

On multidimensional inverse scattering in time-dependent electric fields[†]

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1 Introduction

Throughout this paper, we assume the spatial dimension $d \geq 2$. We report one of the inverse scattering problems for quantum systems in a time-dependent electric field $E(t) \in \mathbb{R}^d$, which was obtained in Adachi-Fujiwara-I [1]. By Enss-Weder time-dependent method [5], we can show that the high speed limit of the scattering operator determines uniquely the potential V belonging to the wider class than the classes given by the previous work in Adachi-Maehara [3], Adachi-Kamada-Kazuno-Toratani [2], Nicoleau [10] and Fujiwara [6].

The free and full Hamiltonians under the consideration are given by

$$H_0(t) = p^2/2 - E(t) \cdot x, \quad H(t) = H_0(t) + V \quad (1.1)$$

acting as the self-adjoint operators on $L^2(\mathbb{R}^d)$, where $p = -i\nabla_x$ is the momentum, $E(t)$ is the time-dependent electric field and the interaction potential V is real-valued multiplicative operator. $E(t)$ and $V = V^{\text{vs}} + V^s + V^l \in \mathcal{V}^{\text{vs}} + \mathcal{V}_{\mu, \alpha_\mu}^s + \mathcal{V}_{\mu, \gamma_\mu}^l$ satisfy following assumptions.

Assumption 1.1. *The time-dependent electric field $E(t) \in \mathbb{R}^d$ is represented as*

$$E(t) = E_0(1 + |t|)^{-\mu} + E_1(t), \quad (1.2)$$

where $0 \leq \mu < 1$, $E_0 \in \mathbb{R}^d \setminus \{0\}$ and $E_1(t) \in C(\mathbb{R}, \mathbb{R}^d)$ such that

$$\left| \int_0^t \int_0^s E_1(\tau) d\tau ds \right| \leq C \max\{|t|, |t|^{2-\mu_1}\} \quad (1.3)$$

with $\mu < \mu_1 \leq 1$.

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Roughly speaking about the perturbation part $E_1(t)$, we assume that $|E_1(t)| \leq C(1 + |t|)^{-\mu_2}$ for some $\mu_2 > \mu$ and take μ_1 as follows:

$$\begin{cases} \mu_1 = \mu_2 & \mu < \mu_2 < 1 \\ \mu < \mu_1 < \mu_2 & \mu_2 = 1 \\ \mu_1 = 1 & \mu_2 > 1. \end{cases} \quad (1.4)$$

Such $E(t)$ was first dealt with in Adachi-Kamada-Kazuno-Toratani [2]. For brevity's sake, we suppose that $E_0 = e_1 = (1, 0, \dots, 0) \in \mathbb{R}^d$.

Assumption 1.2. $\mathcal{V}^{\text{vs}}$ is the class of real-valued multiplicative operators V^{vs} is satisfying that V^{vs} is decomposed into the sum of a singular part V_1^{vs} and a regular part V_2^{vs} . V_1^{vs} is compactly supported, belongs to $L^{q_1}(\mathbb{R}^d)$ and satisfies $|\nabla V_1^{\text{vs}}| \in L^{q_2}(\mathbb{R}^d)$. $V_2^{\text{vs}} \in C^1(\mathbb{R}^d)$ satisfies that V_2^{vs} and its first derivatives are all bounded in $\mathbb{R}^d$ and that

$$\int_0^\infty \|F(|x| \geq R)V_2^{\text{vs}}(x)\|_{\mathcal{B}(L^2)} dR < \infty. \quad (1.5)$$

Here q_1 satisfies that $q_1 > d/2$ and $q_1 \geq 2$, q_2 satisfies

$$\begin{cases} 1/q_2 = 1/(2q_1) + 2/d & d \geq 5 \\ 1/q_2 < 1/(2q_1) + 1/2 & d = 4 \\ 1/q_2 = 1/(2q_1) + 1/2 & d \leq 3, \end{cases} \quad (1.6)$$

and $F(|x| \geq R)$ is the characteristic function of $\{x \in \mathbb{R}^d \mid |x| \geq R\}$.

