.

By Definition 1.3 (2), we regard $S_{(s,t)}(G; \Gamma_1(N))$ as a direct summand of the orthonormalizable $K_{(s,t)}$ -Banach module $S_{(s,t)}^h$ for which we can also have the characteristic power series

$$P'((s_\sigma)_{\sigma \in I'}, X) =: 1 + \sum_{i \geq 1} c'_i((s_\sigma)_{\sigma \in I'}) X^i \in K_{(s,t)}\{\{X\}\}$$

with $|c'_i((s_\sigma)_{\sigma \in I'})| \leq 1$. To obtain $m(\alpha)$ as above, we shall investigate the Newton polygon $N'_{(s,t)}$ of $P'((s_\sigma)_{\sigma \in I'}, X)$. We can take the set

$$\{e_{M,a} := (0, \dots, M, \dots, 0)\}_{M \in \mathfrak{M}, 1 \leq a \leq h}$$

as an orthonormal basis of $S_{(s,t)}^h$, where we put the set of monomials

$$\mathfrak{M} := \{(\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau}) \prod_{\sigma \in I'} x_\sigma^{m_\sigma} \mid a_\tau + b_\tau = n_\tau \text{ with } a_\tau, b_\tau \geq 0, m_\sigma \geq 0\}$$

and M sits in the a -th component in $e_{M,a}$. We shall calculate the p -adic valuations of coefficients $c'_i((s_\sigma)_{\sigma \in I'})$ of $P'((s_\sigma)_{\sigma \in I'}, X)$ by means of this basis. For $\gamma = \gamma_1 \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \gamma_2 \in \Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$ with $\gamma_1, \gamma_2 \in \Gamma_1(N)$ and a monomial $M = (\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau}) \prod_{\sigma \in I'} x_\sigma^{m_\sigma} \in \mathfrak{M}$, we have

$$(13) \quad [\gamma]_{(s,t)} \cdot M = \prod_{\tau \in I \setminus I'} \det(\gamma_\tau)^{v_\tau} \left(\prod_{\sigma \in I'} j_\sigma(\gamma_\sigma)^{s_\sigma} \det(\gamma_{1\sigma} \gamma_{2\sigma})^{t_\sigma} \right) \\ \times \left(\left(\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau} \right)^t \gamma_\tau^L \right) \prod_{\sigma \in I'} (\gamma_\sigma \cdot x_\sigma)^{m_\sigma}.$$

By the definition of $j_\sigma(\gamma_\sigma)^{s_\sigma}$ and the action (6) on the variable x_σ in Section 1.2 for each $\sigma \in I'$, we see that the p -adic valuations of all coefficients of monomials of the form $(\prod_{\tau \in I \setminus I'} X_\tau^{a'_\tau} Y_\tau^{b'_\tau}) \prod_{\sigma \in I'} x_\sigma^{k_\sigma}$ in the expansion of (13) in $S_{(s,t)}$ are at least $\lambda \sum_{\sigma \in I'} k_\sigma$, where we put the positive rational number $\lambda := \min_{1 \leq i \leq s} \left\{ \frac{1}{e(p_i)} \right\} - \frac{1}{p-1}$. Now we order the basis $\{e_{M,a}\}_{M,a}$ as follows: For $k \geq 0$, we define the subset

$\mathcal{A}_k := \{e_{M,a} \mid 1 \leq a \leq h, M \text{ is of the form}$

$$\left(\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau} \right) \prod_{\sigma \in I'} x_\sigma^{k_\sigma} \text{ with } \sum_{\sigma \in I'} k_\sigma = k\}$$

of $\{e_{M,a}\}_{M,a}$. Then we see that the cardinality $\#\mathcal{A}_k = h_n \binom{k+g'-1}{g'-1}$ for $k \geq 0$, where $h_n := h \prod_{\tau \in I \setminus I'} (n_\tau + 1)$, and that for $k \geq 1$,

$$(14) \quad \sum_{q=0}^k q \cdot \#\mathcal{A}_q = h_n g' \binom{k+g'}{g'+1}.$$

We then exhibit elements of $\mathcal{A}_0$ as $e_1^{(0)}, \dots, e_{h_n}^{(0)}$ arbitrarily. Next we exhibit elements of $\mathcal{A}_1$ as $e_{h_n+1}^{(1)}, \dots, e_{h_n(g'+1)}^{(1)}$ arbitrarily. We then repeat this operation for all $k \geq 2$ as