$\mathcal{V}_{\mu, \alpha_\mu}^s$ with some $\alpha_\mu > 0$ is the class of real-valued multiplicative operators V^s is satisfying that V^s belongs to $C^1(\mathbb{R}^d)$ and satisfies

$$|V^s(x)| \leq C\langle x \rangle^{-\gamma}, \quad |\partial_x^\beta V^s(x)| \leq C_\beta \langle x \rangle^{-1-\alpha}, \quad |\beta| = 1 \quad (1.7)$$

with some γ and α such that $1/(2-\mu) < \gamma \leq 1$ and $\alpha_\mu < \alpha \leq \gamma$.

Finally, $\mathcal{V}_{\mu, \gamma_\mu}^1$ with some $\gamma_\mu \geq 1/(2(2-\mu))$ is the class of real-valued multiplicative operators V^1 is satisfying that V^1 belongs to $C^2(\mathbb{R}^d)$ and satisfies

$$|\partial_x^\beta V^1(x)| \leq C\langle x \rangle^{-\gamma_D - |\beta|/(2-\mu)}, \quad |\beta| \leq 2, \quad (1.8)$$

with some γ_D such that $\gamma_\mu < \gamma_D \leq 1/(2-\mu)$.

We note that one can obtain

$$\int_0^\infty \|F(|x| \geq R)V^{\text{vs}}(x)\langle p \rangle^{-2}\|_{\mathcal{B}(L^2)} dR < \infty \quad (1.9)$$

by this assumption and it is equivalent to

$$\int_0^\infty \|V^{\text{vs}}(x)\langle p \rangle^{-2}F(|x| \geq R)\|_{\mathcal{B}(L^2)} dR < \infty \quad (1.10)$$

because V^{vs} is a multiplicative operator (see e.g. Reed-Simon [11]).

As for the class $\mathcal{V}_{\mu, \alpha_\mu}^s$, we also note that by virtue of $\alpha \leq \gamma$, we can treat an oscillation part. For example, the following function belongs to $\mathcal{V}_{\mu, \alpha_\mu}^s$:

$$V^s(x) = \langle x \rangle^{-\gamma} \cos \langle x \rangle^{\gamma-\alpha}. \quad (1.11)$$

In fact, we can verify easily that $|\nabla_x V^s(x)| \leq C(\langle x \rangle^{-1-\gamma} + \langle x \rangle^{-1-\alpha}) \leq C\langle x \rangle^{-1-\alpha}$ holds with some $C > 0$.

2 Results

We first state the case where $V^1 = 0$. Then we can see the wave operators

$$W^\pm = \text{s-lim}_{t \rightarrow \pm\infty} U(t, 0)^* U_0(t, 0) \quad (2.1)$$

exist as this fact was shown in Adachi-Kamada-Kazuno-Toratani [2], where we denote the propagators generated by $H_0(t)$ and $H(t)$ as $U_0(t, 0)$ and $U(t, 0)$. The existence and uniqueness of these propagators are guaranteed by virtue of Yajima [14]. The scattering operator $S = S(V)$ is defined by

$$S = (W^+)^* W^-. \quad (2.2)$$

The following obtained in [1] is one of those which we would like to report in this paper.

Theorem 2.1. (Adachi-Fujiwara-I [1]) *Put*

$$\tilde{\alpha}_\mu = \begin{cases} \frac{7 - 3\mu - \sqrt{(1-\mu)(17-9\mu)}}{4(2-\mu)} & 0 \leq \mu \leq 1/2 \\ \frac{1+\mu}{2(2-\mu)} & 1/2 < \mu < 1. \end{cases} \quad (2.3)$$

Let $V_1, V_2 \in \mathcal{V}^{vs} + \mathcal{V}_{\mu, \tilde{\alpha}_\mu}^s$. If $S(V_1) = S(V_2)$, then $V_1 = V_2$.

In the case where $E(t) \equiv E_0$, that is, the case of the Stark effect, this theorem was first proved by Weder [12] under the condition $V^s \in \mathcal{V}_{0,0}^s$ and the additional assumption $\gamma > 3/4$. However, as it is well-known, the short-range condition on V under the Stark effect is $\gamma > 1/2$. Later Nicoleau [9] proved this theorem for real-valued $V \in C^\infty(\mathbb{R}^d)$ satisfying $|\partial_x^\beta V(x)| \leq C_\beta \langle x \rangle^{-\gamma-|\beta|}$ with $\gamma > 1/2$, under the spatial dimension $d \geq 3$. After that, this theorem was obtained by Adachi-Maehara [3] for $V^s \in \mathcal{V}_{0,1/2}^s$. In our case where $\mu = 0$, substitute $\mu = 0$ in $\tilde{\alpha}_\mu$. We have

$$\tilde{\alpha}_0 = \left. \frac{7 - 3\mu - \sqrt{(1-\mu)(17-9\mu)}}{4(2-\mu)} \right|_{\mu=0} = \frac{7 - \sqrt{17}}{8} < \frac{1}{2}. \quad (2.4)$$

If $a < b$, then $\mathcal{V}_{\mu,b}^s \subsetneq \mathcal{V}_{\mu,a}^s$. Therefore this implies

$$\mathcal{V}_{0,1/2}^s \subsetneq \mathcal{V}_{0,\tilde{\alpha}_0}^s. \quad (2.5)$$

In the time-dependent case where $0 < \mu < 1$ and $E_1(t) \not\equiv 0$, the result corresponding to Theorem 2.1 was also obtained by Adachi-Kamada-Kazuno-Toratani [2] under the assumption that $V \in \mathcal{V}^{\text{vs}} + \mathcal{V}_{\mu, 1/(2-\mu)}^{\text{s}}$. We can verify that $\tilde{\alpha}_\mu < 1/(2-\mu)$ and this implies that above result is finer than the previous one:

$$\mathcal{V}_{\mu, 1/(2-\mu)}^{\text{s}} \subsetneq \mathcal{V}_{\mu, \tilde{\alpha}_\mu}^{\text{s}}. \quad (2.6)$$

We next state the case where $V^1 \neq 0$. If $V^1 \in \mathcal{V}_{\mu, \gamma_\mu}^1$, the Dollard-type modified wave operators due to White [13] (see also Adachi-Tamura [4] and Jensen-Yajima [8])

$$W_D^\pm = \text{s-lim}_{t \rightarrow \pm\infty} U(t, 0)^* U_0(t, 0) M_D(t), \quad M_D(t) = e^{-i \int_0^t V^1(p\tau + c(\tau)) d\tau} \quad (2.7)$$

can exist by virtue of the condition $\gamma_D > 1/(2(2-\mu))$ (see [2]), where we put

$$c(t) = \int_0^t b(\tau) d\tau, \quad b(t) = \int_0^t E(\tau) d\tau. \quad (2.8)$$

Then the Dollard-type modified scattering operator $S_D = S_D(V^1, V^{\text{vs}} + V^{\text{s}})$ is defined by

$$S_D = (W_D^+)^* W_D^-. \quad (2.9)$$

Then we also report the following result.

Theorem 2.2. (Adachi-Fujiwara-I [1]) *Suppose that a given V^1 satisfies $V^1 \in \mathcal{V}_{\mu, \tilde{\gamma}_\mu}^1$ with*

$$\tilde{\gamma}_\mu = \frac{1}{2(2-\mu)} + \frac{1-\mu}{4(2-\mu)}. \quad (2.10)$$

Put

$$\tilde{\alpha}_{\mu, D} = \begin{cases} \frac{13 - 5\mu - \sqrt{(1-\mu)(41-25\mu)}}{8(2-\mu)} & 0 \leq \mu \leq 5/7 \\ \frac{1+\mu}{2(2-\mu)} & 5/7 < \mu < 1. \end{cases} \quad (2.11)$$

Let $V_1, V_2 \in \mathcal{V}^{\text{vs}} + \mathcal{V}_{\mu, \tilde{\alpha}_{\mu, D}}^{\text{s}}$. If $S_D(V^1, V_1) = S_D(V^1, V_2)$, then $V_1 = V_2$. Moreover, any one of the Dollard-type modified scattering operators S_D determines uniquely the total potential V .