$$e_{h_n \binom{k+g'-1}{g'} + 1}^{(k)}, \dots, e_{h_n \binom{k+g'}{g'}}^{(k)}.$$

We are going to obtain the representation matrix of infinite degree of $T(\pi)$ with respect to the basis $\{e_j^{(l)}\}_{j,l}$ ordered as above. For each $e_j^{(l)}$, we write

$$e_j^{(l)}|T(\pi) = \sum_{i_0=1}^{h_n} \alpha_{i_0}^{(0)}(j, l) e_{i_0}^{(0)} + \sum_{k \geq 1} \sum_{i_k = h_n \binom{k+g'-1}{g'-1} + 1}^{h_n \binom{k+g'}{g'}} \alpha_{i_k}^{(k)}(j, l) e_{i_k}^{(k)}$$

with $\alpha_{i_k}^{(k)}(j, l) \in \mathcal{O}_{(s,t)}$ for all $k \geq 0$, where $\mathcal{O}_{(s,t)}$ is the ring of integers in $K_{(s,t)}$. As mentioned above, we then see that

$$(15) \quad \text{ord}_p(\alpha_{i_k}^{(k)}(j, l)) \geq k\lambda$$

for all $k \geq 0$, $j \geq 1$ and $l \geq 0$. The representation matrix of $T(\pi)$ with respect to the ordered basis $\{e_1^{(0)}, \dots, e_{h_n}^{(0)}, \dots\}$ is of the form

$$\begin{pmatrix} \alpha_1^{(0)}(1, 0) & \cdots & \alpha_1^{(0)}(h_n, 0) & \cdots \\ \vdots & & \vdots & \\ \alpha_{h_n}^{(0)}(1, 0) & \cdots & \alpha_{h_n}^{(0)}(h_n, 0) & \cdots \\ \vdots & & \vdots & \\ \vdots & & \vdots & \end{pmatrix}.$$

It is known that the coefficient $c'_i((s_\sigma)_{\sigma \in I'})$ of $P'((s_\sigma)_{\sigma \in I'}, X)$ is given by $(-1)^i \times$ (the convergent sum of i -th minors of the above matrix) for each $i \geq 1$ (cf. [13, Proposition 7 (a)]). So we see easily that

$$\text{ord}_p(c'_i((s_\sigma)_{\sigma \in I'})) > i^{1+\frac{1}{g'}} \frac{2\lambda g'}{(g'+1)(g'+2)^2} \left(\frac{g'!}{h_n}\right)^{\frac{1}{g'}}$$

by (14) and (15) in the case where

$$h_n \binom{k+g'-1}{g'} + 1 \leq i \leq h_n \binom{k+g'}{g'}$$

with some $k \geq 2$. On the other hand, in the case where $1 \leq i \leq h_n(g'+1)$, we see that $\text{ord}_p(c'_i((s_\sigma)_{\sigma \in I'})) \geq 0$ by Proposition 2.2. Therefore we have

$$(16) \quad \text{ord}_p(c'_i((s_\sigma)_{\sigma \in I'})) \geq \frac{2\lambda g'}{(g'+1)(g'+2)^2} \left(\frac{g'!}{h_n}\right)^{\frac{1}{g'}} i \left(i^{\frac{1}{g'}} - (h_n(g'+1))^{\frac{1}{g'}}\right)$$

for all $i \geq 1$. We put the function

$$\mu(x) := \frac{2\lambda g'}{(g'+1)(g'+2)^2} \left(\frac{g'!}{h_n}\right)^{\frac{1}{g'}} x \left(x^{\frac{1}{g'}} - (h_n(g'+1))^{\frac{1}{g'}}\right)$$

on $\mathbb{R}_{\geq 0}$, which is a monotone increasing function. Since the Newton polygon $N_{(s,t)}$ of the characteristic power series $P((s_\sigma)_{\sigma \in I'}, X)$ of $T(\pi)$ acting on $S_{(s,t)}(G; \Gamma_1(N))$ is bounded by $N'_{(s,t)}$ from the bottom, we then obtain the following

Proposition 2.4. *Assume the condition (ram). Then we have*

$$N_{(s,t)}(x) \geq \mu(x)$$

for all $(s_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$ and $x \in \mathbb{R}_{\geq 0}$.