In the case where $0 < \mu < 1$ and $E_1(t) \not\equiv 0$, Adachi-Kamada-Kazuno-Toratani [2] proved this theorem under the condition that

$$V^1 \in \mathcal{V}_{\mu, \hat{\gamma}_\mu}^1, \quad V_1, V_2 \in \mathcal{V}^{\text{vs}} + \mathcal{V}_{\mu, 1/(2-\mu)}^{\text{s}} \quad (2.12)$$

with $\hat{\mu} = (7 - \sqrt{3} - \sqrt{60 - 22\sqrt{3}})/4$ and

$$\hat{\gamma}_\mu = \begin{cases} \frac{-\frac{1}{2} + \sqrt{\frac{1}{4} + \frac{2(1-\mu)^2}{1+\mu}}}{2-\mu} & 0 < \mu \leq \hat{\mu} \\ -\frac{3-\mu}{8} + \sqrt{\frac{(3-\mu)^2}{64} + \frac{2\mu^2 - 7\mu + 7}{4(2-\mu)^2}} & \hat{\mu} < \mu < 1. \end{cases} \quad (2.13)$$

Computing straightforwardly, we can see $\tilde{\alpha}_{\mu,D} < 1/(2 - \mu)$ and $\tilde{\gamma}_\mu < \hat{\gamma}_\mu$. We thus obtain

$$\mathcal{V}_{\mu,1/(2-\mu)}^s \subsetneq \mathcal{V}_{\mu,\tilde{\alpha}_{\mu,D}}^s, \quad \mathcal{V}_{\mu,\tilde{\gamma}_\mu}^1 \subsetneq \mathcal{V}_{\mu,\hat{\gamma}_\mu}^1. \quad (2.14)$$

In particular, there was no result for the case where $\mu = 0$. Here we emphasize that if $5/7 \leq \mu < 1$, then $\tilde{\alpha}_{\mu,D} = \tilde{\alpha}_\mu$ holds, although if $0 \leq \mu < 5/7$, then $\tilde{\alpha}_{\mu,D} > \tilde{\alpha}_\mu$ holds.

Remark 2.3. We assume that $E(t) \in C(\mathbb{R}, \mathbb{R}^d)$ is T -periodic in time with non-zero mean E_0 , that is,

$$E_0 = \int_0^T E(\tau) d\tau / T \neq 0, \quad (2.15)$$

which was treated by Nicoleau [10] and Fujiwara [6]. In this case, the method in the proofs of Theorems 2.1 and 2.2 does work well also, because we have

$$|b(t) - tE_0| \leq \int_0^T |E(\tau) - E_0| d\tau, \quad (2.16)$$

$$|c(t) - t^2 E_0 / 2| \leq \int_0^{|t|} |b(\tau) - \tau E_0| d\tau \leq C|t|, \quad (2.17)$$

with $C = \int_0^T |E(\tau) - E_0| d\tau$ by the periodicity of $E(t)$. (2.17) implies $\mu = 0$ in (1.2) and $\mu_1 = 1$ in (1.3).

By virtue of this fact, we can obtain an improvement of the results of [10] and [6].

Theorem 2.4. Suppose that $E(t) \in C(\mathbb{R}, \mathbb{R}^d)$ is T -periodic in time with non-zero mean E_0 . Then the followings hold.

1. Let $V_1, V_2 \in \mathcal{V}^{\text{vs}} + \mathcal{V}_{0,\tilde{\alpha}_0}^s$. If $S(V_1) = S(V_2)$, then $V_1 = V_2$.
2. Suppose that a given V^1 satisfies $V^1 \in \mathcal{V}_{0,\tilde{\gamma}_0}^1$. Let $V_1, V_2 \in \mathcal{V}^{\text{vs}} + \mathcal{V}_{0,\tilde{\alpha}_{0,D}}^s$. If $S_D(V^1, V_1) = S_D(V^1, V_2)$, then $V_1 = V_2$. Moreover, any one of the Dollard-type modified scattering operators S_D determines uniquely the total potential V .

Nicoleau [10] proved the uniqueness assuming that $|\partial_x^\beta V(x)| \leq C_\beta \langle x \rangle^{-\gamma-|\beta|}$ with $\gamma > 1/2$ for $V \in C^\infty(\mathbb{R})^d$ and the additional condition $d \geq 3$. Fujiwara [6] assumed that $V \in \mathcal{V}^{\text{vs}} + \mathcal{V}_{0,1/2}^s$. These two results did not treat the long-range potentials.

3 Short-range Case

By virtue of Theorem 3.1 below and the Plancherel formula associated with the Radon transform (see Helgason [7]), Theorem 2.1 can be shown in the quite same way as in the proof of Theorem 1.2 in [12] (see also Enss-Weder [5]).