Secondly, the characteristic power series $P((\xi_\sigma)_{\sigma \in I'}, X)$ for $T(\pi)$ on $S(G; \Gamma_1(N))$ shall be investigated. The coefficients $c_i \in K\langle \xi_\sigma | \sigma \in I' \rangle$ ($i \geq 1$) of $P((\xi_\sigma)_{\sigma \in I'}, X)$ can be regarded as analytic functions on $\mathcal{W}_{(n,v)}$. We then have the following

Proposition 2.5. *Assume the condition (ram). We take two elements $(s_\sigma)_{\sigma \in I'}$, $(s'_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$. We assume that there exists an integer $m \geq 0$ such that*

$$|s_\sigma - s'_\sigma| \leq p^{-m \cdot \max_{1 \leq i \leq s} \{\frac{1}{e(p_i)}\}}$$

for all $\sigma \in I'$. Then we have

$$|c_i((s_\sigma)_{\sigma \in I'}) - c_i((s'_\sigma)_{\sigma \in I'})| \leq p^{-(m+\lambda') \min_{1 \leq i \leq s} \{\frac{1}{e(p_i)}\}}$$

for all $i \geq 1$, where we put $\lambda' := \min_{1 \leq i \leq s} \{1 - \frac{e(p_i)}{p-1}\}$.

Proof. Since $S(G; \Gamma_1(N))$ can be regarded as a direct summand of S^h via the isomorphism (10) in Definition 1.3 (1), it is enough to show the statement for the coefficients c'_i of the characteristic power series $P'((\xi_\sigma)_{\sigma \in I'}, X)$ of $T(\pi)$ on S^h . Note that both $S_{(s,t)}$ and $S_{(s',t')}$ can be generated by the same orthonormal basis $\mathfrak{M}$ over $K_{(s,t)}$ and $K_{(s',t')}$, respectively. For $M = (\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau}) \prod_{\sigma \in I'} x_\sigma^{m_\sigma} \in \mathfrak{M}$ and $\gamma = \gamma_1 \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \gamma_2 \in \Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & \pi \end{pmatrix} \Gamma_1(N)$ with $\gamma_1, \gamma_2 \in \Gamma_1(N)$, we see that

$$(17) \quad [\gamma]_{(s,t)} \cdot M = \prod_{\tau \in I \setminus I'} \det(\gamma_\tau)^{v_\tau} \left(\prod_{\sigma \in I'} j_\sigma(\gamma_\sigma)^{s_\sigma} \det(\gamma_{1\sigma} \gamma_{2\sigma})^{t_\sigma} \right) \\ \times \left(\left(\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau} \right)^t \gamma_\tau^t \right) \prod_{\sigma \in I'} (\gamma_\sigma \cdot x_\sigma)^{m_\sigma} \quad \text{and}$$

$$(18) \quad [\gamma]_{(s',t')} \cdot M = \prod_{\tau \in I \setminus I'} \det(\gamma_\tau)^{v_\tau} \left(\prod_{\sigma \in I'} j_\sigma(\gamma_\sigma)^{s'_\sigma} \det(\gamma_{1\sigma} \gamma_{2\sigma})^{t'_\sigma} \right) \\ \times \left(\left(\prod_{\tau \in I \setminus I'} X_\tau^{a_\tau} Y_\tau^{b_\tau} \right)^t \gamma_\tau^t \right) \prod_{\sigma \in I'} (\gamma_\sigma \cdot x_\sigma)^{m_\sigma}.$$

By the assumption that $|s_\sigma - s'_\sigma| \leq p^{-\frac{m}{e(p_i)}}$ for each $\sigma \in I'$ with i such that $\sigma \in I_i$, we can write in $\mathbb{C}_p$

$$s'_\sigma = s_\sigma + (\pi_i^\sigma)^m u_\sigma \quad \text{and} \quad t'_\sigma = t_\sigma - \frac{u_\sigma}{2} (\pi_i^\sigma)^m$$

with some $u_\sigma \in \mathcal{O}_{\mathbb{C}_p}$ by Definition 1.2. Then we have

$$(19) \quad j_\sigma(\gamma_\sigma)^{s'_\sigma} = j_\sigma(\gamma_\sigma)^{s_\sigma} (j_\sigma(\gamma_\sigma)^{(\pi_i^\sigma)^m})^{u_\sigma} \quad \text{and} \\ \det(\gamma_{1\sigma} \gamma_{2\sigma})^{t'_\sigma} = \det(\gamma_{1\sigma} \gamma_{2\sigma})^{t_\sigma} (\det(\gamma_{1\sigma} \gamma_{2\sigma})^{(\pi_i^\sigma)^m})^{\frac{u_\sigma}{2}}.$$