Theorem 3.1. (Reconstruction Formula [1]) Let $\hat{v} \in \mathbb{R}^d$ be given such that $|\hat{v} \cdot e_1| < 1$. Put $v = |v|\hat{v}$. Let $\eta > 0$ be given, and $\Phi_0, \Psi_0 \in \mathcal{S}(\mathbb{R}^d)$ be such that $\mathcal{F}\Phi_0, \mathcal{F}\Psi_0 \in C_0^\infty(\mathbb{R}^d)$ with $\text{supp } \mathcal{F}\Phi_0, \text{supp } \mathcal{F}\Psi_0 \subset \{\xi \in \mathbb{R}^d \mid |\xi| \leq \eta\}$. Put $\Phi_v = e^{iv \cdot x} \Phi_0, \Psi_v = e^{iv \cdot x} \Psi_0$. Let $V^{vs} \in \mathcal{V}^{vs}$ and $V^s \in \mathcal{V}_{\mu, \tilde{\alpha}_\mu}^s$, where $\tilde{\alpha}_\mu$ is the same as in Theorem 2.1 and $\mathcal{F}$ is the Fourier transformation. Then

$$|v|(i[S, p_j]\Phi_v, \Psi_v) = \int_{-\infty}^{\infty} ((V^{vs}(x + \hat{v}t)p_j\Phi_0, \Psi_0) - (V^{vs}(x + \hat{v}t)\Phi_0, p_j\Psi_0) + (i(\partial_{x_j} V^s)(x + \hat{v}t)\Phi_0, \Psi_0))dt + o(1) \quad (3.1)$$

holds as $|v| \rightarrow \infty$ for $1 \leq j \leq d$.

To prove Theorem 3.1, the following estimate is the key.

Proposition 3.2. Let v and Φ_v be as in Theorem 3.1 and $\epsilon > 0$. Put

$$\Theta(\alpha) = \begin{cases} \alpha + \frac{(\alpha - \mu)(1 - \alpha)}{(1 - \mu)(2 - \alpha)} & \alpha > \mu \\ \alpha - \frac{\mu - \alpha}{1 - \mu} & \mu/(2 - \mu) < \alpha \leq \mu. \end{cases} \quad (3.2)$$

Then

$$\int_{-\infty}^{\infty} \|(V^s(x) - V^s(vt + c(t)))U_0(t, 0)\Phi_v\|dt = O(|v|^{-\Theta(\alpha)+\epsilon}) \quad (3.3)$$

holds as $|v| \rightarrow \infty$ for $V^s \in \mathcal{V}_{\mu, \mu/(2-\mu)}^s$.

In Adachi-Maehara [3], the corresponding estimate to this proposition was

$$\int_{-\infty}^{\infty} \|(V^s(x) - V^s(vt + c(t)))U_0(t, 0)\Phi_v\|dt = O(|v|^{-\alpha}) \quad (3.4)$$

(see Lemma 2.2 in [3]). When we denote the error term of (3.1) by $R(v)$, $\lim_{|v| \rightarrow \infty} R(v) = 0$ is equivalent to $2(-\alpha) + 1 < 0$. Therefore $\alpha > 1/2$ was required. On the other hand, in Adachi-Kamada-Kazuno-Toratani [2], the corresponding one was

$$\int_{-\infty}^{\infty} \|(V^s(x) - V^s(vt + c(t)))U_0(t, 0)\Phi_v\|dt = O(|v|^{-\rho}), \quad (3.5)$$

where

$$\rho = \frac{(2 - \mu)\alpha - \mu}{2(1 - \mu)} \quad (3.6)$$

(see Lemma 3.4 in [2]) and $\lim_{|v| \rightarrow \infty} R(v) = 0$ is equivalent to $2(-\rho) + 1 < 0$. Solving this inequality for α , we see that $\alpha > 1/(2 - \mu)$ was required. In our estimate, $\alpha > \tilde{\alpha}_\mu$ comes from the inequality $2(-\Theta(\alpha)) + 1 < 0$ which is equivalent to $\lim_{|v| \rightarrow \infty} R(v) = 0$.

4 Long-range Case

In the case where $V^1 \neq 0$, the reconstruction formula is represented as follows, which also yields the proof of Theorem 2.2.

Theorem 4.1. (Reconstruction Formula [1]) *Let $\hat{v} \in \mathbb{R}^d$ be given such that $|\hat{v} \cdot e_1| < 1$. Put $v = |v|\hat{v}$. Let $\eta > 0$ be given, and $\Phi_0, \Psi_0 \in \mathcal{S}(\mathbb{R}^d)$ be such that $\mathcal{F}\Phi_0, \mathcal{F}\Psi_0 \in C_0^\infty(\mathbb{R}^d)$ with $\text{supp } \mathcal{F}\Phi_0, \text{supp } \mathcal{F}\Psi_0 \subset \{\xi \in \mathbb{R}^d \mid |\xi| \leq \eta\}$. Put $\Phi_v = e^{iv \cdot x} \Phi_0, \Psi_v = e^{iv \cdot x} \Psi_0$. Let $V^{\text{vs}} \in \mathcal{V}^{\text{vs}}$, $V^s \in \mathcal{V}_{\mu, \tilde{\alpha}_{\mu, D}}^s$ and $V^1 \in \mathcal{V}_{\mu, \tilde{\gamma}_\mu}^1$, where $\tilde{\alpha}_{\mu, D}$ and $\tilde{\gamma}_\mu$ are the same as in Theorem 2.2. Then*

$$\begin{aligned} |v|(i[S_D, p_j]\Phi_v, \Psi_v) &= \int_{-\infty}^{\infty} ((V^{\text{vs}}(x + \hat{v}t)p_j\Phi_0, \Psi_0) - (V^{\text{vs}}(x + \hat{v}t)\Phi_0, p_j\Psi_0) \\ &\quad + (i(\partial_{x_j}V^s)(x + \hat{v}t)\Phi_0, \Psi_0) + (i(\partial_{x_j}V^1)(x + \hat{v}t)\Phi_0, \Psi_0))dt + o(1) \end{aligned} \quad (4.1)$$

holds as $|v| \rightarrow \infty$ for $1 \leq j \leq d$.

To prove Theorem 4.1, the following estimate is the key.

Proposition 4.2. *Let v and Φ_v be as in Theorem 4.1, $\epsilon > 0$ and $V^1 \in \mathcal{V}_{\mu, 1/(2(2-\mu))}^1$. Put*

$$\Theta_D(\gamma_D) = \begin{cases} 1 & \gamma_D > 1/2 \\ \frac{2\gamma_D(2-\mu) - 1}{1-\mu} & \gamma_D \leq 1/2. \end{cases} \quad (4.2)$$

Then

$$\int_{-\infty}^{\infty} \|(V^1(x) - V^1(t(p - b(t)) + c(t)))U_D(t)\Phi_v\|dt = O(|v|^{-\Theta_D(\gamma_D)+\epsilon}) \quad (4.3)$$

holds as $|v| \rightarrow \infty$, where $U_D(t) = U_0(t, 0)M_D(t)$ and $M_D(t)$ is the same as in (2.7).

In Adachi-Kamada-Kazuno-Toratani [2], the corresponding estimate to this proposition was

$$\int_{-\infty}^{\infty} \|(V^1(x) - V^1(t(p - b(t)) + c(t)))U_D(t)\Phi_v\|dt = O(|v|^{-\rho_1}), \quad (4.4)$$

where

$$\rho_1 = \frac{(1 - \sigma_\kappa)(\tilde{\gamma}_D + 2 - \mu)}{(1 - \mu)(\sigma_\kappa(\tilde{\gamma}_D + 2 - \mu) - 1 + \tilde{\gamma}_D)} \quad (4.5)$$

with $\tilde{\gamma}_D = (2 - \mu)\gamma_D$ and $\sigma_\kappa = 1 - \kappa(1 - \mu)/(2 - \mu)$ for $0 < \kappa < 1$ (see Lema 4.5 in [2]). When we denote the error term of (4.1) by $R_D(v)$, $\lim_{|v| \rightarrow \infty} R_D(v) = 0$ is equivalent to $2(-\rho_1) + 1 < 0$. Therefore $\gamma_D > \hat{\gamma}_\mu$ was required. In our case, $\lim_{|v| \rightarrow \infty} R_D(v) = 0$ is equivalent to $2(-\Theta_D(\gamma_D)) + 1 < 0$ and $\gamma_D > \hat{\gamma}_\mu$ comes from this inequality.

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