Noting that $j_\sigma(\gamma_\sigma)$ and $\det(\gamma_{1\sigma} \gamma_{2\sigma})$ are of the form $1 + \pi_i^\sigma a$ with some a with norm $|a| \leq 1$, by (17), (18) and (19) and the formula (7) in Section 1.2, we can calculate that for each $\sigma \in I'$ with i such that $\sigma \in I_i$, $|j_\sigma(\gamma_\sigma)^{s'_\sigma} - j_\sigma(\gamma_\sigma)^{s_\sigma}|$ and $|\det(\gamma_{1\sigma} \gamma_{2\sigma})^{t'_\sigma} - \det(\gamma_{1\sigma} \gamma_{2\sigma})^{t_\sigma}|$ are at most $|\pi_i^\sigma|^{m+\lambda'}$, because we can see easily that

$$\left| \frac{(\pi_i^\sigma)^{km} (\pi_i^\sigma)^k}{k!} u'_\sigma (u'_\sigma - 1) \cdots (u'_\sigma - k + 1) \right| \leq |\pi_i^\sigma|^{m+\lambda'} \quad (k \geq 1, m \geq 0)$$

under the condition (ram). Here the symbol u'_σ stands for both u_σ and $\frac{u_\sigma}{2}$. By Proposition 2.2 and the isomorphism (11) in Definition 1.3, this implies that the absolute values of all components in the difference of the representation matrices of $T(\pi)$ on $S_{(s,t)}^h$ and the one on $S_{(s',t')}^h$ calculated before are at most $p^{-(m+\lambda') \min_{1 \leq i \leq s} \{\frac{1}{e(p_i)}\}}$. This implies that

$$|c_i((s_\sigma)_{\sigma \in I'}) - c_i((s'_\sigma)_{\sigma \in I'})| \leq p^{-(m+\lambda') \min_{1 \leq i \leq s} \{\frac{1}{e(p_i)}\}},$$

for all $i \geq 1$. □

Let $(s_\sigma)_{\sigma \in I'}, (s'_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$. By Proposition 2.4, we see that

$$N_{(s,t)}(x), N_{(s',t')}(x) \geq \mu(x).$$

We put

$$\nu(x) := \frac{2\lambda g'}{(g'+1)(g'+2)^2} \left(\frac{g'!}{h_n} \right)^{\frac{1}{g'}} (x^{\frac{1}{g'}} - (h_n(g'+1))^{\frac{1}{g'}})$$

for $x \in \mathbb{R}_{\geq 0}$. Then ν is a strictly monotone increasing function, and we have

$$\nu(0) < 0 \quad \text{and} \quad \lim_{x \rightarrow \infty} \nu(x) = \infty.$$

Moreover, the inverse function

$$\nu^{-1}(x) = h_n \left(\frac{(g'+1)(g'+2)^2}{2\lambda g' (g'!)^{\frac{1}{g'}}} x + (g'+1)^{\frac{1}{g'}} \right)^{g'}$$

of ν is also a monotone increasing function on $\mathbb{R}_{\geq 0}$ and $\nu^{-1}(x) \geq 0$ for $x \geq 0$. For $\alpha \in \mathbb{Q}_{\geq 0}$, we put

$$m(\alpha) := \left(\frac{\max_{1 \leq i \leq s} \{e(\mathfrak{p}_i)\}}{\min_{1 \leq i \leq s} \{e(\mathfrak{p}_i)\}} \right) [\alpha \nu^{-1}(\alpha)].$$

By Proposition 2.5, we then see that if $|s_\sigma - s'_\sigma| \leq p^{-m(\alpha)}$ for all $\sigma \in I'$, then

$$|c_i((s_\sigma)_{\sigma \in I'}) - c_i((s'_\sigma)_{\sigma \in I'})| \leq p^{-\min_{1 \leq i \leq s} \{\frac{1}{e(\mathfrak{p}_i)}\} ((\max_{1 \leq i \leq s} \{e(\mathfrak{p}_i)\}) [\alpha \nu^{-1}(\alpha)] + \lambda')}$$

for all $i \geq 1$. Since we can replace $\mathbb{Z}_p$ (resp. $m_v(\alpha) + 1$) by $\mathcal{O}_{\mathbb{C}_p}$ (resp. $\min_{1 \leq i \leq s} \{\frac{1}{e(\mathfrak{p}_i)}\} ((\max_{1 \leq i \leq s} \{e(\mathfrak{p}_i)\}) [\alpha \nu^{-1}(\alpha)] + \lambda')$) in the statement of [14, Lemma 4.1], we have the following

Proposition 2.6. *Assume the condition (ram). For any $\alpha \in \mathbb{Q}_{\geq 0}$, we put*

$$m(\alpha) := \left(\frac{\max_{1 \leq i \leq s} \{e(\mathfrak{p}_i)\}}{\min_{1 \leq i \leq s} \{e(\mathfrak{p}_i)\}} \right) [\alpha h_n \left(\frac{(g' + 1)(g' + 2)^2}{2\lambda g'(g'!)^{\frac{1}{g'}}} \alpha + (g' + 1)^{\frac{1}{g'}} g' \right)].$$

If $(s_\sigma)_{\sigma \in I'}$, $(s'_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$ satisfy $|s_\sigma - s'_\sigma| \leq p^{-m(\alpha)}$ for all $\sigma \in I'$, then the slope- α -part of the Newton polygons of $P((s_\sigma)_{\sigma \in I'}, X)$ and $P((s'_\sigma)_{\sigma \in I'}, X)$ are equal.

By combining this proposition with [12, Corollary of Section IV.4], we obtain the following

Theorem 2.7. *Assume the condition (ram). Let $\alpha \in \mathbb{Q}_{\geq 0}$ and $(s_\sigma)_{\sigma \in I'}$, $(s'_\sigma)_{\sigma \in I'} \in \mathcal{W}_{(n,v)}(\mathbb{C}_p)$. If $|s_\sigma - s'_\sigma| \leq p^{-m(\alpha)}$ for all $\sigma \in I'$, then we have*

$$\dim_{\mathbb{C}_p} S_{(s,t)}(G; \Gamma_1(N))_{\mathbb{C}_p}^\alpha = \dim_{\mathbb{C}_p} S_{(s',t')}(G; \Gamma_1(N))_{\mathbb{C}_p}^\alpha.$$

Further, by Theorem 2.3, we then have immediately the following

Corollary 2.8. *Assume the condition (ram). If $(n'_\sigma)_{\sigma \in I'}$, $(n''_\sigma)_{\sigma \in I'} \in W_{(n,v)}^{\text{cl}}$ satisfy the conditions that $|n'_\sigma - n''_\sigma| \leq p^{-m(\alpha)}$ for all $\sigma \in I'$ and $\nu_{n'}, \nu_{n''} > \alpha$, then we have*

$$\dim_{\mathbb{C}_p} S_{(n',v')}^{\text{cl}}(G; \Gamma_1(N); \mathbb{C}_p)^\alpha = \dim_{\mathbb{C}_p} S_{(n'',v'')}^{\text{cl}}(G; \Gamma_1(N); \mathbb{C}_p)^\alpha.$$

Remark 2.2. In Corollary 2.8, we need to assume the condition (ram) to apply the modified Wan's lemma with the *positive* rational number λ' . This corollary is a generalization of Coleman's result [5, Theorem B3.4] which gives a solution to a conjecture of Gouvêa and Mazur [7, Conjecture 1 in Section 5].

Remark 2.3. Kassaei [11] has constructed overconvergent $\mathcal{P}$ -adic modular forms on quaternion algebras defined over any totally real field F which are unramified at $\mathcal{P}$ and exactly one infinite place, where $\mathcal{P}$ is a

prime ideal of F above p whose residue field has cardinality > 3 . Then he has also showed the local constancy of dimensions of the spaces of overconvergent forms ([11, Theorem 1.1]).

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DEPARTMENT OF MATHEMATICS, KYOTO UNIVERSITY, KYOTO, 606-8502,
JAPAN

E-mail address: yamagami@math.kyoto-u.ac.jp